

From: Richard Kahn <[REDACTED]>
Subject: Fwd: GS 4% Quote
Sent: Wednesday, May 18, 2016 4:31:32 PM
To: "jeffrey E." <jeevacation@gmail.com>

you own 3,000,000 of bond at 74.25
FYI - last quote per Andrew at MS is 75 / 76

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
[REDACTED]

Begin forwarded message:

From: "Atlas, Andrew S" <[REDACTED]>
Subject: RE: GS 4% Quote
Date: May 18, 2016 at 11:51:30 AM EDT
To: Richard Kahn <[REDACTED]>

Updated Quote:

75 - 76

Not many bonds on either side.
-AA

Andrew S. Atlas
First Vice President
Equity Sales/Middle Markets Group
Financial Advisor
Morgan Stanley Wealth Management
1290 Avenue of the Americas, 12th Floor | New York, NY 10104
Tel/Direct: [REDACTED] | Fax: [REDACTED]
[REDACTED]

NMLS ID # [REDACTED]

From: Richard Kahn [[mailto:\[REDACTED\]](mailto:[REDACTED])]
Sent: Tuesday, May 17, 2016 4:20 PM
To: Atlas, Andrew S (Wealth Mgmt MS)
Subject: Re: GS 4% Quote

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022

[REDACTED]
[REDACTED]
[REDACTED]

On May 17, 2016, at 3:30 PM, Atlas, Andrew S
<[REDACTED]> wrote:

Hey Rich,

Updated quote
74.50 – 75.50

1mm X 1mm

Speak soon,
Andrew

Andrew S. Atlas
First Vice President
Equity Sales/Middle Markets Group
Financial Advisor
Morgan Stanley Wealth Management
1290 Avenue of the Americas, 12th Floor | New York, NY 10104
Tel/Direct: [REDACTED] | Fax: [REDACTED]
[REDACTED]

NMLS ID # [REDACTED]

From: Richard Kahn [mailto:[REDACTED]]
Sent: Monday, May 16, 2016 9:36 AM
To: Atlas, Andrew S (Wealth Mgmt MS)
Subject: Re: GS 4% Quote

thank you
still watching..

i believe wire was sent on friday to fund STC account

Richard Kahn

HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022



On May 16, 2016, at 9:35 AM, Atlas, Andrew S
<[REDACTED]> wrote:

Good Morning Rich,

Hope you have a nice weekend!

Here's an updated GS 4% quote:

73.75 - 74.50

Speak soon,
Andrew

Andrew S. Atlas
First Vice President
Equity Sales/Middle Markets Group
Financial Advisor
Morgan Stanley Wealth Management
1290 Avenue of the Americas, 12th Floor | New York, NY 10104
Tel/Direct: [REDACTED] | Fax: [REDACTED]
[REDACTED]
NMLS ID # [REDACTED]

Important Notice to Recipients:

Please do not use e-mail to request, authorize or effect the purchase or sale of any security or commodity. Unfortunately, we cannot execute such instructions provided in e-mail. Thank you.

The sender of this e-mail is an employee of Morgan Stanley Smith Barney LLC ("Morgan Stanley"). If you have received this communication in error, please destroy all electronic and paper copies and notify the sender immediately. Erroneous transmission is not intended to waive confidentiality or privilege. Morgan Stanley

reserves the right, to the extent permitted under applicable law, to monitor electronic communications. This message is subject to terms available at the following link:
<http://www.morganstanley.com/disclaimers/mssbemail.html>.
If you cannot access this link, please notify us by reply message and we will send the contents to you. By messaging with Morgan Stanley you consent to the foregoing.

Important Notice to Recipients:

Please do not use e-mail to request, authorize or effect the purchase or sale of any security or commodity. Unfortunately, we cannot execute such instructions provided in e-mail. Thank you.

The sender of this e-mail is an employee of Morgan Stanley Smith Barney LLC ("Morgan Stanley"). If you have received this communication in error, please destroy all electronic and paper copies and notify the sender immediately. Erroneous transmission is not intended to waive confidentiality or privilege. Morgan Stanley reserves the right, to the extent permitted under applicable law, to monitor electronic communications. This message is subject to terms available at the following link:
<http://www.morganstanley.com/disclaimers/mssbemail.html>. If you cannot access this link, please notify us by reply message and we will send the contents to you. By messaging with Morgan Stanley you consent to the foregoing.

Important Notice to Recipients:

Please do not use e-mail to request, authorize or effect the purchase or sale of any security or commodity. Unfortunately, we cannot execute such instructions provided in e-mail. Thank you.

The sender of this e-mail is an employee of Morgan Stanley Smith Barney LLC ("Morgan Stanley"). If you have received this communication in error, please destroy all electronic and paper copies and notify the sender immediately. Erroneous transmission is not intended to waive confidentiality or privilege. Morgan Stanley reserves the right, to the extent permitted under applicable law, to monitor electronic communications. This message is subject to terms available at the following link: <http://www.morganstanley.com/disclaimers/mssbemail.html>. If you cannot access this link, please notify us by reply message and we will send the contents to you. By messaging with Morgan Stanley you consent to the foregoing.