



GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/11 to 10/31/11

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
US Large Cap Equity							
PROSHARES ULTRASHORT S&P 500 74347R-88-3 SDS	20.25	1,000.000	20,250.00	44,809.50	(24,559.50)		
PROSHARES TRUST 74347X-23-7 QID	43.63	200.000	8,726.00	26,203.50	(17,477.50)		
Total US Large Cap Equity			\$58,286.00	\$102,623.00	(\$44,337.00)	\$1,720.00 \$430.00	2.95 %
US Small/Mid Cap Equity							
GERON CORP 374163-10-3 GERN	2.35	3,000.000	7,050.00	18,129.32	(11,079.32)		
Non-US Equity							
RESEARCH IN MOTION LIMITED 760975-10-2 RIMM	20.20	1,600.000	32,320.00	160,288.72	(127,968.72)		
Preferred Stocks							
CITIGROUP CAPITAL XIII 7 7/8% PFD 173080-20-1 C PNNA /BA1	26.93	10,000.000	269,300.00	261,300.00	8,000.00	19,690.00	7.31 %
COUNTRYWIDE CAPITAL V 7% PFD 222388-20-9 CFC PB	21.40	4,200.000	89,880.00	98,778.96	(8,898.96)	7,350.00 1,837.50	8.18 %
JPM CHASE CAPITAL XXIX 6.7% PFD 48125E-20-7 JPM PC	25.29	2,500.000	63,225.00	62,500.00	725.00	4,187.50	6.62 %
Total Preferred Stocks			\$422,405.00	\$422,578.96	(\$173.96)	\$31,227.50 \$1,837.50	7.39 %

J.P.Morgan

Page 5 of 31