



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
8/14 8/19	Purchase	GENMARK DIAGNOSTICS INC @ 9.84 J.P. MORGAN SECURITIES LLC (ID: 372309-10-4)	1,000.000	9.84	(9,840.00)
8/14 8/19	Purchase	MEDICINES CO @ 30.25 J.P. MORGAN SECURITIES LLC (ID: 584688-10-5)	1,000.000	30.25	(30,250.00)
8/14 8/19	Purchase	NORTHERN TIER ENERGY LP @ 22.85 J.P. MORGAN SECURITIES LLC (ID: 665826-10-3)	4,000.000	22.85	(91,400.00)
8/14 8/19	Purchase	PENNYMAC MORTGAGE INVESTMENT TRUST @ 22.34 J.P. MORGAN SECURITIES LLC (ID: 70931T-10-3)	4,000.000	22.34	(89,360.00)
8/15 8/20	Purchase	THIRD POINT REINSURANCE LTD @ 12.50 J.P. MORGAN SECURITIES LLC (ID: G8827U-10-0)	6,000.000	12.50	(75,000.00)
8/15 8/20	Purchase	MEDICAL PROPERTIES TRUST INC @ 12.75 J.P. MORGAN SECURITIES LLC (ID: 58463J-30-4)	5,000.000	12.75	(63,750.00)
Total Settled Securities Purchased					(\$2,717,730.00)

Trade Date Est. Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Cost	Realized Gain/Loss
Pending Sales, Maturities, Redemptions							
8/28 9/3	Sale	ZIONS BANCORPORATION VAR RT 09/29/2049 DTD 08/13/2013 (ID: 989701-BF-3)	(250,000.000)	100.10	250,250.00	(250,000.00)	250.00 S

J.P.Morgan