



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/9 8/14	Sale High Cost	QUALITY DISTRIBUTION INC @ 8.97 4,485.00 BROKERAGE 20.00 TAX &/OR SEC .08 J.P. MORGAN SECURITIES LLC (ID: 74756M-10-2)	(500.000)	8.93	4,464.92	(4,300.00)	164.92 S
5/23 8/15	Sale High Cost	TO REVERSE ENTRY OF 05/29/2013 ZIONS BANCORPORATION VAR RT 12/31/2049 DTD 05/21/2013 @ 100.875 JP MORGAN SECURITIES LLC (BIDL) AS OF 05/29/13 (ID: [REDACTED])	1,500,000.000	100.875	(1,513,125.00)	1,500,000.00	(13,125.00) S*
5/23 8/15	Sale High Cost	ZIONS BANCORPORATION VAR RT 12/31/2049 DTD 05/21/2013 @ 100.875 1,513,125.00 BROKERAGE 3,750.00 JP MORGAN SECURITIES LLC (BIDL) (ID: [REDACTED])	(1,500,000.000)	100.625	1,509,375.00	(1,500,000.00)	9,375.00 S*
8/12 8/15	Sale High Cost	CLIFFS NATURAL RESOURCES CV PFD 7% @ 22.033333 132,200.00 BROKERAGE 240.00 TAX &/OR SEC 2.31 J.P. MORGAN SECURITIES LLC (ID: [REDACTED])	(6,000.000)	21.993	131,957.69	(150,000.00)	(18,042.31) S
8/13 8/19	Sale High Cost	LAREDO PETROLEUM HOLDINGS IN @ 23.621028 212,589.25 BROKERAGE 360.00 TAX &/OR SEC 3.70 J.P. MORGAN SECURITIES LLC (ID: [REDACTED])	(9,000.000)	23.581	212,225.55	(213,750.00)	(1,524.45) S
8/14 8/19	Sale High Cost	NORTHERN TIER ENERGY LP @ 22.30 89,200.00 BROKERAGE 160.00 TAX &/OR SEC 1.56 J.P. MORGAN SECURITIES LLC (ID: [REDACTED])	(4,000.000)	22.26	89,038.44	(91,400.00)	(2,361.56) S
8/14 8/19	Sale High Cost	ZIONS BANCORPORATION VAR RT 09/29/2049 DTD 08/13/2013 @ 100.10 50,050.00 BROKERAGE 25.00 JP MORGAN SECURITIES LLC (BIDL) (ID: [REDACTED])	(50,000.000)	100.05	50,025.00	(50,000.00)	25.00 S
8/20 8/20	Principal Payment Pro Rata	SEQUOIA MORTGAGE TRUST 11 CL B1 VAR RT 12/20/2032 DTD 10/30/2002 PAYMENT A/C PRINCIPAL (ID: [REDACTED])	(4,763.530)	100.00	4,763.53	(3,513.10)	1,250.43 S

J.P.Morgan

Account [REDACTED] Page 27 of 48 Consolidated Statement Page 30