

Subject: RE: Request for Research Enhanced Due Diligence
From: Ariane Dwyer <[REDACTED]>
Date: Fri, 29 Jan 2016 08:59:40 -0500
To: Paul Morris <[REDACTED]>
Cc: Vahe Stepanian <[REDACTED]>, Daniel Sabba <[REDACTED]>

Hi Paul,

Just tried to call you on the below, please let me know if you have any questions. We'd like to submit today if possible.

Best,

Ari

From: Ariane Dwyer
Sent: Thursday, January 28, 2016 12:26 PM
To: Paul Morris
Cc: Vahe Stepanian; Daniel Sabba
Subject: Request for Research Enhanced Due Diligence

Hi Paul,

Please find attached the request for enhanced due diligence questionnaire. Can you please review the information and give your approval by checking the box on page 4?

Additionally when we send the form we will attach the Gratitude documents (KYC, formation docs, updated officers list etc.) and would like to also submit the Southern Financial KYC. Could you please send this to me?

Let me know if you have any questions.

Best,
Ari

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