

Subject: Re: [REDACTED] Force Case# 01146170: Darren K. Indyke PLLC [I]
From: Amanda Kirby <[REDACTED]>
Date: Fri, 01 Nov 2013 10:59:49 -0400
To: PWM AOG <[REDACTED]>
Cc: Dhruv Yadav <[REDACTED]>,
Pema Sherpa <[REDACTED]>,
PWM AOG <[REDACTED]>

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HI,

Here are the updated docs. For the W-9 it checked Other because it is a "PLLC" (Professional LLC) does not file under an LLC C,S or P.

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: PWM AOG/[REDACTED]/dbcom

To: Pema Sherpa/[REDACTED]/dbcom@DBAMERICAS,

Cc: Amanda Kirby/[REDACTED]/dbcom@DBAMERICAS, PWM AOG/[REDACTED]/dbcom@DBAMERICAS

Date: 11/01/2013 10:47 AM

Subject: Re: [REDACTED] Force Case# 01146170: Darren K. Indyke PLLC [I]

Classification: For internal use only

Hi Pema,

FYI

Thanks,
Dhruv Yadav

PWM AOG
DBOI Global Services Private Limited
Email : [REDACTED]

From: PWM AOG/[REDACTED]/dbcom

To: Amanda Kirby/[REDACTED]/dbcom@DBAMERICAS,

Cc: PWM AOG/[REDACTED]/dbcom@DBAMERICAS

Date: 11/01/2013 10:42 AM

Subject: [REDACTED] Force Case# 01146170: Darren K. Indyke PLLC [I]

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Hi Amanda,

While reviewing the account opening docs, we came across the below issues:

1. On Account Opening Application, both [REDACTED] Private Wealth Online Plus and Link to Existing Online Relationship have been selected.

Please select the correct one only.

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2. This entity is Limited Liability Corporation, however on W-9, Federal Tax Classification is selected as Other: Atty Trust Account.

Please correct the same to LLC along with the Tax Classification (S,C or P)

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3. KYC is in pending status.

Please let us know, once it is approved.

Thanks,
Dhruv Yadav

PWM AOG
DBOI Global Services Private Limited
Email : [REDACTED]