

Structured Products Agreement and Approval Form

Please Complete All Sections

Account Number

Account Name

SOUTHERN FINANCIAL LLC

Account Type

Individual ☐ Joint ☐ Trust ☐ Partnership ☒ Limited Liability Company ☐ Corporation

Other (specify):

NOTE: IRA and ERISA accounts are prohibited from purchasing Structured Products.

Account and Owner Information: Provide requested information for each beneficial account owner ("Client"). If more than one owner exists, the Structured Products Agreement and Approval Supplementary Form to this Agreement may be required.

Print Name, or First, Middle, Last Name of Individual or Trustee or Executor

JEFFREY EPSTEIN

Address

6100 RED HOOK QUARTER B3, ST THOMAS

Country

Phone

Number of Dependents

US VIRGIN ISLANDS 340 775 2525 -

Marital Status

☒ Married - MM/DD/YYYY

Employer

Business Phone

SINGLE 01/20/1953 SELF

Business Address

AS ABOVE

Type of Business

Position

Years Held

FINANCIAL INFORMATICS OWNER

10+

Name(s) of person(s) other than account owner(s) authorized to place orders for the account

PAUL BARRITT; DARREN INDYKE

Client(s) Financial Information

Approximate Aggregate
Annual Income of Account
Owner(s) from All Sources

Sources of Income
(Approximate)

Approximate Net Worth

Approximate Liquid Net
Worth Excluding Principal
Residence

Less than \$50,000

\$50,000 - \$99,999

\$100,000 - \$249,999

\$250,000 - \$499,999

\$500,000 - \$999,999

\$1,000,000 - \$2,499,999

\$2,500,000 - \$4,999,999

\$5,000,000 and over

Salary/Bonus

%

Interest/Dividends 100 %

Other (specify):

%

%

%

%

%

%

%

%

Less than \$50,000

\$50,000 - \$99,999

\$100,000 - \$249,999

\$250,000 - \$499,999

\$500,000 - \$999,999

\$1,000,000 - \$2,499,999

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