

N46024968



Option Agreement and Approval Form

Please Complete All Sections

Account No. **N4G024968** Account Name **Jeffrey Epstein**

Account Type **Cash** Account Registration **Individual**

☐ Managed Account ☐ Discretionary Account ☐ Other

Name(s) of person(s) other than account owner(s) authorized to place orders for the account:

Jeffrey Epstein, Harry Beller, Jeanne Brennan

Account and Owner Information (Provide requested information for each account owner ("Client"). Attach supplement to this Agreement if necessary.)

Name **Jeffrey Epstein**

Address **Little Saint James, St. Thomas** Country **Virgin Islands, US**

Phone **340-775-2525** Number of Dependents **0** Marital Status **Single** Birthdate **01/20/1953**

Employer **Southern Financial LLC** Business Phone **[REDACTED]**

Business Address **[REDACTED]**

Type of Business **Finance** Position **President** Years Held **0**

Present Brokerage Accounts with: **JPMorgan**

Does the individual or entity that controls this account have the power to control any other Option Account(s) with Deutsche Bank Securities Inc.?

☒ Yes ☐ No If yes, please provide the account number(s): **Will Provide List**

Client(s) Financial Information

Approximate Aggregate Annual Income of Account Owner(s) from All Sources	Sources of Income (Approximate)	Approximate Aggregate Net Worth	
☐ Less than \$50,000 ☐ \$50,000 - \$99,999 ☐ \$100,000 - \$249,999 ☐ \$250,000 - \$499,999 ☐ \$500,000 - \$999,999 ☐ \$1,000,000 - \$2,499,999 ☐ \$2,500,000 - \$4,999,999 ☒ \$5,000,000 and over	Salary/Bonus 50 % Interest/Dividends 50 % Other (specify): _____ % _____ % _____ %	Liquid Net Worth ☐ Less than \$50,000 ☐ \$50,000 - \$99,999 ☐ \$100,000 - \$249,999 ☐ \$250,000 - \$499,999 ☐ \$500,000 - \$999,999 ☐ \$1,000,000 - \$2,499,999 ☐ \$2,500,000 - \$4,999,999 ☒ \$5,000,000 and over	Approximate Net Worth Excluding Principal Residence ☐ Less than \$50,000 ☐ \$50,000 - \$99,999 ☐ \$100,000 - \$249,999 ☐ \$250,000 - \$499,999 ☐ \$500,000 - \$999,999 ☐ \$1,000,000 - \$2,499,999 ☐ \$2,500,000 - \$4,999,999 ☒ \$5,000,000 and over

Investment Experience of Account Owner(s)

	Year First Traded	Average # Trades Per Year	Average Size of Trades in \$	Average Size in Shares / Contracts	Types of Previous Option Experience
Options	1988	10	\$1,000,000.00	1,000	☒ Covered Call Writing ☒ Purchased Options ☒ Option Spreads ☒ Uncovered Put Writing ☒ Uncovered Call Writing ☐ None
Stocks	1988	10	\$1,000,000.00	1,000	
Bonds	1988	10	\$1,000,000.00	1,000	
Commodities	1988	10	\$1,000,000.00	1,000	
Listed Stock Index	1988	10	\$1,000,000.00	1,000	
OTC Derivatives	1988	10	\$1,000,000.00	1,000	
Structured Products	1988	10	\$1,000,000.00	1,000	
Other (Specify) _____					

Deutsche Bank Securities Inc., a subsidiary of Deutsche Bank AG, conducts investment banking and securities activities in the United States.



OPT1

09-PWM-0127 OM 14 (05/11)

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