

From: Sarah Rafferty [REDACTED]
Sent: 3/7/2018 5:37:44 PM
To: Stewart Oldfield [REDACTED]
Subject: Frieze New York invitation to Preview day, Wednesday, May 2

We are following the same process as last year – as long as your invitees meet the criteria outlined below, you may extend the invitation without securing pre-approval in the compliance system. If the client accepts, you will then be required to log in to Compliance using ID [REDACTED] in the amount of €152 pp. All details are below including the invitation. If you have any questions, just let me know. Thanks.

Criteria:

- Invitees should be limited to the wealth management client (or prospect) and/or their family members
- No sensitive counterparts (including public officials, regulatory agencies, etc.)
- DB payment of client travel & accommodation is not permitted
- Pending business at the time of invite is not permitted (i.e. specific discussions pertaining to investment type and amount have occurred and decision or action is pending with client, or discussion on moving assets from bank x to DB).
- Prospective business is ok – general relationship building.

If your list below meets the above criteria, you may extend the invitation for the Wednesday, May 2 Preview of Frieze New York (invitation is below). If you have any questions, please let me know. Thanks.

Wed. Preview	2	Rudy	Sahay	54 Madison	CEO	Stewart Oldfield
Wed. Preview	2	Leon	Black	Apollo	CEO	Stewart Oldfield
Wed. Preview	2	Michael	Bloomberg	Bloomberg LP	CEO	Stewart Oldfield
Wed. Preview	1	Andrew	Weinberg	Brightstar	Founding Partner	Stewart Oldfield
Wed. Preview	1	Renee	Noto	Brightstar	Partner	Stewart Oldfield
Wed. Preview	1	Roger	Bulloch	Brightstar	Partner	Stewart Oldfield
Wed. Preview	1	Rahul	Vaid	Crestline	Partner	Stewart Oldfield
Wed. Preview	1	Tom	Danziger	Danziger, Danziger & Muro	JD	Stewart Oldfield
Wed. Preview	2	Brad	Wechsler	Elysium	CEO	Stewart Oldfield
Wed. Preview	2	Barry	Cohen	Elysium	President	Stewart Oldfield
Wed. Preview	2	Frohman	Anderson	Everwatch	CEO	Stewart Oldfield
Wed. Preview	2	Matt	Womble	Everwatch	CIO	Stewart Oldfield
Wed. Preview	1	Edouard	Gerschel	Gerschel	Principal	Stewart Oldfield
Wed. Preview	1	Jonathan	Botwinick	Gerschel	Counsel	Stewart Oldfield
Wed. Preview	2	Katherine	Montrone	Liberty Lane	Partner	Stewart Oldfield
Wed. Preview	2	Adam	Lindemann	Lindemann Capital	Principal	Stewart Oldfield
Wed. Preview	1	Don	Marron	Marron Investments	Principal	Stewart Oldfield
Wed. Preview	1	Tony	Persico	Marron Investments	CIO	Stewart Oldfield
Wed. Preview	1	Todd	Meister	Meister Global	Principal	Stewart Oldfield
Wed. Preview	2	Jim	Burritt	Pacific Life	Head Private Investments	Stewart Oldfield
Wed. Preview	2	Ali	Rashid		pvt client	Stewart Oldfield
Wed. Preview	1	Greg	Martin	Shamrock	CEO	Stewart Oldfield
Wed. Preview	1	Stan	Gold	Shamrock	Chairman	Stewart Oldfield