

DB PWM GLOBAL KYC/NCA: **PART A**KYC Case # : **01121718**Status : **6. Approved****One sheet must be established per relationship - list all accounts included in the relationship**

1. Relationship Details			
Relationship Name:	EPSTEIN, JEFFREY RELATIONSHIP: [REDACTED]		Booking Center: New York
Relationship Manager:	Paul Morris		
Relationship to PWM:	☒ New PWM Relationship ☐ Existing PWM Relationship		
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: New personal checking and money market for Jeffrey Epstein. This is an update of approved KYC #01082293 where Jeffrey has already been approved.		
How Was the Client(s) Introduced? How long has the RM personally known the client?	☐ Client Referral	☒ RM Prospect	☐ Intermediary/FIM
	☐ Other Source (CIB, etc.)		
Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein was a client of Paul's at JP Morgan. Paul and Jeffrey Epstein had a mutual friend/colleague who introduced them while Paul was at JPMorgan. Over the course of a few meetings Paul was able to get Jeffrey to bring some of his funds over to JPMorgan		
	☐ Yes		
	☒ No		
(If Yes, describe):			
List all existing and new accounts involved in this relationship			
	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	☒	Southern Financial, LLC	8/19/2013
2	☒	Southern Financial, LLC	8/19/2013
3	☒	Southern Trust Company, Inc.	8/19/2013
4	☒	Southern Trust Company, Inc.	8/19/2013
5	☒	Jeffrey Epstein	9/11/2013
6	☒	Jeffrey Epstein	9/11/2013
Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	Jeffrey Epstein		Preferred method of contact(indicate phone no., fax no., e-mail address, etc.): [REDACTED]

<https://na4.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&eid=500600...> 9/19/2013

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