



Deutsche Bank AG New York Branch (Non-FDIC) Sweep Deposit Program (the "Program") Election and Representations

Account Number

Account Name

Account Type:

☐ Individual ☐ Joint ☐ Trust ☐ Partnership ☐ Limited Liability Company ☐ Corporation ☐ Other

By signing below, the undersigned elects to utilize the Program as a cash sweep option and represents to Deutsche Bank Securities Inc. that:

- Client understands that the terms and conditions associated with this program will be provided under separate cover and that Client may at any time change their sweep option as described in the terms and conditions. The undersigned understands and acknowledges that Program deposits are not eligible for insurance by the FDIC, and are not protected by the Securities Investor Protection Corporation or any governmental agency of the United States, the Federal Republic of Germany or any other jurisdiction.
- The undersigned is an "accredited investor" as defined in Regulation D under the Securities Act of 1933, as amended because the undersigned is:

(Check all that apply. At least one category must be checked.)

- ☐ A natural person with individual income exceeding \$200,000 in each of the two most recent years or joint income with a spouse exceeding \$300,000 in each of those years and a reasonable expectation of the same income in the current year.
- ☐ A natural person with individual net worth, or joint net worth with a spouse, over \$1,000,000, excluding primary residence.
- ☐ A trust with assets in excess of \$5,000,000, not formed for the specific purpose of utilizing the Program, whose purchases are directed by a sophisticated person.
- ☐ A revocable trust that may be amended or revoked at any time by the grantors and where all of the grantors are accredited investors.
- ☐ A charitable organization, corporation or partnership with assets exceeding \$5,000,000.
- ☐ A business in which all the equity owners are accredited investors.
- ☐ An insurance company, registered investment company, business development company or small business investment company.

By signing below, the undersigned hereby confirms that the representations made above are true to the best of the knowledge of the undersigned.

Title of Account

Signature

Signature

Name

Name

Date

Date

