

**From:** Melisa Venegas [REDACTED]  
**Sent:** 6/3/2016 10:15:00 AM  
**To:** Armen Brash [REDACTED]; Andrew Gallivan [REDACTED]; Michael S Jacoby [REDACTED]; Lee Hutter [REDACTED]; Mark LaRoe [REDACTED]  
**CC:** Vaishali-P Mehta [REDACTED]  
**Subject:** RE: June 2016 AML/KYC Escalation Reports [1]  
**Attachments:** US\_Onshore.xlsx

Classification: **For internal use only**

File attached.



**Melisa Venegas**

Deutsche Bank Wealth Management | 60 Wall Street, 10005-2836 New York, NY, USA

Tel.: [REDACTED] | Email [REDACTED]

Classification: **For internal use only**

All,

Included below is the monthly AML/KYC escalation report for overdue and coming due items. Please review and address accordingly. Thank you.

#### ACUs w/Mat Changes\*

| Risk   Days Overdue | 1-30 | 31-60 | 61-90 | >90 | Grand Total |
|---------------------|------|-------|-------|-----|-------------|
| High                |      | 1     | 2     | 7   | 10*         |
| Moderate            |      |       | 2     | 27  | 29*         |
| Low                 | 1    |       |       | 11  | 12*         |

\* some are pending AML Compliance's review

#### Overdue Temporary Exceptions

| Risk   Days Overdue | 1-30 | 31-60 | 61-90 | >90 | Grand Total |
|---------------------|------|-------|-------|-----|-------------|
| High                | 3    | 14    |       | 1   | 18          |
| Moderate            | 4    | 1     | 1     |     | 6           |
| Low                 | 4    |       |       |     | 4           |

#### Overdue High Risk in Person Meetings

26