Subject: Re: ECM carve out to KCP client [C]
From: Caroline Kitidis [redacted]
Date: Mon, 16 Dec 2013 11:23:35 -0500
To: Joshua Shoshan [redacted]
Cc: Chip Packard [redacted]
Donald Summer [redacted]
Haig Ariyan [redacted]
Michael Iaquinta [redacted]
Zbynek Kozelsky [redacted]

Classification: Confidential

Thanks Josh.
Yes, Epstein is one of our first focus KCP Cap market accounts. This is the account that Paul Morris and Tazia have worked on, bringing 200mm of assets over from JPM. He has started trading with us already, and we have him targeted to be a Tier 1 KCP client for 2014.

As such, I think it makes sense to think about his allocation from a KCP target bucket as you propose below.

Haig and Chip, we discussed this a month ago, having for both PCS and PB, KCP clients that we allocate a % of the allocation. In this specific KCP case, which won't be for all clients, the client is focused on syndicate and wants to be a part of our program.

Kind regards,

Caroline Kitidis

____

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Caroline V. Kitidis
Managing Director | Head of Key Client Partners & Wealth Investment Coverage
-
Americas

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Email [redacted]

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From: Joshua Shoshan/db/-
dbcom

To: Caroline Kitidis/db/dbcom, "Chip Packard"
<[redacted] Haig Ariyan/NewYork/DBNA/[redacted] Michael
Iaquinta/db/-
dbcom,

Cc: Zbynek Kozelsky/db/[redacted], Mathew Negus/db/dbcom,
Donald Summer/NewYork/DBNA/D[redacted]

Date: 12/16/2013 10:49
AM

Subject: ECM carve out to KCP client
[C]

Classification: Confidential

Greetings, Caroline, Chip, Haig. Tazia and I discussed this case this morning. The proposal from Tazia is that Mr. Epstein would indicate for certain deals, and that he be allocated shares on these transactions from the "KCP allocation". You can see the history below. As this is the first such case, it is proposed that Mr. Epstein be allocated 50bps of the shares allocated to Wealth Management for the deals in which he participates? Do you
agree, and will you kindly respond so that Mike Iaquinta and the rest of us are aware of this arrangement?

Please also let us know if you have questions or concerns. Best regards,
--Josh

Joshua Shoshan
Director
Markets Coverage Group

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Office 212 454 4433
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----- Original Message -----
From: Tazia Smith
Sent: 12/13/2013 05:34 PM EST
To: Joshua Shoshan
Subject: Fw: [C]
Classification: Confidential

Hi Josh - Let's circle up on this on Monday and strategize the best solution.

Let me know when works for you...

Thanks!
Tazia

----- Forwarded by Tazia Smith/db/dbcom on 12/13/2013 05:32 PM -----

From: Caroline Kitidis/db/-
dbcom

To: Tazia Smith/db/-
dbcom@DBAMERICAS,

Cc: Paul Morris/db/-
dbcom@DBAMERICAS

Date: 12/13/2013 11:43
AM

Subject: Re:
[C]

Classification: Confidential

Speak to josh about this Tazia and see what we can do.

--

Caroline V. Kitidis
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Email [redacted]

----- Original Message -----
From: Tazia Smith
Sent: 12/13/2013 10:35 AM EST
To: Caroline Kitidis
Cc: Paul Morris
Subject: Re: [C]

Classification: Confidential

189mm AUM (more coming)
total commission just ~$11k thus far

short yen via zero cost triple one touch (OTC)
long AAL via in-the-money risk reversal (listed)
long DXJ (listed)
long AAPL (listed)

working on:
fully funded basket of japanese eq, quantoed (2-3mm notional)
have interest in a european hedge, but we have to get the accounts onboarded in eq derivatives (as discussed)

waiting for FOMC decision before next FX trade (he's bullish euro, does not like latam)

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Tazia Smith
Director | Key Client Partners - US

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Email [redacted]

(Embedded image moved to file: pic28008.gif)

From: Caroline Kitidis/db/-
dbcom

To: Tazia Smith/db/dbcom@DBAMERICAS, Paul Morris/db/-
dbcom@DBAMERICAS,

Date: 12/13/2013 10:09
AM

Subject: Re:
[C]

Classification: Confidential

Yes.
What has he done w us to date since we opened account TZ?

--

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----- Original Message -----
From: Tazia Smith
Sent: 12/13/2013 09:38 AM EST
To: Paul Morris; Caroline Kitidis
Subject: Re: [C]

Classification: Confidential

great! Caroline, let's discuss

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Tazia Smith
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(Embedded image moved to file: pic18727.gif)

From: Paul Morris/db/-
dbcom@DBAMERICAS

To: [redacted], Caroline Kitidis/db/-
dbcom@DBAMERICAS,

Date: 12/13/2013 09:12
AM

Subject:
[C]

Classification: Confidential

hi, we should get him involved, thx

Paul Morris
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----- Forwarded by Paul Morris/db/dbcom on 12/13/2013 09:11 AM -----

From: Jeffrey Epstein
<jeevacation@gmail.com>

To: Paul Morris/db/-
dbcom@DBAMERICAS,

Date: 12/13/2013 09:10
AM

Subject:

i shoul d be in the equity syndicate program

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****