

From: Vahe Stepanian [REDACTED]
Sent: 12/2/2013 3:41:44 PM
To: Lisa D Hurd [REDACTED]
CC: Amanda Kirby [REDACTED]
Subject: Fw: Epstein - Assets to transfer [C]
Attachments: pic06474.gif; pic28520.gif; pic00619.gif; pic09660.gif

Classification: Confidential

Lisa - do you need anything else to process?

Thanks,
Vahe

----- Forwarded by Vahe Stepanian/db/dbcom on 12/02/2013 03:41 PM -----

From: "Young, Janet E" <[REDACTED]>
To: Vahe Stepani [REDACTED]
Cc: Amanda Kirby [REDACTED], "[REDACTED]" <[REDACTED]>, Lisa D Hurd/[REDACTED], PB-Service1318 <[REDACTED]>
Date: 12/02/2013 03:40 PM
Subject: RE: Fw: Epstein - Assets to transfer [C]

Vahe,
Current market values are noted next to the security names below.

JPM Southern Financial, LLC [REDACTED] - Assets to be transferred to Pershing
LLC A/C # [REDACTED] - Southern Financial, LLC

- 3,000,000 LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 HELD BY
EUROCLEAR
ISIN: [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d and
amount so that we can match free delivery.
Units 3,000,000 - Market Value - \$3,220,320.00

- LLOYDS BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011 HELD BY
EUROCLEAR
ISIN: [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d and
amount so that we can match free delivery.
Units - 1,500,000 Market Value - \$1,785,435.00

- 2,500,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR
ISIN [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d and
amount so that we can match free delivery.
Units - 2,500,000 Market Value - \$2,020,000.00

JPM Southern Trust Co., Inc.([REDACTED]) - Assets to be transferred to Pershing
LLC A/C # [REDACTED]

- 2,000,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR

ISIN [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d and
amount so that we can match.
Units - 2,000,000 Market Value - \$1,616,000.00