TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

SDNY_GM_00011509

EFTA_00122160

EFTA01267639

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity/(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers. In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its Information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or HUwww.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Allocation Method: In the event any of your securities are called or assigned, the securities will be selected on an impartial, random basis.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

In Case of Errors or Questions About Your Electronic Transfers Telephone us at 1-800-669-3900 or Write us at P.O. Box 2209, Omaha, NE 68103, as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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Statement Reporting Period:
05/01/14 - 05/31/14

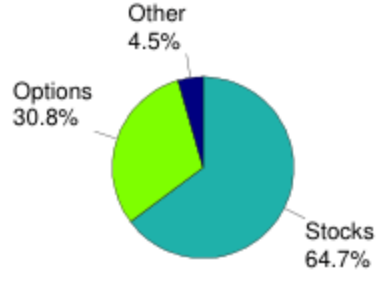
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Margin Loan	($40,921.55)	$ -	($40,921.55)	-	$ -	-
Insrd Dep Acct	-	-	-	-	-	-
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	2,714,051.10	-	2,714,051.10	-	800.00	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	1,289,444.13	-	1,289,444.13	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	146,600.00	-	146,600.00	-	-	-
Total	**$4,109,173.68**	**$ 0.00**	**$4,109,173.68**	**-**	**$800.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	(4,676,200.61)	(4,676,200.61)
Securities Sold	634,755.80	634,755.80
Funds Deposited	4,000,600.00	4,000,600.00
Funds Disbursed	-	-
Income	1.63	1.63
Expense	(82.78)	(82.78)
Other	4.41	4.41
Closing Balance	($40,921.55)	($40,921.55)

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	1.63	-	1.63
Other	-	-	-
Expense			
Interest	(82.78)	-	(82.78)
Fees	-	-	-
Other	-	-	-
Net	($81.15)	$0.00	($81.15)

Performance Summary

Cost Basis As Of - 05/31/14	**$4,087,645.57**
Unrealized Gains	371,182.26
Unrealized Losses	(308,732.60)
Funds Deposited/(Disbursed) YTD	4,000,600.00
Income/(Expense) YTD	(81.15)
Securities Received/(Delivered) YTD	0.00

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Statement for Account # 867-730320
05/01/14 - 05/31/14

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ 4,000,000.00	$ 4,000,000.00
Subtotal	4,000,000.00	4,000,000.00
TOTAL	**4,000,000.00**	4,000,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	$ 1.63	$ 1.63
Margin Interest Charged	(82.78)	(82.78)
IDA Interest	4.41	4.41

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
ACCESS PHARMA INC COM	ACCP	204,959	$ 0.425	$ 87,107.58	05/12/14	$ 96,280.32	$ 0.47	$ (9,172.74)	$ -	-
AOL INC COM	AOL	3,485	36.28	126,435.80	05/16/14	126,878.64	36.41	(442.84)	-	-
ATHERONOVA INC COM	AHRO	10,000	2.80	28,000.00	05/27/14	27,700.00	2.77	300.00	-	-
COWEN GROUP INC COM	COWN	53,500	4.13	220,955.00	05/13/14	218,649.35	4.09	2,305.65	-	-
ELEPHANT TALK COMMUNICATIONS COM	ETAK	162,000	0.91	147,420.00	05/12/14	165,873.67	1.02	(18,453.67)	-	-
EMRISE CORP COM	EMRI	4,495	0.88	3,955.60	05/15/14	3,774.41	0.84	181.19	-	-
GREEN PLAINS INC COM	GPRE	5,000	29.22	146,100.00	05/15/14	131,450.00	26.29	14,650.00	800.00	0.5%
HANWHA SOLARONE CO LTD ADS	HSOL	20,006	2.48	49,614.88	05/22/14	46,833.12	2.34	2,781.76	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
INTERCLOUD SYSTEMS INC COM	ICLD	16,900	5.73	96,837.00	05/15/14	81,024.01	4.79	15,812.99	-	-
LANTRONIX INC COM	LTRX	2,064	1.98	4,086.72	05/23/14	3,766.45	1.82	320.27	-	-
MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	55,000	3.61	198,550.00	05/13/14	200,070.54	3.64	(1,520.54)	-	-
NET 1 UEPS TECHNOLOGIES INC COM	UEPS	12,000	11.25	135,000.00	05/21/14	128,630.78	10.72	6,369.22	-	-
OCLARO INC COM	OCLR	100,000	2.12	212,000.00	05/12/14	179,522.71	1.80	32,477.29	-	-
RAINMAKER SYSTEMS INC COM	RMKR	290,687	0.2499	72,642.68	05/12/14	74,366.86	0.26	(1,724.18)	-	-
RELM WIRELESS CORP. COM	RWC	53,600	3.8399	205,818.64	05/13/14	174,392.78	3.25	31,425.86	-	-
RICEBRAN TECHNOLOGIES COM	RIBT	52,600	7.19	378,194.00	05/12/14	290,044.76	5.51	88,149.24	-	-
TRANSGENOMIC INC COM	TBIO	73,930	3.69	272,801.70	05/12/14	298,358.60	4.04	(25,556.90)	-	-
ZAZA ENERGY CORPORATION COM	ZAZA	315,000	0.7001	220,531.50	05/12/14	196,064.92	0.62	24,466.58	-	-
ZIOPHARM ONCOLOGY INC COM	ZIOP	30,000	3.60	108,000.00	05/14/14	98,020.40	3.27	9,979.60	-	-
Total Stocks				**$2,714,051.10**		**$2,541,702.32**		**$172,348.78**	**$800.00**	**0.0%**

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C	-	1,000	$ 0.8131	$81,311.00	05/12/14	$ 86,734.00	$ 0.87	$ (5,423.00)	$ -	-
ADVANCED MICRO DEVICES INC AMD Jun 21 14 3.5 C	-	500	0.5136	25,679.30	05/13/14	29,645.01	0.59	(3,965.71)	-	-
AEROPOSTALE INC ARO Jan 17 15 3.5 C	-	550	0.9294	51,117.06	05/13/14	88,815.70	1.61	(37,698.64)	-	-
AEROPOSTALE INC ARO Jul 19 14 3.0 C	-	250	0.9655	24,137.20	05/27/14	26,393.50	1.06	(2,256.30)	-	-
AOL INC AOL Jul 19 14 36.0 C	-	150	1.8934	28,401.66	05/23/14	36,517.11	2.43	(8,115.45)	-	-
APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C	-	150	2.1515	32,272.44	05/23/14	40,709.11	2.71	(8,436.67)	-	-
BEAZER HOMES USA INC BZH Jan 17 15 20.0 C	-	300	2.2629	67,886.19	05/13/14	72,422.20	2.41	(4,536.01)	-	-
BEST BUY CO INC BBY Jun 21 14 25.0 C	-	100	2.702	27,020.03	05/19/14	16,057.40	1.61	10,962.63	-	-
BON-TON STORES INC BONT Jul 19 14 9.0 P	-	350	0.3481	12,184.20	05/13/14	14,200.90	0.41	(2,016.70)	-	-
BUCKLE INC BKE Jun 21 14 46.3 C	-	200	0.4255	8,510.68	05/21/14	14,114.80	0.71	(5,604.12)	-	-
CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C	-	400	0.675	27,000.60	05/13/14	39,600.62	0.99	(12,600.02)	-	-
CORINTHIAN COLLEGES INC COCO Aug 16 14 1.0 C	-	500	0.075	3,750.10	05/13/14	11,012.00	0.22	(7,261.90)	-	-
CORINTHIAN COLLEGES INC COCO Aug 16 14 2.0 C	-	2,000	0.0021	426.40	05/13/14	11,148.00	0.06	(10,721.60)	-	-
CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C	-	2,097	0.15	31,455.84	05/13/14	62,658.68	0.30	(31,202.84)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
DRY SHIPS INCORPORATED DRYS Jan 17 15 3.0 C	-	750	0.5259	39,446.03	05/12/14	47,830.50	0.64	(8,384.47)	-	-
FLAMEL TECH FLML Dec 20 14 10.0 C	-	100	3.183	31,829.85	05/14/14	34,057.40	3.41	(2,227.55)	-	-
FUELCELL ENERGY INC FCEL Jul 19 14 2.5 C	-	275	0.2144	5,895.07	05/28/14	8,407.85	0.31	(2,512.78)	-	-
FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C	-	275	1.3737	37,777.33	05/13/14	35,057.85	1.27	2,719.48	-	-
FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C	-	350	0.9784	34,244.63	05/12/14	28,740.90	0.82	5,503.73	-	-
GREEN PLAINS INC GPRE Jun 21 14 30.0 C	-	200	1.0672	21,344.50	05/19/14	19,114.80	0.96	2,229.70	-	-
GREEN PLAINS INC GPRE Jul 19 14 25.0 C	-	150	4.8254	72,381.56	05/23/14	59,086.10	3.94	13,295.46	-	-
HANWHA SOLARONE CO LTD HSOL Sep 20 14 2.0 C	-	250	0.725	18,125.28	05/13/14	26,393.50	1.06	(8,268.22)	-	-
HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C	-	200	4.0228	80,456.96	05/13/14	79,114.80	3.96	1,342.16	-	-
ITT EDUCATIONAL SERVICES INC ESI Jun 21 14 25.0 C	-	100	0.0938	937.51	05/20/14	16,457.40	1.65	(15,519.89)	-	-
JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C	-	250	2.8687	71,716.58	05/14/14	54,835.51	2.19	16,881.07	-	-
MGIC INVT CORP WIS MTG Jan 17 15 8.0 C	-	500	1.3575	67,872.60	05/14/14	76,287.00	1.53	(8,414.40)	-	-
NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C	-	150	3.6195	54,292.32	05/22/14	51,036.10	3.40	3,256.22	-	-
NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 8.0 C	-	600	0.9779	58,674.36	05/15/14	78,344.40	1.31	(19,670.04)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C	-	250	1.502	37,549.53	05/12/14	27,663.50	1.11	9,886.03	-	-
RADNET INC. RDNT Sep 20 14 7.5 C	-	950	0.65	61,751.90	05/12/14	72,240.30	0.76	(10,488.40)	-	-
RADNET INC. RDNT Dec 20 14 5.0 C	-	470	2.0001	94,002.63	05/12/14	97,860.82	2.08	(3,858.19)	-	-
SEARS HOLDING CORP SHLD Jun 21 14 39.0 C	-	90	3.6736	33,062.02	05/19/14	22,336.66	2.48	10,725.36	-	-
SEARS HOLDING CORP SHLD Jul 19 14 38.0 C	-	50	5.0689	25,344.55	05/28/14	11,828.70	2.37	13,515.85	-	-
SONY CORP SNE Jun 21 14 18.0 C	-	300	0.0205	614.31	05/12/14	13,732.20	0.46	(13,117.89)	-	-
SONY CORP SNE Oct 18 14 17.0 C	-	275	0.7626	20,971.91	05/13/14	40,532.85	1.47	(19,560.94)	-	-
Total Options				**$1,289,444.13**		**$1,450,988.17**		**$(161,544.04)**	**$0.00**	**0.0%**
Other - Margin										
RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	73,300	$ 2.00	$ 146,600.00	05/12/14	$ 94,955.08	$ 1.30	$ 51,644.92	$ -	-
Total Other				**$146,600.00**		**$94,955.08**		**$51,644.92**	**$0.00**	**0.0%**
Total Margin Account				**$4,150,095.23**		**$4,087,645.57**		**$62,449.66**	**$800.00**	**0.0%**

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Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
CREDITS			
Electronic Transfer	05/12/2014	WIRE RECEIVED(INTL):42952771 - SOUTHERN	$ 4,000,000.00
Subtotal			4,000,000.00
TOTAL			**4,000,000.00**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
05/12/14	05/12/14	Margin	- Funds Deposited	WIRE RECEIVED(INTL):42952771 - SOUTHERN	-	-	$ 0.00	$ 4,000,000.00	4,000,000.00
05/12/14	05/12/14	Margin	Journal - Funds Deposited	Cash Award	-	-	0.00	100.00	4,000,100.00
05/12/14	05/12/14	Margin	Journal - Funds Deposited	Cash Award	-	-	0.00	200.00	4,000,300.00
05/12/14	05/12/14	Margin	Journal - Funds Deposited	Cash Award	-	-	0.00	300.00	4,000,600.00
05/12/14	05/13/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 600.00 Regulatory Fee 19.20	-	800	0.85	(68,619.20)	3,931,980.80
05/12/14	05/13/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 187.50 Regulatory Fee 6.00	-	250	0.85	(21,443.50)	3,910,537.30
05/12/14	05/13/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C TO OPEN Commission 150.00 Regulatory Fee 4.80	-	200	0.75	(15,154.80)	3,895,382.50
05/12/14	05/13/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 75.00 Regulatory Fee 2.40	-	100	0.80	(8,077.40)	3,887,305.10
05/12/14	05/13/14	Margin	Buy - Securities Purchased	SONY CORP SNE Jun 21 14 18.0 C TO OPEN Commission 150.00 Regulatory Fee 4.80	-	200	0.45	(9,154.80)	3,878,150.30

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/12/14	05/13/14	Margin	Buy - Securities Purchased	SONY CORP SNE Jun 21 14 18.0 C TO OPEN Commission 75.00 Regulatory Fee 2.40	-	100	0.45	(4,577.40)	3,873,572.90
05/12/14	05/13/14	Margin	Buy - Securities Purchased	DRY SHIPS INCORPORATED DRYS Jan 17 15 3.0 C TO OPEN Commission 562.50 Regulatory Fee 18.00	-	750	0.63	(47,830.50)	3,825,742.40
05/12/14	05/13/14	Margin	Buy - Securities Purchased	QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C TO OPEN Commission 75.00 Regulatory Fee 2.40	-	100	1.00	(10,077.40)	3,815,665.00
05/12/14	05/13/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Commission 14.25 Regulatory Fee 0.46	-	19	2.10	(4,004.71)	3,811,660.29
05/13/14	05/13/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,586,052.90)	225,607.39
05/13/14	05/14/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C TO OPEN Commission 93.75 Regulatory Fee 3.00	-	125	1.25	(15,721.75)	209,885.64
05/13/14	05/14/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jun 21 14 3.5 C TO OPEN Commission 375.00 Regulatory Fee 6.20	-	258	0.59	(15,603.20)	194,282.44
05/13/14	05/14/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jun 21 14 3.5 C TO OPEN Regulatory Fee 5.81	-	242	0.58	(14,041.81)	180,240.63
05/13/14	05/14/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Commission 60.75 Regulatory Fee 1.95	-	81	2.25	(18,287.70)	161,952.93
05/13/14	05/14/14	Margin	Buy - Securities Purchased	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO OPEN Commission 137.50 Regulatory Fee 6.00	-	250	0.95	(23,893.50)	138,059.43

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/13/14	05/14/14	Margin	Buy - Securities Purchased	HANWHA SOLARONE CO LTD HSOL Sep 20 14 2.0 C TO OPEN Commission 137.50 Regulatory Fee 6.00	-	250	1.05	(26,393.50)	111,665.93
05/13/14	05/14/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jan 17 15 3.5 C TO OPEN Commission 165.00 Regulatory Fee 7.20	-	300	1.75	(52,672.20)	58,993.73
05/13/14	05/14/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C TO OPEN Commission 68.75 Regulatory Fee 3.00	-	125	1.25	(15,696.75)	43,296.98
05/13/14	05/14/14	Margin	Buy - Securities Purchased	HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C TO OPEN Commission 110.00 Regulatory Fee 4.80	-	200	3.95	(79,114.80)	(35,817.82)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C TO OPEN Commission 550.00 Regulatory Fee 24.00	-	1,000	0.30	(30,574.00)	(66,391.82)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C TO OPEN Commission 275.00 Regulatory Fee 12.00	-	500	0.30	(15,287.00)	(81,678.82)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 82.50 Regulatory Fee 3.60	-	150	0.80	(12,086.10)	(93,764.92)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Commission 82.50 Regulatory Fee 3.60	-	150	2.20	(33,086.10)	(126,851.02)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	SONY CORP SNE Oct 18 14 17.0 C TO OPEN Commission 110.00 Regulatory Fee 4.80	-	200	1.70	(34,114.80)	(160,965.82)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/13/14	05/14/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 110.00 Regulatory Fee 4.80	-	200	0.90	(18,114.80)	(179,080.62)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jan 17 15 3.5 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	1.70	(8,528.70)	(187,609.32)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C TO OPEN Commission 82.50 Regulatory Fee 3.60	-	150	0.90	(13,586.10)	(201,195.42)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	1.05	(10,557.40)	(211,752.82)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	BON-TON STORES INC BONT Jul 19 14 9.0 P TO OPEN Commission 82.50 Regulatory Fee 3.60	-	150	0.40	(6,086.10)	(217,838.92)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	CORINTHIAN COLLEGES INC COCO Aug 16 14 2.0 C TO OPEN Commission 1100.00 Regulatory Fee 48.00	-	2,000	0.05	(11,148.00)	(228,986.92)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	BON-TON STORES INC BONT Jul 19 14 9.0 P TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	0.40	(4,057.40)	(233,044.32)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	CORINTHIAN COLLEGES INC COCO Aug 16 14 1.0 C TO OPEN Commission 79.75 Regulatory Fee 3.48	-	145	0.25	(3,708.23)	(236,752.55)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C TO OPEN Commission 275.00 Regulatory Fee 12.00	-	500	0.30	(15,287.00)	(252,039.55)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/13/14	05/14/14	Margin	Buy - Securities Purchased	BEAZER HOMES USA INC BZH Jan 17 15 20.0 C TO OPEN Commission 137.50 Regulatory Fee 6.00	-	250	2.50	(62,643.50)	(314,683.05)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	CORINTHIAN COLLEGES INC COCO Aug 16 14 1.0 C TO OPEN Commission 195.25 Regulatory Fee 8.52	-	355	0.20	(7,303.77)	(321,986.82)
05/13/14	05/14/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Commission 5.50 Regulatory Fee 0.24	-	10	2.05	(2,055.74)	(324,042.56)
05/14/14	05/14/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	1,030,449.05	706,406.49
05/12/14	05/15/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	20,000	4.00	(80,000.00)	626,406.49
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	48,799	1.08	(52,702.92)	573,703.57
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	1,201	1.079	(1,295.88)	572,407.69
05/12/14	05/15/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	48,300	1.20	(57,960.00)	514,447.69
05/12/14	05/15/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	1,700	1.19	(2,023.00)	512,424.69
05/12/14	05/15/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	480	5.10	(2,448.00)	509,976.69
05/12/14	05/15/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	3,020	5.0999	(15,401.70)	494,574.99
05/12/14	05/15/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	5,000	5.09	(25,450.00)	469,124.99
05/12/14	05/15/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	858	4.83	(4,144.14)	464,980.85
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	37,526	0.45	(16,886.70)	448,094.15
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	300	0.449	(134.70)	447,959.45

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	807	0.448	(361.54)	447,597.91
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	2,000	0.4472	(894.40)	446,703.51
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	600	0.4471	(268.26)	446,435.25
05/12/14	05/15/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	42,600	0.20	(8,520.00)	437,915.25
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	2,500	0.44	(1,100.00)	436,815.25
05/12/14	05/15/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	7,500	0.21	(1,575.00)	435,240.25
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	500	0.4598	(229.90)	435,010.35
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	200	0.4594	(91.88)	434,918.47
05/12/14	05/15/14	Margin	Buy - Securities Purchased	OCLARO INC COM	OCLR	5,543	1.75	(9,700.25)	425,218.22
05/12/14	05/15/14	Margin	Buy - Securities Purchased	OCLARO INC COM	OCLR	14,567	1.77	(25,783.59)	399,434.63
05/12/14	05/15/14	Margin	Buy - Securities Purchased	OCLARO INC COM	OCLR	500	1.765	(882.50)	398,552.13
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	1,254	0.47	(589.38)	397,962.75
05/12/14	05/15/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	115	0.4695	(53.99)	397,908.76
05/14/14	05/15/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	0.70	(7,057.40)	390,851.36
05/14/14	05/15/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO OPEN Commission 137.50 Regulatory Fee 3.60	-	150	2.20	(33,141.10)	357,710.26
05/14/14	05/15/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO OPEN Regulatory Fee 0.58	-	24	2.19	(5,256.58)	352,453.68

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/14/14	05/15/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO OPEN Regulatory Fee 0.58	-	24	2.18	(5,232.58)	347,221.10
05/14/14	05/15/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO OPEN Regulatory Fee 0.29	-	12	2.17	(2,604.29)	344,616.81
05/14/14	05/15/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO OPEN Regulatory Fee 0.96	-	40	2.15	(8,600.96)	336,015.85
05/14/14	05/15/14	Margin	Buy - Securities Purchased	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO OPEN Commission 82.50 Regulatory Fee 2.31	-	96	1.05	(10,164.81)	325,851.04
05/14/14	05/15/14	Margin	Buy - Securities Purchased	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO OPEN Regulatory Fee 0.27	-	11	1.04	(1,144.27)	324,706.77
05/14/14	05/15/14	Margin	Buy - Securities Purchased	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO OPEN Regulatory Fee 0.27	-	11	1.03	(1,133.27)	323,573.50
05/14/14	05/15/14	Margin	Buy - Securities Purchased	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO OPEN Regulatory Fee 0.77	-	32	1.02	(3,264.77)	320,308.73
05/14/14	05/15/14	Margin	Buy - Securities Purchased	MGIC INVT CORP WIS MTG Jan 17 15 8.0 C TO OPEN Commission 275.00 Regulatory Fee 12.00	-	500	1.52	(76,287.00)	244,021.73
05/14/14	05/15/14	Margin	Buy - Securities Purchased	FLAMEL TECH FLML Dec 20 14 10.0 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	3.40	(34,057.40)	209,964.33
05/14/14	05/15/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 41.25 Regulatory Fee 1.80	-	75	0.70	(5,293.05)	204,671.28
05/14/14	05/15/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Commission 5.50 Regulatory Fee 0.24	-	10	1.95	(1,955.74)	202,715.54

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/15/14	05/15/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	447,957.13	650,672.67
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	2,500	5.0999	(12,749.75)	637,922.92
05/13/14	05/16/14	Margin	Buy - Securities Purchased	OCLARO INC COM	OCLR	2,500	1.7599	(4,399.75)	633,523.17
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	25,000	1.09	(27,250.00)	606,273.17
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	3,500	5.1799	(18,129.65)	588,143.52
05/13/14	05/16/14	Margin	Buy - Securities Purchased	OCLARO INC COM	OCLR	15,000	1.78	(26,700.00)	561,443.52
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	25,000	3.25	(81,250.00)	480,193.52
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	12,000	0.50	(6,000.00)	474,193.52
05/13/14	05/16/14	Margin	Buy - Securities Purchased	OCLARO INC COM	OCLR	25,000	1.76	(44,000.00)	430,193.52
05/13/14	05/16/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	4,000	4.38	(17,520.00)	412,673.52
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	15,000	3.20	(48,000.00)	364,673.52
05/13/14	05/16/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	4,834	4.30	(20,786.20)	343,887.32
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	19,027	0.63	(11,987.01)	331,900.31
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	200	0.6298	(125.96)	331,774.35
05/13/14	05/16/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COM	COWN	24,000	4.17	(100,080.00)	231,694.35
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	25,000	1.07	(26,750.00)	204,944.35
05/13/14	05/16/14	Margin	Buy - Securities Purchased	MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	24,800	3.61	(89,528.00)	115,416.35

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/13/14	05/16/14	Margin	Buy - Securities Purchased	MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	200	3.605	(721.00)	114,695.35
05/13/14	05/16/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	7,000	4.10	(28,700.00)	85,995.35
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	24,000	0.645	(15,480.00)	70,515.35
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	200	0.6444	(128.88)	70,386.47
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	700	0.6429	(450.03)	69,936.44
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	100	0.6412	(64.12)	69,872.32
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	32,360	0.255	(8,251.80)	61,620.52
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	10,000	0.68	(6,800.00)	54,820.52
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	3,900	0.6794	(2,649.66)	52,170.86
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	500	0.678	(339.00)	51,831.86
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	2,000	0.6775	(1,355.00)	50,476.86
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	100	0.6772	(67.72)	50,409.14
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	1,500	0.675	(1,012.50)	49,396.64
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	3,300	1.30	(4,290.00)	45,106.64
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	200	1.289	(257.80)	44,848.84
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	1,500	1.28	(1,920.00)	42,928.84
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	15,000	0.688	(10,320.00)	32,608.84

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	512	5.659	(2,897.41)	29,711.43
05/13/14	05/16/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	9,265	4.05	(37,523.25)	(7,811.82)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	1,702	0.49	(833.98)	(8,645.80)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	1,000	0.4898	(489.80)	(9,135.60)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	500	0.4896	(244.80)	(9,380.40)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	3,600	5.10	(18,360.00)	(27,740.40)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	700	5.099	(3,569.30)	(31,309.70)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	166	4.1999	(697.18)	(32,006.88)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,690	5.10	(8,619.00)	(40,625.88)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	11,859	0.48	(5,692.32)	(46,318.20)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	9,700	0.53	(5,141.00)	(51,459.20)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	998	0.5299	(528.84)	(51,988.04)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	2,500	0.239	(597.50)	(52,585.54)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	9,850	5.14	(50,629.00)	(103,214.54)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	10,600	0.57	(6,042.00)	(109,256.54)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	300	0.5699	(170.97)	(109,427.51)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	1,800	0.5688	(1,023.84)	(110,451.35)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	7,900	0.5687	(4,492.73)	(114,944.08)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	493	0.60	(295.80)	(115,239.88)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	2,800	0.5999	(1,679.72)	(116,919.60)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	182	0.5997	(109.15)	(117,028.75)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	3,298	0.599	(1,975.50)	(119,004.25)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	200	3.18	(636.00)	(119,640.25)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	3,540	0.25	(885.00)	(120,525.25)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	4,200	0.2499	(1,049.58)	(121,574.83)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	4,900	0.2497	(1,223.53)	(122,798.36)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	1,000	1.25	(1,250.00)	(124,048.36)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	13,795	0.664	(9,159.88)	(133,208.24)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	300	0.6637	(199.11)	(133,407.35)
05/13/14	05/16/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	29,640	0.255	(7,558.20)	(140,965.55)
05/15/14	05/16/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 8.0 C TO OPEN Commission 330.00 Regulatory Fee 14.40	-	600	1.30	(78,344.40)	(219,309.95)
05/15/14	05/16/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Commission 55.00 Regulatory Fee 0.89	-	37	1.95	(7,270.89)	(226,580.84)
05/15/14	05/16/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Regulatory Fee 0.80	-	33	1.94	(6,402.80)	(232,983.64)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/15/14	05/16/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Regulatory Fee 0.08	-	3	1.93	(579.08)	(233,562.72)
05/15/14	05/16/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Regulatory Fee 0.08	-	3	1.92	(576.08)	(234,138.80)
05/15/14	05/16/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Regulatory Fee 0.58	-	24	1.91	(4,584.58)	(238,723.38)
05/15/14	05/16/14	Margin	Buy - Securities Purchased	BUCKLE INC BKE Jun 21 14 46.3 P TO OPEN Commission 50.05 Regulatory Fee 2.19	-	91	1.20	(10,972.24)	(249,695.62)
05/15/14	05/16/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 82.50 Regulatory Fee 3.60	-	150	0.65	(9,836.10)	(259,531.72)
05/15/14	05/16/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	1.90	(19,057.40)	(278,589.12)
05/15/14	05/16/14	Margin	Buy - Securities Purchased	BEAZER HOMES USA INC BZH Jan 17 15 20.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	1.95	(9,778.70)	(288,367.82)
05/15/14	05/16/14	Margin	Buy - Securities Purchased	BUCKLE INC BKE Jun 21 14 46.3 P TO OPEN Commission 59.95 Regulatory Fee 2.62	-	109	1.15	(12,597.57)	(300,965.39)
05/16/14	05/16/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	438,512.28	137,546.89
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,500	5.95	(8,925.00)	128,621.89
05/14/14	05/19/14	Margin	Buy - Securities Purchased	OCLARO INC COM	OCLR	6,890	1.7499	(12,056.81)	116,565.08
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	20,000	0.49	(9,800.00)	106,765.08

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/14/14	05/19/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COM	COWN	6,000	4.11	(24,660.00)	82,105.08
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,000	5.899	(5,899.00)	76,206.08
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	1,800	0.75	(1,350.00)	74,856.08
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,500	5.85	(8,775.00)	66,081.08
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	2,500	1.30	(3,250.00)	62,831.08
05/14/14	05/19/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	1,000	4.14	(4,140.00)	58,691.08
05/14/14	05/19/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COM	COWN	5,000	4.0899	(20,449.50)	38,241.58
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	7,000	0.26	(1,820.00)	36,421.58
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	7,600	0.6901	(5,244.68)	31,176.90
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	1,400	0.6901	(966.07)	30,210.83
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	25,000	0.475	(11,875.00)	18,335.83
05/14/14	05/19/14	Margin	Buy - Securities Purchased	MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	9,100	3.6899	(33,578.09)	(15,242.26)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	30,000	0.47	(14,100.00)	(29,342.26)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	100	3.25	(325.00)	(29,667.26)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	1,700	3.249	(5,523.30)	(35,190.56)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	19,401	3.23	(62,665.23)	(97,855.79)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	300	3.229	(968.70)	(98,824.49)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	99	3.227	(319.47)	(99,143.96)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	200	3.225	(645.00)	(99,788.96)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COM	COWN	5,000	4.09	(20,450.00)	(120,238.96)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	10,000	0.651	(6,510.00)	(126,748.96)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	12,000	0.65	(7,800.00)	(134,548.96)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	30,000	0.466	(13,980.00)	(148,528.96)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	650	5.85	(3,802.50)	(152,331.46)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	8,900	3.73	(33,197.00)	(185,528.46)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	5,400	3.64	(19,656.00)	(205,184.46)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	500	3.6399	(1,819.95)	(207,004.41)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	10,000	0.615	(6,150.00)	(213,154.41)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	5,000	3.1699	(15,849.50)	(229,003.91)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,200	5.81	(6,972.00)	(235,975.91)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	3,975	0.54	(2,146.50)	(238,122.41)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	4,200	1.20	(5,040.00)	(243,162.41)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	20,000	0.47	(9,400.00)	(252,562.41)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	850	5.79	(4,921.50)	(257,483.91)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/14/14	05/19/14	Margin	Buy - Securities Purchased	MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	1,100	3.61	(3,971.00)	(261,454.91)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	3,000	0.26	(780.00)	(262,234.91)
05/14/14	05/19/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	900	3.23	(2,907.00)	(265,141.91)
05/16/14	05/19/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 41.25 Regulatory Fee 1.80	-	75	0.65	(4,918.05)	(270,059.96)
05/19/14	05/19/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	270,059.96	0.00
05/15/14	05/20/14	Margin	Buy - Securities Purchased	INTERCLOUD SYSTEMS INC COM	ICLD	6,500	4.87	(31,655.00)	(31,655.00)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	INTERCLOUD SYSTEMS INC COM	ICLD	1,000	4.85	(4,850.00)	(36,505.00)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	5,000	3.5199	(17,599.50)	(54,104.50)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	OCLARO INC COM	OCLR	10,000	1.86	(18,600.00)	(72,704.50)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COM	COWN	4,500	3.98	(17,910.00)	(90,614.50)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	INTERCLOUD SYSTEMS INC COM	ICLD	3,400	4.6299	(15,741.66)	(106,356.16)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COM	COWN	4,500	3.92	(17,640.00)	(123,996.16)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC COM	GPRE	6,544	26.21	(171,518.24)	(295,514.40)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC COM	GPRE	956	26.1899	(25,037.54)	(320,551.94)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC COM	GPRE	2,500	26.07	(65,175.00)	(385,726.94)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	8,038	0.6229	(5,006.86)	(390,733.80)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/15/14	05/20/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	25,000	0.466	(11,650.00)	(402,383.80)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	2,500	4.00	(10,000.00)	(412,383.80)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	2,000	3.9999	(7,999.80)	(420,383.60)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	1,500	3.2299	(4,844.85)	(425,228.45)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COM	COWN	3,000	3.87	(11,610.00)	(436,838.45)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC COM	GPRE	1,200	25.90	(31,080.00)	(467,918.45)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	4,900	0.6649	(3,258.01)	(471,176.46)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	100	0.6648	(66.48)	(471,242.94)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	INTERCLOUD SYSTEMS INC COM	ICLD	3,500	4.7999	(16,799.65)	(488,042.59)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,200	5.3199	(6,383.88)	(494,426.47)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	1,100	4.01	(4,411.00)	(498,837.47)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	2,500	3.21	(8,025.00)	(506,862.47)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	INTERCLOUD SYSTEMS INC COM	ICLD	1,200	4.8499	(5,819.88)	(512,682.35)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	200	4.1799	(835.98)	(513,518.33)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	4,390	0.6563	(2,881.11)	(516,399.44)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	INTERCLOUD SYSTEMS INC COM	ICLD	282	4.44	(1,252.08)	(517,651.52)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	600	3.16	(1,896.00)	(519,547.52)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	2,500	0.26	(650.00)	(520,197.52)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/15/14	05/20/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	347	3.97	(1,377.59)	(521,575.11)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	EMRISE CORP COM	EMRI	240	0.83	(199.20)	(521,774.31)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	10,200	0.44	(4,488.00)	(526,262.31)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	30,700	0.28	(8,596.00)	(534,858.31)
05/15/14	05/20/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	3,000	0.45	(1,350.00)	(536,208.31)
05/19/14	05/20/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Jun 21 14 30.0 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	1.00	(10,057.40)	(546,265.71)
05/19/14	05/20/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Jun 21 14 30.0 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	0.90	(9,057.40)	(555,323.11)
05/19/14	05/20/14	Margin	Buy - Securities Purchased	BEST BUY CO INC BBY Jun 21 14 25.0 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	1.60	(16,057.40)	(571,380.51)
05/19/14	05/20/14	Margin	Buy - Securities Purchased	SEARS HOLDING CORP SHLD Jun 21 14 39.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	3.25	(16,278.70)	(587,659.21)
05/19/14	05/20/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jan 17 15 3.5 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	1.35	(13,557.40)	(601,216.61)
05/19/14	05/20/14	Margin	Buy - Securities Purchased	BON-TON STORES INC BONT Jul 19 14 9.0 P TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	0.40	(4,057.40)	(605,274.01)
05/19/14	05/20/14	Margin	Buy - Securities Purchased	BUCKLE INC BKE Jun 21 14 46.3 P TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	0.95	(4,778.70)	(610,052.71)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/20/14	05/20/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	610,052.71	0.00
05/16/14	05/21/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC COM	GPRE	1,800	25.85	(46,530.00)	(46,530.00)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,390	5.67	(7,881.30)	(54,411.30)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	AOL INC COM	AOL	1,880	36.58	(68,770.40)	(123,181.70)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	AOL INC COM	AOL	1,820	36.5799	(66,575.42)	(189,757.12)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	AOL INC COM	AOL	1,700	36.5749	(62,177.33)	(251,934.45)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	AOL INC COM	AOL	1,200	36.5699	(43,883.88)	(295,818.33)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	AOL INC COM	AOL	900	36.56	(32,904.00)	(328,722.33)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	AOL INC COM	AOL	1,500	36.02	(54,030.00)	(382,752.33)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	20,000	0.31	(6,200.00)	(388,952.33)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	12,000	0.682	(8,184.00)	(397,136.33)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	3,588	3.95	(14,172.60)	(411,308.93)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	1,300	1.3996	(1,819.48)	(413,128.41)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	15,000	0.31	(4,650.00)	(417,778.41)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,500	6.15	(9,225.00)	(427,003.41)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	2,500	3.999	(9,997.50)	(437,000.91)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,000	6.09	(6,090.00)	(443,090.91)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/16/14	05/21/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	10,000	1.01	(10,100.00)	(453,190.91)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,500	5.98	(8,970.00)	(462,160.91)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	EMRISE CORP COM	EMRI	3,287	0.83	(2,728.21)	(464,889.12)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	300	3.29	(987.00)	(465,876.12)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	1,100	3.289	(3,617.90)	(469,494.02)
05/16/14	05/21/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	3,700	3.98	(14,726.00)	(484,220.02)
05/20/14	05/21/14	Margin	Buy - Securities Purchased	ITT EDUCATIONAL SERVICES INC ESI Jun 21 14 25.0 C TO OPEN Commission 41.25 Regulatory Fee 1.80	-	75	2.00	(15,043.05)	(499,263.07)
05/20/14	05/21/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jan 17 15 3.5 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	1.45	(7,278.70)	(506,541.77)
05/21/14	05/21/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	506,541.77	0.00
05/19/14	05/22/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	2,000	1.8399	(3,679.80)	(3,679.80)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	INTERCLOUD SYSTEMS INC COM	ICLD	1,018	4.819	(4,905.74)	(8,585.54)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COM	COWN	1,500	3.8999	(5,849.85)	(14,435.39)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC COM	GPRE	1,000	27.77	(27,770.00)	(42,205.39)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	8,000	0.735	(5,880.00)	(48,085.39)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	3,500	3.96	(13,860.00)	(61,945.39)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	8,000	0.732	(5,856.00)	(67,801.39)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/19/14	05/22/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	10,000	0.981	(9,810.00)	(77,611.39)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	1,600	3.48	(5,568.00)	(83,179.39)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	15,000	0.445	(6,675.00)	(89,854.39)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	AOL INC COM	AOL	300	36.839	(11,051.70)	(100,906.09)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	AOL INC COM	AOL	700	36.835	(25,784.50)	(126,690.59)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	600	6.8996	(4,139.76)	(130,830.35)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	OCLARO INC COM	OCLR	8,100	1.89	(15,309.00)	(146,139.35)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	OCLARO INC COM	OCLR	1,900	1.8899	(3,590.81)	(149,730.16)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	28,600	0.27	(7,722.00)	(157,452.16)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	100	6.58	(658.00)	(158,110.16)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	2,400	1.89	(4,536.00)	(162,646.16)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	1,000	3.85	(3,850.00)	(166,496.16)
05/19/14	05/22/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	100	3.91	(391.00)	(166,887.16)
05/21/14	05/22/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	0.70	(3,528.70)	(170,415.86)
05/21/14	05/22/14	Margin	Buy - Securities Purchased	BUCKLE INC BKE Jun 21 14 46.3 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	0.75	(7,557.40)	(177,973.26)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/21/14	05/22/14	Margin	Sell - Securities Sold	BUCKLE INC BKE Jun 21 14 46.3 P TO CLOSE Commission 27.50 Regulatory Fee 0.12	-	4-	2.23	864.38	(177,108.88)
05/21/14	05/22/14	Margin	Sell - Securities Sold	BUCKLE INC BKE Jun 21 14 46.3 P TO CLOSE Regulatory Fee 0.04	-	1-	2.22	221.96	(176,886.92)
05/21/14	05/22/14	Margin	Sell - Securities Sold	BUCKLE INC BKE Jun 21 14 46.3 P TO CLOSE Regulatory Fee 1.30	-	45-	2.21	9,943.70	(166,943.22)
05/21/14	05/22/14	Margin	Buy - Securities Purchased	SEARS HOLDING CORP SHLD Jun 21 14 39.0 C TO OPEN Commission 8.25 Regulatory Fee 0.36	-	15	1.84	(2,768.61)	(169,711.83)
05/21/14	05/22/14	Margin	Buy - Securities Purchased	QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	1.40	(7,028.70)	(176,740.53)
05/21/14	05/22/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jan 17 15 3.5 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	1.35	(6,778.70)	(183,519.23)
05/22/14	05/22/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	183,519.23	0.00
05/20/14	05/23/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	19,000	0.6801	(12,921.90)	(12,921.90)
05/20/14	05/23/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	15,000	0.23	(3,450.00)	(16,371.90)
05/20/14	05/23/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	1,445	3.87	(5,592.15)	(21,964.05)
05/22/14	05/23/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO OPEN Commission 41.25 Regulatory Fee 1.80	-	75	3.30	(24,793.05)	(46,757.10)
05/22/14	05/23/14	Margin	Sell - Securities Sold	BUCKLE INC BKE Jun 21 14 46.3 P TO CLOSE Commission 27.50 Regulatory Fee 0.15	-	5-	1.98	962.35	(45,794.75)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/22/14	05/23/14	Margin	Sell - Securities Sold	BUCKLE INC BKE Jun 21 14 46.3 P TO CLOSE Regulatory Fee 0.04	-	1-	1.96	195.96	(45,598.79)
05/22/14	05/23/14	Margin	Sell - Securities Sold	BUCKLE INC BKE Jun 21 14 46.3 P TO CLOSE Regulatory Fee 1.25	-	44-	1.95	8,578.75	(37,020.04)
05/22/14	05/23/14	Margin	Buy - Securities Purchased	ITT EDUCATIONAL SERVICES INC ESI Jun 21 14 25.0 C TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	0.56	(1,414.35)	(38,434.39)
05/22/14	05/23/14	Margin	Sell - Securities Sold	BUCKLE INC BKE Jun 21 14 46.3 P TO CLOSE Commission 27.50 Regulatory Fee 1.36	-	50-	1.40	6,971.14	(31,463.25)
05/22/14	05/23/14	Margin	Buy - Securities Purchased	SONY CORP SNE Oct 18 14 17.0 C TO OPEN Commission 41.25 Regulatory Fee 1.80	-	75	0.85	(6,418.05)	(37,881.30)
05/22/14	05/23/14	Margin	Sell - Securities Sold	BUCKLE INC BKE Jun 21 14 46.3 P TO CLOSE Commission 27.50 Regulatory Fee 1.36	-	50-	1.40	6,971.14	(30,910.16)
05/23/14	05/23/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	30,910.16	0.00
05/21/14	05/27/14	Margin	Buy - Securities Purchased	OCLARO INC COM	OCLR	10,000	1.85	(18,500.00)	(18,500.00)
05/21/14	05/27/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,300	6.55	(8,515.00)	(27,015.00)
05/21/14	05/27/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	1,600	1.80	(2,880.00)	(29,895.00)
05/21/14	05/27/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	12,900	0.685	(8,836.50)	(38,731.50)
05/21/14	05/27/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.07	GPRE	100-	27.781	2,778.03	(35,953.47)
05/21/14	05/27/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.56	GPRE	900-	27.78	25,001.44	(10,952.03)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/21/14	05/27/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.62	GPRE	1,000-	27.831	27,830.38	16,878.35
05/21/14	05/27/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.62	GPRE	1,000-	27.91	27,909.38	44,787.73
05/21/14	05/27/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	4,500	0.93	(4,184.96)	40,602.77
05/21/14	05/27/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	4,500	0.9307	(4,187.93)	36,414.84
05/21/14	05/27/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.62	GPRE	1,000-	27.9501	27,949.48	64,364.32
05/21/14	05/27/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,200	6.41	(7,692.00)	56,672.32
05/21/14	05/27/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,300	6.40	(8,320.00)	48,352.32
05/21/14	05/27/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.50	GPRE	800-	28.021	22,416.30	70,768.62
05/21/14	05/27/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.13	GPRE	200-	28.02	5,603.87	76,372.49
05/21/14	05/27/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,200	6.1999	(7,439.88)	68,932.61
05/21/14	05/27/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	2,000	10.47	(20,940.00)	47,992.61
05/21/14	05/27/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	300	10.4699	(3,140.97)	44,851.64
05/21/14	05/27/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	400	0.425	(170.00)	44,681.64
05/21/14	05/27/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	317	0.89	(282.13)	44,399.51
05/21/14	05/27/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	3,650	0.26	(949.00)	43,450.51

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/21/14	05/27/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	470	3.48	(1,635.60)	41,814.91
05/21/14	05/27/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	2,300	10.42	(23,966.00)	17,848.91
05/21/14	05/27/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	600	10.419	(6,251.40)	11,597.51
05/21/14	05/27/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	400	0.927	(370.80)	11,226.71
05/21/14	05/27/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	200	6.15	(1,230.00)	9,996.71
05/23/14	05/27/14	Margin	Sell - Securities Sold	BUCKLE INC BKE Jun 21 14 46.3 P TO CLOSE Commission 27.50 Regulatory Fee 1.38	-	50-	1.60	7,971.12	17,967.83
05/23/14	05/27/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	3.40	(8,514.35)	9,453.48
05/23/14	05/27/14	Margin	Buy - Securities Purchased	BUCKLE INC BKE Jun 21 14 46.3 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	0.65	(6,557.40)	2,896.08
05/23/14	05/27/14	Margin	Buy - Securities Purchased	AOL INC AOL Jul 19 14 36.0 C TO OPEN Commission 82.50 Regulatory Fee 3.15	-	131	2.43	(31,918.65)	(29,022.57)
05/23/14	05/27/14	Margin	Buy - Securities Purchased	AOL INC AOL Jul 19 14 36.0 C TO OPEN Regulatory Fee 0.46	-	19	2.42	(4,598.46)	(33,621.03)
05/23/14	05/27/14	Margin	Buy - Securities Purchased	SEARS HOLDING CORP SHLD Jun 21 14 39.0 C TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	1.31	(3,289.35)	(36,910.38)
05/23/14	05/27/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	4.00	(40,057.40)	(76,967.78)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/23/14	05/27/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO OPEN Commission 82.50 Regulatory Fee 3.20	-	133	2.71	(36,128.70)	(113,096.48)
05/23/14	05/27/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO OPEN Regulatory Fee 0.17	-	7	2.70	(1,890.17)	(114,986.65)
05/23/14	05/27/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO OPEN Regulatory Fee 0.24	-	10	2.69	(2,690.24)	(117,676.89)
05/23/14	05/27/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO OPEN Commission 11.00 Regulatory Fee 0.48	-	20	3.60	(7,211.48)	(124,888.37)
05/23/14	05/27/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO OPEN Commission 16.50 Regulatory Fee 0.72	-	30	3.50	(10,517.22)	(135,405.59)
05/27/14	05/27/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	68,050.61	(67,354.98)
05/27/14	05/27/14	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC Interest: Insured Deposit Account Payable: 05/31/2014 Insured Deposit Accoun 0.71	MMDA1	-	0.00	4.41	(67,350.57)
05/22/14	05/28/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	1,500	3.73	(5,595.00)	(72,945.57)
05/22/14	05/28/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	1,000	3.45	(3,450.00)	(76,395.57)
05/22/14	05/28/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	30	3.44	(103.20)	(76,498.77)
05/22/14	05/28/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	1,500	10.6896	(16,034.40)	(92,533.17)
05/22/14	05/28/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	1,000	1.57	(1,570.00)	(94,103.17)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/22/14	05/28/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	11,000	0.9206	(10,126.60)	(104,229.77)
05/22/14	05/28/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	24,997	0.25	(6,249.25)	(110,479.02)
05/22/14	05/28/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	200	10.62	(2,124.00)	(112,603.02)
05/22/14	05/28/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	106	10.6199	(1,125.71)	(113,728.73)
05/22/14	05/28/14	Margin	Buy - Securities Purchased	HANWHA SOLARONE CO LTD ADS	HSOL	14,106	2.36	(33,290.16)	(147,018.89)
05/22/14	05/28/14	Margin	Buy - Securities Purchased	HANWHA SOLARONE CO LTD ADS	HSOL	500	2.355	(1,177.50)	(148,196.39)
05/27/14	05/28/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jul 19 14 3.0 C TO OPEN Commission 137.50 Regulatory Fee 6.00	-	250	1.05	(26,393.50)	(174,589.89)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	2,500	3.449	(8,622.50)	(183,212.39)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	2,500	3.58	(8,950.00)	(192,162.39)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	1,200	1.78	(2,136.00)	(194,298.39)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	700	3.80	(2,660.00)	(196,958.39)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	200	3.919	(783.80)	(197,742.19)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	994	11.0496	(10,983.30)	(208,725.49)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	1,000	11.02	(11,020.00)	(219,745.49)
05/23/14	05/29/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.82	AOL	1,000-	36.961	36,960.18	(182,785.31)
05/23/14	05/29/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.58	AOL	700-	36.981	25,886.12	(156,899.19)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/23/14	05/29/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.25	AOL	300-	36.98	11,093.75	(145,805.44)
05/23/14	05/29/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.82	AOL	1,000-	37.0501	37,049.28	(108,756.16)
05/23/14	05/29/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.01	AOL	9-	37.17	334.52	(108,421.64)
05/23/14	05/29/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.09	AOL	100-	37.163	3,716.21	(104,705.43)
05/23/14	05/29/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.74	AOL	891-	37.161	33,109.71	(71,595.72)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	1,500	10.92	(16,380.00)	(87,975.72)
05/23/14	05/29/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.62	GPRE	1,000-	28.0004	27,999.78	(59,975.94)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	1,500	3.9199	(5,879.85)	(65,855.79)
05/23/14	05/29/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.62	GPRE	1,000-	28.021	28,020.38	(37,835.41)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	1,052	3.5299	(3,713.45)	(41,548.86)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	11,853	0.69	(8,178.57)	(49,727.43)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	800	0.689	(551.20)	(50,278.63)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	624	0.6888	(429.81)	(50,708.44)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	4	0.6887	(2.75)	(50,711.19)
05/23/14	05/29/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.07	GPRE	100-	28.10	2,809.93	(47,901.26)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/23/14	05/29/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.56	GPRE	900-	28.091	25,281.34	(22,619.92)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	HANWHA SOLARONE CO LTD ADS	HSOL	5,400	2.2899	(12,365.46)	(34,985.38)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	1,500	11.11	(16,665.00)	(51,650.38)
05/23/14	05/29/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.44	GPRE	700-	28.205	19,743.06	(31,907.32)
05/23/14	05/29/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.19	GPRE	300-	28.2004	8,459.93	(23,447.39)
05/23/14	05/29/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.83	AOL	1,000-	37.1501	37,149.27	13,701.88
05/23/14	05/29/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC COM	ARO	10,000	3.45	(34,500.00)	(20,798.12)
05/23/14	05/29/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.02	AOL	15-	37.1501	557.23	(20,240.89)
05/23/14	05/29/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.09	AOL	100-	37.155	3,715.41	(16,525.48)
05/23/14	05/29/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 1.15	AOL	1,400-	37.15	52,008.85	35,483.37
05/23/14	05/29/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC COM	ARO	14,515	3.45	(50,076.75)	(14,593.38)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC COM	ARO	400	3.445	(1,378.00)	(15,971.38)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC COM	ARO	85	3.4401	(292.41)	(16,263.79)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	204	0.6786	(138.43)	(16,402.22)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	200	0.679	(135.80)	(16,538.02)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/23/14	05/29/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	103	0.6788	(69.92)	(16,607.94)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	1,212	0.6787	(822.58)	(17,430.52)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	EMRISE CORP COM	EMRI	968	0.875	(847.00)	(18,277.52)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	400	3.51	(1,404.00)	(19,681.52)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	48	3.5099	(168.48)	(19,850.00)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	1,174	1.81	(2,124.94)	(21,974.94)
05/23/14	05/29/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	585	3.82	(2,234.70)	(24,209.64)
05/28/14	05/29/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	3.80	(19,028.70)	(43,238.34)
05/28/14	05/29/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	1.45	(3,639.35)	(46,877.69)
05/28/14	05/29/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Jul 19 14 2.5 C TO OPEN Commission 110.00 Regulatory Fee 4.80	-	200	0.30	(6,114.80)	(52,992.49)
05/28/14	05/29/14	Margin	Buy - Securities Purchased	SEARS HOLDING CORP SHLD Jul 19 14 38.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	2.36	(11,828.70)	(64,821.19)
05/28/14	05/29/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Jul 19 14 2.5 C TO OPEN Commission 41.25 Regulatory Fee 1.80	-	75	0.30	(2,293.05)	(67,114.24)
05/28/14	05/29/14	Margin	Buy - Securities Purchased	CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C TO OPEN Commission 53.35 Regulatory Fee 2.33	-	97	0.15	(1,510.68)	(68,624.92)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/27/14	05/30/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	1,100	6.89	(7,579.00)	(76,203.92)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	500	7.02	(3,510.00)	(79,713.92)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	5,400	0.8898	(4,804.92)	(84,518.84)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	11	0.8893	(9.78)	(84,528.62)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	89	0.8856	(78.82)	(84,607.44)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	3,783	0.8879	(3,358.93)	(87,966.37)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	1,100	2.13	(2,343.00)	(90,309.37)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	ATHERONOVA INC COM	AHRO	10,000	2.77	(27,700.00)	(118,009.37)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	12,000	0.88	(10,560.00)	(128,569.37)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	13,000	0.28	(3,640.00)	(132,209.37)
05/27/14	05/30/14	Margin	Sell - Securities Sold	AEROPOSTALE INC COM Regulatory Fee 0.01	ARO	111-	3.945	437.89	(131,771.48)
05/27/14	05/30/14	Margin	Sell - Securities Sold	AEROPOSTALE INC COM Regulatory Fee 0.02	ARO	200-	3.941	788.18	(130,983.30)
05/27/14	05/30/14	Margin	Sell - Securities Sold	AEROPOSTALE INC COM Regulatory Fee 1.59	ARO	18,259-	3.94	71,938.87	(59,044.43)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	100	7.1799	(717.99)	(59,762.42)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	690	1.8399	(1,269.53)	(61,031.95)

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Statement for Account # 867-730320
05/01/14 - 05/31/14

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/27/14	05/30/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	200	1.8599	(371.98)	(61,403.93)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	1,100	3.85	(4,235.00)	(65,638.93)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	100	3.38	(338.00)	(65,976.93)
05/27/14	05/30/14	Margin	Sell - Securities Sold	AEROPOSTALE INC COM Regulatory Fee 0.57	ARO	6,430-	3.97	25,526.53	(40,450.40)
05/27/14	05/30/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	100	3.90	(390.00)	(40,840.40)
05/30/14	05/30/14	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 05/30/2014	-	-	0.00	(82.78)	(40,923.18)
05/30/14	05/30/14	Margin	Div/Int - Income	INTEREST CREDIT Payable: 05/30/2014	-	-	0.00	1.63	(40,921.55)
Closing Balance									**($40,921.55)**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
05/12/14	$ -	$ 4,000,600.00	1	0.01	$ -	$ 1.09
05/13/14	-	225,607.39	1	0.01	-	0.06
05/14/14	-	706,406.49	1	0.01	-	0.19
05/15/14	-	650,672.67	1	0.01	-	0.18
05/16/14	-	137,546.89	3	0.01	-	0.11
05/27/14	(67,350.57)	-	1	7.50	14.03	-
05/28/14	(174,589.89)	-	1	7.25	35.16	-
05/29/14	(68,624.92)	-	1	7.50	14.30	-
05/30/14	(40,840.40)	-	2	8.50	19.29	-
Total Interest Income/(Expense)					**$82.78**	**$1.63**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
05/13/14	$ 3,586,052.90	1	0.0100	$ 0.98	$ 0.98	$ -
05/14/14	2,555,603.85	1	0.0100	0.70	1.68	-
05/15/14	2,107,646.72	1	0.0100	0.58	2.26	-

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Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
05/16/14	1,669,134.44	3	0.0100	1.37	3.63	-
05/19/14	1,399,074.48	1	0.0100	0.39	4.02	-
05/20/14	789,021.77	1	0.0100	0.21	4.23	-
05/21/14	282,480.00	1	0.0100	0.08	4.31	4.31
05/22/14	98,960.77	1	0.0100	0.03	0.03	-
05/23/14	68,050.61	4	0.0100	0.07	0.10	0.10
Total Interest Income						**$4.41**

Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	TRANSGENOMIC INC COM	Margin	TBIO	470	$ 3.979	05/28/14	06/02/14	$ (1,870.13)
BUY	GREEN PLAINS INC COM	Margin	GPRE	1,000	27.72	05/28/14	06/02/14	(27,720.00)
BUY	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	Margin	RIBTW	1,000	2.089	05/28/14	06/02/14	(2,089.00)
BUY	GREEN PLAINS INC COM	Margin	GPRE	1,000	27.46	05/28/14	06/02/14	(27,460.00)
BUY	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	Margin	RIBTW	700	2.0852	05/28/14	06/02/14	(1,459.64)
BUY	RICEBRAN TECHNOLOGIES COM	Margin	RIBT	500	7.10	05/28/14	06/02/14	(3,550.00)
BUY	ZIOPHARM ONCOLOGY INC COM	Margin	ZIOP	4,000	3.5799	05/28/14	06/02/14	(14,319.60)
BUY	ZIOPHARM ONCOLOGY INC COM	Margin	ZIOP	100	3.575	05/28/14	06/02/14	(357.50)
BUY	LANTRONIX INC COM	Margin	LTRX	7,000	1.90	05/28/14	06/02/14	(13,300.00)
BUY	ZIOPHARM ONCOLOGY INC COM	Margin	ZIOP	4,200	3.51	05/28/14	06/02/14	(14,742.00)
BUY	ZIOPHARM ONCOLOGY INC COM	Margin	ZIOP	500	3.509	05/28/14	06/02/14	(1,754.50)
BUY	ZIOPHARM ONCOLOGY INC COM	Margin	ZIOP	300	3.505	05/28/14	06/02/14	(1,051.50)

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Trades Pending Settlement

	Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	GREEN PLAINS INC COM	Margin	GPRE	1,000-	28.2548	05/28/14	06/02/14	28,254.17
SELL	GREEN PLAINS INC COM	Margin	GPRE	300-	28.395	05/28/14	06/02/14	8,518.31
SELL	GREEN PLAINS INC COM	Margin	GPRE	114-	28.39	05/28/14	06/02/14	3,236.38
SELL	GREEN PLAINS INC COM	Margin	GPRE	486-	28.381	05/28/14	06/02/14	13,792.86
SELL	GREEN PLAINS INC COM	Margin	GPRE	100-	28.38	05/28/14	06/02/14	2,837.93
SELL	GREEN PLAINS INC COM	Margin	GPRE	1,500-	28.6301	05/28/14	06/02/14	42,944.20
BUY	ELEPHANT TALK COMMUNICATIONS COM	Margin	ETAK	1,000	0.8001	05/28/14	06/02/14	(800.10)
BUY	ELEPHANT TALK COMMUNICATIONS COM	Margin	ETAK	7,000	0.80	05/28/14	06/02/14	(5,600.00)
BUY	RAINMAKER SYSTEMS INC COM	Margin	RMKR	20,000	0.255	05/28/14	06/02/14	(5,100.00)
BUY	ELEPHANT TALK COMMUNICATIONS COM	Margin	ETAK	5,000	0.8026	05/28/14	06/02/14	(4,012.95)
SELL	GREEN PLAINS INC COM	Margin	GPRE	1,000-	28.6601	05/28/14	06/02/14	28,659.46
SELL	AOL INC COM	Margin	AOL	1,000-	36.4012	05/28/14	06/02/14	36,400.39
BUY	LANTRONIX INC COM	Margin	LTRX	3,400	1.8999	05/28/14	06/02/14	(6,459.66)
SELL	GREEN PLAINS INC COM	Margin	GPRE	500-	28.7412	05/28/14	06/02/14	14,370.28
SELL	GREEN PLAINS INC COM	Margin	GPRE	200-	28.7301	05/28/14	06/02/14	5,745.89

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Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	LANTRONIX INC COM	Margin	LTRX	4,100	1.89	05/28/14	06/02/14	(7,749.00)
BUY	LANTRONIX INC COM	Margin	LTRX	594	1.90	05/28/14	06/02/14	(1,128.60)
BUY	LANTRONIX INC COM	Margin	LTRX	500	1.899	05/28/14	06/02/14	(949.50)
SELL	BEST BUY CO INC BBY Jun 21 14 25.0 C	Margin	-	91-	2.76	05/30/14	06/02/14	25,058.25
SELL	BEST BUY CO INC BBY Jun 21 14 25.0 C	Margin	-	9-	2.75	05/30/14	06/02/14	2,474.72
BUY	TRANSGENOMIC INC COM	Margin	TBIO	600	3.6899	05/30/14	06/04/14	(2,213.94)
BUY	LANTRONIX INC COM	Margin	LTRX	1,500	1.9799	05/30/14	06/04/14	(2,969.85)
BUY	LANTRONIX INC COM	Margin	LTRX	6,000	1.9752	05/30/14	06/04/14	(11,851.20)
BUY	ACCESS PHARMA INC COM	Margin	ACCP	2,011	0.42	05/30/14	06/04/14	(844.62)
BUY	RAINMAKER SYSTEMS INC COM	Margin	RMKR	34	0.22	05/30/14	06/04/14	(7.48)
BUY	ACCESS PHARMA INC COM	Margin	ACCP	3,000	0.41	05/30/14	06/04/14	(1,230.00)

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Statement for Account # 867-730320

05/01/14 - 05/31/14

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$ 0.00**
05/13/14	-	05/13/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 247,500.00	247,500.00
05/13/14	-	05/13/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,338,552.90	3,586,052.90
05/14/14	-	05/14/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(1,030,449.05)	2,555,603.85
05/15/14	-	05/15/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(447,957.13)	2,107,646.72
05/16/14	-	05/16/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(438,512.28)	1,669,134.44
05/19/14	-	05/19/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(270,059.96)	1,399,074.48
05/20/14	-	05/20/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(610,052.71)	789,021.77
05/21/14	-	05/21/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(506,541.77)	282,480.00
05/22/14	-	05/22/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(34,980.00)	247,500.00
05/22/14	-	05/22/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(148,539.23)	98,960.77

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Statement for Account # 867-730320

05/01/14 - 05/31/14

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
05/23/14	-	05/23/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(30,910.16)	68,050.61
05/27/14	-	05/27/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(68,050.61)	0.00

Closing Balance **$ 0.00**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html on or before June 13, 2014, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of March 31, 2014, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $1.42 billion and $266.6 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity/(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers. In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its Information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or HUwww.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Allocation Method: In the event any of your securities are called or assigned, the securities will be selected on an impartial, random basis.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

In Case of Errors or Questions About Your Electronic Transfers Telephone us at 1-800-669-3900 or Write us at P.O. Box 2209, Omaha, NE 68103, as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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Statement Reporting Period:
06/01/14 - 06/30/14

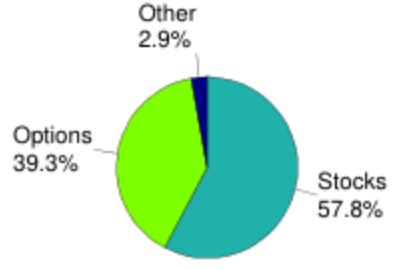
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	($190.07)	($40,921.55)	$40,731.48	-	$ -	-
Insrd Dep Acct	5,059.03	-	5,059.03	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	2,528,880.07	2,714,051.10	(185,171.03)	(6.8)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	1,720,632.38	1,289,444.13	431,188.25	33.4%	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	120,984.00	146,600.00	(25,616.00)	(17.5)%	-	-
Total	**$4,375,365.41**	**$4,109,173.68**	**$266,191.73**	**6.5%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	($40,921.55)	$ -
Securities Purchased	(1,655,281.11)	(6,331,481.72)
Securities Sold	1,701,061.62	2,335,817.42
Funds Deposited	-	4,000,600.00
Funds Disbursed	-	-
Income	200.00	201.63
Expense	(190.07)	(272.85)
Other	(5,058.96)	(5,054.55)
Closing Balance	($190.07)	($190.07)

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$200.00	$ -	$200.00
Interest	-	-	1.63
Other	-	-	-
Expense			
Interest	(190.07)	-	(272.85)
Fees	-	-	-
Other	-	-	-
Net	$9.93	$0.00	($71.22)

Performance Summary

Cost Basis As Of - 06/30/14 **	**$4,251,760.75**
Unrealized Gains	545,081.91
Unrealized Losses	(426,346.21)
Funds Deposited/(Disbursed) YTD	4,000,600.00
Income/(Expense) YTD	(71.22)
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320

06/01/14 - 06/30/14

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 4,000,000.00
Subtotal	0.00	4,000,000.00
TOTAL	**0.00**	4,000,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	$ 0.00	$ 1.63
Margin Interest Charged	(190.07)	(272.85)
Qualified Dividends	200.00	200.00
IDA Interest	0.07	4.48

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
ACCESS PHARMA INC COM	ACCP	255,000	$ 0.295	$ 75,225.00	05/12/14	$ 113,056.99	$ 0.44	$ (37,831.99)	$ -	-
ATHERONOVA INC COM	AHRO	5,014	1.85	9,275.90	05/27/14	11,779.93	2.35	(2,504.03)	-	-
COWEN GROUP INC COM	COWN	48,200	4.22	203,404.00	05/13/14	196,548.35	4.08	6,855.65	-	-
ELEPHANT TALK COMMUNICATIONS COM	ETAK	177,900	0.95	169,005.00	05/12/14	178,915.82	1.01	(9,910.82)	-	-
EMRISE CORP COM	EMRI	29,410	0.88	25,880.80	05/15/14	24,987.09	0.85	893.71	-	-
HAUPPAGE DIGITAL INC COM	HAUP	38,885	0.147	5,716.10	06/13/14	5,827.48	0.15	(111.38)	-	-
LANTRONIX INC COM	LTRX	65,000	1.97	128,050.00	05/23/14	127,802.19	1.97	247.81	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	55,000	3.74	205,700.00	05/13/14	200,070.54	3.64	5,629.46	-	-
OCLARO INC COM	OCLR	70,750	2.20	155,650.00	05/13/14	127,827.42	1.81	27,822.58	-	-
RAINMAKER SYSTEMS INC COM	RMKR	428,582	0.175	75,001.85	05/12/14	99,484.45	0.23	(24,482.60)	-	-
RELM WIRELESS CORP. COM	RWC	58,000	3.86	223,880.00	05/13/14	191,874.40	3.31	32,005.60	-	-
RICEBRAN TECHNOLOGIES COM	RIBT	57,000	5.28	300,960.00	05/12/14	320,118.64	5.62	(19,158.64)	-	-
TITAN ENERGY WORLDWIDE INC COM	TEWI	4,582,450	0.0275	126,017.38	06/23/14	78,776.54	0.02	47,240.84	-	-
TORCHLIGHT ENERGY RESOURCES COM	TRCH	51,700	4.12	213,004.00	06/02/14	216,607.01	4.19	(3,603.01)	-	-
TRANSGENOMIC INC COM	TBIO	79,900	3.74	298,826.00	05/12/14	320,732.08	4.01	(21,906.08)	-	-
ZAZA ENERGY CORPORATION COM	ZAZA	220,908	0.88	194,399.04	05/13/14	143,718.50	0.65	50,680.54	-	-
ZIOPHARM ONCOLOGY INC COM	ZIOP	29,500	4.03	118,885.00	05/14/14	99,237.50	3.36	19,647.50	-	-
Total Stocks				**$2,528,880.07**		**$2,457,364.93**		**$71,515.14**	**$0.00**	**0.0%**
Options - Margin										
ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C	-	1,075	$ 0.936	$ 100,620.00	05/12/14	$ 93,377.05	$ 0.87	$ 7,242.95	$ -	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C	-	800	0.4109	32,872.00	06/20/14	31,871.20	0.40	1,000.80	-	-
AEROPOSTALE INC ARO Jan 17 15 3.5 C	-	550	0.5698	31,339.00	05/13/14	88,815.70	1.61	(57,476.70)	-	-
AEROPOSTALE INC ARO Jul 19 14 3.0 C	-	300	0.4906	14,718.00	05/27/14	29,422.20	0.98	(14,704.20)	-	-
AMAZON.COM INC AMZN Jul 19 14 270.0 P	-	250	0.0524	1,310.00	06/09/14	9,490.52	0.38	(8,180.52)	-	-
AOL INC AOL Jul 19 14 36.0 C	-	150	3.8828	58,242.00	05/23/14	36,517.11	2.43	21,724.89	-	-
APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C	-	100	5.25	52,500.00	05/23/14	27,977.54	2.80	24,522.46	-	-
APOLLO EDUCATION GROUP INC APOL Aug 16 14 36.0 C	-	150	0.1584	2,376.00	06/26/14	4,292.10	0.29	(1,916.10)	-	-
APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 P	-	120	0.06	720.00	06/16/14	7,460.88	0.62	(6,740.88)	-	-
BEAZER HOMES USA INC BZH Jan 17 15 20.0 C	-	300	2.85	85,500.00	05/13/14	72,422.20	2.41	13,077.80	-	-
BLACKBERRY LIMITED BBRY Jan 17 15 9.0 C	-	101	2.0798	21,005.98	06/27/14	18,845.98	1.87	2,160.00	-	-
CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C	-	475	0.4212	20,007.00	05/13/14	46,018.67	0.97	(26,011.67)	-	-
CORINTHIAN COLLEGES INC COCO Feb 20 15 1.0 C	-	575	0.0179	1,029.25	06/24/14	3,211.05	0.06	(2,181.80)	-	-
CORINTHIAN COLLEGES INC COCO Aug 16 14 1.0 C	-	500	0.0001	5.00	05/13/14	11,012.00	0.22	(11,007.00)	-	-
CORINTHIAN COLLEGES INC COCO Aug 16 14 2.0 C	-	2,000	0.0001	20.00	05/13/14	11,148.00	0.06	(11,128.00)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C	-	2,147	0.0164	3,521.08	05/13/14	63,699.38	0.30	(60,178.30)	-	-
COWEN GROUP INC COWN Jan 17 15 5.0 C	-	250	0.225	5,625.00	06/27/14	7,649.50	0.31	(2,024.50)	-	-
DRY SHIPS INCORPORATED DRYS Jan 17 15 3.0 C	-	800	0.6054	48,432.00	05/12/14	51,659.20	0.65	(3,227.20)	-	-
FLAMEL TECH FLML Dec 20 14 10.0 C	-	80	5.7723	46,178.40	05/14/14	27,245.92	3.41	18,932.48	-	-
FUELCELL ENERGY INC FCEL Jul 19 14 2.5 C	-	400	0.0623	2,492.00	05/28/14	11,366.60	0.28	(8,874.60)	-	-
FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C	-	275	1.4003	38,508.25	05/13/14	35,057.85	1.27	3,450.40	-	-
FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C	-	350	0.9442	33,047.00	05/12/14	28,740.90	0.82	4,306.10	-	-
GREEN PLAINS INC GPRE Jul 19 14 25.0 C	-	95	7.9829	75,837.55	05/23/14	46,660.53	4.91	29,177.02	-	-
GREEN PLAINS INC GPRE Sep 20 14 35.0 C	-	175	2.15	37,625.00	06/25/14	34,674.45	1.98	2,950.55	-	-
HANWHA SOLARONE CO LTD HSOL Sep 20 14 2.0 C	-	400	0.9097	36,388.00	05/13/14	35,479.60	0.89	908.40	-	-
HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C	-	200	4.05	81,000.00	05/13/14	79,114.80	3.96	1,885.20	-	-
JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C	-	270	3.9146	105,694.20	05/14/14	70,354.16	2.61	35,340.04	-	-
JETBLUE AIRWAYS CORP JBLU Jul 19 14 10.0 C	-	225	0.8571	19,284.75	06/06/14	15,129.15	0.67	4,155.60	-	-
KATE SPADE & COMPANY KATE Jul 19 14 34.0 P	-	157	0.0446	700.22	06/17/14	6,207.12	0.40	(5,506.90)	-	-
MGIC INVT CORP WIS MTG Jan 17 15 8.0 C	-	500	1.8072	90,360.00	05/14/14	76,287.00	1.53	14,073.00	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C	-	150	3.6813	55,219.50	05/22/14	51,036.10	3.40	4,183.40	-	-
NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 9.0 C	-	120	2.8752	34,502.40	06/05/14	36,468.88	3.04	(1,966.48)	-	-
NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 8.0 C	-	690	1.757	121,233.00	05/15/14	89,902.06	1.30	31,330.94	-	-
NORDIC AMERICAN TANKERS LTD NAT Oct 18 14 10.0 C	-	325	0.4232	13,754.00	06/18/14	12,954.55	0.40	799.45	-	-
QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C	-	280	2.7944	78,243.20	05/12/14	32,536.72	1.16	45,706.48	-	-
QUIKSILVER INC ZQK Jul 19 14 7.0 C	-	250	0.0001	2.50	06/02/14	3,893.50	0.16	(3,891.00)	-	-
RADNET INC. RDNT Sep 20 14 7.5 C	-	900	0.5801	52,209.00	05/12/14	67,796.34	0.75	(15,587.34)	-	-
RADNET INC. RDNT Dec 20 14 5.0 C	-	470	2.1048	98,925.60	05/12/14	97,860.82	2.08	1,064.78	-	-
SEARS HOLDING CORP SHLD Jul 19 14 38.0 C	-	60	2.705	16,230.00	05/28/14	15,840.44	2.64	389.56	-	-
SEARS HOLDING CORP SHLD Jul 19 14 39.0 C	-	10	2.135	2,135.00	06/19/14	3,161.74	3.16	(1,026.74)	-	-
SONY CORP SNE Oct 18 14 17.0 C	-	550	0.775	42,625.00	05/13/14	61,339.70	1.12	(18,714.70)	-	-
TEEKAY TANKERS LTD TNK Jul 19 14 5.0 C	-	500	0.0882	4,410.00	06/20/14	2,793.00	0.06	1,617.00	-	-
TEEKAY TANKERS LTD TNK Nov 22 14 5.0 C	-	205	0.275	5,637.50	06/23/14	7,279.67	0.36	(1,642.17)	-	-
TESLA MOTORS INC TSLA Jul 19 14 170.0 P	-	186	0.0187	347.82	06/10/14	16,645.77	0.89	(16,297.95)	-	-
UNILIFE CORP UNIS Sep 20 14 2.5 C	-	350	0.6563	22,970.50	06/20/14	30,962.90	0.88	(7,992.40)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
UNITED STATES NAT GAS FUND UNG Jul 19 14 25.0 C	-	71	0.3974	2,821.54	06/27/14	2,673.76	0.38	147.78	-	-
UNITED STATES NAT GAS FUND UNG Jul 19 14 27.0 C	-	200	0.0261	522.00	06/12/14	11,820.80	0.59	(11,298.80)	-	-
YAHOO! INC YHOO Jul 19 14 34.0 C	-	100	1.7538	17,538.00	06/23/14	10,063.40	1.01	7,474.60	-	-
YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C	-	135	5.725	77,287.50	06/05/14	24,334.50	1.80	52,953.00	-	-
YRC WORLDWIDE INC YRCW Oct 18 14 22.5 C	-	32	6.2285	19,931.20	06/05/14	8,530.37	2.67	11,400.83	-	-
YRC WORLDWIDE INC YRCW Oct 18 14 32.5 C	-	50	1.35	6,750.00	06/27/14	6,325.71	1.27	424.29	-	-
ZIOPHARM ONCOLOGY INC ZIOP Jul 19 14 5.0 C	-	279	0.0136	379.44	06/09/14	9,641.15	0.35	(9,261.71)	-	-
Total Options				**$1,720,632.38**		**$1,685,070.24**		**$35,562.14**	**$0.00**	**0.0%**
Other - Margin										
RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	80,656	$ 1.50	$ 120,984.00	05/12/14	$ 109,325.58	$ 1.36	$ 11,658.42	$ -	-
Total Other				**$120,984.00**		**$109,325.58**		**$11,658.42**	**$0.00**	**0.0%**
Total Margin Account				**$4,370,496.45**		**$4,251,760.75**		**$118,735.70**	**$0.00**	**0.0%**

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**($40,921.55)**
05/28/14	06/02/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	470	$ 3.979	$ (1,870.13)	(42,791.68)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC COM	GPRE	1,000	27.72	(27,720.00)	(70,511.68)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	1,000	2.089	(2,089.00)	(72,600.68)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC COM	GPRE	1,000	27.46	(27,460.00)	(100,060.68)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	700	2.0852	(1,459.64)	(101,520.32)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	500	7.10	(3,550.00)	(105,070.32)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	4,000	3.5799	(14,319.60)	(119,389.92)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	100	3.575	(357.50)	(119,747.42)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	7,000	1.90	(13,300.00)	(133,047.42)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	4,200	3.51	(14,742.00)	(147,789.42)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	500	3.509	(1,754.50)	(149,543.92)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	300	3.505	(1,051.50)	(150,595.42)
05/28/14	06/02/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.63	GPRE	1,000-	28.2548	28,254.17	(122,341.25)
05/28/14	06/02/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.19	GPRE	300-	28.395	8,518.31	(113,822.94)
05/28/14	06/02/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.08	GPRE	114-	28.39	3,236.38	(110,586.56)
05/28/14	06/02/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.31	GPRE	486-	28.381	13,792.86	(96,793.70)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/28/14	06/02/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.07	GPRE	100-	28.38	2,837.93	(93,955.77)
05/28/14	06/02/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.95	GPRE	1,500-	28.6301	42,944.20	(51,011.57)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	1,000	0.8001	(800.10)	(51,811.67)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	7,000	0.80	(5,600.00)	(57,411.67)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	20,000	0.255	(5,100.00)	(62,511.67)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	5,000	0.8026	(4,012.95)	(66,524.62)
05/28/14	06/02/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.64	GPRE	1,000-	28.6601	28,659.46	(37,865.16)
05/28/14	06/02/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.81	AOL	1,000-	36.4012	36,400.39	(1,464.77)
05/28/14	06/02/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	3,400	1.8999	(6,459.66)	(7,924.43)
05/28/14	06/02/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.32	GPRE	500-	28.7412	14,370.28	6,445.85
05/28/14	06/02/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.13	GPRE	200-	28.7301	5,745.89	12,191.74
05/28/14	06/02/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	4,100	1.89	(7,749.00)	4,442.74
05/28/14	06/02/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	594	1.90	(1,128.60)	3,314.14
05/28/14	06/02/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	500	1.899	(949.50)	2,364.64

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/30/14	06/02/14	Margin	Sell - Securities Sold	BEST BUY CO INC BBY Jun 21 14 25.0 C TO CLOSE Commission 55.00 Regulatory Fee 2.75	-	91-	2.76	25,058.25	27,422.89
05/30/14	06/02/14	Margin	Sell - Securities Sold	BEST BUY CO INC BBY Jun 21 14 25.0 C TO CLOSE Regulatory Fee 0.28	-	9-	2.75	2,474.72	29,897.61
06/02/14	06/02/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(29,897.61)	0.00
06/02/14	06/03/14	Margin	Buy - Securities Purchased	QUIKSILVER INC ZQK Jul 19 14 6.0 P TO OPEN Commission 66.00 Regulatory Fee 2.88	-	120	0.60	(7,268.88)	(7,268.88)
06/02/14	06/03/14	Margin	Buy - Securities Purchased	QUIKSILVER INC ZQK Jul 19 14 7.0 C TO OPEN Commission 137.50 Regulatory Fee 6.00	-	250	0.15	(3,893.50)	(11,162.38)
06/03/14	06/03/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	11,162.38	0.00
05/30/14	06/04/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	600	3.6899	(2,213.94)	(2,213.94)
05/30/14	06/04/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	1,500	1.9799	(2,969.85)	(5,183.79)
05/30/14	06/04/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	6,000	1.9752	(11,851.20)	(17,034.99)
05/30/14	06/04/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	2,011	0.42	(844.62)	(17,879.61)
05/30/14	06/04/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	34	0.22	(7.48)	(17,887.09)
05/30/14	06/04/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	3,000	0.41	(1,230.00)	(19,117.09)
06/03/14	06/04/14	Margin	Sell - Securities Sold	QUIKSILVER INC ZQK Jul 19 14 6.0 P TO CLOSE Commission 66.00 Regulatory Fee 3.56	-	120-	2.55	30,530.44	11,413.35

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/03/14	06/04/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Jul 19 14 36.0 C TO OPEN Commission 55.00 Regulatory Fee 1.78	-	74	0.95	(7,086.78)	4,326.57
06/03/14	06/04/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Jul 19 14 36.0 C TO OPEN Regulatory Fee 0.63	-	26	0.94	(2,444.63)	1,881.94
06/03/14	06/04/14	Margin	Buy - Securities Purchased	LULULEMON ATHLETTCA INC LULU Jun 21 14 45.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	1.50	(7,528.70)	(5,646.76)
06/04/14	06/04/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	5,646.76	0.00
06/02/14	06/05/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	6,900	1.97	(13,593.00)	(13,593.00)
06/02/14	06/05/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	100	1.9699	(196.99)	(13,789.99)
06/02/14	06/05/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	400	6.89	(2,756.00)	(16,545.99)
06/02/14	06/05/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.01	ZAZA	100-	0.6801	68.00	(16,477.99)
06/02/14	06/05/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.15	ZAZA	9,900-	0.68	6,731.85	(9,746.14)
06/02/14	06/05/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	5,000	4.2599	(21,299.50)	(31,045.64)
06/02/14	06/05/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	2,500	0.895	(2,237.50)	(33,283.14)
06/02/14	06/05/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	1,600	4.27	(6,832.00)	(40,115.14)
06/02/14	06/05/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	4,400	4.2699	(18,787.56)	(58,902.70)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/02/14	06/05/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	4,500	4.26	(19,170.00)	(78,072.70)
06/02/14	06/05/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.52	GPRE	800-	29.131	23,304.28	(54,768.42)
06/02/14	06/05/14	Margin	Sell - Securities Sold	GREEN PLAINS INC COM Regulatory Fee 0.65	GPRE	1,000-	29.301	29,300.35	(25,468.07)
06/02/14	06/05/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.49	AOL	600-	36.2001	21,719.57	(3,748.50)
06/02/14	06/05/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	400	3.87	(1,548.00)	(5,296.50)
06/02/14	06/05/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.71	AOL	885-	36.3001	32,124.88	26,828.38
06/02/14	06/05/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	750	3.5452	(2,658.90)	24,169.48
06/02/14	06/05/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.03	ZAZA	1,800-	0.6801	1,224.06	25,393.54
06/02/14	06/05/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.07	ZAZA	4,603-	0.68	3,129.97	28,523.51
06/02/14	06/05/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	700	1.98	(1,386.00)	27,137.51
06/02/14	06/05/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.01	ZAZA	100-	0.6751	67.50	27,205.01
06/02/14	06/05/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.07	ZAZA	4,260-	0.675	2,875.43	30,080.44
06/02/14	06/05/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	100	6.93	(693.00)	29,387.44
06/02/14	06/05/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	200	1.999	(399.80)	28,987.64

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/02/14	06/05/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	927	2.01	(1,863.27)	27,124.37
06/02/14	06/05/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	435	4.33	(1,883.55)	25,240.82
06/02/14	06/05/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	700	4.3299	(3,030.93)	22,209.89
06/05/14	06/05/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(22,209.89)	0.00
06/03/14	06/06/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	900	4.3999	(3,959.91)	(3,959.91)
06/03/14	06/06/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	900	4.3998	(3,959.82)	(7,919.73)
06/03/14	06/06/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	1,000	3.87	(3,870.00)	(11,789.73)
06/03/14	06/06/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	3,500	1.98	(6,930.00)	(18,719.73)
06/03/14	06/06/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	20,000	0.205	(4,100.00)	(22,819.73)
06/03/14	06/06/14	Margin	Sell - Securities Sold	AOL INC COM Regulatory Fee 0.80	AOL	1,000-	35.881	35,880.20	13,060.47
06/03/14	06/06/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	100	3.70	(370.00)	12,690.47
06/03/14	06/06/14	Margin	Buy - Securities Purchased	EMRISE CORP COM	EMRI	15	0.845	(12.68)	12,677.79
06/03/14	06/06/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	900	4.3399	(3,905.91)	8,771.88
06/03/14	06/06/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	1,420	0.664	(942.88)	7,829.00
06/03/14	06/06/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	400	4.40	(1,760.00)	6,069.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/03/14	06/06/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	1,997	4.3999	(8,786.60)	(2,717.60)
06/03/14	06/06/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	656	1.91	(1,252.96)	(3,970.56)
06/03/14	06/06/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	400	4.40	(1,760.00)	(5,730.56)
06/05/14	06/06/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	3.50	(17,528.70)	(23,259.26)
06/05/14	06/06/14	Margin	Sell - Securities Sold	BUCKLE INC BKE Jun 21 14 46.3 C TO CLOSE Commission 110.00 Regulatory Fee 4.89	-	200-	0.20	3,885.11	(19,374.15)
06/05/14	06/06/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 9.0 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	3.00	(30,057.40)	(49,431.55)
06/05/14	06/06/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	3.49	(8,739.35)	(58,170.90)
06/05/14	06/06/14	Margin	Buy - Securities Purchased	LULULEMON ATHLETTCA INC LULU Jun 21 14 45.0 C TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	1.46	(3,664.35)	(61,835.25)
06/05/14	06/06/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	1.35	(13,557.40)	(75,392.65)
06/05/14	06/06/14	Margin	Sell - Securities Sold	RADNET INC. RDNT Sep 20 14 7.5 C TO CLOSE Commission 68.75 Regulatory Fee 3.24	-	125-	0.85	10,553.01	(64,839.64)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/05/14	06/06/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Oct 18 14 22.5 C TO OPEN Commission 17.60 Regulatory Fee 0.77	-	32	2.66	(8,530.37)	(73,370.01)
06/05/14	06/06/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO OPEN Commission 18.70 Regulatory Fee 0.82	-	34	1.26	(4,303.52)	(77,673.53)
06/06/14	06/06/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	35,298.36	(42,375.17)
06/06/14	06/06/14	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC Interest: Insured Deposit Account Payable: 06/30/2014 Insured Deposit Accoun 0.03	MMDA11	-	0.00	0.03	(42,375.14)
06/04/14	06/09/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	700	6.02	(4,214.00)	(46,589.14)
06/04/14	06/09/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	5,500	4.32	(23,760.00)	(70,349.14)
06/04/14	06/09/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM	ACCP	20,030	0.335	(6,710.05)	(77,059.19)
06/04/14	06/09/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	1,368	4.249	(5,812.63)	(82,871.82)
06/04/14	06/09/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.49	UEPS	2,000-	11.0601	22,119.71	(60,752.11)
06/04/14	06/09/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	4,500	4.22	(18,990.00)	(79,742.11)
06/04/14	06/09/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.29	ZAZA	20,000-	0.65	12,999.71	(66,742.40)
06/04/14	06/09/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	700	1.90	(1,330.00)	(68,072.40)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/04/14	06/09/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	200	1.8999	(379.98)	(68,452.38)
06/04/14	06/09/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	1,700	1.92	(3,264.00)	(71,716.38)
06/04/14	06/09/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	400	1.909	(763.60)	(72,479.98)
06/04/14	06/09/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	1,000	1.95	(1,950.00)	(74,429.98)
06/04/14	06/09/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	100	1.97	(197.00)	(74,626.98)
06/04/14	06/09/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.05	UEPS	183-	11.1601	2,042.25	(72,584.73)
06/04/14	06/09/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.03	UEPS	100-	11.16	1,115.97	(71,468.76)
06/06/14	06/09/14	Margin	Buy - Securities Purchased	SEARS HOLDING CORP SHLD Jun 21 14 39.0 C TO OPEN Commission 5.50 Regulatory Fee 0.24	-	10	2.20	(2,205.74)	(73,674.50)
06/06/14	06/09/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Jan 17 15 7.0 C TO OPEN Commission 110.00 Regulatory Fee 4.80	-	200	1.58	(31,714.80)	(105,389.30)
06/06/14	06/09/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Jul 19 14 36.0 C TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	1.20	(3,014.35)	(108,403.65)
06/06/14	06/09/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jul 19 14 10.0 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	0.80	(8,057.40)	(116,461.05)
06/06/14	06/09/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 8.0 C TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	1.20	(3,014.35)	(119,475.40)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/06/14	06/09/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Jun 21 14 7.5 C TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	0.40	(1,014.35)	(120,489.75)
06/06/14	06/09/14	Margin	Sell - Securities Sold	RADNET INC. RDNT Sep 20 14 7.5 C TO CLOSE Commission 5.50 Regulatory Fee 0.27	-	10-	1.10	1,094.23	(119,395.52)
06/06/14	06/09/14	Margin	Sell - Securities Sold	BON-TON STORES INC BONT Jul 19 14 9.0 P TO CLOSE Commission 163.90 Regulatory Fee 7.30	-	298-	0.20	5,788.80	(113,606.72)
06/05/14	06/10/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	150	5.94	(891.00)	(114,497.72)
06/05/14	06/10/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	50	5.93	(296.50)	(114,794.22)
06/05/14	06/10/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.38	UEPS	1,500-	11.1801	16,769.77	(98,024.45)
06/05/14	06/10/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	200	5.85	(1,170.00)	(99,194.45)
06/05/14	06/10/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.55	UEPS	2,200-	11.2101	24,661.67	(74,532.78)
06/05/14	06/10/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	3,400	1.98	(6,732.00)	(81,264.78)
06/05/14	06/10/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	4,500	4.29	(19,305.00)	(100,569.78)
06/05/14	06/10/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.25	UEPS	1,000-	11.2201	11,219.85	(89,349.93)
06/05/14	06/10/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.33	UEPS	1,300-	11.22	14,585.67	(74,764.26)
06/05/14	06/10/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	200	5.78	(1,156.00)	(75,920.26)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/05/14	06/10/14	Margin	Sell - Securities Sold	INTERCLOUD SYSTEMS INC COM Regulatory Fee 0.12	ICLD	900-	5.8601	5,273.97	(70,646.29)
06/05/14	06/10/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.03	UEPS	100-	11.3501	1,134.98	(69,511.31)
06/05/14	06/10/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.38	UEPS	1,500-	11.35	17,024.62	(52,486.69)
06/05/14	06/10/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	1,000	1.71	(1,710.00)	(54,196.69)
06/05/14	06/10/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	600	1.959	(1,175.40)	(55,372.09)
06/05/14	06/10/14	Margin	Buy - Securities Purchased	EMRISE CORP COM	EMRI	1,000	0.85	(850.00)	(56,222.09)
06/05/14	06/10/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.08	UEPS	290-	11.2201	3,253.75	(52,968.34)
06/05/14	06/10/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.05	UEPS	200-	11.22	2,243.95	(50,724.39)
06/05/14	06/10/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.01	ZAZA	500-	0.6645	332.24	(50,392.15)
06/05/14	06/10/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.01	ZAZA	300-	0.6643	199.28	(50,192.87)
06/05/14	06/10/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.02	ZAZA	880-	0.6641	584.40	(49,608.47)
06/05/14	06/10/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.01	ZAZA	300-	0.6641	199.22	(49,409.25)
06/05/14	06/10/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.18	UEPS	700-	11.3701	7,958.89	(41,450.36)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/05/14	06/10/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.03	UEPS	100-	11.37	1,136.97	(40,313.39)
06/05/14	06/10/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.03	ZAZA	1,587-	0.6767	1,073.89	(39,239.50)
06/05/14	06/10/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.01	ZAZA	600-	0.6766	405.95	(38,833.55)
06/09/14	06/10/14	Margin	Buy - Securities Purchased	LULULEMON ATHLETTCA INC LULU Jun 21 14 40.0 P TO OPEN Commission 33.00 Regulatory Fee 1.44	-	60	0.75	(4,534.44)	(43,367.99)
06/09/14	06/10/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jun 21 14 30.0 C TO CLOSE Commission 19.25 Regulatory Fee 0.97	-	35-	1.60	5,579.78	(37,788.21)
06/09/14	06/10/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jun 21 14 30.0 C TO CLOSE Commission 19.25 Regulatory Fee 0.98	-	35-	1.75	6,104.77	(31,683.44)
06/09/14	06/10/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jun 21 14 30.0 C TO CLOSE Commission 16.50 Regulatory Fee 0.85	-	30-	1.85	5,532.65	(26,150.79)
06/09/14	06/10/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jul 19 14 3.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	0.60	(3,028.70)	(29,179.49)
06/09/14	06/10/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jun 21 14 30.0 C TO CLOSE Commission 13.75 Regulatory Fee 0.72	-	25-	2.00	4,985.53	(24,193.96)
06/09/14	06/10/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC ZIOP Jul 19 14 5.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	0.40	(2,028.70)	(26,222.66)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/09/14	06/10/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jun 21 14 30.0 C TO CLOSE Commission 11.00 Regulatory Fee 0.58	-	20-	2.15	4,288.42	(21,934.24)
06/09/14	06/10/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO OPEN Commission 11.00 Regulatory Fee 0.48	-	20	7.00	(14,011.48)	(35,945.72)
06/09/14	06/10/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC ZIOP Jul 19 14 5.0 C TO OPEN Commission 96.25 Regulatory Fee 4.20	-	175	0.35	(6,225.45)	(42,171.17)
06/09/14	06/10/14	Margin	Sell - Securities Sold	BON-TON STORES INC BONT Jul 19 14 9.0 P TO CLOSE Commission 28.60 Regulatory Fee 1.28	-	52-	0.20	1,010.12	(41,161.05)
06/09/14	06/10/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 8.0 C TO OPEN Commission 30.25 Regulatory Fee 1.32	-	55	1.20	(6,631.57)	(47,792.62)
06/09/14	06/10/14	Margin	Buy - Securities Purchased	QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	1.40	(3,514.35)	(51,306.97)
06/09/14	06/10/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 41.25 Regulatory Fee 1.80	-	75	0.88	(6,643.05)	(57,950.02)
06/09/14	06/10/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jun 21 14 30.0 C TO CLOSE Commission 13.75 Regulatory Fee 0.71	-	25-	1.95	4,860.54	(53,089.48)
06/09/14	06/10/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jun 21 14 30.0 C TO CLOSE Commission 16.50 Regulatory Fee 0.85	-	30-	1.95	5,832.65	(47,256.83)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/09/14	06/10/14	Margin	Buy - Securities Purchased	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO OPEN Commission 41.25 Regulatory Fee 1.80	-	75	0.85	(6,418.05)	(53,674.88)
06/09/14	06/10/14	Margin	Buy - Securities Purchased	AMAZON.COM INC AMZN Jul 19 14 270.0 P TO OPEN Commission 4.95 Regulatory Fee 0.22	-	9	0.47	(428.17)	(54,103.05)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	HANWHA SOLARONE CO LTD ADS	HSOL	4,094	2.26	(9,252.44)	(63,355.49)
06/06/14	06/11/14	Margin	Sell - Securities Sold	INTERCLOUD SYSTEMS INC COM Regulatory Fee 0.15	ICLD	1,000-	6.4301	6,429.95	(56,925.54)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	2,415	1.98	(4,781.70)	(61,707.24)
06/06/14	06/11/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC COM Regulatory Fee 0.22	UEPS	827-	11.58	9,576.44	(52,130.80)
06/06/14	06/11/14	Margin	Sell - Securities Sold	INTERCLOUD SYSTEMS INC COM Regulatory Fee 0.15	ICLD	1,000-	6.77	6,769.85	(45,360.95)
06/06/14	06/11/14	Margin	Sell - Securities Sold	INTERCLOUD SYSTEMS INC COM Regulatory Fee 0.30	ICLD	2,000-	6.771	13,541.70	(31,819.25)
06/06/14	06/11/14	Margin	Sell - Securities Sold	INTERCLOUD SYSTEMS INC COM Regulatory Fee 0.24	ICLD	1,500-	6.9501	10,424.91	(21,394.34)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	1,500	3.85	(5,775.00)	(27,169.34)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	7,500	0.19	(1,425.00)	(28,594.34)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	100	5.80	(580.00)	(29,174.34)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	ATHERONOVA INC COM	AHRO	900	2.50	(2,250.00)	(31,424.34)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	100	1.89	(189.00)	(31,613.34)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/06/14	06/11/14	Margin	Buy - Securities Purchased	EMRISE CORP COM	EMRI	9,900	0.86	(8,514.00)	(40,127.34)
06/06/14	06/11/14	Margin	Sell - Securities Sold	INTERCLOUD SYSTEMS INC COM Regulatory Fee 0.18	ICLD	1,200-	6.6701	8,003.94	(32,123.40)
06/06/14	06/11/14	Margin	Sell - Securities Sold	INTERCLOUD SYSTEMS INC COM Regulatory Fee 0.20	ICLD	1,300-	6.7401	8,761.93	(23,361.47)
06/06/14	06/11/14	Margin	Sell - Securities Sold	ZIOPHARM ONCOLOGY INC COM Regulatory Fee 0.04	ZIOP	422-	4.0502	1,709.14	(21,652.33)
06/06/14	06/11/14	Margin	Sell - Securities Sold	ZIOPHARM ONCOLOGY INC COM Regulatory Fee 0.19	ZIOP	2,078-	4.0501	8,415.92	(13,236.41)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	33,000	0.17	(5,610.00)	(18,846.41)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	100	4.25	(425.00)	(19,271.41)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	900	1.60	(1,440.00)	(20,711.41)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	500	4.289	(2,144.50)	(22,855.91)
06/06/14	06/11/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.01	ZAZA	200-	0.6791	135.81	(22,720.10)
06/06/14	06/11/14	Margin	Buy - Securities Purchased	ATHERONOVA INC COM	AHRO	100	2.40	(240.00)	(22,960.10)
06/10/14	06/11/14	Margin	Buy - Securities Purchased	SONY CORP SNE Oct 18 14 17.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	0.70	(3,528.70)	(26,488.80)
06/10/14	06/11/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jun 21 14 3.5 C TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	0.70	(1,764.35)	(28,253.15)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/10/14	06/11/14	Margin	Buy - Securities Purchased	AMAZON.COM INC AMZN Jul 19 14 270.0 P TO OPEN Commission 83.05 Regulatory Fee 3.63	-	151	0.43	(6,579.68)	(34,832.83)
06/10/14	06/11/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jul 19 14 10.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	0.80	(4,028.70)	(38,861.53)
06/10/14	06/11/14	Margin	Buy - Securities Purchased	TESLA MOTORS INC TSLA Jul 19 14 170.0 P TO OPEN Commission 41.25 Regulatory Fee 0.60	-	25	1.23	(3,116.85)	(41,978.38)
06/10/14	06/11/14	Margin	Buy - Securities Purchased	TESLA MOTORS INC TSLA Jul 19 14 170.0 P TO OPEN Regulatory Fee 1.20	-	50	1.22	(6,101.20)	(48,079.58)
06/10/14	06/11/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Jun 21 14 7.5 C TO OPEN Commission 8.25 Regulatory Fee 0.36	-	15	0.40	(608.61)	(48,688.19)
06/10/14	06/11/14	Margin	Buy - Securities Purchased	AMAZON.COM INC AMZN Jul 19 14 270.0 P TO OPEN Commission 3.85 Regulatory Fee 0.17	-	7	0.33	(235.02)	(48,923.21)
06/09/14	06/12/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	2,400	4.25	(10,200.00)	(59,123.21)
06/09/14	06/12/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	29,000	0.145	(4,205.00)	(63,328.21)
06/09/14	06/12/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.48	OCLR	10,000-	2.17	21,699.52	(41,628.69)
06/09/14	06/12/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.12	ZAZA	7,900-	0.6702	5,294.46	(36,334.23)
06/09/14	06/12/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	1,200	3.70	(4,440.00)	(40,774.23)
06/09/14	06/12/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Regulatory Fee 0.11	HSOL	2,000-	2.331	4,661.89	(36,112.34)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/09/14	06/12/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	2,500	4.21	(10,525.00)	(46,637.34)
06/09/14	06/12/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.32	OCLR	6,500-	2.1912	14,242.48	(32,394.86)
06/09/14	06/12/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	2,000	3.9808	(7,961.60)	(40,356.46)
06/09/14	06/12/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.13	OCLR	2,500-	2.1901	5,475.12	(34,881.34)
06/09/14	06/12/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	500	3.30	(1,650.00)	(36,531.34)
06/09/14	06/12/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	1,400	3.23	(4,522.00)	(41,053.34)
06/09/14	06/12/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Regulatory Fee 0.15	COWN	1,500-	4.265	6,397.35	(34,655.99)
06/09/14	06/12/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	2,500	0.165	(412.50)	(35,068.49)
06/09/14	06/12/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	800	3.33	(2,664.00)	(37,732.49)
06/09/14	06/12/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Regulatory Fee 0.16	AHRO	3,000-	2.36	7,079.84	(30,652.65)
06/09/14	06/12/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	200	3.8699	(773.98)	(31,426.63)
06/09/14	06/12/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.01	RWC	100-	3.94	393.99	(31,032.64)
06/09/14	06/12/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.05	RWC	468-	3.931	1,839.66	(29,192.98)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/09/14	06/12/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Regulatory Fee 0.02	AHRO	250-	2.45	612.48	(28,580.50)
06/09/14	06/12/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Regulatory Fee 0.02	AHRO	371-	2.40	890.38	(27,690.12)
06/11/14	06/12/14	Margin	Buy - Securities Purchased	INTL BUSINESS MACHINES IBM Jun 21 14 185.0 C TO OPEN Commission 55.00 Regulatory Fee 2.40	-	100	0.83	(8,357.40)	(36,047.52)
06/11/14	06/12/14	Margin	Buy - Securities Purchased	INTL BUSINESS MACHINES IBM Jun 21 14 185.0 C TO OPEN Commission 11.00 Regulatory Fee 0.48	-	20	0.58	(1,171.48)	(37,219.00)
06/11/14	06/12/14	Margin	Buy - Securities Purchased	LULULEMON ATHLETTCA INC LULU Jun 13 14 48.5 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	0.60	(3,028.70)	(40,247.70)
06/11/14	06/12/14	Margin	Buy - Securities Purchased	LULULEMON ATHLETTCA INC LULU Jun 13 14 48.5 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	0.54	(2,728.70)	(42,976.40)
06/10/14	06/13/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	800	3.62	(2,896.00)	(45,872.40)
06/10/14	06/13/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	500	3.82	(1,910.00)	(47,782.40)
06/10/14	06/13/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	500	3.999	(1,999.50)	(49,781.90)
06/10/14	06/13/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.25	OCLR	4,900-	2.2712	11,128.63	(38,653.27)
06/10/14	06/13/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.11	OCLR	2,100-	2.2701	4,767.10	(33,886.17)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/10/14	06/13/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	500	3.81	(1,905.00)	(35,791.17)
06/10/14	06/13/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	3,279	0.165	(541.04)	(36,332.21)
06/10/14	06/13/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	1,339	2.0699	(2,771.60)	(39,103.81)
06/10/14	06/13/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.02	RIBT	100-	6.045	604.48	(38,499.33)
06/10/14	06/13/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.03	RIBT	200-	6.0425	1,208.47	(37,290.86)
06/10/14	06/13/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.02	RIBT	100-	6.041	604.08	(36,686.78)
06/10/14	06/13/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.09	RIBT	600-	6.0401	3,623.97	(33,062.81)
06/10/14	06/13/14	Margin	Sell - Securities Sold	ZIOPHARM ONCOLOGY INC COM Regulatory Fee 0.24	ZIOP	2,600-	4.161	10,818.36	(22,244.45)
06/10/14	06/13/14	Margin	Sell - Securities Sold	ZIOPHARM ONCOLOGY INC COM Regulatory Fee 0.24	ZIOP	2,500-	4.1701	10,425.01	(11,819.44)
06/10/14	06/13/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	400	3.6599	(1,463.96)	(13,283.40)
06/10/14	06/13/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	300	1.99	(597.00)	(13,880.40)
06/10/14	06/13/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Regulatory Fee 0.03	RIBTW	700-	1.75	1,224.97	(12,655.43)
06/10/14	06/13/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	100	2.05	(205.00)	(12,860.43)
06/10/14	06/13/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	261	2.049	(534.79)	(13,395.22)
06/10/14	06/13/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	501	2.04	(1,022.04)	(14,417.26)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/10/14	06/13/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	100	3.79	(379.00)	(14,796.26)
06/10/14	06/13/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	200	4.05	(810.00)	(15,606.26)
06/12/14	06/13/14	Margin	Sell - Securities Sold	TWITTER INC TWTR Jul 19 14 36.0 C TO CLOSE Commission 24.75 Regulatory Fee 1.32	-	45-	2.35	10,548.93	(5,057.33)
06/12/14	06/13/14	Margin	Buy - Securities Purchased	TESLA MOTORS INC TSLA Jul 19 14 170.0 P TO OPEN Commission 13.75 Regulatory Fee 0.60	-	25	0.78	(1,964.35)	(7,021.68)
06/12/14	06/13/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jul 19 14 10.0 C TO OPEN Commission 41.25 Regulatory Fee 1.80	-	75	0.40	(3,043.05)	(10,064.73)
06/12/14	06/13/14	Margin	Sell - Securities Sold	TWITTER INC TWTR Jul 19 14 36.0 C TO CLOSE Commission 44.00 Regulatory Fee 2.38	-	80-	2.60	20,753.62	10,688.89
06/12/14	06/13/14	Margin	Buy - Securities Purchased	SEARS HOLDING CORP SHLD Jun 21 14 39.0 C TO OPEN Commission 11.00 Regulatory Fee 0.48	-	20	1.15	(2,311.48)	8,377.41
06/12/14	06/13/14	Margin	Buy - Securities Purchased	INTL BUSINESS MACHINES IBM Jun 21 14 185.0 C TO OPEN Commission 11.00 Regulatory Fee 0.48	-	20	0.40	(811.48)	7,565.93
06/12/14	06/13/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jun 21 14 3.5 C TO OPEN Commission 41.25 Regulatory Fee 1.80	-	75	0.85	(6,418.05)	1,147.88
06/12/14	06/13/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 9.0 C TO OPEN Commission 11.00 Regulatory Fee 0.48	-	20	3.20	(6,411.48)	(5,263.60)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/12/14	06/13/14	Margin	Buy - Securities Purchased	HANWHA SOLARONE CO LTD HSOL Sep 20 14 2.0 C TO OPEN Commission 82.50 Regulatory Fee 3.60	-	150	0.60	(9,086.10)	(14,349.70)
06/12/14	06/13/14	Margin	Buy - Securities Purchased	DRY SHIPS INCORPORATED DRYS Jan 17 15 3.0 C TO OPEN Commission 27.50 Regulatory Fee 1.20	-	50	0.76	(3,828.70)	(18,178.40)
06/12/14	06/13/14	Margin	Buy - Securities Purchased	MICHAEL KORS HOLDINGS KORS Jul 19 14 85.0 P TO OPEN Commission 66.00 Regulatory Fee 2.88	-	120	0.45	(5,468.88)	(23,647.28)
06/12/14	06/13/14	Margin	Buy - Securities Purchased	UNITED STATES NAT GAS FUND UNG Jul 19 14 27.0 C TO OPEN Commission 82.50 Regulatory Fee 3.60	-	150	0.59	(8,936.10)	(32,583.38)
06/11/14	06/16/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM	TRCH	300	3.92	(1,176.00)	(33,759.38)
06/11/14	06/16/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	489	2.05	(1,002.45)	(34,761.83)
06/11/14	06/16/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.14	RIBT	1,000-	6.3048	6,304.66	(28,457.17)
06/11/14	06/16/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	1,500	3.81	(5,715.00)	(34,172.17)
06/11/14	06/16/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.06	RIBT	400-	6.2801	2,511.98	(31,660.19)
06/11/14	06/16/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.09	RIBT	600-	6.28	3,767.91	(27,892.28)
06/11/14	06/16/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Regulatory Fee 0.06	AHRO	1,000-	2.30	2,299.94	(25,592.34)
06/11/14	06/16/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Regulatory Fee 0.10	COWN	1,000-	4.221	4,220.90	(21,371.44)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/11/14	06/16/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Regulatory Fee 0.04	AHRO	650-	2.31	1,501.46	(19,869.98)
06/13/14	06/16/14	Margin	Sell - Securities Sold	LULULEMON ATHLETTCA INC LULU Jun 21 14 40.0 P TO CLOSE Commission 22.50 Regulatory Fee 0.96	-	30-	3.55	10,626.54	(9,243.44)
06/13/14	06/16/14	Margin	Sell - Securities Sold	LULULEMON ATHLETTCA INC LULU Jun 21 14 40.0 P TO CLOSE Commission 22.50 Regulatory Fee 0.94	-	29-	3.66	10,590.56	1,347.12
06/13/14	06/16/14	Margin	Sell - Securities Sold	LULULEMON ATHLETTCA INC LULU Jun 21 14 40.0 P TO CLOSE Regulatory Fee 0.04	-	1-	3.65	364.96	1,712.08
06/13/14	06/16/14	Margin	Buy - Securities Purchased	UNITED STATES NAT GAS FUND UNG Jul 19 14 27.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.57	(2,884.70)	(1,172.62)
06/13/14	06/16/14	Margin	Buy - Securities Purchased	CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.20	(520.35)	(1,692.97)
06/13/14	06/16/14	Margin	Buy - Securities Purchased	TESLA MOTORS INC TSLA Jul 19 14 170.0 P TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.88	(1,777.48)	(3,470.45)
06/13/14	06/16/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Jan 17 15 7.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	1.72	(8,634.70)	(12,105.15)
06/13/14	06/16/14	Margin	Buy - Securities Purchased	CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.20	(520.35)	(12,625.50)
06/13/14	06/16/14	Margin	Buy - Securities Purchased	SONY CORP SNE Oct 18 14 17.0 C TO OPEN Commission 47.25 Regulatory Fee 1.80	-	75	0.65	(4,924.05)	(17,549.55)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/13/14	06/16/14	Margin	Buy - Securities Purchased	TESLA MOTORS INC TSLA Jul 19 14 170.0 P TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.76	(1,537.48)	(19,087.03)
06/13/14	06/16/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC ZIOP Jul 19 14 5.0 C TO OPEN Commission 35.70 Regulatory Fee 1.30	-	54	0.25	(1,387.00)	(20,474.03)
06/16/14	06/16/14	Margin	Delivered - Other	LULULEMON ATHLETTCA INC LULU Jun 13 14 48.5 C EXPIRATION	-	100-	0.00	-	(20,474.03)
06/12/14	06/17/14	Margin	Buy - Securities Purchased	HANWHA SOLARONE CO LTD ADS	HSOL	5,500	2.3099	(12,704.45)	(33,178.48)
06/12/14	06/17/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	7,500	0.655	(4,912.50)	(38,090.98)
06/12/14	06/17/14	Margin	Sell - Securities Sold	INTERCLOUD SYSTEMS INC COM Regulatory Fee 0.14	ICLD	1,000-	6.261	6,260.86	(31,830.12)
06/12/14	06/17/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	10,000	0.151	(1,510.00)	(33,340.12)
06/12/14	06/17/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	868	3.98	(3,454.64)	(36,794.76)
06/12/14	06/17/14	Margin	Sell - Securities Sold	INTERCLOUD SYSTEMS INC COM Regulatory Fee 0.34	ICLD	2,400-	6.3401	15,215.90	(21,578.86)
06/12/14	06/17/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	500	1.9699	(984.95)	(22,563.81)
06/12/14	06/17/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	1,678	2.08	(3,490.24)	(26,054.05)
06/12/14	06/17/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	2,500	2.06	(5,150.00)	(31,204.05)
06/12/14	06/17/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Regulatory Fee 0.03	HSOL	500-	2.275	1,137.47	(30,066.58)
06/12/14	06/17/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Regulatory Fee 0.26	HSOL	5,000-	2.2712	11,355.74	(18,710.84)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/12/14	06/17/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Regulatory Fee 0.03	HSOL	500-	2.2701	1,135.02	(17,575.82)
06/12/14	06/17/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	422	2.07	(873.54)	(18,449.36)
06/12/14	06/17/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Regulatory Fee 0.03	AHRO	500-	2.31	1,154.97	(17,294.39)
06/12/14	06/17/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Commission 6.00 Regulatory Fee 0.03	HSOL	500-	2.2801	1,134.02	(16,160.37)
06/12/14	06/17/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Regulatory Fee 0.17	HSOL	3,300-	2.28	7,523.83	(8,636.54)
06/12/14	06/17/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Commission 6.00 Regulatory Fee 0.05	AHRO	865-	2.28	1,966.15	(6,670.39)
06/12/14	06/17/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Commission 6.00 Regulatory Fee 0.01	HSOL	14-	2.29	26.05	(6,644.34)
06/16/14	06/17/14	Margin	Buy - Securities Purchased	TESLA MOTORS INC TSLA Jul 19 14 170.0 P TO OPEN Commission 31.30 Regulatory Fee 1.11	-	46	0.46	(2,148.41)	(8,792.75)
06/16/14	06/17/14	Margin	Buy - Securities Purchased	AMAZON.COM INC AMZN Jul 19 14 270.0 P TO OPEN Commission 24.15 Regulatory Fee 0.80	-	33	0.36	(1,212.95)	(10,005.70)
06/16/14	06/17/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 P TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.62	(6,263.40)	(16,269.10)
06/16/14	06/17/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 P TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.59	(1,197.48)	(17,466.58)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/13/14	06/18/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM Commission 6.00	RWC	1,000	3.90	(3,906.00)	(21,372.58)
06/13/14	06/18/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.14	ZAZA	7,800-	0.7703	6,002.20	(15,370.38)
06/13/14	06/18/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.10	ZAZA	5,500-	0.79	4,338.91	(11,031.47)
06/13/14	06/18/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	200	1.90	(386.00)	(11,417.47)
06/13/14	06/18/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	500	1.8999	(949.95)	(12,367.42)
06/13/14	06/18/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	1,200	1.90	(2,286.00)	(14,653.42)
06/13/14	06/18/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	2,090	2.04	(4,269.60)	(18,923.02)
06/13/14	06/18/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Commission 6.00 Regulatory Fee 0.02	AHRO	364-	2.25	812.98	(18,110.04)
06/13/14	06/18/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Commission 6.00 Regulatory Fee 0.15	HSOL	2,776-	2.3201	6,434.45	(11,675.59)
06/13/14	06/18/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	187	2.0199	(383.72)	(12,059.31)
06/13/14	06/18/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	1,300	1.89	(2,463.00)	(14,522.31)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/13/14	06/18/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Commission 6.00 Regulatory Fee 0.04	AHRO	750-	2.27	1,696.46	(12,825.85)
06/13/14	06/18/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	10,000	0.14	(1,406.00)	(14,231.85)
06/13/14	06/18/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	500	0.15	(81.00)	(14,312.85)
06/13/14	06/18/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	1,906	2.01	(3,837.06)	(18,149.91)
06/13/14	06/18/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	327	2.009	(656.94)	(18,806.85)
06/13/14	06/18/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	6,000	0.16	(966.00)	(19,772.85)
06/13/14	06/18/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Commission 6.00 Regulatory Fee 0.09	AHRO	1,636-	2.25	3,674.91	(16,097.94)
06/17/14	06/18/14	Margin	Sell - Securities Sold	MICHAEL KORS HOLDINGS KORS Jul 19 14 85.0 P TO CLOSE Commission 33.50 Regulatory Fee 1.36	-	50-	1.40	6,965.14	(9,132.80)
06/17/14	06/18/14	Margin	Sell - Securities Sold	MICHAEL KORS HOLDINGS KORS Jul 19 14 85.0 P TO CLOSE Commission 44.50 Regulatory Fee 1.90	-	70-	1.40	9,753.60	620.80
06/17/14	06/18/14	Margin	Buy - Securities Purchased	KATE SPADE & COMPANY KATE Jul 19 14 34.0 P TO OPEN Commission 88.50 Regulatory Fee 3.60	-	150	0.40	(6,092.10)	(5,471.30)
06/17/14	06/18/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.41	-	10-	7.40	7,388.09	1,916.79

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/17/14	06/18/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Jun 21 14 34.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.73	(7,363.40)	(5,446.61)
06/17/14	06/18/14	Margin	Buy - Securities Purchased	INTL BUSINESS MACHINES IBM Jun 21 14 185.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.22	(1,134.70)	(6,581.31)
06/17/14	06/18/14	Margin	Buy - Securities Purchased	SONY CORP SNE Oct 18 14 17.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.65	(3,284.70)	(9,866.01)
06/17/14	06/18/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jun 21 14 3.5 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.99	(1,997.48)	(11,863.49)
06/16/14	06/19/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.13	ZAZA	6,500-	0.85	5,518.87	(6,344.62)
06/16/14	06/19/14	Margin	Buy - Securities Purchased	ATHERONOVA INC COM Commission 6.00	AHRO	1,000	1.50	(1,506.00)	(7,850.62)
06/16/14	06/19/14	Margin	Buy - Securities Purchased	ATHERONOVA INC COM	AHRO	500	1.455	(727.50)	(8,578.12)
06/16/14	06/19/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.14	ZAZA	6,500-	0.9111	5,915.72	(2,662.40)
06/16/14	06/19/14	Margin	Buy - Securities Purchased	ATHERONOVA INC COM Commission 6.00	AHRO	1,000	1.90	(1,906.00)	(4,568.40)
06/16/14	06/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	6.82	(1,370.00)	(5,938.40)
06/16/14	06/19/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.14	ZAZA	6,500-	0.9201	5,974.51	36.11

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/16/14	06/19/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.15	ZAZA	7,000-	0.9613	6,722.70	6,758.81
06/16/14	06/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	300	6.78	(2,040.00)	4,718.81
06/16/14	06/19/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00	TRCH	600	4.05	(2,436.00)	2,282.81
06/16/14	06/19/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	15,000	0.322	(4,836.00)	(2,553.19)
06/16/14	06/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	500	6.48	(3,246.00)	(5,799.19)
06/16/14	06/19/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Commission 6.00 Regulatory Fee 0.19	HSOL	3,500-	2.4401	8,534.16	2,734.97
06/16/14	06/19/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	1,000	6.31	(6,316.00)	(3,581.03)
06/16/14	06/19/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.12	ZAZA	5,200-	0.965	5,011.94	1,430.91
06/16/14	06/19/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.03	ZAZA	1,300-	0.965	1,254.47	2,685.38
06/16/14	06/19/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.06	ZAZA	2,367-	1.03	2,431.95	5,117.33
06/16/14	06/19/14	Margin	Buy - Securities Purchased	ATHERONOVA INC COM Commission 6.00	AHRO	200	2.03	(412.00)	4,705.33

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/18/14	06/19/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Oct 18 14 10.0 C TO OPEN Commission 116.00 Regulatory Fee 4.80	-	200	0.40	(8,120.80)	(3,415.47)
06/18/14	06/19/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Oct 18 14 10.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.35	(1,784.70)	(5,200.17)
06/18/14	06/19/14	Margin	Sell - Securities Sold	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO CLOSE Commission 25.25 Regulatory Fee 1.18	-	35-	4.30	15,023.57	9,823.40
06/18/14	06/19/14	Margin	Buy - Securities Purchased	AMAZON.COM INC AMZN Jul 19 14 270.0 P TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.20	(1,034.70)	8,788.70
06/18/14	06/19/14	Margin	Buy - Securities Purchased	INTL BUSINESS MACHINES IBM Jun 21 14 185.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.21	(1,084.70)	7,704.00
06/19/14	06/19/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(7,704.00)	0.00
06/17/14	06/20/14	Margin	Buy - Securities Purchased	ATHERONOVA INC COM Commission 6.00	AHRO	700	1.74	(1,224.00)	(1,224.00)
06/17/14	06/20/14	Margin	Buy - Securities Purchased	EMRISE CORP COM Commission 6.00	EMRI	14,000	0.845	(11,836.00)	(13,060.00)
06/17/14	06/20/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	3,400	0.1848	(634.32)	(13,694.32)
06/17/14	06/20/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM	RMKR	1,600	0.18	(288.00)	(13,982.32)
06/17/14	06/20/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	405	6.06	(2,460.30)	(16,442.62)
06/17/14	06/20/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	95	6.05	(574.75)	(17,017.37)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/17/14	06/20/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	450	3.92	(1,770.00)	(18,787.37)
06/17/14	06/20/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.02	OCLR	250-	2.2601	559.01	(18,228.36)
06/17/14	06/20/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.15	OCLR	3,000-	2.261	6,776.85	(11,451.51)
06/17/14	06/20/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Commission 6.00 Regulatory Fee 0.05	HSOL	800-	2.661	2,122.75	(9,328.76)
06/17/14	06/20/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Regulatory Fee 0.16	HSOL	2,710-	2.66	7,208.44	(2,120.32)
06/17/14	06/20/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	485	0.135	(71.48)	(2,191.80)
06/17/14	06/20/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	982	0.153	(156.25)	(2,348.05)
06/19/14	06/20/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Jul 19 14 2.5 C TO OPEN Commission 47.25 Regulatory Fee 1.80	-	75	0.25	(1,924.05)	(4,272.10)
06/19/14	06/20/14	Margin	Sell - Securities Sold	SEARS HOLDING CORP SHLD Jun 21 14 39.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.57	-	20-	1.95	3,882.43	(389.67)
06/19/14	06/20/14	Margin	Buy - Securities Purchased	SEARS HOLDING CORP SHLD Jul 19 14 39.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	3.15	(3,161.74)	(3,551.41)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/19/14	06/20/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Jul 19 14 2.5 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.20	(1,034.70)	(4,586.11)
06/19/14	06/20/14	Margin	Buy - Securities Purchased	INTL BUSINESS MACHINES IBM Jun 21 14 185.0 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.03	(77.48)	(4,663.59)
06/19/14	06/20/14	Margin	Sell - Securities Sold	SEARS HOLDING CORP SHLD Jun 21 14 39.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.57	-	20-	1.96	3,902.43	(761.16)
06/20/14	06/20/14	Margin	Div/Int - Income	GREEN PLAINS INC COM Payable: 06/20/2014 QUALIFIED DIVIDENDS 200.00	GPRE	-	0.00	200.00	(561.16)
06/20/14	06/20/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	561.16	0.00
06/18/14	06/23/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.93	(1,192.00)	(1,192.00)
06/18/14	06/23/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.88	(1,182.00)	(2,374.00)
06/18/14	06/23/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.79	(585.00)	(2,959.00)
06/18/14	06/23/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	500	5.71	(2,861.00)	(5,820.00)
06/18/14	06/23/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	500	5.69	(2,851.00)	(8,671.00)
06/18/14	06/23/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	6,600	0.17	(1,128.00)	(9,799.00)
06/18/14	06/23/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	7	2.04	(20.28)	(9,819.28)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/20/14	06/23/14	Margin	Sell - Securities Sold	SEARS HOLDING CORP SHLD Jun 21 14 39.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.58	-	20-	2.16	4,302.42	(5,516.86)
06/20/14	06/23/14	Margin	Buy - Securities Purchased	SONY CORP SNE Oct 18 14 17.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.95	(4,784.70)	(10,301.56)
06/20/14	06/23/14	Margin	Sell - Securities Sold	SEARS HOLDING CORP SHLD Jun 21 14 39.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.58	-	20-	2.16	4,302.42	(5,999.14)
06/20/14	06/23/14	Margin	Sell - Securities Sold	FLAMEL TECH FLML Dec 20 14 10.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.36	-	10-	5.26	5,248.14	(751.00)
06/20/14	06/23/14	Margin	Buy - Securities Purchased	UNILIFE CORP UNIS Sep 20 14 2.5 C TO OPEN Commission 171.00 Regulatory Fee 7.20	-	300	0.90	(27,178.20)	(27,929.20)
06/20/14	06/23/14	Margin	Buy - Securities Purchased	TEEKAY TANKERS LTD TNK Jul 19 14 5.0 C TO OPEN Commission 281.00 Regulatory Fee 12.00	-	500	0.05	(2,793.00)	(30,722.20)
06/20/14	06/23/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Oct 18 14 10.0 C TO OPEN Commission 47.25 Regulatory Fee 1.80	-	75	0.40	(3,049.05)	(33,771.25)
06/20/14	06/23/14	Margin	Buy - Securities Purchased	SEARS HOLDING CORP SHLD Jul 19 14 38.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	4.00	(4,011.74)	(37,782.99)
06/20/14	06/23/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.40	-	10-	7.20	7,188.10	(30,594.89)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/20/14	06/23/14	Margin	Sell - Securities Sold	SEARS HOLDING CORP SHLD Jun 21 14 39.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.56	-	20-	1.65	3,282.44	(27,312.45)
06/20/14	06/23/14	Margin	Sell - Securities Sold	FLAMEL TECH FLML Dec 20 14 10.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.38	-	10-	5.90	5,888.12	(21,424.33)
06/20/14	06/23/14	Margin	Sell - Securities Sold	SEARS HOLDING CORP SHLD Jun 21 14 39.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.57	-	20-	1.93	3,842.43	(17,581.90)
06/20/14	06/23/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C TO OPEN Commission 336.00 Regulatory Fee 14.40	-	600	0.41	(24,950.40)	(42,532.30)
06/20/14	06/23/14	Margin	Sell - Securities Sold	ADVANCED MICRO DEVICES INC AMD Jun 21 14 3.5 C TO CLOSE Commission 347.00 Regulatory Fee 15.68	-	620-	0.58	35,597.32	(6,934.98)
06/23/14	06/23/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	6,934.98	0.00
06/23/14	06/23/14	Margin	Delivered - Other	YAHOO! INC YHOO Jun 21 14 34.0 C EXERCISED OPTION	-	100-	0.00	-	0.00
06/23/14	06/23/14	Margin	Delivered - Other	ITT EDUCATIONAL SERVICES INC ESI Jun 21 14 25.0 C EXPIRATION	-	100-	0.00	-	0.00
06/23/14	06/23/14	Margin	Delivered - Other	RADNET INC. RDNT Jun 21 14 7.5 C EXPIRATION	-	40-	0.00	-	0.00
06/23/14	06/23/14	Margin	Delivered - Other	LULULEMON ATHLETTCA INC LULU Jun 21 14 45.0 C EXPIRATION	-	75-	0.00	-	0.00
06/23/14	06/23/14	Margin	Delivered - Other	SONY CORP SNE Jun 21 14 18.0 C EXPIRATION	-	300-	0.00	-	0.00

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/23/14	06/23/14	Margin	Delivered - Other	INTL BUSINESS MACHINES IBM Jun 21 14 185.0 C EXPIRATION	-	260-	0.00	-	0.00
06/19/14	06/24/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	4,000	2.02	(8,086.00)	(8,086.00)
06/19/14	06/24/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD ADS Commission 6.00 Regulatory Fee 0.47	HSOL	8,000-	2.6501	21,194.33	13,108.33
06/19/14	06/24/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.66	(1,138.00)	11,970.33
06/19/14	06/24/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.7316	(579.16)	11,391.17
06/19/14	06/24/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	10,000	0.315	(3,156.00)	8,235.17
06/19/14	06/24/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	800	0.15	(126.00)	8,109.17
06/19/14	06/24/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00	ETAK	400	0.964	(391.60)	7,717.57
06/23/14	06/24/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 25.25 Regulatory Fee 0.84	-	35	0.85	(3,001.09)	4,716.48
06/23/14	06/24/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	4.75	(2,383.87)	2,332.61
06/23/14	06/24/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	7.60	(7,611.74)	(5,279.13)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/23/14	06/24/14	Margin	Buy - Securities Purchased	TEEKAY TANKERS LTD TNK Nov 22 14 5.0 C TO OPEN Commission 116.00 Regulatory Fee 4.80	-	200	0.35	(7,120.80)	(12,399.93)
06/23/14	06/24/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C TO OPEN Commission 116.00 Regulatory Fee 4.80	-	200	0.34	(6,920.80)	(19,320.73)
06/23/14	06/24/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 25.25 Regulatory Fee 0.84	-	35	0.80	(2,826.09)	(22,146.82)
06/23/14	06/24/14	Margin	Buy - Securities Purchased	SONY CORP SNE Oct 18 14 17.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.85	(4,284.70)	(26,431.52)
06/23/14	06/24/14	Margin	Sell - Securities Sold	BLACKBERRY LIMITED BBRY Jan 17 15 7.0 C TO CLOSE Commission 61.00 Regulatory Fee 3.16	-	100-	3.40	33,935.84	7,504.32
06/23/14	06/24/14	Margin	Sell - Securities Sold	BLACKBERRY LIMITED BBRY Jan 17 15 7.0 C TO CLOSE Commission 88.50 Regulatory Fee 4.73	-	150-	3.40	50,906.77	58,411.09
06/23/14	06/24/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Jul 19 14 34.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.00	(10,063.40)	48,347.69
06/23/14	06/24/14	Margin	Buy - Securities Purchased	KATE SPADE & COMPANY KATE Jul 19 14 34.0 P TO OPEN Commission 9.85 Regulatory Fee 0.17	-	7	0.15	(115.02)	48,232.67
06/24/14	06/24/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(48,232.67)	0.00
06/20/14	06/25/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	300	5.30	(1,596.00)	(1,596.00)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/20/14	06/25/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.05	ZAZA	2,165-	0.9296	2,006.53	410.53
06/23/14	06/25/14	Margin	Buy - Securities Purchased	YAHOO! INC COM Commission 10.00	YHOO	10,000	34.00	(340,010.00)	(339,599.47)
06/24/14	06/25/14	Margin	Buy - Securities Purchased	CORINTHIAN COLLEGES INC COCO Feb 20 15 1.0 C TO OPEN Commission 322.25 Regulatory Fee 13.80	-	575	0.05	(3,211.05)	(342,810.52)
06/24/14	06/25/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	4.50	(4,511.74)	(347,322.26)
06/24/14	06/25/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	4.40	(2,208.87)	(349,531.13)
06/24/14	06/25/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 8.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	1.90	(1,911.74)	(351,442.87)
06/24/14	06/25/14	Margin	Buy - Securities Purchased	QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	2.70	(1,358.87)	(352,801.74)
06/24/14	06/25/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.42	-	10-	7.90	7,888.08	(344,913.66)
06/24/14	06/25/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	3.60	(3,611.74)	(348,525.40)
06/24/14	06/25/14	Margin	Buy - Securities Purchased	UNILIFE CORP UNIS Sep 20 14 2.5 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.75	(3,784.70)	(352,310.10)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/24/14	06/25/14	Margin	Buy - Securities Purchased	TEEKAY TANKERS LTD TNK Nov 22 14 5.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	0.30	(158.87)	(352,468.97)
06/25/14	06/25/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	48,440.53	(304,028.44)
06/25/14	06/25/14	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC Interest: Insured Deposit Account Payable: 06/30/2014 Insured Deposit Accoun 0.02	MMDA11	-	0.00	0.02	(304,028.42)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	250,000	0.016	(4,006.00)	(308,034.42)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	65,000	0.022	(1,436.00)	(309,470.42)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM	TEWI	25,000	0.0215	(537.50)	(310,007.92)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	80,000	0.0215	(1,726.00)	(311,733.92)
06/23/14	06/26/14	Margin	Sell - Securities Sold	INTERCLOUD SYSTEMS INC COM Commission 6.00 Regulatory Fee 0.02	ICLD	100-	6.76	669.98	(311,063.94)
06/23/14	06/26/14	Margin	Sell - Securities Sold	INTERCLOUD SYSTEMS INC COM Regulatory Fee 0.68	ICLD	4,500-	6.7501	30,374.77	(280,689.17)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	9,749	0.026	(259.47)	(280,948.64)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM	TEWI	8,000	0.0238	(190.40)	(281,139.04)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	200	1.9998	(405.96)	(281,545.00)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/23/14	06/26/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	393	1.9899	(782.03)	(282,327.03)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	300	1.9898	(596.94)	(282,923.97)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	50,000	0.0251	(1,261.00)	(284,184.97)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM	TEWI	30,000	0.025	(750.00)	(284,934.97)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	75,000	0.0231	(1,738.50)	(286,673.47)
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	85,000	0.0225	(1,918.50)	(288,591.97)
06/23/14	06/26/14	Margin	Sell - Securities Sold	YAHOO! INC COM Commission 6.00 Regulatory Fee 7.43	YHOO	10,000-	33.59	335,886.57	47,294.60
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	40,000	0.026	(1,046.00)	46,248.60
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	105,000	0.0232	(2,442.00)	43,806.60
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	95,000	0.0233	(2,219.50)	41,587.10
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM	TEWI	5,000	0.0232	(116.00)	41,471.10
06/23/14	06/26/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.1996	(1,045.92)	40,425.18
06/23/14	06/26/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	3,582,251	0.016	(57,322.02)	(16,896.84)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/25/14	06/26/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO OPEN Commission 9.30 Regulatory Fee 0.15	-	6	3.80	(2,289.45)	(19,186.29)
06/25/14	06/26/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.41	-	10-	7.30	7,288.09	(11,898.20)
06/25/14	06/26/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Sep 20 14 35.0 C TO OPEN Commission 47.25 Regulatory Fee 1.80	-	75	1.99	(14,974.05)	(26,872.25)
06/25/14	06/26/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.41	-	10-	7.40	7,388.09	(19,484.16)
06/25/14	06/26/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 14.25 Regulatory Fee 0.61	-	15-	7.40	11,085.14	(8,399.02)
06/25/14	06/26/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.41	-	10-	7.50	7,488.09	(910.93)
06/24/14	06/27/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.12	(1,030.00)	(1,940.93)
06/24/14	06/27/14	Margin	Sell - Securities Sold	ZIOPHARM ONCOLOGY INC COM Commission 6.00 Regulatory Fee 0.01	ZIOP	100-	4.4175	435.74	(1,505.19)
06/24/14	06/27/14	Margin	Sell - Securities Sold	ZIOPHARM ONCOLOGY INC COM Regulatory Fee 0.19	ZIOP	1,900-	4.4101	8,379.00	6,873.81
06/24/14	06/27/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	110	3.72	(415.20)	6,458.61
06/24/14	06/27/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	90	3.715	(334.35)	6,124.26

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/24/14	06/27/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	12,300	0.15	(1,851.00)	4,273.26
06/24/14	06/27/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.08	COWN	800-	4.275	3,413.92	7,687.18
06/24/14	06/27/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Regulatory Fee 0.02	COWN	200-	4.272	854.38	8,541.56
06/24/14	06/27/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Regulatory Fee 0.17	COWN	1,800-	4.271	7,687.63	16,229.19
06/24/14	06/27/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	300	3.72	(1,122.00)	15,107.19
06/24/14	06/27/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	40,450	0.025	(1,017.25)	14,089.94
06/26/14	06/27/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Sep 20 14 35.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	1.90	(9,534.70)	4,555.24
06/26/14	06/27/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO CLOSE Commission 19.75 Regulatory Fee 0.65	-	20-	3.74	7,459.60	12,014.84
06/26/14	06/27/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO CLOSE Regulatory Fee 0.17	-	5-	3.73	1,864.83	13,879.67
06/26/14	06/27/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 36.0 C TO OPEN Commission 88.50 Regulatory Fee 3.60	-	150	0.28	(4,292.10)	9,587.57
06/26/14	06/27/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.41	-	10-	7.50	7,488.09	17,075.66

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/27/14	06/27/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(17,075.66)	0.00
06/25/14	06/30/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	8,800	0.15	(1,326.00)	(1,326.00)
06/25/14	06/30/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	37,000	0.0212	(790.40)	(2,116.40)
06/25/14	06/30/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM Commission 6.00	ZAZA	618	0.75	(469.50)	(2,585.90)
06/25/14	06/30/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	32	0.7499	(24.00)	(2,609.90)
06/25/14	06/30/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM	ZAZA	200	0.7486	(149.72)	(2,759.62)
06/27/14	06/30/14	Margin	Sell - Securities Sold	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO CLOSE Commission 17.00 Regulatory Fee 0.75	-	20-	6.00	11,982.25	9,222.63
06/27/14	06/30/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Jan 17 15 9.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.86	(18,663.40)	(9,440.77)
06/27/14	06/30/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Sep 20 14 35.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	2.15	(5,395.35)	(14,836.12)
06/27/14	06/30/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Oct 18 14 32.5 C TO OPEN Commission 29.65 Regulatory Fee 1.04	-	43	1.25	(5,405.69)	(20,241.81)
06/27/14	06/30/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.75	(1,139.61)	(21,381.42)
06/27/14	06/30/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 5.0 C TO OPEN Commission 143.50 Regulatory Fee 6.00	-	250	0.30	(7,649.50)	(29,030.92)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/27/14	06/30/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Sep 20 14 35.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	1.90	(4,770.35)	(33,801.27)
06/27/14	06/30/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO CLOSE Commission 14.25 Regulatory Fee 0.49	-	15-	3.90	5,835.26	(27,966.01)
06/27/14	06/30/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO CLOSE Commission 14.25 Regulatory Fee 0.49	-	15-	3.90	5,835.26	(22,130.75)
06/27/14	06/30/14	Margin	Sell - Securities Sold	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.71	-	20-	5.00	9,982.29	(12,148.46)
06/27/14	06/30/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.33	-	10-	3.92	3,908.17	(8,240.29)
06/27/14	06/30/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Oct 18 14 32.5 C TO OPEN Commission 9.85 Regulatory Fee 0.17	-	7	1.30	(920.02)	(9,160.31)
06/27/14	06/30/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Jan 17 15 9.0 C TO OPEN Commission 6.55 Regulatory Fee 0.03	-	1	1.76	(182.58)	(9,342.89)
06/27/14	06/30/14	Margin	Buy - Securities Purchased	UNITED STATES NAT GAS FUND UNG Jul 19 14 25.0 C TO OPEN Commission 45.05 Regulatory Fee 1.71	-	71	0.37	(2,673.76)	(12,016.65)
06/30/14	06/30/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	12,016.65	0.00
06/30/14	06/30/14	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 06/30/2014	-	-	0.00	(190.07)	(190.07)
Closing Balance									**($190.07)**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

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TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
06/01/14	$ (40,921.55)	$ -	1	8.50	$ 9.66	$ -
06/06/14	(42,375.14)	-	3	8.50	30.02	-
06/09/14	(113,606.72)	-	1	7.25	22.88	-
06/10/14	(54,103.05)	-	1	7.50	11.27	-
06/11/14	(48,923.21)	-	1	8.50	11.55	-
06/12/14	(42,976.40)	-	1	8.50	10.15	-
06/13/14	(32,583.38)	-	3	8.50	23.08	-
06/16/14	(20,474.03)	-	1	8.75	4.98	-
06/17/14	(17,466.58)	-	1	8.75	4.25	-
06/18/14	(11,863.49)	-	1	8.75	2.88	-
06/25/14	(304,028.42)	-	1	7.00	59.12	-
06/26/14	(910.93)	-	1	9.00	0.23	-
Total Interest Income/(Expense)					**$190.07**	**$ 0.00**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
06/02/14	$ 29,897.61	1	0.0100	$ 0.01	$ 0.01	$ -
06/03/14	18,735.23	1	0.0100	-	0.01	-
06/04/14	13,088.47	1	0.0100	0.01	0.02	-
06/05/14	35,298.36	1	0.0100	0.01	0.03	0.03
06/19/14	7,704.00	1	0.0100	-	-	-
06/20/14	7,142.84	3	0.0100	-	-	-
06/23/14	207.86	1	0.0100	-	-	-
06/24/14	48,440.53	1	0.0100	0.02	0.02	0.02
06/27/14	17,075.66	3	0.0100	0.01	0.01	-
06/30/14	5,059.01	1	0.0100	-	0.01	0.01
Total Interest Income						**$0.06**

Trades Pending Settlement

Investment	Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	ZIOPHARM ONCOLOGY INC COM	Margin	ZIOP	2,200	$ 4.1599	06/26/14	07/01/14	$ (9,157.78)
BUY	ZIOPHARM ONCOLOGY INC COM	Margin	ZIOP	300	4.15	06/26/14	07/01/14	(1,245.00)
BUY	RICEBRAN TECHNOLOGIES COM	Margin	RIBT	100	5.23	06/26/14	07/01/14	(529.00)

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Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	RICEBRAN TECHNOLOGIES COM	Margin	RIBT	100	5.25	06/26/14	07/01/14	(531.00)
BUY	HAUPPAGE DIGITAL INC COM	Margin	HAUP	22,000	0.15	06/26/14	07/01/14	(3,306.00)
BUY	RICEBRAN TECHNOLOGIES COM	Margin	RIBT	100	5.23	06/26/14	07/01/14	(529.00)
BUY	TITAN ENERGY WORLDWIDE INC COM	Margin	TEWI	24,500	0.023	06/26/14	07/01/14	(569.50)
BUY	LANTRONIX INC COM	Margin	LTRX	300	1.92	06/26/14	07/01/14	(582.00)
SELL	RELM WIRELESS CORP. COM	Margin	RWC	200-	3.9501	06/26/14	07/01/14	784.00
SELL	RELM WIRELESS CORP. COM	Margin	RWC	300-	3.95	06/26/14	07/01/14	1,184.97
SELL	ZAZA ENERGY CORPORATION COM	Margin	ZAZA	3,000-	0.8251	06/26/14	07/01/14	2,469.24
BUY	AEROPOSTALE INC ARO Jul 19 14 3.0 C	Margin	-	50	0.55	06/30/14	07/01/14	(2,784.70)
BUY	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C	Margin	-	10	5.10	06/30/14	07/01/14	(5,111.74)
SELL	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C	Margin	-	25-	4.10	06/30/14	07/01/14	10,229.42
BUY	COWEN GROUP INC COWN Jan 17 15 5.0 C	Margin	-	50	0.25	06/30/14	07/01/14	(1,284.70)
BUY	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C	Margin	-	100	1.01	06/30/14	07/01/14	(10,163.40)
SELL	GREEN PLAINS INC GPRE Jul 19 14 25.0 C	Margin	-	15-	7.90	06/30/14	07/01/14	11,835.12
BUY	AEROPOSTALE INC ARO Jul 19 14 3.0 C	Margin	-	26	0.55	06/30/14	07/01/14	(1,450.93)
BUY	YRC WORLDWIDE INC YRCW Aug 16 14 30.0 C	Margin	-	100	1.30	06/30/14	07/01/14	(13,063.40)
SELL	GREEN PLAINS INC GPRE Jul 19 14 25.0 C	Margin	-	20-	8.00	06/30/14	07/01/14	15,982.16

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Trades Pending Settlement

	Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C	Margin	-	15-	5.28	06/30/14	07/01/14	7,905.21
BUY	RICEBRAN TECHNOLOGIES COM	Margin	RIBT	100	5.2499	06/27/14	07/02/14	(530.99)
SELL	OCLARO INC COM	Margin	OCLR	400-	2.315	06/27/14	07/02/14	919.97
SELL	OCLARO INC COM	Margin	OCLR	4,100-	2.311	06/27/14	07/02/14	9,474.89
BUY	ACCESS PHARMA INC COM	Margin	ACCP	3,000	0.295	06/27/14	07/02/14	(891.00)
BUY	RICEBRAN TECHNOLOGIES COM	Margin	RIBT	100	5.259	06/27/14	07/02/14	(531.90)
BUY	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	Margin	RIBTW	100	1.559	06/27/14	07/02/14	(161.90)
SELL	ZAZA ENERGY CORPORATION COM	Margin	ZAZA	500-	0.8676	06/27/14	07/02/14	427.79
SELL	ZAZA ENERGY CORPORATION COM	Margin	ZAZA	7,408-	0.8675	06/27/14	07/02/14	6,426.29
BUY	LANTRONIX INC COM	Margin	LTRX	500	2.02	06/27/14	07/02/14	(1,016.00)
BUY	HAUPPAGE DIGITAL INC COM	Margin	HAUP	200	0.15	06/27/14	07/02/14	(36.00)
BUY	LANTRONIX INC COM	Margin	LTRX	600	1.93	06/27/14	07/02/14	(1,164.00)
BUY	ACCESS PHARMA INC COM	Margin	ACCP	14,950	0.286	06/27/14	07/02/14	(4,281.70)
BUY	HAUPPAGE DIGITAL INC COM	Margin	HAUP	5,000	0.1744	06/27/14	07/02/14	(878.00)
SELL	ZAZA ENERGY CORPORATION COM	Margin	ZAZA	100-	0.90	06/27/14	07/02/14	83.99
SELL	ZAZA ENERGY CORPORATION COM	Margin	ZAZA	1,385-	0.90	06/27/14	07/02/14	1,246.47
BUY	OCLARO INC COM	Margin	OCLR	7,500	2.1599	06/30/14	07/03/14	(16,205.25)

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Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	TRANSGENOMIC INC COM	Margin	TBIO	100	3.889	06/30/14	07/03/14	(394.90)
BUY	LIHUA INTERNATIONAL INC COM	Margin	LIWA	19,900	0.325	06/30/14	07/03/14	(6,473.50)
BUY	LIHUA INTERNATIONAL INC COM	Margin	LIWA	25,000	0.30	06/30/14	07/03/14	(7,506.00)
SELL	ZIOPHARM ONCOLOGY INC COM	Margin	ZIOP	2,800-	4.1101	06/30/14	07/03/14	11,502.02
SELL	OCLARO INC COM	Margin	OCLR	1,500-	2.1901	06/30/14	07/03/14	3,279.07
BUY	LIHUA INTERNATIONAL INC COM	Margin	LIWA	5,100	0.295	06/30/14	07/03/14	(1,510.50)
SELL	ZAZA ENERGY CORPORATION COM	Margin	ZAZA	1,652-	0.876	06/30/14	07/03/14	1,441.11
SELL	ZAZA ENERGY CORPORATION COM	Margin	ZAZA	2,800-	0.873	06/30/14	07/03/14	2,438.34

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Statement for Account # 867-730320

06/01/14 - 06/30/14

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$ 0.00**
06/02/14	-	06/02/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 29,897.61	29,897.61
06/03/14	-	06/03/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(11,162.38)	18,735.23
06/04/14	-	06/04/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(5,646.76)	13,088.47
06/05/14	-	06/05/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	22,209.89	35,298.36
06/06/14	-	06/06/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(35,298.36)	0.00
06/19/14	-	06/19/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	7,704.00	7,704.00
06/20/14	-	06/20/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(561.16)	7,142.84
06/23/14	-	06/23/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(6,934.98)	207.86
06/24/14	-	06/24/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	48,232.67	48,440.53
06/25/14	-	06/25/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(48,440.53)	0.00

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Statement for Account # 867-730320

06/01/14 - 06/30/14

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
06/27/14	-	06/27/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	17,075.66	17,075.66
06/30/14	-	06/30/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(12,016.65)	5,059.01
06/30/14	-	06/30/14	Received	Interest: Insured Deposit Account	-	-	0.02	5,059.03

Closing Balance **$5,059.03**

TD Bank NA **$5,059.03**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html on or before June 13, 2014, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of March 31, 2014, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $1.42 billion and $266.6 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an Internet web site where investors may obtain useful information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public disclosure hotline.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01267741

TD Ameritrade

Statement Reporting Period:
07/01/14 - 07/31/14

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

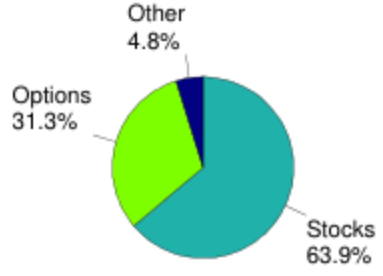
Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
REFER A FRIEND & GET REWARDED.
CHOOSE $50 CASH, 5 FREE TRADES,
OR A $50 GIFT CARD WHEN THEY
OPEN AND FUND AN ACCOUNT.
VISIT TDAMERITRADE.COM/REFER
RESTRICTIONS APPLY.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Margin Loan	($29,940.04)	($190.07)	($29,749.97)	-	$ -	-
Insrd Dep Acct	-	5,059.03	(5,059.03)	(100.0)%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	2,560,212.42	2,528,880.07	31,332.35	1.2%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	1,255,868.18	1,720,632.38	(464,764.20)	(27.0)%	-	-
Short Options	(29,510.60)	-	(29,510.60)	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	136,720.50	120,984.00	15,736.50	13.0%	-	-
Total	**$3,893,350.46**	**$4,375,365.41**	**($482,014.95)**	**(11.0)%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	($190.07)	$ -
Securities Purchased	(1,528,170.49)	(7,859,652.21)
Securities Sold	1,493,481.53	3,829,298.95
Funds Deposited	-	4,000,600.00
Funds Disbursed	-	-
Income	-	201.63
Expense	(120.29)	(393.14)
Other	5,059.28	4.73
Closing Balance	($29,940.04)	($29,940.04)

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$200.00
Interest	-	-	1.63
Other	-	-	-
Expense			
Interest	(120.29)	-	(393.14)
Fees	-	-	-
Other	-	-	-
Net	($120.29)	$0.00	($191.51)

Performance Summary

Cost Basis As Of - 07/31/14 **	**$4,523,121.66**
Unrealized Gains	326,580.81
Unrealized Losses	(926,411.97)
Funds Deposited/(Disbursed) YTD	4,000,600.00
Income/(Expense) YTD	(191.51)
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320
07/01/14 - 07/31/14

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 4,000,000.00
Subtotal	0.00	4,000,000.00
TOTAL	**0.00**	4,000,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	$ 0.00	$ 1.63
Margin Interest Charged	(120.29)	(393.14)
Qualified Dividends	0.00	200.00
IDA Interest	0.25	4.73

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
ACCESS PHARMA INC COM	ACCP	287,500	$ 0.26	$ 74,750.00	05/12/14	$ 121,917.19	$ 0.42	$ (47,167.19)	$ -	-
ATHERONOVA INC COM	AHRO	5,864	2.15	12,607.60	05/27/14	13,794.19	2.35	(1,186.59)	-	-
COMMAND SEC CORP COM	MOC	20,000	1.94	38,800.00	07/07/14	36,518.57	1.83	2,281.43	-	-
COWEN GROUP INC COM	COWN	20,000	4.00	80,000.00	05/14/14	79,594.70	3.98	405.30	-	-
ELEPHANT TALK COMMUNICATIONS COM	ETAK	191,100	0.90	171,990.00	05/12/14	190,584.00	1.00	(18,594.00)	-	-
EMRISE CORP COM	EMRI	30,426	0.859	26,135.93	05/15/14	25,822.05	0.85	313.88	-	-
HAUPPAGE DIGITAL INC COM	HAUP	181,100	0.2498	45,238.78	06/13/14	33,559.59	0.19	11,679.19	-	-
LANTRONIX INC COM	LTRX	83,000	2.00	166,000.00	05/23/14	163,137.95	1.97	2,862.05	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	65,000	0.2149	13,968.50	06/30/14	20,071.00	0.31	(6,102.50)	-	-
MEDIABISTRO INC COM	MBIS	3,200	0.94	3,008.00	07/24/14	2,645.50	0.83	362.50	-	-
MERRIMAN HOLDINGS INC COM	MERRD	23,001	3.51	80,733.51	07/09/14	79,672.86	3.46	1,060.65	-	-
MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	55,000	3.73	205,150.00	05/13/14	200,070.54	3.64	5,079.46	-	-
NOTIFY TECHNOLOGY CORP COM	NTFY	1,916	22.00	42,152.00	07/08/14	26,692.00	13.93	15,460.00	-	-
OCLARO INC COM	OCLR	80,000	1.86	148,800.00	05/13/14	151,686.72	1.90	(2,886.72)	-	-
QUANTUM FUEL SYSTEMS TEC COM	QTWW	4,700	5.13	24,111.00	07/17/14	19,659.17	4.18	4,451.83	-	-
RAINMAKER SYSTEMS INC COM	RMKR	461,000	0.175	80,675.00	05/12/14	105,016.07	0.23	(24,341.07)	-	-
RELM WIRELESS CORP. COM	RWC	60,770	4.10	249,157.00	05/13/14	202,505.15	3.33	46,651.85	-	-
RICEBRAN TECHNOLOGIES COM	RIBT	63,300	5.19	328,527.00	05/12/14	353,378.92	5.58	(24,851.92)	-	-
TITAN ENERGY WORLDWIDE INC COM	TEWI	4,631,850	0.0318	147,292.83	06/23/14	80,397.72	0.02	66,895.11	-	-
TORCHLIGHT ENERGY RESOURCES COM	TRCH	37,400	3.78	141,372.00	06/02/14	156,828.09	4.19	(15,456.09)	-	-
TRANSGENOMIC INC COM	TBIO	89,566	3.9399	352,881.08	05/12/14	356,530.15	3.98	(3,649.07)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
ZAZA ENERGY CORPORATION COM	ZAZA	76,547	0.77	58,941.19	05/19/14	54,527.29	0.71	4,413.90	-	-
ZIOPHARM ONCOLOGY INC COM	ZIOP	21,700	3.13	67,921.00	05/14/14	76,372.38	3.52	(8,451.38)	-	-
Total Stocks				**$2,560,212.42**		**$2,550,981.80**		**$9,230.62**	**$0.00**	**0.0%**
Options - Margin										
ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C	-	880	$ 0.7103	$62,506.40	05/12/14	$ 77,786.12	$ 0.88	$ (15,279.72)	$ -	-
ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C	-	875	0.281	24,587.50	06/20/14	36,151.25	0.41	(11,563.75)	-	-
AEROPOSTALE INC ARO Jan 17 15 3.5 C	-	595	0.4522	26,905.90	05/13/14	90,647.53	1.52	(63,741.63)	-	-
AEROPOSTALE INC ARO Oct 18 14 2.0 C	-	375	1.355	50,812.50	07/18/14	51,826.26	1.38	(1,013.76)	-	-
ALCATEL LUCENT ALU Sep 20 14 3.5 C	-	617	0.1641	10,124.97	07/02/14	23,903.16	0.39	(13,778.19)	-	-
ALCATEL LUCENT ALU Sep 20 14 4.0 C	-	405	0.0525	2,126.25	07/21/14	6,331.48	0.16	(4,205.23)	-	-
APOLLO EDUCATION GROUP INC APOL Aug 16 14 31.0 C	-	150	0.065	975.00	07/01/14	27,102.11	1.81	(26,127.11)	-	-
APOLLO EDUCATION GROUP INC APOL Aug 16 14 34.0 C	-	300	0.0114	342.00	07/01/14	12,972.21	0.43	(12,630.21)	-	-
APOLLO EDUCATION GROUP INC APOL Aug 16 14 36.0 C	-	250	0.0058	145.00	06/26/14	6,555.50	0.26	(6,410.50)	-	-
AVON PRODUCTS INC AVP Sep 20 14 15.0 C	-	270	0.059	1,593.00	07/28/14	6,896.98	0.26	(5,303.98)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
BEAZER HOMES USA INC BZH Jan 17 15 20.0 C	-	325	0.475	15,437.50	05/13/14	76,942.55	2.37	(61,505.05)	-	-
BLACKBERRY LIMITED BBRY Jan 17 15 9.0 C	-	130	1.5192	19,749.60	06/27/14	24,755.63	1.90	(5,006.03)	-	-
BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C	-	250	0.4791	11,977.50	07/09/14	43,457.50	1.74	(31,480.00)	-	-
CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C	-	505	0.5096	25,734.80	05/13/14	47,661.89	0.94	(21,927.09)	-	-
CITIGROUP INC C Aug 16 14 49.0 C	-	400	0.8016	32,064.00	07/16/14	52,912.61	1.32	(20,848.61)	-	-
CORINTHIAN COLLEGES INC COCO Feb 20 15 1.0 C	-	575	0.0253	1,454.75	06/24/14	3,211.05	0.06	(1,756.30)	-	-
CORINTHIAN COLLEGES INC COCO Aug 16 14 1.0 C	-	500	0.0381	1,905.00	05/13/14	11,012.00	0.22	(9,107.00)	-	-
CORINTHIAN COLLEGES INC COCO Aug 16 14 2.0 C	-	2,000	0.0317	6,340.00	05/13/14	11,148.00	0.06	(4,808.00)	-	-
CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C	-	2,147	0.0001	21.47	05/13/14	63,699.38	0.30	(63,677.91)	-	-
COWEN GROUP INC COWN Jan 17 15 2.5 C	-	205	1.675	34,337.50	07/15/14	40,766.67	1.99	(6,429.17)	-	-
COWEN GROUP INC COWN Jan 17 15 5.0 C	-	575	0.175	10,062.50	06/27/14	15,472.05	0.27	(5,409.55)	-	-
DRY SHIPS INCORPORATED DRYS Jan 17 15 3.0 C	-	800	0.3395	27,160.00	05/12/14	51,659.20	0.65	(24,499.20)	-	-
FLAMEL TECH FLML Dec 20 14 10.0 C	-	110	4.3418	47,759.80	05/14/14	43,331.14	3.94	4,428.66	-	-
FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C	-	285	1.4809	42,205.65	05/13/14	36,469.59	1.28	5,736.06	-	-
FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C	-	360	0.9819	35,348.40	05/12/14	29,652.64	0.82	5,695.76	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
HANWHA SOLARONE CO LTD HSOL Sep 20 14 2.0 C	-	460	0.3902	17,949.20	05/13/14	39,126.04	0.85	(21,176.84)	-	-
HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C	-	165	5.375	88,687.50	05/13/14	67,150.71	4.07	21,536.79	-	-
JETBLUE AIRWAYS CORP JBLU Sep 20 14 11.0 C	-	162	0.425	6,885.00	07/18/14	9,866.99	0.61	(2,981.99)	-	-
JETBLUE AIRWAYS CORP JBLU Sep 20 14 12.0 C	-	250	0.1428	3,570.00	07/18/14	6,780.50	0.27	(3,210.50)	-	-
MGIC INVT CORP WIS MTG Jan 17 15 8.0 C	-	515	0.7249	37,332.35	05/14/14	77,606.61	1.51	(40,274.26)	-	-
MICHAEL KORS HOLDINGS KORS Aug 08 14 81.0 C	-	30	3.2371	9,711.30	07/25/14	9,923.22	3.31	(211.92)	-	-
NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C	-	190	3.1123	59,133.70	05/22/14	64,539.06	3.40	(5,405.36)	-	-
NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 9.0 C	-	130	2.3634	30,724.20	06/05/14	39,080.62	3.01	(8,356.42)	-	-
NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C	-	705	1.3092	92,298.60	05/15/14	91,772.67	1.30	525.93	-	-
NORDIC AMERICAN TANKERS LTD NAT Oct 18 14 9.87 C	-	325	0.2108	6,851.00	06/18/14	12,954.55	0.40	(6,103.55)	-	-
PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C	-	200	2.1031	42,062.00	07/09/14	29,490.04	1.47	12,571.96	-	-
PANERA BREAD CO PNRA Aug 16 14 160.0 C	-	85	0.1074	912.90	07/28/14	6,235.79	0.73	(5,322.89)	-	-
QUANTUM FUEL SYSTEMS TEC QTWW Oct 18 14 4.0 C	-	250	1.3788	34,470.00	07/17/14	37,655.50	1.51	(3,185.50)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
RADIOSHACK CORPORATION RSH Jan 15 16 1.0 C	-	500	0.085	4,250.00	07/18/14	9,293.00	0.19	(5,043.00)	-	-
RADNET INC. RDNT Aug 16 14 5.0 C	-	100	0.4051	4,051.00	07/21/14	10,563.40	1.06	(6,512.40)	-	-
RADNET INC. RDNT Sep 20 14 7.5 C	-	1,100	0.1465	16,115.00	05/12/14	73,423.14	0.67	(57,308.14)	-	-
RADNET INC. RDNT Dec 20 14 5.0 C	-	480	1.075	51,600.00	05/12/14	99,022.56	2.06	(47,422.56)	-	-
RITE AID CORP RAD Aug 08 14 7.0 C	-	200	0.0999	1,998.00	07/28/14	2,126.80	0.11	(128.80)	-	-
RITE AID CORP RAD Aug 16 14 7.0 C	-	250	0.1202	3,005.00	07/10/14	12,655.50	0.51	(9,650.50)	-	-
SONY CORP SNE Oct 18 14 17.0 C	-	585	1.75	102,375.00	05/13/14	64,196.79	1.10	38,178.21	-	-
TEEKAY TANKERS LTD TNK Nov 22 14 5.0 C	-	205	0.10	2,050.00	06/23/14	7,279.67	0.36	(5,229.67)	-	-
TESLA MOTORS INC TSLA Aug 16 14 180.0 P	-	100	0.7858	7,858.00	07/28/14	5,963.40	0.60	1,894.60	-	-
TWITTER INC TWTR Aug 01 14 36.0 C	-	35	9.05	31,675.00	07/18/14	8,634.76	2.47	23,040.24	-	-
TWITTER INC TWTR Aug 01 14 46.0 C	-	60	0.19	1,140.00	07/30/14	8,881.44	1.48	(7,741.44)	-	-
TWITTER INC TWTR Aug 16 14 34.5 P	-	35	0.0343	120.05	07/29/14	3,491.09	1.00	(3,371.04)	-	-
UNILIFE CORP UNIS Sep 20 14 2.5 C	-	375	0.3192	11,970.00	06/20/14	33,108.25	0.88	(21,138.25)	-	-
UNISYS CORP UIS Oct 18 14 24.0 C	-	250	0.4134	10,335.00	07/14/14	35,629.50	1.43	(25,294.50)	-	-
WHOLE FOODS MKT INC WFM Aug 08 14 39.5 C	-	70	0.2225	1,557.50	07/30/14	11,666.18	1.67	(10,108.68)	-	-
WHOLE FOODS MKT INC WFM Aug 08 14 38.5 P	-	70	0.8148	5,703.60	07/30/14	11,946.18	1.71	(6,242.58)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
YAHOO! INC YHOO Aug 16 14 33.0 C	-	110	2.964	32,604.00	07/18/14	15,875.14	1.44	16,728.86	-	-
YRC WORLDWIDE INC YRCW Aug 16 14 30.0 C	-	216	0.5635	12,171.60	06/30/14	23,127.99	1.07	(10,956.39)	-	-
YRC WORLDWIDE INC YRCW Aug 16 14 32.5 C	-	400	0.1552	6,208.00	07/02/14	22,198.61	0.56	(15,990.61)	-	-
YRC WORLDWIDE INC YRCW Oct 18 14 22.5 C	-	17	4.4672	7,594.24	06/05/14	4,531.76	2.67	3,062.48	-	-
YRC WORLDWIDE INC YRCW Oct 18 14 32.5 C	-	130	0.50	6,500.00	06/27/14	18,443.63	1.42	(11,943.63)	-	-
ZIOPHARM ONCOLOGY INC ZIOP Oct 18 14 3.0 C	-	185	0.6877	12,722.45	07/01/14	24,150.20	1.31	(11,427.75)	-	-
Total Options				**$1,255,868.18**		**$1,890,715.79**		**$(634,847.61)**	**$0.00**	**0.0%**
Short Options - Margin										
AMERICAN AIRLINES GROUP INC AAL Aug 08 14 39.5 P	-	60-	$ 1.2847	$ (7,708.20)	07/30/14	$ (5,779.43)	$ 0.96	$ (1,928.77)	$ -	-
UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P	-	200-	0.6395	(12,790.00)	07/24/14	(21,439.69)	1.07	8,649.69	-	-
YAHOO! INC YHOO Aug 01 14 35.5 P	-	120-	0.0772	(926.40)	07/24/14	(5,438.99)	0.45	4,512.59	-	-
YAHOO! INC YHOO Aug 08 14 36.0 P	-	100-	0.8086	(8,086.00)	07/30/14	(4,536.49)	0.45	(3,549.51)	-	-
Total Short Options				**$(29,510.60)**		**$(37,194.60)**		**$7,684.00**	**$0.00**	**0.0%**

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Other - Margin										
RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	87,000	$ 1.5715	$ 136,720.50	05/12/14	$ 118,618.67	$ 1.36	$ 18,101.83	$ -	-
Total Other				**$136,720.50**		**$118,618.67**		**$18,101.83**	**$0.00**	**0.0%**
Total Margin Account				**$3,923,290.50**		**$4,523,121.66**		**$(599,831.16)**	**$0.00**	**0.0%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**($190.07)**
06/26/14	07/01/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM Commission 6.00	ZIOP	2,200	$ 4.1599	$ (9,157.78)	(9,347.85)
06/26/14	07/01/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC COM	ZIOP	300	4.15	(1,245.00)	(10,592.85)
06/26/14	07/01/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.23	(529.00)	(11,121.85)
06/26/14	07/01/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.25	(531.00)	(11,652.85)
06/26/14	07/01/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	22,000	0.15	(3,306.00)	(14,958.85)
06/26/14	07/01/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.23	(529.00)	(15,487.85)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/26/14	07/01/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	24,500	0.023	(569.50)	(16,057.35)
06/26/14	07/01/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	300	1.92	(582.00)	(16,639.35)
06/26/14	07/01/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.02	RWC	200-	3.9501	784.00	(15,855.35)
06/26/14	07/01/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.03	RWC	300-	3.95	1,184.97	(14,670.38)
06/26/14	07/01/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.06	ZAZA	3,000-	0.8251	2,469.24	(12,201.14)
06/30/14	07/01/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jul 19 14 3.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.55	(2,784.70)	(14,985.84)
06/30/14	07/01/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	5.10	(5,111.74)	(20,097.58)
06/30/14	07/01/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO CLOSE Commission 19.75 Regulatory Fee 0.83	-	25-	4.10	10,229.42	(9,868.16)
06/30/14	07/01/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 5.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.25	(1,284.70)	(11,152.86)
06/30/14	07/01/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.01	(10,163.40)	(21,316.26)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/30/14	07/01/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 14.25 Regulatory Fee 0.63	-	15-	7.90	11,835.12	(9,481.14)
06/30/14	07/01/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jul 19 14 3.0 C TO OPEN Commission 20.30 Regulatory Fee 0.63	-	26	0.55	(1,450.93)	(10,932.07)
06/30/14	07/01/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Aug 16 14 30.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.30	(13,063.40)	(23,995.47)
06/30/14	07/01/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.84	-	20-	8.00	15,982.16	(8,013.31)
06/30/14	07/01/14	Margin	Sell - Securities Sold	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO CLOSE Commission 14.25 Regulatory Fee 0.54	-	15-	5.28	7,905.21	(108.10)
07/01/14	07/01/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	108.10	0.00
06/27/14	07/02/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.2499	(530.99)	(530.99)
06/27/14	07/02/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.03	OCLR	400-	2.315	919.97	388.98
06/27/14	07/02/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.21	OCLR	4,100-	2.311	9,474.89	9,863.87
06/27/14	07/02/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	3,000	0.295	(891.00)	8,972.87
06/27/14	07/02/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.259	(531.90)	8,440.97

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/27/14	07/02/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	100	1.559	(161.90)	8,279.07
06/27/14	07/02/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.01	ZAZA	500-	0.8676	427.79	8,706.86
06/27/14	07/02/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.15	ZAZA	7,408-	0.8675	6,426.29	15,133.15
06/27/14	07/02/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	500	2.02	(1,016.00)	14,117.15
06/27/14	07/02/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	200	0.15	(36.00)	14,081.15
06/27/14	07/02/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	600	1.93	(1,164.00)	12,917.15
06/27/14	07/02/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	14,950	0.286	(4,281.70)	8,635.45
06/27/14	07/02/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	5,000	0.1744	(878.00)	7,757.45
06/27/14	07/02/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.01	ZAZA	100-	0.90	83.99	7,841.44
06/27/14	07/02/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.03	ZAZA	1,385-	0.90	1,246.47	9,087.91
07/01/14	07/02/14	Margin	Sell - Securities Sold	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO CLOSE Commission 17.00 Regulatory Fee 0.76	-	20-	6.20	12,382.24	21,470.15

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/01/14	07/02/14	Margin	Sell - Securities Sold	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO CLOSE Commission 19.75 Regulatory Fee 0.91	-	25-	5.56	13,879.34	35,349.49
07/01/14	07/02/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 31.0 C TO OPEN Commission 61.00 Regulatory Fee 1.54	-	64	1.80	(11,582.54)	23,766.95
07/01/14	07/02/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 31.0 C TO OPEN Regulatory Fee 0.48	-	20	1.79	(3,580.48)	20,186.47
07/01/14	07/02/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 31.0 C TO OPEN Regulatory Fee 0.39	-	16	1.78	(2,848.39)	17,338.08
07/01/14	07/02/14	Margin	Sell - Securities Sold	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.75	-	20-	5.90	11,782.25	29,120.33
07/01/14	07/02/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Jul 19 14 34.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	2.13	(10,684.70)	18,435.63
07/01/14	07/02/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jul 19 14 3.0 C TO OPEN Commission 19.20 Regulatory Fee 0.58	-	24	0.60	(1,459.78)	16,975.85
07/01/14	07/02/14	Margin	Buy - Securities Purchased	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO OPEN Commission 22.50 Regulatory Fee 0.72	-	30	0.54	(1,643.22)	15,332.63
07/01/14	07/02/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 31.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	1.83	(4,595.35)	10,737.28
07/01/14	07/02/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 14.25 Regulatory Fee 0.63	-	15-	8.00	11,985.12	22,722.40

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/01/14	07/02/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Sep 20 14 35.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	2.15	(5,395.35)	17,327.05
07/01/14	07/02/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 14.25 Regulatory Fee 0.64	-	15-	8.30	12,435.11	29,762.16
07/01/14	07/02/14	Margin	Buy - Securities Purchased	SEARS HOLDING CORP SHLD Jul 19 14 39.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	2.55	(2,561.74)	27,200.42
07/01/14	07/02/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Sep 20 14 35.0 C TO OPEN Commission 22.50 Regulatory Fee 0.72	-	30	2.39	(7,193.22)	20,007.20
07/01/14	07/02/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	5.60	(2,808.87)	17,198.33
07/01/14	07/02/14	Margin	Sell - Securities Sold	AOL INC AOL Jul 19 14 36.0 C TO CLOSE Commission 19.75 Regulatory Fee 0.87	-	25-	4.77	11,904.38	29,102.71
07/01/14	07/02/14	Margin	Buy - Securities Purchased	KATE SPADE & COMPANY KATE Jul 19 14 34.0 P TO OPEN Commission 29.65 Regulatory Fee 0.80	-	33	0.10	(360.45)	28,742.26
07/01/14	07/02/14	Margin	Buy - Securities Purchased	KATE SPADE & COMPANY KATE Jul 19 14 34.0 P TO OPEN Regulatory Fee 0.08	-	3	0.09	(27.08)	28,715.18
07/01/14	07/02/14	Margin	Buy - Securities Purchased	KATE SPADE & COMPANY KATE Jul 19 14 34.0 P TO OPEN Regulatory Fee 0.17	-	7	0.07	(49.17)	28,666.01
07/01/14	07/02/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	1.22	(6,134.70)	22,531.31

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/01/14	07/02/14	Margin	Buy - Securities Purchased	UNITED STATES NAT GAS FUND UNG Jul 19 14 25.0 C TO OPEN Commission 21.95 Regulatory Fee 0.70	-	29	0.39	(1,153.65)	21,377.66
07/01/14	07/02/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 36.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.22	(2,263.40)	19,114.26
07/01/14	07/02/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Jul 19 14 2.5 C TO OPEN Commission 74.75 Regulatory Fee 3.00	-	125	0.10	(1,327.75)	17,786.51
07/01/14	07/02/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jul 19 14 3.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.55	(1,395.35)	16,391.16
07/01/14	07/02/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC ZIOP Oct 18 14 3.0 C TO OPEN Commission 88.50 Regulatory Fee 3.60	-	150	1.40	(21,092.10)	(4,700.94)
07/01/14	07/02/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 34.0 C TO OPEN Commission 88.50 Regulatory Fee 3.60	-	150	0.49	(7,442.10)	(12,143.04)
07/02/14	07/02/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	4,950.93	(7,192.11)
06/30/14	07/03/14	Margin	Buy - Securities Purchased	OCLARO INC COM Commission 6.00	OCLR	7,500	2.1599	(16,205.25)	(23,397.36)
06/30/14	07/03/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	100	3.889	(394.90)	(23,792.26)
06/30/14	07/03/14	Margin	Buy - Securities Purchased	LIHUA INTERNATIONAL INC COM Commission 6.00	LIWA	19,900	0.325	(6,473.50)	(30,265.76)
06/30/14	07/03/14	Margin	Buy - Securities Purchased	LIHUA INTERNATIONAL INC COM Commission 6.00	LIWA	25,000	0.30	(7,506.00)	(37,771.76)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/30/14	07/03/14	Margin	Sell - Securities Sold	ZIOPHARM ONCOLOGY INC COM Commission 6.00 Regulatory Fee 0.26	ZIOP	2,800-	4.1101	11,502.02	(26,269.74)
06/30/14	07/03/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.08	OCLR	1,500-	2.1901	3,279.07	(22,990.67)
06/30/14	07/03/14	Margin	Buy - Securities Purchased	LIHUA INTERNATIONAL INC COM Commission 6.00	LIWA	5,100	0.295	(1,510.50)	(24,501.17)
06/30/14	07/03/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.04	ZAZA	1,652-	0.876	1,441.11	(23,060.06)
06/30/14	07/03/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.06	ZAZA	2,800-	0.873	2,438.34	(20,621.72)
07/02/14	07/03/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 3.5 C TO OPEN Commission 88.50 Regulatory Fee 3.60	-	150	0.45	(6,842.10)	(27,463.82)
07/02/14	07/03/14	Margin	Buy - Securities Purchased	UNILIFE CORP UNIS Sep 20 14 2.5 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.85	(2,145.35)	(29,609.17)
07/02/14	07/03/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 3.5 C TO OPEN Commission 88.50 Regulatory Fee 3.60	-	150	0.40	(6,092.10)	(35,701.27)
07/02/14	07/03/14	Margin	Buy - Securities Purchased	UNITED CONTINENTAL HLDGS UAL Jul 19 14 41.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.01	(10,163.40)	(45,864.67)
07/02/14	07/03/14	Margin	Sell - Securities Sold	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO CLOSE Commission 19.75 Regulatory Fee 0.96	-	25-	6.40	15,979.29	(29,885.38)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/02/14	07/03/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Aug 16 14 32.5 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.88	(8,863.40)	(38,748.78)
07/02/14	07/03/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Oct 18 14 32.5 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	1.53	(7,684.70)	(46,433.48)
07/02/14	07/03/14	Margin	Sell - Securities Sold	AOL INC AOL Jul 19 14 36.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.71	-	20-	5.10	10,182.29	(36,251.19)
07/02/14	07/03/14	Margin	Buy - Securities Purchased	GREEN PLAINS INC GPRE Sep 20 14 35.0 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	2.45	(4,917.48)	(41,168.67)
07/02/14	07/03/14	Margin	Sell - Securities Sold	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO CLOSE Commission 17.00 Regulatory Fee 0.77	-	20-	6.50	12,982.23	(28,186.44)
07/02/14	07/03/14	Margin	Buy - Securities Purchased	UNITED CONTINENTAL HLDGS UAL Jul 19 14 41.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.90	(4,534.70)	(32,721.14)
07/02/14	07/03/14	Margin	Sell - Securities Sold	YAHOO! INC YHOO Jul 19 14 37.0 C TO OPEN Commission 88.50 Regulatory Fee 3.84	-	150-	0.72	10,707.66	(22,013.48)
07/02/14	07/03/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	1.34	(6,734.70)	(28,748.18)
07/02/14	07/03/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Aug 16 14 32.5 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.80	(4,034.70)	(32,782.88)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/02/14	07/03/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Oct 18 14 32.5 C TO OPEN Commission 22.50 Regulatory Fee 0.72	-	30	1.47	(4,433.22)	(37,216.10)
07/02/14	07/03/14	Margin	Sell - Securities Sold	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO CLOSE Commission 19.75 Regulatory Fee 0.42	-	12-	4.86	5,811.83	(31,404.27)
07/02/14	07/03/14	Margin	Sell - Securities Sold	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO CLOSE Regulatory Fee 0.46	-	13-	4.85	6,304.54	(25,099.73)
07/02/14	07/03/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 8.20 Regulatory Fee 0.19	-	4-	9.40	3,751.61	(21,348.12)
07/01/14	07/07/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	900	1.97	(1,779.00)	(23,127.12)
07/01/14	07/07/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	721	1.40	(1,015.40)	(24,142.52)
07/01/14	07/07/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	79	1.3999	(110.59)	(24,253.11)
07/01/14	07/07/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	100	1.39	(139.00)	(24,392.11)
07/01/14	07/07/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.289	(534.90)	(24,927.01)
07/01/14	07/07/14	Margin	Sell - Securities Sold	ZIOPHARM ONCOLOGY INC COM Commission 6.00 Regulatory Fee 0.17	ZIOP	1,817-	3.991	7,245.48	(17,681.53)
07/01/14	07/07/14	Margin	Sell - Securities Sold	ZIOPHARM ONCOLOGY INC COM Regulatory Fee 0.51	ZIOP	5,683-	3.99	22,674.66	4,993.13
07/01/14	07/07/14	Margin	Buy - Securities Purchased	LIHUA INTERNATIONAL INC COM Commission 6.00	LIWA	15,000	0.305	(4,581.00)	412.13

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/01/14	07/07/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	3,800	0.16	(614.00)	(201.87)
07/01/14	07/07/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.02	ZAZA	600-	0.9255	549.28	347.41
07/03/14	07/07/14	Margin	Sell - Securities Sold	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 C TO CLOSE Commission 19.75 Regulatory Fee 0.90	-	25-	5.40	13,479.35	13,826.76
07/03/14	07/07/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 31.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	1.79	(4,495.35)	9,331.41
07/03/14	07/07/14	Margin	Buy - Securities Purchased	SEARS HOLDING CORP SHLD Jul 19 14 39.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	2.47	(3,719.61)	5,611.80
07/03/14	07/07/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 34.0 C TO OPEN Commission 30.75 Regulatory Fee 1.08	-	45	0.63	(2,866.83)	2,744.97
07/03/14	07/07/14	Margin	Sell - Securities Sold	AOL INC AOL Jul 19 14 42.0 C TO OPEN Commission 61.00 Regulatory Fee 2.54	-	100-	0.60	5,936.46	8,681.43
07/07/14	07/07/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(8,681.43)	0.00
07/02/14	07/08/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	300	3.83	(1,155.00)	(1,155.00)
07/02/14	07/08/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00	TRCH	600	3.95	(2,376.00)	(3,531.00)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/02/14	07/08/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00	TRCH	200	4.02	(810.00)	(4,341.00)
07/02/14	07/08/14	Margin	Buy - Securities Purchased	JBI INC NEW COM Commission 6.00	JBII	1,500	0.091	(142.50)	(4,483.50)
07/02/14	07/08/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM Commission 6.00	RWC	200	3.87	(780.00)	(5,263.50)
07/02/14	07/08/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.33	(539.00)	(5,802.50)
07/02/14	07/08/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	600	1.25	(756.00)	(6,558.50)
07/02/14	07/08/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.01	ZAZA	100-	0.9016	84.15	(6,474.35)
07/02/14	07/08/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.06	ZAZA	2,991-	0.9001	2,692.14	(3,782.21)
07/02/14	07/08/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.01	ZAZA	400-	0.90	359.99	(3,422.22)
07/02/14	07/08/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	600	1.32	(798.00)	(4,220.22)
07/07/14	07/08/14	Margin	Sell - Securities Sold	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO CLOSE Commission 61.00 Regulatory Fee 2.77	-	100-	1.65	16,436.23	12,216.01
07/07/14	07/08/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 5.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.25	(2,563.40)	9,652.61

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/07/14	07/08/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Jul 19 14 49.0 C TO OPEN Commission 258.45 Regulatory Fee 11.02	-	459	0.31	(14,498.47)	(4,845.86)
07/07/14	07/08/14	Margin	Buy - Securities Purchased	FLAMEL TECH FLML Dec 20 14 10.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	5.50	(8,264.61)	(13,110.47)
07/07/14	07/08/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Jul 19 14 37.0 P TO OPEN Commission 61.00 Regulatory Fee 2.55	-	100-	0.66	6,536.45	(6,574.02)
07/07/14	07/08/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.40	(4,063.40)	(10,637.42)
07/07/14	07/08/14	Margin	Sell - Securities Sold	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO CLOSE Commission 61.00 Regulatory Fee 2.79	-	100-	1.75	17,436.21	6,798.79
07/07/14	07/08/14	Margin	Buy - Securities Purchased	UNITED CONTINENTAL HLDGS UAL Sep 20 14 40.0 C TO OPEN Commission 39.00 Regulatory Fee 1.44	-	60	2.58	(15,520.44)	(8,721.65)
07/07/14	07/08/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 34.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.35	(1,784.70)	(10,506.35)
07/07/14	07/08/14	Margin	Buy - Securities Purchased	HANWHA SOLARONE CO LTD HSOL Sep 20 14 2.0 C TO OPEN Commission 28.00 Regulatory Fee 0.96	-	40	0.65	(2,628.96)	(13,135.31)
07/07/14	07/08/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Jul 19 14 37.0 P TO OPEN Commission 15.90 Regulatory Fee 0.47	-	18-	0.73	1,297.63	(11,837.68)
07/08/14	07/08/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	8,681.43	(3,156.25)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/03/14	07/09/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00 Regulatory Fee 0.01	ETAK	56-	0.9162	45.30	(3,110.95)
07/03/14	07/09/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Regulatory Fee 0.07	ETAK	3,444-	0.91	3,133.97	23.02
07/03/14	07/09/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.56	(1,118.00)	(1,094.98)
07/03/14	07/09/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	500	1.55	(781.00)	(1,875.98)
07/03/14	07/09/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.4299	(548.99)	(2,424.97)
07/03/14	07/09/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	100	3.867	(392.70)	(2,817.67)
07/03/14	07/09/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	300	1.38	(420.00)	(3,237.67)
07/03/14	07/09/14	Margin	Buy - Securities Purchased	ATHERONOVA INC COM Commission 6.00	AHRO	850	1.90	(1,621.00)	(4,858.67)
07/08/14	07/09/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Jul 19 14 25.0 C TO CLOSE Commission 20.30 Regulatory Fee 1.13	-	26-	8.60	22,338.57	17,479.90
07/08/14	07/09/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Sep 20 14 35.0 C TO CLOSE Commission 61.00 Regulatory Fee 2.89	-	100-	2.20	21,936.11	39,416.01
07/08/14	07/09/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 5.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.20	(2,063.40)	37,352.61

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/08/14	07/09/14	Margin	Buy - Securities Purchased	UNITED CONTINENTAL HLDGS UAL Sep 20 14 40.0 C TO OPEN Commission 28.00 Regulatory Fee 0.96	-	40	2.68	(10,748.96)	26,603.65
07/08/14	07/09/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Jul 19 14 38.0 C TO OPEN Commission 61.00 Regulatory Fee 1.88	-	78	0.90	(7,082.88)	19,520.77
07/08/14	07/09/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Jul 19 14 38.0 C TO OPEN Regulatory Fee 0.53	-	22	0.89	(1,958.53)	17,562.24
07/08/14	07/09/14	Margin	Buy - Securities Purchased	ALCOA INC AA Jul 19 14 14.0 P TO OPEN Commission 28.00 Regulatory Fee 0.96	-	40	0.12	(508.96)	17,053.28
07/08/14	07/09/14	Margin	Buy - Securities Purchased	ALCOA INC AA Jul 19 14 14.0 P TO OPEN Commission 39.00 Regulatory Fee 1.44	-	60	0.12	(760.44)	16,292.84
07/08/14	07/09/14	Margin	Buy - Securities Purchased	ALCOA INC AA Jul 19 14 14.0 P TO OPEN Commission 116.00 Regulatory Fee 4.80	-	200	0.11	(2,320.80)	13,972.04
07/08/14	07/09/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C TO CLOSE Commission 7.10 Regulatory Fee 0.07	-	2-	2.90	572.83	14,544.87
07/08/14	07/09/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 34.0 C TO OPEN Commission 7.10 Regulatory Fee 0.05	-	2	0.20	(47.15)	14,497.72
07/09/14	07/09/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(14,497.72)	0.00
07/07/14	07/10/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.17	TRCH	1,900-	4.0001	7,594.02	7,594.02

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/07/14	07/10/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	1,800	1.96	(3,534.00)	4,060.02
07/07/14	07/10/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	5,000	0.185	(931.00)	3,129.02
07/07/14	07/10/14	Margin	Buy - Securities Purchased	COMMAND SEC CORP COM Commission 6.00	MOC	1,541	1.77	(2,733.57)	395.45
07/07/14	07/10/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	16	0.16	(8.56)	386.89
07/07/14	07/10/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	4,320	0.15	(654.00)	(267.11)
07/09/14	07/10/14	Margin	Sell - Securities Sold	ALCOA INC AA Jul 19 14 14.0 P TO CLOSE Commission 171.00 Regulatory Fee 7.21	-	300-	0.01	121.79	(145.32)
07/09/14	07/10/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 5.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.20	(520.35)	(665.67)
07/09/14	07/10/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Sep 20 14 35.0 C TO CLOSE Commission 47.25 Regulatory Fee 0.25	-	8-	2.68	2,096.50	1,430.83
07/09/14	07/10/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Sep 20 14 35.0 C TO CLOSE Regulatory Fee 2.01	-	67-	2.65	17,752.99	19,183.82
07/09/14	07/10/14	Margin	Sell - Securities Sold	GREEN PLAINS INC GPRE Sep 20 14 35.0 C TO CLOSE Commission 47.25 Regulatory Fee 2.24	-	75-	2.65	19,825.51	39,009.33
07/09/14	07/10/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Jul 19 14 37.0 P TO OPEN Commission 23.60 Regulatory Fee 0.80	-	32-	0.37	1,159.60	40,168.93

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/09/14	07/10/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 21.40 Regulatory Fee 0.68	-	28	1.30	(3,662.08)	36,506.85
07/09/14	07/10/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 57.15 Regulatory Fee 2.24	-	93	1.30	(12,149.39)	24,357.46
07/09/14	07/10/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO OPEN Commission 47.25 Regulatory Fee 1.80	-	75	1.87	(14,074.05)	10,283.41
07/09/14	07/10/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 15.35 Regulatory Fee 0.41	-	17	1.30	(2,225.76)	8,057.65
07/09/14	07/10/14	Margin	Sell - Securities Sold	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO CLOSE Commission 14.25 Regulatory Fee 0.58	-	15-	6.40	9,585.17	17,642.82
07/09/14	07/10/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	1.87	(4,695.35)	12,947.47
07/09/14	07/10/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Jul 19 14 37.0 P TO OPEN Commission 33.50 Regulatory Fee 1.24	-	50-	0.31	1,515.26	14,462.73
07/09/14	07/10/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	3.80	(5,714.61)	8,748.12
07/09/14	07/10/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 45.60 Regulatory Fee 1.73	-	72	1.25	(9,047.33)	(299.21)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/09/14	07/10/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	6.20	(3,108.87)	(3,408.08)
07/10/14	07/10/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	3,408.08	0.00
07/08/14	07/11/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	500	0.0299	(20.95)	(20.95)
07/08/14	07/11/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	1,992	0.19	(384.48)	(405.43)
07/08/14	07/11/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	1,100	1.8991	(2,095.01)	(2,500.44)
07/08/14	07/11/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	1,200	3.70	(4,446.00)	(6,946.44)
07/08/14	07/11/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	1,106	0.19	(216.14)	(7,162.58)
07/08/14	07/11/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.23	(1,052.00)	(8,214.58)
07/08/14	07/11/14	Margin	Buy - Securities Purchased	OCLARO INC COM Commission 6.00	OCLR	7,750	2.12	(16,436.00)	(24,650.58)
07/08/14	07/11/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	500	10.00	(5,006.00)	(29,656.58)
07/08/14	07/11/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.29	COWN	3,200-	4.1001	13,114.03	(16,542.55)
07/08/14	07/11/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.10	COWN	1,000-	4.1101	4,104.00	(12,438.55)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/08/14	07/11/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	200	1.8499	(375.98)	(12,814.53)
07/08/14	07/11/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	84	1.84	(154.56)	(12,969.09)
07/10/14	07/11/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Jul 19 14 41.0 C TO CLOSE Commission 33.50 Regulatory Fee 1.42	-	50-	1.97	9,815.08	(3,154.01)
07/10/14	07/11/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Sep 20 14 40.0 C TO CLOSE Commission 33.50 Regulatory Fee 1.70	-	50-	4.45	22,214.80	19,060.79
07/10/14	07/11/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Jul 19 14 41.0 C TO CLOSE Commission 28.00 Regulatory Fee 1.17	-	40-	2.29	9,130.83	28,191.62
07/10/14	07/11/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Jul 19 14 41.0 C TO CLOSE Commission 22.50 Regulatory Fee 0.89	-	30-	2.49	7,446.61	35,638.23
07/10/14	07/11/14	Margin	Buy - Securities Purchased	QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C TO OPEN Commission 18.10 Regulatory Fee 0.53	-	22	2.00	(4,418.63)	31,219.60
07/10/14	07/11/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO OPEN Commission 61.00 Regulatory Fee 0.96	-	40	2.02	(8,141.96)	23,077.64
07/10/14	07/11/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO OPEN Regulatory Fee 1.44	-	60	2.01	(12,061.44)	11,016.20
07/10/14	07/11/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Jul 19 14 49.0 C TO OPEN Commission 83.55 Regulatory Fee 3.39	-	141	0.14	(2,060.94)	8,955.26

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/10/14	07/11/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Sep 20 14 40.0 C TO CLOSE Commission 33.50 Regulatory Fee 1.81	-	50-	5.50	27,464.69	36,419.95
07/10/14	07/11/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	1.03	(2,595.35)	33,824.60
07/10/14	07/11/14	Margin	Sell - Securities Sold	TWITTER INC TWTR Jul 19 14 36.0 P TO OPEN Commission 61.00 Regulatory Fee 2.51	-	100-	0.46	4,536.49	38,361.09
07/10/14	07/11/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Jul 19 14 41.0 C TO CLOSE Commission 22.50 Regulatory Fee 0.92	-	30-	2.99	8,946.58	47,307.67
07/10/14	07/11/14	Margin	Sell - Securities Sold	YAHOO! INC YHOO Jul 25 14 33.5 P TO OPEN Commission 61.00 Regulatory Fee 2.53	-	100-	0.55	5,436.47	52,744.14
07/10/14	07/11/14	Margin	Buy - Securities Purchased	QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	2.00	(3,014.61)	49,729.53
07/10/14	07/11/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 3.5 C TO OPEN Commission 47.25 Regulatory Fee 1.80	-	75	0.30	(2,299.05)	47,430.48
07/10/14	07/11/14	Margin	Buy - Securities Purchased	RITE AID CORP RAD Aug 16 14 7.0 C TO OPEN Commission 88.50 Regulatory Fee 3.60	-	150	0.60	(9,092.10)	38,338.38
07/10/14	07/11/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.53	(2,684.70)	35,653.68

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/10/14	07/11/14	Margin	Buy - Securities Purchased	SEARS HOLDING CORP SHLD Jul 19 14 39.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.90	(1,364.61)	34,289.07
07/10/14	07/11/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jul 19 14 10.0 C TO OPEN Commission 22.50 Regulatory Fee 0.72	-	30	0.70	(2,123.22)	32,165.85
07/10/14	07/11/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	1.04	(2,620.35)	29,545.50
07/10/14	07/11/14	Margin	Buy - Securities Purchased	AMAZON.COM INC AMZN Jul 19 14 270.0 P TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.05	(563.40)	28,982.10
07/11/14	07/11/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(28,982.10)	0.00
07/09/14	07/14/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	300	5.2965	(1,594.95)	(1,594.95)
07/09/14	07/14/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	300	3.72	(1,122.00)	(2,716.95)
07/09/14	07/14/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	300	5.25	(1,581.00)	(4,297.95)
07/09/14	07/14/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.2299	(528.99)	(4,826.94)
07/09/14	07/14/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	300	5.28	(1,590.00)	(6,416.94)
07/09/14	07/14/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	5,500	0.275	(1,518.50)	(7,935.44)
07/09/14	07/14/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.32	(1,070.00)	(9,005.44)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/09/14	07/14/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	390	5.31	(2,076.90)	(11,082.34)
07/09/14	07/14/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC 1:30 R/S 7/14/14 590419206 Commission 6.00	590419107	2	0.091	(6.18)	(11,088.52)
07/09/14	07/14/14	Margin	Buy - Securities Purchased	EMRISE CORP COM Commission 6.00	EMRI	16	0.81	(18.96)	(11,107.48)
07/09/14	07/14/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.01	ZAZA	400-	0.8121	318.84	(10,788.64)
07/09/14	07/14/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.07	ZAZA	3,600-	0.8121	2,923.49	(7,865.15)
07/11/14	07/14/14	Margin	Sell - Securities Sold	TWITTER INC TWTR Jul 19 14 40.0 C TO OPEN Commission 61.00 Regulatory Fee 2.51	-	100-	0.47	4,636.49	(3,228.66)
07/11/14	07/14/14	Margin	Buy - Securities Purchased	APOLLO EDUCATION GROUP INC APOL Aug 16 14 34.0 C TO OPEN Commission 35.15 Regulatory Fee 1.28	-	53	0.15	(831.43)	(4,060.09)
07/11/14	07/14/14	Margin	Buy - Securities Purchased	AOL INC AOL Jul 19 14 36.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	4.20	(2,108.87)	(6,168.96)
07/11/14	07/14/14	Margin	Sell - Securities Sold	AOL INC AOL Jul 19 14 42.0 C TO OPEN Commission 11.50 Regulatory Fee 0.25	-	10-	0.25	238.25	(5,930.71)
07/11/14	07/14/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Aug 16 14 32.5 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.61	(3,084.70)	(9,015.41)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/11/14	07/14/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 3.5 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.25	(1,284.70)	(10,300.11)
07/11/14	07/14/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 8.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	1.15	(1,161.74)	(11,461.85)
07/11/14	07/14/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	3.90	(1,958.87)	(13,420.72)
07/11/14	07/14/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	3.80	(5,714.61)	(19,135.33)
07/11/14	07/14/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Aug 16 14 30.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	1.17	(5,884.70)	(25,020.03)
07/11/14	07/14/14	Margin	Buy - Securities Purchased	KATE SPADE & COMPANY KATE Jul 19 14 34.0 P TO OPEN Commission 10.40 Regulatory Fee 0.20	-	8	0.05	(50.60)	(25,070.63)
07/14/14	07/14/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	25,070.63	0.00
07/10/14	07/15/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	210	5.22	(1,102.20)	(1,102.20)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	2,500	0.19	(481.00)	(1,583.20)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	901	2.0079	(1,815.12)	(3,398.32)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	18,000	0.17	(3,066.00)	(6,464.32)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/10/14	07/15/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00	ETAK	6,000	0.8799	(5,285.11)	(11,749.43)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM	ETAK	600	0.8795	(527.70)	(12,277.13)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM Commission 6.00	ZAZA	6,500	0.8092	(5,265.77)	(17,542.90)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	100	1.98	(204.00)	(17,746.90)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	400	1.979	(791.60)	(18,538.50)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM	LTRX	500	1.978	(989.00)	(19,527.50)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM Commission 6.00	RWC	500	3.7499	(1,880.95)	(21,408.45)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	17,000	0.16	(2,726.00)	(24,134.45)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	200	12.75	(2,556.00)	(26,690.45)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC 1:30 R/S 7/14/14 590419206 Commission 6.00	590419107	200,024	0.091	(18,208.18)	(44,898.63)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	EMRISE CORP COM Commission 6.00	EMRI	1,000	0.81	(816.00)	(45,714.63)
07/10/14	07/15/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	615	1.9399	(1,199.04)	(46,913.67)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/14/14	07/15/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.63	(1,595.35)	(48,509.02)
07/14/14	07/15/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 3.5 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.30	(1,534.70)	(50,043.72)
07/14/14	07/15/14	Margin	Buy - Securities Purchased	UNISYS CORP UIS Oct 18 14 24.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	2.20	(22,063.40)	(72,107.12)
07/14/14	07/15/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jul 19 14 3.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.20	(520.35)	(72,627.47)
07/14/14	07/15/14	Margin	Buy - Securities Purchased	BEAZER HOMES USA INC BZH Jan 17 15 20.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	1.80	(4,520.35)	(77,147.82)
07/14/14	07/15/14	Margin	Buy - Securities Purchased	FLAMEL TECH FLML Dec 20 14 10.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	5.60	(2,808.87)	(79,956.69)
07/14/14	07/15/14	Margin	Sell - Securities Sold	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO CLOSE Commission 17.00 Regulatory Fee 0.74	-	20-	5.70	11,382.26	(68,574.43)
07/15/14	07/15/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	15,001.11	(53,573.32)
07/15/14	07/15/14	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC Interest: Insured Deposit Account Payable: 07/31/2014 Insured Deposit Accoun 0.04	MMDA11	-	0.00	0.04	(53,573.28)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/11/14	07/16/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.33	TRCH	4,000-	3.7201	14,874.07	(38,699.21)
07/11/14	07/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	300	5.40	(1,626.00)	(40,325.21)
07/11/14	07/16/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.03	ZAZA	1,200-	0.8805	1,050.57	(39,274.64)
07/11/14	07/16/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.15	ZAZA	7,600-	0.8804	6,690.89	(32,583.75)
07/11/14	07/16/14	Margin	Sell - Securities Sold	JBI INC NEW COM Commission 6.00 Regulatory Fee 0.01	JBII	1,500-	0.09	128.99	(32,454.76)
07/11/14	07/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	1,344	1.55	(2,089.20)	(34,543.96)
07/11/14	07/16/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	1,380	0.19	(268.20)	(34,812.16)
07/11/14	07/16/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	1,000	1.50	(1,506.00)	(36,318.16)
07/11/14	07/16/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.05	TRCH	600-	3.69	2,207.95	(34,110.21)
07/11/14	07/16/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Regulatory Fee 0.15	TRCH	1,800-	3.6801	6,624.03	(27,486.18)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/11/14	07/16/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.15	TRCH	1,800-	3.6501	6,564.03	(20,922.15)
07/11/14	07/16/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	130	15.00	(1,956.00)	(22,878.15)
07/11/14	07/16/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	120	0.14	(22.80)	(22,900.95)
07/15/14	07/16/14	Margin	Buy - Securities Purchased	MICHAEL KORS HOLDINGS KORS Jul 25 14 80.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	2.60	(6,520.35)	(29,421.30)
07/15/14	07/16/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Jul 19 14 2.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.30	(3,063.40)	(32,484.70)
07/15/14	07/16/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Jul 19 14 10.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.74	(1,870.35)	(34,355.05)
07/15/14	07/16/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Jul 19 14 2.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.25	(1,284.70)	(35,639.75)
07/15/14	07/16/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jul 25 14 5.0 C TO OPEN Commission 226.00 Regulatory Fee 9.60	-	400	0.10	(4,235.60)	(39,875.35)
07/15/14	07/16/14	Margin	Buy - Securities Purchased	SONY CORP SNE Oct 18 14 17.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.65	(1,645.35)	(41,520.70)
07/15/14	07/16/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Jul 19 14 37.0 C TO CLOSE Commission 88.50 Regulatory Fee 3.60	-	150	0.31	(4,742.10)	(46,262.80)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/15/14	07/16/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 5.0 C TO OPEN Commission 25.25 Regulatory Fee 0.84	-	35	0.30	(1,076.09)	(47,338.89)
07/15/14	07/16/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 2.5 C TO OPEN Commission 88.50 Regulatory Fee 3.60	-	150	2.05	(30,842.10)	(78,180.99)
07/15/14	07/16/14	Margin	Buy - Securities Purchased	MICHAEL KORS HOLDINGS KORS Jul 25 14 80.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	1.40	(2,114.61)	(80,295.60)
07/15/14	07/16/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C TO CLOSE Commission 6.55 Regulatory Fee 0.04	-	1-	2.30	223.41	(80,072.19)
07/15/14	07/16/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Jul 25 14 33.5 P TO CLOSE Commission 17.55 Regulatory Fee 0.51	-	21	0.29	(627.06)	(80,699.25)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	1,200	0.19	(234.00)	(80,933.25)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	500	5.2452	(2,628.60)	(83,561.85)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	600	1.9395	(1,169.70)	(84,731.55)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.1854	(1,043.08)	(85,774.63)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	1,000	1.51	(1,516.00)	(87,290.63)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	COMMAND SEC CORP COM Commission 6.00	MOC	4,500	1.80	(8,106.00)	(95,396.63)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/14/14	07/17/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.39	COWN	4,000-	4.3201	17,274.01	(78,122.62)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.27	(1,060.00)	(79,182.62)
07/14/14	07/17/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.07	OCLR	1,400-	2.1301	2,976.07	(76,206.55)
07/14/14	07/17/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.29	OCLR	6,100-	2.13	12,992.71	(63,213.84)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	10,000	0.174	(1,746.00)	(64,959.84)
07/14/14	07/17/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.01	ZAZA	100-	0.892	83.19	(64,876.65)
07/14/14	07/17/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.08	ZAZA	3,700-	0.8911	3,296.99	(61,579.66)
07/14/14	07/17/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.19	ZAZA	9,200-	0.89	8,187.81	(53,391.85)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	3,800	0.14	(538.00)	(53,929.85)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	COMMAND SEC CORP COM Commission 6.00	MOC	5,894	1.80	(10,615.20)	(64,545.05)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	4,121	0.17	(706.57)	(65,251.62)
07/14/14	07/17/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	108	2.50	(276.00)	(65,527.62)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/16/14	07/17/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jul 19 14 10.0 C TO CLOSE Commission 61.00 Regulatory Fee 2.56	-	98-	0.92	8,952.44	(56,575.18)
07/16/14	07/17/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jul 19 14 10.0 C TO CLOSE Regulatory Fee 0.06	-	2-	0.91	181.94	(56,393.24)
07/16/14	07/17/14	Margin	Sell - Securities Sold	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO CLOSE Commission 17.00 Regulatory Fee 0.74	-	20-	5.73	11,442.26	(44,950.98)
07/16/14	07/17/14	Margin	Sell - Securities Sold	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO CLOSE Commission 19.75 Regulatory Fee 0.68	-	25-	1.41	3,504.57	(41,446.41)
07/16/14	07/17/14	Margin	Sell - Securities Sold	CITIGROUP INC C Jul 19 14 49.0 C TO CLOSE Commission 171.00 Regulatory Fee 7.58	-	300-	0.56	16,621.42	(24,824.99)
07/16/14	07/17/14	Margin	Buy - Securities Purchased	UNISYS CORP UIS Oct 18 14 24.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	1.80	(9,034.70)	(33,859.69)
07/16/14	07/17/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	1.30	(1,311.74)	(35,171.43)
07/16/14	07/17/14	Margin	Sell - Securities Sold	CITIGROUP INC C Jul 19 14 49.0 C TO CLOSE Commission 171.00 Regulatory Fee 7.69	-	300-	0.73	21,721.31	(13,450.12)
07/16/14	07/17/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Jul 19 14 34.0 C TO OPEN Commission 47.25 Regulatory Fee 1.80	-	75	0.32	(2,449.05)	(15,899.17)
07/16/14	07/17/14	Margin	Buy - Securities Purchased	AOL INC AOL Jul 19 14 36.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	3.00	(3,011.74)	(18,910.91)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/16/14	07/17/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jul 25 14 5.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.15	(1,563.40)	(20,474.31)
07/16/14	07/17/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Aug 16 14 49.0 C TO OPEN Commission 61.00 Regulatory Fee 1.28	-	53	1.36	(7,270.28)	(27,744.59)
07/16/14	07/17/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Aug 16 14 49.0 C TO OPEN Regulatory Fee 1.13	-	47	1.35	(6,346.13)	(34,090.72)
07/16/14	07/17/14	Margin	Sell - Securities Sold	YAHOO! INC YHOO Jul 25 14 33.5 P TO OPEN Commission 45.05 Regulatory Fee 1.78	-	71-	0.41	2,864.17	(31,226.55)
07/16/14	07/17/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Aug 16 14 49.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.34	(13,463.40)	(44,689.95)
07/16/14	07/17/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	3.50	(3,511.74)	(48,201.69)
07/17/14	07/17/14	Margin	Delivered - Other	QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C EXERCISED OPTION	-	214-	0.00	-	(48,201.69)
07/15/14	07/18/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	13,900	0.027	(381.30)	(48,582.99)
07/15/14	07/18/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.06	COWN	600-	4.3502	2,604.06	(45,978.93)
07/15/14	07/18/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Regulatory Fee 0.17	COWN	1,700-	4.3501	7,395.00	(38,583.93)
07/15/14	07/18/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Regulatory Fee 0.02	COWN	200-	4.35	869.98	(37,713.95)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/15/14	07/18/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	1,000	1.99	(1,996.00)	(39,709.95)
07/15/14	07/18/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	100	15.00	(1,506.00)	(41,215.95)
07/15/14	07/18/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.98	COWN	10,000-	4.40	43,993.02	2,777.07
07/15/14	07/18/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.34	COWN	3,500-	4.3901	15,359.01	18,136.08
07/15/14	07/18/14	Margin	Buy - Securities Purchased	COMMAND SEC CORP COM Commission 6.00	MOC	1,350	1.78	(2,409.00)	15,727.08
07/15/14	07/18/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.02	ZAZA	696-	0.8919	614.74	16,341.82
07/15/14	07/18/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.01	ZAZA	100-	0.8902	89.01	16,430.83
07/15/14	07/18/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.08	ZAZA	3,629-	0.89	3,229.73	19,660.56
07/15/14	07/18/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	1,000	0.17	(176.00)	19,484.56
07/15/14	07/18/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	162	15.00	(2,436.00)	17,048.56
07/15/14	07/18/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.02	TEWI	26,000-	0.0283	729.78	17,778.34

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/17/14	07/18/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jul 19 14 10.0 C TO CLOSE Commission 61.00 Regulatory Fee 2.55	-	100-	0.65	6,436.45	24,214.79
07/17/14	07/18/14	Margin	Sell - Securities Sold	HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C TO CLOSE Commission 33.50 Regulatory Fee 1.70	-	50-	4.50	22,464.80	46,679.59
07/17/14	07/18/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 2.5 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	1.85	(4,645.35)	42,034.24
07/17/14	07/18/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jul 19 14 10.0 C TO CLOSE Commission 50.00 Regulatory Fee 2.05	-	80-	0.70	5,547.95	47,582.19
07/17/14	07/18/14	Margin	Sell - Securities Sold	AEROPOSTALE INC ARO Jul 19 14 3.0 C TO CLOSE Commission 88.50 Regulatory Fee 3.69	-	150-	0.25	3,657.81	51,240.00
07/17/14	07/18/14	Margin	Sell - Securities Sold	YRC WORLDWIDE INC YRCW Jul 19 14 22.5 C TO CLOSE Commission 19.75 Regulatory Fee 0.92	-	25-	5.66	14,129.33	65,369.33
07/17/14	07/18/14	Margin	Sell - Securities Sold	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO CLOSE Commission 25.25 Regulatory Fee 0.94	-	35-	1.25	4,348.81	69,718.14
07/17/14	07/18/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Aug 16 14 49.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.37	(13,763.40)	55,954.74
07/17/14	07/18/14	Margin	Buy - Securities Purchased	QUANTUM FUEL SYSTEMS TEC QTWW Oct 18 14 4.0 C TO OPEN Commission 88.50 Regulatory Fee 3.60	-	150	1.40	(21,092.10)	34,862.64

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/17/14	07/18/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Aug 16 14 30.0 C TO OPEN Commission 20.30 Regulatory Fee 0.63	-	26	1.05	(2,750.93)	32,111.71
07/17/14	07/18/14	Margin	Buy - Securities Purchased	RITE AID CORP RAD Aug 16 14 7.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.35	(3,563.40)	28,548.31
07/17/14	07/18/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC QTWW Jul 19 14 3.0 C TO CLOSE Commission 61.00 Regulatory Fee 2.87	-	100-	2.10	20,936.13	49,484.44
07/17/14	07/18/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO CLOSE Commission 50.00 Regulatory Fee 2.60	-	80-	3.80	30,347.40	79,831.84
07/17/14	07/18/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO CLOSE Commission 28.00 Regulatory Fee 1.30	-	40-	3.80	15,170.70	95,002.54
07/17/14	07/18/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.65	-	20-	3.80	7,582.35	102,584.89
07/17/14	07/18/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Aug 16 14 32.5 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.43	(4,363.40)	98,221.49
07/17/14	07/18/14	Margin	Sell - Securities Sold	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO CLOSE Commission 33.50 Regulatory Fee 1.34	-	50-	1.20	5,965.16	104,186.65
07/17/14	07/18/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 3.5 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.30	(770.35)	103,416.30

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/17/14	07/18/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Jan 17 15 7.0 C TO CLOSE Commission 83.00 Regulatory Fee 4.51	-	140-	3.70	51,712.49	155,128.79
07/17/14	07/18/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 2.5 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	1.80	(2,714.61)	152,414.18
07/17/14	07/18/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Jul 19 14 49.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.37	(3,763.40)	148,650.78
07/17/14	07/18/14	Margin	Sell - Securities Sold	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO CLOSE Commission 36.25 Regulatory Fee 1.47	-	55-	1.23	6,727.28	155,378.06
07/17/14	07/18/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Jul 19 14 49.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.29	(1,484.70)	153,893.36
07/17/14	07/18/14	Margin	Sell - Securities Sold	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO CLOSE Commission 61.00 Regulatory Fee 2.67	-	100-	1.22	12,136.33	166,029.69
07/18/14	07/18/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(166,029.69)	0.00
07/16/14	07/21/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.278	(533.80)	(533.80)
07/16/14	07/21/14	Margin	Buy - Securities Purchased	OCLARO INC COM Commission 6.00	OCLR	7,500	2.0199	(15,155.25)	(15,689.05)
07/16/14	07/21/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	100	3.8299	(388.99)	(16,078.04)
07/16/14	07/21/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	100	15.00	(1,506.00)	(17,584.04)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/16/14	07/21/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	9,500	0.19	(1,811.00)	(19,395.04)
07/16/14	07/21/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	1,400	1.95	(2,736.00)	(22,131.04)
07/16/14	07/21/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.40	TRCH	5,000-	3.55	17,743.60	(4,387.44)
07/16/14	07/21/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	200	14.00	(2,806.00)	(7,193.44)
07/16/14	07/21/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	5,090	0.18	(922.20)	(8,115.64)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Aug 16 14 49.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	1.18	(5,934.70)	(14,050.34)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	3.50	(3,511.74)	(17,562.08)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	3.40	(3,411.74)	(20,973.82)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 9.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	2.60	(2,611.74)	(23,585.56)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Oct 18 14 2.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.39	(13,963.40)	(37,548.96)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/18/14	07/21/14	Margin	Sell - Securities Sold	CITIGROUP INC C Jul 19 14 49.0 C TO CLOSE Commission 47.25 Regulatory Fee 1.93	-	75-	0.78	5,800.82	(31,748.14)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Aug 01 14 36.0 C TO OPEN Commission 30.75 Regulatory Fee 1.08	-	45	2.46	(11,101.83)	(42,849.97)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Aug 16 14 49.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	1.22	(6,134.70)	(48,984.67)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Oct 18 14 2.0 C TO OPEN Commission 116.00 Regulatory Fee 4.80	-	200	1.40	(28,120.80)	(77,105.47)
07/18/14	07/21/14	Margin	Sell - Securities Sold	AOL INC AOL Jul 19 14 36.0 C TO CLOSE Commission 72.00 Regulatory Fee 3.64	-	120-	2.85	34,124.36	(42,981.11)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	RADIOSHACK CORPORATION RSH Jan 15 16 1.0 C TO OPEN Commission 281.00 Regulatory Fee 12.00	-	500	0.18	(9,293.00)	(52,274.11)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.89	(4,484.70)	(56,758.81)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	BLACKBERRY LIMITED BBRY Jan 17 15 9.0 C TO OPEN Commission 21.95 Regulatory Fee 0.70	-	29	2.03	(5,909.65)	(62,668.46)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Sep 20 14 12.0 C TO OPEN Commission 102.25 Regulatory Fee 4.20	-	175	0.25	(4,481.45)	(67,149.91)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/18/14	07/21/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Aug 16 14 33.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.25	(12,563.40)	(79,713.31)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	MGIC INVT CORP WIS MTG Jan 17 15 8.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.87	(1,319.61)	(81,032.92)
07/18/14	07/21/14	Margin	Sell - Securities Sold	CITIGROUP INC C Jul 19 14 49.0 C TO CLOSE Commission 19.75 Regulatory Fee 0.63	-	25-	0.51	1,254.62	(79,778.30)
07/18/14	07/21/14	Margin	Sell - Securities Sold	SEARS HOLDING CORP SHLD Jul 19 14 38.0 C TO CLOSE Commission 39.00 Regulatory Fee 1.52	-	60-	0.60	3,559.48	(76,218.82)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 3.5 C TO OPEN Commission 7.10 Regulatory Fee 0.05	-	2	0.35	(77.15)	(76,295.97)
07/18/14	07/21/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Sep 20 14 11.0 C TO OPEN Commission 22.50 Regulatory Fee 0.72	-	30	0.55	(1,673.22)	(77,969.19)
07/18/14	07/21/14	Margin	Sell - Securities Sold	CITIGROUP INC C Jul 19 14 49.0 C TO CLOSE Commission 33.50 Regulatory Fee 1.28	-	50-	0.67	3,315.22	(74,653.97)
07/21/14	07/21/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	74,653.97	0.00
07/21/14	07/21/14	Margin	Delivered - Other	AEROPOSTALE INC ARO Jul 19 14 3.0 C EXERCISED OPTION	-	300-	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	FUELCELL ENERGY INC FCEL Jul 19 14 2.0 C EXERCISED OPTION	-	150-	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	TEEKAY TANKERS LTD TNK Jul 19 14 5.0 C EXPIRATION	-	500-	0.00	-	0.00

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/21/14	07/21/14	Margin	Delivered - Other	TESLA MOTORS INC TSLA Jul 19 14 170.0 P EXPIRATION	-	186-	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	KATE SPADE & COMPANY KATE Jul 19 14 34.0 P EXPIRATION	-	208-	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	TWITTER INC TWTR Jul 19 14 38.0 C EXPIRATION	-	100-	0.00	-	0.00
07/21/14	07/21/14	Margin	Received - Other	TWITTER INC TWTR Jul 19 14 40.0 C EXPIRATION	-	100	0.00	-	0.00
07/21/14	07/21/14	Margin	Received - Other	TWITTER INC TWTR Jul 19 14 36.0 P EXPIRATION	-	100	0.00	-	0.00
07/21/14	07/21/14	Margin	Received - Other	UNITED CONTINENTAL HLDGS UAL Jul 19 14 37.0 P EXPIRATION	-	200	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	UNITED STATES NAT GAS FUND UNG Jul 19 14 25.0 C EXPIRATION	-	100-	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	UNITED STATES NAT GAS FUND UNG Jul 19 14 27.0 C EXPIRATION	-	200-	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	AMAZON.COM INC AMZN Jul 19 14 270.0 P EXPIRATION	-	350-	0.00	-	0.00
07/21/14	07/21/14	Margin	Received - Other	AOL INC AOL Jul 19 14 42.0 C EXPIRATION	-	110	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	FUELCELL ENERGY INC FCEL Jul 19 14 2.5 C EXPIRATION	-	525-	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	SEARS HOLDING CORP SHLD Jul 19 14 39.0 C EXPIRATION	-	50-	0.00	-	0.00

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/21/14	07/21/14	Margin	Delivered - Other	APOLLO EDUCATION GROUP INC APOL Jul 19 14 26.0 P EXPIRATION	-	120-	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	YAHOO! INC YHOO Jul 19 14 34.0 C EXPIRATION	-	225-	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	ZIOPHARM ONCOLOGY INC ZIOP Jul 19 14 5.0 C EXPIRATION	-	279-	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	QUIKSILVER INC ZQK Jul 19 14 7.0 C EXPIRATION	-	250-	0.00	-	0.00
07/21/14	07/21/14	Margin	Delivered - Other	MERRIMAN HOLDINGS INC 1:30 R/S 7/14/14 590419206 REORG - 1:30 REVERSE SPLIT 7/14/14 MERRIMAN HOLDINGS INC 590419206 Auto Reorg#231309|REVERSE SPLIT	590419107	200,026-	0.00	-	0.00
07/21/14	07/21/14	Margin	Received - Other	MERRIMAN HOLDINGS INC COM REORG - 1:30 REVERSE SPLIT 7/14/14 MERRIMAN HOLDINGS INC 590419206 Auto Reorg#231309|REVERSE SPLIT	MERRD	6,667	0.00	-	0.00
07/17/14	07/22/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.22	(1,050.00)	(1,050.00)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	15,000	0.0271	(412.50)	(1,462.50)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	1,500	1.92	(2,886.00)	(4,348.50)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	500	3.8146	(1,913.30)	(6,261.80)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/17/14	07/22/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	108	15.00	(1,626.00)	(7,887.80)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	100	3.72	(378.00)	(8,265.80)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	208	14.50	(3,022.00)	(11,287.80)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	445	5.13	(2,288.85)	(13,576.65)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM	RIBT	155	5.1299	(795.13)	(14,371.78)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	100	3.80	(386.00)	(14,757.78)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	1,550	0.255	(401.25)	(15,159.03)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	2,500	0.2001	(506.25)	(15,665.28)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM	HAUP	500	0.20	(100.00)	(15,765.28)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	100	3.8499	(390.99)	(16,156.27)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM Commission 6.00	RWC	1,200	3.72	(4,470.00)	(20,626.27)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	QUANTUM FUEL SYSTEMS TEC COM Commission 10.00	QTWW	21,400	3.00	(64,210.00)	(84,836.27)
07/17/14	07/22/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	4,538	0.20	(913.60)	(85,749.87)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/21/14	07/22/14	Margin	Buy - Securities Purchased	QUANTUM FUEL SYSTEMS TEC QTWW Oct 18 14 4.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.65	(16,563.40)	(102,313.27)
07/21/14	07/22/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Aug 16 14 5.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.05	(10,563.40)	(112,876.67)
07/21/14	07/22/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Oct 18 14 2.0 C TO OPEN Commission 22.50 Regulatory Fee 0.72	-	30	1.30	(3,923.22)	(116,799.89)
07/21/14	07/22/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Sep 20 14 11.0 C TO OPEN Commission 45.60 Regulatory Fee 1.73	-	72	0.50	(3,647.33)	(120,447.22)
07/21/14	07/22/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 4.0 C TO OPEN Commission 141.30 Regulatory Fee 5.91	-	246	0.15	(3,837.21)	(124,284.43)
07/21/14	07/22/14	Margin	Buy - Securities Purchased	HANWHA SOLARONE CO LTD HSOL Sep 20 14 2.0 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.50	(1,017.48)	(125,301.91)
07/22/14	07/22/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	91,375.72	(33,926.19)
07/22/14	07/22/14	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC Interest: Insured Deposit Account Payable: 07/31/2014 Insured Deposit Accoun 0.16	MMDA11	-	0.00	0.16	(33,926.03)
07/18/14	07/23/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM Commission 6.00	RWC	700	3.75	(2,631.00)	(36,557.03)
07/18/14	07/23/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	1,200	5.20	(6,246.00)	(42,803.03)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/18/14	07/23/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00	ETAK	2,500	0.8996	(2,255.01)	(45,058.04)
07/18/14	07/23/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00	ETAK	7,425	0.89	(6,614.25)	(51,672.29)
07/18/14	07/23/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	3,600	0.28	(1,014.00)	(52,686.29)
07/18/14	07/23/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.39	COWN	4,000-	4.3001	17,194.01	(35,492.28)
07/18/14	07/23/14	Margin	Sell - Securities Sold	AEROPOSTALE INC COM Commission 6.00 Regulatory Fee 0.12	ARO	1,500-	3.3201	4,974.03	(30,518.25)
07/18/14	07/23/14	Margin	Sell - Securities Sold	AEROPOSTALE INC COM Regulatory Fee 0.63	ARO	8,500-	3.32	28,219.37	(2,298.88)
07/18/14	07/23/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	7,500	0.2349	(1,767.75)	(4,066.63)
07/18/14	07/23/14	Margin	Sell - Securities Sold	AEROPOSTALE INC COM Commission 6.00 Regulatory Fee 0.15	ARO	2,020-	3.345	6,750.75	2,684.12
07/18/14	07/23/14	Margin	Sell - Securities Sold	AEROPOSTALE INC COM Regulatory Fee 0.08	ARO	980-	3.343	3,276.06	5,960.18
07/18/14	07/23/14	Margin	Sell - Securities Sold	AEROPOSTALE INC COM Regulatory Fee 0.12	ARO	1,600-	3.341	5,345.48	11,305.66
07/18/14	07/23/14	Margin	Sell - Securities Sold	AEROPOSTALE INC COM Regulatory Fee 0.40	ARO	5,400-	3.34	18,035.60	29,341.26

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/18/14	07/23/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	5,250	0.26	(1,371.00)	27,970.26
07/18/14	07/23/14	Margin	Sell - Securities Sold	AEROPOSTALE INC COM Commission 6.00 Regulatory Fee 0.75	ARO	10,000-	3.36	33,593.25	61,563.51
07/18/14	07/23/14	Margin	Buy - Securities Purchased	COMMAND SEC CORP COM Commission 6.00	MOC	92	1.78	(169.76)	61,393.75
07/18/14	07/23/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00	ETAK	75	0.881	(72.08)	61,321.67
07/18/14	07/23/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	100	4.00	(406.00)	60,915.67
07/21/14	07/23/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC COM Commission 10.00	ARO	30,000	3.00	(90,010.00)	(29,094.33)
07/21/14	07/23/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC COM Commission 10.00	FCEL	15,000	2.00	(30,010.00)	(59,104.33)
07/23/14	07/23/14	Margin	Delivered - Other	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 8.0 C CONTRACT ADJS: CASH DISTRIBUTION	-	700-	0.00	-	(59,104.33)
07/23/14	07/23/14	Margin	Received - Other	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C CONTRACT ADJS: CASH DISTRIBUTION	-	700	0.00	-	(59,104.33)
07/23/14	07/23/14	Margin	Delivered - Other	NORDIC AMERICAN TANKERS LTD NAT Oct 18 14 10.0 C CONTRACT ADJS: CASH DISTRIBUTION	-	325-	0.00	-	(59,104.33)
07/23/14	07/23/14	Margin	Received - Other	NORDIC AMERICAN TANKERS LTD NAT Oct 18 14 9.87 C CONTRACT ADJS: CASH DISTRIBUTION	-	325	0.00	-	(59,104.33)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/21/14	07/24/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.18	ZAZA	7,900-	0.9749	7,695.53	(51,408.80)
07/21/14	07/24/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.38	ZAZA	18,000-	0.9351	16,825.42	(34,583.38)
07/21/14	07/24/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.14	ZAZA	6,486-	0.9552	6,189.29	(28,394.09)
07/21/14	07/24/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.07	ZAZA	3,314-	0.9551	3,165.13	(25,228.96)
07/21/14	07/24/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.39	ZAZA	18,000-	0.9558	17,198.01	(8,030.95)
07/21/14	07/24/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	1,000	1.9899	(1,995.90)	(10,026.85)
07/21/14	07/24/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	15,000	0.0299	(454.50)	(10,481.35)
07/21/14	07/24/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.49	ZAZA	22,000-	0.9878	21,725.11	11,243.76
07/21/14	07/24/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.33	ZAZA	15,000-	0.9744	14,609.67	25,853.43
07/21/14	07/24/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	1,025	3.70	(3,798.50)	22,054.93

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/21/14	07/24/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.42	ZAZA	20,000-	0.944	18,873.58	40,928.51
07/21/14	07/24/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	4,800	3.80	(18,246.00)	22,682.51
07/21/14	07/24/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM	MERRD	92	3.65	(335.80)	22,346.71
07/21/14	07/24/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	108	3.95	(432.60)	21,914.11
07/21/14	07/24/14	Margin	Buy - Securities Purchased	COMMAND SEC CORP COM Commission 6.00	MOC	4,377	1.8799	(8,234.32)	13,679.79
07/21/14	07/24/14	Margin	Buy - Securities Purchased	COMMAND SEC CORP COM Commission 6.00	MOC	2,246	1.8899	(4,250.72)	9,429.07
07/21/14	07/24/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	1,500	1.96	(2,946.00)	6,483.07
07/21/14	07/24/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Commission 6.00 Regulatory Fee 0.05	QTWW	360-	5.493	1,971.43	8,454.50
07/21/14	07/24/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Regulatory Fee 0.87	QTWW	7,140-	5.49	39,197.73	47,652.23
07/21/14	07/24/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	300	3.727	(1,124.10)	46,528.13
07/21/14	07/24/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	5,000	0.26	(1,306.00)	45,222.13
07/21/14	07/24/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	100	3.738	(379.80)	44,842.33

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/21/14	07/24/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM Commission 6.00	RWC	70	3.73	(267.10)	44,575.23
07/21/14	07/24/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	300	3.72	(1,116.00)	43,459.23
07/21/14	07/24/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	100	3.719	(371.90)	43,087.33
07/21/14	07/24/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	100	3.718	(371.80)	42,715.53
07/21/14	07/24/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM	RWC	100	3.67	(367.00)	42,348.53
07/21/14	07/24/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.01	ZAZA	100-	0.9893	92.92	42,441.45
07/21/14	07/24/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	12	3.55	(48.60)	42,392.85
07/21/14	07/24/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	562	0.255	(149.31)	42,243.54
07/21/14	07/24/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.02	ZAZA	700-	0.9103	631.19	42,874.73
07/21/14	07/24/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.02	ZAZA	700-	0.9102	637.12	43,511.85
07/21/14	07/24/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	200	3.72	(750.00)	42,761.85
07/23/14	07/24/14	Margin	Buy - Securities Purchased	UNISYS CORP UIS Oct 18 14 24.0 C TO OPEN Commission 28.00 Regulatory Fee 0.96	-	40	0.45	(1,828.96)	40,932.89

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/23/14	07/24/14	Margin	Buy - Securities Purchased	UNISYS CORP UIS Oct 18 14 24.0 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.40	(817.48)	40,115.41
07/23/14	07/24/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 4.0 C TO OPEN Commission 41.75 Regulatory Fee 1.56	-	65	0.15	(1,018.31)	39,097.10
07/24/14	07/24/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(39,097.10)	0.00
07/24/14	07/25/14	Margin	Sell - Securities Sold	YAHOO! INC YHOO Aug 01 14 35.5 P TO OPEN Commission 61.00 Regulatory Fee 2.51	-	100-	0.48	4,736.49	4,736.49
07/24/14	07/25/14	Margin	Buy - Securities Purchased	UNISYS CORP UIS Oct 18 14 24.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.50	(1,270.35)	3,466.14
07/24/14	07/25/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	2.85	(2,861.74)	604.40
07/24/14	07/25/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 5.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.20	(314.61)	289.79
07/24/14	07/25/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 61.00 Regulatory Fee 2.69	-	100-	1.30	12,936.31	13,226.10
07/24/14	07/25/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 14.25 Regulatory Fee 0.42	-	15-	1.67	2,490.33	15,716.43
07/24/14	07/25/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC ZIOP Oct 18 14 3.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.90	(1,364.61)	14,351.82

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/25/14	07/25/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(14,351.82)	0.00
07/23/14	07/28/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	3,500	3.5499	(12,430.65)	(12,430.65)
07/23/14	07/28/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	3,500	3.85	(13,481.00)	(25,911.65)
07/23/14	07/28/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC COM Commission 6.00	FCEL	5,000	2.52	(12,606.00)	(38,517.65)
07/23/14	07/28/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	1,200	3.6318	(4,364.16)	(42,881.81)
07/23/14	07/28/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	1,500	3.90	(5,856.00)	(48,737.81)
07/23/14	07/28/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	1,680	0.26	(442.80)	(49,180.61)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Sep 20 14 11.0 C TO OPEN Commission 30.75 Regulatory Fee 1.08	-	45	0.80	(3,631.83)	(52,812.44)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	2.50	(2,511.74)	(55,324.18)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Aug 16 14 33.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	3.30	(3,311.74)	(58,635.92)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 3.5 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	0.40	(2,034.70)	(60,670.62)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/25/14	07/28/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 14.25 Regulatory Fee 0.39	-	15-	0.74	1,095.36	(59,575.26)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	FLAMEL TECH FLML Dec 20 14 10.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	5.00	(5,011.74)	(64,587.00)
07/25/14	07/28/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 14.25 Regulatory Fee 0.39	-	15-	0.82	1,215.36	(63,371.64)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	SONY CORP SNE Oct 18 14 17.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	1.20	(1,211.74)	(64,583.38)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Sep 20 14 12.0 C TO OPEN Commission 47.25 Regulatory Fee 1.80	-	75	0.30	(2,299.05)	(66,882.43)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Oct 18 14 2.0 C TO OPEN Commission 12.60 Regulatory Fee 0.29	-	12	1.25	(1,512.89)	(68,395.32)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Oct 18 14 2.0 C TO OPEN Commission 15.90 Regulatory Fee 0.44	-	18	1.25	(2,266.34)	(70,661.66)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jan 17 15 3.5 C TO OPEN Commission 30.75 Regulatory Fee 1.08	-	45	0.40	(1,831.83)	(72,493.49)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Aug 16 14 30.0 C TO OPEN Commission 28.00 Regulatory Fee 0.96	-	40	0.35	(1,428.96)	(73,922.45)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/25/14	07/28/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Aug 16 14 32.5 C TO OPEN Commission 61.00 Regulatory Fee 2.14	-	89	0.18	(1,665.14)	(75,587.59)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Aug 16 14 32.5 C TO OPEN Regulatory Fee 0.27	-	11	0.17	(187.27)	(75,774.86)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	5.20	(7,814.61)	(83,589.47)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC ZIOP Oct 18 14 3.0 C TO OPEN Commission 17.00 Regulatory Fee 0.20	-	8	0.85	(697.20)	(84,286.67)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC ZIOP Oct 18 14 3.0 C TO OPEN Regulatory Fee 0.29	-	12	0.83	(996.29)	(85,282.96)
07/25/14	07/28/14	Margin	Buy - Securities Purchased	MICHAEL KORS HOLDINGS KORS Aug 08 14 81.0 C TO OPEN Commission 22.50 Regulatory Fee 0.72	-	30	3.30	(9,923.22)	(95,206.18)
07/25/14	07/28/14	Margin	Sell - Securities Sold	MICHAEL KORS HOLDINGS KORS Jul 25 14 80.0 C TO CLOSE Commission 28.00 Regulatory Fee 1.06	-	40-	1.10	4,370.94	(90,835.24)
07/25/14	07/28/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 8.75 Regulatory Fee 0.13	-	5-	0.84	411.12	(90,424.12)
07/28/14	07/28/14	Margin	Delivered - Other	ADVANCED MICRO DEVICES INC AMD Jul 25 14 5.0 C EXPIRATION	-	500-	0.00	-	(90,424.12)
07/28/14	07/28/14	Margin	Received - Other	YAHOO! INC YHOO Jul 25 14 33.5 P EXPIRATION	-	150	0.00	-	(90,424.12)
07/28/14	07/28/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	53,448.92	(36,975.20)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/28/14	07/28/14	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC Interest: Insured Deposit Account Payable: 07/31/2014 Insured Deposit Accoun 0.05	MMDA11	-	0.00	0.05	(36,975.15)
07/24/14	07/29/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	10,000	0.16	(1,606.00)	(38,581.15)
07/24/14	07/29/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	1,500	1.9799	(2,975.85)	(41,557.00)
07/24/14	07/29/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Commission 6.00 Regulatory Fee 0.08	QTWW	604-	5.442	3,280.89	(38,276.11)
07/24/14	07/29/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Regulatory Fee 0.23	QTWW	1,896-	5.441	10,315.91	(27,960.20)
07/24/14	07/29/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Commission 6.00 Regulatory Fee 0.07	QTWW	500-	5.4601	2,723.98	(25,236.22)
07/24/14	07/29/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Regulatory Fee 0.25	QTWW	2,000-	5.46	10,919.75	(14,316.47)
07/24/14	07/29/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	200	3.8499	(775.98)	(15,092.45)
07/24/14	07/29/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	100	3.8149	(381.49)	(15,473.94)
07/24/14	07/29/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	182	3.90	(715.80)	(16,189.74)
07/24/14	07/29/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	1,500	1.95	(2,931.00)	(19,120.74)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/24/14	07/29/14	Margin	Buy - Securities Purchased	MEDIABISTRO INC COM Commission 6.00	MBIS	3,000	0.825	(2,481.00)	(21,601.74)
07/24/14	07/29/14	Margin	Buy - Securities Purchased	MEDIABISTRO INC COM	MBIS	200	0.8225	(164.50)	(21,766.24)
07/24/14	07/29/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	12,000	0.25	(3,006.00)	(24,772.24)
07/24/14	07/29/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00	ETAK	100	0.873	(93.30)	(24,865.54)
07/24/14	07/29/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	1,800	3.60	(6,486.00)	(31,351.54)
07/28/14	07/29/14	Margin	Sell - Securities Sold	YAHOO! INC YHOO Aug 01 14 35.5 P TO OPEN Commission 17.00 Regulatory Fee 0.50	-	20-	0.36	702.50	(30,649.04)
07/28/14	07/29/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	2.25	(2,261.74)	(32,910.78)
07/28/14	07/29/14	Margin	Buy - Securities Purchased	RITE AID CORP RAD Aug 08 14 7.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.10	(1,063.40)	(33,974.18)
07/28/14	07/29/14	Margin	Buy - Securities Purchased	RITE AID CORP RAD Aug 08 14 7.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.10	(1,063.40)	(35,037.58)
07/28/14	07/29/14	Margin	Buy - Securities Purchased	AVON PRODUCTS INC AVP Sep 20 14 15.0 C TO OPEN Commission 143.50 Regulatory Fee 6.00	-	250	0.25	(6,399.50)	(41,437.08)
07/28/14	07/29/14	Margin	Buy - Securities Purchased	TESLA MOTORS INC TSLA Aug 16 14 180.0 P TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.59	(5,963.40)	(47,400.48)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/28/14	07/29/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 4.0 C TO OPEN Commission 43.95 Regulatory Fee 1.66	-	69	0.15	(1,080.61)	(48,481.09)
07/28/14	07/29/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 2.5 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	1.70	(2,564.61)	(51,045.70)
07/28/14	07/29/14	Margin	Buy - Securities Purchased	AVON PRODUCTS INC AVP Sep 20 14 15.0 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.24	(497.48)	(51,543.18)
07/28/14	07/29/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 11.50 Regulatory Fee 0.26	-	10-	0.76	748.24	(50,794.94)
07/28/14	07/29/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.15	(1,563.40)	(52,358.34)
07/28/14	07/29/14	Margin	Buy - Securities Purchased	PANERA BREAD CO PNRA Aug 16 14 160.0 C TO OPEN Commission 47.25 Regulatory Fee 1.80	-	75	0.75	(5,674.05)	(58,032.39)
07/25/14	07/30/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	6,500	0.0297	(199.05)	(58,231.44)
07/25/14	07/30/14	Margin	Sell - Securities Sold	FUELCELL ENERGY INC COM Commission 6.00 Regulatory Fee 0.29	FCEL	5,500-	2.3601	12,974.26	(45,257.18)
07/25/14	07/30/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	3,000	0.27	(816.00)	(46,073.18)
07/25/14	07/30/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	300	3.70	(1,116.00)	(47,189.18)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/25/14	07/30/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM Commission 6.00	ZAZA	7,500	0.808	(6,066.00)	(53,255.18)
07/25/14	07/30/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Commission 6.00 Regulatory Fee 0.20	QTWW	1,600-	5.4901	8,777.96	(44,477.22)
07/25/14	07/30/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Commission 6.00 Regulatory Fee 0.20	QTWW	1,600-	5.4928	8,782.28	(35,694.94)
07/25/14	07/30/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	100	3.796	(385.60)	(36,080.54)
07/25/14	07/30/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Commission 6.00 Regulatory Fee 0.13	QTWW	1,000-	5.5001	5,493.97	(30,586.57)
07/25/14	07/30/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	193	3.50	(681.50)	(31,268.07)
07/25/14	07/30/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	6,000	0.26	(1,566.00)	(32,834.07)
07/29/14	07/30/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 11.50 Regulatory Fee 0.26	-	10-	0.76	748.24	(32,085.83)
07/29/14	07/30/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	1.40	(708.87)	(32,794.70)
07/29/14	07/30/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Dec 20 14 5.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	1.15	(1,161.74)	(33,956.44)

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Statement for Account # 867-730320

07/01/14 - 07/31/14

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/29/14	07/30/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 4.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.15	(395.35)	(34,351.79)
07/29/14	07/30/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	0.60	(611.74)	(34,963.53)
07/29/14	07/30/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Aug 16 14 34.5 P TO OPEN Commission 25.25 Regulatory Fee 0.84	-	35	0.99	(3,491.09)	(38,454.62)
07/29/14	07/30/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	1.40	(1,411.74)	(39,866.36)
07/30/14	07/30/14	Margin	Received - Other	MERRIMAN HOLDINGS INC COM REORG - CREDIT MANDATORY ROUNDING SHARE FROM 590419107	MERRD	1	0.00	-	(39,866.36)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	200	3.94	(794.00)	(40,660.36)
07/28/14	07/31/14	Margin	Sell - Securities Sold	FUELCELL ENERGY INC COM Commission 6.00 Regulatory Fee 0.16	FCEL	3,000-	2.3801	7,134.14	(33,526.22)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	108	20.00	(2,166.00)	(35,692.22)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	200	3.99	(804.00)	(36,496.22)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION COM Commission 6.00	ZAZA	5,000	0.7923	(3,967.50)	(40,463.72)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/28/14	07/31/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	200	3.90	(786.00)	(41,249.72)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	1,000	0.19	(196.00)	(41,445.72)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	513	3.90	(2,006.70)	(43,452.42)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	1,858	0.29	(544.82)	(43,997.24)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	100	3.9799	(403.99)	(44,401.23)
07/28/14	07/31/14	Margin	Sell - Securities Sold	FUELCELL ENERGY INC COM Commission 6.00 Regulatory Fee 0.60	FCEL	11,500-	2.36	27,133.40	(17,267.83)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	300	3.94	(1,188.00)	(18,455.83)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	100	21.00	(2,106.00)	(20,561.83)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERRD	2,000	3.59	(7,186.00)	(27,747.83)
07/28/14	07/31/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	166	3.87	(648.42)	(28,396.25)
07/30/14	07/31/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 14.25 Regulatory Fee 0.38	-	15-	0.53	780.37	(27,615.88)
07/30/14	07/31/14	Margin	Buy - Securities Purchased	PANERA BREAD CO PNRA Aug 16 14 160.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	0.55	(561.74)	(28,177.62)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/30/14	07/31/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	3.10	(1,558.87)	(29,736.49)
07/30/14	07/31/14	Margin	Sell - Securities Sold	AMERICAN AIRLINES GROUP INC AAL Aug 08 14 39.5 P TO OPEN Commission 39.00 Regulatory Fee 1.57	-	60-	0.97	5,779.43	(23,957.06)
07/30/14	07/31/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 8.75 Regulatory Fee 0.13	-	5-	0.55	266.12	(23,690.94)
07/30/14	07/31/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Sep 20 14 11.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.60	(914.61)	(24,605.55)
07/30/14	07/31/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	0.90	(911.74)	(25,517.29)
07/30/14	07/31/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	3.00	(1,508.87)	(27,026.16)
07/30/14	07/31/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Oct 18 14 2.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	1.35	(2,039.61)	(29,065.77)
07/30/14	07/31/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 11.50 Regulatory Fee 0.26	-	10-	0.76	748.24	(28,317.53)
07/30/14	07/31/14	Margin	Buy - Securities Purchased	ALCATEL LUCENT ALU Sep 20 14 3.5 C TO OPEN Commission 41.75 Regulatory Fee 1.56	-	65	0.45	(2,968.31)	(31,285.84)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/30/14	07/31/14	Margin	Buy - Securities Purchased	WHOLE FOODS MKT INC WFM Aug 08 14 38.5 P TO OPEN Commission 44.50 Regulatory Fee 1.68	-	70	1.70	(11,946.18)	(43,232.02)
07/30/14	07/31/14	Margin	Sell - Securities Sold	TWITTER INC TWTR Aug 01 14 36.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.48	-	10-	10.70	10,688.02	(32,544.00)
07/30/14	07/31/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Aug 01 14 46.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	1.51	(2,279.61)	(34,823.61)
07/30/14	07/31/14	Margin	Buy - Securities Purchased	WHOLE FOODS MKT INC WFM Aug 08 14 39.5 C TO OPEN Commission 44.50 Regulatory Fee 1.68	-	70	1.66	(11,666.18)	(46,489.79)
07/30/14	07/31/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Aug 01 14 46.0 C TO OPEN Commission 30.75 Regulatory Fee 1.08	-	45	1.46	(6,601.83)	(53,091.62)
07/30/14	07/31/14	Margin	Sell - Securities Sold	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.61	-	20-	2.90	5,782.39	(47,309.23)
07/30/14	07/31/14	Margin	Buy - Securities Purchased	UNISYS CORP UIS Oct 18 14 24.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.40	(614.61)	(47,923.84)
07/30/14	07/31/14	Margin	Sell - Securities Sold	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.61	-	20-	2.90	5,782.39	(42,141.45)
07/30/14	07/31/14	Margin	Sell - Securities Sold	YAHOO! INC YHOO Aug 08 14 36.0 P TO OPEN Commission 61.00 Regulatory Fee 2.51	-	100-	0.46	4,536.49	(37,604.96)

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Statement for Account # 867-730320

07/01/14 - 07/31/14

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/30/14	07/31/14	Margin	Sell - Securities Sold	YRC WORLDWIDE INC YRCW Oct 18 14 22.5 C TO CLOSE Commission 14.25 Regulatory Fee 0.54	-	15-	5.20	7,785.21	(29,819.75)
07/31/14	07/31/14	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 07/31/2014	-	-	0.00	(120.29)	(29,940.04)
Closing Balance									**($29,940.04)**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
07/02/14	$ (7,192.11)	$ -	1	9.00	$ 1.80	$ -
07/03/14	(21,348.12)	-	4	8.75	20.76	-
07/08/14	(3,156.25)	-	1	9.00	0.79	-
07/15/14	(53,573.28)	-	1	7.50	11.16	-
07/16/14	(80,699.25)	-	1	7.50	16.81	-
07/17/14	(48,201.69)	-	1	8.50	11.38	-
07/22/14	(33,926.03)	-	1	8.50	8.01	-
07/23/14	(59,104.33)	-	1	7.50	12.31	-
07/28/14	(36,975.15)	-	1	8.50	8.73	-
07/29/14	(58,032.39)	-	1	7.50	12.09	-
07/30/14	(39,866.36)	-	1	8.50	9.41	-
07/31/14	(29,819.75)	-	1	8.50	7.04	-
Total Interest Income/(Expense)					**$120.29**	**$ 0.00**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
07/01/14	$ 4,950.93	1	0.0100	$ -	$ -	$ -
07/07/14	8,681.43	1	0.0100	-	-	-
07/09/14	14,497.72	1	0.0100	0.01	0.01	-
07/10/14	11,089.64	1	0.0100	-	0.01	-
07/11/14	40,071.74	3	0.0100	0.03	0.04	-
07/14/14	15,001.11	1	0.0100	0.01	0.05	0.05
07/18/14	166,029.69	3	0.0100	0.13	0.13	-
07/21/14	91,375.72	1	0.0100	0.03	0.16	0.16
07/24/14	39,097.10	1	0.0100	0.01	0.01	-

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Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
07/25/14	53,448.92	3	0.0100	0.04	0.05	0.05
Total Interest Income						**$0.26**

Trades Pending Settlement

	Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	Margin	RIBTW	100	$ 1.59	07/29/14	08/01/14	$ (165.00)
BUY	RICEBRAN TECHNOLOGIES COM	Margin	RIBT	100	5.19	07/29/14	08/01/14	(525.00)
BUY	LANTRONIX INC COM	Margin	LTRX	1,200	1.96	07/29/14	08/01/14	(2,358.00)
BUY	MERRIMAN HOLDINGS INC COM	Margin	MERRD	614	3.59	07/29/14	08/01/14	(2,210.26)
BUY	MERRIMAN HOLDINGS INC COM	Margin	MERRD	86	3.58	07/29/14	08/01/14	(307.88)
BUY	TORCHLIGHT ENERGY RESOURCES COM	Margin	TRCH	100	4.02	07/29/14	08/01/14	(408.00)
BUY	NOTIFY TECHNOLOGY CORP COM	Margin	NTFY	104	22.00	07/29/14	08/01/14	(2,294.00)
SELL	TORCHLIGHT ENERGY RESOURCES COM	Margin	TRCH	6,500-	3.8801	07/29/14	08/01/14	25,214.09
BUY	TRANSGENOMIC INC COM	Margin	TBIO	434	3.9986	07/29/14	08/01/14	(1,741.39)
BUY	LIHUA INTERNATIONAL INC COM	Margin	LIWA	7,500	0.13	07/29/14	08/01/14	(981.00)
SELL	TORCHLIGHT ENERGY RESOURCES COM	Margin	TRCH	500-	3.9301	07/29/14	08/01/14	1,959.00
SELL	TORCHLIGHT ENERGY RESOURCES COM	Margin	TRCH	4,000-	3.93	07/29/14	08/01/14	15,719.65

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Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	TRANSGENOMIC INC COM	Margin	TBIO	1,000	3.95	07/29/14	08/01/14	(3,956.00)
BUY	NOTIFY TECHNOLOGY CORP COM	Margin	NTFY	100	22.00	07/29/14	08/01/14	(2,206.00)
SELL	QUANTUM FUEL SYSTEMS TEC COM	Margin	QTWW	700-	5.4701	07/29/14	08/01/14	3,822.98
SELL	QUANTUM FUEL SYSTEMS TEC COM	Margin	QTWW	1,000-	5.47	07/29/14	08/01/14	5,469.87
BUY	LANTRONIX INC COM	Margin	LTRX	500	1.938	07/29/14	08/01/14	(975.00)
BUY	RICEBRAN TECHNOLOGIES COM	Margin	RIBT	600	5.12	07/29/14	08/01/14	(3,078.00)
BUY	RELM WIRELESS CORP. COM	Margin	RWC	230	4.04	07/29/14	08/01/14	(935.20)
BUY	RICEBRAN TECHNOLOGIES COM	Margin	RIBT	100	5.125	07/29/14	08/01/14	(518.50)
SELL	MODUSLINK GLOBAL SOLUTIONS INC COM	Margin	MLNK	807-	3.76	07/29/14	08/01/14	3,028.25
BUY	MERRIMAN HOLDINGS INC COM	Margin	MERRD	2,200	3.45	07/29/14	08/01/14	(7,596.00)
BUY	MERRIMAN HOLDINGS INC COM	Margin	MERRD	2	3.47	07/29/14	08/01/14	(12.94)
BUY	ACCESS PHARMA INC COM	Margin	ACCP	3,500	0.285	07/29/14	08/01/14	(1,003.50)
BUY	MERRIMAN HOLDINGS INC COM	Margin	MERRD	279	3.49	07/29/14	08/01/14	(979.71)
BUY	MERRIMAN HOLDINGS INC COM	Margin	MERRD	112	3.58	07/29/14	08/01/14	(406.96)
SELL	AMERICAN AIRLINES GROUP INC AAL Aug 08 14 39.5 P	Margin	-	15-	1.39	07/31/14	08/01/14	2,070.34
SELL	SONY CORP SNE Oct 18 14 17.0 C	Margin	-	35-	2.00	07/31/14	08/01/14	6,973.75

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Trades Pending Settlement

	Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	SONY CORP SNE Oct 18 14 17.0 C	Margin	-	25-	2.10	07/31/14	08/01/14	5,229.53
BUY	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C	Margin	-	10	0.66	07/31/14	08/01/14	(671.74)
BUY	TWITTER INC TWTR Aug 01 14 46.0 C	Margin	-	20	0.39	07/31/14	08/01/14	(797.48)
BUY	FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C	Margin	-	5	1.50	07/31/14	08/01/14	(758.87)
BUY	RADNET INC. RDNT Sep 20 14 7.5 C	Margin	-	30	0.15	07/31/14	08/01/14	(473.22)
BUY	AEROPOSTALE INC ARO Jan 17 15 3.5 C	Margin	-	15	0.45	07/31/14	08/01/14	(689.61)
BUY	TWITTER INC TWTR Aug 01 14 46.0 C	Margin	-	20	0.15	07/31/14	08/01/14	(317.48)
BUY	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C	Margin	-	20	1.80	07/31/14	08/01/14	(3,617.48)
BUY	BEAZER HOMES USA INC BZH Jan 17 15 20.0 C	Margin	-	25	0.50	07/31/14	08/01/14	(1,270.35)
BUY	TWITTER INC TWTR Aug 01 14 46.0 C	Margin	-	20	0.21	07/31/14	08/01/14	(437.48)
BUY	JETBLUE AIRWAYS CORP JBLU Dec 20 14 10.0 C	Margin	-	100	1.45	07/31/14	08/01/14	(14,563.40)
SELL	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P	Margin	-	10-	0.55	07/31/14	08/01/14	538.24
SELL	YAHOO! INC YHOO Aug 08 14 36.0 P	Margin	-	15-	0.62	07/31/14	08/01/14	915.36
SELL	AMERICAN AIRLINES GROUP INC AAL Aug 08 14 39.5 P	Margin	-	10-	1.16	07/31/14	08/01/14	1,148.23
BUY	YRC WORLDWIDE INC YRCW Oct 18 14 32.5 C	Margin	-	10	0.45	07/31/14	08/01/14	(461.74)
BUY	COWEN GROUP INC COWN Jan 17 15 2.5 C	Margin	-	10	1.60	07/31/14	08/01/14	(1,611.74)
BUY	MICHAEL KORS HOLDINGS KORS Aug 08 14 81.0 C	Margin	-	10	3.70	07/31/14	08/01/14	(3,711.74)

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Trades Pending Settlement

	Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	RADNET INC. RDNT Sep 20 14 7.5 C	Margin	-	15	0.20	07/31/14	08/01/14	(314.61)
BUY	FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C	Margin	-	15	1.00	07/31/14	08/01/14	(1,514.61)
BUY	CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C	Margin	-	20	0.05	07/31/14	08/01/14	(117.48)
BUY	ZIOPHARM ONCOLOGY INC ZIOP Oct 18 14 3.0 C	Margin	-	15	0.80	07/31/14	08/01/14	(1,214.61)
BUY	YAHOO! INC YHOO Aug 16 14 33.0 C	Margin	-	5	3.10	07/31/14	08/01/14	(1,558.87)
SELL	SONY CORP SNE Oct 18 14 17.0 C	Margin	-	15-	1.70	07/31/14	08/01/14	2,535.33
BUY	RADNET INC. RDNT Sep 20 14 7.5 C	Margin	-	25	0.15	07/31/14	08/01/14	(395.35)
BUY	BEAZER HOMES USA INC BZH Jan 17 15 20.0 C	Margin	-	25	0.45	07/31/14	08/01/14	(1,145.35)
BUY	JETBLUE AIRWAYS CORP JBLU Dec 20 14 10.0 C	Margin	-	100	1.40	07/31/14	08/01/14	(14,063.40)
BUY	YRC WORLDWIDE INC YRCW Aug 16 14 32.5 C	Margin	-	16	0.17	07/31/14	08/01/14	(287.19)
BUY	BEAZER HOMES USA INC BZH Jan 17 15 20.0 C	Margin	-	15	0.45	07/31/14	08/01/14	(689.61)
BUY	RADNET INC. RDNT Sep 20 14 7.5 C	Margin	-	20	0.15	07/31/14	08/01/14	(317.48)
SELL	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P	Margin	-	5-	0.66	07/31/14	08/01/14	321.12
BUY	ACCESS PHARMA INC COM	Margin	ACCP	29,000	0.278	07/30/14	08/04/14	(8,068.00)
BUY	LIHUA INTERNATIONAL INC COM	Margin	LIWA	6,500	0.18	07/30/14	08/04/14	(1,176.00)
BUY	ZAZA ENERGY CORPORATION COM	Margin	ZAZA	6,453	0.75	07/30/14	08/04/14	(4,845.75)
SELL	QUANTUM FUEL SYSTEMS TEC COM	Margin	QTWW	1,500-	5.4184	07/30/14	08/04/14	8,121.42

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Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	MODUSLINK GLOBAL SOLUTIONS INC COM	Margin	MLNK	893-	3.7402	07/30/14	08/04/14	3,333.92
SELL	MODUSLINK GLOBAL SOLUTIONS INC COM	Margin	MLNK	300-	3.7401	07/30/14	08/04/14	1,122.00
BUY	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	Margin	RIBTW	200	1.59	07/30/14	08/04/14	(324.00)
BUY	ACCESS PHARMA INC COM	Margin	ACCP	31,000	0.26	07/30/14	08/04/14	(8,066.00)
SELL	QUANTUM FUEL SYSTEMS TEC COM	Margin	QTWW	1,500-	5.4201	07/30/14	08/04/14	8,123.97
SELL	TITAN ENERGY WORLDWIDE INC COM	Margin	TEWI	148,000-	0.0315	07/30/14	08/04/14	4,655.89
BUY	MERRIMAN HOLDINGS INC COM	Margin	MERRD	3,706	3.57	07/30/14	08/04/14	(13,236.42)
BUY	MERRIMAN HOLDINGS INC COM	Margin	MERRD	200	3.83	07/30/14	08/04/14	(772.00)
SELL	RELM WIRELESS CORP. COM	Margin	RWC	58-	4.09	07/30/14	08/04/14	231.21
SELL	RELM WIRELESS CORP. COM	Margin	RWC	900-	4.085	07/30/14	08/04/14	3,676.41
SELL	RELM WIRELESS CORP. COM	Margin	RWC	42-	4.08	07/30/14	08/04/14	171.35
SELL	RELM WIRELESS CORP. COM	Margin	RWC	158-	4.0701	07/30/14	08/04/14	643.06
SELL	RELM WIRELESS CORP. COM	Margin	RWC	42-	4.07	07/30/14	08/04/14	170.93
BUY	MERRIMAN HOLDINGS INC COM	Margin	MERRD	200	3.80	07/30/14	08/04/14	(766.00)
SELL	TORCHLIGHT ENERGY RESOURCES COM	Margin	TRCH	1,600-	3.8001	07/30/14	08/04/14	6,074.02

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Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	TORCHLIGHT ENERGY RESOURCES COM	Margin	TRCH	300-	3.705	07/30/14	08/04/14	1,105.47
SELL	TORCHLIGHT ENERGY RESOURCES COM	Margin	TRCH	4,700-	3.70	07/30/14	08/04/14	17,389.61
SELL	TORCHLIGHT ENERGY RESOURCES COM	Margin	TRCH	150-	3.70	07/30/14	08/04/14	548.98
SELL	TORCHLIGHT ENERGY RESOURCES COM	Margin	TRCH	4,850-	3.6501	07/30/14	08/04/14	17,702.59
BUY	RICEBRAN TECHNOLOGIES COM	Margin	RIBT	100	5.0999	07/31/14	08/05/14	(515.99)
BUY	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	Margin	RIBTW	200	1.5499	07/31/14	08/05/14	(315.98)
BUY	HAUPPAGE DIGITAL INC COM	Margin	HAUP	4,700	0.2498	07/31/14	08/05/14	(1,180.06)
BUY	RICEBRAN TECHNOLOGIES COM	Margin	RIBT	900	5.11	07/31/14	08/05/14	(4,605.00)
BUY	LANTRONIX INC COM	Margin	LTRX	300	1.9699	07/31/14	08/05/14	(596.97)
SELL	COWEN GROUP INC COM	Margin	COWN	3,185-	3.9601	07/31/14	08/05/14	12,606.64
SELL	MODUSLINK GLOBAL SOLUTIONS INC COM	Margin	MLNK	1,200-	3.7201	07/31/14	08/05/14	4,458.02
BUY	ELEPHANT TALK COMMUNICATIONS COM	Margin	ETAK	1,600	0.9073	07/31/14	08/05/14	(1,457.68)

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Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	COWEN GROUP INC COM	Margin	COWN	315-	3.9701	07/31/14	08/05/14	1,244.55
BUY	ELEPHANT TALK COMMUNICATIONS COM	Margin	ETAK	1,153	0.90	07/31/14	08/05/14	(1,043.70)

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$5,059.03**
07/01/14	-	07/01/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ (108.10)	4,950.93
07/02/14	-	07/02/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(4,950.93)	0.00
07/07/14	-	07/07/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	8,681.43	8,681.43
07/08/14	-	07/08/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(8,681.43)	0.00
07/09/14	-	07/09/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	14,497.72	14,497.72
07/10/14	-	07/10/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(3,408.08)	11,089.64
07/11/14	-	07/11/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	28,982.10	40,071.74

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Statement for Account # 867-730320

07/01/14 - 07/31/14

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
07/14/14	-	07/14/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(25,070.63)	15,001.11
07/15/14	-	07/15/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(15,001.11)	0.00
07/18/14	-	07/18/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	166,029.69	166,029.69
07/21/14	-	07/21/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(74,653.97)	91,375.72
07/22/14	-	07/22/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(91,375.72)	0.00
07/24/14	-	07/24/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	39,097.10	39,097.10
07/25/14	-	07/25/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	14,351.82	53,448.92
07/28/14	-	07/28/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(53,448.92)	0.00

Closing Balance **$ 0.00**

TD Bank NA **$0.00**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
08/01/14 - 08/31/14

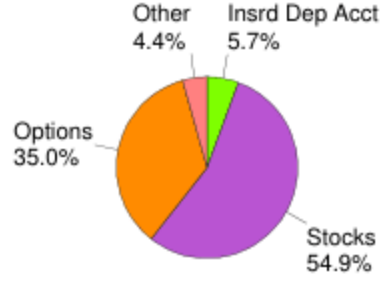
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	($123.04)	($29,940.04)	$29,817.00	-	$ -	-
Insrd Dep Acct	253,086.65	-	253,086.65	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	2,421,070.92	2,560,212.42	(139,141.50)	(5.4)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	1,544,041.82	1,255,868.18	288,173.64	22.9%	-	-
Short Options	-	(29,510.60)	29,510.60	100.0%	-	-
Mutual Funds	-	-	-	-	-	-
Other	194,020.00	136,720.50	57,299.50	41.9%	-	-
Total	**$4,412,096.35**	**$3,893,350.46**	**$518,745.89**	**13.3%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	($29,940.04)	$ -
Securities Purchased	(741,749.68)	(8,601,401.89)
Securities Sold	1,024,775.58	4,854,074.53
Funds Deposited	-	4,000,600.00
Funds Disbursed	-	-
Income	-	201.63
Expense	(123.04)	(516.18)
Other	(253,085.86)	(253,081.13)
Closing Balance	($123.04)	($123.04)

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$200.00
Interest	-	-	1.63
Other	-	-	-
Expense			
Interest	(123.04)	-	(516.18)
Fees	-	-	-
Other	-	-	-
Net	($123.04)	$0.00	($314.55)

Performance Summary

Cost Basis As Of - 08/31/14 **	**$4,105,532.89**
Unrealized Gains	632,022.23
Unrealized Losses	(578,422.38)
Funds Deposited/(Disbursed) YTD	4,000,600.00
Income/(Expense) YTD	(314.55)
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320
08/01/14 - 08/31/14

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 4,000,000.00
Subtotal	0.00	4,000,000.00
TOTAL	**0.00**	4,000,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	$ 0.00	$ 1.63
Margin Interest Charged	(123.04)	(516.18)
Qualified Dividends	0.00	200.00
IDA Interest	0.79	5.52

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
ACCESS PHARMA INC COM	ACCP	363,500	$ 0.33	$ 119,955.00	05/12/14	$ 142,412.44	$ 0.39	$ (22,457.44)	$ -	-
ATHERONOVA INC COM	AHRO	3,403	2.29	7,792.87	06/16/14	7,570.46	2.22	222.41	-	-
COMMAND SEC CORP COM	MOC	20,000	2.1783	43,566.00	07/07/14	36,518.57	1.83	7,047.43	-	-
ELEPHANT TALK COMMUNICATIONS COM	ETAK	193,853	0.96	186,098.88	05/12/14	193,085.38	1.00	(6,986.50)	-	-
EMRISE CORP COM	EMRI	32,000	0.79	25,280.00	05/15/14	27,134.47	0.85	(1,854.47)	-	-
HAUPPAGE DIGITAL INC COM	HAUP	187,800	0.151	28,357.80	06/13/14	35,201.45	0.19	(6,843.65)	-	-
LANTRONIX INC COM	LTRX	90,000	1.89	170,100.00	05/23/14	176,877.30	1.97	(6,777.30)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	81,001	0.1196	9,687.72	06/30/14	22,615.07	0.28	(12,927.35)	-	-
MECKLERMEDIA CORP COM	MECK	3,200	1.00	3,200.00	07/24/14	2,645.50	0.83	554.50	-	-
MERRIMAN HOLDINGS INC COM	MERR	30,400	3.80	115,520.00	07/09/14	105,961.03	3.49	9,558.97	-	-
MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	43,200	4.09	176,688.00	05/13/14	157,472.54	3.65	19,215.46	-	-
NOTIFY TECHNOLOGY CORP COM	NTFY	2,220	13.00	28,860.00	07/08/14	32,898.00	14.82	(4,038.00)	-	-
OCLARO INC COM	OCLR	80,000	1.75	140,000.00	05/13/14	151,686.72	1.90	(11,686.72)	-	-
RAINMAKER SYSTEMS INC COM	RMKR	472,000	0.1099	51,872.80	05/12/14	106,708.07	0.23	(54,835.27)	-	-
RELM WIRELESS CORP. COM	RWC	57,000	4.80	273,600.00	05/13/14	190,440.35	3.34	83,159.65	-	-
RICEBRAN TECHNOLOGIES COM	RIBT	66,900	6.65	444,885.00	05/12/14	371,803.49	5.56	73,081.51	-	-
TITAN ENERGY WORLDWIDE INC COM	TEWI	4,503,800	0.026	117,098.80	06/23/14	78,658.58	0.02	38,440.22	-	-
TRANSGENOMIC INC COM	TBIO	92,600	3.85	356,510.00	05/12/14	368,585.82	3.98	(12,075.82)	-	-
ZAZA ENERGY CORPORATION COM	ZAZA	8,873	5.85	51,907.05	05/19/14	63,306.03	7.13	(11,398.98)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
ZIOPHARM ONCOLOGY INC COM	ZIOP	21,700	3.23	70,091.00	05/14/14	76,372.38	3.52	(6,281.38)	-	-
Total Stocks				**$2,421,070.92**		**$2,347,953.65**		**$73,117.27**	**$0.00**	**0.0%**
Options - Margin										
ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C	-	920	$ 0.8398	$ 77,261.60	05/12/14	$ 80,879.08	$ 0.88	$ (3,617.48)	$ -	-
ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C	-	875	0.3002	26,267.50	06/20/14	36,151.25	0.41	(9,883.75)	-	-
AEROPOSTALE INC ARO Jan 17 15 3.5 C	-	610	1.0193	62,177.30	05/13/14	91,337.14	1.50	(29,159.84)	-	-
AEROPOSTALE INC ARO Oct 18 14 2.0 C	-	320	2.1952	70,246.40	07/18/14	44,146.39	1.38	26,100.01	-	-
ALCATEL LUCENT ALU Sep 20 14 4.0 C	-	405	0.0021	85.05	07/21/14	6,331.48	0.16	(6,246.43)	-	-
AVON PRODUCTS INC AVP Sep 20 14 15.0 C	-	270	0.0629	1,698.30	07/28/14	6,896.98	0.26	(5,198.68)	-	-
BEAZER HOMES USA INC BZH Jan 17 15 20.0 C	-	390	1.25	48,750.00	05/13/14	80,047.86	2.05	(31,297.86)	-	-
BLACKBERRY LIMITED BBRY Jan 17 15 9.0 C	-	130	1.9752	25,677.60	06/27/14	24,755.63	1.90	921.97	-	-
BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C	-	250	0.4864	12,160.00	07/09/14	43,457.50	1.74	(31,297.50)	-	-
CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C	-	505	1.5382	77,679.10	05/13/14	47,661.89	0.94	30,017.21	-	-
CITIGROUP INC C Sep 20 14 48.0 C	-	120	3.70	44,400.00	08/01/14	20,540.88	1.71	23,859.12	-	-
CORINTHIAN COLLEGES INC COCO Feb 20 15 1.0 C	-	575	0.0291	1,673.25	06/24/14	3,211.05	0.06	(1,537.80)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C	-	2,167	0.0001	21.67	05/13/14	63,816.86	0.29	(63,795.19)	-	-
COWEN GROUP INC COWN Jan 17 15 2.5 C	-	215	1.632	35,088.00	07/15/14	42,378.41	1.97	(7,290.41)	-	-
COWEN GROUP INC COWN Jan 17 15 5.0 C	-	600	0.1433	8,598.00	06/27/14	15,873.40	0.26	(7,275.40)	-	-
DRY SHIPS INCORPORATED DRYS Jan 17 15 3.0 C	-	800	0.5272	42,176.00	05/12/14	51,659.20	0.65	(9,483.20)	-	-
FLAMEL TECH FLML Dec 20 14 10.0 C	-	130	5.5099	71,628.70	05/14/14	53,660.62	4.13	17,968.08	-	-
FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C	-	290	1.544	44,776.00	05/13/14	37,228.46	1.28	7,547.54	-	-
FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C	-	380	1.0485	39,843.00	05/12/14	31,626.12	0.83	8,216.88	-	-
HANWHA SOLARONE CO LTD HSOL Sep 20 14 2.0 C	-	460	0.2961	13,620.60	05/13/14	39,126.04	0.85	(25,505.44)	-	-
HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C	-	110	7.175	78,925.00	05/13/14	45,394.14	4.13	33,530.86	-	-
JETBLUE AIRWAYS CORP JBLU Sep 20 14 11.0 C	-	162	1.275	20,655.00	07/18/14	9,866.99	0.61	10,788.01	-	-
JETBLUE AIRWAYS CORP JBLU Sep 20 14 12.0 C	-	250	0.4521	11,302.50	07/18/14	6,780.50	0.27	4,522.00	-	-
JETBLUE AIRWAYS CORP JBLU Dec 20 14 10.0 C	-	210	2.3639	49,641.90	07/31/14	30,038.54	1.43	19,603.36	-	-
MGIC INVT CORP WIS MTG Jan 17 15 8.0 C	-	515	1.0581	54,492.15	05/14/14	77,606.61	1.51	(23,114.46)	-	-
NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C	-	191	4.428	84,574.80	05/22/14	64,875.64	3.40	19,699.16	-	-
NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 9.0 C	-	130	3.6159	47,006.70	06/05/14	39,080.62	3.01	7,926.08	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C	-	725	1.4939	108,307.75	05/15/14	94,302.15	1.30	14,005.60	-	-
NORDIC AMERICAN TANKERS LTD NAT Oct 18 14 9.87 C	-	325	0.137	4,452.50	06/18/14	12,954.55	0.40	(8,502.05)	-	-
QUANTUM FUEL SYSTEMS TEC QTWW Oct 18 14 4.0 C	-	250	0.9039	22,597.50	07/17/14	37,655.50	1.51	(15,058.00)	-	-
RADIOSHACK CORPORATION RSH Jan 15 16 1.0 C	-	500	0.68	34,000.00	07/18/14	9,293.00	0.19	24,707.00	-	-
RADNET INC. RDNT Sep 20 14 7.5 C	-	1,530	0.1408	21,542.40	05/12/14	79,204.96	0.52	(57,662.56)	-	-
RADNET INC. RDNT Dec 20 14 5.0 C	-	480	2.0344	97,651.20	05/12/14	99,022.56	2.06	(1,371.36)	-	-
SONY CORP SNE Oct 18 14 17.0 C	-	485	2.15	104,275.00	05/13/14	47,690.50	0.98	56,584.50	-	-
TEEKAY TANKERS LTD TNK Nov 22 14 5.0 C	-	205	0.1423	2,917.15	06/23/14	7,279.67	0.36	(4,362.52)	-	-
TWITTER INC TWTR Sep 20 14 43.0 C	-	50	6.85	34,250.00	08/01/14	17,784.70	3.56	16,465.30	-	-
UNILIFE CORP UNIS Sep 20 14 2.5 C	-	380	0.2126	8,078.80	06/20/14	33,292.12	0.88	(25,213.32)	-	-
UNISYS CORP UIS Oct 18 14 24.0 C	-	250	0.6537	16,342.50	07/14/14	35,629.50	1.43	(19,287.00)	-	-
YAHOO! INC YHOO Sep 20 14 37.0 C	-	100	2.1468	21,468.00	08/01/14	15,463.40	1.55	6,004.60	-	-
YRC WORLDWIDE INC YRCW Oct 18 14 22.5 C	-	30	1.7924	5,377.20	06/05/14	6,820.23	2.27	(1,443.03)	-	-
YRC WORLDWIDE INC YRCW Oct 18 14 32.5 C	-	140	0.0001	1.40	06/27/14	18,905.37	1.35	(18,903.97)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
ZIOPHARM ONCOLOGY INC ZIOP Oct 18 14 3.0 C	-	210	0.5883	12,354.30	07/01/14	26,106.55	1.24	(13,752.25)	-	-
Total Options				**$1,544,041.82**		**$1,635,834.04**		**$(91,792.22)**	**$0.00**	**0.0%**
Other - Margin										
RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	89,000	$ 2.18	$ 194,020.00	05/12/14	$ 121,745.20	$ 1.37	$ 72,274.80	$ -	-
Total Other				**$194,020.00**		**$121,745.20**		**$72,274.80**	**$0.00**	**0.0%**
Total Margin Account				**$4,159,132.74**		**$4,105,532.89**		**$53,599.85**	**$0.00**	**0.0%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**($29,940.04)**
07/29/14	08/01/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	100	$ 1.59	$ (165.00)	(30,105.04)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.19	(525.00)	(30,630.04)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	1,200	1.96	(2,358.00)	(32,988.04)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERR	614	3.59	(2,210.26)	(35,198.30)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/29/14	08/01/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM	MERR	86	3.58	(307.88)	(35,506.18)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00	TRCH	100	4.02	(408.00)	(35,914.18)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	104	22.00	(2,294.00)	(38,208.18)
07/29/14	08/01/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.56	TRCH	6,500-	3.8801	25,214.09	(12,994.09)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	434	3.9986	(1,741.39)	(14,735.48)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	LIHUA INTERNATIONAL INC COM Commission 6.00	LIWA	7,500	0.13	(981.00)	(15,716.48)
07/29/14	08/01/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.05	TRCH	500-	3.9301	1,959.00	(13,757.48)
07/29/14	08/01/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Regulatory Fee 0.35	TRCH	4,000-	3.93	15,719.65	1,962.17
07/29/14	08/01/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	1,000	3.95	(3,956.00)	(1,993.83)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	100	22.00	(2,206.00)	(4,199.83)
07/29/14	08/01/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Commission 6.00 Regulatory Fee 0.09	QTWW	700-	5.4701	3,822.98	(376.85)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/29/14	08/01/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Regulatory Fee 0.13	QTWW	1,000-	5.47	5,469.87	5,093.02
07/29/14	08/01/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	500	1.938	(975.00)	4,118.02
07/29/14	08/01/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	600	5.12	(3,078.00)	1,040.02
07/29/14	08/01/14	Margin	Buy - Securities Purchased	RELM WIRELESS CORP. COM Commission 6.00	RWC	230	4.04	(935.20)	104.82
07/29/14	08/01/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.125	(518.50)	(413.68)
07/29/14	08/01/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.07	MLNK	807-	3.76	3,028.25	2,614.57
07/29/14	08/01/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERR	2,200	3.45	(7,596.00)	(4,981.43)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERR	2	3.47	(12.94)	(4,994.37)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	3,500	0.285	(1,003.50)	(5,997.87)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERR	279	3.49	(979.71)	(6,977.58)
07/29/14	08/01/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERR	112	3.58	(406.96)	(7,384.54)
07/31/14	08/01/14	Margin	Sell - Securities Sold	AMERICAN AIRLINES GROUP INC AAL Aug 08 14 39.5 P TO OPEN Commission 14.25 Regulatory Fee 0.41	-	15-	1.39	2,070.34	(5,314.20)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/31/14	08/01/14	Margin	Sell - Securities Sold	SONY CORP SNE Oct 18 14 17.0 C TO CLOSE Commission 25.25 Regulatory Fee 1.00	-	35-	2.00	6,973.75	1,659.55
07/31/14	08/01/14	Margin	Sell - Securities Sold	SONY CORP SNE Oct 18 14 17.0 C TO CLOSE Commission 19.75 Regulatory Fee 0.72	-	25-	2.10	5,229.53	6,889.08
07/31/14	08/01/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	0.66	(671.74)	6,217.34
07/31/14	08/01/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Aug 01 14 46.0 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.39	(797.48)	5,419.86
07/31/14	08/01/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	1.50	(758.87)	4,660.99
07/31/14	08/01/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 22.50 Regulatory Fee 0.72	-	30	0.15	(473.22)	4,187.77
07/31/14	08/01/14	Margin	Buy - Securities Purchased	AEROPOSTALE INC ARO Jan 17 15 3.5 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.45	(689.61)	3,498.16
07/31/14	08/01/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Aug 01 14 46.0 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.15	(317.48)	3,180.68
07/31/14	08/01/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	1.80	(3,617.48)	(436.80)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/31/14	08/01/14	Margin	Buy - Securities Purchased	BEAZER HOMES USA INC BZH Jan 17 15 20.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.50	(1,270.35)	(1,707.15)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Aug 01 14 46.0 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.21	(437.48)	(2,144.63)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Dec 20 14 10.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.45	(14,563.40)	(16,708.03)
07/31/14	08/01/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 11.50 Regulatory Fee 0.26	-	10-	0.55	538.24	(16,169.79)
07/31/14	08/01/14	Margin	Sell - Securities Sold	YAHOO! INC YHOO Aug 08 14 36.0 P TO OPEN Commission 14.25 Regulatory Fee 0.39	-	15-	0.62	915.36	(15,254.43)
07/31/14	08/01/14	Margin	Sell - Securities Sold	AMERICAN AIRLINES GROUP INC AAL Aug 08 14 39.5 P TO OPEN Commission 11.50 Regulatory Fee 0.27	-	10-	1.16	1,148.23	(14,106.20)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Oct 18 14 32.5 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	0.45	(461.74)	(14,567.94)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 2.5 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	1.60	(1,611.74)	(16,179.68)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	MICHAEL KORS HOLDINGS KORS Aug 08 14 81.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	3.70	(3,711.74)	(19,891.42)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/31/14	08/01/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.20	(314.61)	(20,206.03)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	1.00	(1,514.61)	(21,720.64)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.05	(117.48)	(21,838.12)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC ZIOP Oct 18 14 3.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.80	(1,214.61)	(23,052.73)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Aug 16 14 33.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	3.10	(1,558.87)	(24,611.60)
07/31/14	08/01/14	Margin	Sell - Securities Sold	SONY CORP SNE Oct 18 14 17.0 C TO CLOSE Commission 14.25 Regulatory Fee 0.42	-	15-	1.70	2,535.33	(22,076.27)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.15	(395.35)	(22,471.62)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	BEAZER HOMES USA INC BZH Jan 17 15 20.0 C TO OPEN Commission 19.75 Regulatory Fee 0.60	-	25	0.45	(1,145.35)	(23,616.97)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Dec 20 14 10.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.40	(14,063.40)	(37,680.37)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/31/14	08/01/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Aug 16 14 32.5 C TO OPEN Commission 14.80 Regulatory Fee 0.39	-	16	0.17	(287.19)	(37,967.56)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	BEAZER HOMES USA INC BZH Jan 17 15 20.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.45	(689.61)	(38,657.17)
07/31/14	08/01/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	0.15	(317.48)	(38,974.65)
07/31/14	08/01/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 8.75 Regulatory Fee 0.13	-	5-	0.66	321.12	(38,653.53)
07/30/14	08/04/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	29,000	0.278	(8,068.00)	(46,721.53)
07/30/14	08/04/14	Margin	Buy - Securities Purchased	LIHUA INTERNATIONAL INC COM Commission 6.00	LIWA	6,500	0.18	(1,176.00)	(47,897.53)
07/30/14	08/04/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION 1:10 R/S 8/20/14 98919T407 Commission 6.00	98919T100	6,453	0.75	(4,845.75)	(52,743.28)
07/30/14	08/04/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Commission 6.00 Regulatory Fee 0.18	QTWW	1,500-	5.4184	8,121.42	(44,621.86)
07/30/14	08/04/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.08	MLNK	893-	3.7402	3,333.92	(41,287.94)
07/30/14	08/04/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Regulatory Fee 0.03	MLNK	300-	3.7401	1,122.00	(40,165.94)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/30/14	08/04/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	200	1.59	(324.00)	(40,489.94)
07/30/14	08/04/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	31,000	0.26	(8,066.00)	(48,555.94)
07/30/14	08/04/14	Margin	Sell - Securities Sold	QUANTUM FUEL SYSTEMS TEC COM Commission 6.00 Regulatory Fee 0.18	QTWW	1,500-	5.4201	8,123.97	(40,431.97)
07/30/14	08/04/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.11	TEWI	148,000-	0.0315	4,655.89	(35,776.08)
07/30/14	08/04/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERR	3,706	3.57	(13,236.42)	(49,012.50)
07/30/14	08/04/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERR	200	3.83	(772.00)	(49,784.50)
07/30/14	08/04/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.01	RWC	58-	4.09	231.21	(49,553.29)
07/30/14	08/04/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.09	RWC	900-	4.085	3,676.41	(45,876.88)
07/30/14	08/04/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.01	RWC	42-	4.08	171.35	(45,705.53)
07/30/14	08/04/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.02	RWC	158-	4.0701	643.06	(45,062.47)
07/30/14	08/04/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.01	RWC	42-	4.07	170.93	(44,891.54)
07/30/14	08/04/14	Margin	Buy - Securities Purchased	MERRIMAN HOLDINGS INC COM Commission 6.00	MERR	200	3.80	(766.00)	(45,657.54)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/30/14	08/04/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.14	TRCH	1,600-	3.8001	6,074.02	(39,583.52)
07/30/14	08/04/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.03	TRCH	300-	3.705	1,105.47	(38,478.05)
07/30/14	08/04/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Regulatory Fee 0.39	TRCH	4,700-	3.70	17,389.61	(21,088.44)
07/30/14	08/04/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.02	TRCH	150-	3.70	548.98	(20,539.46)
07/30/14	08/04/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Regulatory Fee 0.40	TRCH	4,850-	3.6501	17,702.59	(2,836.87)
08/01/14	08/04/14	Margin	Buy - Securities Purchased	FLAMEL TECH FLML Dec 20 14 10.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	4.40	(2,208.87)	(5,045.74)
08/01/14	08/04/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	0.75	(383.87)	(5,429.61)
08/01/14	08/04/14	Margin	Buy - Securities Purchased	UNILIFE CORP UNIS Sep 20 14 2.5 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	0.35	(183.87)	(5,613.48)
08/01/14	08/04/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	0.10	(1,063.40)	(6,676.88)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/01/14	08/04/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Sep 20 14 37.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.54	(15,463.40)	(22,140.28)
08/01/14	08/04/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Sep 20 14 48.0 C TO OPEN Commission 61.00 Regulatory Fee 2.40	-	100	1.73	(17,363.40)	(39,503.68)
08/01/14	08/04/14	Margin	Sell - Securities Sold	WHOLE FOODS MKT INC WFM Aug 08 14 38.5 P TO CLOSE Commission 44.50 Regulatory Fee 1.83	-	70-	0.92	6,393.67	(33,110.01)
08/01/14	08/04/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 8.75 Regulatory Fee 0.13	-	5-	0.63	306.12	(32,803.89)
08/01/14	08/04/14	Margin	Buy - Securities Purchased	YRC WORLDWIDE INC YRCW Oct 18 14 22.5 C TO OPEN Commission 13.15 Regulatory Fee 0.32	-	13	1.75	(2,288.47)	(35,092.36)
08/01/14	08/04/14	Margin	Buy - Securities Purchased	CITIGROUP INC C Sep 20 14 48.0 C TO OPEN Commission 17.00 Regulatory Fee 0.48	-	20	1.58	(3,177.48)	(38,269.84)
08/01/14	08/04/14	Margin	Sell - Securities Sold	ALCATEL LUCENT ALU Sep 20 14 3.5 C TO CLOSE Commission 345.35 Regulatory Fee 15.02	-	617-	0.15	8,894.63	(29,375.21)
08/01/14	08/04/14	Margin	Buy - Securities Purchased	TWITTER INC TWTR Sep 20 14 43.0 C TO OPEN Commission 33.50 Regulatory Fee 1.20	-	50	3.55	(17,784.70)	(47,159.91)
08/01/14	08/04/14	Margin	Sell - Securities Sold	TWITTER INC TWTR Aug 01 14 36.0 C TO CLOSE Commission 25.25 Regulatory Fee 1.48	-	35-	8.20	28,673.27	(18,486.64)
08/04/14	08/04/14	Margin	Received - Other	YAHOO! INC YHOO Aug 01 14 35.5 P EXPIRATION	-	120	0.00	-	(18,486.64)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/04/14	08/04/14	Margin	Delivered - Other	TWITTER INC TWTR Aug 01 14 46.0 C EXPIRATION	-	120-	0.00	-	(18,486.64)
07/31/14	08/05/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.0999	(515.99)	(19,002.63)
07/31/14	08/05/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	200	1.5499	(315.98)	(19,318.61)
07/31/14	08/05/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	4,700	0.2498	(1,180.06)	(20,498.67)
07/31/14	08/05/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	900	5.11	(4,605.00)	(25,103.67)
07/31/14	08/05/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	300	1.9699	(596.97)	(25,700.64)
07/31/14	08/05/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.28	COWN	3,185-	3.9601	12,606.64	(13,094.00)
07/31/14	08/05/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.10	MLNK	1,200-	3.7201	4,458.02	(8,635.98)
07/31/14	08/05/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00	ETAK	1,600	0.9073	(1,457.68)	(10,093.66)
07/31/14	08/05/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.03	COWN	315-	3.9701	1,244.55	(8,849.11)
07/31/14	08/05/14	Margin	Buy - Securities Purchased	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00	ETAK	1,153	0.90	(1,043.70)	(9,892.81)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/04/14	08/05/14	Margin	Buy - Securities Purchased	ZIOPHARM ONCOLOGY INC ZIOP Oct 18 14 3.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	0.73	(741.74)	(10,634.55)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	3.70	(3,711.74)	(14,346.29)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.15	(239.61)	(14,585.90)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	MICHAEL KORS HOLDINGS KORS Aug 08 14 81.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.40	(614.61)	(15,200.51)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	1.25	(1,261.74)	(16,462.25)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 66.50 Regulatory Fee 2.64	-	110	0.10	(1,169.14)	(17,631.39)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	JETBLUE AIRWAYS CORP JBLU Dec 20 14 10.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	1.40	(1,411.74)	(19,043.13)
08/04/14	08/05/14	Margin	Sell - Securities Sold	AMERICAN AIRLINES GROUP INC AAL Aug 08 14 39.5 P TO OPEN Commission 11.50 Regulatory Fee 0.28	-	10-	1.38	1,368.22	(17,674.91)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Aug 16 14 33.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	3.30	(1,658.87)	(19,333.78)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/04/14	08/05/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	3.80	(3,811.74)	(23,145.52)
08/04/14	08/05/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 8.75 Regulatory Fee 0.14	-	5-	0.93	456.11	(22,689.41)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 44.50 Regulatory Fee 1.68	-	70	0.15	(1,096.18)	(23,785.59)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.15	(239.61)	(24,025.20)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	3.70	(3,711.74)	(27,736.94)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Sep 20 14 7.5 C TO OPEN Commission 22.50 Regulatory Fee 0.72	-	30	0.15	(473.22)	(28,210.16)
08/04/14	08/05/14	Margin	Sell - Securities Sold	AMERICAN AIRLINES GROUP INC AAL Aug 08 14 39.5 P TO OPEN Commission 11.50 Regulatory Fee 0.28	-	10-	1.43	1,418.22	(26,791.94)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	0.90	(458.87)	(27,250.81)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	0.78	(791.74)	(28,042.55)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/04/14	08/05/14	Margin	Sell - Securities Sold	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO OPEN Commission 8.75 Regulatory Fee 0.13	-	5-	0.78	381.12	(27,661.43)
08/04/14	08/05/14	Margin	Buy - Securities Purchased	RADNET INC. RDNT Aug 16 14 5.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	0.25	(261.74)	(27,923.17)
08/01/14	08/06/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	200	3.948	(795.60)	(28,718.77)
08/01/14	08/06/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.03	TRCH	300-	3.67	1,094.97	(27,623.80)
08/01/14	08/06/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Regulatory Fee 0.47	TRCH	5,700-	3.6601	20,862.10	(6,761.70)
08/01/14	08/06/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.18	TRCH	2,200-	3.669	8,065.62	1,303.92
08/01/14	08/06/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Regulatory Fee 0.09	TRCH	1,100-	3.661	4,027.01	5,330.93
08/01/14	08/06/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Regulatory Fee 0.39	TRCH	4,700-	3.66	17,201.61	22,532.54
08/01/14	08/06/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.04	TRCH	400-	3.555	1,415.96	23,948.50

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/01/14	08/06/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	5,500	0.26	(1,436.00)	22,512.50
08/01/14	08/06/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	1,000	1.565	(1,571.00)	20,941.50
08/01/14	08/06/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	900	1.958	(1,768.20)	19,173.30
08/01/14	08/06/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	400	5.06	(2,030.00)	17,143.30
08/01/14	08/06/14	Margin	Buy - Securities Purchased	LANTRONIX INC COM Commission 6.00	LTRX	4,100	1.9598	(8,041.18)	9,102.12
08/01/14	08/06/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.09	(1,024.00)	8,078.12
08/01/14	08/06/14	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES COM Commission 6.00 Regulatory Fee 0.05	TRCH	500-	3.6601	1,824.00	9,902.12
08/01/14	08/06/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	100	3.87	(393.00)	9,509.12
08/01/14	08/06/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	1,000	0.255	(261.00)	9,248.12
08/01/14	08/06/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION 1:10 R/S 8/20/14 98919T407 Commission 6.00	98919T100	1,750	0.691	(1,215.25)	8,032.87
08/05/14	08/06/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	1.25	(633.87)	7,399.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/05/14	08/06/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	3.80	(1,908.87)	5,490.13
08/05/14	08/06/14	Margin	Buy - Securities Purchased	FLAMEL TECH FLML Dec 20 14 10.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	5.40	(5,411.74)	78.39
08/05/14	08/06/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	0.82	(831.74)	(753.35)
08/05/14	08/06/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 5.0 C TO OPEN Commission 14.25 Regulatory Fee 0.36	-	15	0.15	(239.61)	(992.96)
08/05/14	08/06/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	3.50	(1,758.87)	(2,751.83)
08/05/14	08/06/14	Margin	Sell - Securities Sold	SONY CORP SNE Oct 18 14 17.0 C TO CLOSE Commission 19.75 Regulatory Fee 0.69	-	25-	1.45	3,604.56	852.73
08/05/14	08/06/14	Margin	Buy - Securities Purchased	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	0.81	(413.87)	438.86
08/05/14	08/06/14	Margin	Buy - Securities Purchased	FLAMEL TECH FLML Dec 20 14 10.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	5.40	(2,708.87)	(2,270.01)
08/05/14	08/06/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	3.40	(1,708.87)	(3,978.88)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/05/14	08/06/14	Margin	Buy - Securities Purchased	COWEN GROUP INC COWN Jan 17 15 5.0 C TO OPEN Commission 11.50 Regulatory Fee 0.24	-	10	0.15	(161.74)	(4,140.62)
08/05/14	08/06/14	Margin	Buy - Securities Purchased	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	1.25	(633.87)	(4,774.49)
08/05/14	08/06/14	Margin	Buy - Securities Purchased	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO OPEN Commission 8.75 Regulatory Fee 0.12	-	5	3.10	(1,558.87)	(6,333.36)
08/04/14	08/07/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.05	(1,016.00)	(7,349.36)
08/04/14	08/07/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	350	3.996	(1,404.60)	(8,753.96)
08/04/14	08/07/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM	TBIO	250	4.00	(1,000.00)	(9,753.96)
08/04/14	08/07/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.0699	(512.99)	(10,266.95)
08/04/14	08/07/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	4,000	0.175	(706.00)	(10,972.95)
08/04/14	08/07/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	200	3.8604	(778.08)	(11,751.03)
08/04/14	08/07/14	Margin	Buy - Securities Purchased	RAINMAKER SYSTEMS INC COM Commission 6.00	RMKR	7,000	0.14	(986.00)	(12,737.03)
08/04/14	08/07/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.07	MLNK	800-	3.7504	2,994.25	(9,742.78)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/04/14	08/07/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00	RIBTW	500	1.4891	(750.55)	(10,493.33)
08/04/14	08/07/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	300	3.97	(1,197.00)	(11,690.33)
08/04/14	08/07/14	Margin	Buy - Securities Purchased	LIHUA INTERNATIONAL INC COM Commission 6.00	LIWA	1,001	0.165	(171.17)	(11,861.50)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.05	(511.00)	(12,372.50)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	2,500	0.2742	(691.50)	(13,064.00)
08/05/14	08/08/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.01	COWN	100-	3.972	391.19	(12,672.81)
08/05/14	08/08/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Regulatory Fee 0.17	COWN	1,900-	3.971	7,544.73	(5,128.08)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	TRANSGENOMIC INC COM Commission 6.00	TBIO	200	3.92	(790.00)	(5,918.08)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	2,500	0.2737	(690.25)	(6,608.33)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	EMRISE CORP COM Commission 6.00	EMRI	1,574	0.83	(1,312.42)	(7,920.75)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	1,000	0.2498	(255.80)	(8,176.55)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	HAUPPAGE DIGITAL INC COM Commission 6.00	HAUP	1,000	0.20	(206.00)	(8,382.55)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/05/14	08/08/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Commission 6.00 Regulatory Fee 0.08	AHRO	1,500-	2.30	3,443.92	(4,938.63)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.0199	(507.99)	(5,446.62)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	ACCESS PHARMA INC COM Commission 6.00	ACCP	1,000	0.273	(279.00)	(5,725.62)
08/05/14	08/08/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.10	MLNK	1,200-	3.74	4,481.90	(1,243.72)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	4.9999	(505.99)	(1,749.71)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	LIHUA INTERNATIONAL INC COM Commission 6.00	LIWA	1,000	0.2099	(215.90)	(1,965.61)
08/05/14	08/08/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.02	MLNK	200-	3.7501	744.00	(1,221.61)
08/05/14	08/08/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.0662	(512.62)	(1,734.23)
08/05/14	08/08/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Commission 6.00 Regulatory Fee 0.02	AHRO	281-	2.40	668.38	(1,065.85)
08/05/14	08/08/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Commission 6.00 Regulatory Fee 0.02	AHRO	230-	2.26	513.78	(552.07)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/05/14	08/08/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Commission 6.00 Regulatory Fee 0.03	AHRO	450-	2.17	970.47	418.40
08/08/14	08/08/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(418.40)	0.00
08/06/14	08/11/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.1549	(521.49)	(521.49)
08/06/14	08/11/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.10	(516.00)	(1,037.49)
08/06/14	08/11/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	2,250	0.0316	(77.10)	(1,114.59)
08/06/14	08/11/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	100	5.08	(514.00)	(1,628.59)
08/06/14	08/11/14	Margin	Buy - Securities Purchased	RICEBRAN TECHNOLOGIES COM Commission 6.00	RIBT	200	5.02	(1,010.00)	(2,638.59)
08/06/14	08/11/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.06	COWN	600-	3.9901	2,388.00	(250.59)
08/06/14	08/11/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.02	MLNK	200-	3.771	748.18	497.59
08/06/14	08/11/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Regulatory Fee 0.04	MLNK	400-	3.77	1,507.96	2,005.55
08/06/14	08/11/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	7,700	0.0316	(249.32)	1,756.23

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/06/14	08/11/14	Margin	Buy - Securities Purchased	NOTIFY TECHNOLOGY CORP COM Commission 6.00	NTFY	100	17.00	(1,706.00)	50.23
08/06/14	08/11/14	Margin	Buy - Securities Purchased	TITAN ENERGY WORLDWIDE INC COM Commission 6.00	TEWI	10,000	0.03	(306.00)	(255.77)
08/06/14	08/11/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.08	COWN	800-	4.0301	3,218.00	2,962.23
08/06/14	08/11/14	Margin	Buy - Securities Purchased	ZAZA ENERGY CORPORATION 1:10 R/S 8/20/14 98919T407 Commission 6.00	98919T100	3,982	0.681	(2,717.74)	244.49
08/08/14	08/11/14	Margin	Buy - Securities Purchased	YAHOO! INC YHOO Aug 08 14 36.0 P TO CLOSE Commission 69.25 Regulatory Fee 2.76	-	115	0.22	(2,602.01)	(2,357.52)
08/08/14	08/11/14	Margin	Buy - Securities Purchased	AMERICAN AIRLINES GROUP INC AAL Aug 08 14 39.5 P TO CLOSE Commission 28.00 Regulatory Fee 0.96	-	40	2.42	(9,708.96)	(12,066.48)
08/08/14	08/11/14	Margin	Buy - Securities Purchased	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO CLOSE Commission 61.00 Regulatory Fee 2.40	-	100	2.05	(20,563.40)	(32,629.88)
08/08/14	08/11/14	Margin	Buy - Securities Purchased	AMERICAN AIRLINES GROUP INC AAL Aug 08 14 39.5 P TO CLOSE Commission 41.75 Regulatory Fee 1.56	-	65	2.30	(14,993.31)	(47,623.19)
08/08/14	08/11/14	Margin	Buy - Securities Purchased	UNITED CONTINENTAL HLDGS UAL Aug 08 14 45.0 P TO CLOSE Commission 77.50 Regulatory Fee 3.12	-	130	2.01	(26,210.62)	(73,833.81)
08/11/14	08/11/14	Margin	Delivered - Other	WHOLE FOODS MKT INC WFM Aug 08 14 39.5 C EXPIRATION	-	70-	0.00	-	(73,833.81)
08/11/14	08/11/14	Margin	Delivered - Other	MICHAEL KORS HOLDINGS KORS Aug 08 14 81.0 C EXPIRATION	-	55-	0.00	-	(73,833.81)

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Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/11/14	08/11/14	Margin	Delivered - Other	RITE AID CORP RAD Aug 08 14 7.0 C EXPIRATION	-	200-	0.00	-	(73,833.81)
08/11/14	08/11/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	418.40	(73,415.41)
08/08/14	08/13/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.60	COWN	6,700-	3.9901	26,727.07	(46,688.34)
08/08/14	08/13/14	Margin	Sell - Securities Sold	COWEN GROUP INC COM Commission 6.00 Regulatory Fee 0.57	COWN	6,400-	4.0101	25,658.07	(21,030.27)
08/08/14	08/13/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.38	MLNK	4,500-	3.7801	17,004.07	(4,026.20)
08/08/14	08/13/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.10	MLNK	1,115-	3.7801	4,208.71	182.51
08/08/14	08/13/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.02	MLNK	185-	3.79	695.13	877.64
08/13/14	08/13/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(877.64)	0.00
08/14/14	08/15/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO OPEN Commission 6.55 Regulatory Fee 0.03	-	1	3.30	(336.58)	(336.58)
08/14/14	08/15/14	Margin	Sell - Securities Sold	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.69	-	20-	4.60	9,182.31	8,845.73

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/14/14	08/15/14	Margin	Sell - Securities Sold	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.69	-	20-	4.70	9,382.31	18,228.04
08/14/14	08/15/14	Margin	Sell - Securities Sold	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO CLOSE Commission 22.50 Regulatory Fee 1.04	-	30-	4.70	14,076.46	32,304.50
08/14/14	08/15/14	Margin	Sell - Securities Sold	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO CLOSE Commission 25.25 Regulatory Fee 1.21	-	35-	4.70	16,423.54	48,728.04
08/14/14	08/15/14	Margin	Sell - Securities Sold	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO CLOSE Commission 25.25 Regulatory Fee 0.91	-	26-	4.80	12,453.84	61,181.88
08/14/14	08/15/14	Margin	Sell - Securities Sold	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO CLOSE Regulatory Fee 0.32	-	9-	4.72	4,247.68	65,429.56
08/15/14	08/15/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(65,429.56)	0.00
08/15/14	08/18/14	Margin	Sell - Securities Sold	RADNET INC. RDNT Aug 16 14 5.0 C TO CLOSE Commission 36.25 Regulatory Fee 1.54	-	55-	1.75	9,587.21	9,587.21
08/15/14	08/18/14	Margin	Sell - Securities Sold	RADNET INC. RDNT Aug 16 14 5.0 C TO CLOSE Commission 34.60 Regulatory Fee 1.46	-	52-	1.75	9,063.94	18,651.15
08/15/14	08/18/14	Margin	Sell - Securities Sold	PACIFIC ETHANOL INC PEIX Aug 16 14 16.0 C TO CLOSE Commission 77.50 Regulatory Fee 4.36	-	130-	4.30	55,818.14	74,469.29
08/15/14	08/18/14	Margin	Sell - Securities Sold	RADNET INC. RDNT Aug 16 14 5.0 C TO CLOSE Commission 7.65 Regulatory Fee 0.10	-	3-	1.80	532.25	75,001.54

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/18/14	08/18/14	Margin	Delivered - Other	YAHOO! INC YHOO Aug 16 14 33.0 C EXERCISED OPTION	-	120-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Delivered - Other	PANERA BREAD CO PNRA Aug 16 14 160.0 C EXPIRATION	-	85-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Delivered - Other	RITE AID CORP RAD Aug 16 14 7.0 C EXPIRATION	-	250-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Delivered - Other	CITIGROUP INC C Aug 16 14 49.0 C EXPIRATION	-	400-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Delivered - Other	TESLA MOTORS INC TSLA Aug 16 14 180.0 P EXPIRATION	-	100-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Delivered - Other	APOLLO EDUCATION GROUP INC APOL Aug 16 14 31.0 C EXPIRATION	-	150-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Delivered - Other	APOLLO EDUCATION GROUP INC APOL Aug 16 14 34.0 C EXPIRATION	-	300-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Delivered - Other	APOLLO EDUCATION GROUP INC APOL Aug 16 14 36.0 C EXPIRATION	-	250-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Delivered - Other	CORINTHIAN COLLEGES INC COCO Aug 16 14 1.0 C EXPIRATION	-	500-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Delivered - Other	CORINTHIAN COLLEGES INC COCO Aug 16 14 2.0 C EXPIRATION	-	2,000-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Delivered - Other	YRC WORLDWIDE INC YRCW Aug 16 14 30.0 C EXPIRATION	-	216-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Delivered - Other	YRC WORLDWIDE INC YRCW Aug 16 14 32.5 C EXPIRATION	-	416-	0.00	-	75,001.54

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/18/14	08/18/14	Margin	Delivered - Other	TWITTER INC TWTR Aug 16 14 34.5 P EXPIRATION	-	35-	0.00	-	75,001.54
08/18/14	08/18/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(75,001.54)	0.00
08/18/14	08/20/14	Margin	Buy - Securities Purchased	YAHOO! INC COM Commission 10.00	YHOO	12,000	33.00	(396,010.00)	(396,010.00)
08/20/14	08/20/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	141,308.74	(254,701.26)
08/20/14	08/20/14	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC Interest: Insured Deposit Account Payable: 08/31/2014 Insured Deposit Accoun 0.13	MMDA11	-	0.00	0.13	(254,701.13)
08/20/14	08/20/14	Margin	Delivered - Other	ZAZA ENERGY CORPORATION 1:10 R/S 8/20/14 98919T407 REORG - 1:10 REVERSE SPLIT 8/20/14 TO ZAZA ENERGY CORP 98919T407 Auto Reorg#234881|REVERSE SPLIT	98919T100	88,732-	0.00	-	(254,701.13)
08/20/14	08/20/14	Margin	Received - Other	ZAZA ENERGY CORPORATION COM REORG - 1:10 REVERSE SPLIT 8/20/14 TO ZAZA ENERGY CORP 98919T407 Auto Reorg#234881|REVERSE SPLIT	ZAZA	8,873	0.00	-	(254,701.13)
08/18/14	08/21/14	Margin	Sell - Securities Sold	YAHOO! INC COM Commission 6.00 Regulatory Fee 0.50	YHOO	600-	37.165	22,292.50	(232,408.63)
08/18/14	08/21/14	Margin	Sell - Securities Sold	YAHOO! INC COM Regulatory Fee 4.44	YHOO	5,400-	37.1601	200,660.10	(31,748.53)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/18/14	08/21/14	Margin	Sell - Securities Sold	YAHOO! INC COM Commission 6.00 Regulatory Fee 4.94	YHOO	6,000-	37.2001	223,189.66	191,441.13
08/21/14	08/21/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(191,441.13)	0.00
08/26/14	08/26/14	Margin	Delivered - Other	MEDIABISTRO INC N/C 8/25/14 58400W103 REORG - NAME CHANGE 8/25/14 TO MECKLERMEDIA CORP 58400W103 Auto Reorg#235154|STOCK PAYMENT	58448U101	3,200-	0.00	-	0.00
08/26/14	08/26/14	Margin	Received - Other	MECKLERMEDIA CORP COM REORG - NAME CHANGE 8/25/14 TO MECKLERMEDIA CORP 58400W103 Auto Reorg#235154|STOCK PAYMENT	MECK	3,200	0.00	-	0.00
08/22/14	08/27/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.01	RWC	100-	4.473	441.29	441.29
08/26/14	08/27/14	Margin	Sell - Securities Sold	HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C TO CLOSE Commission 14.25 Regulatory Fee 0.58	-	15-	6.40	9,585.17	10,026.46
08/26/14	08/27/14	Margin	Sell - Securities Sold	HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C TO CLOSE Commission 14.25 Regulatory Fee 0.59	-	15-	6.70	10,035.16	20,061.62
08/26/14	08/27/14	Margin	Sell - Securities Sold	HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C TO CLOSE Commission 14.25 Regulatory Fee 0.59	-	15-	6.90	10,335.16	30,396.78
08/26/14	08/27/14	Margin	Sell - Securities Sold	HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C TO CLOSE Commission 11.50 Regulatory Fee 0.40	-	10-	7.00	6,988.10	37,384.88

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Statement for Account # 867-730320

08/01/14 - 08/31/14

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/27/14	08/27/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(37,384.88)	0.00
08/25/14	08/28/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.07	RWC	700-	4.4801	3,130.00	3,130.00
08/25/14	08/28/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.14	RWC	1,300-	4.54	5,895.86	9,025.86
08/27/14	08/28/14	Margin	Sell - Securities Sold	AEROPOSTALE INC ARO Oct 18 14 2.0 C TO CLOSE Commission 22.50 Regulatory Fee 0.87	-	30-	2.16	6,456.63	15,482.49
08/27/14	08/28/14	Margin	Sell - Securities Sold	AEROPOSTALE INC ARO Oct 18 14 2.0 C TO CLOSE Commission 19.75 Regulatory Fee 0.73	-	25-	2.20	5,479.52	20,962.01
08/28/14	08/28/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(20,962.01)	0.00
08/26/14	08/29/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.07	RWC	600-	4.7201	2,825.99	2,825.99
08/26/14	08/29/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.02	RWC	100-	4.72	471.98	3,297.97
08/29/14	08/29/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,297.97)	0.00
08/29/14	08/29/14	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 08/29/2014	-	-	0.00	(123.04)	(123.04)
Closing Balance									**($123.04)**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

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Statement for Account # 867-730320

08/01/14 - 08/31/14

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
08/01/14	$ (38,653.53)	$ -	3	8.50	$ 27.38	$ -
08/04/14	(18,486.64)	-	1	8.75	4.49	-
08/05/14	(27,923.17)	-	1	8.50	6.59	-
08/06/14	(6,333.36)	-	1	9.00	1.58	-
08/07/14	(11,861.50)	-	1	8.75	2.88	-
08/11/14	(73,415.41)	-	2	7.50	30.59	-
08/20/14	(254,701.13)	-	1	7.00	49.53	-
Total Interest Income/(Expense)					**$123.04**	**$ 0.00**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
08/08/14	$ 418.40	3	0.0100	$ -	$ -	$ -
08/13/14	877.64	2	0.0100	-	-	-
08/15/14	66,307.20	3	0.0100	0.06	0.06	-
08/18/14	141,308.74	2	0.0100	0.07	0.13	0.13
08/21/14	191,441.13	6	0.0100	0.32	0.32	-
08/27/14	228,826.01	1	0.0100	0.06	0.38	-
08/28/14	249,788.02	1	0.0100	0.07	0.45	-
08/29/14	253,085.99	3	0.0100	0.21	0.66	0.66
Total Interest Income						**$0.79**

Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	RELM WIRELESS CORP. COM	Margin	RWC	700-	$ 4.8001	08/27/14	09/02/14	$ 3,353.99
SELL	RELM WIRELESS CORP. COM	Margin	RWC	700-	4.90	08/27/14	09/02/14	3,423.92
BUY	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 9.0 C	Margin	-	1	3.70	08/29/14	09/02/14	(376.58)

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Statement for Account # 867-730320

08/01/14 - 08/31/14

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$ 0.00**
08/08/14	-	08/08/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 418.40	418.40
08/11/14	-	08/11/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(418.40)	0.00
08/13/14	-	08/13/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	877.64	877.64
08/15/14	-	08/15/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	65,429.56	66,307.20
08/18/14	-	08/18/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	75,001.54	141,308.74
08/20/14	-	08/20/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(141,308.74)	0.00
08/21/14	-	08/21/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	191,441.13	191,441.13
08/27/14	-	08/27/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	37,384.88	228,826.01
08/28/14	-	08/28/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	18,673.99	247,500.00
08/28/14	-	08/28/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,288.02	249,788.02

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Statement for Account # 867-730320

08/01/14 - 08/31/14

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
08/29/14	-	08/29/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,297.97	253,085.99
08/29/14	-	08/29/14	Received	Interest: Insured Deposit Account	-	-	0.01	253,086.00
08/29/14	-	08/29/14	Received	Interest: Insured Deposit Account	-	-	0.65	253,086.65

Closing Balance **$253,086.65**

TD Bank NA **$247,500.00**

TD Bank USA NA **$5,586.65**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

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At the end of your September statement you'll find a copy of our Privacy Policy, which we provide to you annually.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
09/01/14 - 09/30/14

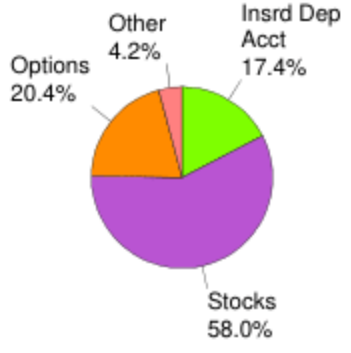
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	($0.03)	($123.04)	$123.01	-	$ -	-
Insrd Dep Acct	631,076.35	253,086.65	377,989.70	149.4%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	2,109,726.76	2,421,070.92	(311,344.16)	(12.9)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	739,640.04	1,544,041.82	(804,401.78)	(52.1)%	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	151,291.10	194,020.00	(42,728.90)	(22.0)%	-	-
Total	**$3,631,734.22**	**$4,412,096.35**	**($780,362.13)**	**(17.7)%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	($123.04)	$ -
Securities Purchased	(376.58)	(8,601,778.47)
Securities Sold	378,485.83	5,232,560.36
Funds Deposited	-	4,000,600.00
Funds Disbursed	-	-
Income	-	201.63
Expense	(0.03)	(516.21)
Other	(377,986.21)	(631,067.34)
Closing Balance	($0.03)	($0.03)

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$200.00
Interest	-	-	1.63
Other	-	-	-
Expense			
Interest	(0.03)	-	(516.21)
Fees	-	-	-
Other	-	-	-
Net	($0.03)	$0.00	($314.58)

Performance Summary

Cost Basis As Of - 09/30/14 **	**$3,698,668.25**
Unrealized Gains	217,043.70
Unrealized Losses	(915,054.05)
Funds Deposited/(Disbursed) YTD	4,000,600.00
Income/(Expense) YTD	(314.58)
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320

09/01/14 - 09/30/14

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 4,000,000.00
Subtotal	0.00	4,000,000.00
TOTAL	**0.00**	4,000,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	$ 0.00	$ 1.63
Margin Interest Charged	(0.03)	(516.21)
Qualified Dividends	0.00	200.00
IDA Interest	3.49	9.01

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
ACCESS PHARMA INC COM	ACCP	363,500	$ 0.275	$ 99,962.50	05/12/14	$ 142,412.44	$ 0.39	$ (42,449.94)	$ -	-
ATHERONOVA INC COM	AHRO	3,403	1.96	6,669.88	06/16/14	7,570.46	2.22	(900.58)	-	-
COMMAND SEC CORP COM	MOC	20,000	1.98	39,600.00	07/07/14	36,518.57	1.83	3,081.43	-	-
ELEPHANT TALK COMMUNICATIONS COM	ETAK	193,853	1.03	199,668.59	05/12/14	193,085.38	1.00	6,583.21	-	-
EMRISE CORP COM	EMRI	32,000	0.78	24,960.00	05/15/14	27,134.47	0.85	(2,174.47)	-	-
HAUPPAGE DIGITAL INC COM	HAUP	187,800	0.17	31,926.00	06/13/14	35,201.45	0.19	(3,275.45)	-	-
LANTRONIX INC COM	LTRX	90,000	1.78	160,200.00	05/23/14	176,877.30	1.97	(16,677.30)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	81,001	0.05	4,050.05	06/30/14	22,615.07	0.28	(18,565.02)	-	-
MECKLERMEDIA CORP COM	MECK	3,200	0.96	3,072.00	07/24/14	2,645.50	0.83	426.50	-	-
MERRIMAN HOLDINGS INC COM	MERR	30,400	2.55	77,520.00	07/09/14	105,961.03	3.49	(28,441.03)	-	-
MODUSLINK GLOBAL SOLUTIONS INC COM	MLNK	43,200	3.57	154,224.00	05/13/14	157,472.54	3.65	(3,248.54)	-	-
NOTIFY TECHNOLOGY CORP COM	NTFY	2,220	8.00	17,760.00	07/08/14	32,898.00	14.82	(15,138.00)	-	-
OCLARO INC COM	OCLR	80,000	1.43	114,400.00	05/13/14	151,686.72	1.90	(37,286.72)	-	-
RAINMAKER SYSTEMS INC COM	RMKR	472,000	0.075	35,400.00	05/12/14	106,708.07	0.23	(71,308.07)	-	-
RELM WIRELESS CORP. COM	RWC	45,000	5.16	232,200.00	05/13/14	151,440.35	3.37	80,759.65	-	-
RICEBRAN TECHNOLOGIES COM	RIBT	66,900	5.32	355,908.00	05/12/14	371,803.49	5.56	(15,895.49)	-	-
TITAN ENERGY WORLDWIDE INC COM	TEWI	4,503,800	0.0274	123,404.12	06/23/14	78,658.58	0.02	44,745.54	-	-
TRANSGENOMIC INC COM	TBIO	92,600	3.77	349,102.00	05/12/14	368,585.82	3.98	(19,483.82)	-	-
ZAZA ENERGY CORPORATION COM	ZAZA	6,174	3.63	22,411.62	05/21/14	45,300.57	7.34	(22,888.95)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
ZIOPHARM ONCOLOGY INC COM	ZIOP	21,700	2.64	57,288.00	05/14/14	76,372.38	3.52	(19,084.38)	-	-
Total Stocks				**$2,109,726.76**		**$2,290,948.19**		**$(181,221.43)**	**$0.00**	**0.0%**
Options - Margin										
ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C	-	920	$ 0.2689	$ 24,738.80	05/12/14	$ 80,879.08	$ 0.88	$ (56,140.28)	$ -	-
ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C	-	875	0.06	5,250.00	06/20/14	36,151.25	0.41	(30,901.25)	-	-
AEROPOSTALE INC ARO Jan 17 15 3.5 C	-	610	0.3279	20,001.90	05/13/14	91,337.14	1.50	(71,335.24)	-	-
AEROPOSTALE INC ARO Oct 18 14 2.0 C	-	320	1.2903	41,289.60	07/18/14	44,146.39	1.38	(2,856.79)	-	-
BEAZER HOMES USA INC BZH Jan 17 15 20.0 C	-	390	0.50	19,500.00	05/13/14	80,047.86	2.05	(60,547.86)	-	-
BLACKBERRY LIMITED BBRY Jan 17 15 9.0 C	-	130	1.62	21,060.00	06/27/14	24,755.63	1.90	(3,695.63)	-	-
CORINTHIAN COLLEGES INC COCO Feb 20 15 1.0 C	-	575	0.0001	5.75	06/24/14	3,211.05	0.06	(3,205.30)	-	-
CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C	-	2,167	0.0297	6,435.99	05/13/14	63,816.86	0.29	(57,380.87)	-	-
COWEN GROUP INC COWN Jan 17 15 2.5 C	-	215	1.3098	28,160.70	07/15/14	42,378.41	1.97	(14,217.71)	-	-
COWEN GROUP INC COWN Jan 17 15 5.0 C	-	600	0.3098	18,588.00	06/27/14	15,873.40	0.26	2,714.60	-	-
DRY SHIPS INCORPORATED DRYS Jan 17 15 3.0 C	-	800	0.12	9,600.00	05/12/14	51,659.20	0.65	(42,059.20)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
FLAMEL TECH FLML Dec 20 14 10.0 C	-	130	4.7496	61,744.80	05/14/14	53,660.62	4.13	8,084.18	-	-
FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C	-	290	1.0901	31,612.90	05/13/14	37,228.46	1.28	(5,615.56)	-	-
FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C	-	380	0.592	22,496.00	05/12/14	31,626.12	0.83	(9,130.12)	-	-
HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C	-	110	5.00	55,000.00	05/13/14	45,394.14	4.13	9,605.86	-	-
JETBLUE AIRWAYS CORP JBLU Dec 20 14 10.0 C	-	210	1.1248	23,620.80	07/31/14	30,038.54	1.43	(6,417.74)	-	-
MGIC INVT CORP WIS MTG Jan 17 15 8.0 C	-	515	0.612	31,518.00	05/14/14	77,606.61	1.51	(46,088.61)	-	-
NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C	-	191	4.056	77,469.60	05/22/14	64,875.64	3.40	12,593.96	-	-
NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 9.0 C	-	131	3.2025	41,952.75	06/05/14	39,457.20	3.01	2,495.55	-	-
NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C	-	725	0.6092	44,167.00	05/15/14	94,302.15	1.30	(50,135.15)	-	-
NORDIC AMERICAN TANKERS LTD NAT Oct 18 14 9.87 C	-	325	0.0145	471.25	06/18/14	12,954.55	0.40	(12,483.30)	-	-
QUANTUM FUEL SYSTEMS TEC QTWW Oct 18 14 4.0 C	-	250	0.1775	4,437.50	07/17/14	37,655.50	1.51	(33,218.00)	-	-
RADIOSHACK CORPORATION RSH Jan 15 16 1.0 C	-	500	0.305	15,250.00	07/18/14	9,293.00	0.19	5,957.00	-	-
RADNET INC. RDNT Dec 20 14 5.0 C	-	480	1.8685	89,688.00	05/12/14	99,022.56	2.06	(9,334.56)	-	-
SONY CORP SNE Oct 18 14 17.0 C	-	305	1.125	34,312.50	06/10/14	23,862.18	0.78	10,450.32	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
TEEKAY TANKERS LTD TNK Nov 22 14 5.0 C	-	205	0.053	1,086.50	06/23/14	7,279.67	0.36	(6,193.17)	-	-
UNISYS CORP UIS Oct 18 14 24.0 C	-	250	0.3001	7,502.50	07/14/14	35,629.50	1.43	(28,127.00)	-	-
YRC WORLDWIDE INC YRCW Oct 18 14 22.5 C	-	30	0.0988	296.40	06/05/14	6,820.23	2.27	(6,523.83)	-	-
YRC WORLDWIDE INC YRCW Oct 18 14 32.5 C	-	140	0.0202	282.80	06/27/14	18,905.37	1.35	(18,622.57)	-	-
ZIOPHARM ONCOLOGY INC ZIOP Oct 18 14 3.0 C	-	210	0.10	2,100.00	07/01/14	26,106.55	1.24	(24,006.55)	-	-
Total Options				**$739,640.04**		**$1,285,974.86**		**$(546,334.82)**	**$0.00**	**0.0%**
Other - Margin										
RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	89,000	$ 1.6999	$ 151,291.10	05/12/14	$ 121,745.20	$ 1.37	$ 29,545.90	$ -	-
Total Other				**$151,291.10**		**$121,745.20**		**$29,545.90**	**$0.00**	**0.0%**
Total Margin Account				**$3,000,657.90**		**$3,698,668.25**		**$(698,010.35)**	**$0.00**	**0.0%**

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Statement for Account # 867-730320

09/01/14 - 09/30/14

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**($123.04)**
08/27/14	09/02/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.08	RWC	700-	$ 4.8001	$ 3,353.99	3,230.95
08/27/14	09/02/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.08	RWC	700-	4.90	3,423.92	6,654.87
08/29/14	09/02/14	Margin	Buy - Securities Purchased	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 9.0 C TO OPEN Commission 6.55 Regulatory Fee 0.03	-	1	3.70	(376.58)	6,278.29
09/02/14	09/02/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(6,278.29)	0.00
09/02/14	09/05/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.16	ZAZA	1,200-	5.825	6,983.84	6,983.84
09/02/14	09/05/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.20	ZAZA	1,500-	5.805	8,701.30	15,685.14
09/05/14	09/05/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(15,685.14)	0.00
09/03/14	09/08/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.40	RWC	3,600-	4.96	17,849.60	17,849.60
09/08/14	09/08/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(17,849.60)	0.00
09/04/14	09/09/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.23	RWC	2,000-	5.1501	10,293.97	10,293.97
09/09/14	09/09/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(10,293.97)	0.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/09/14	09/09/14	Margin	Received - Other	ZAZA ENERGY CORPORATION COM REORG - CREDIT MANDATORY ROUNDING SHARE FROM 98919T100	ZAZA	1	0.00	-	0.00
09/05/14	09/10/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.22	RWC	1,700-	5.634	9,571.58	9,571.58
09/10/14	09/10/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(9,571.58)	0.00
09/12/14	09/15/14	Margin	Sell - Securities Sold	YAHOO! INC YHOO Sep 20 14 37.0 C TO CLOSE Commission 19.75 Regulatory Fee 0.93	-	25-	5.80	14,479.32	14,479.32
09/15/14	09/15/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(14,479.32)	0.00
09/15/14	09/16/14	Margin	Sell - Securities Sold	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO CLOSE Commission 33.50 Regulatory Fee 1.32	-	50-	1.07	5,315.18	5,315.18
09/16/14	09/16/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(5,315.18)	0.00
09/16/14	09/17/14	Margin	Sell - Securities Sold	SONY CORP SNE Oct 18 14 17.0 C TO CLOSE Commission 30.75 Regulatory Fee 1.40	-	45-	3.20	14,367.85	14,367.85
09/16/14	09/17/14	Margin	Sell - Securities Sold	SONY CORP SNE Oct 18 14 17.0 C TO CLOSE Commission 30.75 Regulatory Fee 1.40	-	45-	3.20	14,367.85	28,735.70
09/16/14	09/17/14	Margin	Sell - Securities Sold	SONY CORP SNE Oct 18 14 17.0 C TO CLOSE Commission 55.50 Regulatory Fee 2.82	-	90-	3.30	29,641.68	58,377.38
09/16/14	09/17/14	Margin	Sell - Securities Sold	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO CLOSE Commission 61.00 Regulatory Fee 2.58	-	100-	0.80	7,936.42	66,313.80

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/17/14	09/17/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(66,313.80)	0.00
09/17/14	09/18/14	Margin	Sell - Securities Sold	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO CLOSE Commission 63.75 Regulatory Fee 2.73	-	105-	0.90	9,383.52	9,383.52
09/17/14	09/18/14	Margin	Sell - Securities Sold	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO CLOSE Commission 33.50 Regulatory Fee 1.33	-	50-	1.11	5,515.17	14,898.69
09/17/14	09/18/14	Margin	Sell - Securities Sold	CITIGROUP INC C Sep 20 14 48.0 C TO CLOSE Commission 28.00 Regulatory Fee 1.40	-	40-	4.90	19,570.60	34,469.29
09/18/14	09/18/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(34,469.29)	0.00
09/18/14	09/19/14	Margin	Sell - Securities Sold	CITIGROUP INC C Sep 20 14 48.0 C TO CLOSE Commission 28.00 Regulatory Fee 1.41	-	40-	5.00	19,970.59	19,970.59
09/18/14	09/19/14	Margin	Sell - Securities Sold	CITIGROUP INC C Sep 20 14 48.0 C TO CLOSE Commission 28.00 Regulatory Fee 1.45	-	40-	5.45	21,770.55	41,741.14
09/18/14	09/19/14	Margin	Sell - Securities Sold	TWITTER INC TWTR Sep 20 14 43.0 C TO CLOSE Commission 33.50 Regulatory Fee 2.18	-	50-	8.80	43,964.32	85,705.46
09/18/14	09/19/14	Margin	Sell - Securities Sold	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO CLOSE Commission 61.00 Regulatory Fee 2.58	-	100-	0.80	7,936.42	93,641.88
09/18/14	09/19/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD HSOL Sep 20 14 2.0 C TO CLOSE Commission 132.50 Regulatory Fee 1.57	-	62-	0.56	3,337.93	96,979.81
09/18/14	09/19/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD HSOL Sep 20 14 2.0 C TO CLOSE Regulatory Fee 4.25	-	168-	0.55	9,235.75	106,215.56

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/19/14	09/19/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(106,215.56)	0.00
09/19/14	09/22/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Sep 20 14 11.0 C TO CLOSE Commission 95.10 Regulatory Fee 4.06	-	162-	0.45	7,190.84	7,190.84
09/19/14	09/22/14	Margin	Sell - Securities Sold	HANWHA SOLARONE CO LTD HSOL Sep 20 14 2.0 C TO CLOSE Commission 132.50 Regulatory Fee 5.75	-	230-	0.45	10,211.75	17,402.59
09/19/14	09/22/14	Margin	Sell - Securities Sold	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO CLOSE Commission 61.00 Regulatory Fee 2.56	-	100-	0.70	6,936.44	24,339.03
09/19/14	09/22/14	Margin	Sell - Securities Sold	BLACKBERRY LIMITED BBRY Sep 20 14 10.0 C TO CLOSE Commission 88.50 Regulatory Fee 3.87	-	150-	0.81	12,057.63	36,396.66
09/19/14	09/22/14	Margin	Sell - Securities Sold	CENTRAIS ELEC BRAS EBR Sep 20 14 2.5 C TO CLOSE Commission 61.00 Regulatory Fee 2.56	-	100-	0.70	6,936.44	43,333.10
09/19/14	09/22/14	Margin	Sell - Securities Sold	YAHOO! INC YHOO Sep 20 14 37.0 C TO CLOSE Commission 47.25 Regulatory Fee 2.45	-	75-	3.92	29,350.30	72,683.40
09/22/14	09/22/14	Margin	Delivered - Other	ALCATEL LUCENT ALU Sep 20 14 4.0 C EXPIRATION	-	405-	0.00	-	72,683.40
09/22/14	09/22/14	Margin	Delivered - Other	RADNET INC. RDNT Sep 20 14 7.5 C EXPIRATION	-	1,530-	0.00	-	72,683.40
09/22/14	09/22/14	Margin	Delivered - Other	AVON PRODUCTS INC AVP Sep 20 14 15.0 C EXPIRATION	-	270-	0.00	-	72,683.40
09/22/14	09/22/14	Margin	Delivered - Other	UNILIFE CORP UNIS Sep 20 14 2.5 C EXPIRATION	-	380-	0.00	-	72,683.40

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Statement for Account # 867-730320

09/01/14 - 09/30/14

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/22/14	09/22/14	Margin	Delivered - Other	JETBLUE AIRWAYS CORP JBLU Sep 20 14 12.0 C EXPIRATION	-	250-	0.00	-	72,683.40
09/22/14	09/22/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(72,683.40)	0.00
09/22/14	09/25/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.19	RWC	1,500-	5.6863	8,523.26	8,523.26
09/22/14	09/25/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.08	RWC	570-	5.7301	3,260.08	11,783.34
09/22/14	09/25/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.16	RWC	1,230-	5.73	7,047.74	18,831.08
09/25/14	09/25/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(18,831.08)	0.00
09/30/14	09/30/14	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 09/30/2014	-	-	0.00	(0.03)	(0.03)
Closing Balance									**($0.03)**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
09/01/14	$ (123.04)	$ -	1	9.00	$ 0.03	$ -
Total Interest Income/(Expense)					**$0.03**	**$ 0.00**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
09/01/14	$ 253,086.65	1	0.0100	$ 0.07	$ 0.07	$ -
09/02/14	259,364.94	3	0.0100	0.21	0.28	-
09/05/14	275,050.08	3	0.0100	0.23	0.51	-
09/08/14	292,899.68	1	0.0100	0.08	0.59	-
09/09/14	303,193.65	1	0.0100	0.08	0.67	-
09/10/14	312,765.23	5	0.0100	0.43	1.10	-

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Statement for Account # 867-730320

09/01/14 - 09/30/14

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
09/15/14	327,244.55	1	0.0100	0.09	1.19	-
09/16/14	332,559.73	1	0.0100	0.09	1.28	-
09/17/14	398,873.53	1	0.0100	0.11	1.39	-
09/18/14	433,342.82	1	0.0100	0.12	1.51	-
09/19/14	539,558.38	3	0.0100	0.44	1.95	-
09/22/14	612,241.78	3	0.0100	0.51	2.46	-
09/25/14	631,072.86	6	0.0100	1.03	3.49	3.49
Total Interest Income						**$3.49**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$253,086.65**
09/02/14	-	09/02/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 6,278.29	259,364.94
09/05/14	-	09/05/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	15,685.14	275,050.08
09/08/14	-	09/08/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	17,849.60	292,899.68
09/09/14	-	09/09/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	10,293.97	303,193.65
09/10/14	-	09/10/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	9,571.58	312,765.23
09/15/14	-	09/15/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	14,479.32	327,244.55
09/16/14	-	09/16/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	5,315.18	332,559.73

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Statement for Account # 867-730320

09/01/14 - 09/30/14

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
09/17/14	-	09/17/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	66,313.80	398,873.53
09/18/14	-	09/18/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	34,469.29	433,342.82
09/19/14	-	09/19/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	106,215.56	539,558.38
09/22/14	-	09/22/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	72,683.40	612,241.78
09/25/14	-	09/25/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	18,831.08	631,072.86
09/30/14	-	09/30/14	Received	Interest: Insured Deposit Account	-	-	1.46	631,074.32
09/30/14	-	09/30/14	Received	Interest: Insured Deposit Account	-	-	2.03	631,076.35

Closing Balance **$631,076.35**

TD Bank NA **$247,500.00**

TD Bank USA NA **$383,576.35**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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FACTS

WHAT DOES TD AMERITRADE FACTS DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and financial account numbers
- Account balances and transaction information
- Income and employment information

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons TD Ameritrade chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does TD Ameritrade share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We do not share
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	No	We do not share

To limit our sharing

- Call 800-326-7141—a client services representative will assist you or
- Visit us online: tdameritrade.com/privacy

Please note:

If your account is managed by an independent investment advisor, your account preferences are already set to limit our sharing.

If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

Questions?

Call 800-326-7141 or email privacy@tdameritrade.com

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Who we are

Who is providing this notice?	TD Ameritrade, Inc. and the TD Ameritrade family of divisions and companies, including TD Ameritrade Institutional, thinkorswim, and Amerivest Management, LLC.

What we do

How does TD Ameritrade protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. For more information, please visit tdameritrade.com/security
How does TD Ameritrade collect my personal information?	We collect your personal information, for example, when you ♦ Open an account or make deposits or withdrawals from your account ♦ Direct us to buy securities or direct us to sell your securities ♦ Tell us about your investment or retirement portfolio We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only ♦ Sharing for affiliates' everyday business purposes—information about your creditworthiness ♦ Affiliates from using your information to market to you ♦ Sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ♦ Our affiliates include companies with a TD Ameritrade name, financial companies such as RED Option Advisors, Inc. and the TD Bank Financial Group family, including TD Bank and The Toronto-Dominion Bank, as well as non-financial companies such as Investools, Inc.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. ♦ TD Ameritrade does not share with nonaffiliates so they can market to you.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ♦ TD Ameritrade doesn't jointly market.

Other important information

For Nevada Residents. We are providing you this notice pursuant to state law. You may be placed on our internal Do Not Call List by calling 800-326-7141. Nevada law requires that we also provide you with the following contact information: Bureau of Consumer Protection, Office of the Nevada Attorney General, 555 E. Washington St., Suite 3900, Las Vegas, NV 89101; Phone number: 702-486-3132; email: BCPINFO@ag.state.nv.us.

For Vermont Residents. In accordance with Vermont law, we will not share information we collect about Vermont residents with companies who are not affiliates, except as permitted by law, such as with your consent or to service your accounts. We will not share information about your creditworthiness with our affiliates without your authorization or consent, but we may share information about our transactions or experiences with you with our affiliates without your consent.

For California Residents. In accordance with California law, we will not share information we collect about you with nonaffiliates, except as allowed by law. For example, we may share information with your consent or to service your accounts. Among our affiliates, we will limit information sharing to the extent required by California law.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01267874

TD Ameritrade

Statement Reporting Period:
10/01/14 - 10/31/14

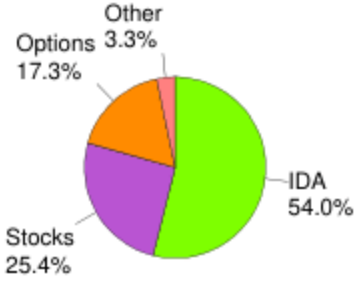
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	($0.03)	$0.03	-	$ -	-
Insrd Dep Acct (IDA)	1,799,049.93	631,076.35	1,167,973.58	185.1%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	845,922.90	2,109,726.76	(1,263,803.86)	(59.9)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	577,169.84	739,640.04	(162,470.20)	(22.0)%	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	111,250.00	151,291.10	(40,041.10)	(26.5)%	-	-
Total	**$3,333,392.67**	**$3,631,734.22**	**($298,341.55)**	**(8.2)%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	($0.03)	$ -
Securities Purchased	-	(8,601,778.47)
Securities Sold	1,167,964.23	6,400,524.59
Funds Deposited	-	4,000,600.00
Funds Disbursed	-	-
Income	-	201.63
Expense	-	(516.21)
Other	(1,167,964.20)	(1,799,031.54)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$200.00
Interest	-	-	1.63
Other	-	-	-
Expense			
Interest	-	-	(516.21)
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	($314.58)

Performance Summary

Cost Basis As Of - 10/31/14 **	**$2,060,067.93**
Unrealized Gains	162,098.69
Unrealized Losses	(767,793.88)
Funds Deposited/(Disbursed) YTD	4,000,600.00
Income/(Expense) YTD	(314.58)
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320

10/01/14 - 10/31/14

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 4,000,000.00
Subtotal	0.00	4,000,000.00
TOTAL	**0.00**	4,000,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	$ 0.00	$ 1.63
Margin Interest Charged	0.00	(516.21)
Qualified Dividends	0.00	200.00
IDA Interest	9.38	18.39

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
ACCESS PHARMA INC 1:50 R/S 10/24/2014 72754H109	00431M308	363,500	$ 0.22	$ 79,970.00	-	$ -	$ -	$ -	$ -	-
HAUPPAGE DIGITAL INC COM	HAUP	182,800	0.149	27,237.20	06/13/14	34,498.45	0.19	(7,261.25)	-	-
LIHUA INTERNATIONAL INC COM	LIWA	1	0.03	0.03	08/05/14	0.22	0.22	(0.19)	-	-
MERRIMAN HOLDINGS INC COM	MERR	26,891	2.15	57,815.65	07/10/14	96,372.98	3.58	(38,557.33)	-	-
RAINMAKER SYSTEMS INC COM	RMKR	472,000	0.0187	8,826.40	05/12/14	106,708.07	0.23	(97,881.67)	-	-
RICEBRAN TECHNOLOGIES COM	RIBT	66,900	4.36	291,684.00	05/12/14	371,803.49	5.56	(80,119.49)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
TITAN ENERGY WORLDWIDE INC COM	TEWI	4,503,800	0.0199	89,625.62	06/23/14	78,658.58	0.02	10,967.04	-	-
TRANSGENOMIC INC COM	TBIO	92,600	3.14	290,764.00	05/12/14	368,585.82	3.98	(77,821.82)	-	-
Total Stocks				**$845,922.90**		**$1,056,627.61**		**$(290,674.71)**	**$0.00**	**0.0%**
Options - Margin										
ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C	-	920	$ 0.05	$ 4,600.00	05/12/14	$ 80,879.08	$ 0.88	$ (76,279.08)	$ -	-
ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C	-	875	0.01	875.00	06/20/14	36,151.25	0.41	(35,276.25)	-	-
AEROPOSTALE INC ARO Jan 17 15 3.5 C	-	610	0.1388	8,466.80	05/13/14	91,337.14	1.50	(82,870.34)	-	-
BEAZER HOMES USA INC BZH Jan 17 15 20.0 C	-	390	0.575	22,425.00	05/13/14	80,047.86	2.05	(57,622.86)	-	-
CORINTHIAN COLLEGES INC COCO Feb 20 15 1.0 C	-	575	0.0003	17.25	06/24/14	3,211.05	0.06	(3,193.80)	-	-
CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C	-	2,167	0.0001	21.67	05/13/14	63,816.86	0.29	(63,795.19)	-	-
COWEN GROUP INC COWN Jan 17 15 2.5 C	-	215	1.5412	33,135.80	07/15/14	42,378.41	1.97	(9,242.61)	-	-
COWEN GROUP INC COWN Jan 17 15 5.0 C	-	600	0.1073	6,438.00	06/27/14	15,873.40	0.26	(9,435.40)	-	-
DRY SHIPS INCORPORATED DRYS Jan 17 15 3.0 C	-	800	0.03	2,400.00	05/12/14	51,659.20	0.65	(49,259.20)	-	-
FLAMEL TECH FLML Dec 20 14 10.0 C	-	130	2.5203	32,763.90	05/14/14	53,660.62	4.13	(20,896.72)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
JETBLUE AIRWAYS CORP JBLU Dec 20 14 10.0 C	-	110	1.7379	19,116.90	07/31/14	15,475.14	1.41	3,641.76	-	-
MGIC INVT CORP WIS MTG Jan 17 15 8.0 C	-	265	1.1666	30,914.90	05/14/14	39,463.11	1.49	(8,548.21)	-	-
NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C	-	191	4.7814	91,324.74	05/22/14	64,875.64	3.40	26,449.10	-	-
NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 9.0 C	-	131	3.8693	50,687.83	06/05/14	39,457.20	3.01	11,230.63	-	-
NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C	-	725	0.85	61,625.00	05/15/14	94,302.15	1.30	(32,677.15)	-	-
RADIOSHACK CORPORATION RSH Jan 15 16 1.0 C	-	500	0.275	13,750.00	07/18/14	9,293.00	0.19	4,457.00	-	-
RADNET INC. RDNT Dec 20 14 5.0 C	-	450	4.3975	197,887.50	05/13/14	92,534.34	2.06	105,353.16	-	-
TEEKAY TANKERS LTD TNK Nov 22 14 5.0 C	-	205	0.0351	719.55	06/23/14	7,279.67	0.36	(6,560.12)	-	-
Total Options				**$577,169.84**		**$881,695.12**		**$(304,525.28)**	**$0.00**	**0.0%**
Other - Margin										
RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018	RIBTW	89,000	$ 1.25	$ 111,250.00	05/12/14	$ 121,745.20	$ 1.37	$ (10,495.20)	$ -	-
Total Other				**$111,250.00**		**$121,745.20**		**$(10,495.20)**	**$0.00**	**0.0%**
Total Margin Account				**$1,534,342.74**		**$2,060,067.93**		**$(605,695.19)**	**$0.00**	**0.0%**

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**($0.03)**
10/01/14	10/01/14	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ 0.03	0.00
10/03/14	10/08/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.03	OCLR	800-	1.4601	1,162.05	1,162.05
10/03/14	10/08/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.30	OCLR	9,200-	1.46	13,431.70	14,593.75
10/03/14	10/08/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.65	MLNK	8,500-	3.4401	29,234.20	43,827.95
10/03/14	10/08/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Regulatory Fee 0.04	MLNK	500-	3.44	1,719.96	45,547.91
10/08/14	10/08/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(45,547.91)	0.00
10/06/14	10/09/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.41	RWC	3,700-	5.0101	18,530.96	18,530.96
10/06/14	10/09/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.09	RWC	800-	5.01	4,007.91	22,538.87
10/06/14	10/09/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00 Regulatory Fee 0.51	ETAK	23,000-	0.99	22,763.49	45,302.36
10/06/14	10/09/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.07	RWC	600-	4.9601	2,969.99	48,272.35

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/06/14	10/09/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.43	RWC	3,900-	4.96	19,343.57	67,615.92
10/06/14	10/09/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.56	RWC	5,000-	4.99	24,943.44	92,559.36
10/09/14	10/09/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(92,559.36)	0.00
10/07/14	10/10/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Commission 6.00 Regulatory Fee 0.01	ZAZA	100-	2.9501	289.00	289.00
10/07/14	10/10/14	Margin	Sell - Securities Sold	ZAZA ENERGY CORPORATION COM Regulatory Fee 0.40	ZAZA	6,074-	2.95	17,917.90	18,206.90
10/07/14	10/10/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.11	RWC	1,000-	4.8601	4,853.99	23,060.89
10/07/14	10/10/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.65	RWC	6,000-	4.86	29,159.35	52,220.24
10/07/14	10/10/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Commission 6.00 Regulatory Fee 0.14	AHRO	3,068-	1.92	5,884.42	58,104.66
10/07/14	10/10/14	Margin	Sell - Securities Sold	ATHERONOVA INC COM Commission 6.00 Regulatory Fee 0.02	AHRO	335-	1.95	647.23	58,751.89
10/07/14	10/10/14	Margin	Sell - Securities Sold	COMMAND SEC CORP COM Commission 6.00 Regulatory Fee 0.11	MOC	2,528-	1.92	4,847.65	63,599.54
10/10/14	10/10/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(63,599.54)	0.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/08/14	10/14/14	Margin	Sell - Securities Sold	COMMAND SEC CORP COM Commission 6.00 Regulatory Fee 0.26	MOC	6,072-	1.9108	11,596.12	11,596.12
10/08/14	10/14/14	Margin	Sell - Securities Sold	COMMAND SEC CORP COM Regulatory Fee 0.06	MOC	1,400-	1.9101	2,674.08	14,270.20
10/08/14	10/14/14	Margin	Sell - Securities Sold	MECKLERMEDIA CORP COM Commission 6.00 Regulatory Fee 0.07	MECK	3,200-	0.85	2,713.93	16,984.13
10/08/14	10/14/14	Margin	Sell - Securities Sold	COMMAND SEC CORP COM Commission 6.00 Regulatory Fee 0.26	MOC	6,100-	1.86	11,339.74	28,323.87
10/08/14	10/14/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.33	MLNK	4,200-	3.47	14,567.67	42,891.54
10/08/14	10/14/14	Margin	Sell - Securities Sold	COMMAND SEC CORP COM Commission 6.00 Regulatory Fee 0.17	MOC	3,900-	1.91	7,442.83	50,334.37
10/14/14	10/14/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(50,334.37)	0.00
10/09/14	10/15/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.01	OCLR	300-	1.405	415.49	415.49
10/09/14	10/15/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.05	OCLR	1,500-	1.4001	2,100.10	2,515.59
10/09/14	10/15/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.03	OCLR	700-	1.40	979.97	3,495.56

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/09/14	10/15/14	Margin	Sell - Securities Sold	ZIOPHARM ONCOLOGY INC COM Commission 6.00 Regulatory Fee 0.55	ZIOP	10,000-	2.47	24,693.45	28,189.01
10/09/14	10/15/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.56	RWC	5,300-	4.7101	24,956.97	53,145.98
10/09/14	10/15/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.03	RWC	200-	4.78	949.97	54,095.95
10/09/14	10/15/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.09	OCLR	2,600-	1.405	3,646.91	57,742.86
10/09/14	10/15/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.01	OCLR	200-	1.40	279.99	58,022.85
10/09/14	10/15/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.64	RWC	6,100-	4.7101	28,724.97	86,747.82
10/09/14	10/15/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.06	RWC	489-	4.71	2,303.13	89,050.95
10/15/14	10/15/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(89,050.95)	0.00
10/10/14	10/16/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.41	MLNK	5,500-	3.36	18,473.59	18,473.59
10/10/14	10/16/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.38	MLNK	5,000-	3.3601	16,794.12	35,267.71

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/10/14	10/16/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.11	RWC	1,100-	4.30	4,723.89	39,991.60
10/13/14	10/16/14	Margin	Sell - Securities Sold	ZIOPHARM ONCOLOGY INC COM Commission 6.00 Regulatory Fee 0.64	ZIOP	11,700-	2.46	28,775.36	68,766.96
10/13/14	10/16/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.09	OCLR	2,900-	1.33	3,850.91	72,617.87
10/16/14	10/16/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(72,617.87)	0.00
10/14/14	10/17/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.46	OCLR	15,000-	1.37	20,543.54	20,543.54
10/14/14	10/17/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.63	MLNK	9,500-	3.00	28,493.37	49,036.91
10/14/14	10/17/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.14	RWC	1,500-	3.9701	5,949.01	54,985.92
10/14/14	10/17/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.08	RWC	849-	3.97	3,370.45	58,356.37
10/14/14	10/17/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.33	RWC	3,722-	3.96	14,738.79	73,095.16
10/14/14	10/17/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.01	RWC	100-	4.05	398.99	73,494.15

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/14/14	10/17/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.01	RWC	100-	4.01	394.99	73,889.14
10/14/14	10/17/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Commission 6.00 Regulatory Fee 0.40	RWC	4,440-	3.99	17,709.20	91,598.34
10/14/14	10/17/14	Margin	Sell - Securities Sold	RELM WIRELESS CORP. COM Regulatory Fee 0.01	RWC	100-	4.00	399.99	91,998.33
10/17/14	10/17/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(91,998.33)	0.00
10/15/14	10/20/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00 Regulatory Fee 0.41	ETAK	20,000-	0.91	18,193.59	18,193.59
10/15/14	10/20/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.16	OCLR	5,000-	1.3701	6,844.34	25,037.93
10/15/14	10/20/14	Margin	Sell - Securities Sold	LANTRONIX INC COM Commission 6.00 Regulatory Fee 0.01	LTRX	100-	1.77	170.99	25,208.92
10/15/14	10/20/14	Margin	Sell - Securities Sold	LANTRONIX INC COM Regulatory Fee 0.39	LTRX	9,900-	1.76	17,423.61	42,632.53
10/15/14	10/20/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.01	OCLR	100-	1.385	132.49	42,765.02
10/15/14	10/20/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.31	OCLR	9,900-	1.38	13,661.69	56,426.71

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/15/14	10/20/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.37	OCLR	12,000-	1.38	16,553.63	72,980.34
10/15/14	10/20/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.03	OCLR	700-	1.3901	967.04	73,947.38
10/15/14	10/20/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.28	OCLR	9,100-	1.39	12,648.72	86,596.10
10/15/14	10/20/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.30	MLNK	4,386-	3.01	13,195.56	99,791.66
10/17/14	10/20/14	Margin	Sell - Securities Sold	HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C TO CLOSE Commission 17.00 Regulatory Fee 0.65	-	20-	3.70	7,382.35	107,174.01
10/17/14	10/20/14	Margin	Sell - Securities Sold	RADNET INC. RDNT Dec 20 14 5.0 C TO CLOSE Commission 22.50 Regulatory Fee 0.97	-	30-	3.70	11,076.53	118,250.54
10/17/14	10/20/14	Margin	Sell - Securities Sold	SONY CORP SNE Oct 18 14 17.0 C TO CLOSE Commission 173.75 Regulatory Fee 7.43	-	305-	0.15	4,393.82	122,644.36
10/17/14	10/20/14	Margin	Sell - Securities Sold	FUELCELL ENERGY INC FCEL Oct 18 14 1.0 C TO CLOSE Commission 165.50 Regulatory Fee 7.45	-	290-	0.75	21,577.05	144,221.41
10/17/14	10/20/14	Margin	Sell - Securities Sold	FUELCELL ENERGY INC FCEL Oct 18 14 1.5 C TO CLOSE Commission 215.00 Regulatory Fee 9.33	-	380-	0.25	9,275.67	153,497.08

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/17/14	10/20/14	Margin	Sell - Securities Sold	AEROPOSTALE INC ARO Oct 18 14 2.0 C TO CLOSE Commission 110.50 Regulatory Fee 0.71	-	27-	0.87	2,237.79	155,734.87
10/17/14	10/20/14	Margin	Sell - Securities Sold	AEROPOSTALE INC ARO Oct 18 14 2.0 C TO CLOSE Regulatory Fee 4.23	-	163-	0.85	13,850.77	169,585.64
10/17/14	10/20/14	Margin	Sell - Securities Sold	AEROPOSTALE INC ARO Oct 18 14 2.0 C TO CLOSE Commission 77.50 Regulatory Fee 3.38	-	130-	0.90	11,619.12	181,204.76
10/20/14	10/20/14	Margin	Delivered - Other	UNISYS CORP UIS Oct 18 14 24.0 C EXPIRATION	-	250-	0.00	-	181,204.76
10/20/14	10/20/14	Margin	Delivered - Other	NORDIC AMERICAN TANKERS LTD NAT Oct 18 14 9.87 C EXPIRATION	-	325-	0.00	-	181,204.76
10/20/14	10/20/14	Margin	Delivered - Other	QUANTUM FUEL SYSTEMS TEC QTWW Oct 18 14 4.0 C EXPIRATION	-	250-	0.00	-	181,204.76
10/20/14	10/20/14	Margin	Delivered - Other	YRC WORLDWIDE INC YRCW Oct 18 14 22.5 C EXPIRATION	-	30-	0.00	-	181,204.76
10/20/14	10/20/14	Margin	Delivered - Other	YRC WORLDWIDE INC YRCW Oct 18 14 32.5 C EXPIRATION	-	140-	0.00	-	181,204.76
10/20/14	10/20/14	Margin	Delivered - Other	ZIOPHARM ONCOLOGY INC ZIOP Oct 18 14 3.0 C EXPIRATION	-	210-	0.00	-	181,204.76
10/20/14	10/20/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(181,204.76)	0.00
10/16/14	10/21/14	Margin	Sell - Securities Sold	MODUSLINK GLOBAL SOLUTIONS INC COM Commission 6.00 Regulatory Fee 0.38	MLNK	5,614-	2.9901	16,780.04	16,780.04

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/16/14	10/21/14	Margin	Sell - Securities Sold	OCLARO INC COM Commission 6.00 Regulatory Fee 0.20	OCLR	5,900-	1.501	8,849.70	25,629.74
10/16/14	10/21/14	Margin	Sell - Securities Sold	OCLARO INC COM Regulatory Fee 0.14	OCLR	4,100-	1.50	6,149.86	31,779.60
10/20/14	10/21/14	Margin	Sell - Securities Sold	BLACKBERRY LIMITED BBRY Jan 17 15 9.0 C TO CLOSE Commission 41.75 Regulatory Fee 1.83	-	65-	1.83	11,851.42	43,631.02
10/21/14	10/21/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(43,631.02)	0.00
10/17/14	10/22/14	Margin	Sell - Securities Sold	NOTIFY TECHNOLOGY CORP COM Commission 6.00 Regulatory Fee 0.04	NTFY	200-	8.05	1,603.96	1,603.96
10/17/14	10/22/14	Margin	Sell - Securities Sold	NOTIFY TECHNOLOGY CORP COM Regulatory Fee 0.02	NTFY	100-	8.02	801.98	2,405.94
10/17/14	10/22/14	Margin	Sell - Securities Sold	NOTIFY TECHNOLOGY CORP COM Regulatory Fee 0.13	NTFY	700-	8.01	5,606.87	8,012.81
10/17/14	10/22/14	Margin	Sell - Securities Sold	LANTRONIX INC COM Commission 6.00 Regulatory Fee 0.01	LTRX	100-	1.8001	174.00	8,186.81
10/17/14	10/22/14	Margin	Sell - Securities Sold	LANTRONIX INC COM Regulatory Fee 0.40	LTRX	9,900-	1.80	17,819.60	26,006.41
10/17/14	10/22/14	Margin	Sell - Securities Sold	NOTIFY TECHNOLOGY CORP COM Commission 6.00 Regulatory Fee 0.13	NTFY	720-	8.01	5,761.07	31,767.48
10/17/14	10/22/14	Margin	Sell - Securities Sold	HAUPPAGE DIGITAL INC COM Commission 6.00 Regulatory Fee 0.02	HAUP	5,000-	0.16	793.98	32,561.46

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/22/14	10/22/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(32,561.46)	0.00
10/20/14	10/23/14	Margin	Sell - Securities Sold	NOTIFY TECHNOLOGY CORP COM Commission 6.00 Regulatory Fee 0.03	NTFY	180-	7.00	1,253.97	1,253.97
10/20/14	10/23/14	Margin	Sell - Securities Sold	EMRISE CORP COM Commission 6.00 Regulatory Fee 0.17	EMRI	12,000-	0.6201	7,435.03	8,689.00
10/20/14	10/23/14	Margin	Sell - Securities Sold	LANTRONIX INC COM Commission 6.00 Regulatory Fee 0.01	LTRX	200-	1.79	351.99	9,040.99
10/20/14	10/23/14	Margin	Sell - Securities Sold	LANTRONIX INC COM Regulatory Fee 0.39	LTRX	9,800-	1.78	17,443.61	26,484.60
10/20/14	10/23/14	Margin	Sell - Securities Sold	NOTIFY TECHNOLOGY CORP COM Commission 6.00 Regulatory Fee 0.03	NTFY	120-	7.60	905.97	27,390.57
10/20/14	10/23/14	Margin	Sell - Securities Sold	EMRISE CORP COM Commission 6.00 Regulatory Fee 0.02	EMRI	1,200-	0.68	809.98	28,200.55
10/20/14	10/23/14	Margin	Sell - Securities Sold	NOTIFY TECHNOLOGY CORP COM Commission 6.00 Regulatory Fee 0.02	NTFY	100-	7.40	733.98	28,934.53
10/20/14	10/23/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Commission 6.00 Regulatory Fee 0.03	MERR	500-	2.23	1,108.97	30,043.50
10/20/14	10/23/14	Margin	Sell - Securities Sold	NOTIFY TECHNOLOGY CORP COM Commission 6.00 Regulatory Fee 0.02	NTFY	100-	7.10	703.98	30,747.48

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/20/14	10/23/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00 Regulatory Fee 0.02	ETAK	600-	0.981	582.58	31,330.06
10/20/14	10/23/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Regulatory Fee 0.29	ETAK	13,035-	0.9801	12,775.31	44,105.37
10/20/14	10/23/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Commission 6.00 Regulatory Fee 0.01	MERR	100-	2.21	214.99	44,320.36
10/20/14	10/23/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Regulatory Fee 0.01	MERR	100-	2.20	219.99	44,540.35
10/23/14	10/23/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(44,540.35)	0.00
10/21/14	10/24/14	Margin	Sell - Securities Sold	LANTRONIX INC COM Commission 6.00 Regulatory Fee 0.41	LTRX	10,000-	1.81	18,093.59	18,093.59
10/21/14	10/24/14	Margin	Sell - Securities Sold	LANTRONIX INC COM Commission 6.00 Regulatory Fee 0.41	LTRX	10,000-	1.81	18,093.59	36,187.18
10/21/14	10/24/14	Margin	Sell - Securities Sold	LANTRONIX INC COM Commission 6.00 Regulatory Fee 1.61	LTRX	40,000-	1.82	72,792.39	108,979.57
10/23/14	10/24/14	Margin	Sell - Securities Sold	BLACKBERRY LIMITED BBRY Jan 17 15 9.0 C TO CLOSE Commission 41.75 Regulatory Fee 1.82	-	65-	1.78	11,526.43	120,506.00
10/24/14	10/24/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(120,506.00)	0.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/24/14	10/27/14	Margin	Sell - Securities Sold	MGIC INVT CORP WIS MTG Jan 17 15 8.0 C TO CLOSE Commission 143.50 Regulatory Fee 6.45	-	250-	0.80	19,850.05	19,850.05
10/27/14	10/27/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(19,850.05)	0.00
10/23/14	10/28/14	Margin	Sell - Securities Sold	LIHUA INTERNATIONAL INC COM Commission 6.00 Regulatory Fee 0.01	LIWA	8,000-	0.0409	321.19	321.19
10/23/14	10/28/14	Margin	Sell - Securities Sold	LIHUA INTERNATIONAL INC COM Regulatory Fee 0.01	LIWA	500-	0.0323	16.14	337.33
10/23/14	10/28/14	Margin	Sell - Securities Sold	LIHUA INTERNATIONAL INC COM Regulatory Fee 0.05	LIWA	72,500-	0.03	2,174.95	2,512.28
10/23/14	10/28/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00 Regulatory Fee 0.40	ETAK	17,218-	1.03	17,728.14	20,240.42
10/23/14	10/28/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Commission 6.00 Regulatory Fee 0.05	MERR	1,009-	2.15	2,163.30	22,403.72
10/23/14	10/28/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Commission 6.00 Regulatory Fee 0.01	MERR	100-	2.10	203.99	22,607.71
10/23/14	10/28/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Commission 6.00 Regulatory Fee 0.03	MERR	500-	2.00	993.97	23,601.68
10/28/14	10/28/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(23,601.68)	0.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/24/14	10/29/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00 Regulatory Fee 0.25	ETAK	10,758-	1.01	10,859.33	10,859.33
10/24/14	10/29/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00 Regulatory Fee 1.01	ETAK	45,000-	1.01	45,442.99	56,302.32
10/24/14	10/29/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00 Regulatory Fee 1.23	ETAK	55,000-	1.01	55,542.77	111,845.09
10/24/14	10/29/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Commission 6.00 Regulatory Fee 0.04	ETAK	1,400-	1.021	1,423.36	113,268.45
10/24/14	10/29/14	Margin	Sell - Securities Sold	ELEPHANT TALK COMMUNICATIONS COM Regulatory Fee 0.18	ETAK	7,842-	1.02	7,998.66	121,267.11
10/24/14	10/29/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Commission 6.00 Regulatory Fee 0.01	MERR	100-	2.05	198.99	121,466.10
10/24/14	10/29/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Regulatory Fee 0.01	MERR	100-	2.00	199.99	121,666.09
10/28/14	10/29/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Dec 20 14 10.0 C TO CLOSE Commission 61.00 Regulatory Fee 2.77	-	100-	1.67	16,636.23	138,302.32
10/28/14	10/29/14	Margin	Sell - Securities Sold	HEWLETT PACKARD CO HPQ Jan 17 15 31.0 C TO CLOSE Commission 55.50 Regulatory Fee 3.12	-	90-	4.80	43,141.38	181,443.70

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Statement for Account # 867-730320

10/01/14 - 10/31/14

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/29/14	10/29/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(181,443.70)	0.00
10/27/14	10/30/14	Margin	Sell - Securities Sold	EMRISE CORP COM Commission 6.00 Regulatory Fee 0.26	EMRI	16,500-	0.70	11,543.74	11,543.74
10/30/14	10/30/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(11,543.74)	0.00
10/28/14	10/31/14	Margin	Sell - Securities Sold	EMRISE CORP COM Commission 6.00 Regulatory Fee 0.04	EMRI	2,300-	0.6701	1,535.19	1,535.19
10/28/14	10/31/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Commission 6.00 Regulatory Fee 0.03	MERR	500-	1.90	943.97	2,479.16
10/28/14	10/31/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Commission 6.00 Regulatory Fee 0.02	MERR	500-	1.80	893.98	3,373.14
10/31/14	10/31/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,373.14)	0.00

Closing Balance **$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
10/01/14	$ 631,076.32	7	0.0100	$ 1.21	$ 1.21	$ -
10/08/14	676,624.23	1	0.0100	0.19	1.40	-
10/09/14	769,183.59	1	0.0100	0.21	1.61	-
10/10/14	832,783.13	4	0.0100	0.91	2.52	-
10/14/14	883,117.50	1	0.0100	0.24	2.76	-
10/15/14	972,168.45	1	0.0100	0.27	3.03	-
10/16/14	1,044,786.32	1	0.0100	0.28	3.31	-
10/17/14	1,136,784.65	3	0.0100	0.94	4.25	-
10/20/14	1,317,989.41	1	0.0100	0.36	4.61	-
10/21/14	1,361,620.43	1	0.0100	0.37	4.98	-
10/22/14	1,394,181.89	1	0.0100	0.38	5.36	-

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Statement for Account # 867-730320

10/01/14 - 10/31/14

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
10/23/14	1,438,722.24	1	0.0100	0.40	5.76	-
10/24/14	1,559,228.24	3	0.0100	1.28	7.04	-
10/27/14	1,579,078.29	1	0.0100	0.43	7.47	-
10/28/14	1,602,679.97	1	0.0100	0.44	7.91	-
10/29/14	1,784,123.67	1	0.0100	0.49	8.40	-
10/30/14	1,795,667.41	1	0.0100	0.49	8.89	-
10/31/14	1,799,040.55	1	0.0100	0.50	9.39	9.39
Total Interest Income						**$9.39**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$631,076.35**
10/01/14	-	10/01/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ (0.03)	631,076.32
10/08/14	-	10/08/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	45,547.91	676,624.23
10/09/14	-	10/09/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	92,559.36	769,183.59
10/10/14	-	10/10/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	63,599.54	832,783.13
10/14/14	-	10/14/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	50,334.37	883,117.50
10/15/14	-	10/15/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	89,050.95	972,168.45
10/16/14	-	10/16/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	72,617.87	1,044,786.32

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Statement for Account # 867-730320

10/01/14 - 10/31/14

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
10/17/14	-	10/17/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	91,998.33	1,136,784.65
10/20/14	-	10/20/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	181,204.76	1,317,989.41
10/21/14	-	10/21/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	43,631.02	1,361,620.43
10/22/14	-	10/22/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	32,561.46	1,394,181.89
10/23/14	-	10/23/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	44,540.35	1,438,722.24
10/24/14	-	10/24/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	120,506.00	1,559,228.24
10/27/14	-	10/27/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	19,850.05	1,579,078.29
10/28/14	-	10/28/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	23,601.68	1,602,679.97
10/29/14	-	10/29/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	181,443.70	1,784,123.67
10/30/14	-	10/30/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	11,543.74	1,795,667.41

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Statement for Account # 867-730320

10/01/14 - 10/31/14

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
10/31/14	-	10/31/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,373.14	1,799,040.55
10/31/14	-	10/31/14	Received	Interest: Insured Deposit Account	-	-	7.28	1,799,047.83
10/31/14	-	10/31/14	Received	Interest: Insured Deposit Account	-	-	2.10	1,799,049.93

Closing Balance **$1,799,049.93**

TD Bank NA **$247,500.00**

TD Bank USA NA **$1,551,549.93**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
11/01/14 - 11/30/14

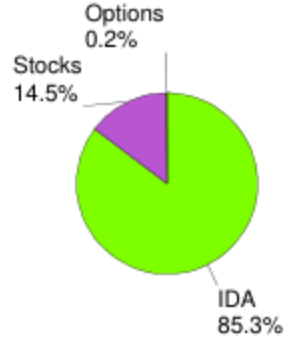
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	2,660,100.26	1,799,049.93	861,050.33	47.9%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	452,830.90	845,922.90	(393,092.00)	(46.5)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	7,540.15	577,169.84	(569,629.69)	(98.7)%	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	111,250.00	(111,250.00)	(100.0)%	-	-
Total	**$3,120,471.31**	**$3,333,392.67**	**($212,921.36)**	**(6.4)%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	(8,601,778.47)
Securities Sold	861,032.85	7,261,557.44
Funds Deposited	-	4,000,600.00
Funds Disbursed	-	-
Income	-	201.63
Expense	-	(516.21)
Other	(861,032.85)	(2,660,064.39)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$200.00
Interest	-	-	1.63
Other	-	-	-
Expense			
Interest	-	-	(516.21)
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	($314.58)

Performance Summary

Cost Basis As Of - 11/30/14 **	**$966,192.16**
Unrealized Gains	-
Unrealized Losses	(505,821.11)
Funds Deposited/(Disbursed) YTD	4,000,600.00
Income/(Expense) YTD	(314.58)
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320

11/01/14 - 11/30/14

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 4,000,000.00
Subtotal	0.00	4,000,000.00
TOTAL	**0.00**	4,000,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	$ 0.00	$ 1.63
Margin Interest Charged	0.00	(516.21)
Qualified Dividends	0.00	200.00
IDA Interest	17.48	35.87

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
HAUPPAGE DIGITAL INC COM	HAUP	33,180	$ 0.0801	$ 2,657.72	07/21/14	$ 8,527.25	$ 0.26	$ (5,869.53)	$ -	-
LIHUA INTERNATIONAL INC COM	LIWA	1	0.03	0.03	08/05/14	0.22	0.22	(0.19)	-	-
PLASMATECH BIOPHARMACEUTICALS COM	PTBI	7,270	7.01	50,962.70	05/12/14	142,412.44	19.59	(91,449.74)	-	-
RICEBRAN TECHNOLOGIES COM	RIBT	38,397	4.06	155,891.82	05/13/14	225,769.27	5.88	(69,877.45)	-	-
TITAN ENERGY WORLDWIDE INC COM	TEWI	3,820,800	0.0136	51,962.88	06/23/14	63,335.18	0.02	(11,372.30)	-	-
TRANSGENOMIC INC COM	TBIO	85,047	2.25	191,355.75	05/12/14	338,373.82	3.98	(147,018.07)	-	-
Total Stocks				**$452,830.90**		**$778,418.18**		**$(325,587.28)**	**$0.00**	**0.0%**

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C	-	920	$ 0.0247	$ 2,272.40	05/12/14	$ 80,879.08	$ 0.88	$ (78,606.68)	$ -	-
ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C	-	875	0.0056	490.00	06/20/14	36,151.25	0.41	(35,661.25)	-	-
CORINTHIAN COLLEGES INC COCO Feb 20 15 1.0 C	-	575	0.0001	5.75	06/24/14	3,211.05	0.06	(3,205.30)	-	-
COWEN GROUP INC COWN Jan 17 15 5.0 C	-	600	0.0662	3,972.00	06/27/14	15,873.40	0.26	(11,901.40)	-	-
DRY SHIPS INCORPORATED DRYS Jan 17 15 3.0 C	-	800	0.01	800.00	05/12/14	51,659.20	0.65	(50,859.20)	-	-
Total Options				**$7,540.15**		**$187,773.98**		**$(180,233.83)**	**$0.00**	**0.0%**
Total Margin Account				**$460,371.05**		**$966,192.16**		**$(505,821.11)**	**$0.00**	**0.0%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
11/05/14	11/06/14	Margin	Sell - Securities Sold	MGIC INVT CORP WIS MTG Jan 17 15 8.0 C TO CLOSE Commission 72.00 Regulatory Fee 3.17	-	120-	$ 1.06	$ 12,644.83	12,644.83
11/06/14	11/06/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(12,644.83)	0.00

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Statement for Account # 867-730320

11/01/14 - 11/30/14

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/06/14	11/06/14	Margin	Delivered - Other	ACCESS PHARMA INC 1:50 R/S 10/24/2014 72754H109 REORG-1:50 REVERSE SPLIT 10/24/14 PLASMATECH BIOPHARMACEUTICALS Auto Reorg#242343|REVERSE SPLIT	00431M308	363,500-	0.00	-	0.00
11/06/14	11/06/14	Margin	Received - Other	PLASMATECH BIOPHARMACEUTICALS COM REORG-1:50 REVERSE SPLIT 10/24/14 PLASMATECH BIOPHARMACEUTICALS Auto Reorg#242343|REVERSE SPLIT	PTBI	7,270	0.00	-	0.00
11/06/14	11/07/14	Margin	Sell - Securities Sold	JETBLUE AIRWAYS CORP JBLU Dec 20 14 10.0 C TO CLOSE Commission 66.50 Regulatory Fee 3.33	-	110-	2.80	30,730.17	30,730.17
11/07/14	11/07/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(30,730.17)	0.00
11/12/14	11/13/14	Margin	Sell - Securities Sold	COWEN GROUP INC COWN Jan 17 15 2.5 C TO CLOSE Commission 61.00 Regulatory Fee 1.78	-	64-	1.66	10,561.22	10,561.22
11/12/14	11/13/14	Margin	Sell - Securities Sold	COWEN GROUP INC COWN Jan 17 15 2.5 C TO CLOSE Regulatory Fee 1.01	-	36-	1.65	5,938.99	16,500.21
11/12/14	11/13/14	Margin	Sell - Securities Sold	COWEN GROUP INC COWN Jan 17 15 2.5 C TO CLOSE Commission 69.25 Regulatory Fee 3.18	-	115-	1.65	18,902.57	35,402.78
11/12/14	11/13/14	Margin	Sell - Securities Sold	MGIC INVT CORP WIS MTG Jan 17 15 8.0 C TO CLOSE Commission 85.75 Regulatory Fee 3.86	-	145-	1.16	16,730.39	52,133.17
11/13/14	11/13/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(52,133.17)	0.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/12/14	11/17/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.05	TEWI	150,000-	0.014	2,093.95	2,093.95
11/12/14	11/17/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Commission 6.00 Regulatory Fee 0.19	MERR	4,283-	2.00	8,559.81	10,653.76
11/12/14	11/17/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.01	TEWI	10,000-	0.0144	137.79	10,791.55
11/12/14	11/17/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Regulatory Fee 0.02	TEWI	60,000-	0.014	839.98	11,631.53
11/12/14	11/17/14	Margin	Sell - Securities Sold	HAUPPAGE DIGITAL INC COM Commission 6.00 Regulatory Fee 0.04	HAUP	10,470-	0.15	1,564.46	13,195.99
11/17/14	11/17/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(13,195.99)	0.00
11/17/14	11/18/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO CLOSE Commission 111.05 Regulatory Fee 0.88	-	26-	4.30	11,068.07	11,068.07
11/17/14	11/18/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO CLOSE Regulatory Fee 0.28	-	8-	4.29	3,431.72	14,499.79
11/17/14	11/18/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO CLOSE Regulatory Fee 0.45	-	13-	4.26	5,537.55	20,037.34
11/17/14	11/18/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO CLOSE Regulatory Fee 0.45	-	13-	4.22	5,485.55	25,522.89
11/17/14	11/18/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 8.0 C TO CLOSE Regulatory Fee 4.37	-	131-	4.20	55,015.63	80,538.52

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/17/14	11/18/14	Margin	Sell - Securities Sold	NET 1 UEPS TECHNOLOGIES INC UEPS Jan 17 15 9.0 C TO CLOSE Commission 78.05 Regulatory Fee 4.08	-	131-	3.20	41,837.87	122,376.39
11/17/14	11/18/14	Margin	Sell - Securities Sold	RADIOSHACK CORPORATION RSH Jan 15 16 1.0 C TO CLOSE Commission 281.00 Regulatory Fee 12.19	-	500-	0.17	8,206.81	130,583.20
11/17/14	11/18/14	Margin	Sell - Securities Sold	FLAMEL TECH FLML Dec 20 14 10.0 C TO CLOSE Commission 77.50 Regulatory Fee 4.10	-	130-	3.40	44,118.40	174,701.60
11/17/14	11/18/14	Margin	Sell - Securities Sold	RADNET INC. RDNT Dec 20 14 5.0 C TO CLOSE Commission 147.90 Regulatory Fee 8.14	-	258-	3.40	87,563.96	262,265.56
11/17/14	11/18/14	Margin	Sell - Securities Sold	RADNET INC. RDNT Dec 20 14 5.0 C TO CLOSE Commission 23.60 Regulatory Fee 1.03	-	32-	3.60	11,495.37	273,760.93
11/17/14	11/18/14	Margin	Sell - Securities Sold	RADNET INC. RDNT Dec 20 14 5.0 C TO CLOSE Commission 94.00 Regulatory Fee 5.08	-	160-	3.50	55,900.92	329,661.85
11/18/14	11/18/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(329,661.85)	0.00
11/18/14	11/19/14	Margin	Sell - Securities Sold	BEAZER HOMES USA INC BZH Jan 17 15 20.0 C TO CLOSE Commission 220.50 Regulatory Fee 10.14	-	390-	0.90	34,869.36	34,869.36
11/18/14	11/19/14	Margin	Sell - Securities Sold	AEROPOSTALE INC ARO Jan 17 15 3.5 C TO CLOSE Commission 165.50 Regulatory Fee 7.06	-	290-	0.15	4,177.44	39,046.80
11/19/14	11/19/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(39,046.80)	0.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/17/14	11/20/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Commission 6.00 Regulatory Fee 0.40	MERR	9,000-	2.00	17,993.60	17,993.60
11/17/14	11/20/14	Margin	Sell - Securities Sold	MERRIMAN HOLDINGS INC COM Commission 6.00 Regulatory Fee 0.61	MERR	13,608-	2.00	27,209.39	45,202.99
11/17/14	11/20/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.02	RIBT	200-	4.16	825.98	46,028.97
11/17/14	11/20/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.06	RIBT	600-	4.1501	2,490.00	48,518.97
11/17/14	11/20/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.11	RIBT	1,100-	4.15	4,564.89	53,083.86
11/17/14	11/20/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.05	RIBT	500-	4.1401	2,070.00	55,153.86
11/17/14	11/20/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.38	RIBT	4,100-	4.14	16,973.62	72,127.48
11/17/14	11/20/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.03	TEWI	60,000-	0.0155	923.97	73,051.45
11/17/14	11/20/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.10	RIBT	1,000-	4.10	4,093.90	77,145.35
11/17/14	11/20/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.36	RIBT	3,900-	4.0901	15,951.03	93,096.38
11/17/14	11/20/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.02	RIBT	200-	4.22	837.98	93,934.36

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Statement for Account # 867-730320

11/01/14 - 11/30/14

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/17/14	11/20/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.19	RIBT	2,000-	4.18	8,353.81	102,288.17
11/20/14	11/20/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(102,288.17)	0.00
11/18/14	11/21/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.01	TEWI	10,000-	0.015	143.99	143.99
11/20/14	11/21/14	Margin	Sell - Securities Sold	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C TO CLOSE Commission 116.00 Regulatory Fee 5.31	-	200-	1.15	22,878.69	23,022.68
11/20/14	11/21/14	Margin	Sell - Securities Sold	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C TO CLOSE Commission 157.25 Regulatory Fee 7.30	-	275-	1.15	31,460.45	54,483.13
11/20/14	11/21/14	Margin	Sell - Securities Sold	AEROPOSTALE INC ARO Jan 17 15 3.5 C TO CLOSE Commission 182.00 Regulatory Fee 7.79	-	320-	0.15	4,610.21	59,093.34
11/20/14	11/21/14	Margin	Sell - Securities Sold	NORDIC AMERICAN TANKERS LTD NAT Jan 17 15 7.87 C TO CLOSE Commission 143.50 Regulatory Fee 6.64	-	250-	1.15	28,599.86	87,693.20
11/21/14	11/21/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(87,693.20)	0.00
11/19/14	11/24/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.01	TEWI	10,000-	0.0154	147.59	147.59
11/19/14	11/24/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Regulatory Fee 0.01	TEWI	10,000-	0.0151	150.99	298.58
11/19/14	11/24/14	Margin	Sell - Securities Sold	HAUPPAGE DIGITAL INC COM Commission 6.00 Regulatory Fee 0.05	HAUP	21,000-	0.1051	2,201.05	2,499.63

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/24/14	11/24/14	Margin	Delivered - Other	CORINTHIAN COLLEGES INC COCO Nov 22 14 1.0 C EXPIRATION	-	2,167-	0.00	-	2,499.63
11/24/14	11/24/14	Margin	Delivered - Other	TEEKAY TANKERS LTD TNK Nov 22 14 5.0 C EXPIRATION	-	205-	0.00	-	2,499.63
11/24/14	11/24/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,499.63)	0.00
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.01	RIBT	100-	4.152	409.19	409.19
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.07	RIBT	700-	4.151	2,905.63	3,314.82
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.44	RIBT	4,790-	4.15	19,878.06	23,192.88
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.62	RIBT	6,700-	4.15	27,798.38	50,991.26
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.14	RIBTW	6,000-	1.00	5,993.86	56,985.12
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.03	RIBTW	1,000-	1.12	1,113.97	58,099.09
11/20/14	11/25/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.02	TEWI	66,000-	0.0131	858.58	58,957.67
11/20/14	11/25/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Regulatory Fee 0.07	TEWI	233,000-	0.013	3,028.93	61,986.60

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.06	RIBT	550-	4.16	2,281.94	64,268.54
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.10	RIBT	1,063-	4.15	4,411.35	68,679.89
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.05	RIBTW	1,900-	1.05	1,988.95	70,668.84
11/20/14	11/25/14	Margin	Sell - Securities Sold	HAUPPAGE DIGITAL INC COM Commission 6.00 Regulatory Fee 0.03	HAUP	11,000-	0.1041	1,139.07	71,807.91
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.03	RIBTW	1,000-	1.00	993.97	72,801.88
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.01	RIBT	100-	4.231	417.09	73,218.97
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.04	RIBT	400-	4.23	1,691.96	74,910.93
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.15	RIBTW	5,882-	1.10	6,464.05	81,374.98
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.07	RIBTW	3,000-	1.05	3,143.93	84,518.91
11/20/14	11/25/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.36	RIBTW	15,860-	1.00	15,853.64	100,372.55

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/25/14	11/25/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(100,372.55)	0.00
11/21/14	11/26/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.03	RIBTW	1,200-	1.001	1,195.17	1,195.17
11/21/14	11/26/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Regulatory Fee 0.13	RIBTW	5,500-	1.00	5,499.87	6,695.04
11/21/14	11/26/14	Margin	Sell - Securities Sold	RAINMAKER SYSTEMS INC COM Commission 6.00 Regulatory Fee 0.01	RMKR	10,000-	0.0155	149.19	6,844.23
11/21/14	11/26/14	Margin	Sell - Securities Sold	RAINMAKER SYSTEMS INC COM Regulatory Fee 0.01	RMKR	19,200-	0.0151	289.91	7,134.14
11/21/14	11/26/14	Margin	Sell - Securities Sold	RAINMAKER SYSTEMS INC COM Regulatory Fee 0.01	RMKR	30,000-	0.015	449.99	7,584.13
11/21/14	11/26/14	Margin	Sell - Securities Sold	HAUPPAGE DIGITAL INC COM Commission 6.00 Regulatory Fee 0.07	HAUP	30,250-	0.10	3,018.93	10,603.06
11/21/14	11/26/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.01	RIBTW	400-	1.00	393.99	10,997.05
11/21/14	11/26/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.04	RIBT	400-	4.3101	1,718.00	12,715.05
11/26/14	11/26/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(12,715.05)	0.00
11/24/14	11/28/14	Margin	Sell - Securities Sold	RAINMAKER SYSTEMS INC COM Commission 6.00 Regulatory Fee 0.10	RMKR	362,800-	0.012	4,347.50	4,347.50

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/24/14	11/28/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.41	RIBTW	19,558-	0.94	18,378.11	22,725.61
11/24/14	11/28/14	Margin	Sell - Securities Sold	HAUPPAGE DIGITAL INC COM Commission 6.00 Regulatory Fee 0.28	HAUP	76,900-	0.16	12,297.72	35,023.33
11/24/14	11/28/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.01	TEWI	10,000-	0.0137	130.49	35,153.82
11/24/14	11/28/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Regulatory Fee 0.01	TEWI	10,000-	0.0133	132.99	35,286.81
11/24/14	11/28/14	Margin	Sell - Securities Sold	RAINMAKER SYSTEMS INC COM Commission 6.00 Regulatory Fee 0.02	RMKR	50,000-	0.013	643.98	35,930.79
11/24/14	11/28/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.01	RIBT	100-	4.2101	415.00	36,345.79
11/24/14	11/28/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.35	RIBTW	17,100-	0.90	15,383.65	51,729.44
11/24/14	11/28/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.15	RIBTW	8,100-	0.82	6,635.85	58,365.29
11/24/14	11/28/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.01	TEWI	10,000-	0.013	124.19	58,489.48
11/24/14	11/28/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Regulatory Fee 0.02	TEWI	44,000-	0.0126	554.38	59,043.86

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/24/14	11/28/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES WARRANT EXP 11/18/2018 Commission 6.00 Regulatory Fee 0.05	RIBTW	2,500-	0.81	2,018.95	61,062.81
11/24/14	11/28/14	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission 6.00 Regulatory Fee 0.38	TBIO	7,553-	2.2501	16,988.63	78,051.44
11/28/14	11/28/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(78,051.44)	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
11/01/14	$ 1,799,049.93	5	0.0100	$ 2.46	$ 2.46	$ -
11/06/14	1,811,694.76	1	0.0100	0.50	2.96	-
11/07/14	1,842,424.93	6	0.0100	3.03	5.99	-
11/13/14	1,894,558.10	4	0.0100	2.08	8.07	-
11/17/14	1,907,754.09	1	0.0100	0.52	8.59	-
11/18/14	2,237,415.94	1	0.0100	0.61	9.20	-
11/19/14	2,276,462.74	1	0.0100	0.63	9.83	-
11/20/14	2,378,750.91	1	0.0100	0.65	10.48	-
11/21/14	2,466,444.11	3	0.0100	2.03	12.51	-
11/24/14	2,468,943.74	1	0.0100	0.67	13.18	-
11/25/14	2,569,316.29	1	0.0100	0.71	13.89	-
11/26/14	2,582,031.34	2	0.0100	1.41	15.30	-
11/28/14	2,660,082.78	3	0.0100	2.19	17.49	17.49
Total Interest Income						**$17.49**

Trades Pending Settlement

Investment	Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	TITAN ENERGY WORLDWIDE INC COM	Margin	TEWI	10,000-	$ 0.016	11/26/14	12/02/14	$ 153.99

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Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL	TITAN ENERGY WORLDWIDE INC COM	Margin	TEWI	10,000-	0.0151	11/26/14	12/02/14	144.89
SELL	TITAN ENERGY WORLDWIDE INC COM	Margin	TEWI	446,000-	0.015	11/26/14	12/02/14	6,689.85

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$1,799,049.93**
11/06/14	-	11/06/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 12,644.83	1,811,694.76
11/07/14	-	11/07/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	30,730.17	1,842,424.93
11/13/14	-	11/13/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	52,133.17	1,894,558.10
11/17/14	-	11/17/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	13,195.99	1,907,754.09
11/18/14	-	11/18/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	329,661.85	2,237,415.94
11/19/14	-	11/19/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	39,046.80	2,276,462.74
11/20/14	-	11/20/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	102,288.17	2,378,750.91

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Statement for Account # 867-730320

11/01/14 - 11/30/14

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
11/21/14	-	11/21/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	87,693.20	2,466,444.11
11/24/14	-	11/24/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,499.63	2,468,943.74
11/25/14	-	11/25/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	100,372.55	2,569,316.29
11/26/14	-	11/26/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	12,715.05	2,582,031.34
11/28/14	-	11/28/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	78,051.44	2,660,082.78
11/28/14	-	11/28/14	Received	Interest: Insured Deposit Account	-	-	15.45	2,660,098.23
11/28/14	-	11/28/14	Received	Interest: Insured Deposit Account	-	-	2.03	2,660,100.26

Closing Balance **$2,660,100.26**

TD Bank NA **$247,500.00**

TD Bank USA NA **$2,412,600.26**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
12/01/14 - 12/31/14

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
NEW LOOK, NEW FEATURES, SAME SMART INFO. A FRESH, NEW TICKER TAPE IS HERE. NOW YOU CAN ACCESS VALUABLE INSIGHTS EVERY MARKET DAY AT THETICKERTAPE.COM.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	2,828,570.06	2,660,100.26	168,469.80	6.3%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	196,026.00	452,830.90	(256,804.90)	(56.7)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	8,596.90	7,540.15	1,056.75	14.0%	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$3,033,192.96**	**$3,120,471.31**	**($87,278.35)**	**(2.8)%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	(8,601,778.47)
Securities Sold	168,446.15	7,430,003.59
Funds Deposited	-	4,000,600.00
Funds Disbursed	-	-
Income	-	201.63
Expense	-	(516.21)
Other	(168,446.15)	(2,828,510.54)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$200.00
Interest	-	-	1.63
Other	-	-	-
Expense			
Interest	-	-	(516.21)
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	($314.58)

Performance Summary

Cost Basis As Of - 12/31/14 **	**$668,560.46**
Unrealized Gains	-
Unrealized Losses	(463,937.56)
Funds Deposited/(Disbursed) YTD	4,000,600.00
Income/(Expense) YTD	(314.58)
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320
12/01/14 - 12/31/14

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 4,000,000.00
Subtotal	0.00	4,000,000.00
TOTAL	**0.00**	4,000,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	$ 0.00	$ 1.63
Margin Interest Charged	0.00	(516.21)
Qualified Dividends	0.00	200.00
IDA Interest	23.65	59.52

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0279	$ 0.03	08/05/14	$ 0.22	$ 0.22	$ (0.19)	$ -	-
PLASMATECH BIOPHARMACEUTICALS COM	PTBI	7,270	3.45	25,081.50	05/12/14	142,412.44	19.59	(117,330.94)	-	-
TRANSGENOMIC INC COM	TBIO	85,047	2.01	170,944.47	05/12/14	338,373.82	3.98	(167,429.35)	-	-
Total Stocks				**$196,026.00**		**$480,786.48**		**$(284,760.48)**	**$0.00**	**0.0%**
Options - Margin										
ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C	-	920	$ 0.0002	$ 18.40	05/12/14	$ 80,879.08	$ 0.88	$ (80,860.68)	$ -	-
ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C	-	875	0.0001	8.75	06/20/14	36,151.25	0.41	(36,142.50)	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Options - Margin										
CORINTHIAN COLLEGES INC COCO Feb 20 15 1.0 C	-	575	0.0001	5.75	06/24/14	3,211.05	0.06	(3,205.30)	-	-
COWEN GROUP INC COWN Jan 17 15 5.0 C	-	600	0.1426	8,556.00	06/27/14	15,873.40	0.26	(7,317.40)	-	-
DRY SHIPS INCORPORATED DRYS Jan 17 15 3.0 C	-	800	0.0001	8.00	05/12/14	51,659.20	0.65	(51,651.20)	-	-
Total Options				**$8,596.90**		**$187,773.98**		**$(179,177.08)**	**$0.00**	**0.0%**
Total Margin Account				**$204,622.90**		**$668,560.46**		**$(463,937.56)**	**$0.00**	**0.0%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
11/26/14	12/02/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.01	TEWI	10,000-	$ 0.016	$ 153.99	153.99
11/26/14	12/02/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.01	TEWI	10,000-	0.0151	144.89	298.88
11/26/14	12/02/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Regulatory Fee 0.15	TEWI	446,000-	0.015	6,689.85	6,988.73
12/02/14	12/02/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(6,988.73)	0.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/01/14	12/04/14	Margin	Sell - Securities Sold	HAUPPAGE DIGITAL INC COM Commission 6.00 Regulatory Fee 0.03	HAUP	9,500-	0.1001	944.92	944.92
12/01/14	12/04/14	Margin	Sell - Securities Sold	HAUPPAGE DIGITAL INC COM Regulatory Fee 0.04	HAUP	17,300-	0.10	1,729.96	2,674.88
12/01/14	12/04/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.01	TEWI	10,000-	0.0111	104.89	2,779.77
12/01/14	12/04/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Regulatory Fee 0.02	TEWI	71,500-	0.011	786.48	3,566.25
12/04/14	12/04/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,566.25)	0.00
12/02/14	12/05/14	Margin	Sell - Securities Sold	HAUPPAGE DIGITAL INC COM Commission 6.00 Regulatory Fee 0.02	HAUP	6,300-	0.08	497.98	497.98
12/02/14	12/05/14	Margin	Sell - Securities Sold	HAUPPAGE DIGITAL INC COM Commission 6.00 Regulatory Fee 0.01	HAUP	80-	0.0801	0.40	498.38
12/02/14	12/05/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.16	TEWI	700,000-	0.01	6,993.84	7,492.22
12/05/14	12/05/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(7,492.22)	0.00
12/03/14	12/08/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.30	RIBT	3,500-	3.8501	13,469.05	13,469.05
12/03/14	12/08/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.13	RIBT	1,498-	3.85	5,761.17	19,230.22

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/03/14	12/08/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.06	RIBT	700-	3.85	2,688.94	21,919.16
12/03/14	12/08/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.02	TEWI	262,400-	0.0018	466.30	22,385.46
12/08/14	12/08/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(22,385.46)	0.00
12/04/14	12/09/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.04	TEWI	1,000,000-	0.0014	1,393.96	1,393.96
12/04/14	12/09/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.01	TEWI	10,000-	0.0013	7.19	1,401.15
12/04/14	12/09/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Regulatory Fee 0.03	TEWI	990,000-	0.0013	1,286.97	2,688.12
12/04/14	12/09/14	Margin	Sell - Securities Sold	TITAN ENERGY WORLDWIDE INC COM Commission 6.00 Regulatory Fee 0.01	TEWI	310,900-	0.0014	429.25	3,117.37
12/04/14	12/09/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.12	RIBT	1,400-	3.831	5,357.28	8,474.65
12/04/14	12/09/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.15	RIBT	1,670-	3.83	6,395.95	14,870.60
12/04/14	12/09/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.48	RIBT	5,700-	3.80	21,653.52	36,524.12

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/04/14	12/09/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.11	RIBT	1,200-	3.801	4,555.09	41,079.21
12/04/14	12/09/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.01	RIBT	100-	3.80	379.99	41,459.20
12/09/14	12/09/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(41,459.20)	0.00
12/05/14	12/10/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.43	RIBT	5,000-	3.85	19,243.57	19,243.57
12/05/14	12/10/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.01	RIBT	100-	3.8201	376.00	19,619.57
12/05/14	12/10/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.59	RIBT	7,022-	3.80	26,683.01	46,302.58
12/05/14	12/10/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.01	RIBT	100-	3.87	380.99	46,683.57
12/05/14	12/10/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.01	RIBT	100-	3.86	385.99	47,069.56
12/05/14	12/10/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.02	RIBT	200-	3.821	758.18	47,827.74
12/05/14	12/10/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.01	RIBT	100-	3.82	381.99	48,209.73
12/05/14	12/10/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.15	RIBT	1,700-	3.801	6,455.55	54,665.28

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Statement for Account # 867-730320

12/01/14 - 12/31/14

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/05/14	12/10/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.17	RIBT	1,965-	3.80	7,466.83	62,132.11
12/10/14	12/10/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(62,132.11)	0.00
12/08/14	12/11/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.15	RIBT	1,700-	3.8601	6,556.02	6,556.02
12/08/14	12/11/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.01	RIBT	77-	3.86	297.21	6,853.23
12/11/14	12/11/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(6,853.23)	0.00
12/09/14	12/12/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Commission 6.00 Regulatory Fee 0.09	RIBT	1,000-	3.8501	3,844.01	3,844.01
12/09/14	12/12/14	Margin	Sell - Securities Sold	RICEBRAN TECHNOLOGIES COM Regulatory Fee 0.31	RIBT	3,565-	3.85	13,724.94	17,568.95
12/12/14	12/12/14	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(17,568.95)	0.00

Closing Balance **$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
12/01/14	$ 2,660,100.26	1	0.0100	$ 0.73	$ 0.73	$ -
12/02/14	2,667,088.99	2	0.0100	1.46	2.19	-
12/04/14	2,670,655.24	1	0.0100	0.73	2.92	-
12/05/14	2,678,147.46	3	0.0100	2.20	5.12	-
12/08/14	2,700,532.92	1	0.0100	0.74	5.86	-
12/09/14	2,741,992.12	1	0.0100	0.75	6.61	-
12/10/14	2,804,124.23	1	0.0100	0.77	7.38	-
12/11/14	2,810,977.46	1	0.0100	0.77	8.15	-

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Statement for Account # 867-730320

12/01/14 - 12/31/14

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
12/12/14	2,828,546.41	20	0.0100	15.50	23.65	23.65
Total Interest Income						**$23.65**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$2,660,100.26**
12/02/14	-	12/02/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 6,988.73	2,667,088.99
12/04/14	-	12/04/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,566.25	2,670,655.24
12/05/14	-	12/05/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	7,492.22	2,678,147.46
12/08/14	-	12/08/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	22,385.46	2,700,532.92
12/09/14	-	12/09/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	41,459.20	2,741,992.12
12/10/14	-	12/10/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	62,132.11	2,804,124.23
12/11/14	-	12/11/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	6,853.23	2,810,977.46
12/12/14	-	12/12/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	17,568.95	2,828,546.41
12/31/14	-	12/31/14	Received	Interest: Insured Deposit Account	-	-	21.55	2,828,567.96

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Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
12/31/14	-	12/31/14	Received	Interest: Insured Deposit Account	-	-	2.10	2,828,570.06

Closing Balance		**$2,828,570.06**
TD Bank NA	**$247,500.00**	
TD Bank USA NA	**$2,581,070.06**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at https://www.tdameritrade.com/financial-statement#financial-statement on or before 12/29/2014, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of 9/30/2014, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $1.6 billion and $280.4 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

Annual Margin Disclosure
Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.

Anti-Money Laundering Requirements

The USA PATRIOT Act is designed to detect, deter, and punish terrorists and/or terrorist groups in the United States and abroad. The Act requires U.S. financial institutions and brokerage firms to implement comprehensive anti-money laundering programs.

What is money laundering?
Money laundering is the process of disguising illegally obtained funds so that they appear to come from legitimate sources or activities. Money laundering occurs in connection with a wide variety of crimes, including (but not limited to) illegal arms sales, drug trafficking, fraud, terrorism, embezzlement, and political corruption.

How big is the problem and why is it important?
According to the U.S. Department of State, one recent estimate puts the amount of worldwide money laundering activity at roughly $1 trillion per year. The use of the U.S. financial system by criminals to facilitate terrorism or other crimes could taint our financial markets.

What is TD Ameritrade required to do?
As required by the USA PATRIOT Act, our anti-money laundering program designates a special Compliance Officer to ensure compliance with applicable laws, maintains policies and procedures to detect and report suspicious transactions, performs employee training, and conducts independent audits. Federal law also requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. As part of this requirement, we may ask you to provide various identification documents or other information. Until you provide the information or documents required, we may not be able to open an account or process transactions for you.

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Important Information

We thank you for your patience and look forward to your support in our efforts to deny terrorist groups access to America's financial system.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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SDNY_GM_00011795

EFTA_00122446

EFTA01267925

Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

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Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

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[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

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Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

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Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

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Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01267926

TD Ameritrade

Statement Reporting Period:
01/01/15 - 01/31/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
REFER A FRIEND & GET REWARDED.
CHOOSE $50 CASH, 5 FREE TRADES,
OR A $50 GIFT CARD WHEN THEY
OPEN AND FUND AN ACCOUNT.
VISIT TDAMERITRADE.COM/REFER.
RESTRICTIONS APPLY.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	2,828,594.08	2,828,570.06	24.02	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	340,903.60	196,026.00	144,877.60	73.9%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	5.75	8,596.90	(8,591.15)	(99.9)%	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$3,169,503.43**	**$3,033,192.96**	**$136,310.47**	**4.5%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 01/31/15 **	**$483,997.53**
Unrealized Gains	-
Unrealized Losses	(143,088.18)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320

01/01/15 - 01/31/15

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 24.02	$ 24.02

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0152	$ 0.02	08/05/14	$ 0.22	$ 0.22	$ (0.20)	$ -	-
PLASMATECH BIOPHARMACEUTICALS COM	PTBI	7,270	3.14	22,827.80	05/12/14	142,412.44	19.59	(119,584.64)	-	-
TRANSGENOMIC INC COM	TBIO	85,047	3.74	318,075.78	05/12/14	338,373.82	3.98	(20,298.04)	-	-
Total Stocks				**$340,903.60**		**$480,786.48**		**$(139,882.88)**	**$0.00**	**0.0%**
Options - Margin										
CORINTHIAN COLLEGES INC COCO Feb 20 15 1.0 C	-	575	$ 0.0001	$ 5.75	06/24/14	$ 3,211.05	$ 0.06	$ (3,205.30)	$ -	-
Total Options				**$5.75**		**$3,211.05**		**$(3,205.30)**	**$0.00**	**0.0%**
Total Margin Account				**$340,909.35**		**$483,997.53**		**$(143,088.18)**	**$0.00**	**0.0%**

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Statement for Account # 867-730320

01/01/15 - 01/31/15

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
01/20/15	01/20/15	Margin	Delivered - Other	DRY SHIPS INCORPORATED DRYS Jan 17 15 3.0 C EXPIRATION	-	800-	$ 0.00	$ -	0.00
01/20/15	01/20/15	Margin	Delivered - Other	ADVANCED MICRO DEVICES INC AMD Jan 17 15 3.5 C EXPIRATION	-	920-	0.00	-	0.00
01/20/15	01/20/15	Margin	Delivered - Other	ADVANCED MICRO DEVICES INC AMD Jan 17 15 4.5 C EXPIRATION	-	875-	0.00	-	0.00
01/20/15	01/20/15	Margin	Delivered - Other	COWEN GROUP INC COWN Jan 17 15 5.0 C EXPIRATION	-	600-	0.00	-	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
01/01/15	$ 2,828,570.06	31	0.0100	$ 24.03	$ 24.03	$ 24.03
Total Interest Income						**$24.03**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$2,828,570.06**
01/30/15	-	01/30/15	Received	Interest: Insured Deposit Account	-	-	$ 21.92	2,828,591.98
01/30/15	-	01/30/15	Received	Interest: Insured Deposit Account	-	-	2.10	2,828,594.08
Closing Balance								**$2,828,594.08**

TD Bank NA	**$247,500.00**
TD Bank USA NA	**$2,581,094.08**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01267931

TD Ameritrade

Statement Reporting Period:
02/01/15 - 02/28/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	2,966,515.29	2,828,594.08	137,921.21	4.9%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	80,857.81	340,903.60	(260,045.79)	(76.3)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	5.75	(5.75)	(100.0)%	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$3,047,373.10**	**$3,169,503.43**	**($122,130.33)**	**(3.9)%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	137,898.83	137,898.83
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	(137,898.83)	(137,898.83)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 02/28/15 **	**$179,778.31**
Unrealized Gains	-
Unrealized Losses	(98,920.50)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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EFTA01267932

Statement for Account # 867-730320

02/01/15 - 02/28/15

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 22.38	$ 46.40

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.013	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
TRANSGENOMIC INC COM	TBIO	46,470	1.74	80,857.80	05/14/14	179,778.09	3.87	(98,920.29)	-	-
Total Stocks				**$80,857.81**		**$179,778.31**		**$(98,920.50)**	**$0.00**	**0.0%**
Total Margin Account				**$80,857.81**		**$179,778.31**		**$(98,920.50)**	**$0.00**	**0.0%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
02/06/15	02/11/15	Margin	Sell - Securities Sold	PLASMATECH BIOPHARMACEUTICALS COM Commission 6.00 Regulatory Fee 0.01	PTBI	100-	$ 3.21	$ 314.99	314.99
02/06/15	02/11/15	Margin	Sell - Securities Sold	PLASMATECH BIOPHARMACEUTICALS COM Regulatory Fee 0.03	PTBI	400-	3.20	1,279.97	1,594.96

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Statement for Account # 867-730320

02/01/15 - 02/28/15

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
02/06/15	02/11/15	Margin	Sell - Securities Sold	PLASMATECH BIOPHARMACEUTICALS COM Regulatory Fee 0.03	PTBI	300-	3.19	956.97	2,551.93
02/06/15	02/11/15	Margin	Sell - Securities Sold	PLASMATECH BIOPHARMACEUTICALS COM Regulatory Fee 0.46	PTBI	6,470-	3.17	20,509.44	23,061.37
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission 6.00 Regulatory Fee 1.02	TBIO	15,000-	3.05	45,742.98	68,804.35
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission 6.00 Regulatory Fee 0.48	TBIO	7,000-	3.07	21,483.52	90,287.87
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission 6.00 Regulatory Fee 0.01	TBIO	100-	2.87	280.99	90,568.86
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Regulatory Fee 0.63	TBIO	9,900-	2.85	28,214.37	118,783.23
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission 6.00 Regulatory Fee 0.01	TBIO	100-	2.94	287.99	119,071.22
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Regulatory Fee 0.01	TBIO	100-	2.93	292.99	119,364.21
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission 6.00 Regulatory Fee 0.02	TBIO	200-	2.95	583.98	119,948.19
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Regulatory Fee 0.04	TBIO	500-	2.94	1,469.96	121,418.15

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Statement for Account # 867-730320
02/01/15 - 02/28/15

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Regulatory Fee 0.02	TBIO	200-	2.9301	586.00	122,004.15
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Regulatory Fee 0.13	TBIO	2,000-	2.93	5,859.87	127,864.02
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Regulatory Fee 0.02	TBIO	300-	2.92	875.98	128,740.00
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Regulatory Fee 0.03	TBIO	400-	2.91	1,163.97	129,903.97
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Regulatory Fee 0.06	TBIO	800-	2.9001	2,320.02	132,223.99
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Regulatory Fee 0.02	TBIO	200-	2.90	579.98	132,803.97
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission 6.00 Regulatory Fee 0.01	TBIO	100-	2.91	284.99	133,088.96
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Regulatory Fee 0.01	TBIO	100-	2.90	289.99	133,378.95
02/06/15	02/11/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission 6.00 Regulatory Fee 0.11	TBIO	1,577-	2.87	4,519.88	137,898.83
02/11/15	02/11/15	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(137,898.83)	0.00
02/23/15	02/23/15	Margin	Delivered - Other	CORINTHIAN COLLEGES INC COCO Feb 20 15 1.0 C EXPIRATION	-	575-	0.00	-	0.00

Closing Balance **$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

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Statement for Account # 867-730320

02/01/15 - 02/28/15

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
02/01/15	$ 2,828,594.08	10	0.0100	$ 7.75	$ 7.75	$ -
02/11/15	2,966,492.91	18	0.0100	14.63	22.38	22.38
Total Interest Income						**$22.38**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$2,828,594.08**
02/11/15	-	02/11/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 137,898.83	2,966,492.91
02/27/15	-	02/27/15	Received	Interest: Insured Deposit Account	-	-	20.48	2,966,513.39
02/27/15	-	02/27/15	Received	Interest: Insured Deposit Account	-	-	1.90	2,966,515.29
Closing Balance								**$2,966,515.29**
TD Bank NA							**$247,500.00**	
TD Bank USA NA							**$2,719,015.29**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

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Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00011808

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EFTA01267938

TD Ameritrade

Statement Reporting Period:
03/01/15 - 03/31/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
THETICKERTAPE.COM IS YOUR GO-TO RESOURCE FOR BOTH INVESTING AND TRADING. GET FRESH NEW MARKET INSIGHTS AND COMMENTARY FROM TD AMERITRADE EVERY MARKET DAY.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	2,966,540.49	2,966,515.29	25.20	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	66,916.81	80,857.81	(13,941.00)	(17.2)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$3,033,457.30**	**$3,047,373.10**	**($13,915.80)**	**(0.5)%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	137,898.83
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	(137,898.83)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 03/31/15 **	**$179,778.31**
Unrealized Gains	-
Unrealized Losses	(112,861.50)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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EFTA01267939

Statement for Account # 867-730320

03/01/15 - 03/31/15

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 25.20	$ 71.60

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0121	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
TRANSGENOMIC INC COM	TBIO	46,470	1.44	66,916.80	05/14/14	179,778.09	3.87	(112,861.29)	-	-
Total Stocks				**$66,916.81**		**$179,778.31**		**$(112,861.50)**	**$0.00**	**0.0%**
Total Margin Account				**$66,916.81**		**$179,778.31**		**$(112,861.50)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
03/01/15	$ 2,966,515.29	31	0.0100	$ 25.20	$ 25.20	$ 25.20
Total Interest Income						**$25.20**

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Statement for Account # 867-730320

03/01/15 - 03/31/15

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$2,966,515.29**
03/31/15	-	03/31/15	Received	Interest: Insured Deposit Account	-	-	$ 23.10	2,966,538.39
03/31/15	-	03/31/15	Received	Interest: Insured Deposit Account	-	-	2.10	2,966,540.49
Closing Balance								**$2,966,540.49**
TD Bank NA							**$247,500.00**	
TD Bank USA NA							**$2,719,040.49**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an Internet web site where investors may obtain useful information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public disclosure hotline.

Certain purchases of Class A Mutual Funds may be eligible for a breakpoint discount on the sales charge. To learn more about breakpoint discounts, go to http://www.finra.org/industry/issues/breakpoints

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

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[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01267943

TD Ameritrade

Statement Reporting Period:
04/01/15 - 04/30/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
OUR ONGOING EFFORT TO SERVE YOU BETTER HAS PAID OFF: WE'RE NO.1 IN 5 CATEGORIES IN THE BARRON'S 2015 ONLINE BROKER SURVEY. TO LEARN MORE, GO TO TDAMERITRADE.COM/ACCOLADES.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	2,966,564.87	2,966,540.49	24.38	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	68,310.91	66,916.81	1,394.10	2.1%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$3,034,875.78**	**$3,033,457.30**	**$1,418.48**	**-**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	137,898.83
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	(137,898.83)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 04/30/15 **	**$179,778.31**
Unrealized Gains	-
Unrealized Losses	(111,467.40)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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EFTA01267944

Statement for Account # 867-730320
04/01/15 - 04/30/15

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 24.38	$ 95.98

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0125	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
TRANSGENOMIC INC COM	TBIO	46,470	1.47	68,310.90	05/14/14	179,778.09	3.87	(111,467.19)	-	-
Total Stocks				**$68,310.91**		**$179,778.31**		**$(111,467.40)**	**$0.00**	**0.0%**
Total Margin Account				**$68,310.91**		**$179,778.31**		**$(111,467.40)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
04/01/15	$ 2,966,540.49	30	0.0100	$ 24.39	$ 24.39	$ 24.39
Total Interest Income						**$24.39**

Trades Pending Settlement

Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL TRANSGENOMIC INC COM	Margin	TBIO	5,000-	$ 1.48	04/30/15	05/05/15	$ 7,393.86

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Statement for Account # 867-730320

04/01/15 - 04/30/15

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$2,966,540.49**
04/30/15	-	04/30/15	Received	Interest: Insured Deposit Account	-	-	$ 22.35	2,966,562.84
04/30/15	-	04/30/15	Received	Interest: Insured Deposit Account	-	-	2.03	2,966,564.87
Closing Balance								**$2,966,564.87**
TD Bank NA							**$247,500.00**	
TD Bank USA NA							**$2,719,064.87**	

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ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

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An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

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Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

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Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01267948

TD Ameritrade

Statement Reporting Period:
05/01/15 - 05/31/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
THETICKERTAPE.COM IS YOUR GO-TO RESOURCE FOR BOTH INVESTING AND TRADING. GET FRESH NEW MARKET INSIGHTS AND COMMENTARY FROM TD AMERITRADE EVERY MARKET DAY.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	954.83	2,966,564.87	(2,965,610.04)	(100.0)%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	60,411.12	68,310.91	(7,899.79)	(11.6)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$61,365.95**	**$3,034,875.78**	**($2,973,509.83)**	**(98.0)%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	34,385.34	172,284.17
Funds Deposited	-	-
Funds Disbursed	(3,000,000.00)	(3,000,000.00)
Income	-	-
Expense	-	-
Other	2,965,614.66	2,827,715.83
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 05/31/15 **	**$86,839.74**
Unrealized Gains	-
Unrealized Losses	(26,428.62)
Funds Deposited/(Disbursed) YTD	(3,000,000.00)
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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EFTA01267949

Statement for Account # 867-730320
05/01/15 - 05/31/15

Online Cash Services Summary

Description	Current	Year To Date
DEBITS		
Electronic Transfer	$ (3,000,000.00)	$ (3,000,000.00)
Subtotal	(3,000,000.00)	(3,000,000.00)
TOTAL	**(3,000,000.00)**	(3,000,000.00)

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 4.62	$ 100.60

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0159	$ 0.02	08/05/14	$ 0.22	$ 0.22	$ (0.20)	$ -	-
TRANSGENOMIC INC COM	TBIO	22,970	2.63	60,411.10	05/22/14	86,839.52	3.78	(26,428.42)	-	-
Total Stocks				**$60,411.12**		**$86,839.74**		**$(26,428.62)**	**$0.00**	**0.0%**
Total Margin Account				**$60,411.12**		**$86,839.74**		**$(26,428.62)**	**$0.00**	**0.0%**

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
DEBITS			
Electronic Transfer	05/06/2015	WIRE FUNDS WIRE SENT 05/06/2015 16:04:59	$ (1,000,000.00)

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Statement for Account # 867-730320

05/01/15 - 05/31/15

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
DEBITS			
	05/07/2015	WIRE FUNDS WIRE SENT 05/07/2015 11:09:10	$ (2,000,000.00)
Subtotal			(3,000,000.00)
TOTAL			**(3,000,000.00)**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
04/30/15	05/05/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission/Fee 6.00 Regulatory Fee 0.14	TBIO	5,000-	$ 1.48	$ 7,393.86	7,393.86
05/05/15	05/05/15	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(7,393.86)	0.00
05/01/15	05/06/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission/Fee 6.00 Regulatory Fee 0.17	TBIO	6,000-	1.46	8,753.83	8,753.83
05/01/15	05/06/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission/Fee 6.00 Regulatory Fee 0.17	TBIO	6,000-	1.46	8,753.83	17,507.66
05/01/15	05/06/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission/Fee 6.00 Regulatory Fee 0.18	TBIO	6,500-	1.46	9,483.82	26,991.48
05/06/15	05/06/15	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(26,991.47)	0.01
05/06/15	05/06/15	Margin	- Funds Disbursed	WIRE FUNDS WIRE SENT 05/06/2015 16:04:59	-	-	0.00	(1,000,000.00)	(999,999.99)
05/07/15	05/07/15	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	1,000,000.00	0.01
05/07/15	05/07/15	Margin	- Funds Disbursed	WIRE FUNDS WIRE SENT 05/07/2015 11:09:10	-	-	0.00	(2,000,000.00)	(1,999,999.99)

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Statement for Account # 867-730320

05/01/15 - 05/31/15

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/08/15	05/08/15	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	2,000,000.00	0.01
05/12/15	05/12/15	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(0.01)	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
05/01/15	$ 2,966,564.87	4	0.0100	$ 3.25	$ 3.25	$ -
05/05/15	2,973,958.73	1	0.0100	0.82	4.07	-
05/06/15	2,000,950.21	1	0.0100	0.54	4.61	4.61
05/07/15	950.21	1	0.0100	-	-	-
05/08/15	950.20	4	0.0100	0.01	0.01	-
05/12/15	950.21	20	0.0100	-	0.01	0.01
Total Interest Income						**$4.62**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$2,966,564.87**
05/05/15	-	05/05/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 7,393.86	2,973,958.73
05/06/15	-	05/06/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	26,991.47	3,000,950.20
05/07/15	-	05/07/15	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(1,000,000.00)	2,000,950.20
05/08/15	-	05/08/15	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(1,753,450.20)	247,500.00

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Statement for Account # 867-730320

05/01/15 - 05/31/15

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
05/08/15	-	05/08/15	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(246,549.80)	950.20
05/12/15	-	05/12/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.01	950.21
05/29/15	-	05/29/15	Received	Interest: Insured Deposit Account	-	-	4.21	954.42
05/29/15	-	05/29/15	Received	Interest: Insured Deposit Account	-	-	0.41	954.83

Closing Balance **$954.83**

TD Bank NA **$954.83**

TD Bank USA NA **$0.00**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html on or before June 14, 2015, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of March 31, 2015, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $ 1.6 billion and $291 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

To help ensure that you are fully up-to-date about your account, we are providing a copy of the TD Ameritrade Privacy Policy at the end of the enclosed account statement. It explains how we use and protect your account information. We'll continue to provide you with a copy of the most recent version annually, and you can find it online at tdameritrade.com/privacy.

Please keep this document for your records. You don't have to do anything else.

Questions? Please log in to your account and go to Client Services > Message Center to write us, or give us a call.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

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Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
06/01/15 - 06/30/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
IT'S CRUNCH TIME. TICKET SALES END ON 7/31 FOR THE INVESTOR EDUCATION CONFERENCE PRESENTED BY OUR EDUCATION AFFILIATE INVESTOOLS. GET YOURS BEFORE IT'S TOO LATE. FOR MORE INFORMATION VISIT INVESTOOLSCONFERENCES.COM/TICKET

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$0.01	$ -	$0.01	-	$ -	-
Insrd Dep Acct (IDA)	51,584.31	954.83	50,629.48	5302.5%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	60,411.12	(60,411.11)	(100.0)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,584.33**	**$61,365.95**	**($9,781.62)**	**(15.9)%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	50,629.25	222,913.42
Funds Deposited	-	-
Funds Disbursed	-	(3,000,000.00)
Income	-	-
Expense	-	-
Other	(50,629.24)	2,777,086.59
Closing Balance	$0.01	$0.01

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 06/30/15 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	(3,000,000.00)
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320
06/01/15 - 06/30/15

Online Cash Services Summary

Description	Current	Year To Date
DEBITS		
Electronic Transfer	$ -	$ (3,000,000.00)
Subtotal	0.00	(3,000,000.00)
TOTAL	**0.00**	(3,000,000.00)

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.24	$ 100.84

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0099	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

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Statement for Account # 867-730320

06/01/15 - 06/30/15

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
06/04/15	06/09/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission/Fee 6.00 Regulatory Fee 0.12	TBIO	2,720-	$ 2.36	$ 6,413.08	6,413.08
06/09/15	06/09/15	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(6,413.07)	0.01
06/10/15	06/10/15	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(0.01)	0.00
06/10/15	06/15/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission/Fee 6.00 Regulatory Fee 0.29	TBIO	7,280-	2.12	15,427.31	15,427.31
06/10/15	06/15/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Commission/Fee 6.00 Regulatory Fee 0.01	TBIO	200-	2.23	439.99	15,867.30
06/10/15	06/15/15	Margin	Sell - Securities Sold	TRANSGENOMIC INC COM Regulatory Fee 0.53	TBIO	12,770-	2.22	28,348.87	44,216.17
06/15/15	06/15/15	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(44,216.16)	0.01
Closing Balance									**$0.01**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
06/01/15	$ 954.83	8	0.0100	$ -	$ -	$ -
06/09/15	7,367.90	1	0.0100	-	-	-
06/10/15	7,367.91	5	0.0100	0.01	0.01	-
06/15/15	51,584.07	16	0.0100	0.23	0.24	0.24
Total Interest Income						**$0.24**

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Statement for Account # 867-730320

06/01/15 - 06/30/15

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$954.83**
06/09/15	-	06/09/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 6,413.07	7,367.90
06/10/15	-	06/10/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.01	7,367.91
06/15/15	-	06/15/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	44,216.16	51,584.07
06/30/15	-	06/30/15	Received	Interest: Insured Deposit Account	-	-	0.24	51,584.31
Closing Balance								**$51,584.31**
TD Bank NA							**$51,584.31**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html on or before June 14, 2015, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of March 31, 2015, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $ 1.6 billion and $291 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an Internet web site where investors may obtain useful information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public disclosure hotline.

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FACTS

WHAT DOES TD AMERITRADE FACTS DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and financial account numbers
- Account balances and transaction information
- Income and employment information

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons TD Ameritrade chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does TD Ameritrade share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We do not share
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	No	We do not share

To limit our sharing

- Call 800-326-7141—a client services representative will assist you or
- Visit us online: tdameritrade.com/privacy

Please note:

If your account is managed by an independent investment advisor, your account preferences are already set to limit our sharing.

If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

Questions?

Call 800-326-7141 or email privacy@tdameritrade.com

AMTD 800 F 05/12

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Who we are

Who is providing this notice?	TD Ameritrade, Inc. and the TD Ameritrade family of divisions and companies, including TD Ameritrade Institutional, thinkorswim, and Amerivest Management, LLC.

What we do

How does TD Ameritrade protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. For more information, please visit tdameritrade.com/security
How does TD Ameritrade collect my personal information?	We collect your personal information, for example, when you ♦ Open an account or make deposits or withdrawals from your account ♦ Direct us to buy securities or direct us to sell your securities ♦ Tell us about your investment or retirement portfolio We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only ♦ Sharing for affiliates' everyday business purposes—information about your creditworthiness ♦ Affiliates from using your information to market to you ♦ Sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ♦ Our affiliates include companies with a TD Ameritrade name, financial companies such as RED Option Advisors, Inc. and the TD Bank Financial Group family, including TD Bank and The Toronto-Dominion Bank, as well as non-financial companies such as Investools, Inc.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. ♦ TD Ameritrade does not share with nonaffiliates so they can market to you.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ♦ TD Ameritrade doesn't jointly market.

Other important information

For Nevada Residents. We are providing you this notice pursuant to state law. You may be placed on our internal Do Not Call List by calling 800-326-7141. Nevada law requires that we also provide you with the following contact information: Bureau of Consumer Protection, Office of the Nevada Attorney General, 555 E. Washington St., Suite 3900, Las Vegas, NV 89101; Phone number: 702-486-3132; email: BCPINFO@ag.state.nv.us.

For Vermont Residents. In accordance with Vermont law, we will not share information we collect about Vermont residents with companies who are not affiliates, except as permitted by law, such as with your consent or to service your accounts. We will not share information about your creditworthiness with our affiliates without your authorization or consent, but we may share information about our transactions or experiences with you with our affiliates without your consent.

For California Residents. In accordance with California law, we will not share information we collect about you with nonaffiliates, except as allowed by law. For example, we may share information with your consent or to service your accounts. Among our affiliates, we will limit information sharing to the extent required by California law.

AMTD 800 F 05/12

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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EFTA01267962

Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

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(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00011833

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EFTA_00122484

EFTA01267963

TD Ameritrade

Statement Reporting Period:
07/01/15 - 07/31/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
FILLED WITH FRESH THINKING AND CREATIVE APPROACHES, *THE TICKER TAPE®* IS YOUR GO-TO RESOURCE FOR INVESTING & TRADING. STAY UP TO DATE WITH MARKET INSIGHTS & COMMENTARY FROM TD AMERITRADE EVERY MARKET DAY. VISIT WWW.THETICKERTAPE.COM

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$0.01	($0.01)	-	$ -	-
Insrd Dep Acct (IDA)	51,584.76	51,584.31	0.45	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	0.01	(0.01)	(100.0)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,584.76**	**$51,584.33**	**$0.43**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$0.01	$ -
Securities Purchased	-	-
Securities Sold	-	222,913.42
Funds Deposited	-	-
Funds Disbursed	-	(3,000,000.00)
Income	-	-
Expense	-	-
Other	(0.01)	2,777,086.58
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 07/31/15 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.22)
Funds Deposited/(Disbursed) YTD	(3,000,000.00)
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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EFTA_00122485

EFTA01267964

Statement for Account # 867-730320
07/01/15 - 07/31/15

Online Cash Services Summary

Description	Current	Year To Date
DEBITS		
Electronic Transfer	$ -	$ (3,000,000.00)
Subtotal	0.00	(3,000,000.00)
TOTAL	**0.00**	(3,000,000.00)

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.44	$ 101.28

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0035	$ NP	08/05/14	$ 0.22	$ 0.22	$ (0.22)	$ -	-
Total Stocks				**$0.00**		**$0.22**		**$(0.22)**	**$0.00**	**0.0%**
Total Margin Account				**$0.00**		**$0.22**		**$(0.22)**	**$0.00**	**0.0%**

CONFIDENTIAL

Statement for Account # 867-730320
07/01/15 - 07/31/15

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$0.01**
07/30/15	07/30/15	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ (0.01)	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
07/01/15	$ 51,584.31	29	0.0100	$ 0.41	$ 0.41	$ -
07/30/15	51,584.32	2	0.0100	0.03	0.44	0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,584.31**
07/30/15	-	07/30/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.01	51,584.32
07/31/15	-	07/31/15	Received	Interest: Insured Deposit Account	-	-	0.44	51,584.76
Closing Balance								**$51,584.76**
TD Bank NA							**$51,584.76**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01267968

TD Ameritrade

Statement Reporting Period:
08/01/15 - 08/31/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
EDUCATION THAT'S ON YOUR CLOCK. FROM QUICK-HIT VIDEOS TO FULL-ON COURSES, THE EDUCATION CENTER MAKES IT EASY TO FIT LEARNING INTO YOUR DAY. CHECK IT OUT NOW AT TDAMERITRADE.COM/EDCENTER

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,585.20	51,584.76	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,585.20**	**$51,584.76**	**$0.44**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	222,913.42
Funds Deposited	-	-
Funds Disbursed	-	(3,000,000.00)
Income	-	-
Expense	-	-
Other	-	2,777,086.58
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 08/31/15 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.22)
Funds Deposited/(Disbursed) YTD	(3,000,000.00)
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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SDNY_GM_00011839

EFTA_00122490

EFTA01267969

Statement for Account # 867-730320
08/01/15 - 08/31/15

Online Cash Services Summary

Description	Current	Year To Date
DEBITS		
Electronic Transfer	$ -	$ (3,000,000.00)
Subtotal	0.00	(3,000,000.00)
TOTAL	**0.00**	(3,000,000.00)

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.44	$ 101.72

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.003	$ NP	08/05/14	$ 0.22	$ 0.22	$ (0.22)	$ -	-
Total Stocks				**$0.00**		**$0.22**		**$(0.22)**	**$0.00**	**0.0%**
Total Margin Account				**$0.00**		**$0.22**		**$(0.22)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
08/01/15	$ 51,584.76	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

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Statement for Account # 867-730320

08/01/15 - 08/31/15

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,584.76**
08/31/15	-	08/31/15	Received	Interest: Insured Deposit Account	-	-	$ 0.44	51,585.20
Closing Balance								**$51,585.20**

TD Bank NA **$51,585.20**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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EFTA_00122493

EFTA01267972

Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

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Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

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[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

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For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

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Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

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Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01267973

TD Ameritrade

Statement Reporting Period:
09/01/15 - 09/30/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
Filled with fresh thinking and creative approaches, The Ticker Tape® is your go-to resource for investing & trading. Stay up to date with market insights & commentary from TD Ameritrade every market day. Visit www.thetickertape.com

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,585.62	51,585.20	0.42	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,585.62**	**$51,585.20**	**$0.42**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	222,913.42
Funds Deposited	-	-
Funds Disbursed	-	(3,000,000.00)
Income	-	-
Expense	-	-
Other	-	2,777,086.58
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 09/30/15 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.22)
Funds Deposited/(Disbursed) YTD	(3,000,000.00)
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320
09/01/15 - 09/30/15

Online Cash Services Summary

Description	Current	Year To Date
DEBITS		
Electronic Transfer	$ -	$ (3,000,000.00)
Subtotal	0.00	(3,000,000.00)
TOTAL	**0.00**	(3,000,000.00)

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.42	$ 102.14

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.002	$ NP	08/05/14	$ 0.22	$ 0.22	$ (0.22)	$ -	-
Total Stocks				**$0.00**		**$0.22**		**$(0.22)**	**$0.00**	**0.0%**
Total Margin Account				**$0.00**		**$0.22**		**$(0.22)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
09/01/15	$ 51,585.20	30	0.0100	$ 0.42	$ 0.42	$ 0.42
Total Interest Income						**$0.42**

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Statement for Account # 867-730320

09/01/15 - 09/30/15

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,585.20**
09/30/15	-	09/30/15	Received	Interest: Insured Deposit Account	-	-	$ 0.42	51,585.62
Closing Balance								**$51,585.62**

TD Bank NA **$51,585.62**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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EFTA_00122498

EFTA01267977

Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

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(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01267978

TD Ameritrade

Statement Reporting Period:
10/01/15 - 10/31/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
Filled with fresh thinking and creative approaches, The Ticker Tape® is your go-to resource for investing & trading. Stay up to date with market insights & commentary from TD Ameritrade every market day. Visit www.thetickertape.com

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$0.01	$ -	$0.01	-	$ -	-
Insrd Dep Acct (IDA)	51,586.06	51,585.62	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,586.07**	**$51,585.62**	**$0.45**	**-**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	222,913.42
Funds Deposited	-	-
Funds Disbursed	-	(3,000,000.00)
Income	-	-
Expense	-	-
Other	0.01	2,777,086.59
Closing Balance	$0.01	$0.01

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 10/31/15 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.22)
Funds Deposited/(Disbursed) YTD	(3,000,000.00)
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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SDNY_GM_00011849

EFTA_00122500

EFTA01267979

Statement for Account # 867-730320
10/01/15 - 10/31/15

Online Cash Services Summary

Description	Current	Year To Date
DEBITS		
Electronic Transfer	$ -	$ (3,000,000.00)
Subtotal	0.00	(3,000,000.00)
TOTAL	**0.00**	(3,000,000.00)

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.45	$ 102.59

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0033	$ NP	08/05/14	$ 0.22	$ 0.22	$ (0.22)	$ -	-
Total Stocks				**$0.00**		**$0.22**		**$(0.22)**	**$0.00**	**0.0%**
Total Margin Account				**$0.00**		**$0.22**		**$(0.22)**	**$0.00**	**0.0%**

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Statement for Account # 867-730320
10/01/15 - 10/31/15

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
10/30/15	10/30/15	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT IDA11 NOT COVERED BY SIPC Interest: Insured Deposit Account Payable: 11/30/2015 Insured Deposit Accoun 0.01	MMDA11	-	$ 0.00	$ 0.01	0.01
Closing Balance									**$0.01**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
10/01/15	$ 51,585.62	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,585.62**
10/30/15	-	10/30/15	Received	Interest: Insured Deposit Account	-	-	$ 0.44	51,586.06
Closing Balance								**$51,586.06**
TD Bank NA							**$51,586.06**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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SOUTHERN FINANCIAL LLC
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6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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EFTA01267982

Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

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Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

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For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
11/01/15 - 11/30/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
Filled with fresh thinking and creative approaches, The Ticker Tape® is your go-to resource for investing & trading. Stay up to date with market insights & commentary from TD Ameritrade every market day. Visit www.thetickertape.com

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$0.01	($0.01)	-	$ -	-
Insrd Dep Acct (IDA)	51,586.48	51,586.06	0.42	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	-	0.01	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,586.49**	**$51,586.07**	**$0.42**	**-**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$0.01	$ -
Securities Purchased	-	-
Securities Sold	-	222,913.42
Funds Deposited	-	-
Funds Disbursed	-	(3,000,000.00)
Income	-	-
Expense	-	-
Other	(0.01)	2,777,086.58
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 11/30/15 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	(3,000,000.00)
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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EFTA01267984

Statement for Account # 867-730320
11/01/15 - 11/30/15

Online Cash Services Summary

Description	Current	Year To Date
DEBITS		
Electronic Transfer	$ -	$ (3,000,000.00)
Subtotal	0.00	(3,000,000.00)
TOTAL	**0.00**	(3,000,000.00)

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.41	$ 103.00

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.014	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

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Statement for Account # 867-730320
11/01/15 - 11/30/15

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$0.01**
11/02/15	11/02/15	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ (0.01)	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
11/01/15	$ 51,586.06	1	0.0100	$ 0.01	$ 0.01	$ 0.01
11/02/15	51,586.07	29	0.0100	0.41	0.41	0.41
Total Interest Income						**$0.42**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,586.06**
11/02/15	-	11/02/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA10 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.01	51,586.07
11/30/15	-	11/30/15	Received	Interest: Insured Deposit Account	-	-	0.41	51,586.48
Closing Balance								**$51,586.48**
TD Bank NA							**$51,586.48**	

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Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

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The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122509

EFTA01267988

TD Ameritrade

Statement Reporting Period:
12/01/15 - 12/31/15

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,586.92	51,586.48	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,586.93**	**$51,586.49**	**$0.44**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	222,913.42
Funds Deposited	-	-
Funds Disbursed	-	(3,000,000.00)
Income	-	-
Expense	-	-
Other	-	2,777,086.58
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 12/31/15 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	(3,000,000.00)
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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EFTA_00122510

EFTA01267989

Statement for Account # 867-730320
12/01/15 - 12/31/15

Online Cash Services Summary

Description	Current	Year To Date
DEBITS		
Electronic Transfer	$ -	$ (3,000,000.00)
Subtotal	0.00	(3,000,000.00)
TOTAL	**0.00**	(3,000,000.00)

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.44	$ 103.44

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0069	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
12/01/15	$ 51,586.48	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

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Statement for Account # 867-730320

12/01/15 - 12/31/15

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,586.48**
12/31/15	-	12/31/15	Received	Interest: Insured Deposit Account	-	-	$ 0.44	51,586.92
Closing Balance								**$51,586.92**

TD Bank NA **$51,586.92**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

ANNUAL MARGIN DISCLOSURE

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html on or before December 29, 2015, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of September 30, 2015, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $1.58 billion and $310 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington DC and the Denver, CO office of the Commission.

ANTI-MONEY LAUNDERING REQUIREMENTS

The USA PATRIOT Act is designed to detect, deter, and punish terrorists and/or terrorist groups in the United States and abroad. The Act requires U.S. financial institutions and brokerage firms to implement comprehensive anti-money laundering programs.

What is money laundering?

Money laundering is the process of disguising illegally obtained funds so that they appear to come from legitimate sources or activities. Money laundering occurs in connection with a wide variety of crimes, including (but not limited to) illegal arms sales, drug trafficking, fraud, terrorism, embezzlement, and political corruption.

How big is the problem and why is it important?

According to the U.S. Department of State, one recent estimate puts the amount of worldwide money laundering activity at roughly $1 trillion per year. The use of the U.S. financial system by criminals to facilitate terrorism or other crimes could taint our financial markets.

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Important Information

What is TD Ameritrade required to do?
As required by the USA PATRIOT Act, our anti-money laundering program designates a special Compliance Officer to ensure compliance with applicable laws, maintains policies and procedures to detect and report suspicious transactions, performs employee training, and conducts independent audits. Federal law also requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. As part of this requirement, we may ask you to provide various identification documents or other information. Until you provide the information or documents required, we may not be able to open an account or process transactions for you.

We thank you for your patience and look forward to your support in our efforts to deny terrorist groups access to America's financial system.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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EFTA01267993

Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

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It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

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Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

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Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00011864

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EFTA_00122515

EFTA01267994

TD Ameritrade

Statement Reporting Period:
01/01/16 - 01/31/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
Direct deposit is a convenient and easy way to add cash to your TD Ameritrade account. Make your money readily available for personal trading and investing. Visit tdameritrade.com/cashservices and sign up for direct deposit today.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,587.36	51,586.92	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,587.37**	**$51,586.93**	**$0.44**	**-**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 01/31/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320

01/01/16 - 01/31/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.44	$ 0.44

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0113	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
01/01/16	$ 51,586.92	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,586.92**
01/29/16	-	01/29/16	Received	Interest: Insured Deposit Account	-	-	$ 0.44	51,587.36
Closing Balance								**$51,587.36**
TD Bank NA							**$51,587.36**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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SDNY_GM_00011867

EFTA_00122518

EFTA01267997

Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

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[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

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For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01267998

TD Ameritrade

Statement Reporting Period:
02/01/16 - 02/29/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,587.77	51,587.36	0.41	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,587.78**	**$51,587.37**	**$0.41**	**-**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 02/29/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320
02/01/16 - 02/29/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.41	$ 0.85

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0109	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
02/01/16	$ 51,587.36	29	0.0100	$ 0.41	$ 0.41	$ 0.41
Total Interest Income						**$0.41**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,587.36**
02/29/16	-	02/29/16	Received	Interest: Insured Deposit Account	-	-	$ 0.41	51,587.77
Closing Balance								**$51,587.77**
TD Bank NA							**$51,587.77**	

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EFTA01268001

Terms and Conditions

STATEMENT GUIDE

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Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

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Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

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Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01268002

TD Ameritrade

Statement Reporting Period:
03/01/16 - 03/31/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,588.21	51,587.77	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,588.22**	**$51,587.78**	**$0.44**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 03/31/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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Statement for Account # 867-730320
03/01/16 - 03/31/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.44	$ 1.29

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0076	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
03/01/16	$ 51,587.77	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,587.77**
03/31/16	-	03/31/16	Received	Interest: Insured Deposit Account	-	-	$ 0.44	51,588.21
Closing Balance								**$51,588.21**
TD Bank NA							**$51,588.21**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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Important Information

FINRA INFORMATION

Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an Internet web site where investors may obtain useful information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public disclosure hotline.

BREAKPOINTS

Certain purchases of Class A Mutual Funds may be eligible for a breakpoint discount on the sales charge. To learn more about breakpoint discounts, go to http://www.finra.org/industry/issues/breakpoints

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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EFTA_00122527

EFTA01268006

Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

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(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122528

EFTA01268007

TD Ameritrade

Statement Reporting Period:
04/01/16 - 04/30/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
Our ongoing effort to serve you better has paid off; we're No. 1 in 5 categories in the Barron's 2016 Online Broker Survey. To learn more, go to tdameritrade.com/accolades.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,588.63	51,588.21	0.42	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,588.64**	**$51,588.22**	**$0.42**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 04/30/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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SDNY_GM_00011878

EFTA_00122529

EFTA01268008

Statement for Account # 867-730320
04/01/16 - 04/30/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.42	$ 1.71

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0061	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
04/01/16	$ 51,588.21	30	0.0100	$ 0.42	$ 0.42	$ 0.42
Total Interest Income						**$0.42**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,588.21**
04/29/16	-	04/29/16	Received	Interest: Insured Deposit Account	-	-	$ 0.42	51,588.63
Closing Balance								**$51,588.63**
TD Bank NA							**$51,588.63**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

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[1]Due to rounding adjustments, the statement details may not equal the statement totals.
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For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

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In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122532

EFTA01268011

TD Ameritrade

Statement Reporting Period:
05/01/16 - 05/31/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,589.07	51,588.63	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,589.08**	**$51,588.64**	**$0.44**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 05/31/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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EFTA_00122533

EFTA01268012

Statement for Account # 867-730320
05/01/16 - 05/31/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.44	$ 2.15

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0075	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
05/01/16	$ 51,588.63	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,588.63**
05/31/16	-	05/31/16	Received	Interest: Insured Deposit Account	-	-	$ 0.44	51,589.07
Closing Balance								**$51,589.07**
TD Bank NA							**$51,589.07**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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Important Information

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of March 31, 2016, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $1,612 million and $274 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington DC and the Denver, CO office of the Commission.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

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Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

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Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

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Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

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In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00011886

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EFTA_00122537

EFTA01268016

TD Ameritrade

Statement Reporting Period:
06/01/16 - 06/30/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,589.49	51,589.07	0.42	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,589.50**	**$51,589.08**	**$0.42**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 06/30/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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SDNY_GM_00011887

EFTA_00122538

EFTA01268017

Statement for Account # 867-730320
06/01/16 - 06/30/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.42	$ 2.57

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0066	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
06/01/16	$ 51,589.07	30	0.0100	$ 0.42	$ 0.42	$ 0.42
Total Interest Income						**$0.42**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,589.07**
06/30/16	-	06/30/16	Received	Interest: Insured Deposit Account	-	-	$ 0.42	51,589.49
Closing Balance								**$51,589.49**
TD Bank NA							**$51,589.49**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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Important Information

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of March 31, 2016, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $1,612 million and $274 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington DC and the Denver, CO office of the Commission.

FINRA INFORMATION

Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an Internet web site where investors may obtain useful information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public disclosure hotline.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

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Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00011891

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EFTA_00122542

EFTA01268021

TD Ameritrade

Statement Reporting Period:
07/01/16 - 07/31/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,589.93	51,589.49	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,589.94**	**$51,589.50**	**$0.44**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 07/31/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****For cost-basis information, refer to www.tdameritrade.com**

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EFTA_00122543

EFTA01268022

Statement for Account # 867-730320
07/01/16 - 07/31/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.44	$ 3.01

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0066	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
07/01/16	$ 51,589.49	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,589.49**
07/29/16	-	07/29/16	Received	Interest: Insured Deposit Account	-	-	$ 0.44	51,589.93
Closing Balance								**$51,589.93**
TD Bank NA							**$51,589.93**	

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Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

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Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

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Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00011895

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EFTA_00122546

EFTA01268025

TD Ameritrade

Statement Reporting Period:
08/01/16 - 08/31/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,590.37	51,589.93	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,590.38**	**$51,589.94**	**$0.44**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 08/31/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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EFTA_00122547

EFTA01268026

Statement for Account # 867-730320
08/01/16 - 08/31/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.44	$ 3.45

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0057	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
08/01/16	$ 51,589.93	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,589.93**
08/31/16	-	08/31/16	Received	Interest: Insured Deposit Account Bank NA	-	-	$ 0.44	51,590.37
Closing Balance								**$51,590.37**
TD Bank NA							**$51,590.37**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

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[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

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(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00011899

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EFTA_00122550

EFTA01268029

TD Ameritrade

Statement Reporting Period:
09/01/16 - 09/30/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,590.79	51,590.37	0.42	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,590.80**	**$51,590.38**	**$0.42**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 09/30/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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EFTA_00122551

EFTA01268030

Statement for Account # 867-730320
09/01/16 - 09/30/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.42	$ 3.87

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0089	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
09/01/16	$ 51,590.37	30	0.0100	$ 0.42	$ 0.42	$ 0.42
Total Interest Income						**$0.42**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,590.37**
09/30/16	-	09/30/16	Received	Interest: Insured Deposit Account Bank NA	-	-	$ 0.42	51,590.79
Closing Balance								**$51,590.79**
TD Bank NA							**$51,590.79**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

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An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

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Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00011903

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EFTA_00122554

EFTA01268033

TD Ameritrade

Statement Reporting Period:
10/01/16 - 10/31/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,591.23	51,590.79	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	0.01	(0.01)	(100.0)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,591.23**	**$51,590.80**	**$0.43**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 10/31/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.22)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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SDNY_GM_00011904

EFTA_00122555

EFTA01268034

Statement for Account # 867-730320

10/01/16 - 10/31/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.44	$ 4.31

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.004	$ NA	08/05/14	$ 0.22	$ 0.22	$ (0.22)	$ -	-
Total Stocks				**$0.00**		**$0.22**		**$(0.22)**	**$0.00**	**0.0%**
Total Margin Account				**$0.00**		**$0.22**		**$(0.22)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
10/01/16	$ 51,590.79	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,590.79**
10/31/16	-	10/31/16	Received	Interest: Insured Deposit Account Bank NA	-	-	$ 0.44	51,591.23
Closing Balance								**$51,591.23**
TD Bank NA							**$51,591.23**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets. [1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month. [2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity/ (Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the IRS.

Performance Summary: Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income[2] and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only.

Account Activity: All account activity is listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at $1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

1Due to rounding adjustments, the statement details may not equal the statement totals.
2Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Alternative Investments ("AI"), also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow Disclosure (Rules 606 and 607): The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker-dealers, exchanges and alternative trading systems (including electronic communications networks)) for execution. Compensation generally is in the form of a per share or per contract cash payment.
TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades.
TD Ameritrade posts SEC Rule 606 quarterly reports that include order routing disclosures at www.tdameritrade.com. A written copy is available upon request. In addition, on request,
TD Ameritrade will provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

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Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

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(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122558

EFTA01268037

TD Ameritrade

Statement Reporting Period:
11/01/16 - 11/30/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,591.65	51,591.23	0.42	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	-	0.01	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,591.66**	**$51,591.23**	**$0.43**	**-**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 11/30/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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SDNY_GM_00011908

EFTA_00122559

EFTA01268038

Statement for Account # 867-730320

11/01/16 - 11/30/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.42	$ 4.73

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0138	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
11/01/16	$ 51,591.23	30	0.0100	$ 0.42	$ 0.42	$ 0.42
Total Interest Income						**$0.42**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,591.23**
11/30/16	-	11/30/16	Received	Interest: Insured Deposit Account Bank NA	-	-	$ 0.42	51,591.65
Closing Balance								**$51,591.65**
TD Bank NA							**$51,591.65**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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EFTA01268040

Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

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Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122562

EFTA01268041

TD Ameritrade

Statement Reporting Period:
12/01/16 - 12/31/16

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,592.09	51,591.65	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,592.10**	**$51,591.66**	**$0.44**	**-**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 12/31/16 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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EFTA_00122563

EFTA01268042

Statement for Account # 867-730320
12/01/16 - 12/31/16

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.44	$ 5.17

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0071	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
12/01/16	$ 51,591.65	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,591.65**
12/30/16	-	12/30/16	Received	Interest: Insured Deposit Account Bank NA	-	-	$ 0.44	51,592.09
Closing Balance								**$51,592.09**
TD Bank NA							**$51,592.09**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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Important Information

ANNUAL MARGIN DISCLOSURE

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of September 30, 2016, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $1.7 billion and $0.3 billion, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington DC and the Denver, CO office of the Commission.

UPDATE TO CLIENT AGREEMENT

An update was recently made to the Client Agreement that governs your account. The following provision regarding beneficiary designations was added, and may require you to take action regarding your current beneficiary designations:

h. Beneficiary Designation. Changes in the relationship between the account owner and designated beneficiary (e.g., marriage, divorce or adoption) will not add or revoke beneficiary designations. For example, if an account owner designated their spouse as beneficiary and they were subsequently divorced, the former spouse will remain beneficiary on the Account unless the account owner submits a new beneficiary designation to you.

Your continued use of your account constitutes your consent to this update. A current version of the Client Agreement can be obtained on the website or by calling the phone number listed on page 1 of this statement.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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EFTA01268045

Terms and Conditions

STATEMENT GUIDE

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Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00011916

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EFTA_00122567

EFTA01268046

TD Ameritrade

Statement Reporting Period:
01/01/17 - 01/31/17

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,592.53	51,592.09	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,592.54**	**$51,592.10**	**$0.44**	**-**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	-	-
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 01/31/17 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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EFTA_00122568

EFTA01268047

Statement for Account # 867-730320
01/01/17 - 01/31/17

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.44	$ 0.44

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0068	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
01/01/17	$ 51,592.09	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,592.09**
01/31/17	-	01/31/17	Received	Interest: Insured Deposit Account Bank NA	-	-	$ 0.44	51,592.53
Closing Balance								**$51,592.53**
TD Bank NA							**$51,592.53**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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STATEMENT GUIDE

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Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

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(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00011920

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EFTA01268050

TD Ameritrade

Statement Reporting Period:
02/01/17 - 02/28/17

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$2,606.87	$ -	$2,606.87	-	$ -	-
Insrd Dep Acct (IDA)	48,986.05	51,592.53	(2,606.48)	(5.1)%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	0.01	0.01	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,592.93**	**$51,592.54**	**$0.39**	**-**	**$ 0.00**	**0.0%**
Margin Equity	**30.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	-
Expense	-	-
Other	2,606.87	2,606.87
Closing Balance	$2,606.87	$2,606.87

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$ -
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$0.00

Performance Summary

Cost Basis As Of - 02/28/17 **	**$0.22**
Unrealized Gains	-
Unrealized Losses	(0.21)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	-
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320
02/01/17 - 02/28/17

Income Summary Detail*

Description	Current	Year to Date
IDA Interest	$ 0.39	$ 0.83

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
LIHUA INTERNATIONAL INC COM	LIWA	1	$ 0.0061	$ 0.01	08/05/14	$ 0.22	$ 0.22	$ (0.21)	$ -	-
Total Stocks				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**
Total Margin Account				**$0.01**		**$0.22**		**$(0.21)**	**$0.00**	**0.0%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
02/27/17	02/27/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ 2,678.53	2,678.53
02/28/17	02/28/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(71.66)	2,606.87
Closing Balance									**$2,606.87**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
02/01/17	$ 51,592.53	26	0.0100	$ 0.37	$ 0.37	$ -
02/27/17	48,914.00	1	0.0100	0.01	0.38	-
02/28/17	48,985.66	1	0.0100	0.01	0.39	0.39
Total Interest Income						**$0.39**

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Statement for Account # 867-730320
02/01/17 - 02/28/17

Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	APPLE INC COM	Margin	AAPL	15	$ 135.6397	02/24/17	03/01/17	$ (2,040.60)
BUY	BANK OF AMERICA CORP COM	Margin	BAC	82	24.2747	02/24/17	03/01/17	(1,996.53)
BUY	ELECTRONIC ARTS COM	Margin	EA	12	85.8747	02/24/17	03/01/17	(1,036.50)
BUY	NVIDIA CORP COM	Margin	NVDA	21	98.81	02/24/17	03/01/17	(2,081.01)
BUY	SPDR TR S&P 500 ETF TR	Margin	SPY	8	235.9699	02/24/17	03/01/17	(1,893.76)

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,592.53**
02/27/17	-	02/27/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ (2,678.53)	48,914.00
02/28/17	-	02/28/17	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	71.66	48,985.66
02/28/17	-	02/28/17	Received	Interest: Insured Deposit Account Bank NA	-	-	0.39	48,986.05
Closing Balance								**$48,986.05**

TD Bank NA **$48,986.05**

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Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

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Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
03/01/17 - 03/31/17

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$6.15	$2,606.87	($2,600.72)	-	$ -	-
Insrd Dep Acct (IDA)	51,675.68	48,986.05	2,689.63	5.5%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	0.01	(0.01)	(100.0)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,681.83**	**$51,592.93**	**$88.90**	**0.2%**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$2,606.87	$ -
Securities Purchased	(9,048.40)	(9,048.40)
Securities Sold	9,130.79	9,130.79
Funds Deposited	-	-
Funds Disbursed	-	-
Income	6.15	6.15
Expense	-	-
Other	(2,689.26)	(82.39)
Closing Balance	$6.15	$6.15

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$6.15	$ -	$6.15
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$6.15	$0.00	$6.15

Performance Summary

Cost Basis As Of - 03/31/17 **	**$ -**
Unrealized Gains	-
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	6.15
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320

03/01/17 - 03/31/17

Income Summary Detail*

Description	Current	Year to Date
Qualified Dividends	$ 6.15	$ 6.15
IDA Interest	0.37	1.20

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$2,606.87**
02/24/17	03/01/17	Margin	Buy - Securities Purchased	APPLE INC COM Commission/Fee 6.00	AAPL	15	$ 135.6397	$ (2,040.60)	566.27
02/24/17	03/01/17	Margin	Buy - Securities Purchased	BANK OF AMERICA CORP COM Commission/Fee 6.00	BAC	82	24.2747	(1,996.53)	(1,430.26)
02/24/17	03/01/17	Margin	Buy - Securities Purchased	ELECTRONIC ARTS COM Commission/Fee 6.00	EA	12	85.8747	(1,036.50)	(2,466.76)
02/24/17	03/01/17	Margin	Buy - Securities Purchased	NVIDIA CORP COM Commission/Fee 6.00	NVDA	21	98.81	(2,081.01)	(4,547.77)
02/24/17	03/01/17	Margin	Buy - Securities Purchased	SPDR TR S&P 500 ETF TR Commission/Fee 6.00	SPY	8	235.9699	(1,893.76)	(6,441.53)
03/01/17	03/01/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	6,441.53	0.00
03/22/17	03/27/17	Margin	Sell - Securities Sold	APPLE INC COM Commission/Fee 6.00 Regulatory Fee 0.05	AAPL	15-	140.9553	2,108.28	2,108.28
03/22/17	03/27/17	Margin	Sell - Securities Sold	BANK OF AMERICA CORP COM Commission/Fee 6.00 Regulatory Fee 0.04	BAC	82-	22.8153	1,864.81	3,973.09
03/22/17	03/27/17	Margin	Sell - Securities Sold	ELECTRONIC ARTS COM Commission/Fee 6.00 Regulatory Fee 0.02	EA	12-	88.4603	1,055.50	5,028.59

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Statement for Account # 867-730320
03/01/17 - 03/31/17

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
03/22/17	03/27/17	Margin	Sell - Securities Sold	LIHUA INTERNATIONAL INC COM Commission/Fee 0.01	LIWA	1-	0.0061	-	5,028.59
03/22/17	03/27/17	Margin	Sell - Securities Sold	NVIDIA CORP COM Commission/Fee 6.00 Regulatory Fee 0.05	NVDA	21-	106.8803	2,238.44	7,267.03
03/22/17	03/27/17	Margin	Sell - Securities Sold	SPDR TR S&P 500 ETF TR Commission/Fee 6.00 Regulatory Fee 0.04	SPY	8-	233.7253	1,863.76	9,130.79
03/27/17	03/27/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(9,130.79)	0.00
03/31/17	03/31/17	Margin	Div/Int - Income	BANK OF AMERICA CORP COM Payable: 03/31/2017 QUALIFIED DIVIDENDS 6.15	BAC	-	0.00	6.15	6.15
Closing Balance									**$6.15**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
03/01/17	$ 42,544.52	26	0.0100	$ 0.30	$ 0.30	$ -
03/27/17	51,675.31	5	0.0100	0.07	0.37	0.37
Total Interest Income						**$0.37**

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Statement for Account # 867-730320

03/01/17 - 03/31/17

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$48,986.05**
03/01/17	-	03/01/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ (6,441.53)	42,544.52
03/27/17	-	03/27/17	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	9,130.79	51,675.31
03/31/17	-	03/31/17	Received	Interest: Insured Deposit Account Bank NA	-	-	0.37	51,675.68
Closing Balance								**$51,675.68**

TD Bank NA **$51,675.68**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

BREAKPOINTS

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to http://www.finra.org/industry/issues/breakpoints. For more information on waiver eligibility, please refer to the fund prospectus.

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

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SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

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Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122582

EFTA01268061

TD Ameritrade

Statement Reporting Period:
04/01/17 - 04/30/17

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcements:
Our ongoing effort to serve you better has paid off; we're No. 1 in 3 categories in the Barron's 2017 Online Broker Survey. To learn more, go to tdameritrade.com/accolades.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$8.26	$6.15	$2.11	-	$ -	-
Insrd Dep Acct (IDA)	51,682.25	51,675.68	6.57	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,690.51**	**$51,681.83**	**$8.68**	**-**	**$ 0.00**	**0.0%**
Margin Equity	**100.0%**					

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$6.15	$ -
Securities Purchased	-	(9,048.40)
Securities Sold	-	9,130.79
Funds Deposited	-	-
Funds Disbursed	-	-
Income	8.26	14.41
Expense	-	-
Other	(6.15)	(88.54)
Closing Balance	$8.26	$8.26

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$8.26	$ -	$14.41
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$8.26	$0.00	$14.41

Performance Summary

Cost Basis As Of - 04/30/17 **	**$ -**
Unrealized Gains	-
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	14.41
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320

04/01/17 - 04/30/17

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 8.26	$ 8.26
Qualified Dividends	0.00	6.15
IDA Interest	0.42	1.62

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$6.15**
04/03/17	04/03/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ (6.15)	0.00
04/28/17	04/28/17	Margin	Div/Int - Income	SPDR TR S&P 500 ETF TR Payable: 04/28/2017 Ordinary Dividends 8.26	SPY	-	0.00	8.26	8.26
Closing Balance									**$8.26**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
04/01/17	$ 51,675.68	2	0.0100	$ 0.03	$ 0.03	$ -
04/03/17	51,681.83	28	0.0100	0.39	0.42	0.42
Total Interest Income						**$0.42**

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Statement for Account # 867-730320

04/01/17 - 04/30/17

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,675.68**
04/03/17	-	04/03/17	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 6.15	51,681.83
04/28/17	-	04/28/17	Received	Interest: Insured Deposit Account Bank NA	-	-	0.42	51,682.25
Closing Balance								**$51,682.25**

TD Bank NA **$51,682.25**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

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Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

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(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122587

EFTA01268066

TD Ameritrade

Statement Reporting Period:
05/01/17 - 05/31/17

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$8.26	($8.26)	-	$ -	-
Insrd Dep Acct (IDA)	51,690.95	51,682.25	8.70	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,690.95**	**$51,690.51**	**$0.44**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$8.26	$ -
Securities Purchased	-	(9,048.40)
Securities Sold	-	9,130.79
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	14.41
Expense	-	-
Other	(8.26)	(96.80)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$14.41
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$14.41

Performance Summary

Cost Basis As Of - 05/31/17 **	**$ -**
Unrealized Gains	-
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	14.41
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320

05/01/17 - 05/31/17

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 8.26
Qualified Dividends	0.00	6.15
IDA Interest	0.44	2.06

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$8.26**
05/01/17	05/01/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ (8.26)	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
05/01/17	$ 51,690.51	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,682.25**
05/01/17	-	05/01/17	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 8.26	51,690.51
05/31/17	-	05/31/17	Received	Interest: Insured Deposit Account Bank NA	-	-	0.44	51,690.95
Closing Balance								**$51,690.95**
TD Bank NA							**$51,690.95**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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Important Information

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2017, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $1.5 billion and $291 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington DC and the Denver, CO office of the Commission.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Industry Trade Settlement Date Change

On September 5, 2017, the financial industry will shorten the settlement cycle process for trades of most securities (including equities, exchange-traded funds [ETFs], fixed-income products, unit investments trusts [UITs], and other products comprising these types of securities) from three business days after the trade date ("T+3") to two business days after the trade date ("T+2"). This will reduce a number of risks for the financial markets and for individual investors, including credit risk, market risk, and liquidity risk.

What does this mean for you? After the industry completes this change, you will receive payment faster after you sell a security, you will have the ability to trade a day earlier with funds from the sale of a security, and you'll be required to provide funds to your broker more quickly after you buy a security. If you have any questions about how this change will affect you specifically, please let us know. You can log in to your account and go to Client Services > Message Center to write us, or call Client Services 24/7 at 800-669-3900.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

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Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

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Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
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Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01268071

TD Ameritrade

Statement Reporting Period:
06/01/17 - 06/30/17

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,691.37	51,690.95	0.42	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,691.37**	**$51,690.95**	**$0.42**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	(9,048.40)
Securities Sold	-	9,130.79
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	14.41
Expense	-	-
Other	-	(96.80)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$14.41
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$14.41

Performance Summary

Cost Basis As Of - 06/30/17 **	**$ -**
Unrealized Gains	-
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	14.41
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320

06/01/17 - 06/30/17

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 8.26
Qualified Dividends	0.00	6.15
IDA Interest	0.42	2.48

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
06/01/17	$ 51,690.95	30	0.0100	$ 0.42	$ 0.42	$ 0.42
Total Interest Income						**$0.42**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,690.95**
06/30/17	-	06/30/17	Received	Interest: Insured Deposit Account Bank NA	-	-	$ 0.42	51,691.37
Closing Balance								**$51,691.37**
TD Bank NA							**$51,691.37**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2017, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $1.5 billion and $291 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington DC and the Denver, CO office of the Commission.

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Industry Trade Settlement Date Change

On September 5, 2017, the financial industry will shorten the settlement cycle process for trades of most securities (including equities, exchange-traded funds [ETFs], fixed-income products, unit investments trusts [UITs], and other products comprising these types of securities) from three business days after the trade date ("T+3") to two business days after the trade date ("T+2"). This will reduce a number of risks for the financial markets and for individual investors, including credit risk, market risk, and liquidity risk.

What does this mean for you? After the industry completes this change, you will receive payment faster after you sell a security, you will have the ability to trade a day earlier with funds from the sale of a security, and you'll be required to provide funds to your broker more quickly after you buy a security. If you have any questions about how this change will affect you specifically, please let us know. You can log in to your account and go to Client Services > Message Center to write us, or call Client Services 24/7 at 800-669-3900.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

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(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122596

EFTA01268075

TD Ameritrade

Statement Reporting Period:
07/01/17 - 07/31/17

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,691.81	51,691.37	0.44	-	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,691.81**	**$51,691.37**	**$0.44**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	(9,048.40)
Securities Sold	-	9,130.79
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	14.41
Expense	-	-
Other	-	(96.80)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$14.41
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$14.41

Performance Summary

Cost Basis As Of - 07/31/17 **	**$ -**
Unrealized Gains	-
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	14.41
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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SDNY_GM_00011946

EFTA_00122597

EFTA01268076

Statement for Account # 867-730320

07/01/17 - 07/31/17

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 8.26
Qualified Dividends	0.00	6.15
IDA Interest	0.44	2.92

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
07/01/17	$ 51,691.37	31	0.0100	$ 0.44	$ 0.44	$ 0.44
Total Interest Income						**$0.44**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,691.37**
07/31/17	-	07/31/17	Received	Interest: Insured Deposit Account Bank NA	-	-	$ 0.44	51,691.81
Closing Balance								**$51,691.81**
TD Bank NA							**$51,691.81**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Industry Trade Settlement Date Change

On September 5, 2017, the financial industry will shorten the settlement cycle process for trades of most securities (including equities, exchange-traded funds [ETFs], fixed-income products, unit investments trusts [UITs], and other products comprising these types of securities) from three business days after the trade date ("T+3") to two business days after the trade date ("T+2"). This will reduce a number of risks for the financial markets and for individual investors, including credit risk, market risk, and liquidity risk.

What does this mean for you? After the industry completes this change, you will receive payment faster after you sell a security, you will have the ability to trade a day earlier with funds from the sale of a security, and you'll be required to provide funds to your broker more quickly after you buy a security. If you have any questions about how this change will affect you specifically, please let us know. You can log in to your account and go to Client Services > Message Center to write us, or call Client Services 24/7 at 800-669-3900.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

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[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

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For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00011949

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EFTA_00122600

EFTA01268079

TD Ameritrade

Statement Reporting Period:
08/01/17 - 08/31/17

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	51,693.13	51,691.81	1.32	-	-	0.03%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$51,693.13**	**$51,691.81**	**$1.32**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	(9,048.40)
Securities Sold	-	9,130.79
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	14.41
Expense	-	-
Other	-	(96.80)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$14.41
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$14.41

Performance Summary

Cost Basis As Of - 08/31/17 **	**$ -**
Unrealized Gains	-
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	14.41
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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SDNY_GM_00011950

EFTA_00122601

EFTA01268080

Statement for Account # 867-730320

08/01/17 - 08/31/17

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 8.26
Qualified Dividends	0.00	6.15
IDA Interest	1.32	4.24

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Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
08/01/17	$ 51,691.81	31	0.0300	$ 1.32	$ 1.32	$ 1.32
Total Interest Income						**$1.32**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,691.81**
08/31/17	-	08/31/17	Received	Interest: Insured Deposit Account Bank NA	-	-	$ 1.32	51,693.13
Closing Balance								**$51,693.13**
TD Bank NA							**$51,693.13**	

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Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

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Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
09/01/17 - 09/30/17

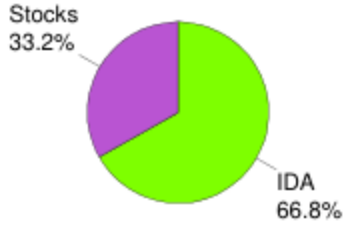
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$4.85	$ -	$4.85	-	$ -	-
Insrd Dep Acct (IDA)	1,687,211.45	51,693.13	1,635,518.32	3163.9%	-	0.09%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	839,550.00	-	839,550.00	-	9,900.00	1.2%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$2,526,766.30**	**$51,693.13**	**$2,475,073.17**	**4788.0%**	**$9,900.00**	**0.4%**
Margin Equity	**63.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	(814,571.50)	(823,619.90)
Securities Sold	-	9,130.79
Funds Deposited	2,450,000.00	2,450,000.00
Funds Disbursed	-	-
Income	0.69	15.10
Expense	-	-
Other	(1,635,424.34)	(1,635,521.14)
Closing Balance	$4.85	$4.85

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$14.41
Interest	0.69	-	0.69
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.69	$0.00	$15.10

Performance Summary

Cost Basis As Of - 09/30/17 **	**$814,571.50**
Unrealized Gains	24,978.50
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	2,450,000.00
Income/(Expense) YTD	15.10
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320
09/01/17 - 09/30/17

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ 2,450,000.00	$ 2,450,000.00
Subtotal	2,450,000.00	2,450,000.00
TOTAL	**2,450,000.00**	2,450,000.00

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 8.26
Interest Income Credit Balance	0.69	0.69
Qualified Dividends	0.00	6.15
IDA Interest	93.98	98.22

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Margin										
BRUNSWICK CORP COM	BC	15,000	$ 55.97	$ 839,550.00	09/18/17	$ 814,571.50	$ 54.30	$ 24,978.50	$ 9,900.00	1.2%
Total Stocks				**$839,550.00**		**$814,571.50**		**$24,978.50**	**$9,900.00**	**1.2%**
Total Margin Account				**$839,550.00**		**$814,571.50**		**$24,978.50**	**$9,900.00**	**1.2%**

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
CREDITS			
Electronic Transfer	09/13/2017	WIRE RECEIVED:SOUTHERN FINANCIAL LLC C	$ 2,450,000.00
Subtotal			2,450,000.00
TOTAL			**2,450,000.00**

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Statement for Account # 867-730320

09/01/17 - 09/30/17

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
09/13/17	09/13/17	Margin	- Funds Deposited	WIRE RECEIVED:SOUTHERN FINANCIAL LLC C	-	-	$ 0.00	$ 2,450,000.00	2,450,000.00
09/14/17	09/14/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,450,000.00)	0.00
09/19/17	09/19/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	81,183.50	81,183.50
09/18/17	09/20/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM Commission/Fee 6.00	BC	5,000	53.7275	(268,643.50)	(187,460.00)
09/20/17	09/20/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	187,460.00	0.00
09/21/17	09/25/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM Commission/Fee 6.00	BC	5,290	54.62	(288,945.80)	(288,945.80)
09/21/17	09/25/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM	BC	300	54.61	(16,383.00)	(305,328.80)
09/21/17	09/25/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM	BC	700	54.60	(38,220.00)	(343,548.80)
09/21/17	09/25/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM	BC	500	54.59	(27,295.00)	(370,843.80)
09/21/17	09/25/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM	BC	300	54.58	(16,374.00)	(387,217.80)
09/21/17	09/25/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM	BC	400	54.57	(21,828.00)	(409,045.80)
09/21/17	09/25/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM	BC	300	54.55	(16,365.00)	(425,410.80)
09/21/17	09/25/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM	BC	700	54.54	(38,178.00)	(463,588.80)
09/21/17	09/25/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM	BC	410	54.53	(22,357.30)	(485,946.10)
09/21/17	09/25/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM	BC	1,100	54.529	(59,981.90)	(545,928.00)
09/25/17	09/25/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	545,928.00	0.00

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Statement for Account # 867-730320

09/01/17 - 09/30/17

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/29/17	09/29/17	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC Interest: Insured Deposit Account Bank USA Payable: 10/31/2017 Insured Deposit Accoun 3.55	MMDA1	-	0.00	4.16	4.16
09/29/17	09/29/17	Margin	Div/Int - Income	INTEREST CREDIT Payable: 09/29/2017	-	-	0.00	0.69	4.85
Closing Balance									**$4.85**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
09/13/17	$ -	$ 2,450,000.00	1	0.01	$ -	$ 0.67
09/19/17	-	81,183.50	1	0.01	-	0.02
Total Interest Income/(Expense)					**$ 0.00**	**$0.69**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
09/01/17	$ 51,693.13	13	0.0300	$ 0.55	$ 0.55	$ -
09/14/17	2,501,693.13	5	0.0900	30.84	31.39	-
09/19/17	2,420,509.63	1	0.0900	5.96	37.35	-
09/20/17	2,233,049.63	5	0.0900	27.52	64.87	-
09/25/17	1,687,121.63	6	0.0900	24.96	89.83	89.83
Total Interest Income						**$89.83**

Trades Pending Settlement

Investment	Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	CAVCO INDUSTRIES INC COM	Margin	CVCO	3,300	$ 149.00	09/29/17	10/03/17	$ (491,706.00)

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Statement for Account # 867-730320

09/01/17 - 09/30/17

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$51,693.13**
09/14/17	-	09/14/17	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 195,806.87	247,500.00
09/14/17	-	09/14/17	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,254,193.13	2,501,693.13
09/19/17	-	09/19/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(81,183.50)	2,420,509.63
09/20/17	-	09/20/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(187,460.00)	2,233,049.63
09/25/17	-	09/25/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(545,928.00)	1,687,121.63
09/29/17	-	09/29/17	Received	Interest: Insured Deposit Account Bank USA	-	-	78.90	1,687,200.53
09/29/17	-	09/29/17	Received	Interest: Insured Deposit Account Bank NA	-	-	10.92	1,687,211.45
Closing Balance								**$1,687,211.45**
TD Bank NA							**$247,500.00**	
TD Bank USA NA							**$1,439,711.45**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

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(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
10/01/17 - 10/31/17

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$4.85	($4.85)	-	$ -	-
Insrd Dep Acct (IDA)	644,983.52	1,687,211.45	(1,042,227.93)	(61.8)%	-	0.08%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	4,707,740.00	839,550.00	3,868,190.00	460.7%	63,200.00	1.3%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	42,450.80	-	42,450.80	-	-	-
Short Options	(74,480.00)	-	(74,480.00)	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$5,320,694.32**	**$2,526,766.30**	**$2,793,928.02**	**110.6%**	**$63,200.00**	**1.2%**
Margin Equity	**90.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$4.85	$ -
Securities Purchased	(2,081,142.92)	(2,904,762.82)
Securities Sold	1,036,802.83	1,045,933.62
Funds Deposited	2,000.00	2,452,000.00
Funds Disbursed	-	-
Income	-	15.10
Expense	-	-
Other	1,042,335.24	(593,185.90)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$14.41
Interest	-	-	0.69
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$15.10

Performance Summary

Cost Basis As Of - 10/31/17 **	**$4,951,184.97**
Unrealized Gains	920,746.21
Unrealized Losses	(1,196,220.38)
Funds Deposited/(Disbursed) YTD	2,452,000.00
Income/(Expense) YTD	15.10
Securities Received/(Delivered) YTD	2,925,891.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320
10/01/17 - 10/31/17

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 2,450,000.00
Subtotal	0.00	2,450,000.00
TOTAL	**0.00**	2,450,000.00

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 8.26
Interest Income Credit Balance	0.00	0.69
Qualified Dividends	0.00	6.15
IDA Interest	107.31	205.53

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ASCENA RETAIL GROUP INC COM	ASNA	50,500	$ 1.94	$97,970.00	01/16/01	$ 1,074,468.09	$ 21.28	$ (976,498.09)	$ -	-
BRUNSWICK CORP COM	BC	20,000	50.65	1,013,000.00	09/18/17	1,064,577.50	53.23	(51,577.50)	15,200.00	1.5%
CAVCO INDUSTRIES INC COM	CVCO	3,300	156.90	517,770.00	09/29/17	491,706.00	149.00	26,064.00	-	-
FOUNDATION MEDICINE INC COM	FMI	35,000	45.00	1,575,000.00	01/13/14	857,114.53	24.49	717,885.47	-	-
GENERAL ELECTRIC CO COM	GE	50,000	20.16	1,008,000.00	10/20/17	1,127,899.05	22.56	(119,899.05)	48,000.00	4.8%
SANGAMO THERAPEUTICS INC COM	SGMO	40,000	12.40	496,000.00	05/28/13	337,771.20	8.44	158,228.80	-	-
Total Stocks				**$4,707,740.00**		**$4,953,536.37**		**$(245,796.37)**	**$63,200.00**	**1.3%**

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Statement for Account # 867-730320
10/01/17 - 10/31/17

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Options - Margin										
JD COM INC JD Jan 19 18 40.0 C	-	280	$ 1.5161	$ 42,450.80	10/16/17	$ 75,121.60	$ 2.68	$ (32,670.80)	$ -	-
Total Options				**$42,450.80**		**$75,121.60**		**$(32,670.80)**	**$0.00**	**0.0%**
Short Options - Margin										
JD COM INC JD Jan 19 18 44.0 C	-	280-	$ 0.6497	$ (18,191.60)	10/16/17	$ (36,759.54)	$ 1.31	$ 18,567.94	$ -	-
JD COM INC JD Jan 19 18 37.0 P	-	280-	2.0103	(56,288.40)	10/16/17	(40,713.46)	1.45	(15,574.94)	-	-
Total Short Options				**$(74,480.00)**		**$(77,473.00)**		**$2,993.00**	**$0.00**	**0.0%**
Total Margin Account				**$4,675,710.80**		**$4,951,184.97**		**$(275,474.17)**	**$63,200.00**	**1.4%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$4.85**
10/02/17	10/02/17	Margin	Received -	ASCENA RETAIL GROUP INC COM TOA FROM PERSHING DIV OF DONALDSON SD 10/02/2017 0443	ASNA	70,500	$ 0.00	$ -	4.85
10/02/17	10/02/17	Margin	Received -	FOUNDATION MEDICINE INC COM TOA FROM PERSHING DIV OF DONALDSON SD 10/02/2017 0443	FMI	49,830	0.00	-	4.85
10/02/17	10/02/17	Margin	Received -	SANGAMO THERAPEUTICS INC COM TOA FROM PERSHING DIV OF DONALDSON SD 10/02/2017 0443	SGMO	50,000	0.00	-	4.85
10/02/17	10/02/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(4.85)	0.00

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Statement for Account # 867-730320

10/01/17 - 10/31/17

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/29/17	10/03/17	Margin	Buy - Securities Purchased	CAVCO INDUSTRIES INC COM Commission/Fee 6.00	CVCO	3,300	149.00	(491,706.00)	(491,706.00)
10/03/17	10/03/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	491,706.00	0.00
10/06/17	10/11/17	Margin	Buy - Securities Purchased	SWITCH INC COM CL A Commission/Fee 6.00	SWCH	1,027	23.90	(24,551.30)	(24,551.30)
10/06/17	10/11/17	Margin	Buy - Securities Purchased	SWITCH INC COM CL A	SWCH	1,634	23.89	(39,036.26)	(63,587.56)
10/06/17	10/11/17	Margin	Buy - Securities Purchased	SWITCH INC COM CL A	SWCH	20	23.87	(477.40)	(64,064.96)
10/06/17	10/11/17	Margin	Buy - Securities Purchased	SWITCH INC COM CL A	SWCH	1,100	23.84	(26,224.00)	(90,288.96)
10/06/17	10/11/17	Margin	Buy - Securities Purchased	SWITCH INC COM CL A	SWCH	100	23.82	(2,382.00)	(92,670.96)
10/06/17	10/11/17	Margin	Buy - Securities Purchased	SWITCH INC COM CL A	SWCH	900	23.80	(21,420.00)	(114,090.96)
10/06/17	10/11/17	Margin	Buy - Securities Purchased	SWITCH INC COM CL A	SWCH	200	23.77	(4,754.00)	(118,844.96)
10/06/17	10/11/17	Margin	Buy - Securities Purchased	SWITCH INC COM CL A	SWCH	19	23.76	(451.44)	(119,296.40)
10/09/17	10/11/17	Margin	Sell - Securities Sold	SWITCH INC COM CL A Commission/Fee 6.00 Regulatory Fee 1.65	SWCH	3,623-	19.75	71,546.60	(47,749.80)
10/09/17	10/11/17	Margin	Sell - Securities Sold	SWITCH INC COM CL A Regulatory Fee 0.14	SWCH	300-	19.72	5,915.86	(41,833.94)
10/09/17	10/11/17	Margin	Sell - Securities Sold	SWITCH INC COM CL A Regulatory Fee 0.42	SWCH	919-	19.71	18,113.07	(23,720.87)
10/09/17	10/11/17	Margin	Sell - Securities Sold	SWITCH INC COM CL A Regulatory Fee 0.07	SWCH	158-	19.70	3,112.53	(20,608.34)
10/11/17	10/11/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	20,608.34	0.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/11/17	10/13/17	Margin	Sell - Securities Sold	FOUNDATION MEDICINE INC COM Commission/Fee 6.00 Regulatory Fee 3.09	FMI	3,000-	44.60	133,790.91	133,790.91
10/11/17	10/13/17	Margin	Sell - Securities Sold	FOUNDATION MEDICINE INC COM Commission/Fee 6.00 Regulatory Fee 3.99	FMI	3,830-	45.10	172,723.01	306,513.92
10/11/17	10/13/17	Margin	Sell - Securities Sold	FOUNDATION MEDICINE INC COM Commission/Fee 6.00 Regulatory Fee 5.23	FMI	5,000-	45.30	226,488.77	533,002.69
10/11/17	10/13/17	Margin	Sell - Securities Sold	FOUNDATION MEDICINE INC COM Commission/Fee 6.00 Regulatory Fee 0.74	FMI	710-	45.35	32,191.76	565,194.45
10/13/17	10/13/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(565,194.45)	0.00
10/16/17	10/17/17	Margin	Sell - Securities Sold	JD COM INC JD Jan 19 18 44.0 C TO OPEN Commission/Fee 16.50 Regulatory Fee 0.70	-	30-	1.39	4,152.80	4,152.80
10/16/17	10/17/17	Margin	Buy - Securities Purchased	JD COM INC JD Jan 19 18 40.0 C TO OPEN Commission/Fee 22.50 Regulatory Fee 0.40	-	20	2.82	(5,662.90)	(1,510.10)
10/16/17	10/17/17	Margin	Buy - Securities Purchased	JD COM INC JD Jan 19 18 40.0 C TO OPEN Regulatory Fee 0.20	-	10	2.81	(2,810.20)	(4,320.30)
10/16/17	10/17/17	Margin	Sell - Securities Sold	JD COM INC JD Jan 19 18 37.0 P TO OPEN Commission/Fee 160.00 Regulatory Fee 6.54	-	280-	1.46	40,713.46	36,393.16
10/16/17	10/17/17	Margin	Buy - Securities Purchased	JD COM INC JD Jan 19 18 40.0 C TO OPEN Commission/Fee 143.50 Regulatory Fee 5.00	-	250	2.66	(66,648.50)	(30,255.34)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/16/17	10/17/17	Margin	Sell - Securities Sold	JD COM INC JD Jan 19 18 44.0 C TO OPEN Commission/Fee 137.50 Regulatory Fee 5.76	-	250-	1.31	32,606.74	2,351.40
10/17/17	10/17/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,351.40)	0.00
10/17/17	10/18/17	Margin	Buy - Securities Purchased	CURRENCYSHARES EURO TRUST FXE Jan 19 18 112.0 P TO OPEN Commission/Fee 111.05 Regulatory Fee 3.82	-	191	0.89	(17,113.87)	(17,113.87)
10/18/17	10/18/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	17,113.87	0.00
10/19/17	10/19/17	Margin	Journal - Funds Deposited	$2000 Offer	-	-	0.00	2,000.00	2,000.00
10/20/17	10/20/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,000.00)	0.00
10/20/17	10/24/17	Margin	Sell - Securities Sold	ASCENA RETAIL GROUP INC COM Commission/Fee 6.00 Regulatory Fee 0.92	ASNA	20,000-	2.00	39,993.08	39,993.08
10/20/17	10/24/17	Margin	Buy - Securities Purchased	GENERAL ELECTRIC CO COM Commission/Fee 6.00	GE	14,707	23.079	(339,428.85)	(299,435.77)
10/20/17	10/24/17	Margin	Buy - Securities Purchased	GENERAL ELECTRIC CO COM	GE	7,093	23.07	(163,635.51)	(463,071.28)
10/20/17	10/24/17	Margin	Buy - Securities Purchased	GENERAL ELECTRIC CO COM	GE	2,100	23.0699	(48,446.79)	(511,518.07)
10/20/17	10/24/17	Margin	Buy - Securities Purchased	GENERAL ELECTRIC CO COM	GE	1,100	23.069	(25,375.90)	(536,893.97)
10/24/17	10/24/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	536,893.97	0.00
10/23/17	10/25/17	Margin	Sell - Securities Sold	SANGAMO THERAPEUTICS INC COM Commission/Fee 6.00 Regulatory Fee 0.06	SGMO	200-	13.925	2,778.94	2,778.94
10/23/17	10/25/17	Margin	Sell - Securities Sold	SANGAMO THERAPEUTICS INC COM Regulatory Fee 0.06	SGMO	200-	13.905	2,780.94	5,559.88

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Statement for Account # 867-730320
10/01/17 - 10/31/17

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
10/23/17	10/25/17	Margin	Sell - Securities Sold	SANGAMO THERAPEUTICS INC COM Regulatory Fee 3.08	SGMO	9,600-	13.90	133,436.92	138,996.80
10/25/17	10/25/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(138,996.80)	0.00
10/24/17	10/26/17	Margin	Buy - Securities Purchased	GENERAL ELECTRIC CO COM Commission/Fee 6.00	GE	10,000	22.10	(221,006.00)	(221,006.00)
10/24/17	10/26/17	Margin	Sell - Securities Sold	FOUNDATION MEDICINE INC COM Commission/Fee 6.00 Regulatory Fee 2.16	FMI	2,290-	40.90	93,652.84	(127,353.16)
10/24/17	10/26/17	Margin	Buy - Securities Purchased	GENERAL ELECTRIC CO COM Commission/Fee 6.00	GE	15,000	22.00	(330,006.00)	(457,359.16)
10/26/17	10/26/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	457,359.16	0.00
10/27/17	10/31/17	Margin	Buy - Securities Purchased	BRUNSWICK CORP COM Commission/Fee 6.00	BC	5,000	50.00	(250,006.00)	(250,006.00)
10/30/17	10/31/17	Margin	Sell - Securities Sold	CURRENCYSHARES EURO TRUST FXE Jan 19 18 112.0 P TO CLOSE Commission/Fee 111.05 Regulatory Fee 4.35	-	191-	1.20	22,804.60	(227,201.40)
10/31/17	10/31/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	227,201.40	0.00

Closing Balance **$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
10/01/17	$ 1,687,211.45	1	0.0900	$ 4.16	$ 4.16	$ 4.16
10/02/17	1,687,216.30	1	0.1000	4.62	4.62	-
10/03/17	1,195,510.30	8	0.1000	26.19	30.81	-
10/11/17	1,174,901.96	2	0.1000	6.43	37.24	-
10/13/17	1,740,096.41	4	0.1000	19.06	56.30	-
10/17/17	1,742,447.81	1	0.1000	4.77	61.07	-
10/18/17	1,725,333.94	2	0.1000	9.45	70.52	-

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Statement for Account # 867-730320

10/01/17 - 10/31/17

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
10/20/17	1,727,333.94	4	0.1000	18.91	89.43	-
10/24/17	1,190,439.97	1	0.1000	3.26	92.69	-
10/25/17	1,329,436.77	1	0.1000	3.64	96.33	-
10/26/17	872,077.61	5	0.0800	9.56	105.89	-
10/31/17	644,876.21	1	0.0800	1.41	107.30	107.30
Total Interest Income						**$111.46**

Trades Pending Settlement

Investment Description		Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY	GENERAL ELECTRIC CO COM	Margin	GE	9,619	$ 20.14	10/31/17	11/02/17	$ (193,732.66)
BUY	GENERAL ELECTRIC CO COM	Margin	GE	6,781	20.139	10/31/17	11/02/17	(136,562.56)
BUY	GENERAL ELECTRIC CO COM	Margin	GE	8,600	20.135	10/31/17	11/02/17	(173,161.00)

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$1,687,211.45**
10/02/17	-	10/02/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 4.85	1,687,216.30
10/03/17	-	10/03/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(491,706.00)	1,195,510.30
10/11/17	-	10/11/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(20,608.34)	1,174,901.96
10/13/17	-	10/13/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	565,194.45	1,740,096.41

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Statement for Account # 867-730320

10/01/17 - 10/31/17

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
10/17/17	-	10/17/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,351.40	1,742,447.81
10/18/17	-	10/18/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(17,113.87)	1,725,333.94
10/20/17	-	10/20/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,000.00	1,727,333.94
10/24/17	-	10/24/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(536,893.97)	1,190,439.97
10/25/17	-	10/25/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	138,996.80	1,329,436.77
10/26/17	-	10/26/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(457,359.16)	872,077.61
10/31/17	-	10/31/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(227,201.40)	644,876.21
10/31/17	-	10/31/17	Received	Interest: Insured Deposit Account Bank USA	-	-	35.71	644,911.92
10/31/17	-	10/31/17	Received	Interest: Insured Deposit Account Bank USA	-	-	52.08	644,964.00
10/31/17	-	10/31/17	Received	Interest: Insured Deposit Account Bank NA	-	-	19.52	644,983.52

Closing Balance		**$644,983.52**
TD Bank NA	**$247,519.52**	
TD Bank USA NA	**$397,464.00**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
11/01/17 - 11/30/17

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	636,531.84	644,983.52	(8,451.68)	(1.3)%	-	0.08%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	5,080,315.00	4,707,740.00	372,575.00	7.9%	87,200.00	1.7%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	20,328.00	42,450.80	(22,122.80)	(52.1)%	-	-
Short Options	(42,946.40)	(74,480.00)	31,533.60	42.3%	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$5,694,228.44**	**$5,320,694.32**	**$373,534.12**	**7.0%**	**$87,200.00**	**1.5%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	(503,456.22)	(3,408,219.04)
Securities Sold	494,976.56	1,540,910.18
Funds Deposited	-	2,452,000.00
Funds Disbursed	-	-
Income	-	15.10
Expense	-	-
Other	8,479.66	(584,706.24)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$14.41
Interest	-	-	0.69
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.00	$0.00	$15.10

Performance Summary

Cost Basis As Of - 11/30/17 **	**$5,179,575.79**
Unrealized Gains	1,148,817.77
Unrealized Losses	(1,270,696.96)
Funds Deposited/(Disbursed) YTD	2,452,000.00
Income/(Expense) YTD	15.10
Securities Received/(Delivered) YTD	2,925,891.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320
11/01/17 - 11/30/17

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 2,450,000.00
Subtotal	0.00	2,450,000.00
TOTAL	**0.00**	2,450,000.00

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 8.26
Interest Income Credit Balance	0.00	0.69
Qualified Dividends	0.00	6.15
IDA Interest	27.98	233.51

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ASCENA RETAIL GROUP INC COM	ASNA	50,500	$ 2.34	$ 118,170.00	01/16/01	$ 1,074,468.09	$ 21.28	$ (956,298.09)	$ -	-
BRUNSWICK CORP COM	BC	20,000	55.35	1,107,000.00	09/18/17	1,064,577.50	53.23	42,422.50	15,200.00	1.4%
CAVCO INDUSTRIES INC COM	CVCO	3,300	153.15	505,395.00	09/29/17	491,706.00	149.00	13,689.00	-	-
FOUNDATION MEDICINE INC COM	FMI	25,000	53.20	1,330,000.00	01/13/14	582,049.13	23.28	747,950.87	-	-
GENERAL ELECTRIC CO COM	GE	75,000	18.29	1,371,750.00	10/20/17	1,631,355.27	21.75	(259,605.27)	72,000.00	5.2%
SANGAMO THERAPEUTICS INC COM	SGMO	40,000	16.20	648,000.00	05/28/13	337,771.20	8.44	310,228.80	-	-
Total Stocks				**$5,080,315.00**		**$5,181,927.19**		**$(101,612.19)**	**$87,200.00**	**1.7%**

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Statement for Account # 867-730320
11/01/17 - 11/30/17

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Options - Margin										
JD COM INC JD Jan 19 18 40.0 C	-	280	$ 0.726	$ 20,328.00	10/16/17	$ 75,121.60	$ 2.68	$ (54,793.60)	$ -	-
Total Options				**$20,328.00**		**$75,121.60**		**$(54,793.60)**	**$0.00**	**0.0%**
Short Options - Margin										
JD COM INC JD Jan 19 18 44.0 C	-	280-	$ 0.1946	$ (5,448.80)	10/16/17	$ (36,759.54)	$ 1.31	$ 31,310.74	$ -	-
JD COM INC JD Jan 19 18 37.0 P	-	280-	1.3392	(37,497.60)	10/16/17	(40,713.46)	1.45	3,215.86	-	-
Total Short Options				**$(42,946.40)**		**$(77,473.00)**		**$34,526.60**	**$0.00**	**0.0%**
Total Margin Account				**$5,057,696.60**		**$5,179,575.79**		**$(121,879.19)**	**$87,200.00**	**1.7%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
10/31/17	11/02/17	Margin	Buy - Securities Purchased	GENERAL ELECTRIC CO COM Commission/Fee 6.00	GE	9,619	$ 20.14	$ (193,732.66)	(193,732.66)
10/31/17	11/02/17	Margin	Buy - Securities Purchased	GENERAL ELECTRIC CO COM	GE	6,781	20.139	(136,562.56)	(330,295.22)
10/31/17	11/02/17	Margin	Buy - Securities Purchased	GENERAL ELECTRIC CO COM	GE	8,600	20.135	(173,161.00)	(503,456.22)
11/02/17	11/02/17	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	503,456.22	0.00
11/02/17	11/06/17	Margin	Sell - Securities Sold	FOUNDATION MEDICINE INC COM Commission/Fee 6.00 Regulatory Fee 5.72	FMI	5,000-	49.50	247,488.28	247,488.28
11/06/17	11/06/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(247,488.28)	0.00

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Statement for Account # 867-730320
11/01/17 - 11/30/17

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/16/17	11/20/17	Margin	Sell - Securities Sold	FOUNDATION MEDICINE INC COM Commission/Fee 6.00 Regulatory Fee 5.72	FMI	5,000-	49.50	247,488.28	247,488.28
11/20/17	11/20/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(247,488.28)	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
11/01/17	$ 644,983.52	1	0.0800	$ 1.41	$ 1.41	$ -
11/02/17	141,527.30	4	0.0500	0.78	2.19	-
11/06/17	389,015.58	14	0.0700	10.44	12.63	-
11/20/17	636,503.86	11	0.0800	15.34	27.97	27.97
Total Interest Income						**$27.97**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$644,983.52**
11/02/17	-	11/02/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ (149,983.52)	495,000.00
11/02/17	-	11/02/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	247,500.00
11/02/17	-	11/02/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(105,972.70)	141,527.30
11/06/17	-	11/06/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	105,972.70	247,500.00

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Statement for Account # 867-730320

11/01/17 - 11/30/17

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
11/06/17	-	11/06/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	141,515.58	389,015.58
11/20/17	-	11/20/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	105,984.42	495,000.00
11/20/17	-	11/20/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	141,503.86	636,503.86
11/30/17	-	11/30/17	Received	Interest: Insured Deposit Account Bank USA	-	-	3.74	636,507.60
11/30/17	-	11/30/17	Received	Interest: Insured Deposit Account Bank USA	-	-	10.31	636,517.91
11/30/17	-	11/30/17	Received	Interest: Insured Deposit Account Bank NA	-	-	13.93	636,531.84

Closing Balance		**$636,531.84**
TD Bank USA Excess	**$0.00**	
TD Bank USA NA	**$389,017.91**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

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Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01268108

TD Ameritrade

Statement Reporting Period:
12/01/17 - 12/31/17

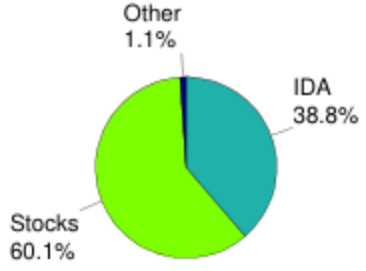
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	2,363,571.62	636,531.84	1,727,039.78	271.3%	-	0.25%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	3,669,750.00	5,080,315.00	(1,410,565.00)	(27.8)%	36,000.00	1.0%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	54,644.80	20,328.00	34,316.80	168.8%	-	-
Short Options	(10,788.40)	(42,946.40)	32,158.00	74.9%	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$6,077,178.02**	**$5,694,228.44**	**$382,949.58**	**6.7%**	**$36,000.00**	**0.6%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	(3,408,219.04)
Securities Sold	1,723,069.22	3,263,979.40
Funds Deposited	-	2,452,000.00
Funds Disbursed	-	-
Income	3,800.00	3,815.10
Expense	-	-
Other	(1,726,869.22)	(2,311,575.46)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$3,800.00	$ -	$3,814.41
Interest	-	-	0.69
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$3,800.00	$0.00	$3,815.10

Performance Summary

Cost Basis As Of - 12/31/17 **	**$2,548,824.20**
Unrealized Gains	1,507,864.27
Unrealized Losses	(343,082.07)
Funds Deposited/(Disbursed) YTD	2,452,000.00
Income/(Expense) YTD	3,815.10
Securities Received/(Delivered) YTD	2,925,891.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320
12/01/17 - 12/31/17

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 2,450,000.00
Subtotal	0.00	2,450,000.00
TOTAL	**0.00**	2,450,000.00

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 8.26
Interest Income Credit Balance	0.00	0.69
Qualified Dividends	3,800.00	3,806.15
IDA Interest	170.56	404.07

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
FOUNDATION MEDICINE INC COM	FMI	25,000	$ 68.20	$ 1,705,000.00	01/13/14	$ 582,049.13	$ 23.28	$ 1,122,950.87	$ -	-
GENERAL ELECTRIC CO COM	GE	75,000	17.45	1,308,750.00	10/20/17	1,631,355.27	21.75	(322,605.27)	36,000.00	2.8%
SANGAMO THERAPEUTICS INC COM	SGMO	40,000	16.40	656,000.00	05/28/13	337,771.20	8.44	318,228.80	-	-
Total Stocks				**$3,669,750.00**		**$2,551,175.60**		**$1,118,574.40**	**$36,000.00**	**1.0%**
Options - Margin										
JD COM INC JD Jan 19 18 40.0 C	-	280	$ 1.9516	$ 54,644.80	10/16/17	$ 75,121.60	$ 2.68	$ (20,476.80)	$ -	-
Total Options				**$54,644.80**		**$75,121.60**		**$(20,476.80)**	**$0.00**	**0.0%**

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Statement for Account # 867-730320
12/01/17 - 12/31/17

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Short Options - Margin										
JD COM INC JD Jan 19 18 44.0 C	-	280-	$ 0.2877	$ (8,055.60)	10/16/17	$ (36,759.54)	$ 1.31	$ 28,703.94	$ -	-
JD COM INC JD Jan 19 18 37.0 P	-	280-	0.0976	(2,732.80)	10/16/17	(40,713.46)	1.45	37,980.66	-	-
Total Short Options				**$(10,788.40)**		**$(77,473.00)**		**$66,684.60**	**$0.00**	**0.0%**
Total Margin Account				**$3,713,606.40**		**$2,548,824.20**		**$1,164,782.20**	**$36,000.00**	**1.0%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
12/08/17	12/12/17	Margin	Sell - Securities Sold	BRUNSWICK CORP COM Commission/Fee 6.00 Regulatory Fee 3.23	BC	2,500-	$ 55.9404	$ 139,841.77	139,841.77
12/08/17	12/12/17	Margin	Sell - Securities Sold	BRUNSWICK CORP COM Commission/Fee 6.00 Regulatory Fee 3.23	BC	2,500-	56.00	139,990.77	279,832.54
12/08/17	12/12/17	Margin	Sell - Securities Sold	BRUNSWICK CORP COM Commission/Fee 6.00 Regulatory Fee 3.24	BC	2,500-	56.10	140,240.76	420,073.30
12/08/17	12/12/17	Margin	Sell - Securities Sold	CAVCO INDUSTRIES INC COM Commission/Fee 6.00 Regulatory Fee 3.41	CVCO	1,000-	147.75	147,740.59	567,813.89
12/08/17	12/12/17	Margin	Sell - Securities Sold	BRUNSWICK CORP COM Commission/Fee 6.00 Regulatory Fee 3.24	BC	2,500-	56.15	140,365.76	708,179.65

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Statement for Account # 867-730320

12/01/17 - 12/31/17

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/08/17	12/12/17	Margin	Sell - Securities Sold	BRUNSWICK CORP COM Commission/Fee 6.00 Regulatory Fee 6.23	BC	4,800-	56.22	269,843.77	978,023.42
12/08/17	12/12/17	Margin	Sell - Securities Sold	BRUNSWICK CORP COM Regulatory Fee 0.26	BC	200-	56.20	11,239.74	989,263.16
12/08/17	12/12/17	Margin	Sell - Securities Sold	BRUNSWICK CORP COM Commission/Fee 6.00 Regulatory Fee 1.28	BC	1,000-	55.58	55,572.72	1,044,835.88
12/08/17	12/12/17	Margin	Sell - Securities Sold	BRUNSWICK CORP COM Regulatory Fee 2.95	BC	2,300-	55.5775	127,825.30	1,172,661.18
12/08/17	12/12/17	Margin	Sell - Securities Sold	BRUNSWICK CORP COM Regulatory Fee 0.64	BC	500-	55.576	27,787.36	1,200,448.54
12/08/17	12/12/17	Margin	Sell - Securities Sold	BRUNSWICK CORP COM Regulatory Fee 1.54	BC	1,200-	55.575	66,688.46	1,267,137.00
12/08/17	12/12/17	Margin	Sell - Securities Sold	CAVCO INDUSTRIES INC COM Commission/Fee 6.00 Regulatory Fee 4.40	CVCO	1,300-	146.582	190,546.20	1,457,683.20
12/08/17	12/12/17	Margin	Sell - Securities Sold	CAVCO INDUSTRIES INC COM Commission/Fee 6.00 Regulatory Fee 0.06	CVCO	19-	147.70	2,800.24	1,460,483.44
12/08/17	12/12/17	Margin	Sell - Securities Sold	CAVCO INDUSTRIES INC COM Commission/Fee 6.00 Regulatory Fee 3.32	CVCO	981-	146.60	143,805.28	1,604,288.72
12/12/17	12/12/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,604,288.72)	0.00
12/15/17	12/15/17	Margin	Div/Int - Income	BRUNSWICK CORP COM Payable: 12/15/2017 QUALIFIED DIVIDENDS 3800.00	BC	-	0.00	3,800.00	3,800.00

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Statement for Account # 867-730320

12/01/17 - 12/31/17

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/15/17	12/15/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,800.00)	0.00
12/20/17	12/22/17	Margin	Sell - Securities Sold	ASCENA RETAIL GROUP INC COM Commission/Fee 6.00 Regulatory Fee 0.14	ASNA	2,600-	2.345	6,090.86	6,090.86
12/20/17	12/22/17	Margin	Sell - Securities Sold	ASCENA RETAIL GROUP INC COM Regulatory Fee 0.05	ASNA	1,000-	2.341	2,340.95	8,431.81
12/20/17	12/22/17	Margin	Sell - Securities Sold	ASCENA RETAIL GROUP INC COM Regulatory Fee 0.89	ASNA	16,400-	2.34	38,375.11	46,806.92
12/20/17	12/22/17	Margin	Sell - Securities Sold	ASCENA RETAIL GROUP INC COM Commission/Fee 6.00 Regulatory Fee 0.03	ASNA	500-	2.365	1,176.47	47,983.39
12/20/17	12/22/17	Margin	Sell - Securities Sold	ASCENA RETAIL GROUP INC COM Regulatory Fee 0.55	ASNA	10,000-	2.36	23,599.45	71,582.84
12/20/17	12/22/17	Margin	Sell - Securities Sold	ASCENA RETAIL GROUP INC COM Commission/Fee 6.00 Regulatory Fee 0.05	ASNA	950-	2.365	2,240.70	73,823.54
12/20/17	12/22/17	Margin	Sell - Securities Sold	ASCENA RETAIL GROUP INC COM Regulatory Fee 1.04	ASNA	19,050-	2.36	44,956.96	118,780.50
12/22/17	12/22/17	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(118,780.50)	0.00

Closing Balance **$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
12/01/17	$ 636,531.84	11	0.0800	$ 15.34	$ 15.34	$ -
12/12/17	2,240,820.56	3	0.1000	18.41	33.75	-
12/15/17	2,244,620.56	7	0.1000	43.02	76.77	-
12/22/17	2,363,401.06	7	0.1000	45.29	122.06	-

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Statement for Account # 867-730320

12/01/17 - 12/31/17

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
12/29/17	2,363,401.06	3	0.2497	48.51	170.57	170.57
Total Interest Income						**$170.57**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$636,531.84**
12/12/17	-	12/12/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 1,604,288.72	2,240,820.56
12/15/17	-	12/15/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,800.00	2,244,620.56
12/22/17	-	12/22/17	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	118,780.50	2,363,401.06
12/29/17	-	12/29/17	Received	Interest: Insured Deposit Account Bank USA	-	-	125.44	2,363,526.50
12/29/17	-	12/29/17	Received	Interest: Insured Deposit Account Bank USA	-	-	22.56	2,363,549.06
12/29/17	-	12/29/17	Received	Interest: Insured Deposit Account Bank NA	-	-	22.56	2,363,571.62
Closing Balance								**$2,363,571.62**
TD Bank NA							**$247,522.56**	
TD Bank USA NA							**$2,116,049.06**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

TRADING ACTIVITY FEE

To continue facilitating the execution of trades, a regulatory Trading Activity Fee (TAF) will be added to applicable sales transactions, beginning on or after March 19, 2018.

This fee is one of the regulatory fees FINRA (Financial Industry Regulatory Authority) assesses to member firms. The rate varies depending on the type of transaction and the size of that transaction. This transaction-based fee has been previously consumed by TD Ameritrade. A full rate schedule can be found below as well as on the FINRA Website at FINRA.org in Section 1 of Schedule A to FINRA's By-Laws or by searching "Trading Activity Fee FAQs."

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Important Information

This fee will be included under the "Reg Fee" section of each trade confirmation, combined with any other applicable regulatory fees. It will be reflected in the totals for "commissions and fees" on the TD Ameritrade website within transactions and order histories. For questions you may reach us by calling the phone number listed on page 1 of this statement.

Trading Activity Fee rates: $0.000119 per share for each sale of a covered equity security, with a maximum charge of $5.95 per trade; $0.002 per contract for each sale of an option; $0.00075 per bond for each sale of a covered TRACE-Eligible Security (other than an Asset-Backed Security) and/or municipal security, with a maximum charge of $0.75 per trade; $0.00000075 times the value, as reported to TRACE, of a sale of an Asset-Backed Security, with a maximum charge of $0.75 per trade. All charged fees will be rounded to the nearest penny using natural rounding logic. For example, $0.004 rounds to $0.00 and $0.016 rounds to $0.02.

CALIFORNIA RESIDENTS

If your total payments of interest and interest-dividends on Federally Tax-Exempt non-California municipal bonds were $10 or greater and you or your Partnership had a California address then TD Ameritrade will report this information to the California Franchise Tax Board each tax year per state statute.

ANNUAL MARGIN DISCLOSURE

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of 9/30/2017, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $1.6 billion and $339.8 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington, D.C. and the Denver, CO office of the Commission.

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PO BOX 2577
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SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
01/01/18 - 01/31/18

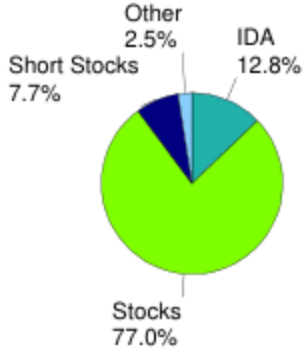
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	($5,544.00)	$ -	($5,544.00)	-	$ -	-
Insrd Dep Acct (IDA)	840,081.73	2,363,571.62	(1,523,489.89)	(64.5)%	-	0.20%
Money Market	-	-	-	-	-	-
Short Balance	505,296.00	-	505,296.00	-	-	-
Stocks	5,080,450.00	3,669,750.00	1,410,700.00	38.4%	96,980.00	1.9%
Short Stocks	(505,296.00)	-	(505,296.00)	-	-	-
Fixed Income	-	-	-	-	-	-
Options	155,000.00	54,644.80	100,355.20	183.7%	-	-
Short Options	-	(10,788.40)	10,788.40	100.0%	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$6,069,987.73**	**$6,077,178.02**	**($7,190.29)**	**(0.1)%**	**$96,980.00**	**1.6%**
Margin Equity	**91.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	(2,766,848.00)	(2,766,848.00)
Securities Sold	1,733,768.31	1,733,768.31
Funds Deposited	-	-
Funds Disbursed	-	-
Income	9,000.00	9,000.00
Expense	-	-
Other	1,523,831.69	1,523,831.69
Closing Balance	$499,752.00	$499,752.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$9,000.00	$ -	$9,000.00
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$9,000.00	$0.00	$9,000.00

Performance Summary

Cost Basis As Of - 01/31/18 **	**$3,695,667.78**
Unrealized Gains	1,649,179.67
Unrealized Losses	(614,693.45)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	9,000.00
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320

01/01/18 - 01/31/18

Income Summary Detail*

Description	Current	Year to Date
Qualified Dividends	$ 9,000.00	$ 9,000.00
IDA Interest	341.80	341.80

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ALPS ETF TRUST ALERIAN MLP ETF	AMLP	43,000	$ 11.40	$490,200.00	01/12/18	$ 500,956.00	$ 11.65	$ (10,756.00)	$ 36,980.00	7.5%
FOUNDATION MEDICINE INC COM	FMI	25,000	69.40	1,735,000.00	01/13/14	582,049.13	23.28	1,152,950.87	-	-
GENERAL ELECTRIC CO COM	GE	125,000	16.17	2,021,250.00	10/20/17	2,593,861.27	20.75	(572,611.27)	60,000.00	3.0%
SANGAMO THERAPEUTICS INC COM	SGMO	40,000	20.85	834,000.00	05/28/13	337,771.20	8.44	496,228.80	-	-
Total Stocks				**$5,080,450.00**		**$4,014,637.60**		**$1,065,812.40**	**$96,980.00**	**1.9%**
Short Stocks - Short										
SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF	XLU	9,900-	$ 51.04	$(505,296.00)	01/12/18	$ (499,545.82)	$ 50.46	$ (5,750.18)	$ -	-
Total Short Stocks				**$(505,296.00)**		**$(499,545.82)**		**$(5,750.18)**	**$0.00**	**0.0%**
Options - Margin										
SPDR S&P 500 SPY Jun 15 18 300.0 C	-	1,000	$ 1.55	$155,000.00	01/30/18	$ 180,576.00	$ 1.81	$ (25,576.00)	$ -	-
Total Options				**$155,000.00**		**$180,576.00**		**$(25,576.00)**	**$0.00**	**0.0%**
Total Margin Account				**$5,235,450.00**		**$4,195,213.60**		**$1,040,236.40**	**$96,980.00**	**1.9%**

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Statement for Account # 867-730320

01/01/18 - 01/31/18

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Total Short Account				**$(505,296.00)**		**$(499,545.82)**		**$(5,750.18)**	**$0.00**	**0.0%**
Total Positions				**$4,730,154.00**		**$3,695,667.78**		**$1,034,486.22**	**$96,980.00**	**2.1%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
01/19/18	01/23/18	Cash	Buy - Securities Purchased	ADT INC COM	ADT	200	$ 14.00	$ (2,800.00)	(2,800.00)
01/23/18	01/23/18	Cash	Journal - Other	MOVE CASH BALANCE TO MARGIN	-	-	0.00	2,800.00	0.00
01/25/18	01/25/18	Cash	Delivered - Other	ADT INC COM TRANSFER TO 867-730320-2	ADT	200-	0.00	-	0.00
01/11/18	01/16/18	Margin	Buy - Securities Purchased	GENERAL ELECTRIC CO COM Commission/Fee 6.00	GE	50,000	19.25	(962,506.00)	(962,506.00)
01/16/18	01/16/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	962,506.00	0.00
01/12/18	01/17/18	Margin	Buy - Securities Purchased	ALPS ETF TRUST ALERIAN MLP ETF Commission/Fee 6.00	AMLP	43,000	11.65	(500,956.00)	(500,956.00)
01/17/18	01/17/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	500,956.00	0.00
01/17/18	01/17/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(8.18)	(8.18)
01/18/18	01/18/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	8.18	0.00
01/18/18	01/18/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,168.00	3,168.00
01/19/18	01/19/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,168.00)	0.00
01/19/18	01/19/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,485.00	1,485.00
01/22/18	01/22/18	Margin	Delivered - Other	JD COM INC JD Jan 19 18 40.0 C EXERCISED OPTION	-	280-	0.00	-	1,485.00

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Statement for Account # 867-730320

01/01/18 - 01/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
01/22/18	01/22/18	Margin	Received - Other	JD COM INC JD Jan 19 18 44.0 C OPTION ASSIGNED	-	280	0.00	-	1,485.00
01/22/18	01/22/18	Margin	Received - Other	JD COM INC JD Jan 19 18 37.0 P EXPIRATION	-	280	0.00	-	1,485.00
01/22/18	01/22/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,485.00)	0.00
01/22/18	01/22/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(693.00)	(693.00)
01/22/18	01/23/18	Margin	Buy - Securities Purchased	JD COM INC ADR Commission/Fee 10.00	JD	28,000	40.00	(1,120,010.00)	(1,120,703.00)
01/22/18	01/23/18	Margin	Sell - Securities Sold	JD COM INC ADR Commission/Fee 10.00 Regulatory Fee 28.46	JD	28,000-	44.00	1,231,961.54	111,258.54
01/23/18	01/23/18	Margin	Journal - Other	MOVE CASH BALANCE TO MARGIN	-	-	0.00	(2,800.00)	108,458.54
01/23/18	01/23/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(108,458.54)	0.00
01/23/18	01/23/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(4,752.00)	(4,752.00)
01/24/18	01/24/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	4,752.00	0.00
01/24/18	01/24/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,069.00	3,069.00
01/25/18	01/25/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,069.00)	0.00
01/25/18	01/25/18	Margin	Div/Int - Income	GENERAL ELECTRIC CO COM Payable: 01/25/2018 QUALIFIED DIVIDENDS 9000.00	GE	-	0.00	9,000.00	9,000.00
01/25/18	01/25/18	Margin	Received - Other	ADT INC COM TRANSFER FROM 867-730320-1	ADT	200	0.00	-	9,000.00
01/25/18	01/25/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(7,722.00)	1,278.00

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Statement for Account # 867-730320

01/01/18 - 01/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
01/24/18	01/26/18	Margin	Sell - Securities Sold	ADT INC COM Commission/Fee 6.00 Regulatory Fee 0.05	ADT	200-	11.335	2,260.95	3,538.95
01/26/18	01/26/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,538.95)	0.00
01/26/18	01/26/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(297.00)	(297.00)
01/29/18	01/29/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	297.00	0.00
01/29/18	01/29/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	6,435.00	6,435.00
01/30/18	01/30/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(6,435.00)	0.00
01/30/18	01/30/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(891.00)	(891.00)
01/30/18	01/31/18	Margin	Buy - Securities Purchased	SPDR S&P 500 SPY Jun 15 18 300.0 C TO OPEN Commission/Fee 556.00 Regulatory Fee 20.00	-	1,000	1.80	(180,576.00)	(181,467.00)
01/31/18	01/31/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	181,467.00	0.00
01/31/18	01/31/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,544.00)	(5,544.00)
01/12/18	01/17/18	Short	Sell - Securities Sold	SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF Commission/Fee 6.00 Regulatory Fee 10.84	XLU	9,300-	50.461	469,270.46	463,726.46
01/12/18	01/17/18	Short	Sell - Securities Sold	SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF Regulatory Fee 0.70	XLU	600-	50.4601	30,275.36	494,001.82
01/17/18	01/17/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	8.18	494,010.00
01/18/18	01/18/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,168.00)	490,842.00
01/19/18	01/19/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,485.00)	489,357.00
01/22/18	01/22/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	693.00	490,050.00
01/23/18	01/23/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	4,752.00	494,802.00
01/24/18	01/24/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,069.00)	491,733.00
01/25/18	01/25/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	7,722.00	499,455.00
01/26/18	01/26/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	297.00	499,752.00

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Statement for Account # 867-730320

01/01/18 - 01/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
01/29/18	01/29/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(6,435.00)	493,317.00
01/30/18	01/30/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	891.00	494,208.00
01/31/18	01/31/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,544.00	499,752.00
Closing Balance									**$499,752.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
01/01/18	$ 2,363,571.62	15	0.2497	$ 242.54	$ 242.54	$ -
01/16/18	1,401,065.62	1	0.2497	9.58	252.12	-
01/17/18	900,101.44	2	0.1998	9.86	261.98	-
01/19/18	903,269.44	3	0.1998	14.83	276.81	-
01/22/18	904,061.44	1	0.1998	4.95	281.76	-
01/23/18	1,008,460.98	2	0.2497	13.80	295.56	-
01/25/18	1,011,529.98	1	0.2497	6.92	302.48	-
01/26/18	1,014,771.93	4	0.2497	27.77	330.25	-
01/30/18	1,020,315.93	1	0.2497	6.98	337.23	-
01/31/18	834,195.93	1	0.1998	4.57	341.80	341.80
Total Interest Income						**$341.80**

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Statement for Account # 867-730320

01/01/18 - 01/31/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$2,363,571.62**
01/16/18	-	01/16/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ (962,506.00)	1,401,065.62
01/17/18	-	01/17/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(500,956.00)	900,109.62
01/18/18	-	01/18/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(8.18)	900,101.44
01/19/18	-	01/19/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,168.00	903,269.44
01/22/18	-	01/22/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	1,485.00	904,754.44
01/23/18	-	01/23/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	108,458.54	1,013,212.98
01/24/18	-	01/24/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(4,752.00)	1,008,460.98
01/25/18	-	01/25/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,069.00	1,011,529.98
01/26/18	-	01/26/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,538.95	1,015,068.93
01/29/18	-	01/29/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(297.00)	1,014,771.93

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Statement for Account # 867-730320

01/01/18 - 01/31/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
01/30/18	-	01/30/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	6,435.00	1,021,206.93
01/31/18	-	01/31/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(181,467.00)	839,739.93
01/31/18	-	01/31/18	Received	Interest: Insured Deposit Account Bank USA	-	-	241.56	839,981.49
01/31/18	-	01/31/18	Received	Interest: Insured Deposit Account Bank USA	-	-	50.12	840,031.61
01/31/18	-	01/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	50.12	840,081.73

Closing Balance **$840,081.73**

TD Bank NA **$247,550.12**

TD Bank USA NA **$592,531.61**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

TRADING ACTIVITY FEE

To continue facilitating the execution of trades, a regulatory Trading Activity Fee (TAF) will be added to applicable sales transactions, beginning on or after March 19, 2018.

This fee is one of the regulatory fees FINRA (Financial Industry Regulatory Authority) assesses to member firms. The rate varies depending on the type of transaction and the size of that transaction. This transaction-based fee has been previously consumed by TD Ameritrade. A full rate schedule can be found below as well as on the FINRA Website at FINRA.org in Section 1 of Schedule A to FINRA's By-Laws or by searching "Trading Activity Fee FAQs."

This fee will be included under the "Reg Fee" section of each trade confirmation, combined with any other applicable regulatory fees. It will be reflected in the totals for "commissions and fees" on the TD Ameritrade website within transactions and order histories. For questions you may reach us by calling the phone number listed on page 1 of this statement.

Trading Activity Fee rates: $0.000119 per share for each sale of a covered equity security, with a maximum charge of $5.95 per trade; $0.002 per contract for each sale of an option; $0.00075 per bond for each sale of a covered TRACE-Eligible Security (other than an Asset-Backed Security) and/or municipal security, with a maximum charge of $0.75 per trade; $0.00000075 times the value, as reported to TRACE, of a sale of an Asset-Backed Security, with a maximum charge of $0.75 per trade. All charged fees will be rounded to the nearest penny using natural rounding logic. For example, $0.004 rounds to $0.00 and $0.016 rounds to $0.02.

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PO BOX 2577
OMAHA NE 68103-2577

SOUTHERN FINANCIAL LLC
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6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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Statement Reporting Period:
02/01/18 - 02/28/18

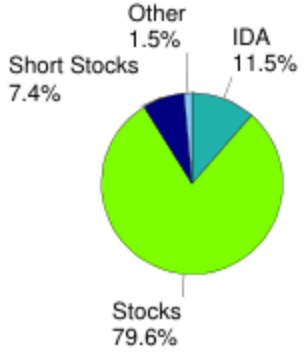
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$3,366.00	($5,544.00)	$8,910.00	-	$ -	-
Insrd Dep Acct (IDA)	755,640.60	840,081.73	(84,441.13)	(10.1)%	-	0.20%
Money Market	-	-	-	-	-	-
Short Balance	485,694.00	505,296.00	(19,602.00)	(3.9)%	-	-
Stocks	5,224,800.00	5,080,450.00	144,350.00	2.8%	96,206.00	1.8%
Short Stocks	(485,694.00)	(505,296.00)	19,602.00	3.9%	-	-
Fixed Income	-	-	-	-	-	-
Options	91,640.00	155,000.00	(63,360.00)	(40.9)%	-	-
Short Options	(3,200.00)	-	(3,200.00)	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$6,072,246.60**	**$6,069,987.73**	**$2,258.87**	**-**	**$96,206.00**	**1.6%**
Margin Equity	**92.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$499,752.00	$ -
Securities Purchased	(124,572.60)	(2,891,420.60)
Securities Sold	20,432.91	1,754,201.22
Funds Deposited	-	-
Funds Disbursed	-	-
Income	8,883.80	17,883.80
Expense	-	-
Other	84,563.89	1,608,395.58
Closing Balance	$489,060.00	$489,060.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$8,883.80	$ -	$17,883.80
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$8,883.80	$0.00	$17,883.80

Performance Summary

Cost Basis As Of - 02/28/18 **	**$3,799,807.47**
Unrealized Gains	2,138,014.40
Unrealized Losses	(1,110,275.87)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	17,883.80
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320

02/01/18 - 02/28/18

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 8,883.80	$ 8,883.80
Qualified Dividends	0.00	9,000.00
IDA Interest	122.76	464.56

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ALPS ETF TRUST ALERIAN MLP ETF	AMLP	43,000	$ 10.10	$ 434,300.00	01/12/18	$ 500,956.00	$ 11.65	$ (66,656.00)	$ 36,206.00	8.3%
FOUNDATION MEDICINE INC COM	FMI	25,000	82.75	2,068,750.00	01/13/14	582,049.13	23.28	1,486,700.87	-	-
GENERAL ELECTRIC CO COM	GE	125,000	14.11	1,763,750.00	10/20/17	2,593,861.27	20.75	(830,111.27)	60,000.00	3.4%
SANGAMO THERAPEUTICS INC COM	SGMO	40,000	23.95	958,000.00	05/28/13	337,771.20	8.44	620,228.80	-	-
Total Stocks				**$5,224,800.00**		**$4,014,637.60**		**$1,210,162.40**	**$96,206.00**	**1.8%**
Short Stocks - Short										
SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF	XLU	9,900-	$ 49.06	$ (485,694.00)	01/12/18	$ (499,545.82)	$ 50.46	$ 13,851.82	$ -	-
Total Short Stocks				**$(485,694.00)**		**$(499,545.82)**		**$13,851.82**	**$0.00**	**0.0%**
Options - Margin										
BARCLAYS BANK VXX Mar 16 18 37.0 P	-	1,000	$ 0.4959	$ 49,590.00	02/14/18	$ 124,572.60	$ 1.25	$ (74,982.60)	$ -	-
SPDR S&P 500 SPY Jun 15 18 300.0 C	-	1,000	0.4205	42,050.00	01/30/18	180,576.00	1.81	(138,526.00)	-	-
Total Options				**$91,640.00**		**$305,148.60**		**$(213,508.60)**	**$0.00**	**0.0%**

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Statement for Account # 867-730320
02/01/18 - 02/28/18

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Short Options - Margin										
BARCLAYS BANK VXX Mar 16 18 32.0 P	-	1,000-	$ 0.032	$ (3,200.00)	02/14/18	$ (20,432.91)	$ 0.20	$ 17,232.91	$ -	-
Total Short Options				**$(3,200.00)**		**$(20,432.91)**		**$17,232.91**	**$0.00**	**0.0%**
Total Margin Account				**$5,313,240.00**		**$4,299,353.29**		**$1,013,886.71**	**$96,206.00**	**1.8%**
Total Short Account				**$(485,694.00)**		**$(499,545.82)**		**$13,851.82**	**$0.00**	**0.0%**
Total Positions				**$4,827,546.00**		**$3,799,807.47**		**$1,027,738.53**	**$96,206.00**	**2.0%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$499,752.00**
02/01/18	02/01/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ 5,544.00	505,296.00
02/01/18	02/01/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	7,920.00	513,216.00
02/02/18	02/02/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(7,920.00)	505,296.00
02/02/18	02/02/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,564.00	508,860.00
02/05/18	02/05/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,564.00)	505,296.00
02/05/18	02/05/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	8,118.00	513,414.00
02/06/18	02/06/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(8,118.00)	505,296.00
02/06/18	02/06/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	7,227.00	512,523.00
02/07/18	02/07/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(7,227.00)	505,296.00
02/07/18	02/07/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,277.00	507,573.00
02/08/18	02/08/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,277.00)	505,296.00
02/08/18	02/08/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,346.00	510,642.00

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Statement for Account # 867-730320

02/01/18 - 02/28/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
02/09/18	02/09/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(5,346.00)	505,296.00
02/09/18	02/09/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(9,900.00)	495,396.00
02/12/18	02/12/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	9,900.00	505,296.00
02/12/18	02/12/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,663.00)	501,633.00
02/13/18	02/13/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	3,663.00	505,296.00
02/13/18	02/13/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,178.00)	503,118.00
02/14/18	02/14/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	2,178.00	505,296.00
02/14/18	02/14/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,445.00	510,741.00
02/14/18	02/15/18	Margin	Sell - Securities Sold	BARCLAYS BANK VXX Mar 16 18 32.0 P TO OPEN Commission/Fee 550.00 Regulatory Fee 17.09	-	1,000-	0.21	20,432.91	531,173.91
02/14/18	02/15/18	Margin	Buy - Securities Purchased	BARCLAYS BANK VXX Mar 16 18 37.0 P TO OPEN Commission/Fee 556.00 Regulatory Fee 16.60	-	1,000	1.24	(124,572.60)	406,601.31
02/15/18	02/15/18	Margin	Div/Int - Income	ALPS ETF TRUST ALERIAN MLP ETF Payable: 02/15/2018 Ordinary Dividends 8883.80	AMLP	-	0.00	8,883.80	415,485.11
02/15/18	02/15/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	89,810.89	505,296.00
02/15/18	02/15/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(10,692.00)	494,604.00
02/16/18	02/16/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	10,692.00	505,296.00
02/16/18	02/16/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(4,356.00)	500,940.00
02/20/18	02/20/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	4,356.00	505,296.00
02/20/18	02/20/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	6,435.00	511,731.00
02/21/18	02/21/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(6,435.00)	505,296.00
02/21/18	02/21/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	6,435.00	511,731.00

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Statement for Account # 867-730320

02/01/18 - 02/28/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
02/22/18	02/22/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(6,435.00)	505,296.00
02/22/18	02/22/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,475.00)	502,821.00
02/23/18	02/23/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	2,475.00	505,296.00
02/23/18	02/23/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(12,672.00)	492,624.00
02/26/18	02/26/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	12,672.00	505,296.00
02/26/18	02/26/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,485.00	506,781.00
02/27/18	02/27/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,485.00)	505,296.00
02/27/18	02/27/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	7,920.00	513,216.00
02/28/18	02/28/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(7,920.00)	505,296.00
02/28/18	02/28/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,366.00	508,662.00
02/01/18	02/01/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(7,920.00)	500,742.00
02/02/18	02/02/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,564.00)	497,178.00
02/05/18	02/05/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(8,118.00)	489,060.00
02/06/18	02/06/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(7,227.00)	481,833.00
02/07/18	02/07/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,277.00)	479,556.00
02/08/18	02/08/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,346.00)	474,210.00
02/09/18	02/09/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	9,900.00	484,110.00
02/12/18	02/12/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,663.00	487,773.00
02/13/18	02/13/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,178.00	489,951.00
02/14/18	02/14/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,445.00)	484,506.00
02/15/18	02/15/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	10,692.00	495,198.00
02/16/18	02/16/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	4,356.00	499,554.00
02/20/18	02/20/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(6,435.00)	493,119.00
02/21/18	02/21/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(6,435.00)	486,684.00
02/22/18	02/22/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,475.00	489,159.00
02/23/18	02/23/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	12,672.00	501,831.00
02/26/18	02/26/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,485.00)	500,346.00

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Statement for Account # 867-730320
02/01/18 - 02/28/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
02/27/18	02/27/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(7,920.00)	492,426.00
02/28/18	02/28/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,366.00)	489,060.00
Closing Balance									**$489,060.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
02/01/18	$ 834,537.73	1	0.1998	$ 4.57	$ 4.57	$ -
02/02/18	842,457.73	3	0.1998	13.84	18.41	-
02/05/18	846,021.73	1	0.1998	4.63	23.04	-
02/06/18	854,139.73	1	0.1998	4.67	27.71	-
02/07/18	861,366.73	1	0.1998	4.72	32.43	-
02/08/18	863,643.73	1	0.1998	4.73	37.16	-
02/09/18	859,089.73	3	0.1998	14.11	51.27	-
02/12/18	855,426.73	1	0.1998	4.68	55.95	-
02/13/18	853,248.73	2	0.1998	9.35	65.30	-
02/15/18	752,745.84	1	0.1998	4.12	69.42	-
02/16/18	748,389.84	5	0.1998	20.48	89.90	-
02/21/18	754,824.84	1	0.1998	4.14	94.04	-
02/22/18	758,784.84	1	0.1998	4.15	98.19	-
02/23/18	746,112.84	4	0.1998	16.34	114.53	-
02/27/18	747,597.84	1	0.1998	4.09	118.62	-
02/28/18	755,517.84	1	0.1998	4.14	122.76	122.76
Total Interest Income						**$122.76**

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Statement for Account # 867-730320

02/01/18 - 02/28/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$840,081.73**
02/01/18	-	02/01/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ (5,544.00)	834,537.73
02/02/18	-	02/02/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	7,920.00	842,457.73
02/05/18	-	02/05/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,564.00	846,021.73
02/06/18	-	02/06/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	8,118.00	854,139.73
02/07/18	-	02/07/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	7,227.00	861,366.73
02/08/18	-	02/08/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,277.00	863,643.73
02/09/18	-	02/09/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	5,346.00	868,989.73
02/12/18	-	02/12/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(9,900.00)	859,089.73
02/13/18	-	02/13/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(3,663.00)	855,426.73
02/14/18	-	02/14/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(2,178.00)	853,248.73

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Statement for Account # 867-730320

02/01/18 - 02/28/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
02/15/18	-	02/15/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(89,810.89)	763,437.84
02/16/18	-	02/16/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(10,692.00)	752,745.84
02/20/18	-	02/20/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(4,356.00)	748,389.84
02/21/18	-	02/21/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	6,435.00	754,824.84
02/22/18	-	02/22/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	6,435.00	761,259.84
02/23/18	-	02/23/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(2,475.00)	758,784.84
02/26/18	-	02/26/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(12,672.00)	746,112.84
02/27/18	-	02/27/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	1,485.00	747,597.84
02/28/18	-	02/28/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	7,920.00	755,517.84
02/28/18	-	02/28/18	Received	Interest: Insured Deposit Account Bank USA	-	-	42.81	755,560.65
02/28/18	-	02/28/18	Received	Interest: Insured Deposit Account Bank	-	-	4.07	755,564.72
02/28/18	-	02/28/18	Received	Interest: Insured Deposit Account Bank USA	-	-	37.94	755,602.66

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Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
02/28/18	-	02/28/18	Received	Interest: Insured Deposit Account Bank NA	-	-	37.94	755,640.60
Closing Balance								**$755,640.60**
TD Bank NA							**$247,537.94**	
TD Bank USA NA							**$260,598.59**	
US Bank							**$247,504.07**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

TRADING ACTIVITY FEE

To continue facilitating the execution of trades, a regulatory Trading Activity Fee (TAF) will be added to applicable sales transactions, beginning on or after March 19, 2018.

This fee is one of the regulatory fees FINRA (Financial Industry Regulatory Authority) assesses to member firms. The rate varies depending on the type of transaction and the size of that transaction. This transaction-based fee has been previously consumed by TD Ameritrade. A full rate schedule can be found below as well as on the FINRA Website at FINRA.org in Section 1 of Schedule A to FINRA's By-Laws or by searching "Trading Activity Fee FAQs."

This fee will be included under the "Reg Fee" section of each trade confirmation, combined with any other applicable regulatory fees. It will be reflected in the totals for "commissions and fees" on the TD Ameritrade website within transactions and order histories. For questions you may reach us by calling the phone number listed on page 1 of this statement.

Trading Activity Fee rates: $0.000119 per share for each sale of a covered equity security, with a maximum charge of $5.95 per trade; $0.002 per contract for each sale of an option; $0.00075 per bond for each sale of a covered TRACE-Eligible Security (other than an Asset-Backed Security) and/or municipal security, with a maximum charge of $0.75 per trade; $0.00000075 times the value, as reported to TRACE, of a sale of an Asset-Backed Security, with a maximum charge of $0.75 per trade. All charged fees will be rounded to the nearest penny using natural rounding logic. For example, $0.004 rounds to $0.00 and $0.016 rounds to $0.02.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122659

EFTA01268138

TD Ameritrade

Statement Reporting Period:
03/01/18 - 03/31/18

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	($2,672.99)	$3,366.00	($6,038.99)	-	$ -	-
Insrd Dep Acct (IDA)	743,426.12	755,640.60	(12,214.48)	(1.6)%	-	0.20%
Money Market	-	-	-	-	-	-
Short Balance	500,247.00	485,694.00	14,553.00	3.0%	-	-
Stocks	4,816,660.00	5,224,800.00	(408,140.00)	(7.8)%	96,206.00	2.0%
Short Stocks	(500,247.00)	(485,694.00)	(14,553.00)	(3.0)%	-	-
Fixed Income	-	-	-	-	-	-
Options	13,000.00	91,640.00	(78,640.00)	(85.8)%	-	-
Short Options	-	(3,200.00)	3,200.00	100.0%	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$5,570,413.13**	**$6,072,246.60**	**($501,833.47)**	**(8.3)%**	**$96,206.00**	**1.7%**
Margin Equity	**91.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$489,060.00	$ -
Securities Purchased	-	(2,891,420.60)
Securities Sold	-	1,754,201.22
Funds Deposited	-	-
Funds Disbursed	-	-
Income	0.01	17,883.81
Expense	-	-
Other	8,514.00	1,616,909.58
Closing Balance	$497,574.01	$497,574.01

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$17,883.80
Interest	0.01	-	0.01
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	(3,827.81)	(3,827.81)
Net	$0.01	($3,827.81)	$14,056.00

Performance Summary

Cost Basis As Of - 03/31/18 **	**$3,695,667.78**
Unrealized Gains	1,808,929.67
Unrealized Losses	(1,175,184.45)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	17,883.81
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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EFTA01268139

Statement for Account # 867-730320

03/01/18 - 03/31/18

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 8,883.80
Interest Income Credit Balance	0.01	0.01
Qualified Dividends	0.00	9,000.00
IDA Interest	127.33	591.89

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ALPS ETF TRUST ALERIAN MLP ETF	AMLP	43,000	$ 9.37	$ 402,910.00	01/12/18	$ 500,956.00	$ 11.65	$ (98,046.00)	$ 36,206.00	9.0%
FOUNDATION MEDICINE INC COM	FMI	25,000	78.75	1,968,750.00	01/13/14	582,049.13	23.28	1,386,700.87	-	-
GENERAL ELECTRIC CO COM	GE	125,000	13.48	1,685,000.00	10/20/17	2,593,861.27	20.75	(908,861.27)	60,000.00	3.6%
SANGAMO THERAPEUTICS INC COM	SGMO	40,000	19.00	760,000.00	05/28/13	337,771.20	8.44	422,228.80	-	-
Total Stocks				**$4,816,660.00**		**$4,014,637.60**		**$802,022.40**	**$96,206.00**	**2.0%**
Short Stocks - Short										
SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF	XLU	9,900-	$ 50.53	$ (500,247.00)	01/12/18	$ (499,545.82)	$ 50.46	$ (701.18)	$ -	-
Total Short Stocks				**$(500,247.00)**		**$(499,545.82)**		**$(701.18)**	**$0.00**	**0.0%**

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Statement for Account # 867-730320

03/01/18 - 03/31/18

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Options - Margin										
SPDR S&P 500 SPY Jun 15 18 300.0 C	-	1,000	$ 0.13	$ 13,000.00	01/30/18	$ 180,576.00	$ 1.81	$ (167,576.00)	$ -	-
Total Options				**$13,000.00**		**$180,576.00**		**$(167,576.00)**	**$0.00**	**0.0%**
Total Margin Account				**$4,829,660.00**		**$4,195,213.60**		**$634,446.40**	**$96,206.00**	**2.0%**
Total Short Account				**$(500,247.00)**		**$(499,545.82)**		**$(701.18)**	**$0.00**	**0.0%**
Total Positions				**$4,329,413.00**		**$3,695,667.78**		**$633,745.22**	**$96,206.00**	**2.2%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$489,060.00**
03/01/18	03/01/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ (3,366.00)	485,694.00
03/01/18	03/01/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(99.00)	485,595.00
03/02/18	03/02/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	99.00	485,694.00
03/02/18	03/02/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,287.00	486,981.00
03/05/18	03/05/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,287.00)	485,694.00
03/05/18	03/05/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(9,900.00)	475,794.00
03/06/18	03/06/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	9,900.00	485,694.00
03/06/18	03/06/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	6,633.00	492,327.00
03/07/18	03/07/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(6,633.00)	485,694.00
03/07/18	03/07/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,663.00	489,357.00
03/08/18	03/08/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,663.00)	485,694.00
03/08/18	03/08/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,564.00)	482,130.00

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Statement for Account # 867-730320

03/01/18 - 03/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
03/09/18	03/09/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	3,564.00	485,694.00
03/09/18	03/09/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,386.00)	484,308.00
03/12/18	03/12/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	1,386.00	485,694.00
03/12/18	03/12/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,079.00)	483,615.00
03/13/18	03/13/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	2,079.00	485,694.00
03/13/18	03/13/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,089.00)	484,605.00
03/14/18	03/14/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	1,089.00	485,694.00
03/14/18	03/14/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,049.00)	480,645.00
03/15/18	03/15/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	5,049.00	485,694.00
03/15/18	03/15/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	297.00	485,991.00
03/16/18	03/16/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(297.00)	485,694.00
03/16/18	03/16/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(495.00)	485,199.00
03/19/18	03/19/18	Margin	Received - Other	BARCLAYS BANK VXX Mar 16 18 32.0 P EXPIRATION	-	1,000	0.00	-	485,199.00
03/19/18	03/19/18	Margin	Delivered - Other	BARCLAYS BANK VXX Mar 16 18 37.0 P EXPIRATION	-	1,000-	0.00	-	485,199.00
03/19/18	03/19/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	495.00	485,694.00
03/19/18	03/19/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,069.00	488,763.00
03/20/18	03/20/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,069.00)	485,694.00
03/20/18	03/20/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,550.81)	484,143.19
03/21/18	03/21/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	1,550.81	485,694.00
03/21/18	03/21/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,683.00	487,377.00
03/22/18	03/22/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,683.00)	485,694.00

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Statement for Account # 867-730320

03/01/18 - 03/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
03/22/18	03/22/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,178.00)	483,516.00
03/23/18	03/23/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	2,178.00	485,694.00
03/23/18	03/23/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	6,930.00	492,624.00
03/26/18	03/26/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(6,930.00)	485,694.00
03/26/18	03/26/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,049.00)	480,645.00
03/27/18	03/27/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	5,049.00	485,694.00
03/27/18	03/27/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(7,029.00)	478,665.00
03/28/18	03/28/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	7,029.00	485,694.00
03/28/18	03/28/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	198.00	485,892.00
03/29/18	03/29/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(198.00)	485,694.00
03/29/18	03/29/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,673.00)	483,021.00
03/29/18	03/29/18	Margin	Div/Int - Income	INTEREST CREDIT Payable: 03/29/2018	-	-	0.00	0.01	483,021.01
03/01/18	03/01/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	99.00	483,120.01
03/02/18	03/02/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,287.00)	481,833.01
03/05/18	03/05/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	9,900.00	491,733.01
03/06/18	03/06/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(6,633.00)	485,100.01
03/07/18	03/07/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,663.00)	481,437.01
03/08/18	03/08/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,564.00	485,001.01
03/09/18	03/09/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,386.00	486,387.01
03/12/18	03/12/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,079.00	488,466.01
03/13/18	03/13/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,089.00	489,555.01
03/14/18	03/14/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,049.00	494,604.01
03/15/18	03/15/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(297.00)	494,307.01
03/16/18	03/16/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	495.00	494,802.01
03/19/18	03/19/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,069.00)	491,733.01

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Statement for Account # 867-730320
03/01/18 - 03/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
03/20/18	03/20/18	Short	Div/Int - Other	SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF Charging Short Pos Rec 03/19/2018 Payable: 03/21/2018 Ordinary Dividends -3827.81	XLU	-	0.00	(3,827.81)	487,905.20
03/20/18	03/20/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,550.81	489,456.01
03/21/18	03/21/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,683.00)	487,773.01
03/22/18	03/22/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,178.00	489,951.01
03/23/18	03/23/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(6,930.00)	483,021.01
03/26/18	03/26/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,049.00	488,070.01
03/27/18	03/27/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	7,029.00	495,099.01
03/28/18	03/28/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(198.00)	494,901.01
03/29/18	03/29/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,673.00	497,574.01
Closing Balance									**$497,574.01**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
03/23/18	$ -	$ 6,930.00	3	0.01	$ -	$ 0.01
Total Interest Income/(Expense)					**$ 0.00**	**$0.01**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
03/01/18	$ 758,907.60	4	0.1998	$ 16.62	$ 16.62	$ -
03/05/18	750,294.60	2	0.1998	8.22	24.84	-
03/07/18	756,927.60	1	0.1998	4.14	28.98	-
03/08/18	757,026.60	1	0.1998	4.14	33.12	-
03/09/18	755,640.60	3	0.1998	12.42	45.54	-
03/12/18	753,561.60	1	0.1998	4.12	49.66	-
03/13/18	752,472.60	1	0.1998	4.12	53.78	-
03/14/18	747,423.60	2	0.1998	8.19	61.97	-
03/16/18	747,225.60	4	0.1998	16.36	78.33	-
03/20/18	748,743.79	2	0.1998	8.20	86.53	-
03/22/18	748,248.79	4	0.1998	16.39	102.92	-
03/26/18	750,129.79	1	0.1998	4.10	107.02	-

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Statement for Account # 867-730320

03/01/18 - 03/31/18

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
03/27/18	743,100.79	2	0.1998	8.14	115.16	115.16
03/29/18	740,627.12	3	0.1998	12.16	12.16	12.16
Total Interest Income						**$127.32**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$755,640.60**
03/01/18	-	03/01/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 3,366.00	759,006.60
03/02/18	-	03/02/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(99.00)	758,907.60
03/05/18	-	03/05/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	1,287.00	760,194.60
03/06/18	-	03/06/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(9,900.00)	750,294.60
03/07/18	-	03/07/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	6,633.00	756,927.60
03/08/18	-	03/08/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,663.00	760,590.60
03/09/18	-	03/09/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(3,564.00)	757,026.60
03/12/18	-	03/12/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(1,386.00)	755,640.60

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Statement for Account # 867-730320

03/01/18 - 03/31/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
03/13/18	-	03/13/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(2,079.00)	753,561.60
03/14/18	-	03/14/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(1,089.00)	752,472.60
03/15/18	-	03/15/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(5,049.00)	747,423.60
03/16/18	-	03/16/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	297.00	747,720.60
03/19/18	-	03/19/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(495.00)	747,225.60
03/20/18	-	03/20/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,069.00	750,294.60
03/21/18	-	03/21/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(1,550.81)	748,743.79
03/22/18	-	03/22/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	1,683.00	750,426.79
03/23/18	-	03/23/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(2,178.00)	748,248.79
03/26/18	-	03/26/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	6,930.00	755,178.79

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Statement for Account # 867-730320

03/01/18 - 03/31/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
03/27/18	-	03/27/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(5,049.00)	750,129.79
03/28/18	-	03/28/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(7,029.00)	743,100.79
03/29/18	-	03/29/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	198.00	743,298.79
03/29/18	-	03/29/18	Received	Interest: Insured Deposit Account Bank USA	-	-	1.33	743,300.12
03/29/18	-	03/29/18	Received	Interest: Insured Deposit Account Bank	-	-	41.98	743,342.10
03/29/18	-	03/29/18	Received	Interest: Insured Deposit Account Bank USA	-	-	42.01	743,384.11
03/29/18	-	03/29/18	Received	Interest: Insured Deposit Account Bank NA	-	-	42.01	743,426.12

Closing Balance		**$743,426.12**
TD Bank NA	**$247,542.01**	
TD Bank USA NA	**$248,342.13**	
US Bank	**$247,541.98**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

BREAKPOINTS

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to http://www.finra.org/industry/issues/breakpoints. For more information on waiver eligibility, please refer to the fund prospectus.

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PO BOX 2577
OMAHA NE 68103-2577

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01268149

TD Ameritrade

Statement Reporting Period:
04/01/18 - 04/30/18

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Announcement:
TD Ameritrade was named No. 1 for Long-Term Investing and No.1 for Novices in Barron's 2018 Online Broker Survey. To learn more, go to tdameritrade.com/accolades.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$1,485.00	($2,672.99)	$4,157.99	-	$ -	-
Insrd Dep Acct (IDA)	744,251.85	743,426.12	825.73	0.1%	-	0.30%
Money Market	-	-	-	-	-	-
Short Balance	510,444.00	500,247.00	10,197.00	2.0%	-	-
Stocks	4,733,800.00	4,816,660.00	(82,860.00)	(1.7)%	96,206.00	2.0%
Short Stocks	(510,444.00)	(500,247.00)	(10,197.00)	(2.0)%	-	-
Fixed Income	-	-	-	-	-	-
Options	2,500.00	13,000.00	(10,500.00)	(80.8)%	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$5,482,036.85**	**$5,570,413.13**	**($88,376.28)**	**(1.6)%**	**$96,206.00**	**1.8%**
Margin Equity	**90.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$497,574.01	$ -
Securities Purchased	-	(2,891,420.60)
Securities Sold	-	1,754,201.22
Funds Deposited	-	-
Funds Disbursed	-	-
Income	15,000.00	32,883.81
Expense	-	-
Other	(645.01)	1,616,264.57
Closing Balance	$511,929.00	$511,929.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$15,000.00	$ -	$32,883.80
Interest	-	-	0.01
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	(3,827.81)
Net	$15,000.00	$0.00	$29,056.00

Performance Summary

Cost Basis As Of - 04/30/18 **	**$3,695,667.78**
Unrealized Gains	1,620,929.67
Unrealized Losses	(1,090,741.45)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	32,883.81
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 8,883.80
Interest Income Credit Balance	0.00	0.01
Qualified Dividends	15,000.00	24,000.00
IDA Interest	180.72	772.61

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ALPS ETF TRUST ALERIAN MLP ETF	AMLP	43,000	$ 10.10	$ 434,300.00	01/12/18	$ 500,956.00	$ 11.65	$ (66,656.00)	$ 36,206.00	8.3%
FOUNDATION MEDICINE INC COM	FMI	25,000	76.35	1,908,750.00	01/13/14	582,049.13	23.28	1,326,700.87	-	-
GENERAL ELECTRIC CO COM	GE	125,000	14.07	1,758,750.00	10/20/17	2,593,861.27	20.75	(835,111.27)	60,000.00	3.4%
SANGAMO THERAPEUTICS INC COM	SGMO	40,000	15.80	632,000.00	05/28/13	337,771.20	8.44	294,228.80	-	-
Total Stocks				**$4,733,800.00**		**$4,014,637.60**		**$719,162.40**	**$96,206.00**	**2.0%**
Short Stocks - Short										
SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF	XLU	9,900-	$ 51.56	$ (510,444.00)	01/12/18	$ (499,545.82)	$ 50.46	$ (10,898.18)	$ -	-
Total Short Stocks				**$(510,444.00)**		**$(499,545.82)**		**$(10,898.18)**	**$0.00**	**0.0%**

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Statement for Account # 867-730320

04/01/18 - 04/30/18

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Options - Margin										
SPDR S&P 500 SPY Jun 15 18 300.0 C	-	1,000	$ 0.025	$ 2,500.00	01/30/18	$ 180,576.00	$ 1.81	$ (178,076.00)	$ -	-
Total Options				**$2,500.00**		**$180,576.00**		**$(178,076.00)**	**$0.00**	**0.0%**
Total Margin Account				**$4,736,300.00**		**$4,195,213.60**		**$541,086.40**	**$96,206.00**	**2.0%**
Total Short Account				**$(510,444.00)**		**$(499,545.82)**		**$(10,898.18)**	**$0.00**	**0.0%**
Total Positions				**$4,225,856.00**		**$3,695,667.78**		**$530,188.22**	**$96,206.00**	**2.3%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$497,574.01**
04/02/18	04/02/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ 2,672.99	500,247.00
04/02/18	04/02/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,861.00	504,108.00
04/03/18	04/03/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,861.00)	500,247.00
04/03/18	04/03/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,683.00)	498,564.00
04/04/18	04/04/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	1,683.00	500,247.00
04/04/18	04/04/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,089.00)	499,158.00
04/05/18	04/05/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	1,089.00	500,247.00
04/05/18	04/05/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,960.00)	496,287.00
04/06/18	04/06/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	3,960.00	500,247.00
04/06/18	04/06/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,960.00	504,207.00
04/09/18	04/09/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,960.00)	500,247.00
04/09/18	04/09/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(990.00)	499,257.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
04/10/18	04/10/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	990.00	500,247.00
04/10/18	04/10/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,861.00	504,108.00
04/11/18	04/11/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,861.00)	500,247.00
04/11/18	04/11/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	693.00	500,940.00
04/12/18	04/12/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(693.00)	500,247.00
04/12/18	04/12/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	6,534.00	506,781.00
04/13/18	04/13/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(6,534.00)	500,247.00
04/13/18	04/13/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,861.00)	496,386.00
04/16/18	04/16/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	3,861.00	500,247.00
04/16/18	04/16/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(6,732.00)	493,515.00
04/17/18	04/17/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	6,732.00	500,247.00
04/17/18	04/17/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,049.00)	495,198.00
04/18/18	04/18/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	5,049.00	500,247.00
04/18/18	04/18/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,881.00	502,128.00
04/19/18	04/19/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,881.00)	500,247.00
04/19/18	04/19/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	297.00	500,544.00
04/20/18	04/20/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(297.00)	500,247.00
04/20/18	04/20/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	4,554.00	504,801.00
04/23/18	04/23/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(4,554.00)	500,247.00
04/23/18	04/23/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(297.00)	499,950.00
04/24/18	04/24/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	297.00	500,247.00
04/24/18	04/24/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,366.00)	496,881.00

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Statement for Account # 867-730320

04/01/18 - 04/30/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
04/25/18	04/25/18	Margin	Div/Int - Income	GENERAL ELECTRIC CO COM Payable: 04/25/2018 QUALIFIED DIVIDENDS 15000.00	GE	-	0.00	15,000.00	511,881.00
04/25/18	04/25/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(11,634.00)	500,247.00
04/25/18	04/25/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(99.00)	500,148.00
04/26/18	04/26/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	99.00	500,247.00
04/26/18	04/26/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,049.00)	495,198.00
04/27/18	04/27/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	5,049.00	500,247.00
04/27/18	04/27/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(5,148.00)	495,099.00
04/30/18	04/30/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	5,148.00	500,247.00
04/30/18	04/30/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,485.00	501,732.00
04/02/18	04/02/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,861.00)	497,871.00
04/03/18	04/03/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,683.00	499,554.00
04/04/18	04/04/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,089.00	500,643.00
04/05/18	04/05/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,960.00	504,603.00
04/06/18	04/06/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,960.00)	500,643.00
04/09/18	04/09/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	990.00	501,633.00
04/10/18	04/10/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,861.00)	497,772.00
04/11/18	04/11/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(693.00)	497,079.00
04/12/18	04/12/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(6,534.00)	490,545.00
04/13/18	04/13/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,861.00	494,406.00
04/16/18	04/16/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	6,732.00	501,138.00
04/17/18	04/17/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,049.00	506,187.00
04/18/18	04/18/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,881.00)	504,306.00
04/19/18	04/19/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(297.00)	504,009.00
04/20/18	04/20/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(4,554.00)	499,455.00
04/23/18	04/23/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	297.00	499,752.00
04/24/18	04/24/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,366.00	503,118.00

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Statement for Account # 867-730320

04/01/18 - 04/30/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
04/25/18	04/25/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	99.00	503,217.00
04/26/18	04/26/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,049.00	508,266.00
04/27/18	04/27/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	5,148.00	513,414.00
04/30/18	04/30/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,485.00)	511,929.00
Closing Balance									**$511,929.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
04/01/18	$ 740,753.12	1	0.1998	$ 4.06	$ 4.06	$ -
04/02/18	740,753.13	1	0.2996	6.08	10.14	-
04/03/18	742,931.13	1	0.2996	6.09	16.23	-
04/04/18	741,842.13	1	0.2996	6.09	22.32	-
04/05/18	737,882.13	4	0.2996	24.23	46.55	-
04/09/18	740,852.13	2	0.2996	12.16	58.71	-
04/11/18	744,713.13	1	0.2996	6.11	64.82	-
04/12/18	745,406.13	1	0.2996	6.12	70.94	-
04/13/18	748,079.13	3	0.2996	18.42	89.36	-
04/16/18	741,347.13	1	0.2996	6.09	95.45	-
04/17/18	736,298.13	2	0.2996	12.08	107.53	-
04/19/18	738,179.13	1	0.2996	6.06	113.59	-
04/20/18	738,476.13	3	0.2996	18.19	131.78	-
04/23/18	742,733.13	1	0.2996	6.09	137.87	-
04/24/18	739,367.13	1	0.2996	6.07	143.94	-
04/25/18	754,268.13	1	0.2996	6.19	150.13	-
04/26/18	749,219.13	1	0.2996	6.15	156.28	-
04/27/18	744,071.13	4	0.2996	24.43	180.71	180.71
Total Interest Income						**$180.71**

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Statement for Account # 867-730320

04/01/18 - 04/30/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$743,426.12**
04/02/18	-	04/02/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ (800.12)	742,626.00
04/02/18	-	04/02/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(1,872.87)	740,753.13
04/03/18	-	04/03/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	1,746.87	742,500.00
04/03/18	-	04/03/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,114.13	744,614.13
04/04/18	-	04/04/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(1,683.00)	742,931.13
04/05/18	-	04/05/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(431.13)	742,500.00
04/05/18	-	04/05/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(657.87)	741,842.13
04/06/18	-	04/06/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(3,960.00)	737,882.13
04/09/18	-	04/09/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,960.00	741,842.13
04/10/18	-	04/10/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(990.00)	740,852.13

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Statement for Account # 867-730320

04/01/18 - 04/30/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
04/11/18	-	04/11/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	1,647.87	742,500.00
04/11/18	-	04/11/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,213.13	744,713.13
04/12/18	-	04/12/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	693.00	745,406.13
04/13/18	-	04/13/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	6,534.00	751,940.13
04/16/18	-	04/16/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(3,861.00)	748,079.13
04/17/18	-	04/17/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(5,579.13)	742,500.00
04/17/18	-	04/17/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(1,152.87)	741,347.13
04/18/18	-	04/18/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(5,049.00)	736,298.13
04/19/18	-	04/19/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	1,881.00	738,179.13
04/20/18	-	04/20/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	297.00	738,476.13

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Statement for Account # 867-730320

04/01/18 - 04/30/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
04/23/18	-	04/23/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	4,023.87	742,500.00
04/23/18	-	04/23/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	530.13	743,030.13
04/24/18	-	04/24/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(297.00)	742,733.13
04/25/18	-	04/25/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	11,634.00	754,367.13
04/26/18	-	04/26/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(99.00)	754,268.13
04/27/18	-	04/27/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(5,049.00)	749,219.13
04/30/18	-	04/30/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(5,148.00)	744,071.13
04/30/18	-	04/30/18	Received	Interest: Insured Deposit Account Bank USA	-	-	0.39	744,071.52
04/30/18	-	04/30/18	Received	Interest: Insured Deposit Account Bank	-	-	59.79	744,131.31
04/30/18	-	04/30/18	Received	Interest: Insured Deposit Account Bank USA	-	-	60.27	744,191.58
04/30/18	-	04/30/18	Received	Interest: Insured Deposit Account Bank NA	-	-	60.27	744,251.85
Closing Balance								**$744,251.85**
TD Bank NA							**$247,560.27**	
TD Bank USA NA							**$249,131.79**	
US Bank							**$247,559.79**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
05/01/18 - 05/31/18

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TD AMERITRADE
DIVISION OF TD AMERITRADE INC
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OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$1,485.00	($1,485.00)	-	$ -	-
Insrd Dep Acct (IDA)	86,850.13	744,251.85	(657,401.72)	(88.3)%	-	0.07%
Money Market	-	-	-	-	-	-
Short Balance	-	510,444.00	(510,444.00)	(100.0)%	-	-
Stocks	6,015,800.00	4,733,800.00	1,282,000.00	27.1%	118,619.00	2.0%
Short Stocks	-	(510,444.00)	510,444.00	100.0%	-	-
Fixed Income	-	-	-	-	-	-
Options	10.00	2,500.00	(2,490.00)	(99.6)%	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$6,102,660.13**	**$5,482,036.85**	**$620,623.28**	**11.3%**	**$118,619.00**	**1.9%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$511,929.00	$ -
Securities Purchased	(1,178,358.85)	(4,069,779.45)
Securities Sold	-	1,754,201.22
Funds Deposited	-	-
Funds Disbursed	-	-
Income	8,883.80	41,767.61
Expense	-	-
Other	657,546.05	2,273,810.62
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$8,883.80	$ -	$41,767.60
Interest	-	-	0.01
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	(3,827.81)
Net	$8,883.80	$0.00	$37,939.80

Performance Summary

Cost Basis As Of - 05/31/18 **	**$4,877,119.60**
Unrealized Gains	2,216,929.67
Unrealized Losses	(1,078,239.27)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	41,767.61
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 8,883.80	$ 17,767.60
Interest Income Credit Balance	0.00	0.01
Qualified Dividends	0.00	24,000.00
IDA Interest	144.33	916.94

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ALPS ETF TRUST ALERIAN MLP ETF	AMLP	43,000	$ 10.35	$445,050.00	01/12/18	$ 500,956.00	$ 11.65	$ (55,906.00)	$ 35,819.00	8.0%
FOUNDATION MEDICINE INC COM	FMI	25,000	99.15	2,478,750.00	01/13/14	582,049.13	23.28	1,896,700.87	-	-
GENERAL ELECTRIC CO COM	GE	125,000	14.08	1,760,000.00	10/20/17	2,593,861.27	20.75	(833,861.27)	60,000.00	3.4%
GILEAD SCIENCES INC COM	GILD	10,000	67.40	674,000.00	05/22/18	681,906.00	68.19	(7,906.00)	22,800.00	3.4%
SANGAMO THERAPEUTICS INC COM	SGMO	40,000	16.45	658,000.00	05/28/13	337,771.20	8.44	320,228.80	-	-
Total Stocks				**$6,015,800.00**		**$4,696,543.60**		**$1,319,256.40**	**$118,619.00**	**2.0%**
Options - Margin										
SPDR S&P 500 SPY Jun 15 18 300.0 C	-	1,000	$ 0.0001	$10.00	01/30/18	$ 180,576.00	$ 1.81	$ (180,566.00)	$ -	-
Total Options				**$10.00**		**$180,576.00**		**$(180,566.00)**	**$0.00**	**0.0%**
Total Margin Account				**$6,015,810.00**		**$4,877,119.60**		**$1,138,690.40**	**$118,619.00**	**2.0%**

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Statement for Account # 867-730320

05/01/18 - 05/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$511,929.00**
05/01/18	05/01/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ (1,485.00)	510,444.00
05/01/18	05/01/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	1,584.00	512,028.00
05/02/18	05/02/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,584.00)	510,444.00
05/02/18	05/02/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	495.00	510,939.00
05/03/18	05/03/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(495.00)	510,444.00
05/03/18	05/03/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	693.00	511,137.00
05/04/18	05/04/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(693.00)	510,444.00
05/04/18	05/04/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,277.00)	508,167.00
05/07/18	05/07/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	2,277.00	510,444.00
05/07/18	05/07/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,673.00	513,117.00
05/08/18	05/08/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,673.00)	510,444.00
05/08/18	05/08/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	12,672.00	523,116.00
05/09/18	05/09/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(12,672.00)	510,444.00
05/09/18	05/09/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	3,465.00	513,909.00
05/10/18	05/10/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,465.00)	510,444.00
05/10/18	05/10/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(6,930.00)	503,514.00
05/11/18	05/11/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	6,930.00	510,444.00
05/11/18	05/11/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(693.00)	509,751.00
05/14/18	05/14/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	693.00	510,444.00
05/14/18	05/14/18	Margin	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,309.15	512,753.15
05/15/18	05/15/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,309.15)	510,444.00

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Statement for Account # 867-730320

05/01/18 - 05/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/17/18	05/17/18	Margin	Div/Int - Income	ALPS ETF TRUST ALERIAN MLP ETF Payable: 05/17/2018 Ordinary Dividends 8883.80	AMLP	-	0.00	8,883.80	519,327.80
05/17/18	05/17/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(8,883.80)	510,444.00
05/22/18	05/24/18	Margin	Buy - Securities Purchased	GILEAD SCIENCES INC COM Commission/Fee 6.00	GILD	10,000	68.19	(681,906.00)	(171,462.00)
05/24/18	05/24/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	681,906.00	510,444.00
05/01/18	05/01/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(1,584.00)	508,860.00
05/02/18	05/02/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(495.00)	508,365.00
05/03/18	05/03/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(693.00)	507,672.00
05/04/18	05/04/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	2,277.00	509,949.00
05/07/18	05/07/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,673.00)	507,276.00
05/08/18	05/08/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(12,672.00)	494,604.00
05/09/18	05/09/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(3,465.00)	491,139.00
05/10/18	05/10/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	6,930.00	498,069.00
05/11/18	05/11/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	693.00	498,762.00
05/10/18	05/14/18	Short	Buy - Securities Purchased	SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF Commission/Fee 6.00	XLU	9,100	50.1463	(456,337.33)	42,424.67
05/10/18	05/14/18	Short	Buy - Securities Purchased	SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF	XLU	800	50.1444	(40,115.52)	2,309.15
05/14/18	05/14/18	Short	Journal - Other	MARK TO MARKET ADJ	-	-	0.00	(2,309.15)	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
05/01/18	$ 745,736.85	1	0.2996	$ 6.12	$ 6.12	$ -
05/02/18	747,320.85	1	0.2996	6.14	12.26	-
05/03/18	747,815.85	1	0.2996	6.13	18.39	-
05/04/18	746,231.85	4	0.2996	24.50	42.89	-

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Statement for Account # 867-730320

05/01/18 - 05/31/18

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
05/08/18	748,904.85	1	0.2996	6.15	49.04	-
05/09/18	761,576.85	1	0.2996	6.25	55.29	-
05/10/18	758,111.85	1	0.2996	6.22	61.51	-
05/11/18	757,418.85	4	0.2996	24.87	86.38	-
05/15/18	759,728.00	2	0.2996	12.47	98.85	-
05/17/18	768,611.80	7	0.2996	44.16	143.01	-
05/24/18	86,705.80	8	0.0700	1.33	144.34	144.34
Total Interest Income						**$144.34**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$744,251.85**
05/01/18	-	05/01/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 1,485.00	745,736.85
05/02/18	-	05/02/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	1,584.00	747,320.85
05/03/18	-	05/03/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	495.00	747,815.85
05/04/18	-	05/04/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	693.00	748,508.85
05/07/18	-	05/07/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(2,277.00)	746,231.85
05/08/18	-	05/08/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,673.00	748,904.85
05/09/18	-	05/09/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	12,672.00	761,576.85

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Statement for Account # 867-730320

05/01/18 - 05/31/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
05/10/18	-	05/10/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,465.00	765,041.85
05/11/18	-	05/11/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(6,930.00)	758,111.85
05/14/18	-	05/14/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(693.00)	757,418.85
05/15/18	-	05/15/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,309.15	759,728.00
05/17/18	-	05/17/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	8,883.80	768,611.80
05/24/18	-	05/24/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(26,111.80)	742,500.00
05/24/18	-	05/24/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	495,000.00
05/24/18	-	05/24/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	247,500.00
05/24/18	-	05/24/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(160,794.20)	86,705.80
05/31/18	-	05/31/18	Received	Interest: Insured Deposit Account Bank USA	-	-	2.84	86,708.64
05/31/18	-	05/31/18	Received	Interest: Insured Deposit Account Bank	-	-	46.72	86,755.36
05/31/18	-	05/31/18	Received	Interest: Insured Deposit Account Bank USA	-	-	46.72	86,802.08

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Statement for Account # 867-730320

05/01/18 - 05/31/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
05/31/18	-	05/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	48.05	86,850.13
Closing Balance								**$86,850.13**
TD Bank NA							**$86,753.85**	
TD Bank USA NA							**$49.56**	
US Bank							**$46.72**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2018, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $2.2 billion and $4.85 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington D.C. and the Denver, CO office of the Commission.

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SOUTHERN FINANCIAL LLC
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ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

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REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

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The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

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Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
06/01/18 - 06/30/18

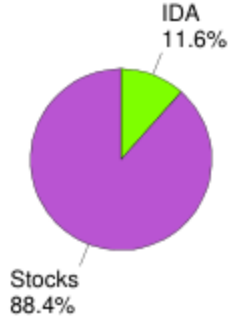
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	799,455.29	86,850.13	712,605.16	820.5%	-	0.30%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	6,121,050.00	6,015,800.00	105,250.00	1.7%	95,819.00	1.6%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	10.00	(10.00)	(100.0)%	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$6,920,505.29**	**$6,102,660.13**	**$817,845.16**	**13.4%**	**$95,819.00**	**1.4%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	(4,069,779.45)
Securities Sold	712,485.06	2,466,686.28
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	41,767.61
Expense	-	-
Other	(712,485.06)	1,561,325.56
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$41,767.60
Interest	-	-	0.01
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	(3,827.81)
Net	$0.00	$0.00	$37,939.80

Performance Summary

Cost Basis As Of - 06/30/18 **	**$4,014,637.60**
Unrealized Gains	3,065,679.67
Unrealized Losses	(959,267.27)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	41,767.61
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320

06/01/18 - 06/30/18

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 17,767.60
Interest Income Credit Balance	0.00	0.01
Qualified Dividends	0.00	24,000.00
IDA Interest	120.10	1,037.04

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ALPS ETF TRUST ALERIAN MLP ETF	AMLP	43,000	$ 10.10	$434,300.00	01/12/18	$ 500,956.00	$ 11.65	$ (66,656.00)	$ 35,819.00	8.2%
FOUNDATION MEDICINE INC COM	FMI	25,000	136.70	3,417,500.00	01/13/14	582,049.13	23.28	2,835,450.87	-	-
GENERAL ELECTRIC CO COM	GE	125,000	13.61	1,701,250.00	10/20/17	2,593,861.27	20.75	(892,611.27)	60,000.00	3.5%
SANGAMO THERAPEUTICS INC COM	SGMO	40,000	14.20	568,000.00	05/28/13	337,771.20	8.44	230,228.80	-	-
Total Stocks				**$6,121,050.00**		**$4,014,637.60**		**$2,106,412.40**	**$95,819.00**	**1.6%**
Total Margin Account				**$6,121,050.00**		**$4,014,637.60**		**$2,106,412.40**	**$95,819.00**	**1.6%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
06/11/18	06/13/18	Margin	Sell - Securities Sold	GILEAD SCIENCES INC COM Commission/Fee 6.00 Regulatory Fee 0.10	GILD	100-	$ 71.26	$ 7,119.90	7,119.90
06/11/18	06/13/18	Margin	Sell - Securities Sold	GILEAD SCIENCES INC COM Regulatory Fee 0.10	GILD	100-	71.255	7,125.40	14,245.30

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Statement for Account # 867-730320
06/01/18 - 06/30/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/11/18	06/13/18	Margin	Sell - Securities Sold	GILEAD SCIENCES INC COM Regulatory Fee 10.24	GILD	9,800-	71.25	698,239.76	712,485.06
06/13/18	06/13/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(712,485.06)	0.00
06/18/18	06/18/18	Margin	Delivered - Other	SPDR S&P 500 SPY Jun 15 18 300.0 C EXPIRATION	-	1,000-	0.00	-	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
06/01/18	$ 86,850.13	12	0.0700	$ 2.00	$ 2.00	$ -
06/13/18	799,335.19	18	0.2996	118.09	120.09	120.09
Total Interest Income						**$120.09**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$86,850.13**
06/13/18	-	06/13/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 160,649.87	247,500.00
06/13/18	-	06/13/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	495,000.00
06/13/18	-	06/13/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	742,500.00
06/13/18	-	06/13/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	56,835.19	799,335.19

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Statement for Account # 867-730320

06/01/18 - 06/30/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
06/29/18	-	06/29/18	Received	Interest: Insured Deposit Account Bank USA	-	-	8.40	799,343.59
06/29/18	-	06/29/18	Received	Interest: Insured Deposit Account Bank	-	-	36.57	799,380.16
06/29/18	-	06/29/18	Received	Interest: Insured Deposit Account Bank USA	-	-	36.57	799,416.73
06/29/18	-	06/29/18	Received	Interest: Insured Deposit Account Bank NA	-	-	38.56	799,455.29

Closing Balance		**$799,455.29**
TD Bank NA	**$247,538.56**	
TD Bank USA NA	**$304,380.16**	
US Bank	**$247,536.57**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2018, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $2.2 billion and $4.85 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington D.C. and the Denver, CO office of the Commission.

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

CHANGE TO BANK SWEEP PROGRAM

TD Ameritrade is adding additional Program Banks to the bank sweep program. As a result, you may hold checking or savings accounts at the Program Banks. The bank sweep program is designed to provide up to $500,000 FDIC insurance per depositor in each recognized legal capacity; but to the extent your cash is deposited into more than two Program Banks, it is possible for you to obtain total FDIC insurance in excess of $500,000 per depositor in each recognized legal capacity. The revised terms and conditions are located in the "Sweep Program" subsection of the Client Agreement.

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TD Ameritrade
PO BOX 2577
OMAHA NE 68103-2577

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

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(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01268175

TD Ameritrade

Statement Reporting Period:
07/01/18 - 07/31/18

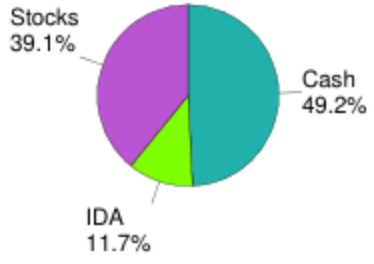
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$3,425,000.94	$ -	$3,425,000.94	-	$ -	-
Insrd Dep Acct (IDA)	814,693.58	799,455.29	15,238.29	1.9%	-	0.35%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	2,720,170.00	6,121,050.00	(3,400,880.00)	(55.6)%	95,819.00	3.5%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$6,959,864.52**	**$6,920,505.29**	**$39,359.23**	**0.6%**	**$95,819.00**	**1.4%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	(4,069,779.45)
Securities Sold	3,425,000.00	5,891,686.28
Funds Deposited	-	-
Funds Disbursed	-	-
Income	15,000.94	56,768.55
Expense	-	-
Other	(15,000.00)	1,546,325.56
Closing Balance	$3,425,000.94	$3,425,000.94

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$15,000.00	$ -	$56,767.60
Interest	0.94	-	0.95
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	(3,827.81)
Net	$15,000.94	$0.00	$52,940.74

Performance Summary

Cost Basis As Of - 07/31/18 **	**$3,432,588.47**
Unrealized Gains	208,228.80
Unrealized Losses	(920,647.27)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	56,768.55
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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EFTA01268176

Statement for Account # 867-730320

07/01/18 - 07/31/18

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 17,767.60
Interest Income Credit Balance	0.94	0.95
Qualified Dividends	15,000.00	39,000.00
IDA Interest	238.29	1,275.33

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ALPS ETF TRUST ALERIAN MLP ETF	AMLP	43,000	$ 10.94	$470,420.00	01/12/18	$ 500,956.00	$ 11.65	$ (30,536.00)	$ 35,819.00	7.6%
GENERAL ELECTRIC CO COM	GE	125,000	13.63	1,703,750.00	10/20/17	2,593,861.27	20.75	(890,111.27)	60,000.00	3.5%
SANGAMO THERAPEUTICS INC COM	SGMO	40,000	13.65	546,000.00	05/28/13	337,771.20	8.44	208,228.80	-	-
Total Stocks				**$2,720,170.00**		**$3,432,588.47**		**$(712,418.47)**	**$95,819.00**	**3.5%**
Total Margin Account				**$2,720,170.00**		**$3,432,588.47**		**$(712,418.47)**	**$95,819.00**	**3.5%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
07/16/18	07/16/18	Margin	Delivered - Other	FOUNDATION MEDICINE INC CSH MRG $137/SH 8/1/18 ADJ POSITION FOR VOLUNTARY REORG OFFER	FMI	25,000-	$ 0.00	$ -	0.00
07/16/18	07/16/18	Margin	Received - Other	FOUNDATION MEDICINE INC CONTRA-DO NOT SELL ADJ POSITION FOR VOLUNTARY REORG OFFER	3CR993107	25,000	0.00	-	0.00

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Statement for Account # 867-730320
07/01/18 - 07/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
07/25/18	07/25/18	Margin	Div/Int - Income	GENERAL ELECTRIC CO COM Payable: 07/25/2018 QUALIFIED DIVIDENDS15000.00	GE	-	0.00	15,000.00	15,000.00
07/25/18	07/25/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(15,000.00)	0.00
07/31/18	07/31/18	Margin	Sell - Securities Sold	FOUNDATION MEDICINE INC CONTRA-DO NOT SELL PROCEEDS FROM VOLUNTARY TENDER OFFER Auto Reorg#389210|TR|CASH PAYMENT	3CR993107	25,000-	137.00	3,425,000.00	3,425,000.00
07/31/18	07/31/18	Margin	Div/Int - Income	INTEREST CREDIT Payable: 07/31/2018	-	-	0.00	0.94	3,425,000.94
Closing Balance									**$3,425,000.94**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
07/31/18	$ -	$ 3,425,000.00	1	0.01	$ -	$ 0.94
Total Interest Income/(Expense)					**$ 0.00**	**$0.94**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
07/01/18	$ 799,455.29	24	0.3494	$ 183.70	$ 183.70	$ -
07/25/18	814,455.29	7	0.3494	54.58	238.28	238.28
Total Interest Income						**$238.28**

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Statement for Account # 867-730320

07/01/18 - 07/31/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$799,455.29**
07/25/18	-	07/25/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 15,000.00	814,455.29
07/31/18	-	07/31/18	Received	Interest: Insured Deposit Account Bank USA	-	-	17.91	814,473.20
07/31/18	-	07/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	73.46	814,546.66
07/31/18	-	07/31/18	Received	Interest: Insured Deposit Account Bank USA	-	-	73.46	814,620.12
07/31/18	-	07/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	73.46	814,693.58
Closing Balance								**$814,693.58**

TD Bank NA	**$247,573.46**
TD Bank USA NA	**$319,546.66**
US Bank NA	**$247,573.46**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks (*Program Banks*). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade
PO BOX 2577
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SOUTHERN FINANCIAL LLC
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ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

SDNY_GM_00012051

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EFTA_00122702

EFTA01268181

TD Ameritrade

Statement Reporting Period:
08/01/18 - 08/31/18

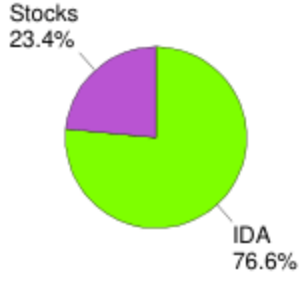
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$3,425,000.94	($3,425,000.94)	-	$ -	-
Insrd Dep Acct (IDA)	5,280,333.30	814,693.58	4,465,639.72	548.1%	-	0.50%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	1,617,500.00	2,720,170.00	(1,102,670.00)	(40.5)%	60,000.00	3.7%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$6,897,833.30**	**$6,959,864.52**	**($62,031.22)**	**(0.9)%**	**$60,000.00**	**0.9%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$3,425,000.94	$ -
Securities Purchased	-	(4,069,779.45)
Securities Sold	1,038,530.22	6,930,216.50
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	56,768.55
Expense	-	-
Other	(4,463,531.16)	(2,917,205.60)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$56,767.60
Interest	-	-	0.95
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	(3,827.81)
Net	$0.00	$0.00	$52,940.74

Performance Summary

Cost Basis As Of - 08/31/18 **	**$2,593,861.27**
Unrealized Gains	-
Unrealized Losses	(976,361.27)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	56,768.55
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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SDNY_GM_00012052

EFTA_00122703

EFTA01268182

Statement for Account # 867-730320
08/01/18 - 08/31/18

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ 0.04	$ 0.04
Subtotal	0.04	0.04
TOTAL	**0.04**	0.04

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 17,767.60
Interest Income Credit Balance	0.00	0.95
Qualified Dividends	0.00	39,000.00
IDA Interest	2,108.52	3,383.85

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
GENERAL ELECTRIC CO COM	GE	125,000	$ 12.94	$1,617,500.00	10/20/17	$ 2,593,861.27	$ 20.75	$ (976,361.27)	$ 60,000.00	3.7%
Total Stocks				**$1,617,500.00**		**$2,593,861.27**		**$(976,361.27)**	**$60,000.00**	**3.7%**
Total Margin Account				**$1,617,500.00**		**$2,593,861.27**		**$(976,361.27)**	**$60,000.00**	**3.7%**

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
CREDITS			
Electronic Transfer	08/09/2018	Courtesy Adjustment	$ 0.04
Subtotal			0.04
TOTAL			**0.04**

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Statement for Account # 867-730320
08/01/18 - 08/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$3,425,000.94**
08/01/18	08/01/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ (3,425,000.94)	0.00
08/09/18	08/09/18	Margin	Journal - Other	Courtesy Adjustment	-	-	0.00	0.04	0.04
08/08/18	08/10/18	Margin	Sell - Securities Sold	ALPS ETF TRUST ALERIAN MLP ETF Commission/Fee 6.00 Regulatory Fee 1.05	AMLP	4,133-	11.305	46,716.52	46,716.56
08/08/18	08/10/18	Margin	Sell - Securities Sold	ALPS ETF TRUST ALERIAN MLP ETF Regulatory Fee 5.02	AMLP	18,867-	11.301	213,210.95	259,927.51
08/08/18	08/10/18	Margin	Sell - Securities Sold	ALPS ETF TRUST ALERIAN MLP ETF Commission/Fee 6.00 Regulatory Fee 5.32	AMLP	20,000-	11.30	225,988.68	485,916.19
08/08/18	08/10/18	Margin	Sell - Securities Sold	SANGAMO THERAPEUTICS INC COM Commission/Fee 6.00 Regulatory Fee 2.98	SGMO	10,000-	13.805	138,041.02	623,957.21
08/08/18	08/10/18	Margin	Sell - Securities Sold	SANGAMO THERAPEUTICS INC COM Commission/Fee 6.00 Regulatory Fee 2.99	SGMO	10,000-	13.855	138,541.01	762,498.22
08/08/18	08/10/18	Margin	Sell - Securities Sold	SANGAMO THERAPEUTICS INC COM Commission/Fee 6.00 Regulatory Fee 2.98	SGMO	10,000-	13.805	138,041.02	900,539.24
08/08/18	08/10/18	Margin	Sell - Securities Sold	SANGAMO THERAPEUTICS INC COM Commission/Fee 6.00 Regulatory Fee 2.98	SGMO	10,000-	13.80	137,991.02	1,038,530.26
08/10/18	08/10/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,038,530.26)	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

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Statement for Account # 867-730320

08/01/18 - 08/31/18

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
08/01/18	$ 4,239,694.52	9	0.4989	$ 521.50	$ 521.50	$ -
08/10/18	5,278,224.78	22	0.4989	1,587.02	2,108.52	2,108.52
Total Interest Income						**$2108.52**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$814,693.58**
08/01/18	-	08/01/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 247,500.00	1,062,193.58
08/01/18	-	08/01/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,177,500.94	4,239,694.52
08/10/18	-	08/10/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	4,487,194.52
08/10/18	-	08/10/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	791,030.26	5,278,224.78
08/31/18	-	08/31/18	Received	Interest: Insured Deposit Account Bank USA	-	-	1,793.94	5,280,018.72
08/31/18	-	08/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	104.86	5,280,123.58
08/31/18	-	08/31/18	Received	Interest: Insured Deposit Account Bank USA	-	-	104.86	5,280,228.44
08/31/18	-	08/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	104.86	5,280,333.30
Closing Balance								**$5,280,333.30**
TD Bank NA							**$247,604.86**	
TD Bank USA NA							**$4,785,123.58**	
US Bank NA							**$247,604.86**	

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ST THOMAS, VI 00802-1348

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STATEMENT GUIDE

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Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01268187

TD Ameritrade

Statement Reporting Period:
09/01/18 - 09/30/18

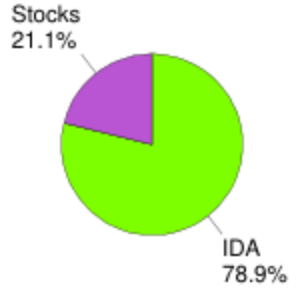
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	5,282,498.28	5,280,333.30	2,164.98	-	-	0.50%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	1,411,250.00	1,617,500.00	(206,250.00)	(12.8)%	60,000.00	4.3%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$6,693,748.28**	**$6,897,833.30**	**($204,085.02)**	**(3.0)%**	**$60,000.00**	**0.9%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	(4,069,779.45)
Securities Sold	-	6,930,216.50
Funds Deposited	-	-
Funds Disbursed	-	-
Income	-	56,768.55
Expense	-	-
Other	-	(2,917,205.60)
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$56,767.60
Interest	-	-	0.95
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	(3,827.81)
Net	$0.00	$0.00	$52,940.74

Performance Summary

Cost Basis As Of - 09/30/18 **	**$2,593,861.27**
Unrealized Gains	-
Unrealized Losses	(1,182,611.27)
Funds Deposited/(Disbursed) YTD	-
Income/(Expense) YTD	56,768.55
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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EFTA_00122709

EFTA01268188

Statement for Account # 867-730320

09/01/18 - 09/30/18

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 0.04
Subtotal	0.00	0.04
TOTAL	**0.00**	0.04

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 17,767.60
Interest Income Credit Balance	0.00	0.95
Qualified Dividends	0.00	39,000.00
IDA Interest	2,164.98	5,548.83

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
GENERAL ELECTRIC CO COM	GE	125,000	$ 11.29	$ 1,411,250.00	10/20/17	$ 2,593,861.27	$ 20.75	$ (1,182,611.27)	$ 60,000.00	4.3%
Total Stocks				**$1,411,250.00**		**$2,593,861.27**		**$(1,182,611.27)**	**$60,000.00**	**4.3%**
Total Margin Account				**$1,411,250.00**		**$2,593,861.27**		**$(1,182,611.27)**	**$60,000.00**	**4.3%**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
09/01/18	$ 5,280,333.30	30	0.4989	$ 2,164.99	$ 2,164.99	$ 2,164.99
Total Interest Income						**$2164.99**

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Statement for Account # 867-730320

09/01/18 - 09/30/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$5,280,333.30**
09/28/18	-	09/28/18	Received	Interest: Insured Deposit Account Bank USA	-	-	$ 1,843.63	5,282,176.93
09/28/18	-	09/28/18	Received	Interest: Insured Deposit Account Bank NA	-	-	16.91	5,282,193.84
09/28/18	-	09/28/18	Received	Interest: Insured Deposit Account Bank NA	-	-	101.48	5,282,295.32
09/28/18	-	09/28/18	Received	Interest: Insured Deposit Account Bank USA	-	-	101.48	5,282,396.80
09/28/18	-	09/28/18	Received	Interest: Insured Deposit Account Bank NA	-	-	101.48	5,282,498.28
Closing Balance								**$5,282,498.28**

TD Bank NA	**$247,601.48**
TD Bank USA NA	**$4,539,778.41**
US Bank NA	**$247,601.48**
Citi Bank NA	**$247,516.91**

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An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA01268192

TD Ameritrade

Statement Reporting Period:
10/01/18 - 10/31/18

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$1.37	$ -	$1.37	-	$ -	-
Insrd Dep Acct (IDA)	298,404.09	5,282,498.28	(4,984,094.19)	(94.4)%	-	0.30%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	1,262,500.00	1,411,250.00	(148,750.00)	(10.5)%	60,000.00	4.8%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$1,560,905.46**	**$6,693,748.28**	**($5,132,842.82)**	**(76.7)%**	**$60,000.00**	**3.8%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	(4,069,779.45)
Securities Sold	-	6,930,216.50
Funds Deposited	-	-
Funds Disbursed	(5,000,000.00)	(5,000,000.00)
Income	15,001.37	71,769.92
Expense	-	-
Other	4,985,000.00	2,067,794.40
Closing Balance	$1.37	$1.37

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$15,000.00	$ -	$71,767.60
Interest	1.37	-	2.32
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	(3,827.81)
Net	$15,001.37	$0.00	$67,942.11

Performance Summary

Cost Basis As Of - 10/31/18 **	**$2,593,861.27**
Unrealized Gains	-
Unrealized Losses	(1,331,361.27)
Funds Deposited/(Disbursed) YTD	(5,000,000.00)
Income/(Expense) YTD	71,769.92
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320
10/01/18 - 10/31/18

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 0.04
Subtotal	0.00	0.04
DEBITS		
Electronic Transfer	$ (5,000,000.00)	$ (5,000,000.00)
Subtotal	(5,000,000.00)	(5,000,000.00)
TOTAL	**(5,000,000.00)**	(4,999,999.96)

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 17,767.60
Interest Income Credit Balance	1.37	2.32
Qualified Dividends	15,000.00	54,000.00
IDA Interest	905.81	6,454.64

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
GENERAL ELECTRIC CO COM	GE	125,000	$ 10.10	$ 1,262,500.00	10/20/17	$ 2,593,861.27	$ 20.75	$ (1,331,361.27)	$ 60,000.00	4.8%
Total Stocks				**$1,262,500.00**		**$2,593,861.27**		**$(1,331,361.27)**	**$60,000.00**	**4.8%**
Total Margin Account				**$1,262,500.00**		**$2,593,861.27**		**$(1,331,361.27)**	**$60,000.00**	**4.8%**

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
DEBITS			
Electronic Transfer	10/11/2018	WIRE FUNDS WIRE SENT 10/11/2018 13:13:56	$ (5,000,000.00)
	10/15/2018	WIRE RETURNED-FROM 10/11-BNF DETAILS INCORRECT PER BANK	5,000,000.00

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Statement for Account # 867-730320
10/01/18 - 10/31/18

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
DEBITS			
	10/16/2018	WIRE FUNDS WIRE SENT 10/16/2018 10:14:00	$ (5,000,000.00)
Subtotal			(5,000,000.00)
TOTAL			**(5,000,000.00)**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
10/11/18	10/11/18	Margin	- Funds Disbursed	WIRE FUNDS WIRE SENT 10/11/2018 13:13:56	-	-	$ 0.00	$ (5,000,000.00)	(5,000,000.00)
10/12/18	10/12/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	5,000,000.00	0.00
10/15/18	10/15/18	Margin	Journal - Funds Disbursed	WIRE RETURNED-FROM 10/11-BNF DETAILS INCORRECT PER BANK	-	-	0.00	5,000,000.00	5,000,000.00
10/16/18	10/16/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(5,000,000.00)	0.00
10/16/18	10/16/18	Margin	- Funds Disbursed	WIRE FUNDS WIRE SENT 10/16/2018 10:14:00	-	-	0.00	(5,000,000.00)	(5,000,000.00)
10/17/18	10/17/18	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	5,000,000.00	0.00
10/25/18	10/25/18	Margin	Div/Int - Income	GENERAL ELECTRIC CO COM Payable: 10/25/2018 QUALIFIED DIVIDENDS15000.00	GE	-	0.00	15,000.00	15,000.00
10/25/18	10/25/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(15,000.00)	0.00
10/31/18	10/31/18	Margin	Div/Int - Income	INTEREST CREDIT Payable: 10/31/2018	-	-	0.00	1.37	1.37
Closing Balance									**$1.37**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

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Statement for Account # 867-730320

10/01/18 - 10/31/18

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
10/15/18	$ -	$ 5,000,000.00	1	0.01	$ -	$ 1.37
Total Interest Income/(Expense)					**$ 0.00**	**$1.37**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
10/01/18	$ 5,282,498.28	4	0.4989	$ 288.78	$ 288.78	$ -
10/05/18	5,282,498.28	6	0.6481	562.75	851.53	-
10/11/18	282,498.28	14	0.2996	37.19	888.72	-
10/25/18	297,498.28	7	0.2996	17.09	905.81	905.81
Total Interest Income						**$905.81**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$5,282,498.28**
10/12/18	-	10/12/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ (4,292,498.28)	990,000.00
10/12/18	-	10/12/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	742,500.00
10/12/18	-	10/12/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	495,000.00
10/12/18	-	10/12/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(212,501.72)	282,498.28
10/16/18	-	10/16/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	212,501.72	495,000.00
10/16/18	-	10/16/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	742,500.00

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Statement for Account # 867-730320

10/01/18 - 10/31/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
10/16/18	-	10/16/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	990,000.00
10/16/18	-	10/16/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	1,237,500.00
10/16/18	-	10/16/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	1,485,000.00
10/16/18	-	10/16/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,797,498.28	5,282,498.28
10/17/18	-	10/17/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(4,292,498.28)	990,000.00
10/17/18	-	10/17/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	742,500.00
10/17/18	-	10/17/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	495,000.00
10/17/18	-	10/17/18	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(212,501.72)	282,498.28
10/25/18	-	10/25/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	15,000.00	297,498.28
10/31/18	-	10/31/18	Received	Interest: Insured Deposit Account Bank USA	-	-	691.94	298,190.22
10/31/18	-	10/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	39.90	298,230.12
10/31/18	-	10/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	39.90	298,270.02

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Statement for Account # 867-730320

10/01/18 - 10/31/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
10/31/18	-	10/31/18	Received	Interest: Insured Deposit Account Bank USA	-	-	46.79	298,316.81
10/31/18	-	10/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	87.28	298,404.09

Closing Balance		**$298,404.09**
TD Bank NA	**$247,587.28**	
TD Bank USA NA	**$50,737.01**	
US Bank NA	**$39.90**	
Citi Bank NA	**$39.90**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade
PO BOX 2577
OMAHA NE 68103-2577

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

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Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

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Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122721

EFTA01268200

TD Ameritrade

Statement Reporting Period:
11/01/18 - 11/30/18

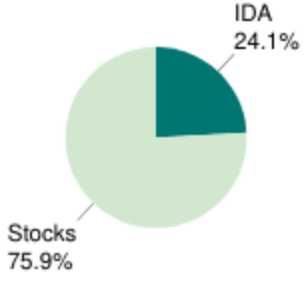
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$1.37	($1.37)	-	$ -	-
Insrd Dep Acct (IDA)	298,478.93	298,404.09	74.84	-	-	0.30%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	937,500.00	1,262,500.00	(325,000.00)	(25.7)%	60,000.00	6.4%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$1,235,978.93**	**$1,560,905.46**	**($324,926.53)**	**(20.8)%**	**$60,000.00**	**4.9%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$1.37	$ -
Securities Purchased	-	(4,069,779.45)
Securities Sold	-	6,930,216.50
Funds Deposited	-	-
Funds Disbursed	-	(5,000,000.00)
Income	-	71,769.92
Expense	-	-
Other	(1.37)	2,067,793.03
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$71,767.60
Interest	-	-	2.32
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	(3,827.81)
Net	$0.00	$0.00	$67,942.11

Performance Summary

Cost Basis As Of - 11/30/18 **	**$2,593,861.27**
Unrealized Gains	-
Unrealized Losses	(1,656,361.27)
Funds Deposited/(Disbursed) YTD	(5,000,000.00)
Income/(Expense) YTD	71,769.92
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320
11/01/18 - 11/30/18

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 0.04
Subtotal	0.00	0.04
DEBITS		
Electronic Transfer	$ -	$ (5,000,000.00)
Subtotal	0.00	(5,000,000.00)
TOTAL	**0.00**	(4,999,999.96)

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 17,767.60
Interest Income Credit Balance	0.00	2.32
Qualified Dividends	0.00	54,000.00
IDA Interest	73.47	6,528.11

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
GENERAL ELECTRIC CO COM	GE	125,000	$ 7.50	$ 937,500.00	10/20/17	$ 2,593,861.27	$ 20.75	$ (1,656,361.27)	$ 60,000.00	6.4%
Total Stocks				**$937,500.00**		**$2,593,861.27**		**$(1,656,361.27)**	**$60,000.00**	**6.4%**
Total Margin Account				**$937,500.00**		**$2,593,861.27**		**$(1,656,361.27)**	**$60,000.00**	**6.4%**

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Statement for Account # 867-730320

11/01/18 - 11/30/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$1.37**
11/01/18	11/01/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ (1.37)	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
11/01/18	$ 298,405.46	30	0.2996	$ 73.48	$ 73.48	$ 73.48
Total Interest Income						**$73.48**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$298,404.09**
11/01/18	-	11/01/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 1.37	298,405.46
11/30/18	-	11/30/18	Received	Interest: Insured Deposit Account Bank USA	-	-	12.53	298,417.99
11/30/18	-	11/30/18	Received	Interest: Insured Deposit Account Bank NA	-	-	60.94	298,478.93
Closing Balance								**$298,478.93**

TD Bank NA **$247,560.94**

TD Bank USA NA **$50,917.99**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade
PO BOX 2577
OMAHA NE 68103-2577

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

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It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

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(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122726

EFTA01268205

TD Ameritrade

Statement Reporting Period:
12/01/18 - 12/31/18

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	1,258,729.35	298,478.93	960,250.42	321.7%	-	0.65%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	937,500.00	(937,500.00)	(100.0)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$1,258,729.35**	**$1,235,978.93**	**$22,750.42**	**1.8%**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	(4,069,779.45)
Securities Sold	959,955.63	7,890,172.13
Funds Deposited	-	-
Funds Disbursed	-	(5,000,000.00)
Income	-	71,769.92
Expense	-	-
Other	(959,955.63)	1,107,837.40
Closing Balance	$ 0.00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$71,767.60
Interest	-	-	2.32
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	(3,827.81)
Net	$0.00	$0.00	$67,942.11

Performance Summary

Cost Basis As Of - 12/31/18 **	**$ -**
Unrealized Gains	-
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	(5,000,000.00)
Income/(Expense) YTD	71,769.92
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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SDNY_GM_00012076

EFTA_00122727

EFTA01268206

Statement for Account # 867-730320
12/01/18 - 12/31/18

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 0.04
Subtotal	0.00	0.04
DEBITS		
Electronic Transfer	$ -	$ (5,000,000.00)
Subtotal	0.00	(5,000,000.00)
TOTAL	**0.00**	(4,999,999.96)

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	$ 0.00	$ 17,767.60
Interest Income Credit Balance	0.00	2.32
Qualified Dividends	0.00	54,000.00
IDA Interest	294.79	6,822.90

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
12/19/18	12/21/18	Margin	Sell - Securities Sold	GENERAL ELECTRIC CO COM Commission/Fee 6.00 Regulatory Fee 5.45	GE	25,000-	$ 7.64	$ 190,988.55	190,988.55
12/19/18	12/21/18	Margin	Sell - Securities Sold	GENERAL ELECTRIC CO COM Commission/Fee 6.00 Regulatory Fee 5.49	GE	25,000-	7.72	192,988.51	383,977.06
12/19/18	12/21/18	Margin	Sell - Securities Sold	GENERAL ELECTRIC CO COM Commission/Fee 6.00 Regulatory Fee 5.48	GE	25,000-	7.70	192,488.52	576,465.58

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Statement for Account # 867-730320

12/01/18 - 12/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/19/18	12/21/18	Margin	Sell - Securities Sold	GENERAL ELECTRIC CO COM Commission/Fee 6.00 Regulatory Fee 5.47	GE	25,000-	7.66	191,488.53	767,954.11
12/19/18	12/21/18	Margin	Sell - Securities Sold	GENERAL ELECTRIC CO COM Commission/Fee 6.00 Regulatory Fee 0.57	GE	2,600-	7.685	19,974.43	787,928.54
12/19/18	12/21/18	Margin	Sell - Securities Sold	GENERAL ELECTRIC CO COM Regulatory Fee 4.91	GE	22,400-	7.68	172,027.09	959,955.63
12/21/18	12/21/18	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(959,955.63)	0.00
Closing Balance									**$ 0.00**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
12/01/18	$ 298,478.93	20	0.2996	$ 49.00	$ 49.00	$ -
12/21/18	1,258,434.56	11	0.6481	245.78	294.78	294.78
Total Interest Income						**$294.78**

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Statement for Account # 867-730320

12/01/18 - 12/31/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$298,478.93**
12/21/18	-	12/21/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 196,521.07	495,000.00
12/21/18	-	12/21/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	742,500.00
12/21/18	-	12/21/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	990,000.00
12/21/18	-	12/21/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	1,237,500.00
12/21/18	-	12/21/18	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	20,934.56	1,258,434.56
12/31/18	-	12/31/18	Received	Interest: Insured Deposit Account Fargo NA	-	-	4.09	1,258,438.65
12/31/18	-	12/31/18	Received	Interest: Insured Deposit Account of America	-	-	48.34	1,258,486.99
12/31/18	-	12/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	48.34	1,258,535.33
12/31/18	-	12/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	48.34	1,258,583.67
12/31/18	-	12/31/18	Received	Interest: Insured Deposit Account Bank USA	-	-	56.71	1,258,640.38

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Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
12/31/18	-	12/31/18	Received	Interest: Insured Deposit Account Bank NA	-	-	88.97	1,258,729.35
Closing Balance								**$1,258,729.35**
TD Bank NA							**$247,588.97**	
TD Bank USA NA							**$247,556.71**	
US Bank NA							**$247,548.34**	
Citi Bank NA							**$247,548.34**	
Bank of America NA							**$247,548.34**	
Wells Fargo NA							**$20,938.65**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

ANNUAL MARGIN DISCLOSURE

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: **You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.**

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of September 30, 2018, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $2.8 billion and $524.9 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington, D.C. and the Denver, CO office of the Commission.

CALIFORNIA RESIDENTS

If your total payments of interest and interest-dividends on Federally Tax-Exempt non-California municipal bonds were $10 or greater and you or your Partnership had a California address then TD Ameritrade will report this information to the California Franchise Tax Board each tax year per state statute.

OPTION-APPROVED ACCOUNTS

The Options Clearing Corporation has issued a supplement to the Options Disclosure Document. You can review a copy on their site at http://www.theocc.com/about/publications/character-risks.jsp . We also sent this information to the message center within your account. If you would like to receive a printed copy, please call 800-669-3900.

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TD Ameritrade
PO BOX 2577
OMAHA NE 68103-2577

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122733

EFTA01268212

TD Ameritrade

Statement Reporting Period:
01/01/19 - 01/31/19

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$1.09	$ -	$1.09	-	$ -	-
Insrd Dep Acct (IDA)	2,262,899.79	1,258,729.35	1,004,170.44	79.8%	-	0.70%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$2,262,900.88**	**$1,258,729.35**	**$1,004,171.53**	**79.8%**	**$ 0.00**	**0.0%**

Portfolio Allocation



IDA
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0.00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	1,002,000.00	1,002,000.00
Funds Disbursed	-	-
Income	1,251.09	1,251.09
Expense	-	-
Other	(1,003,250.00)	(1,003,250.00)
Closing Balance	$1.09	$1.09

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$1,250.00	$ -	$1,250.00
Interest	1.09	-	1.09
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$1,251.09	$0.00	$1,251.09

Performance Summary

Cost Basis As Of - 01/31/19 **	**$ -**
Unrealized Gains	-
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	1,002,000.00
Income/(Expense) YTD	1,251.09
Securities Received/(Delivered) YTD	0.00

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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EFTA01268213

Statement for Account # 867-730320

01/01/19 - 01/31/19

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ 1,000,000.00	$ 1,000,000.00
Subtotal	1,000,000.00	1,000,000.00
TOTAL	**1,000,000.00**	1,000,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	$ 1.09	$ 1.09
Qualified Dividends	1,250.00	1,250.00
IDA Interest	920.44	920.44

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
CREDITS			
Electronic Transfer	01/18/2019	Wire Received	$ 1,000,000.00
Subtotal			1,000,000.00
TOTAL			**1,000,000.00**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$ 0.00**
01/31/19	01/31/19	Cash	Div/Int - Income	INTEREST CREDIT Payable: 01/31/2019	-	-	$ 0.00	$ 1.09	1.09
01/18/19	01/18/19	Margin	- Funds Deposited	Wire Received	-	-	0.00	1,000,000.00	1,000,001.09
01/22/19	01/22/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,000,000.00)	1.09
01/22/19	01/22/19	Margin	Journal - Funds Deposited	$2000 Offer	-	-	0.00	2,000.00	2,001.09
01/23/19	01/23/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(2,000.00)	1.09

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Statement for Account # 867-730320

01/01/19 - 01/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
01/25/19	01/25/19	Margin	Div/Int - Income	GENERAL ELECTRIC CO COM Payable: 01/25/2019 QUALIFIED DIVIDENDS 1250.00	GE	-	0.00	1,250.00	1,251.09
01/28/19	01/28/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,250.00)	1.09
Closing Balance									**$1.09**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
01/18/19	$ -	$ 1,000,000.00	4	0.01	$ -	$ 1.09
Total Interest Income/(Expense)					**$ 0.00**	**$1.09**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
01/01/19	$ 1,258,729.35	10	0.6481	$ 223.49	$ 223.49	$ -
01/11/19	1,258,729.35	11	0.6978	264.69	488.18	-
01/22/19	2,258,729.35	1	0.6978	43.18	531.36	-
01/23/19	2,260,729.35	5	0.6978	216.09	747.45	-
01/28/19	2,261,979.35	4	0.6978	172.97	920.42	920.42
Total Interest Income						**$920.42**

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Statement for Account # 867-730320

01/01/19 - 01/31/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$1,258,729.35**
01/22/19	-	01/22/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 247,500.00	1,506,229.35
01/22/19	-	01/22/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	226,270.65	1,732,500.00
01/22/19	-	01/22/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	526,229.35	2,258,729.35
01/23/19	-	01/23/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	2,000.00	2,260,729.35
01/28/19	-	01/28/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	1,250.00	2,261,979.35
01/31/19	-	01/31/19	Received	Interest: Insured Deposit Account Bank USA	-	-	148.35	2,262,127.70
01/31/19	-	01/31/19	Received	Interest: Insured Deposit Account Fargo NA	-	-	55.54	2,262,183.24
01/31/19	-	01/31/19	Received	Interest: Insured Deposit Account of America	-	-	143.31	2,262,326.55
01/31/19	-	01/31/19	Received	Interest: Insured Deposit Account Bank NA	-	-	143.31	2,262,469.86
01/31/19	-	01/31/19	Received	Interest: Insured Deposit Account Bank NA	-	-	143.31	2,262,613.17
01/31/19	-	01/31/19	Received	Interest: Insured Deposit Account Bank USA	-	-	143.31	2,262,756.48

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Statement for Account # 867-730320

01/01/19 - 01/31/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
01/31/19	-	01/31/19	Received	Interest: Insured Deposit Account Bank NA	-	-	143.31	2,262,899.79
Closing Balance								**$2,262,899.79**
TD Bank NA							**$247,643.31**	
TD Bank USA NA							**$1,024,771.01**	
US Bank NA							**$247,643.31**	
Citi Bank NA							**$247,643.31**	
Bank of America NA							**$247,643.31**	
Wells Fargo NA							**$247,555.54**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade
PO BOX 2577
OMAHA NE 68103-2577

SOUTHERN FINANCIAL LLC
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6100 RED HOOK QTRS STE B-3
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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
02/01/19 - 02/28/19

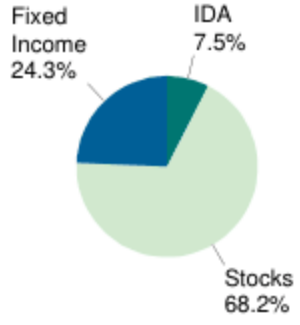
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$25,000.98	$1.09	$24,999.89	-	$ -	-
Insrd Dep Acct (IDA)	5,814,410.10	2,262,899.79	3,551,510.31	156.9%	-	0.70%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	52,806,161.05	-	52,806,161.05	-	1,354,838.31	2.6%
Short Stocks	-	-	-	-	-	-
Fixed Income	18,871,623.00	-	18,871,623.00	-	1,335,775.80	7.1%
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$77,517,195.13**	**$2,262,900.88**	**$75,254,294.25**	**3325.6%**	**$2,690,614.11**	**3.5%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$1.09	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	3,574,144.52	4,576,144.52
Funds Disbursed	-	-
Income	0.98	1,252.07
Expense	-	-
Other	(3,549,145.61)	(4,552,395.61)
Closing Balance	$25,000.98	$25,000.98

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$1,250.00
Interest	0.98	-	2.07
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$0.98	$0.00	$1,252.07

Performance Summary

Cost Basis As Of - 02/28/19 **	**$8,734,169.70**
Unrealized Gains	1,341,407.25
Unrealized Losses	(363,856.00)
Funds Deposited/(Disbursed) YTD	4,576,144.52
Income/(Expense) YTD	1,252.07
Securities Received/(Delivered) YTD	71,531,951.85

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320
02/01/19 - 02/28/19

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 1,000,000.00
Subtotal	0.00	1,000,000.00
TOTAL	**0.00**	1,000,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	$ 0.98	$ 2.07
Qualified Dividends	0.00	1,250.00
IDA Interest	2,364.70	3,285.14

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ALIBABA GROUP HOLDING LTD ADR	BABA	5,000	$ 183.03	$915,150.00	06/10/15	$ 444,749.00	$ 88.95	$ 470,401.00	$ -	-
ALPHABET INC COM CL C	GOOG	3,000	1,119.92	3,359,760.00	02/02/18	3,226,807.29	1,075.60	132,952.71	-	-
AMAZON COM INC COM	AMZN	1,000	1,639.83	1,639,830.00	03/29/18	1,395,441.18	1,395.44	244,388.82	-	-
APOLLO GLOBAL MANAGEMENT LLC CL A SHS	APO	263,157	29.30	7,710,500.10	-	-	-	-	481,577.31	6.2%
APPLE INC COM	AAPL	180,000	173.15	31,167,000.00	-	-	-	-	525,600.00	1.7%
BIOGEN INC COM	BIIB	2,095	328.01	687,180.95	02/14/12	231,019.65	110.27	456,161.30	-	-
CARVANA CO COM CL A	CVNA	25,000	44.86	1,121,500.00	06/18/18	1,086,102.28	43.44	35,397.72	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
CITIGROUP INC DEP SHS REPSTG 1/1000 PFD	C PRK	79,000	27.06	2,137,740.00	-	-	-	-	135,801.00	6.4%
GMAC CAPITAL TRUST I 8.125% PRF 15/02/2040 USD25	ALLY PRA	80,000	25.99	2,079,200.00	-	-	-	-	167,760.00	8.1%
KARYOPHARM THERAPEUTICS INC COM	KPTI	25,000	4.13	103,250.00	07/12/18	467,106.00	18.68	(363,856.00)	-	-
UNITED TECHNOLOGIES CORP COM	UTX	15,000	125.67	1,885,050.00	06/20/18	1,882,944.30	125.53	2,105.70	44,100.00	2.3%
Total Stocks				**$52,806,161.05**		**$8,734,169.70**		**$977,551.25**	**$1,354,838.31**	**2.6%**
Fixed Income - Margin										
ASSURANT INC SUBORDINATED NOTE CALLABLE VAR 7% 03/27/2048	04621XAK4	2,000	$ 98.00	$ 1,960,000.00	-	$ -	$ -	$ -	$ 140,000.00	7.1%
CITIGROUP INC SUB PERPETUAL CLBL VAR 5.95% 12/31/2199	172967JZ5	3,000	101.25	3,037,500.00	-	-	-	-	178,500.00	5.9%
CITIZENS COMMUNICATION CO SENIOR NOTE M/W 7.125% 03/15/2019	17453BAW1	1,000	99.875	998,750.00	-	-	-	-	71,250.00	7.1%
EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023	30162RAA9	3,000	102.00	3,060,000.00	-	-	-	-	300,000.00	-
JBS INVESTMENTS GMBH SR GLBL REGS 24 7.25% 04/03/2024	A29866AB5	2,000	103.301	2,066,020.00	-	-	-	-	145,000.00	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Fixed Income - Margin										
JPMORGAN CHASE & CO. PERPETUAL CALLABLE QTRLY VAR 6.2205% 12/31/2199	46625HHA1	5,760	100.475	5,787,360.00	-	-	-	-	358,300.80	6.2%
LLOYDS BANKING GROUP ALT TIER I COCO 7.5% 12/31/2199	539439AG4	1,903	103.10	1,961,993.00	-	-	-	-	142,725.00	7.6%
Total Fixed Income				**$18,871,623.00**		**$0.00**		**$0.00**	**$1,335,775.80**	**7.1%**

Yields displayed for fixed income products are the estimated current yield. Income displayed for fixed income products is the estimated yearly income and may not take into account certain features of bonds such as variable rate bonds, maturing bonds or called bonds.

Total Margin Account				**$71,677,784.05**		**$8,734,169.70**		**$977,551.25**	**$2,690,614.11**	**3.8%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$1.09**
02/01/19	02/01/19	Cash	Journal - Other	MOVE CASH BALANCE TO MARGIN	-	-	$ 0.00	$ (1.09)	0.00
02/01/19	02/01/19	Margin	Journal - Other	MOVE CASH BALANCE TO MARGIN	-	-	0.00	1.09	1.09
02/01/19	02/01/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1.09)	0.00
02/11/19	02/11/19	Margin	Journal - Funds Deposited	TOA CASH BALANCE IN	-	-	0.00	3,534,144.52	3,534,144.52
02/11/19	02/11/19	Margin	Received -	ALIBABA GROUP HOLDING LTD ADR TOA FROM PERSHING DIV OF DONALDSON SD 02/11/2019 0443	BABA	5,000	0.00	-	3,534,144.52
02/11/19	02/11/19	Margin	Received -	ALPHABET INC COM CL C TOA FROM PERSHING DIV OF DONALDSON SD 02/11/2019 0443	GOOG	3,000	0.00	-	3,534,144.52

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Statement for Account # 867-730320

02/01/19 - 02/28/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
02/11/19	02/11/19	Margin	Received -	AMAZON COM INC COM TOA FROM PERSHING DIV OF DONALDSON SD 02/11/2019 0443	AMZN	1,000	0.00	-	3,534,144.52
02/11/19	02/11/19	Margin	Received -	BIOGEN INC COM TOA FROM PERSHING DIV OF DONALDSON SD 02/11/2019 0443	BIIB	2,095	0.00	-	3,534,144.52
02/11/19	02/11/19	Margin	Received -	CARVANA CO COM CL A TOA FROM PERSHING DIV OF DONALDSON SD 02/11/2019 0443	CVNA	25,000	0.00	-	3,534,144.52
02/11/19	02/11/19	Margin	Received -	KARYOPHARM THERAPEUTICS INC COM TOA FROM PERSHING DIV OF DONALDSON SD 02/11/2019 0443	KPTI	25,000	0.00	-	3,534,144.52
02/11/19	02/11/19	Margin	Received -	UNITED TECHNOLOGIES CORP COM TOA FROM PERSHING DIV OF DONALDSON SD 02/11/2019 0443	UTX	15,000	0.00	-	3,534,144.52
02/11/19	02/11/19	Margin	Journal - Funds Deposited	$5000 Offer	-	-	0.00	5,000.00	3,539,144.52
02/11/19	02/11/19	Margin	Journal - Funds Deposited	$10000 Offer	-	-	0.00	10,000.00	3,549,144.52
02/12/19	02/12/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(3,549,144.52)	0.00
02/28/19	02/28/19	Margin	Received -	JBS INVESTMENTS GMBH SR GLBL REGS 24 7.25% 04/03/2024 TOA FROM PERSHING DIV OF DONALDSON SD 02/28/2019 0443	A29866AB5	2,000	0.00	-	0.00

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
02/28/19	02/28/19	Margin	Received -	APPLE INC COM TOA FROM PERSHING DIV OF DONALDSON SD 02/28/2019 0443	AAPL	180,000	0.00	-	0.00
02/28/19	02/28/19	Margin	Received -	ASSURANT INC SUBORDINATED NOTE CALLABLE VAR 7% 03/27/2048 TOA FROM PERSHING DIV OF DONALDSON SD 02/28/2019 0443	04621XAK4	2,000	0.00	-	0.00
02/28/19	02/28/19	Margin	Received -	CITIGROUP INC SUB PERPETUAL CLBL VAR 5.95% 12/31/2199 TOA FROM PERSHING DIV OF DONALDSON SD 02/28/2019 0443	172967JZ5	3,000	0.00	-	0.00
02/28/19	02/28/19	Margin	Received -	CITIGROUP INC DEP SHS REPSTG 1/1000 PFD TOA FROM PERSHING DIV OF DONALDSON SD 02/28/2019 0443	C PRK	79,000	0.00	-	0.00
02/28/19	02/28/19	Margin	Received -	CITIZENS COMMUNICATION CO SENIOR NOTE M/W 7.125% 03/15/2019 TOA FROM PERSHING DIV OF DONALDSON SD 02/28/2019 0443	17453BAW1	1,000	0.00	-	0.00
02/28/19	02/28/19	Margin	Received -	EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023 TOA FROM PERSHING DIV OF DONALDSON SD 02/28/2019 0443	30162RAA9	3,000	0.00	-	0.00
02/28/19	02/28/19	Margin	Received -	GMAC CAPITAL TRUST I 8.125% PRF 15/02/2040 USD25 TOA FROM PERSHING DIV OF DONALDSON SD 02/28/2019 0443	ALLY PRA	80,000	0.00	-	0.00

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Statement for Account # 867-730320

02/01/19 - 02/28/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
02/28/19	02/28/19	Margin	Received -	JPMORGAN CHASE & CO. PERPETUAL CALLABLE QTRLY VAR 6.2205% 12/31/2199 TOA FROM PERSHING DIV OF DONALDSON SD 02/28/2019 0443	46625HHA1	5,760	0.00	-	0.00
02/28/19	02/28/19	Margin	Received -	LLOYDS BANKING GROUP ALT TIER I COCO 7.5% 12/31/2199 TOA FROM PERSHING DIV OF DONALDSON SD 02/28/2019 0443	539439AG4	1,903	0.00	-	0.00
02/28/19	02/28/19	Margin	Received -	APOLLO GLOBAL MANAGEMENT LLC CL A SHS TOA FROM PERSHING DIV OF DONALDSON SD 02/28/2019 0443	APO	263,157	0.00	-	0.00
02/28/19	02/28/19	Margin	Journal - Funds Deposited	$10000 Offer	-	-	0.00	10,000.00	10,000.00
02/28/19	02/28/19	Margin	Journal - Funds Deposited	$5000 Offer	-	-	0.00	5,000.00	15,000.00
02/28/19	02/28/19	Margin	Journal - Funds Deposited	$10000 Offer	-	-	0.00	10,000.00	25,000.00
02/28/19	02/28/19	Margin	Div/Int - Income	INTEREST CREDIT Payable: 02/28/2019	-	-	0.00	0.98	25,000.98
Closing Balance									**$25,000.98**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
02/11/19	$ -	$ 3,549,144.52	1	0.01	$ -	$ 0.97
02/28/19	-	25,000.00	1	0.01	-	0.01
Total Interest Income/(Expense)					**$ 0.00**	**$0.98**

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Statement for Account # 867-730320

02/01/19 - 02/28/19

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
02/01/19	$ 2,262,900.88	11	0.6978	$ 475.86	$ 475.86	$ -
02/12/19	5,812,045.40	17	0.6978	1,888.85	2,364.71	2,364.71
Total Interest Income						**$2364.71**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$2,262,899.79**
02/01/19	-	02/01/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 1.09	2,262,900.88
02/12/19	-	02/12/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	2,510,400.88
02/12/19	-	02/12/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	3,301,644.52	5,812,045.40
02/28/19	-	02/28/19	Received	Interest: Insured Deposit Account Bank USA	-	-	1,569.82	5,813,615.22
02/28/19	-	02/28/19	Received	Interest: Insured Deposit Account Fargo NA	-	-	132.48	5,813,747.70
02/28/19	-	02/28/19	Received	Interest: Insured Deposit Account of America	-	-	132.48	5,813,880.18
02/28/19	-	02/28/19	Received	Interest: Insured Deposit Account Bank NA	-	-	132.48	5,814,012.66
02/28/19	-	02/28/19	Received	Interest: Insured Deposit Account Bank NA	-	-	132.48	5,814,145.14
02/28/19	-	02/28/19	Received	Interest: Insured Deposit Account Bank USA	-	-	132.48	5,814,277.62

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Statement for Account # 867-730320

02/01/19 - 02/28/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
02/28/19	-	02/28/19	Received	Interest: Insured Deposit Account Bank NA	-	-	132.48	5,814,410.10
Closing Balance								**$5,814,410.10**
TD Bank NA							**$247,632.48**	
TD Bank USA NA							**$4,576,247.70**	
US Bank NA							**$247,632.48**	
Citi Bank NA							**$247,632.48**	
Bank of America NA							**$247,632.48**	
Wells Fargo NA							**$247,632.48**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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TD Ameritrade
PO BOX 2577
OMAHA NE 68103-2577

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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Statement Reporting Period:
03/01/19 - 03/31/19

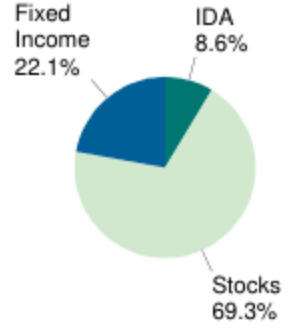
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$0.83	$25,000.98	($25,000.15)	-	$ -	-
Insrd Dep Acct (IDA)	6,953,479.15	5,814,410.10	1,139,069.05	19.6%	-	0.70%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	56,085,911.35	52,806,161.05	3,279,750.30	6.2%	1,354,838.31	2.4%
Short Stocks	-	-	-	-	-	-
Fixed Income	17,902,740.00	18,871,623.00	(968,883.00)	(5.1)%	1,264,525.80	7.1%
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$80,942,131.33**	**$77,517,195.13**	**$3,424,936.20**	**4.4%**	**$2,619,364.11**	**3.2%**
Margin Equity	**100.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$25,000.98	$ -
Securities Purchased	-	-
Securities Sold	1,000,000.00	1,000,000.00
Funds Deposited	(42,000.00)	4,534,144.52
Funds Disbursed	-	-
Income	152,332.08	153,584.15
Expense	-	-
Other	(1,135,332.23)	(5,687,727.84)
Closing Balance	$0.83	$0.83

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$11,025.00	$ -	$12,275.00
Interest	141,307.08	-	141,309.15
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$152,332.08	$0.00	$153,584.15

Performance Summary

Cost Basis As Of - 03/31/19 **	**$66,681,721.99**
Unrealized Gains	8,434,901.10
Unrealized Losses	(1,127,971.74)
Funds Deposited/(Disbursed) YTD	4,534,144.52
Income/(Expense) YTD	153,584.15
Securities Received/(Delivered) YTD	71,531,951.85

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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EFTA01268231

Statement for Account # 867-730320
03/01/19 - 03/31/19

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 1,000,000.00
Subtotal	0.00	1,000,000.00
TOTAL	**0.00**	1,000,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income - Securities	$ 141,306.25	$ 141,306.25
Interest Income Credit Balance	0.83	2.90
Qualified Dividends	11,025.00	12,275.00
IDA Interest	3,736.82	7,021.96

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ALIBABA GROUP HOLDING LTD ADR	BABA	5,000	$ 182.45	$912,250.00	06/10/15	$ 444,749.00	$ 88.95	$ 467,501.00	$ -	-
ALPHABET INC COM CL C	GOOG	3,000	1,173.31	3,519,930.00	02/02/18	3,226,807.29	1,075.60	293,122.71	-	-
AMAZON COM INC COM	AMZN	1,000	1,780.75	1,780,750.00	03/29/18	1,395,441.18	1,395.44	385,308.82	-	-
APOLLO GLOBAL MANAGEMENT LLC CL A SHS	APO	263,157	28.25	7,434,185.25	03/29/11	4,999,983.00	19.00	2,434,202.25	481,577.31	6.5%
APPLE INC COM	AAPL	180,000	189.95	34,191,000.00	12/07/17	30,566,543.05	169.81	3,624,456.95	525,600.00	1.5%
BIOGEN INC COM	BIIB	2,095	236.38	495,216.10	02/14/12	231,019.65	110.27	264,196.45	-	-
CARVANA CO COM CL A	CVNA	25,000	58.06	1,451,500.00	06/18/18	1,086,102.28	43.44	365,397.72	-	-

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
CITIGROUP INC DEP SHS REPSTG 1/1000 PFD	C PRK	79,000	27.07	2,138,530.00	02/03/14	1,999,418.90	25.31	139,111.10	135,801.00	6.4%
GMAC CAPITAL TRUST I 8.125% PRF 15/02/2040 USD25	ALLY PRA	80,000	26.04	2,083,200.00	02/22/12	1,882,773.60	23.53	200,426.40	167,760.00	8.1%
KARYOPHARM THERAPEUTICS INC COM	KPTI	25,000	5.84	146,000.00	07/12/18	467,106.00	18.68	(321,106.00)	-	-
UNITED TECHNOLOGIES CORP COM	UTX	15,000	128.89	1,933,350.00	06/20/18	1,882,944.30	125.53	50,405.70	44,100.00	2.3%
Total Stocks				**$56,085,911.35**		**$48,182,888.25**		**$7,903,023.10**	**$1,354,838.31**	**2.4%**
Fixed Income - Margin										
ASSURANT INC SUBORDINATED NOTE CALLABLE VAR 7% 03/27/2048	04621XAK4	2,000	$ 100.205	$ 2,004,100.00	03/29/18	$ 2,039,835.18	$ -	$ (35,735.18)	$ 140,000.00	7.0%
CITIGROUP INC SUB PERPETUAL CLBL VAR 5.95% 12/31/2199	172967JZ5	3,000	101.00	3,030,000.00	08/06/15	3,000,006.00	-	29,994.00	178,500.00	5.9%
EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023	30162RAA9	3,000	101.825	3,054,750.00	10/24/17	2,969,262.00	-	85,488.00	300,000.00	9.8%
JBS INVESTMENTS GMBH SR PRIVATE PLACEMENT CLBL M/W 7.25% 04/03/2024	A29866AB5	2,000	103.25	2,065,000.00	10/31/17	1,981,300.00	-	83,700.00	145,000.00	7.0%
JPMORGAN CHASE & CO. PERPETUAL CALLABLE QTRLY VAR 6.2205% 12/31/2199	46625HHA1	5,760	100.50	5,788,800.00	06/15/11	6,559,930.56	-	(771,130.56)	358,300.80	6.2%

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Fixed Income - Margin										
LLOYDS BANKING GROUP DEBENTURE CLBL QTRLY VAR CONV 7.5% 12/31/2199	539439AG4	1,903	103.00	1,960,090.00	04/10/14	1,948,500.00	-	11,590.00	142,725.00	7.3%
Total Fixed Income				**$17,902,740.00**		**$18,498,833.74**		**$(596,093.74)**	**$1,264,525.80**	**7.1%**

Yields displayed for fixed income products are the estimated current yield. Income displayed for fixed income products is the estimated yearly income and may not take into account certain features of bonds such as variable rate bonds, maturing bonds or called bonds.

Total Margin Account				**$73,988,651.35**		**$66,681,721.99**		**$7,306,929.36**	**$2,619,364.11**	**3.5%**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$25,000.98**
03/01/19	03/01/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ (25,000.98)	0.00
03/11/19	03/11/19	Margin	Div/Int - Income	UNITED TECHNOLOGIES CORP COM Payable: 03/10/2019 QUALIFIED DIVIDENDS11025.00	UTX	-	0.00	11,025.00	11,025.00
03/11/19	03/11/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(11,025.00)	0.00
03/11/19	03/11/19	Margin	Journal - Funds Deposited	Promo-Reversal	-	-	0.00	(10,000.00)	(10,000.00)
03/11/19	03/11/19	Margin	Journal - Funds Deposited	Promo-Reversal	-	-	0.00	(10,000.00)	(20,000.00)
03/11/19	03/11/19	Margin	Journal - Funds Deposited	Promo-Reversal	-	-	0.00	(10,000.00)	(30,000.00)
03/11/19	03/11/19	Margin	Journal - Funds Deposited	Promo-Reversal	-	-	0.00	(2,000.00)	(32,000.00)
03/11/19	03/11/19	Margin	Journal - Funds Deposited	Promo-Reversal	-	-	0.00	(5,000.00)	(37,000.00)
03/11/19	03/11/19	Margin	Journal - Funds Deposited	Promo-Reversal	-	-	0.00	(5,000.00)	(42,000.00)
03/12/19	03/12/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	42,000.00	0.00

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Statement for Account # 867-730320

03/01/19 - 03/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
03/15/19	03/15/19	Margin	Div/Int - Income	CITIZENS COMMUNICATION CO SENIOR NOTE M/W 7.125% 03/15/2019 Payable: 03/15/2019 Taxable Int Credited35625.00	17453BAW1	-	0.00	35,625.00	35,625.00
03/15/19	03/15/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(35,625.00)	0.00
03/15/19	03/15/19	Margin	Sell - Securities Sold	CITIZENS COMMUNICATION CO SENIOR NOTE M/W 7.125% 03/15/2019 PROCEEDS FROM MATURITY Auto Reorg#420911|TR|CASH PAYMENT	17453BAW1	1,000-	100.00	1,000,000.00	1,000,000.00
03/18/19	03/18/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,000,000.00)	0.00
03/27/19	03/27/19	Margin	Div/Int - Income	ASSURANT INC SUBORDINATED NOTE CALLABLE VAR 7% 03/27/2048 Payable: 03/27/2019 Taxable Int Credited70000.00	04621XAK4	-	0.00	70,000.00	70,000.00
03/27/19	03/27/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(70,000.00)	0.00
03/27/19	03/27/19	Margin	Div/Int - Income	LLOYDS BANKING GROUP DEBENTURE CLBL QTRLY VAR CONV 7.5% 12/31/2199 Payable: 03/27/2019 Taxable Int Credited35681.25	539439AG4	-	0.00	35,681.25	35,681.25
03/28/19	03/28/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(35,681.25)	0.00
03/29/19	03/29/19	Margin	Div/Int - Income	INTEREST CREDIT Payable: 03/29/2019	-	-	0.00	0.83	0.83
Closing Balance									**$0.83**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

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Statement for Account # 867-730320

03/01/19 - 03/31/19

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
03/15/19	$ -	$ 1,000,000.00	3	0.01	$ -	$ 0.82
03/27/19	-	35,681.25	1	0.01	-	0.01
Total Interest Income/(Expense)					**$ 0.00**	**$0.83**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
03/01/19	$ 5,839,411.08	10	0.6978	$ 1,116.32	$ 1,116.32	$ -
03/11/19	5,808,436.08	4	0.6978	444.16	1,560.48	-
03/15/19	5,844,061.08	3	0.6978	335.16	1,895.64	-
03/18/19	6,844,061.08	9	0.6978	1,177.54	3,073.18	-
03/27/19	6,914,061.08	1	0.6978	132.18	3,205.36	-
03/28/19	6,949,742.33	4	0.6978	531.43	3,736.79	3,736.79
Total Interest Income						**$3736.79**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$5,814,410.10**
03/01/19	-	03/01/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 25,000.98	5,839,411.08
03/11/19	-	03/11/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	11,025.00	5,850,436.08
03/12/19	-	03/12/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(42,000.00)	5,808,436.08
03/15/19	-	03/15/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	35,625.00	5,844,061.08
03/18/19	-	03/18/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	6,091,561.08

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Statement for Account # 867-730320

03/01/19 - 03/31/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
03/18/19	-	03/18/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	752,500.00	6,844,061.08
03/27/19	-	03/27/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	70,000.00	6,914,061.08
03/28/19	-	03/28/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	35,681.25	6,949,742.33
03/29/19	-	03/29/19	Received	Interest: Insured Deposit Account Bank USA	-	-	2,856.74	6,952,599.07
03/29/19	-	03/29/19	Received	Interest: Insured Deposit Account Fargo NA	-	-	146.68	6,952,745.75
03/29/19	-	03/29/19	Received	Interest: Insured Deposit Account of America	-	-	146.68	6,952,892.43
03/29/19	-	03/29/19	Received	Interest: Insured Deposit Account Bank NA	-	-	146.68	6,953,039.11
03/29/19	-	03/29/19	Received	Interest: Insured Deposit Account Bank NA	-	-	146.68	6,953,185.79
03/29/19	-	03/29/19	Received	Interest: Insured Deposit Account Bank USA	-	-	146.68	6,953,332.47
03/29/19	-	03/29/19	Received	Interest: Insured Deposit Account Bank NA	-	-	146.68	6,953,479.15

Closing Balance		**$6,953,479.15**
TD Bank NA	**$247,646.68**	
TD Bank USA NA	**$5,715,245.75**	
US Bank NA	**$247,646.68**	
Citi Bank NA	**$247,646.68**	
Bank of America NA	**$247,646.68**	
Wells Fargo NA	**$247,646.68**	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

BREAKPOINTS

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to http://www.finra.org/industry/issues/breakpoints. For more information on waiver eligibility, please refer to the fund prospectus.

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Important Information

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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Statement Reporting Period:
04/01/19 - 04/30/19

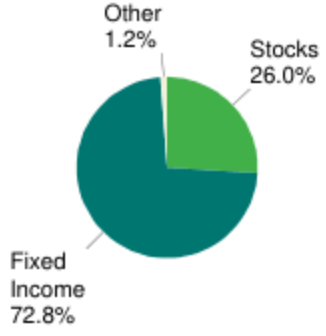
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	($149,196.34)	$0.83	($149,197.17)	-	$ -	-
Insrd Dep Acct (IDA)	150,808.99	6,953,479.15	(6,802,670.16)	(97.8)%	-	-
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	6,433,030.00	56,085,911.35	(49,652,881.35)	(88.5)%	347,661.00	5.4%
Short Stocks	-	-	-	-	-	-
Fixed Income	17,981,963.20	17,902,740.00	79,223.20	0.4%	1,264,525.80	7.0%
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$24,416,605.85**	**$80,942,131.33**	**($56,525,525.48)**	**(69.8)%**	**$1,612,186.80**	**6.6%**
Margin Equity	**99.0%**					

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$0.83	$ -
Securities Purchased	-	-
Securities Sold	1,435,255.25	2,435,255.25
Funds Deposited	-	4,534,144.52
Funds Disbursed	(8,550,808.99)	(8,550,808.99)
Income	162,073.78	315,657.93
Expense	-	-
Other	6,804,282.79	1,116,554.95
Closing Balance	($149,196.34)	($149,196.34)

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$12,275.00
Interest	162,073.78	-	303,382.93
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$162,073.78	$0.00	$315,657.93

Performance Summary

Cost Basis As Of - 04/30/19 **	**$24,275,526.06**
Unrealized Gains	924,465.20
Unrealized Losses	(784,998.06)
Funds Deposited/(Disbursed) YTD	(4,016,664.47)
Income/(Expense) YTD	315,657.93
Securities Received/(Delivered) YTD	18,199,251.91

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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EFTA01268241

Statement for Account # 867-730320
04/01/19 - 04/30/19

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 1,000,000.00
Subtotal	0.00	1,000,000.00
DEBITS		
Electronic Transfer	$ (8,550,808.99)	$ (8,550,808.99)
Subtotal	(8,550,808.99)	(8,550,808.99)
TOTAL	**(8,550,808.99)**	(7,550,808.99)

Income Summary Detail*

Description	Current	Year to Date
Interest Income - Securities	$ 162,073.76	$ 303,380.01
Interest Income Credit Balance	0.02	2.92
Qualified Dividends	0.00	12,275.00
IDA Interest	1,612.63	8,634.59

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
CITIGROUP INC DEP SHS REPSTG 1/1000 PFD	C PRK	79,000	$ 27.72	$ 2,189,880.00	02/03/14	$ 1,999,418.90	$ 25.31	$ 190,461.10	$ 135,801.00	6.2%
GMAC CAPITAL TRUST I 8.125% PRF 15/02/2040 USD25	ALLY PRA	80,000	26.30	2,104,000.00	02/22/12	1,882,773.60	23.53	221,226.40	167,760.00	8.0%
UNITED TECHNOLOGIES CORP COM	UTX	15,000	142.61	2,139,150.00	06/20/18	1,882,944.30	125.53	256,205.70	44,100.00	2.1%
Total Stocks				**$6,433,030.00**		**$5,765,136.80**		**$667,893.20**	**$347,661.00**	**5.4%**

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Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Fixed Income - Margin										
ASSURANT INC SUBORDINATED NOTE CALLABLE VAR 7% 03/27/2048	04621XAK4	2,000	$ 102.00	$ 2,040,000.00	03/29/18	$ 2,051,390.70	$ -	$ (11,390.70)	$ 140,000.00	6.9%
CITIGROUP INC SUB PERPETUAL CLBL VAR 5.95% 12/31/2199	172967JZ5	3,000	102.00	3,060,000.00	08/06/15	3,000,006.00	-	59,994.00	178,500.00	5.8%
EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023	30162RAA9	3,000	100.843	3,025,290.00	10/24/17	2,969,262.00	-	56,028.00	300,000.00	9.9%
JBS INVESTMENTS GMBH SR PRIVATE PLACEMENT CLBL M/W 7.25% 04/03/2024	A29866AB5	2,000	103.61	2,072,200.00	10/31/17	1,981,300.00	-	90,900.00	145,000.00	7.0%
JPMORGAN CHASE & CO. PERPETUAL CALLABLE QTRLY VAR 6.2205% 12/31/2199	46625HHA1	5,760	100.457	5,786,323.20	06/15/11	6,559,930.56	-	(773,607.36)	358,300.80	6.2%
LLOYDS BANKING GROUP DEBENTURE CLBL QTRLY VAR CONV 7.5% 12/31/2199	539439AG4	1,903	105.00	1,998,150.00	04/10/14	1,948,500.00	-	49,650.00	142,725.00	7.1%
Total Fixed Income				**$17,981,963.20**		**$18,510,389.26**		**$(528,426.06)**	**$1,264,525.80**	**7.0%**

Yields displayed for fixed income products are the estimated current yield. Income displayed for fixed income products is the estimated yearly income and may not take into account certain features of bonds such as variable rate bonds, maturing bonds or called bonds.

Total Margin Account				**$24,414,993.20**		**$24,275,526.06**		**$139,467.14**	**$1,612,186.80**	**6.6%**

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
DEBITS			
Electronic Transfer	04/09/2019	WIRE FUNDS WIRE SENT 04/09/2019 11:41:30	$ (3,000,000.00)
	04/16/2019	WIRE FUNDS WIRE SENT 04/16/2019 08:44:25	(5,400,000.00)

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Statement for Account # 867-730320
04/01/19 - 04/30/19

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
DEBITS			
	04/30/2019	WIRE FUNDS WIRE SENT 04/30/2019 14:46:04	$ (150,808.99)
Subtotal			(8,550,808.99)
TOTAL			**(8,550,808.99)**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**$0.83**
04/01/19	04/01/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.00	$ (0.83)	0.00
04/03/19	04/03/19	Margin	Div/Int - Income	JBS INVESTMENTS GMBH SR PRIVATE PLACEMENT CLBL M/W 7.25% 04/03/2024 Payable: 04/03/2019 Taxable Int Credited72500.00	A29866AB5	-	0.00	72,500.00	72,500.00
04/04/19	04/04/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(72,500.00)	0.00
04/09/19	04/09/19	Margin	- Funds Disbursed	WIRE FUNDS WIRE SENT 04/09/2019 11:41:30	-	-	0.00	(3,000,000.00)	(3,000,000.00)
04/10/19	04/10/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	3,000,000.00	0.00
04/12/19	04/16/19	Margin	Sell - Securities Sold	ALIBABA GROUP HOLDING LTD ADR Commission/Fee 5.00 Regulatory Fee 12.84	BABA	5,000-	188.2777	941,370.66	941,370.66
04/12/19	04/16/19	Margin	Sell - Securities Sold	BIOGEN INC COM Commission/Fee 5.00 Regulatory Fee 6.66	BIIB	2,095-	235.75	493,884.59	1,435,255.25
04/16/19	04/16/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,435,255.25)	0.00
04/16/19	04/16/19	Margin	- Funds Disbursed	WIRE FUNDS WIRE SENT 04/16/2019 08:44:25	-	-	0.00	(5,400,000.00)	(5,400,000.00)
04/17/19	04/17/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	5,400,000.00	0.00

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Statement for Account # 867-730320

04/01/19 - 04/30/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
04/24/19	04/24/19	Margin	Delivered -	APPLE INC COM DTC ACT FILE REC 46	AAPL	180,000-	0.00	-	0.00
04/25/19	04/25/19	Margin	Delivered -	KARYOPHARM THERAPEUTICS INC COM TOA TO CHARLES SCHWAB & CO SD 04/25/2019 0164	KPTI	25,000-	0.00	-	0.00
04/25/19	04/25/19	Margin	Delivered -	CARVANA CO COM CL A TOA TO CHARLES SCHWAB & CO SD 04/25/2019 0164	CVNA	25,000-	0.00	-	0.00
04/25/19	04/25/19	Margin	Delivered -	APOLLO GLOBAL MANAGEMENT LLC CL A SHS TOA TO CHARLES SCHWAB & CO SD 04/25/2019 0164	APO	263,157-	0.00	-	0.00
04/25/19	04/25/19	Margin	Delivered -	AMAZON COM INC COM TOA TO CHARLES SCHWAB & CO SD 04/25/2019 0164	AMZN	1,000-	0.00	-	0.00
04/25/19	04/25/19	Margin	Delivered -	ALPHABET INC COM CL C TOA TO CHARLES SCHWAB & CO SD 04/25/2019 0164	GOOG	3,000-	0.00	-	0.00
04/30/19	04/30/19	Margin	Div/Int - Income	JPMORGAN CHASE & CO. PERPETUAL CALLABLE QTRLY VAR 6.2205% 12/31/2199 Payable: 04/30/2019 Taxable Int Credited89573.76	46625HHA1	-	0.00	89,573.76	89,573.76
04/30/19	04/30/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(89,573.76)	0.00
04/30/19	04/30/19	Margin	- Funds Disbursed	WIRE FUNDS WIRE SENT 04/30/2019 14:46:04	-	-	0.00	(150,808.99)	(150,808.99)

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Statement for Account # 867-730320

04/01/19 - 04/30/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
04/30/19	04/30/19	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC Interest: Insured Deposit Account Bank USA Payable: 04/30/2019 Insured Deposit Account Interest 1183.29	MMDA10	-	0.00	1,612.63	(149,196.36)
04/30/19	04/30/19	Margin	Div/Int - Income	INTEREST CREDIT Payable: 04/30/2019	-	-	0.00	0.02	(149,196.34)
Closing Balance									**($149,196.34)**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
04/03/19	$ -	$ 72,500.00	1	0.01	$ -	$ 0.02
Total Interest Income/(Expense)					**$ 0.00**	**$0.02**

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
04/01/19	$ 6,953,479.98	3	0.6978	$ 398.79	$ 398.79	$ -
04/04/19	7,025,979.98	5	0.6978	671.58	1,070.37	-
04/09/19	4,025,979.98	7	0.6978	538.75	1,609.12	-
04/16/19	61,235.23	14	0.1499	3.52	1,612.64	1,612.64
04/30/19	152,421.62	1	0.0000	-	-	-
Total Interest Income						**$1612.64**

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Statement for Account # 867-730320

04/01/19 - 04/30/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$6,953,479.15**
04/01/19	-	04/01/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	$ 0.83	6,953,479.98
04/04/19	-	04/04/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	72,500.00	7,025,979.98
04/10/19	-	04/10/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(3,000,000.00)	4,025,979.98
04/16/19	-	04/16/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	247,500.00	4,273,479.98
04/16/19	-	04/16/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	1,187,755.25	5,461,235.23
04/17/19	-	04/17/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(3,976,235.23)	1,485,000.00
04/17/19	-	04/17/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	1,237,500.00
04/17/19	-	04/17/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	990,000.00
04/17/19	-	04/17/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	742,500.00
04/17/19	-	04/17/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	495,000.00

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Statement for Account # 867-730320

04/01/19 - 04/30/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
04/17/19	-	04/17/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(247,500.00)	247,500.00
04/17/19	-	04/17/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(186,264.77)	61,235.23
04/30/19	-	04/30/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	89,573.76	150,808.99

Closing Balance **$150,808.99**

TD Bank NA **$150,808.99**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
05/01/19 - 05/31/19

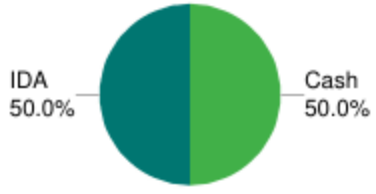
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TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	($0.38)	($149,196.34)	$149,195.96	-	$ -	-
Insrd Dep Acct (IDA)	0.38	150,808.99	(150,808.61)	(100.0)%	-	0.10%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	6,433,030.00	(6,433,030.00)	(100.0)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	17,981,963.20	(17,981,963.20)	(100.0)%	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$ 0.00**	**$24,416,605.85**	**($24,416,605.85)**	**(100.0)%**	**$ 0.00**	**0.0%**

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	($149,196.34)	$ -
Securities Purchased	-	-
Securities Sold	-	2,435,255.25
Funds Deposited	-	4,534,144.52
Funds Disbursed	(77,331.62)	(8,628,140.61)
Income	75,818.59	391,476.52
Expense	(100.00)	(100.00)
Other	150,808.99	1,267,363.94
Closing Balance	($0.38)	($0.38)

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$33,945.31	$ -	$46,220.31
Interest	41,873.28	-	345,256.21
Other	-	-	-
Expense			
Interest	-	-	-
Fees	(100.00)	-	(100.00)
Other	-	-	-
Net	$75,718.59	$0.00	$391,376.52

Performance Summary

Cost Basis As Of - 05/31/19 **	**$ -**
Unrealized Gains	-
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	(4,093,996.09)
Income/(Expense) YTD	391,376.52
Securities Received/(Delivered) YTD	(5,475,032.22)

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320
05/01/19 - 05/31/19

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 1,000,000.00
Subtotal	0.00	1,000,000.00
DEBITS		
Checks Paid	$ (0.38)	$ (0.38)
Electronic Transfer	(77,331.24)	(8,628,140.23)
Subtotal	(77,331.62)	(8,628,140.61)
TOTAL	**(77,331.62)**	(7,628,140.61)

Income Summary Detail*

Description	Current	Year to Date
Interest Income - Securities	$ 41,873.28	$ 345,253.29
Ordinary Dividends	(100.00)	(100.00)
Interest Income Credit Balance	0.00	2.92
Qualified Dividends	33,945.31	46,220.31
IDA Interest	0.38	8,634.97

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
DEBITS			
Checks Paid	05/31/2019	CASH DISBURSEMENT	$ (0.38)
Electronic Transfer	05/16/2019	WIRE FUNDS WIRE SENT 05/16/2019 08:42:50	(77,331.24)
Subtotal			(77,331.62)
TOTAL			**(77,331.62)**

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Statement for Account # 867-730320

05/01/19 - 05/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**($149,196.34)**
05/20/19	05/20/19	Cash	Received -	EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023 DTC ACT FILE REC 383	30162RAA9	3,000	$ 0.00	$ -	(149,196.34)
05/31/19	05/31/19	Cash	Delivered - Other	EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023 TRANSFER TO 867-730320-2	30162RAA9	3-	0.00	-	(149,196.34)
05/31/19	05/31/19	Cash	Delivered - Other	EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023 TRANSFER TO 867-730320-2	30162RAA9	2,997-	0.00	-	(149,196.34)
05/01/19	05/01/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	149,196.34	0.00
05/09/19	05/09/19	Margin	Div/Int - Expense	ALIBABA GROUP HOLDING LTD ADR ADR FEE Payable: 05/09/2019 Ordinary Dividends -100.00	BABA	-	0.00	(100.00)	(100.00)
05/10/19	05/10/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	100.00	0.00
05/15/19	05/15/19	Margin	Div/Int - Income	CITIGROUP INC DEP SHS REPSTG 1/1000 PFD Payable: 05/15/2019 QUALIFIED DIVIDENDS33945.31	C PRK	-	0.00	33,945.31	33,945.31
05/15/19	05/15/19	Margin	Div/Int - Income	GMAC CAPITAL TRUST I 8.125% PRF 15/02/2040 USD25 Payable: 05/15/2019 Taxable Int Credited41873.28	ALLY PRA	-	0.00	41,873.28	75,818.59
05/15/19	05/15/19	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(75,818.59)	0.00
05/16/19	05/16/19	Margin	- Funds Disbursed	WIRE FUNDS WIRE SENT 05/16/2019 08:42:50	-	-	0.00	(77,331.24)	(77,331.24)
05/16/19	05/16/19	Margin	Div/Int - Other	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC Interest: Insured Deposit Account Bank NA Payable: 05/31/2019 Insured Deposit Account Interest 0.38	MMDA10	-	0.00	0.38	(77,330.86)

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Statement for Account # 867-730320

05/01/19 - 05/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/17/19	05/17/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	77,330.86	0.00
05/20/19	05/20/19	Margin	Delivered -	ASSURANT INC SUBORDINATED NOTE CALLABLE VAR 7% 03/27/2048 DTC ACT FILE REC 49	04621XAK4	2,000-	0.00	-	0.00
05/20/19	05/20/19	Margin	Delivered -	LLOYDS BANKING GROUP DEBENTURE CLBL QTRLY VAR CONV 7.5% 12/31/2199 DTC ACT FILE REC 50	539439AG4	1,903-	0.00	-	0.00
05/20/19	05/20/19	Margin	Delivered -	JBS INVESTMENTS GMBH SR PRIVATE PLACEMENT CLBL M/W 7.25% 04/03/2024 DTC ACT FILE REC 51	A29866AB5	2,000-	0.00	-	0.00
05/20/19	05/20/19	Margin	Delivered -	CITIGROUP INC DEP SHS REPSTG 1/1000 PFD DTC ACT FILE REC 654	C PRK	79,000-	0.00	-	0.00
05/20/19	05/20/19	Margin	Delivered -	CITIGROUP INC SUB PERPETUAL CLBL VAR 5.95% 12/31/2199 DTC ACT FILE REC 655	172967JZ5	3,000-	0.00	-	0.00
05/20/19	05/20/19	Margin	Delivered -	EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023 DTC ACT FILE REC 656	30162RAA9	3,000-	0.00	-	0.00
05/20/19	05/20/19	Margin	Delivered -	GMAC CAPITAL TRUST I 8.125% PRF 15/02/2040 USD25 DTC ACT FILE REC 657	ALLY PRA	80,000-	0.00	-	0.00
05/20/19	05/20/19	Margin	Delivered -	JPMORGAN CHASE & CO. PERPETUAL CALLABLE QTRLY VAR 6.05275% 12/31/2199 DTC ACT FILE REC 658	46625HHA1	5,760-	0.00	-	0.00
05/20/19	05/20/19	Margin	Delivered -	UNITED TECHNOLOGIES CORP COM DTC ACT FILE REC 659	UTX	15,000-	0.00	-	0.00

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Statement for Account # 867-730320

05/01/19 - 05/31/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
05/30/19	05/30/19	Margin	Delivered -	EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023 DTC ACT FILE REC 5	30162RAA9	3-	0.00	-	0.00
05/31/19	05/31/19	Margin	Received - Other	EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023 TRANSFER FROM 867-730320-1	30162RAA9	3	0.00	-	0.00
05/31/19	05/31/19	Margin	Delivered -	EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023 DTC ACT FILE REC 866	30162RAA9	2,997-	0.00	-	0.00
05/31/19	05/31/19	Margin	Ck# 15356979 - Funds Disbursed	CASH DISBURSEMENT	-	-	0.00	(0.38)	(0.38)
05/31/19	05/31/19	Margin	Received - Other	EXELA INTERMEDIATE LLC SR SEC GLBL 23 10% 07/15/2023 TRANSFER FROM 867-730320-1	30162RAA9	2,997	0.00	-	(0.38)
Closing Balance									**($0.38)**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
05/01/19	$ 1,612.65	8	0.1000	$ 0.04	$ 0.04	$ -
05/09/19	1,512.65	6	0.1000	0.02	0.06	-
05/15/19	77,331.24	1	0.1499	0.32	0.38	0.38
05/16/19	77,331.62	1	0.0000	-	-	-
05/17/19	0.38	14	0.1000	-	-	-
Total Interest Income						**$0.38**

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Statement for Account # 867-730320

05/01/19 - 05/31/19

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$150,808.99**
05/01/19	-	05/01/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ (149,196.34)	1,612.65
05/10/19	-	05/10/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(100.00)	1,512.65
05/15/19	-	05/15/19	Received	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	75,818.59	77,331.24
05/17/19	-	05/17/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(77,330.86)	0.38
Closing Balance								**$0.38**

TD Bank NA **$0.38**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2019, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $3.3 billion and $469.4 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington D.C. and the Denver, CO office of the Commission.

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TD Ameritrade
PO BOX 2577
OMAHA NE 68103-2577

SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current statement reporting period are summarized. Individual transactions are not listed.

[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122779

EFTA01268258

TD Ameritrade

Statement Reporting Period:
06/01/19 - 06/30/19

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
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SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$0.03	($0.38)	$0.41	-	$ -	-
Insrd Dep Acct (IDA)	-	0.38	(0.38)	(100.0)%	-	-
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$0.03**	**$ 0.00**	**$0.03**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	($0.38)	$ -
Securities Purchased	-	-
Securities Sold	-	2,435,255.25
Funds Deposited	-	4,534,144.52
Funds Disbursed	(11,025.00)	(8,639,165.61)
Income	11,025.03	402,501.55
Expense	-	(100.00)
Other	0.38	1,267,364.32
Closing Balance	$0.03	$0.03

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$11,025.00	$ -	$57,245.31
Interest	0.03	-	345,256.24
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	(100.00)
Other	-	-	-
Net	$11,025.03	$0.00	$402,401.55

Performance Summary

Cost Basis As Of - 06/30/19 **	**$ -**
Unrealized Gains	-
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	(4,105,021.09)
Income/(Expense) YTD	402,401.55
Securities Received/(Delivered) YTD	(5,475,032.22)

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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Statement for Account # 867-730320
06/01/19 - 06/30/19

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 1,000,000.00
Subtotal	0.00	1,000,000.00
DEBITS		
Checks Paid	$ (11,025.00)	$ (11,025.38)
Electronic Transfer	-	(8,628,140.23)
Subtotal	(11,025.00)	(8,639,165.61)
TOTAL	**(11,025.00)**	(7,639,165.61)

Income Summary Detail*

Description	Current	Year to Date
Interest Income - Securities	$ 0.00	$ 345,253.29
Ordinary Dividends	0.00	(100.00)
Interest Income Credit Balance	0.03	2.95
Qualified Dividends	11,025.00	57,245.31
IDA Interest	0.00	8,634.97

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Online Cash Services Transaction Detail

Category	Transaction Date	Description	Amount
DEBITS			
Checks Paid	06/21/2019	CASH DISBURSEMENT	$ (11,025.00)
Subtotal			(11,025.00)
TOTAL			**(11,025.00)**

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									**($0.38)**
06/28/19	06/28/19	Cash	Div/Int - Income	INTEREST CREDIT Payable: 06/28/2019	-	-	$ 0.00	$ 0.03	(0.35)
06/03/19	06/03/19	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	0.38	0.03

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Statement for Account # 867-730320

06/01/19 - 06/30/19

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
06/10/19	06/10/19	Margin	Div/Int - Income	UNITED TECHNOLOGIES CORP COM Payable: 06/10/2019 QUALIFIED DIVIDENDS11025.00	UTX	-	0.00	11,025.00	11,025.03
06/21/19	06/21/19	Margin	Ck# 15437407 - Funds Disbursed	CASH DISBURSEMENT	-	-	0.00	(11,025.00)	0.03
Closing Balance									**$0.03**

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
06/10/19	$ -	$ 11,025.00	11	0.01	$ -	$ 0.03
Total Interest Income/(Expense)					**$ 0.00**	**$0.03**

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								**$0.38**
06/03/19	-	06/03/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT PLUS NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	$ (0.38)	0.00
Closing Balance								**$ 0.00**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2019, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $3.3 billion and $469.4 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington D.C. and the Denver, CO office of the Commission.

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Important Information

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

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OMAHA NE 68103-2577

SOUTHERN FINANCIAL LLC
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6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

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Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.[1]

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.[2] The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity\(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income2 and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

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[1]Due to rounding adjustments, the statement details may not equal the statement totals.
[2]Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 800-669-3900.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to $250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of the Securities Investor Protection Corporation ("SIPC"), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client $149.5 million worth of protection for securities and $2 million of protection for cash through supplemental coverage provided by London insurers.

In the event of a brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of $152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of $500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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EFTA_00122785

EFTA01268264

TD Ameritrade

Statement Reporting Period:
07/01/19 - 07/31/19

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$0.03	$0.03	$ -	-	$ -	-
Insrd Dep Acct (IDA)	-	-	-	-	-	-
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$0.03**	**$0.03**	**$ 0.00**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



Cash
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$0.03	$ -
Securities Purchased	-	-
Securities Sold	-	2,435,255.25
Funds Deposited	-	4,534,144.52
Funds Disbursed	-	(8,639,165.61)
Income	-	402,501.55
Expense	-	(100.00)
Other	-	1,267,364.32
Closing Balance	$0.03	$0.03

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$57,245.31
Interest	-	-	345,256.24
Other	-	-	-
Expense			
Interest	-	-	-
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Performance Summary

Cost Basis As Of - 07/31/19 **	**$ -**
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Unrealized Losses	-
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EFTA_00122786

EFTA01268265

Statement for Account # 867-730320
07/01/19 - 07/31/19

Online Cash Services Summary

Description	Current	Year To Date
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An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

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Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Non-Priced ("NP"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Non-Priced ("NP"). For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at http://www.tdameritrade.com/financialstatement.html.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions by an automated procedure.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected markets, brokers or dealers ("Market Centers"). Compensation is in the form of a per share cash payment. In addition, TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from Market Centers executing such trades. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.
(1) Tell us your name and account number.
(2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
(3) Tell us the dollar amount of the suspected error.
We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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TD Ameritrade

Statement Reporting Period:
08/01/19 - 08/31/19

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 867-730320
SOUTHERN FINANCIAL LLC
ATTN: JEFFREY EPSTEIN
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$0.03	$0.03	$ -	-	$ -	-
Insrd Dep Acct (IDA)	-	-	-	-	-	-
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	-	-	-	-	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	**$0.03**	**$0.03**	**$ 0.00**	**-**	**$ 0.00**	**0.0%**

Portfolio Allocation



Cash
100.0%

Cash Activity Summary

	Current	YTD
Opening Balance	$0.03	$ -
Securities Purchased	-	-
Securities Sold	-	2,435,255.25
Funds Deposited	-	4,534,144.52
Funds Disbursed	-	(8,639,165.61)
Income	-	402,501.55
Expense	-	(100.00)
Other	-	1,267,364.32
Closing Balance	$0.03	$0.03

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$ -	$ -	$57,245.31
Interest	-	-	345,256.24
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	(100.00)
Other	-	-	-
Net	$0.00	$0.00	$402,401.55

Performance Summary

Cost Basis As Of - 08/31/19 **	**$ -**
Unrealized Gains	-
Unrealized Losses	-
Funds Deposited/(Disbursed) YTD	(4,105,021.09)
Income/(Expense) YTD	402,401.55
Securities Received/(Delivered) YTD	(5,475,032.22)

****To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.**

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EFTA01268269

Statement for Account # 867-730320
08/01/19 - 08/31/19

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	$ -	$ 1,000,000.00
Subtotal	0.00	1,000,000.00
DEBITS		
Checks Paid	$ -	$ (11,025.38)
Electronic Transfer	-	(8,628,140.23)
Subtotal	0.00	(8,639,165.61)
TOTAL	**0.00**	(7,639,165.61)

Income Summary Detail*

Description	Current	Year to Date
Interest Income - Securities	$ 0.00	$ 345,253.29
Ordinary Dividends	0.00	(100.00)
Interest Income Credit Balance	0.00	2.95
Qualified Dividends	0.00	57,245.31
IDA Interest	0.00	8,634.97

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

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