# TECHNICAL SPECIFICATION

ISO/TS 16785

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## Electronic Fee Collection (EFC) — Interface definition between DSRC-OBE and external in-vehicle devices

Perception du télépéage — Définition de l'interface entre l'équipement à bord à communications dédiées à courte portée (DSRC-OBE) et les dispostifs externes embarqués



Reference number ISO/TS 16785:2014(E)

ISO/TS 16785:2014(E)



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#### **Foreword**

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The committee responsible for this document is ISO/TC 204, *Intelligent transport systems*.

#### Introduction

#### **Background and motivation**

With regards to reassessing the present fuel tax schemes to cope with prevailing plug-in hybrid vehicle and electric vehicle or introducing congestion charging system to urban roads or inter-urban roads etc., the needs for expanding toll roads are becoming worthy of notice in the world.

In countries where Dedicated Short-Range Communication (DSRC)-based Electronic Fee Collection (EFC) systems were introduced for toll roads and have been operated widely, making their EFC equipment applicable to present non-toll roads, such as urban roads or inter-urban roads, becomes a significant issue to be considered and solved.

There are three cases of introducing EFC to cope with those situations:

- Case-1: DSRC-based EFC should be introduced to new toll roads, as well as present toll roads.
- Case-2: Autonomous EFC should be introduced to new toll roads and present toll roads as replacing.
- Case-3: DSRC-based EFC should be operated for present toll roads as they are, and autonomous EFC should be introduced to new toll roads.

In case of both Case-1 and Case-2, necessary interface definitions and test procedures are already covered by existing EFC standards. However, in Case-3, as shown in Figure 1, current On-Board Equipment (OBE) used for DSRC-based EFC should be considered to be used for autonomous EFC covering new toll roads in keeping consistency with present toll roads.

DSRC-OBE should be expanded functionally by cooperating with external in-vehicle devices composed of a Global Navigation Satellite Systems (GNSS) module and/or a cellular module and/or other related modules; therefore, DSRC-OBE is possible to be reused for new EFC environment consisting of DSRC-based EFC and autonomous systems.

Consequently, an application interface definition between DSRC-OBE and external in-vehicle devices is essential and should be standardized.

Figure 1 — Image of expanding toll roads and services (Case-3)

#### **Purpose of this Technical Specification**

This Technical Specification aims to make it possible for toll road operators to introduce autonomous systems to present non-toll roads by enhancing the functionalities of DSRC-On-Board Equipment (OBE) cooperating with external in-vehicle devices.

As listed below, this Technical Specification defines several tolling models, message sets, and data elements to cope with diversified EFC environment in the main body, as well as data type definition and Protocol Implementation Conformance Statement (PICS) proforma defined in Annex A and Annex B respectively. Finally, applicable ITS-services with cooperation of DSRC-OBE and external in-vehicle devices are listed in Annex E with an example for each of them. This Technical Specification aims at defining the following:

- tolling models with external in-vehicle devices (in main body);
- definitions of message sets and data elements;
- data type definition and PICS proforma (in <u>Annexes A</u> and <u>B</u>);
- related example and applicable Intelligent Transport System (ITS) services (in <u>Annex E</u>).

## Electronic Fee Collection (EFC) — Interface definition between DSRC-OBE and external in-vehicle devices

#### 1 Scope

This Technical Specification defines an application interface between DSRC-based OBE and external invehicle devices to make DSRC-OBE applicable for diversified tolling environment.

The scope of this Technical Specification covers the following items (also shown in Figure 2);

- Definitions of the application interface between DSRC-OBE and external in-vehicle devices (including GNSS, cellular units, CAN interface, etc.).
- Definitions of message sets and data elements on the interface (based on a sets of base standards, such as ISO 14906:2011, ISO/TS 17575, ISO/TS 13141, ISO/TS 12813, and ISO/TS 25110).
- For use in autonomous EFC systems, as well as DSRC-based EFC.
- For use in diversified tolling environment (in toll roads, inner-urban, inter-urban, etc.).
- For use in every kind of DSRC-OBE (based on CEN, UNI, ARIB, TTA, and GB/T).

The following items are out of the scope for this TS:

- Definitions of hardware in the external in-vehicle devices such as GNSS modules, cellular modules, mobile devices, smartphones, etc.
- Definitions of physical interface between DSRC-OBE and external in-vehicle devices such as USB, Bluetooth, etc.
- Definition of any ITS service other than EFC.
- Definition of algorithms for authentication, as well as encryption, and key management.

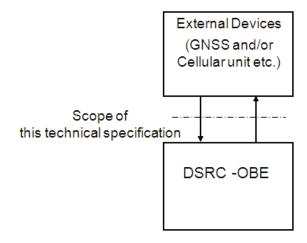


Figure 2 — Scope of this Technical Specification

#### **Applicable DSRC-OBE**

When standardizing an application interface between DSRC-OBE and external in-vehicle devices, external in-vehicle devices should be commonly applied for every kind of DSRC-based OBE as shown in Figure 3.

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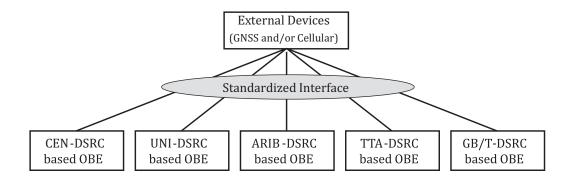


Figure 3 — Applicable DSRC-OBE

The solid and proven DSRC technology makes it possible for DSRC-OBE to have long product-life that enables DSRC-based EFC to be operated still in the future.

On the other hand, each component of external in-vehicle devices has been developed year by year to cope with user's demands on high performance, as well as multi-functional devices; therefore, they have shorter product-life rather than DSRC-OBE. Once an application interface is standardized, DSRC-OBE can be used continuously for diversified EFC environment with enhanced new external in-vehicle devices. See Figure 4.

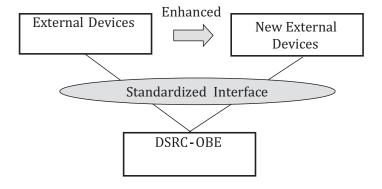


Figure 4 — Applicability for future upgrading

#### 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 9798-4:1999, Information technology — Security techniques — Entity authentication — Part 4: Mechanisms using a cryptographic check function

ISO 14906:2011, Electronic Fee Collection — Application interface definition for dedicated short-range communication

ISO/TS 17575-1:2010, Electronic Fee Collection — Application interface definition for autonomous systems — Part 1: Charging

ISO/TS 17575-3:2011, Electronic Fee Collection — Application interface definition for autonomous systems — Part 3: Context data

 ${\tt ISO/TS\,13141:2010}, \textit{Electronic Fee Collection} - \textit{Localisation augmentation communication for autonomous systems}$ 

 $ISO/TS\ 12813:2009, \textit{Electronic Fee Collection} - \textit{Compliance check communication for autonomous systems}$ 

#### 3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

#### 3.1

#### access credentials

trusted attestation or secure module that establishes the claimed identity of an object or application

#### 3.2

#### attribute

addressable package of data consisting of a single data element or structured sequences of data elements

#### 3.3

#### autonomous systems

one method of EFC that operate without relying on dedicated road-side infrastructure by employing wide-area technologies such as Global Navigation Satellite Systems (GNSS) and Cellular Communications Networks (CN)

#### 3.4

#### authenticator

data, possibly encrypted, that is used for authentication

#### 3.5

#### contract

expression of an agreement between two or more parties concerning the use of the road infrastructure

[SOURCE: ISO 14906:2011, 3.7]

#### 3.6

#### cryptography

principles, means, and methods for the transformation of data in order to hide its information content, prevent its undetected modification, or prevent its unauthorised use

[SOURCE: ISO 7498-2:1989, 3.3.20, modified]

#### 3.7

#### data group

class of closely related attributes

#### 3.8

#### external in-vehicle devices

devices such as mobile phones or dedicated units consisting of GNSS and/or cellular modules that are connected to DSRC-OBE for upgrading the functionalities of it

#### 3.9

#### issuer

entity responsible for issuing the payment means to the user

#### 3.10

#### on-board equipment

#### OBE

equipment located on-board a vehicle including nomadic devices with the function of exchanging information with external systems

Note 1 to entry: The OBE does not need to include payment means.

[SOURCE: ISO 14906:2011, 3.13]

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#### 3.11

#### on-board unit

OBU

minimum component of an *on-board equipment* [3.10], whose functionality always includes at least the support of the DSRC interface

[SOURCE: ISO 14906:2011, 3.14]

#### 3.12

#### roadside equipment

equipment located along the road, either fixed or mobile

#### 3.13

#### **Toll Service Provider**

entity providing toll services in one or more toll domains

Note 1 to entry: In other documents, the terms issuer or contract issuer may be used.

Note 2 to entry: The Toll Service Provider may provide the OBE or may provide only a magnetic card or a smart card to be used with OBE provided by a third party (like a mobile telephone and a SIM card that can be obtained from different parties).

Note 3 to entry: The Toll Service Provider is responsible for the operation (functioning) of the OBE with respect to tolling.

[SOURCE: ISO 17573:2010, 3.23, modified]

#### 3.14

#### transaction

whole of the exchange of information between two physically separated communication facilities

[SOURCE: ISO 14906:2011, 3.24, modified]

#### 3.15

#### transaction model

functional model describing the structure of electronic payment transactions

[SOURCE: ISO 14906:2011, 3.25]

#### 4 Symbols and abbreviated terms

For the purpose of this document, the following abbreviations apply throughout the document unless otherwise specified.

**ADU** Application Data Unit

**APDU** Application Protocol Data Unit

**ARIB** Association of Radio Industries and Businesses (Communication standardizing body in Japan)

ASN.1 Abstract Syntax Notation One (ISO/IEC 8824-1)

**CAN** Controller Area Network

**CCC** Compliance Check Communication (ISO/TS 12813)

**CE** Central Equipment

**DSRC** Dedicated Short-Range communication

**EFC** Electronic Fee Collection

**GB/T** Guojia Biaozhun/Tuijian (Chinese "Recommended National Standard")

**GNSS** Global Navigation Satellite Systems

**HMI** Human Machine Interface

ICC Integrated Circuit Card

**ITS** Intelligent Transport Systems

LAC Localization Augmentation Communication (ISO/TS 13141)

**OBE** On-board Equipment

**OBU** On-board Unit

PMI Payment Means Issuer

PICS Protocol Implementation Conformance Statement

**RSE** Roadside Equipment

**SAM** Secure Application Module

**TSP** Toll Service Provider

TTA Telecommunications Technology Association (Communication standardizing body in Korea)

**UNI** Ente Nazionale Italiano di Unificazione

**USB** Universal Serial Bus

#### 5 Tolling models with external in-vehicle devices

#### 5.1 General

Multi-functional DSRC-OBE supported with external in-vehicle devices makes current societies smarter with introduction to diversified EFC environment and further ITS services.

There are two kinds of settlement method in EFC, one is on-board account (settlement) system using payment media such as IC card and the other is central account (settlement) system not using payment media. In this Technical Specification, on-board account system in which operational procedure is more complex than central account system is selected as a basic model for diversified EFC.

In the on-board account system, payment media is normally connected to DSRC-OBE and toll amount determined by charging transaction processes between DSRC-OBE and RSE is directly deducted from payment media. On the other hand, payment means can be included in or connected to external invehicle devices such as mobile phones. The latter case is shown in <u>Annex D</u> in which various possible tolling systems other than those mentioned in this clause are introduced.

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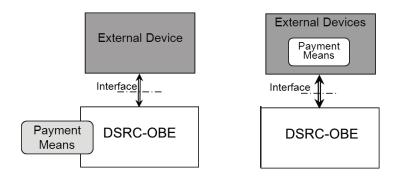


Figure 5 — Connection of payment means (left: in the main body, right: in Annex D)

#### 5.2 Applicable tolling services

Applicable tolling services provided by a combination of DSRC-OBE and external in-vehicle devices are shown in <u>Table 1</u>. The basic DSRC tolling is the present DSRC tolling service as described in <u>5.3</u> and DSRC-OBE is used as the basic unit for extended tolling services and other ITS services as described in <u>Annex E</u>. As one of extended tolling services, mobile-assisted DSRC tolling performs auto-loading function for payment means via cellular networks as described in <u>5.4</u>.

Universal tolling services both for DSRC tolling and autonomous system described in <u>5.5</u> that performed with DSRC-OBE and connected external in-vehicle devices are composed of a GNSS receiver, a CN device, and other optional components.

Tolling services	DSRC-		References						
	OBE	GNSS	CN device	НМІ	Motion sensors	Digital tachograph	CAN bus unit		
1. Basic DSRC tolling	M							5.3	
2. Mobile-assisted DSRC tolling	M		М					5.4	
3. Universal tolling	М	М	M	М	М	0	0	<u>5.5</u>	
M: Mandatory, O: Option									

Table 1 — Applicable tolling services with external in-vehicle devices

#### 5.3 Basic DSRC tolling

Present DSRC-OBE used for tolling with payment means and its operating environment is shown in Figure 5. Figure 5 shows prepayment settlement; however, post-payment settlement has the same environment except for reloading operation.

In case of prepayment settlement, the most inconvenient issue for users is reloading to payment means by the terminal installed at the toll plaza or service area along toll roads or contracted shops and so on before payment means getting low balance. See <u>Figure 6</u>.

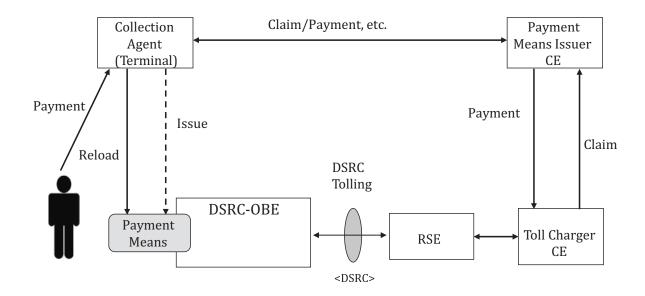


Figure 6 — Basic DSRC tolling

#### 5.4 Mobile-assisted DSRC tolling

#### 5.4.1 Operating environment

An expanded configuration of DSRC-OBE is connected with external in-vehicle devices such as mobile phones used for auto-reloading into the payment means instead of manually reloading through the terminal, which is shown in Figure 7.

This service is performed by DSRC-OBE connected with a mobile phone via an interface. In this system, when the remaining balance in the payment means lowers at the predetermined balance, reload data are transferred from the payment means issuer to DSRC-OBE through a mobile phone and then the payment means balance is updated. A detailed description is shown in Annex C.

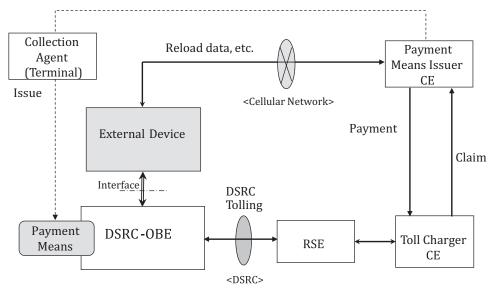


Figure 7 — Mobile-assisted DSRC tolling

#### 5.4.2 Message flows

Application message sequence between external in-vehicle devices and DSRC-OBE after exchanging data for connection and security are as follows and general message flows are shown in Figure 8.

- a) The payment means status is sent from DSRC-OBE to external in-vehicle devices after exchanging data for connection and security.
- b) If account Status in the payment means status is low or empty, external in-vehicle devices send Account update request to the payment means issuer through a cellular network.
- c) The payment means issuer sends Account update containing the predefined value data to external in-vehicle devices if User account is valid after checking.
- d) External in-vehicle devices send Account update to DSRC-OBE, then DSRC-OBE updates payment means.

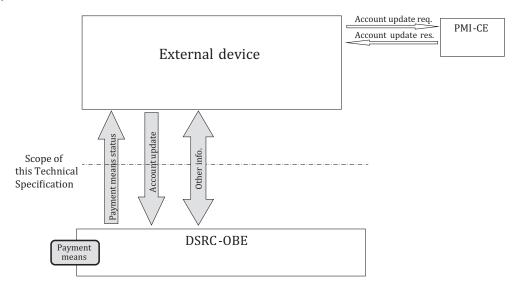


Figure 8 — Message flows for mobile-assisted DSRC tolling

#### 5.5 Universal tolling

#### 5.5.1 Operating environment

The other tolling model in which DSRC-OBE is connected with external in-vehicle devices is Universal tolling which supports both autonomous system and DSRC tolling as shown in <u>Figure 9</u>. In Universal tolling, external in-vehicle devices consist of various components listed in <u>Table 1</u>.

The minimum configuration of external in-vehicle devices is composed of a GNSS receiver, a cellular communication module, and a data processing module. The following components are considered as optional parts for implementation that depends on the requirements of autonomous system:

- a) HMI
- b) Motion sensors
- c) Digital tachograph
- d) CAN bus unit

External in-vehicle devices are connected with the payment means issuer for exchanging reload-related message and with Toll Service Provider for exchanging autonomous tolling-related message defined by ISO/TS 17575-1 through cellular networks.

DSRC-OBE is connected with individual RSEs to communicate transactions for DSRC Tolling, Compliance Checking, and Localization through DSRC.

| Compliance Checking, and Localization through DSRC. | Payment Means Issuer | Payment

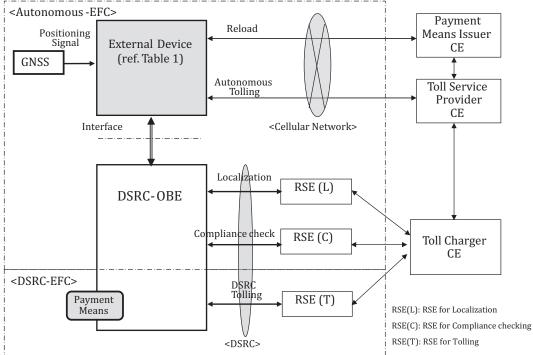


Figure 9 — Universal tolling

#### 5.5.2 Message sequences and flows

Application message flows between external in-vehicle devices and DSRC-OBE after exchanging data for the initial connection and the authentication are shown in <u>Figure 10</u>. With message exchanges between DSRC-OBE and external in-vehicle devices, the following processes are executed.

a) Auto-reloading process for payment means

Payment means status

Account update

b) Payment process

Payment fee

c) Compliance check process

Compliance check data (C.C data)

d) Localization process

Localization data (LAC data)

e) HMI process etc.

Others

Message exchanges between external in-vehicle devices and the payment means issuer are Account update request and response relating with Auto-reloading of payment means. Message exchanges between external in-vehicle devices and the Toll Service Provider are Charge report and Context data defined by ISO/TS 17575-3.

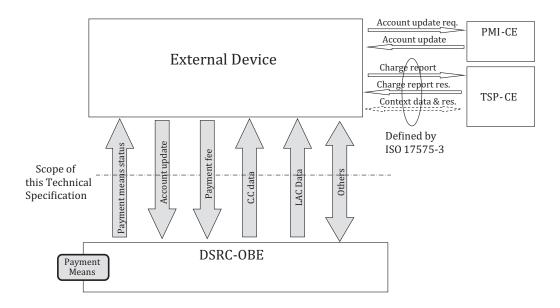


Figure 10 — Message flows for Universal tolling

## 6 Message sets

#### 6.1 General

Message sets exchanged on the interface between DSRC-OBE and external in-vehicle devices are listed in  $\underline{\text{Table 2}}$  and each data element id defined in the latter sub-clauses.

Table 2 — Message sets

Mes	sage sets	Description	References		
Name	Data elements				
1. Payment means status	paymentMode	Defines payment mode of payment means	Newly defined		
	accountStatus	Indicates card status such as positive value for tolling or not, and other information	ISO/TS 17575-1		
	paymentMeansBalance (valueData)	Indicates balance (value) data of payment means	ISO 14906:2011		
	paymentMeans	Defines the characteristics of payment means.	ISO 14906:2011		
2. Payment fee	paymentFeeAmount	Defines the value of the fee being charged for the service.	ISO 14906:2011		
	paymentFeeUnit	Defines the unit in which the fee is expressed.	ISO 14906:2011		
3. Account update	reloadAccount	Instructs the OBE application to either top up the respective type of account with a predefined value or not.	ISO/TS 17575-1		
	setAccount	Sets a specific value to the account.	ISO/TS 17575-1		
	addToAccount	Adds a specific value to the account	ISO/TS 17575-1		

 Table 2 (continued)

Me	ssage sets	Description	References
Name	Data elements		
4. Compliance checking data	timeOfCCCRecord	The time at which CCC transaction occurred.	ISO/TS 17575-1
(CCCAttributes)	axlesHistory	Used to check if the change of the declared number of axles occurred during the trip, e.g. just before a CCC.	ISO/TS 12813
	communicationStatus	Used to check if the toll data communication is operational (not tampered with).	ISO/TS 12813
	gnssStatus	Used to check if GNSS reception is operational (not tampered with).	ISO/TS 12813
	distRecStatus	Used to check distance recording accuracy using two successive beacon, or quality of the odometer's signal.	ISO/TS 12813
	activeContext	Used to check if the current context(s) are active in the OBE.	ISO/TS 12813
	obeHistory	Used to prevent fraud by incorrect deactivation while in transit.	ISO/TS 12813
5. Localization data (LacData)	LACOperator	Identify the organization that operates LAC.	ISO/TS 13141
	rSEId	Identify an operator-specific identification of the RSE.	ISO/TS 13141
	latitude	The latitudinal coordinate of the centre of the road surface covered by the specific LAC implementation.	ISO/TS 13141
	longitude	The longitudinal coordinate of the centre of the road surface covered by the specific LAC implementation.	ISO/TS 13141
	Altitude	The altitudinal coordinate of the centre of the road surface covered by the specific LAC implementation.	ISO/TS 13141
	tollCharger	Identify the Toll Charger that owns the toll scheme for which LAC is operated.	ISO/TS 13141
	chargeObject	Identify charge object for which LAC is operated, according to the local definition of the Toll Charger owning the respective toll scheme,e.g. road section, passage of cordon.	ISO/TS 13141 ISO/TS 17575-1
	distanceToObject	The distance in metres to the charge object as identified by the element chargeObject, from the point of operation of the LAC.	ISO/TS 13141
	lACTime	The time at which the LAC transaction occurred.	ISO/TS 13141
	mAC1	Security-related data regarding the LacData.	ISO/TS 13141
	mAC2	Security-related data regarding the LacData.	ISO/TS 13141

#### 6.2 Payment means status

#### 6.2.1 Payment means status

Payment means have the following status information which is checked for payment mode and validation before payment process.

#### 6.2.2 paymentMode

Payment means have the following respective payment mode as defined and operated by Issuers.

```
Pre-payment (prepaid card)
Post-payment (credit card or other post-pay card)
immediate payment (bank card)
others
```

#### 6.2.3 accountStatus

In case of pre-payment, external in-vehicle devices can get the status of the respective account from DSRC-OBE. The respective data element account Status can have the following values:

```
ok, i.e. contains a positive value above a defined threshold low, i.e. contains a positive value below a defined threshold empty, i.e. contains the value zero negative, i.e. contains a value below zero
```

#### 6.2.4 paymentMeansBalance (valueData)

In case of pre-payment, external in-vehicle devices have an option to get the payment means balance (value data) from the payment means directly by sending the read command to it through DSRC-OBE.

#### 6.2.5 paymentMeans

In case of post-payment, the data element paymentMeans is a unique identification of an individual road user account. The respective data type is defined in ISO 14906. It consists of the following data elements which indicate the issue's specified restrictions, on the geographic usage and service allowed for the applications.

```
personalAccountNumber
paymentMeansExpiryDate
paymentMeansUsageControl
```

#### 6.3 Payment fee

#### 6.3.1 PaymentFee

The fee (toll, charge, or fare) is defined as requested by the service provider for the service provided or to be provided.

#### 6.3.2 paymentFeeAmount

The data element paymentFeeAmount is the value of the fee being charged for the service.

#### 6.3.3 payment FeeUnit

The data element paymentFeeUnit is the unit in which the fee is expressed.

#### 6.4 Account update

#### 6.4.1 AccountUpdate

In case of Pre-payment, the account of payment means can be updated by PMI-CE through a Cellular network. The respective data element AccountUpdate consists of the following three parts providing three options for updating the account:

Either a predefined value is added to the current balance (through the data element reloadAccount) or the new balance is explicitly transmitted (through the data element setAccount). The third option adds a given quantity to the account (through the data element addToAccount). The update sets limits in credit, distance, time (defining a point of time until expiry), duration (defining duration until expiry), or a number of detected events.

#### 6.4.2 reloadAccount

A predefined value is added to the current balance through the data element reloadAccount. The predefined value must be set in advance, either by the initial configuration process for the frontend or using the update mechanism.

Security measures can be applied to the data element reloadAccount using the element reloadAuthenticator.

#### 6.4.3 setAccount

The new balance is explicitly transmitted through the data element setAccount. The Data element setAccount is of type newAccountLimit, which sets the account to a specific value.

Security measures can be applied to the data element newAccount using the element newAuthenticator.

#### 6.4.4 addToAccount

The third option adds a given quantity to the account through the data element addToAccount. The Data element addToAccount is of type AddToAccount, which adds a specific value to the account.

Security measures can be applied to the data element addToAccount using the element addAuthenticator.

#### 6.5 Compliance checking data (CCCAttributes)

The data in the structure CCCAttributes are defined in and imported from ISO/TS 17575-1 and ISO/TS 12813.

#### 6.6 Localization data (LacData)

The data in the structure LacData are defined in and imported from ISO/TS 13141.

#### 7 Security aspects

#### 7.1 General

The interface between DSRC-OBE and external in-vehicle devices is exposed to being attacked so the following requirements should be taken into account (referring to requirements listed in CEN/TS 16439 EFC Security Framework):

— data exchange shall only be done between authenticated entities for the respective data (RQ.IF.20);

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- data exchange shall guarantee data confidentially (RQ.IF.10);
- data exchange shall guarantee data integrity (RQ.IF.11).

To meet the above requirements, mutual authentication between DSRC-OBE and external in-vehicle devices, an encryption for exchanged data, and message authentication for manipulation checking should be the essential security measures.

A conformance test of DSRC-OBE relating to security measures should be conducted with being connected to external in-vehicle devices, as well as implemented EFC functions required both for DSRC tolling and autonomous systems.

#### 7.2 OBE interface profile

Mutual authentication between DSRC-OBE and external in-vehicle devices should be three-way authentication based on ISO/IEC 9798-4, and an encryption should be applied for exchanged data on the interface. However, definition of algorithm for authentication and encryption and key management should be out of scope of this Technical Specification. See Figure 11.

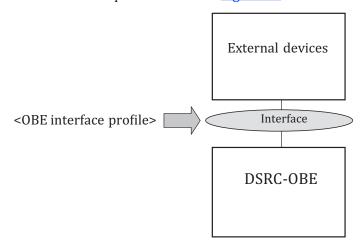


Figure 11 — OBE interface profile

### Annex A

(normative)

## Data type specification

This clause contains the ASN.1 definition of

- the data types related to the Tolling models described in <u>Clause 5</u>,
- the data types related to the Message sets as specified in <u>Clause 6</u>,
- the ASN.1 container types for ISO Layer 7,

using the Abstract Syntax Notation One (ASN.1) technique according to ISO/IEC 8824-1. The packed encoding rules according to ISO/IEC 8825-2 shall be applied.

```
EfcDsrcObeExtDevices {iso(1) standard(0) 16785 version1(1)}
DEFINITIONS AUTOMATIC TAGS
::= BEGIN
IMPORTS
TollCharger, AccountStatus, ChargeObjectId, ReloadAccount, NewAccountLimit, AddToAccount
FROM ChargingModule {iso standard 17575 modules(0) efc(0) version(1)}
-- Imports data attributes and elements from ISO 17575-1
Latitude, Longitude, VehicleAxlesHistory, CommunicationStatus, GnssStatus,
DistanceRecordingStatus, ActiveContext, OBEStatusHistory
FROM CccModule {iso standard 12813 modules(0) ccc(0) version(1)}
-- imports data attributes and elements from ISO 12813
Time
FROM DSRCData {iso standard 14906 modules (0) dsrc (1) version (1)}
PaymentMeansBalance, PaymentMeans, PayUnit, DateAndTime, Provider
FROM EfcModule {iso standard 14906 modules(0) efc(0) version(1)};
-- imports data attributes and elements from the efc module of ISO 14906:2011
-- Note the followings are the definitions of the Message sets
-- Level 1 --
PaymentMeansStatus::= SEQUENCE{
      paymentMode
                                         Int2,
      paymentMode Int2, accountStatus AccountStatus,
      paymentMeansBalance PaymentMeansBalance,
                                 PaymentMeans
      paymentMeans
PaymentFee::=
                          SEQUENCE {
      paymentFeeAmount Int2,
      paymentFeeUnit
                                  PayUnit
AccountUpdate::= CHOICE {
      reloadAccount ReloadAccount, setAccount NewAccount addToAccount AddToAccount
                            NewAccountLimit,
```

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```
CCCAttributes::= SEQUENCE {
       timeOfCCCRecord DateAndTime OPTIONAL,
axlesHistory VehicleAxlesHistory OPTIONAL,
commStatus CommunicationStatus OPTIONAL,
gnssStatus GnssStatus OPTIONAL,
distRecStatus DistanceRecordingStatus OPTIONAL,
activeContext ActiveContext OPTIONAL,
obeHistory OBEStatusHistory OPTIONAL
                                            CommunicationStatus OPTIONAL,
        obeHistory
                                            OBEStatusHistory OPTIONAL
LacData: = SEQUENCE {
        1ACOperator
                                            Provider,
        rSEId
                                            Int2,
                                           Latitude,
        latitude
        longitude
                                           Longitude,
        altitude
                                            INT2Signed,
        tollCharger TollCharge ChargeObject ChargeObjectId, distanceToObject INT2Signed,
                                            TollCharger,
        lACtime
                                                     Time,
                                           OCTET STRING (SIZE (8)),
        mAC1
        mAC2
                                            OCTET STRING (SIZE (8))
        }
-- Level 2 --
-- NOTE: The following are the definitions of the Payment related data elements
SignedValue::= CHOICE {
                                   INTEGER (0..8388607),
      positive
        negative
                                   INTEGER (-8388608..-1)
Int2::=
                         INTEGER (0..65535)
INT2Signed::= INTEGER (-32768..32767)
END
```

### **Annex B**

(normative)

## **Protocol Implementation Conformance Statement proforma**

#### **B.1** Introduction

This clause contains the Protocol Implementation Conformance Statements (PICS) proforma to be used for Front-End implementation of the charge report protocol defined in <u>Clause 6</u> and <u>Annex A</u>.

#### **B.2** Message sets

Element	Status	Implemented
Payment means status	optional	Yes/No
Payment fee	optional	Yes/No
Account update	optional	Yes/No
Compliance checking data (CCCAtribute)	optional	Yes/No
Localization data (LacData)	optional	Yes/No
Other data	optional	Yes/No

#### **B.3** Data elements

#### **B.3.1** Payment means status

Element	Status	Implemented
paymentMode	optional	Yes/No
accountStatus	optional	Yes/No
paymentMeansBalance (Value data)	optional	Yes/No
paymentMeans	optional	Yes/No

#### **B.3.2** Payment fee

Element	Status	Implemented
paymentFeeAmount	optional	Yes/No
paymentFeeUnit	optional	Yes/No

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## **B.3.3** Account update

Element	Status	Implemented			
reloadAccount	optional	Yes/No			
setAccount	optional	Yes/No			
addToAccount	optional	Yes/No			

## **B.3.4** Compliance checking data

Account type	Status	Implemented
timeOfCCCRecord	optional	Yes/No
axleHistory	optional	Yes/No
commStatus	optional	Yes/No
gnssStatus	optional	Yes/No
disRecStatus	optional	Yes/No
activeContext	optional	Yes/No
obeHistory	optional	Yes/No

#### **B.3.5** Localization data

Account type	Status	Implemented
LACOperator	optional	Yes/No
rSEId	optional	Yes/No
latitude	optional	Yes/No
longitude	optional	Yes/No
altitude	optional	Yes/No
tollCharger	optional	Yes/No
chargeObject	optional	Yes/No
DistanceToObject	optional	Yes/No
lACTime	optional	Yes/No
mAC1	optional	Yes/No
mAC2	optional	Yes/No

## **Annex C** (informative)

## Mobile-assisted DSRC tolling in Korea

#### C.1 General

This chapter describes the services and procedures used for ICC reload transaction, via Bluetooth communication between OBU and a mobile phone in Korea. See Figure C.1.

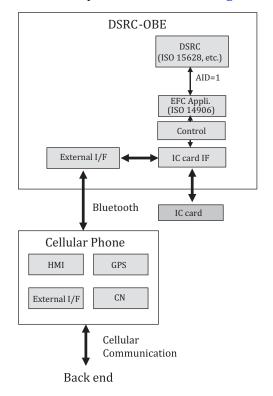


Figure C.1 — Configuration of Bluetooth OBU service

A Bluetooth OBU mainly consists of a DSRC communication unit, a Bluetooth communication unit, an ICC interface unit, and an HMI unit. After registering the Bluetooth OBU to the driver's mobile phone, several services are possible such as

- ICC reload,
- ICC balance low limit setting (In case of lack of balance, voice and message inform),
- Reload amount of money setting (auto reload),
- ICC debit notice (voice and message inform), and
- ICC transaction log query (up to 50), and etc.

In addition to the 5% to 20% debit discount in the ETC lane, 3% credit overcharging benefit is given if the Bluetooth OBU is used at reload transaction.

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#### **C.2** Services description

Once Bluetooth communication link is set up between OBU and a mobile phone, the mobile phone sends ICC APDU command directly to ICC and receives ICC response back, so that all kinds of ICC-related transaction can take place such as ICC reload, ICC debit query, ICC transaction log query, ICC info query, and so on.

#### C.3 Reload transaction

ICC reload procedure is as follows:

- a) Driver runs ICC reload application on mobile phone.
- b) Mobile phone links with OBU via Bluetooth communication.
- c) Mobile phone links with backend system via cellular network (user's account, Host SAM).
- d) Driver inputs the amount of money for ICC reload on the mobile phone.
- e) According to reload transaction flow in application software, the mobile phone sends ICC command to OBU and SAM command to backend Host SAM.
- f) OBU transfers its command to ICC. If any of ICC response is returned, OBU transfers its response to mobile phone.
- g) Backend system transfers its command to Host SAM. If any of Host SAM responses is returned, backend system transfers its response to the mobile phone.
- h) After a successful ICC reload transaction is finally done, the mobile phone informs the driver of the transaction result by using voice and message.

#### **C.4** Debit transaction

If ICC debit transaction takes place at the ETC toll plaza, regardless of correct or incorrect transaction, this event is delivered from OBU to a mobile phone via Bluetooth communication. Because the Bluetooth link between OBU and the mobile phone is broken in normal situation, OBU attempts to link setup with the mobile phone and delivers the ICC debit transaction result to the mobile phone. Afterwards, the mobile phone informs the driver of the transaction result by using voice message.

#### C.5 ASN.1-module

```
OBU-MessageType::=CHOICE{
      obu-version-request
                                        [0]
                                              OBU-version-request,
      obu-version-response
                                        [1]
                                               OBU-version-response,
      obu-info-request
                                        [2]
                                               OBU-info-request,
      obu-info-response
                                        [3]
                                               OBU-info-response
      obu-register-inform
                                        [4]
                                               OBU-register-inform,
      obu-register-acknowledge
                                        [5]
                                               OBU-register-acknowledge,
      bt-zone-exit-inform
                                        [6]
                                               BT-zone-exit-inform,
      bt-zone-exit-acknowledge
                                        [7]
                                               BT-zone-exit-acknowledge,
                                        [8]
                                               BT-zone-entry-inform,
      bt-zone-entry-inform
      bt-zone-entry-acknowledge
                                        [9]
                                               BT-zone-entry-acknowledge,
ICC-MessageType::=CHOICE{
      icc-power-on-request
                                        [0]
                                               ICC-power-on-request,
      icc-power-on-response
                                        [1]
                                               ICC-power-on-response,
                                               ICC-power-off-request,
      icc-power-off-request
                                        [2]
                                               ICC-power-off-response,
      icc-power-off-response
                                        [3]
      icc-apdu-exchange-request
                                        [4]
                                               ICC-APDU-exchange-request,
      icc-apdu-exchange-response
                                        [5]
                                              ICC-APDU-exchange-response,
      icc-apdu-exchange-stop-request
                                        [6]
                                              ICC-APDU-exchange-stop-request,
      icc-apdu-exchange-stop-response
                                       [7]
                                               ICC-APDU-exchange-stop-response,
```

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```
icc-info-query-request [8] ICC-info-query-request,
icc-info-query-response [9] ICC-info-query-response,
icc-reload-query-request [10] ICC-reload-query-request,
icc-reload-query-response,

}
```

## **Annex D** (informative)

## Other possible tolling models

#### D.1 General

As essential tolling models performed by DSRC-OBE connected to external in-vehicle devices that are described in <u>Clause 5</u>, other possible tolling models are introduced in <u>Annex D</u>. Furthermore, mobile payment and "Touch and Go" payment without DSRC-OBE are also introduced for references.

Touch and Go payment method, simplified EFC performed with transaction processing between payment means and roadside reader, is introduced widely in Asian countries because of low installation cost and no-OBE required.

#### D.2 DSRC-assisted mobile payment

Anonymous mobile payment is performed by connecting DSRC-OBE and a mobile phone with a payment function that has prevailed in the markets.

Functions and process flows are described below.

- a) Charge amount is determined by transaction exchanges between DSRC-OBE and RSE.
- b) Determined charge amount is transferred to external in-vehicle devices.
- c) Charge amount is deducted from payment means imbedded in external in-vehicle devices.
- d) External in-vehicle devices transfer payment information to DSRC-OBE and mobile service provider through CN as well.

Anonymous payment is performed because payment should be done for Toll charger without identifying user directly. See Figures D.1 and D.2.

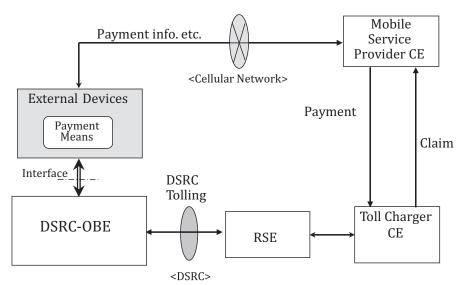


Figure D.1 — DSRC-assisted mobile payment

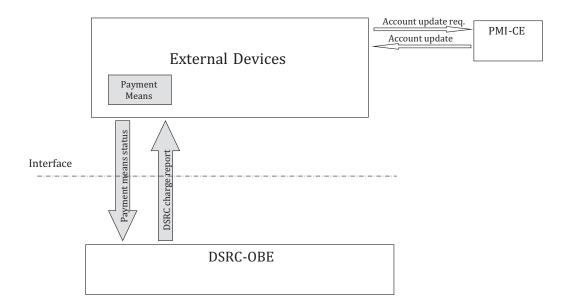


Figure D.2 — Message flows for DSRC-assisted mobile payment

#### D.3 Mobile payment

Mobile payment is simplified DSRC-assisted mobile payment and the functions and process flows are described below and shown in Figure D.3.

- a) Charge amount is determined by transaction exchanges between external in-vehicle devices and RSE through NFC interface.
- b) Determined charge amount is deducted from payment means imbedded in external in-vehicle devices.
- c) External in-vehicle devices transfer payment information to mobile service provider through CN.

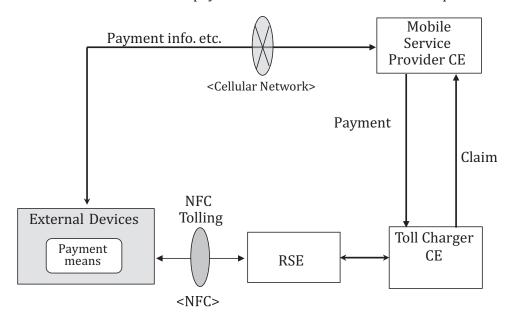


Figure D.3 — Mobile payment

#### D.4 Touch and Go payment

The most simplified EFC is Touch and Go payment in which user handles the payment means to touch the RSE. Payment means should have NFC interface. Touch and Go payment is introduced in Asian countries such as Malaysia, Korea, etc. as simplified EFC along with on-board account EFC.

In case of low balance or near low balance, payment means should be reloaded by the terminal installed at a toll plaza or service area along toll roads or contracted shops and so on. See Figure D.4.

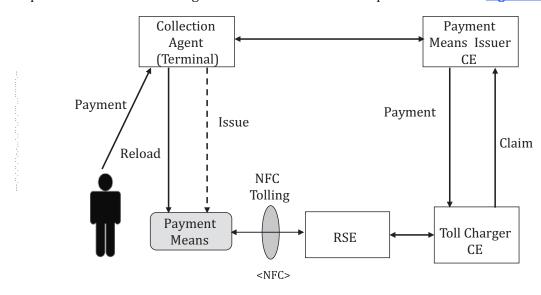


Figure D.4 — Touch and Go payment

## **Annex E** (informative)

## **Applicable ITS services**

### E.1 Applicable ITS services with external in-vehicle devices

Applicable ITS services supported by several combinations of DSRC-OBE and external in-vehicle devices are shown in <u>Table E.1</u>. As an example, ITS services in Japan are introduced briefly hereinafter.

Table E.1 — Applicable ITS services with external in-vehicle devices

ITS services		DSRC-	Components of external in-vehicle devices <sup>a</sup>							Adapted	
		OBE	GNSS	CN module	НМІ		Digital tachograph	Driver records device	Driving licence reading device	bus	for (plan)
1. Tolling services	Basic DSRC tolling	M									Globally
	Mobile-as- sisted DSRC tolling	М		M							KR-ETC
	Universal tolling	M	М	М	M	М	0			0	Globally
	DSRC-as- sisted mobile payment	M		М							
2. Road traffic informa-	Probe information	M	М			М					JP-ITS
tion	Dynamic route guidance	М			M						JP-ITS
	Traffic information	M			M						JP-ITS
3. Safety assisting	Curve speed warning	М			M						JP-ITS
	Obstacle detection warning	М			M						JP-ITS

**CAN**: Controller Area Network, **EV**: Electric Vehicle, **JP**: Japan, **KR**: Korea, **TARV**: Telematics Application for Regulated commercial Vehicles. M: Mandatory, O: Option

Exclude software module such as Proxy for tolling and any application module.

**Table E.1** (continued)

ITS services		DSRC-	Components of external in-vehicle devices a							Adapted	
	OBE	GNSS	CN module	НМІ	Motion sensors	Digital tachograph	Driver records device	Driving licence reading device	bus	for (plan)	
4.Regulated commercial operations	Remote tachograph monitoring	М	М	M			M				(TARV)
	Driver work records	M	М	M				М			(TARV)
	Vehicle speed mon- itoring	М	М	M					M		(TARV)
5.EV man- agement	EV charge amount monitoring	М	М	M						M	JP-EV
	Charge station guidance	М	М	M							JP-EV
6.0thers	Drive through payment	М			M						(JP-ITS)

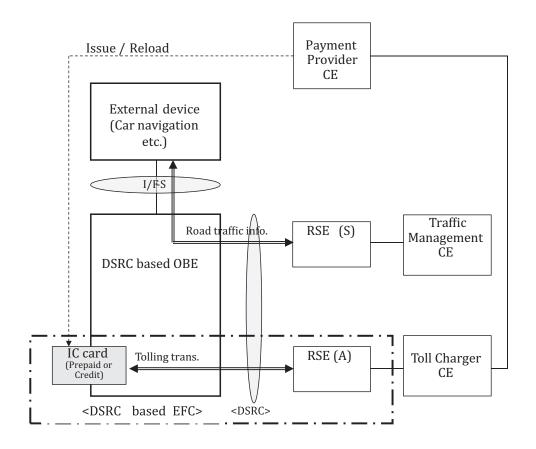
**CAN**: Controller Area Network, **EV**: Electric Vehicle, **JP**: Japan, **KR**: Korea, **TARV**: Telematics Application for Regulated commercial Vehicles. M: Mandatory, O: Option

#### E.2 ITS service in Japan

ITS services have been introduced with a step-by-step approach in Japan since the early 1990s. The first popular service is road guidance service provided by a car navigation device which provides road information to drivers for cruise assistance. The next service is Vehicle Information and Communication System (VICS) which provides traffic information and other road-related information on a car navigation device for drivers via DSRC using 2,45 GHz band. The third service is ETC which has prevailed nationwide now, and then those services are integrated by the new DSRC-OBE model which has dual-DSRC access port, one is for ETC and the other is for the new VICS using 5,8 GHz band same as ETC.

The system diagram of the integrated ITS services in Japan is shown in <u>Figure E.1</u> and the internal diagram of a new DSRC-OBE is shown in <u>Figure E.2</u>. New ITS services in Japan are shown in <u>Figure E.3</u>.

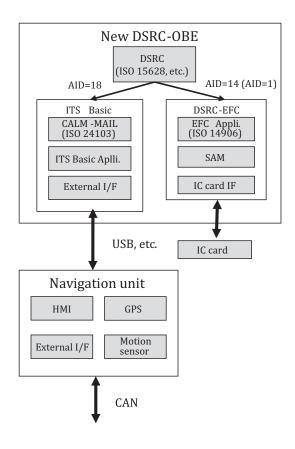
Exclude software module such as Proxy for tolling and any application module.



#### Key

- RSE (A) support DSRC-based tolling communication
- RSE (S) support road traffic information

Figure E.1 — System diagram of the integrated ITS services in Japan



Figure~E.2 - Internal~diagram~of~new~DSRC-OBE



Figure E.3 — New ITS services in Japan

## **Bibliography**

[1] CEN/TS 16439:2012 <sup>1)</sup> , Electronic Fee Collection —Security Fran
--

[2]	ISO/TS 25110:2013,	Electronic fe	e collection	— ,	Interface	definition	for	on-board	account	using
	integrated circuit car	d (ICC)								

<sup>1)</sup> ISO/TS 19299 with the same title is a new project and under development.



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