

TECHNICAL REPORT

High frequency surgical equipment – Operation and maintenance



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CONTENTS

| | |
|--|----|
| FOREWORD..... | 4 |
| INTRODUCTION..... | 6 |
| 1 Scope..... | 7 |
| 2 Terms and definitions | 7 |
| 3 General information regarding HF SURGICAL EQUIPMENT | 11 |
| 4 Recommended practices | 11 |
| 4.1 Inspection of HF SURGICAL EQUIPMENT before use | 11 |
| 4.2 Preparation | 12 |
| 4.3 Handling of NEUTRAL ELECTRODES, cables and connections | 12 |
| 4.4 Patients with active implants (implantable electronic medical devices) | 12 |
| 4.5 Simultaneous use of two items of HF SURGICAL EQUIPMENT | 13 |
| 5 Recommended practices during use | 13 |
| 6 Recommended practices after use..... | 14 |
| 7 Nature of HAZARDS | 14 |
| 7.1 General..... | 14 |
| 7.2 HF SURGICAL EQUIPMENT related HAZARDS | 14 |
| 7.2.1 Incompatible combinations | 14 |
| 7.2.2 Electromagnetic compatibility | 14 |
| 7.2.3 Misconnection of ACTIVE ACCESSORIES | 14 |
| 7.2.4 Specialty HF SURGICAL EQUIPMENT | 15 |
| 7.3 ACTIVE ACCESSOR- related HAZARDS..... | 15 |
| 7.3.1 Incompatible combinations | 15 |
| 7.3.2 Environment of use..... | 15 |
| 7.3.3 Misuse..... | 16 |
| 7.4 OPERATOR-related HAZARDS | 16 |
| 7.4.1 OPERATOR not reading and/or following the instructions for use | 16 |
| 7.4.2 OPERATOR selecting inappropriate power or mode settings | 16 |
| 7.4.3 OPERATOR using an ACTIVE ACCESSORY in an inappropriate manner..... | 16 |
| 7.5 NEUTRAL ELECTRODE-related HAZARDS | 17 |
| 7.5.1 General | 17 |
| 7.5.2 Inadequate contact area of a NEUTRAL ELECTRODE..... | 17 |
| 7.5.3 Inappropriate application | 17 |
| 7.5.4 Surgical procedures utilizing high currents and/or long duty cycles | 17 |
| 8 Safety provisions of, and symbols on, HF SURGICAL EQUIPMENT | 20 |
| 8.1 General..... | 20 |
| 8.2 Colours of indicator lights | 20 |
| 8.3 Markings on HF SURGICAL ELECTRICAL EQUIPMENT..... | 20 |
| 8.4 Protection against electric shock and burns..... | 21 |
| 8.4.1 Method of protection | 21 |
| 8.4.2 Degree of protection | 22 |
| 8.5 HF SURGICAL EQUIPMENT not properly marked | 22 |
| 8.6 Monitoring the effectiveness of the NEUTRAL ELECTRODE | 23 |
| 8.7 Output indicators | 23 |
| 9 Accompanying documents | 23 |
| 10 Preventive maintenance | 23 |

| | |
|---|----|
| Bibliography..... | 24 |
| Table 1 – Colours and significance of indicator lights according to IEC 60601-2-2 | 20 |
| Table 2 – Symbols used on HF SURGICAL EQUIPMENT | 21 |

INTERNATIONAL ELECTROTECHNICAL COMMISSION

**HIGH FREQUENCY SURGICAL EQUIPMENT –
OPERATION AND MAINTENANCE**

FOREWORD

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IEC 61289, which is a technical report, has been prepared by sub-committee 62D: Electromedical equipment, of IEC technical committee 62: Electrical equipment in medical practice.

This first edition of IEC 61289 cancels and replaces IEC 61289-1:1994 and IEC 61289-2:1994, of which it constitutes a technical revision and combination.

The text of this technical report is based on the following documents:

| | |
|---------------|------------------|
| Enquiry draft | Report on voting |
| 62D/929DTR | 62D/956/RVC |

Full information on the voting for the approval of this technical report can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

Certain terms are used with a defined meaning and these are given in the text in SMALL CAPITALS. The definitions of these terms are provided in Clause 2.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

A bilingual version of this publication may be issued at a later date.

INTRODUCTION

This report gives guidelines to personnel in charge of operation of equipment covered by IEC 60601-2-2 to enable them to attain the best conditions of safety for their patients and themselves.

HIGH FREQUENCY SURGICAL EQUIPMENT – OPERATION AND MAINTENANCE

1 Scope

This technical report contains guidelines for medical and nursing personnel regarding the safe and effective operation of HIGH FREQUENCY SURGICAL EQUIPMENT (also referred to as HF SURGICAL EQUIPMENT in this document). It will also be of use to scientific/technical staff who have responsibility for the maintenance of this equipment.

The application guidelines in this document deal with the safe operation of HIGH FREQUENCY SURGICAL EQUIPMENT constructed according to the safety requirements of IEC 60601-1 and IEC 60601-2-2 (see Bibliography).

Not all existing HIGH FREQUENCY SURGICAL EQUIPMENT meets the minimum requirements of current international standards, however, the guidelines in this report will still be helpful in utilizing these devices.

This report assumes that the electrical installation of HIGH FREQUENCY SURGICAL EQUIPMENT meets national and local regulations for medically used rooms.

2 Terms and definitions

For the purpose of this document, the following terms and definitions apply.

2.1

ACCESSORY

additional part for use with equipment in order to:

- achieve the intended use,
- adapt it to some special use,
- facilitate its use,
- enhance its performance, or
- enable its functions to be integrated with those of other equipment

[SOURCE: IEC 60601-1:2005, definition 3.3]

2.2

ACTIVE ACCESSORY

HF SURGICAL ACCESSORY intended for manipulation by the OPERATOR to produce surgical effects at the intended site on the patient, generally comprising an ACTIVE HANDLE, cord of an ACTIVE ACCESSORY, ACTIVE CONNECTOR and ACTIVE ELECTRODE

[SOURCE: IEC 60601-2-2:2009, definition 201.3.201]

2.3

ACTIVE CONNECTOR

part of an ACTIVE ACCESSORY intended for connection to an ACTIVE OUTPUT TERMINAL, which may include additional terminals for connection of a FINGERSWITCH to a SWITCH SENSOR

[SOURCE: IEC 60601-2-2:2009, definition 201.3.202]

2.4

ACTIVE ELECTRODE

part of an ACTIVE ACCESSORY extending from the ACTIVE HANDLE to the surgical site

[SOURCE: IEC 60601-2-2:2009, definition 201.3.203]

2.5

ACTIVE HANDLE

part of an ACTIVE ACCESSORY intended to be held by the OPERATOR

[SOURCE: IEC 60601-2-2:2009, definition 201.3.205]

2.6

ACTIVE OUTPUT TERMINAL

part of HF SURGICAL EQUIPMENT or ASSOCIATED EQUIPMENT intended for connection to an ACTIVE ACCESSORY and for delivery of HF current thereto

[SOURCE: IEC 60601-2-2:2009, definition 201.3.206]

2.7

APPLIED PART

part of ME EQUIPMENT that in normal use necessarily comes into physical contact with the patient for ME EQUIPMENT or an ME SYSTEM to perform its function

[SOURCE: IEC 60601-1:2005, definition 3.8]

2.8

ASSOCIATED EQUIPMENT

equipment other than HF SURGICAL EQUIPMENT that may be electrically connected to the PATIENT circuit and not intended for independent use

[SOURCE: IEC 60601-2-2:2009, definition 201.3.207]

2.9

BIPOLAR

method of applying HF output current to a patient via multiple-pole ACTIVE ELECTRODES

[SOURCE: IEC 60601-2-2:2009, definition 201.3.208]

2.10

COAGULATION

use of HF current to elevate the temperature of tissue, e.g. to reduce or terminate undesired bleeding

Note to entry: COAGULATION may take the form of contact or non-contact COAGULATION.

[SOURCE: IEC 60601-2-2:2009, definition 201.3.210]

2.11

CONTACT QUALITY MONITOR

circuit in HF SURGICAL EQUIPMENT or ASSOCIATED EQUIPMENT intended for connection to a MONITORING NE providing an alarm in the event that NEUTRAL ELECTRODE (NE) contact with the patient becomes insufficient

Note to entry: A CONTACT QUALITY MONITOR is functional only when used with a MONITORING NE.

[SOURCE: IEC 60601-2-2:2009, definition 201.3.211]

2.12

CONTINUITY MONITOR

circuit in HF SURGICAL EQUIPMENT or ASSOCIATED EQUIPMENT intended for connection to an NE, except MONITORING NE, providing an alarm in the event of electrical discontinuity in the NE cable or its connections

[SOURCE: IEC 60601-2-2:2009, definition 201.3.212]

2.13

CUTTING

resection or dissection of body tissue caused by the passage of HIGH FREQUENCY current of high current density at the ACTIVE ELECTRODE(S)

[SOURCE: IEC 60601-2-2:2009, definition 201.3.214]

2.14

FINGERSWITCH

device generally included with an ACTIVE ACCESSORY which, when manipulated by the OPERATOR, enables HF output to be produced and, when released, disables HF output

[SOURCE: IEC 60601-2-2:2009, definition 201.3.216]

2.15

HAZARD

potential source of harm

[SOURCE: IEC 60601-1:2005, definition 3.39]

2.16

HIGH FREQUENCY

HF

frequencies generally greater than 200 kHz

[SOURCE: IEC 60601-2-2:2009, definition 201.3.218]

2.17

HIGH FREQUENCY SURGICAL ACCESSORY

HF SURGICAL ACCESSORY

ACCESSORY intended to conduct, supplement or monitor HF energy applied to the patient from HF SURGICAL EQUIPMENT

Note to entry: HF SURGICAL ACCESSORIES include HF surgical application electrodes, including cords and connectors for attachment to HF SURGICAL EQUIPMENT, as well as other associated equipment intended for connection to the HF surgical patient circuit.

[SOURCE: IEC 60601-2-2:2009, definition 201.3.221]

2.18

HIGH FREQUENCY SURGICAL EQUIPMENT (HF SURGICAL EQUIPMENT)

MEDICAL ELECTRICAL EQUIPMENT, including its associated ACCESSORIES, intended for the performance of surgical operations such as the CUTTING and COAGULATION of biological tissue by means of HIGH FREQUENCY (HF) currents

[SOURCE: IEC 60601-2-2:2009, definition 201.3.222]

2.19

HF SURGICAL MODE

any of a number of OPERATOR selectable HF output characteristics intended to provide a specific indicated surgical effect at a connected ACTIVE ACCESSORY, such as CUTTING, COAGULATION and the like

[SOURCE: IEC 60601-2-2:2009, definition 201.3.223]

2.20

LEAKAGE CURRENT

current that is not functional

[SOURCE: IEC 60601-1:2005, definition 3.47]

2.21

MEDICAL ELECTRICAL EQUIPMENT (ME EQUIPMENT)

electrical equipment having an APPLIED PART or transferring energy to or from the patient or detecting such energy transfer to or from the patient and which is:

- provided with not more than one connection to a particular supply mains; and
- intended by its manufacturer to be used in the diagnosis, treatment, or monitoring of a patient; or for compensation or alleviation of disease, injury or disability

Note to entry: ME EQUIPMENT includes those ACCESSORIES as defined by the manufacturer that are necessary to enable the normal use of the ME EQUIPMENT.

[SOURCE: IEC 60601-1:2005, definition 3.63]

2.22

MONITORING NE

NE intended for use with a CONTACT QUALITY MONITOR

[SOURCE: IEC 60601-2-2:2009, definition 201.3.225]

2.23

MONOPOLAR

method of applying HF output current to a patient via an ACTIVE ELECTRODE and returning via a separately-connected NE or via the patient's body capacitance to earth

[SOURCE: IEC 60601-2-2:2009, definition 201.3.226]

2.24

NEUTRAL ELECTRODE (NE)

electrode of a relatively large area for connection to the body of the patient, intended to provide a return path for the HIGH FREQUENCY current with such a low current density in the body tissue that physical effects such as unwanted burns are avoided

Note to entry: The NEUTRAL ELECTRODE is also known as plate, plate electrode, passive, return or dispersive electrode,

[SOURCE: IEC 60601-2-2:2009, definition 201.3.227]

2.25

OPERATOR

person handling equipment

[SOURCE: IEC 60601-1:2005, definition 3.73]

2.26

RATED LOAD

value of non-reactive load resistance which, when connected, results in the maximum HF output power from each HF SURGICAL MODE of the HF SURGICAL EQUIPMENT

[SOURCE: IEC 60601-2-2:2009, definition 201.3.229]

2.27

RATED OUTPUT POWER

for each HF SURGICAL MODE set at its maximum output setting, the power in watts produced when all ACTIVE OUTPUT TERMINALS which can be activated simultaneously are connected to their respective RATED LOADS

[SOURCE: IEC 60601-2-2:2009, definition 201.3.230]

2.28

SWITCH SENSOR

part of HF SURGICAL EQUIPMENT or ASSOCIATED EQUIPMENT which controls activation of HF output in response to operation of a connected FINGERSWITCH or footswitch

[SOURCE: IEC 60601-2-2:2009, definition 201.3.231]

3 General information regarding HF SURGICAL EQUIPMENT

HF SURGICAL EQUIPMENT is MEDICAL ELECTRICAL EQUIPMENT which delivers HIGH FREQUENCY currents to perform surgical modification of tissue. The most common forms of tissue modification are CUTTING and COAGULATION but may also include tissue ablation, lesioning, shrinkage, sealing or fusion.

The current is conducted to and from the patient in the following ways:

- in MONOPOLAR application with an ACTIVE ELECTRODE of a small area and a large NEUTRAL ELECTRODE;
- in MONOPOLAR application of HF SURGICAL EQUIPMENT with a RATED OUTPUT POWER less than 50 W and not provided with a NEUTRAL ELECTRODE, the circuit for the HIGH FREQUENCY current being completed through the capacitive coupling between the patient and the earthed environment;
- in BIPOLAR application with a BIPOLAR electrode only, for example forceps where the legs are electrically insulated from each other;
- in multipolar application where a multitude of ACTIVE ELECTRODES are present. In this type of application the current passes from one or more of the ACTIVE ELECTRODES to either a large NEUTRAL ELECTRODE in a MONOPOLAR-like arrangement or to one or more small area electrodes in the immediate vicinity in a BIPOLAR-like arrangement;
- in an application where the current delivery is augmented by the use of argon or saline between the ACTIVE ELECTRODE(S) and the target tissue.

The output power may vary considerably depending on the intended use, from a few watts for special procedures, for example in ophthalmology, up to 300 W or more for some equipment. The peak output voltage from some equipment may be as high as 6 000 V.

The use of HIGH FREQUENCY (HF) current is a possible HAZARD to the patient, the OPERATOR and other personnel present and to the surroundings. Other equipment connected to the patient may be affected. The safe operation of HIGH FREQUENCY SURGICAL EQUIPMENT depends mainly on the following factors:

- safe use, which depends on:
 - OPERATOR's knowledge of the safe methods of use of the equipment,
 - OPERATOR's knowledge of safety characteristics of the equipment,
 - availability and readability of accompanying documents;
- safety of the equipment;
- an effective maintenance scheme;
- safety of the installation;

4 Recommended practices

4.1 Inspection of HF SURGICAL EQUIPMENT before use

Before surgery the OPERATOR should check the HF SURGICAL EQUIPMENT and ACTIVE ACCESSORIES as listed below.

- Inspect the mains plug, connectors and cables, including handles for ACTIVE ACCESSORIES and any reusable NEUTRAL ELECTRODES, for visible damage. If damaged, do not use. Damaged instruments or cords may lead to injury to the patient or OPERATOR.
- Check that ACTIVE ACCESSORIES and NEUTRAL ELECTRODES are compatible with the HF SURGICAL EQUIPMENT, the output modes that will be used and the output power settings that will be used.
- Check that the EQUIPMENT has no visible damage including that warning signs and other markings on the EQUIPMENT are readable.
- Check that all indicating lamps and audible tones are in working order.
- Check to verify that the circuits and/or systems associated with CONTINUITY MONITORS or CONTACT QUALITY MONITORS used with NEUTRAL ELECTRODES are operating correctly.

WARNING: Do not try to test the HF SURGICAL EQUIPMENT by sparking against metal parts or the NEUTRAL ELECTRODE.

4.2 Preparation

Before application of the NEUTRAL ELECTRODE, check the positioning of the patient.

- The patient, including his/her extremities, must be isolated from earthed metal parts.
- Skin-to-skin contact (for example between the arms and body of the patient) should be avoided, for example by insertion of a dry towel.
- The use of flammable anaesthetics or oxidizing gases such as nitrous oxide (N₂O) and oxygen should be avoided if a surgical procedure is carried out in the region of the thorax or the head, unless these agents are suctioned or scavenged away.
- Non-flammable agents should be used for cleaning and disinfection wherever possible. Flammable agents used for cleaning or disinfecting, or as solvents of adhesives, should be allowed to evaporate before the application of HF surgery. There is a risk of pooling of flammable solutions under the patient or in body depressions such as the umbilicus, and in body cavities such as the vagina. Any fluid pooled in these areas should be removed and the area dried before HF SURGICAL EQUIPMENT is used. Attention should be called to the danger of ignition of endogenous gases. Some materials, for example cotton, wool and gauze, when saturated with oxygen may be ignited by sparks produced in normal use of the HF SURGICAL EQUIPMENT.

4.3 Handling of NEUTRAL ELECTRODES, cables and connections

Electrodes and connections must be placed with care. Special attention must be paid to the following.

- The entire area of NEUTRAL ELECTRODES should be reliably in contact with the patient's body.
- When HF SURGICAL EQUIPMENT and physiological monitoring equipment are used simultaneously on the same patient, any monitoring electrodes should be placed as far as possible from the ACTIVE ELECTRODES. Needle monitoring electrodes are not recommended. In all cases, monitoring systems incorporating HIGH FREQUENCY current limiting devices are recommended.
- The HF SURGICAL EQUIPMENT leads should be positioned in such a way that contact with the patient monitoring or other leads is avoided.
- Temporarily unused ACTIVE ELECTRODES should be stored in a non-conductive location that is isolated from the patient for example in a holster or quiver.
- The selection of ACTIVE ACCESSORIES should take into account their compatibility with HF SURGICAL EQUIPMENT.

4.4 Patients with active implants (implantable electronic medical devices)

Patients with active implants, for example pacemakers, implantable neurostimulators or implanted electrodes, may be affected by the application of HF SURGICAL EQUIPMENT. The

effects could be irreparable damage to the active implant or impairment of its function. The manufacturer of the active implant should be consulted prior to the surgery. Simultaneous monitoring of such patients using suitable monitoring equipment is also recommended.

NOTE Currents induced in the implanted electrodes due to the use of HF SURGICAL EQUIPMENT may cause irreversible changes in the tissue around the electrodes, causing malfunctioning of the implant.

The following guidelines should be taken into account.

- The selected output power should be as low as possible for the intended application.
- The current path in the body should be at right angles to the leads of the active implant.
- The use of BIPOLAR technique should be considered, whenever possible.

4.5 Simultaneous use of two items of HF SURGICAL EQUIPMENT

In some applications, it may be necessary to use two separate pieces of HF SURGICAL EQUIPMENT, for example when simultaneously operating on two different parts of the body. In these cases, additional technical advice should be sought.

The choice of equipment that provides the lowest clinical risk is the use of two BIPOLAR HF surgery generators. If this is not clinically appropriate, then use of one BIPOLAR and one MONOPOLAR generator is the next lower risk option. If the clinical circumstances justify it, two MONOPOLAR generators can be used. Both should be of the HF isolated type shown by the F symbol as described in 8.4.2.2. The NEUTRAL ELECTRODE for each generator should be positioned appropriately for the surgical operating site of that generator, taking account of manufacturers' recommendations and good clinical practice. The NEUTRAL ELECTRODES should not be positioned close together and the NEUTRAL ELECTRODE leads should be kept well separated. Whenever possible ensure that the current path from ACTIVE ACCESSORY 1 to NEUTRAL ELECTRODE 1 does not pass through the same part of the patient's body as the current path from ACTIVE ACCESSORY 2 to NEUTRAL ELECTRODE 2.

Further technical advice can be sought from the equipment manufacturers and/or from the local Clinical Engineering Department.

5 Recommended practices during use

When using HF SURGICAL EQUIPMENT caution is necessary, therefore observe the following rules:

- The HIGH FREQUENCY power should be set as low as possible for the application in question. Reference can be made to the manufacturer's recommended setting for the procedure being carried out.
- Insufficient power at the customary settings of the controls may be caused by for example bad contact of the NEUTRAL ELECTRODE, bad contact in connectors or a broken cable under the insulation. These items should be checked before a higher power setting is used.
- After repositioning the patient, or if someone trips on the NE cable, the application of the NEUTRAL ELECTRODES and the NE cables should be inspected.
- The functioning of the HF SURGICAL EQUIPMENT should not be tested by sparking against metal parts or the NEUTRAL ELECTRODE.
- When operating in parts of the body having a small cross section, to avoid unwanted tissue damage, the application of BIPOLAR technique may be desirable.
- Flammable agents for skin cleaning, grease removal and disinfection must be completely evaporated before the application of the HF SURGICAL EQUIPMENT. The HAZARD of igniting endogenous gases and the possibility of their removal through washing with inert gases must also be considered.

- Be aware of electromagnetic interference during use which may influence other MEDICAL ELECTRICAL EQUIPMENT, for example ECG monitors, blood pressure monitors, infusion pumps, cardiac pacemakers, etc.

6 Recommended practices after use

After each use, the HF SURGICAL EQUIPMENT should be cleaned as indicated in the instructions for use. Reusable ACTIVE ACCESSORIES should be cleaned and sterilized according to local policy and the manufacturer's recommendations. Any irregularities observed during surgery should be documented and reported to the proper hospital authorities.

7 Nature of HAZARDS

7.1 General

The currents and voltages generated in HF SURGICAL EQUIPMENT may present a HAZARD to the patient or the OPERATOR by the nature of its use or by its electrical interference with other equipment in the vicinity of, in contact with, or implanted within the patient. The generation of sparks or arcs between the ACTIVE ELECTRODE and tissue or, for example, a metal forceps may be a source of low frequency components in the output current which may cause neuromuscular stimulation (or electric shock).

The following subclauses give some examples of HAZARDS that are associated with the use of HF SURGICAL EQUIPMENT.

7.2 HF SURGICAL EQUIPMENT related HAZARDS

7.2.1 Incompatible combinations

Although it may be possible to physically connect different pieces of HF SURGICAL EQUIPMENT, the combination may not always work in the expected manner. OPERATORS should always confirm the compatibility of different pieces of HF SURGICAL EQUIPMENT with all of the manufacturers involved. This includes correct operation, possible changes in the electromagnetic compatibility of the combination, and electrical safety. For example, identical looking footswitches from different manufacturers may not be electrically identical resulting in unexpected, unintended and potentially harmful results.

7.2.2 Electromagnetic compatibility

When in use, HF SURGICAL EQUIPMENT will generate electromagnetic disturbances. A disturbance may be radiated through the air, conducted through the patient or conducted through the mains power cord. This may impact other MEDICAL ELECTRICAL EQUIPMENT in the vicinity of or connected to the patient. OPERATORS should follow the recommendations of the manufacturer(s) regarding this situation. This includes the manufacturer of any active implanted, body worn, or semi-implanted device. There may be techniques for cable placement, equipment setup and/or output mode/power setting that will help mitigate this HAZARD.

7.2.3 Misconnection of ACTIVE ACCESSORIES

Some ACTIVE ACCESSORIES may be physically connected to HF SURGICAL EQUIPMENT in a manner not intended by the manufacturer. An example might be a BIPOLAR ACTIVE ACCESSORY incorrectly connected to a MONOPOLAR receptacle through the use of an adapter or non-conforming cord. OPERATORS should always consult the instructions for use for the correct connection information.

7.2.4 Specialty HF SURGICAL EQUIPMENT

Certain types of specialty HF SURGICAL EQUIPMENT may have risks associated with their use that are different from traditional HF SURGICAL EQUIPMENT. These risks may not be obvious. The instructions for use will list the warnings and cautions particular to that HF SURGICAL EQUIPMENT. An example is argon-enhanced HF SURGICAL EQUIPMENT where the risks associated with the use of argon gas should be understood by the OPERATOR.

7.3 ACTIVE ACCESSORY- related HAZARDS

7.3.1 Incompatible combinations

An ACTIVE ACCESSORY may not be compatible with every cord that can be physically connected to it. The incompatibility may be electrical where the voltage and/or current that will be used is too high. The incompatibility may be in the physical connection itself where the mating parts are not of the same size or tolerance, potentially causing the connection to become hot or come apart during surgery. The connection may be incomplete with uncovered bare metal allowing stray current to injure the patient or OPERATOR.

An ACTIVE ACCESSORY may not be compatible with every ACTIVE ELECTRODE that can be physically connected to it. The incompatibilities and the consequences are likely to be the same as described above.

An ACTIVE ACCESSORY may not be compatible with every output mode, power or voltage to which it could be exposed. OPERATORS should consult the instructions for use of both the ACCESSORY and the HF SURGICAL EQUIPMENT for compatibility information and maximum voltage ratings. An output mode or power setting that delivers a peak voltage greater than the maximum peak voltage rating of an ACTIVE ACCESSORY is an example of this HAZARD and should be avoided. The maximum peak voltage rating is also known as the RATED ACCESSORY VOLTAGE within IEC 60601-2-2.

7.3.2 Environment of use

Sparks generated at the ACTIVE ELECTRODE during normal use may cause a fire if flammable anaesthetic or cleaning agents are present or the HF SURGICAL EQUIPMENT is used in an atmosphere enriched by endogenous gases, oxygen or nitrous oxide.

Low-frequency voltages caused by sparks or electrical arcs may cause neuromuscular stimulation. The patient may have excitable tissue stimulated, especially if there is a fault in the insulation in endoscopes or resectoscopes between the ACTIVE ELECTRODE and the shaft. For example, during plastic surgery, especially on the face, neuromuscular stimulation may occur due to arcing from tips of ACTIVE ELECTRODES. The OPERATOR, when coagulating tissue or vessels using non-insulated forceps, may experience an electrical shock if sparking from the ACTIVE ELECTRODE occurs, because gloves may become electrically conductive when wet.

Arcing to a metal instrument or retractor may accentuate the possibility of neuromuscular stimulation. It can also increase the amount of current flow in unexpected and undesired ways.

Use of HF current near metallic implants may cause current concentration resulting in undesired heating.

The sparking and current flow during normal use causes the ACTIVE ELECTRODE to become hot during use. After use, the ACTIVE ACCESSORY should always be placed safely away from the patient, the surgical team and flammable materials to prevent the possibility of an unintended burn or fire.

Use of an ACCESSORY in an inappropriate medium or solution may be hazardous. For example, conductive solutions used for distension may cause an unexpected and increased amount of

current to be delivered by the HF SURGICAL EQUIPMENT. It could also cause current dilution at the targeted tissue leading to a need to increase the power resulting in even more current. This would cause the total current flowing through the NEUTRAL ELECTRODE to be much higher than in a traditional surgical procedure. OPERATORS should always consult the instructions for use for the ACTIVE ACCESSORY, the HF SURGICAL EQUIPMENT and the NEUTRAL ELECTRODE prior to use.

7.3.3 Misuse

ACCESSORIES which are modified by OPERATORS may be hazardous. After modification, the ACCESSORY may not be compatible with the expected voltages, its switching or control configuration may be incorrect or it may result in an undesired part becoming live. Examples of this HAZARD are the use of a red rubber catheter to insulate the shaft of an ACTIVE ELECTRODE and cutting a NEUTRAL ELECTRODE to make it smaller.

The reuse of an ACCESSORY marked for single use may be hazardous. The re-sterilization process may not be effective and may degrade the insulation resulting in a fire or injury to the patient or OPERATOR.

Inappropriate cleaning and sterilization of a reusable ACCESSORY may be hazardous. The process may not result in a sterile ACCESSORY and may degrade the insulation resulting in a fire or injury to the patient or OPERATOR.

A reusable ACCESSORY should be discarded at the end of its useful life. Continued use may be hazardous and could result in a fire or injury to the patient or OPERATOR. The instructions for use should be consulted for the recommended number of uses or the proper inspection procedure to determine end of useful life.

7.4 OPERATOR-related HAZARDS

7.4.1 OPERATOR not reading and/or following the instructions for use

The use of HIGH FREQUENCY current is a possible HAZARD to the patient, the OPERATOR and other personnel present. All personnel that may setup or use these types of devices should read and follow the instructions for use included with the EQUIPMENT, the ACCESSORIES and the NEUTRAL ELECTRODE (if applicable). This includes all warnings, cautions and recommended clinical use information.

7.4.2 OPERATOR selecting inappropriate power or mode settings

The use of inappropriate power or mode settings may be hazardous. Increasing the output power beyond that normally used for a procedure may indicate a problem that should be investigated. Inappropriate power or mode settings may subject the ACCESSORY to voltages for which it was not designed. It may also result in unanticipated tissue effects.

7.4.3 OPERATOR using an ACTIVE ACCESSORY in an inappropriate manner

Many ACTIVE ACCESSORIES are designed for a specific procedure or for use on specific tissue types. Disregarding the recommendations of the manufacturer in this matter may result in inadequate or unexpected clinical effect. Examples include the following:

- use of an ACCESSORY in a procedure for which it has not been approved such as bipolar ligation of a fallopian tube;
 NOTE Consulting the manufacturer's instructions for use to determine if the ACCESSORY is approved for the intended procedure will help avoid such situations.
- use of an ACCESSORY in a location where the current flowing towards the NEUTRAL ELECTRODE is concentrated along a narrow path, resulting in undesired tissue damage or destruction;

- use of an ACTIVE ACCESSORY and/or HF SURGICAL EQUIPMENT with activation duty cycles in excess of the recommendations of the manufacturer, resulting in a patient burn under the NEUTRAL ELECTRODE;
- OPERATOR modification of the ACTIVE ACCESSORY;
- activation of an endoscopic ACTIVE ACCESSORY when not touching the target tissue.

7.5 NEUTRAL ELECTRODE-related HAZARDS

7.5.1 General

These HAZARDS relate to MONOPOLAR procedures where a NEUTRAL ELECTRODE is used.

7.5.2 Inadequate contact area of a NEUTRAL ELECTRODE

Inadequate contact area of the NEUTRAL ELECTRODE may be hazardous resulting in a patient burn. Inadequate contact area can result from the following:

- NEUTRAL ELECTRODE contact area too small for the application;
- NEUTRAL ELECTRODE not in complete contact with tissue;
- NEUTRAL ELECTRODE insulated from the skin by hair, non-conducting material or interposition of bedding or clothing.

7.5.3 Inappropriate application

A hazardous situation may be created if the site preparation and/or location recommendations of the manufacturer are not followed. A poorly vascularized application site may not be able to dissipate the heat normally generated under a NEUTRAL ELECTRODE. Poor site preparation may degrade the desired contact area and/or contact impedance resulting in increased heating. The neutral electrode should not be placed adjacent to metallic implants or other orthopaedic hardware.

A single use NEUTRAL ELECTRODE should not be used if the packaging seal is broken. A single use NEUTRAL ELECTRODE should not be used beyond the “use by” date on the package.

7.5.4 Surgical procedures utilizing high currents and/or long duty cycles

7.5.4.1 General considerations

It is important for OPERATORS (and more specifically, the surgeons using the HF SURGICAL EQUIPMENT) to understand that some HF SURGICAL EQUIPMENT and ACTIVE ACCESSORIES may be capable of producing more current than a single NEUTRAL ELECTRODE can safely handle, and as a result there may be an increased risk of a burn at the NEUTRAL ELECTRODE site.

The current level above which there may be an increased risk of a burn was defined in IEC 60601-2-2:2006 (4th Edition) in subclause 6.8.2. item kk), which states: “HF SURGICAL EQUIPMENT or HF SURGICAL ACCESSORIES intended for use where the applied patient current is expected to exceed 500 mA for over 2 min at applied duty cycles greater than 50 % shall be accompanied by instructions, warnings and cautions for the proper use of NEUTRAL ELECTRODES.”

There is additional language covering this matter in IEC 60601-2-2:2009 (5th Edition), which requires additional instructions, warnings and cautions for the proper use of NEUTRAL ELECTRODES if, during intended or foreseen use, the temperature under the NEUTRAL ELECTRODE poses a burn risk to the patient. (See subclauses 201.7.9.2.2.101 and 201.15.101.5 in IEC 60601-2-2 for more specific information)

For additional information on how the limits for NEUTRAL ELECTRODES were clinically determined, one may refer to Annex AA of either IEC 60601-2-2:2006 (4th edition) or IEC 60601-2-2:2009 (5th edition). For the 4th edition, the subclause involved is AA.59.104.5 and for the 5th edition the rationale for subclause 201.15.101.5.

7.5.4.2 High current risk identification

To prevent patient injuries, hospital personnel need to recognize the types of equipment and procedures that are most likely to result in the delivery of high current levels that can overwhelm a NEUTRAL ELECTRODE. The following factors pose the greatest risk as they may result in delivery of high current levels, have long activation times and/or low surgical impedance.

- a) Ablation or other procedures.
 - 1) Tumour ablation.
 - 2) Cardiac ablation.
 - 3) Liver ablation or resection.
 - 4) Endoscopic ablation (e.g., shoulder arthroscopy).
 - 5) Bulk tissue ablation such as transurethral resection of prostate or uterus.
- b) Application of high current to the patient's tissue.
 - 1) Use of a high-current or specialty HF SURGICAL EQUIPMENT intended for large-volume tissue ablation.
 - 2) Use of ACTIVE ACCESSORIES such as a roller ablation electrode.
 - 3) Required use of multiple (2 to 4) NEUTRAL ELECTRODES at the same time.
 - 4) Required use of multiple active electrodes.
- c) Application of current for an extended period of time to the patient's tissue.
 - 1) Use of a general purpose HF SURGICAL EQUIPMENT with either long-activation periods or little time between activations.
 - 2) Use of a specialty purpose HF SURGICAL EQUIPMENT OR ACTIVE ACCESSORIES with long-activation periods.
- d) Use of conductive solutions such as saline or lactated Ringer's which lowers the surgical impedance of tissue in contact with the solution.
 - 1) Use of an ACTIVE ACCESSORY that is designed to be used with or dispense saline or has a significant portion of its surface area in contact with a conductive medium such as saline.
 - 2) Use of an ACTIVE ACCESSORY that is fully immersed in flowing blood for extended periods of time (e.g., cardiac ablation).

7.5.4.3 High current risk mitigation

Hospital personnel may not recognize the risk associated with high-current, long-activation-time electrosurgical procedures or procedures that involve the use of conductive fluids (e.g., saline) for irrigation or distension. To minimize the risk of a burn at the NEUTRAL ELECTRODE site during such procedures, the following is recommended:

- a) Recognize that HF SURGICAL EQUIPMENT and ACTIVE ACCESSORIES in your facility are capable of producing current levels that can exceed what a NEUTRAL ELECTRODE can withstand, in procedures involving any combination of high current, long-activation times and/or the use of conductive fluids for irrigation or distension.
- b) Identify the electrosurgical procedures performed in the facility that may require the use of high current, long-activation times and/or the use of conductive fluids for irrigation or distension.
- c) Educate operating room clinicians, surgeons, and risk managers on the increased risk of burns associated with high-current, long-activation electrosurgical procedures or procedures that involve the use of conductive fluids for irrigation or distension.
- d) Educate operating room clinicians and surgeons about how burns occur, how to recognize when there is an increased risk of electrosurgical burns, and what to do to minimize the risk.

- e) Require operating room clinicians to be familiar with the instructions for use and warnings of each piece of equipment – HF SURGICAL EQUIPMENT, ACTIVE ACCESSORIES, and NEUTRAL ELECTRODES.
- f) Make sure that the NEUTRAL ELECTRODE is in full contact with the patient and verify the pad manufacturer's instructions were followed regarding NEUTRAL ELECTRODE application.
- g) Use the lowest possible power settings and shortest activation times to achieve the desired surgical effect. If long activation times are necessary, allow sufficient time off between activations to allow the tissue to cool under the NEUTRAL ELECTRODE. The amount of time off required will vary depending upon the amount of current used, the length of activation time and the individual characteristics of the patient. This may require the time off to be equal to the activation time.
- h) Confirm the use of the appropriate irrigation/distension medium with the surgeon before any electrosurgical procedure. Use a non-conductive solution as the distension/irrigation medium unless specific medical reasons indicate otherwise or the manufacturer of the generator or ACCESSORY recommends otherwise. Numerous non-conductive fluids are available. Common ones include 1,5 % glycine, 3 % sorbitol, 5 % mannitol, and sterile water. It should be noted that not all non-conductive media are appropriate for all procedures. Selection must be based on the surgical procedure. For example, long procedures involving large volumes of media require that the patient's fluid balance be carefully monitored to avoid fluid overload which can lead to serious complications such as pulmonary edema, congestive heart failure, cerebral edema, hypotension, and electrolyte imbalance.
- i) Avoid use of a roller ablation electrode with a conductive fluid, unless otherwise indicated by the electrode manufacturer, because a significant portion of its surface area will be in contact with the conductive medium, causing a loss of surgical effect. Increasing the power settings will increase the amount of current delivered to the NEUTRAL ELECTRODE. If high power is used for too long, the current may overwhelm the NEUTRAL ELECTRODE, resulting in a patient burn.
- j) Verify that the correct distension/irrigation medium has been selected if there is no surgical effect or less than a desired surgical effect. Inspect the NEUTRAL ELECTRODE to ensure that it is applied according to the manufacturer's instructions and is in full contact with the patient before increasing the power setting on the HF SURGICAL EQUIPMENT.
- k) Use two or more identical NEUTRAL ELECTRODES placed symmetrically and equidistant from the surgical site where there is a concern that the use of high current, extended activation times, and/or the use of a conduction irrigation/distension fluid may pose an increased risk of an electrosurgical burn at the NEUTRAL ELECTRODE site. Such placement will divide the current flow between the two NEUTRAL ELECTRODES and reduce the risk of a burn. Suitable placement sites include:
 - 1) the left and right anterior thigh;
 - 2) the left and right buttock; or
 - 3) the left and right bicep.
- l) Avoid placing multiple NEUTRAL ELECTRODES in the following configurations because they will increase the risk of a burn:
 - 1) Placement of one NEUTRAL ELECTRODE below another NEUTRAL ELECTRODE on a single limb. With this placement, nearly all of the current will be collected by the NEUTRAL ELECTRODE closer to the surgical site, increasing the risk for a burn at the closer NEUTRAL ELECTRODE.
 - 2) Asymmetrical placement of NEUTRAL ELECTRODES on two limbs, such as the right thigh and left calf. With this placement, the current flow to the right thigh will be substantially more than the left calf.
 - 3) Placement of two NEUTRAL ELECTRODES directly next to each other. With this placement, the current will be more heavily concentrated at the edges of the NEUTRAL ELECTRODES closest to the surgical site, increasing the risk for a burn at this location.
 - 4) Placement of two NEUTRAL ELECTRODES on one limb, particularly if this placement causes the encirclement of an entire limb . If more than one NEUTRAL ELECTRODE is

used on any limb and the current flow is very high, it is possible that the high level of current flow through the limb will increase the temperature of the entire limb.

- m) Follow the NEUTRAL ELECTRODE manufacturer's instructions for NEUTRAL ELECTRODE placement if the instructions for use for HF SURGICAL EQUIPMENT or ACTIVE ACCESSORY call for the use of more than two NEUTRAL ELECTRODES. If the instructions for use do not include NEUTRAL ELECTRODE placement for two or more NEUTRAL ELECTRODES, contact the manufacturer and request written instructions for multiple NEUTRAL ELECTRODE placement.

8 Safety provisions of, and symbols on, HF SURGICAL EQUIPMENT

8.1 General

HF SURGICAL EQUIPMENT fulfilling the relevant IEC standards has safety provisions incorporated to ensure that the equipment is safe when used according to the instructions for use. To help the OPERATOR to utilize these provisions, symbols and colours are used.

8.2 Colours of indicator lights

The significance of colours of indicator lights for HF SURGICAL EQUIPMENT is according to Table 1.








Table 1 – Colours and significance of indicator lights according to IEC 60601-2-2

| Colour | Meaning |
|------------------|---|
| Red | Warning – immediate response by the OPERATOR is required, for example, a fault in the patient circuit |
| Yellow | CUTTING mode |
| Blue | COAGULATION mode |
| Green | Ready for use |
| Any other colour | Meaning other than that of red, yellow, blue or green |

8.3 Markings on HF SURGICAL ELECTRICAL EQUIPMENT

Warnings, marking of controls, and other symbols are explained in the instructions for use. The important symbols are given in Table 2. More information about these symbols is given in the current versions of IEC 60601-1 and IEC 60601-2-2.

Table 2 – Symbols used on HF SURGICAL EQUIPMENT

| Symbol | Meaning |
|---|--|
|  | Type BF (Not suitable for direct cardiac application) |
|  | Type CF (Suitable for direct cardiac application) |
|  | Defibrillation protected Type BF |
|  | Defibrillation protected Type CF |
|  | Dangerous voltage |
|  (Found In older equipment) | Attention, consult accompanying documents. |
|  (Found in newer equipment) | Consult instructions for use |

8.4 Protection against electric shock and burns

8.4.1 Method of protection

To protect the patient and the OPERATOR against electrical shock, HF SURGICAL EQUIPMENT may be constructed according to the following classes only:

- Class I equipment (protectively earthed);
- Class II equipment (double or reinforced insulated).

Class II equipment is marked with the symbol



- Internally powered equipment.

Internally powered equipment obtains the power necessary for its operation from an internal electric power source, such as a battery.

Internally powered equipment with a mains supply cord becomes a Class I or Class II medical electrical equipment.

8.4.2 Degree of protection

8.4.2.1 Protection against mains frequency LEAKAGE CURRENTS

MEDICAL ELECTRICAL EQUIPMENT is classified according to the medical procedure it is suitable for. The different types allowed for HF SURGICAL EQUIPMENT are, Type BF and Type CF.

Types BF and CF may be also protected against the effects of a discharge of a defibrillator. They can be recognized by the symbols in Table 2.

Only equipment of types BF and CF, marked as defibrillation protected, may remain connected to the patient during defibrillation. This applies also even if the equipment is internally powered.

A patient may remain connected to the HF SURGICAL EQUIPMENT via the NEUTRAL ELECTRODE even if the ACTIVE ACCESSORY is not in contact with the patient.

8.4.2.2 Protection against HIGH FREQUENCY LEAKAGE CURRENTS

HF SURGICAL EQUIPMENT according to IEC 60601-2-2 uses one of the following forms of construction to limit HIGH FREQUENCY LEAKAGE CURRENTS. Symbols are used to identify the different forms of construction.

- NEUTRAL ELECTRODE referenced to earth:

The APPLIED PART is isolated from earth, but the NEUTRAL ELECTRODE is referenced to earth at HIGH FREQUENCIES. This type of HF SURGICAL EQUIPMENT is marked with the following symbol:



- NEUTRAL ELECTRODE isolated from earth at HIGH FREQUENCY:

The APPLIED PART is isolated from earth at both high and low frequencies. This type of HF SURGICAL EQUIPMENT is marked with the following symbol:



- BIPOLAR application:

An APPLIED PART specially designed for BIPOLAR application is isolated from earth and from APPLIED PARTS at both high frequencies and mains frequencies. This type of HF SURGICAL EQUIPMENT is marked with the following symbol:



8.5 HF SURGICAL EQUIPMENT not properly marked

If the degree of protection is not marked on the HF SURGICAL EQUIPMENT or stated in the instructions for use, the equipment must be checked by qualified maintenance personnel to determine if it is suitable for use.

NOTE Existing HIGH FREQUENCY SURGICAL EQUIPMENT may not meet the minimum requirements of the most current international standards.

8.6 Monitoring the effectiveness of the NEUTRAL ELECTRODE

HF SURGICAL EQUIPMENT complying with IEC 60601-2-2 and having a RATED OUTPUT POWER of more than 50 W must be provided with a circuit that de-energizes the output and gives an audible signal when a failure of the NEUTRAL ELECTRODE cable, its connection to the NEUTRAL ELECTRODE, or its connection to the HF SURGICAL EQUIPMENT occurs. The audible alarm must not be externally adjustable. An additional visible warning should be provided by a red indicator light, see Table 1.

Examples of methods are a CONTINUITY MONITOR or a CONTACT QUALITY MONITOR.

8.7 Output indicators

According to IEC 60601-2-2, a device must be incorporated which gives an audible signal when any output circuit is energized or as a result of a fault. The sound level may be adjusted, but not reduced below 40 dBA.

In order to distinguish between the audible signals called for when an interruption of the NEUTRAL ELECTRODE cable or its connection occurs, versus when an output circuit is energized, either the former shall be pulsed, or two different frequencies employed.

9 Accompanying documents

HF SURGICAL EQUIPMENT must be provided with accompanying documents, as these are considered as an essential part of the HF SURGICAL EQUIPMENT. Normally, the accompanying documents for HF SURGICAL EQUIPMENT consist of two parts, the instructions for use and the technical description. The technical description is intended to be used by qualified maintenance personnel.

The instructions for use must contain all the information necessary to operate the HF SURGICAL EQUIPMENT and ensure its correct functioning. The instructions for use must be in a language understandable by the OPERATOR. The instructions for use must include pertinent warning and safety notices regarding the safe application of HF SURGICAL EQUIPMENT.

10 Preventive maintenance

Preventive maintenance is important to ensure that the HF SURGICAL EQUIPMENT is functioning correctly. Subclause 7.9.2.13 of IEC 60601-1:2005 requires manufacturers to provide information in the instructions for use regarding the routine maintenance necessary to ensure safe use of MEDICAL ELECTRICAL EQUIPMENT. Some general recommendations are found below. In the event of a conflict between these recommendations and the information provided by the manufacturer, the information provided by the manufacturer should take precedence.

- Visual inspection of HF SURGICAL EQUIPMENT and/or HF SURGICAL ACCESSORIES prior to each surgical procedure. The inspection should include the bullet points found in subclause 4.1 as well as any additional items outlined by the manufacturer.
- Periodic confirmation that the output powers, waveforms and peak output voltages are within the manufacturer's specifications. The results should be recorded and compared to previous values for determination of significant changes or trends.
- Periodic confirmation that the HF LEAKAGE CURRENTS are within the manufacturer's specifications. The results should be recorded and compared to previous values for determination of significant changes or trends.

Bibliography

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