Standard Practice for Data Presentation Relating to High-Resolution Nuclear Magnetic Resonance (NMR) Spectroscopy¹

This standard is issued under the fixed designation E386; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ε) indicates an editorial change since the last revision or reapproval.

1. Scope

- 1.1 This standard contains definitions of basic terms, conventions, and recommended practices for data presentation in the area of high-resolution resolution nuclear magnetic resonance (NMR) spectroscopy. Some of the basic definitions apply to wide-line NMR or to NMR of metals, but in general it is not intended to cover these latter areas of NMR in this standard. This version does not include definitions pertaining to double resonance nor to rotating frame experiments.
- 1.2 The values stated in SI units are to be regarded as standard. No other units of measurement are included in this standard.

2. Terminology Nomenclature and Basic Definitions

- 2.1 nuclear magnetic resonance (NMR) spectroscopy—that form of spectroscopy concerned with radio-frequency-induced transitions between magnetic energy levels of atomic nuclei.
- 2.2 NMR apparatus; NMR equipment—an instrument comprising a magnet, radio-frequency oscillator, sample holder, and a detector that is capable of producing an electrical signal suitable for display on a recorder or an oscilloscope, or which is suitable for input to a computer.
- 2.3 high-resolution NMR spectrometer— an NMR apparatus that is capable of producing, for a given isotope, line widths that are less than the majority of the chemical shifts and coupling constants for that isotope.

Note 1—By this definition, a given spectrometer may be classed as a high-resolution instrument for isotopes with large chemical shifts, but may not be classed as a high-resolution instrument for isotopes with smaller chemical shifts.

2.4 basic NMR frequency, v_o —the frequency, measured in hertz (Hz), of the oscillating magnetic field applied to induce transitions between nuclear magnetic energy levels. The static

2.4.1 The foregoing quantities are approximately connected by the following relation:

$$v_{o} = \frac{\gamma}{2\pi} H_{o} \tag{1}$$

where γ = the magnetogyric ratio, a constant for a given nuclide (Note 2). The amplitude of the magnetic component of the radio-frequency field is called H_1 . Recommended units are millitesla and microtesla.

Note 2—This quantity is normally referred to as B by physicists. The usage of H to refer to magnetic field strength in chemical applications is so widely accepted that there appears to be no point in attempting to reach a totally consistent nomenclature now.

Note 3—This expression is correct only for bare nuclei and will be only approximately true for nuclei in chemical compounds, since the field at the nucleus is in general different from the static magnetic field. The discrepancy amounts to a few parts in 10^6 for protons, but may be of magnitude 1×10^{-3} for the heaviest nuclei.

- 2.5 NMR absorption line—a single transition or a set of degenerate transitions is referred to as a line.
- 2.6 NMR absorption band; NMR band— a region of the spectrum in which a detectable signal exists and passes through one or more maxima.
- 2.7 reference compound (NMR)—a selected material to whose signal the spectrum of a sample may be referred for the measurement of chemical shift (see 2.9).
- 2.7.1 *internal reference (NMR)*—a reference compound that is dissolved in the same phase as the sample.
- 2.7.2 *external reference (NMR)*—a reference compound that is not dissolved in the same phase as the sample.
- 2.8 *lock signal*—the NMR signal used to control the field-frequency ratio of the spectrometer. It may or may not be the same as the reference signal.
- 2.8.1 *internal lock*—a lock signal which is obtained from a material that is physically within the confines of the sample tube, whether or not the material is in the same phase as the sample (an annulus for the purpose of this definition is considered to be within the sample tube).

magnetic field at which the system operates is called H_o (Note 1) and its recommended unit of measurement is the tesla (T) (1 T = 10^4 gauss).

¹ This practice is under the jurisdiction of ASTM Committee E13 on Molecular Spectroscopy and Separation Science and is the direct responsibility of Subcommittee E13.15 on Analytical Data.

Current edition approved Nov. 1, 2011. Published January 2012. Originally approved in 1969. Last previous edition approved in 2004 as E386-90 (2004). DOI: 10.1520/E0386-90R11.

2.8.2 external lock—a lock signal which is obtained from a material that is physically outside the sample tube. The material supplying the lock signal is usually built into the probe.

Note 4—An external lock, if also used as a reference, is necessarily an external reference. An internal lock, if used as a reference, may be either an internal or an external reference, depending upon the experimental configuration.

- 2.8.3 *homonuclear lock*—a lock signal which is obtained from the same nuclide that is being observed.
- 2.8.4 *heteronuclear lock*—a lock signal which is obtained from a different nuclide than the one being observed.
- 2.9 *chemical shift*, δ —the defining equation for δ is the following:

$$\delta = \frac{\Delta v}{v_p} \times 10^6 \tag{2}$$

where v_R is the frequency with which the reference substance is in resonance at the magnetic field used in the experiment and Δv is the frequency of the subject line minus the frequency of the reference line at constant field. The sign of Δv is to be chosen such that shifts to the high frequency side of the reference shall be positive.

2.9.1 If the experiment is done at constant frequency (field sweep) the defining equation becomes

$$\delta = \frac{\Delta v}{v_R} \times \left(1 - \frac{\Delta v}{v_R}\right) \times 10 \tag{3}$$

- 2.9.2 In case the experiment is done by observation of a modulation sideband, the audio upper or lower sideband frequency must be added to or subtracted from the radio frequency.
- 2.10 *spinning sidebands*—bands, paired symmetrically about a principal band, arising from spinning of the sample in a field (dc or rf) that is inhomogeneous at the sample position. Spinning sidebands occur at frequencies separated from the principal band by integral multiples of the spinning rate. The intensities of bands which are equally spaced above and below the principal band are not necessarily equal.
- 2.11 *satellites*—additional bands spaced nearly symmetrically about a principal band, arising from the presence of an isotope of non-zero spin which is coupled to the nucleus being observed. An isotope shift is normally observed which causes the center of the satellites to be chemically shifted from the principal band. The intensity of the satellite signal increases with the abundance of the isotope responsible.
- 2.12 *NMR line width*—the full width, expressed in hertz (Hz), of an observed NMR line at one-half maximum height (FWHM).
- 2.13 *spin-spin coupling constant (NMR)*, *J*—a measure, expressed in hertz (Hz), of the indirect spin-spin interaction of different magnetic nuclei in a given molecule.

Note 5—The notation $^nJ_{AB}$ is used to represent a coupling over n bonds between nuclei A and B. When it is necessary to specify a particular isotope, a modified notation may be used, such as, 3J (^{15}NH).

3. Types of High-Resolution NMR Spectroscopy

- 3.1 sequential excitation NMR; continuous wave (CW) NMR—a form of high-resolution NMR in which nuclei of different field/frequency ratio at resonance are successively excited by sweeping the magnetic field or the radio frequency.
- 3.1.1 rapid scan Fourier transform NMR; correlation spectroscopy—a form of sequential excitation NMR in which the response of a spin system to a rapid passage excitation is obtained and is converted to a slow-passage spectrum by mathematical correlation with a reference line, or by suitable mathematical procedures including Fourier transformations.
- 3.2 *broad-band excitation NMR*—a form of high-resolution NMR in which nuclei of the same isotope but possibly different chemical shifts are excited simultaneously rather than sequentially.
- 3.2.1 pulse Fourier transform NMR—a form of broad-band excitation NMR in which the sample is irradiated with one or more pulse sequences of radio-frequency power spaced at uniform time intervals, and the averaged free induction decay following the pulse sequences is converted to a frequency domain spectrum by a Fourier transformation.
- 3.2.1.1 *pulse Fourier difference NMR*—a form of pulse Fourier transform NMR in which the difference frequencies between the sample signals and a strong reference signal are extracted from the sample response prior to Fourier transformation.
- 3.2.1.2 synthesized excitation Fourier NMR— a form of pulse Fourier NMR in which a desired frequency spectrum for the exciting signal is Fourier synthesized and used to modulate the exciting radio frequency.
- 3.2.2 stochastic excitation NMR—a form of broad band excitation NMR in which the nuclei are excited by a range of frequencies produced by random or pseudorandom noise modulation of the carrier, and the frequency spectrum is obtained by Fourier transforming the correlation function between the input and output signals.
- 3.2.3 Hadamard transform NMR—a form of broad band excitation NMR in which the phase of the excitation signal is switched according to a binary pseudorandom sequence, and the correlation of the input and output signals by a Hadamard matrix yields an interference pattern which is then Fourier-transformed.

4. Operational Definitions

- 4.1 Definitions Applying to Sequential Excitation (CW) NMR:
- 4.1.1 *field sweeping (NMR)*—systematically varying the magnetic field strength, at constant applied radio-frequency field, to bring NMR transitions of different energies successively into resonance, thereby making available an NMR spectrum consisting of signal intensity versus magnetic field strength.
- 4.1.2 frequency sweeping (NMR)—systematically varying the frequency of the applied radio frequency field (or of a modulation sideband, see 4.1.4), at constant magnetic field

strength, to bring NMR transitions of different energies successively into resonance, thereby making available an NMR spectrum consisting of signal intensity versus applied radio frequency.

4.1.3 *sweep rate*—the rate, in hertz (Hz) per second at which the applied radio frequency is varied to produce an NMR spectrum. In the case of field sweep, the actual sweep rate in microtesla per second is customarily converted to the equivalent in hertz per second, using the following equation:

$$\frac{\Delta v}{\Delta t} = \frac{\gamma}{2\pi} \cdot \frac{\Delta H}{\Delta t} \tag{4}$$

- 4.1.4 *modulation sidebands*—bands introduced into the NMR spectrum by, for example, modulation of the resonance signals. This may be accomplished by modulation of the static magnetic field, or by either amplitude modulation or frequency modulation of the basic radio frequency.
- 4.1.5 *NMR spectral resolution*—the width of a single line in the spectrum which is known to be sharp, such as, TMS or benzene (¹H). This definition includes sample factors as well as instrumental factors.
- 4.1.6 *NMR integral (analog)*—a quantitative measure of the relative intensities of NMR signals, defined by the areas of the spectral lines and usually displayed as a step function in which the heights of the steps are proportional to the areas (intensities) of the resonances.
- 4.2 Definitions Applying to Multifrequency Excitation (Pulse) NMR:
- 4.2.1 *pulse* (*v*)—to apply for a specified period of time a perturbation (for example, a radio frequency field) whose amplitude envelope is nominally rectangular.
 - 4.2.2 pulse (n)—a perturbation applied as described above.
 - 4.2.3 *pulse width*—the duration of a pulse.
- 4.2.4 *pulse flip angle*—the angle (in degrees or radians) through which the magnetization is rotated by a pulse (such as a 90-deg pulse or $\pi/2$ pulse).
- 4.2.5 pulse amplitude—the radio frequency field, H_1 , in tesla

Note 6—This may be specified indirectly, as described in 8.3.2.

4.2.6 *pulse phase*—the phase of the radio frequency field as measured relative to chosen axes in the rotating coordinate system.²

Note 7—The phase may be designated by a subscript, such as, $90^{\circ}_{_X}$ or $(\pi/2)_{_Y}$.

- 4.2.7 *free induction decay (FID)*—the time response signal following application of an r-f pulse.
- 4.2.8 homogeneity spoiling pulse; homo-spoil pulse; inho-mogenizing pulse—a deliberately introduced temporary deterioration of the homogeneity of the magnetic field *H*.
- 4.2.9 *filter bandwidth; filter passband* the frequency range, in hertz, transmitted with less than 3 dB (50 %) attenuation in power by a low-pass filter.

Note 8—On some commercial instruments, filter bandwidth is defined in a slightly different manner.

- Note 9—Other parameters, such as rate of roll-off, width of passband, or width and rejection of center frequency in case of a notch filter, may be required to define filter characteristics adequately.
- 4.2.10 data acquisition rate; sampling rate; digitizing rate—the number of data points recorded per second.
- 4.2.11 *dwell time*—the time between the beginning of sampling of one data point and the beginning of sampling of the next successive point in the FID.
- 4.2.11.1 *aperture time*—the time interval during which the sample-and-hold device is receptive to signal information. In most applications of pulse NMR, the aperture time is a small fraction of the dwell time.

Note 10—Sampling Time has been used with both of the above meanings. Since the use of this term may be ambiguous, it is to be discouraged.

- 4.2.12 *detection method*—a specification of the method of detection.
- 4.2.12.1 *single-phase detection*—a method of operation in which a single phase-sensitive detector is used to extract signal information from a FID.
- 4.2.12.2 *quadrature detection*—a method of operation in which dual phase-sensitive detection is used to extract a pair of FID's which differ in phase by 90° .
- 4.2.13 *spectral width*—the frequency range represented without foldover. (Spectral width is equal to one half the data acquisition rate in the case of single-phase detection; but is equal to the full data acquisition rate if quadrature detection is used.)
- 4.2.14 *foldover*; *foldback*—the appearance of spurious lines in the spectrum arising from either (a) limitations in data acquisition rate or (b) the inability of the spectrometer detector to distinguish frequencies above the carrier frequency from those below it.

Note 11—These two meanings of foldover are in common use. Type (a) is often termed "aliasing." Type (b) foldover is obviated by the use of quadrature detection.

- 4.2.15 *data acquisition time*—the period of time during which data are acquired and digitized; equal numerically to the product of the dwell time and the number of data points acquired.
- 4.2.16 *computer-limited spectral resolution*—the spectral width divided by the number of data points.

Note—This will be a measure of the observed line width only when it is much greater than the spectral resolution defined in 4.1.5.

4.2.17 *pulse sequence*—a set of defined pulses and time spacings between these pulses.

Note 12—There may be more than one way of expressing a sequence, for example, a series $(90^\circ, \tau)_n$ may be one sequence of n pulses or n sequences each of the form $(90^\circ, \tau)$.

- 4.2.18 *pulse interval*—the time between two pulses of a sequence.
- 4.2.19 *waiting time*—the time between the end of data acquisition after the last pulse of a sequence and the initiation of a new sequence.

Note 13—To ensure equilibrium at the beginning of the first sequence, the software in some NMR systems places the waiting time prior to the

² For a discussion of the rotating coordinate system, see Abragam, "Principles of Nuclear Magnetism," Oxford, 1961, pp. 19ff.

initiation of the first pulse of the sequence.

- 4.2.20 *acquisition delay time*—the time between the end of a pulse and the beginning of data acquisition.
- 4.2.21 sequence delay time; recovery interval—the time between the last pulse of a pulse sequence and the beginning of the succeeding (identical) pulse sequence. It is the time allowed for the nuclear spin system to recover its magnetization, and it is equal to the sum of the acquisition delay time, data acquisition time, and the waiting time.
- 4.2.22 *sequence repetition time*—the period of time between the beginning of a pulse sequence and the beginning of the succeeding (identical) pulse sequence.
- 4.2.23 pulse repetition time—the period of time between one r-f pulse and the succeeding (identical) pulse; used instead of sequence repetition time when the "sequence" consists of a single pulse.
- 4.2.24 *inversion-recovery sequence*—a sequence that inverts the nuclear magnetization and monitors its recovery, such as $(180^{\circ}, \tau, 90^{\circ})$, where τ is the pulse interval.
- 4.2.25 saturation-recovery sequence—a sequence that saturates the nuclear magnetization and monitors its recovery, such as the sequence (90°, homogeneity-spoiling pulse, τ , 90°, T, homogeneity-spoiling pulse) or the sequence (90°)_n, τ , 90°, T, where (90°)_n represents a rapid burst of 90° pulses.
- 4.2.26 progressive saturation sequence— the sequence 90° , $(\tau, 90^{\circ})_n$, where n may be a large number, and data acquisition normally occurs after each pulse (except possibly the first three or four pulses).
 - 4.2.27 spin-echo sequence—the sequence 90°, τ, 180°
- 4.2.28 Carr-Purcell (CP) sequence—the sequence 90° , τ , 180° , $(2\tau, 180^{\circ})_n$, where *n* can be a large number.
- 4.2.29 *Carr-Purcell time*—the pulse interval 2τ between successive 180° pulses in the Carr-Purcell sequence.
- 4.2.30 Meiboom-Gill sequence; CPMG sequence—the sequence 90°_{x} , τ , 180°_{y} , $(2\tau$, $180^{\circ}_{y})_{n}$.
- 4.2.31 *spin-locking sequence*—the sequence 90°_{x} , $(SL)_{y}$, where SL denotes a "long" pulse (often measured in milliseconds or seconds, rather than microseconds) and H (lock) >> H (local).
- 4.2.32 *zero filling*—supplementing the number of data points in the time response signal with trailing zeroes before Fourier transformation.
- 4.2.33 partially relaxed Fourier transform (PRFT) NMR—a set of multiline FT spectra obtained from an inversion-recovery sequence and designed to provide information on spin-lattice relaxation times.
- 4.2.34 NMR integral (digital)—the integrals (see 4.1.6) of pulse-Fourier transform spectra or of digitized CW spectra, obtained by summing the amplitudes of the digital data points that define the envelope of each NMR band. The results of these summations are usually displayed either as a normalized total number of digital counts for each band, or as a step function (running total of digital counts) superimposed on the spectrum.

5. NMR Conventions

5.1 The dimensionless scale used for chemical shifts for any nucleus shall be termed the δ scale. The correct usage is

- δ = 5.00 or δ 5.00. Alternative forms, such as δ = 5.00 ppm or shift = 5.00 δ shall not be used.
 - 5.2 The unit used for line positions should be hertz.
- 5.3 The dimensionless and frequency scales should have a common origin.
- 5.4 The standard sweep direction should be from high to low radio frequency (low to high applied magnetic field).
- 5.5 The standard orientation of spectra should be with low radio frequency (high field) to the right.
 - 5.6 Absorption mode peaks should point up.

6. Referencing Procedures and Substances

- 6.1 General:
- 6.1.1 Whenever possible, in the case of proton and carbon-13 spectra, the chemical shift scale should be tied to an *internal* reference.
- 6.1.2 In case an external reference is used, either a coaxial tube or a capillary tube is generally adequate.
- 6.1.3 For nuclei other than protons or ¹³ C, for which generally agreed-upon reference substances do not yet exist, it is particularly important to report the reference material and referencing procedure fully, including separations in hertz and the spectrometer radio frequency when it is known.
 - 6.2 NMR Reference Substances for Proton Spectra:
- 6.2.1 The primary internal reference for proton spectra in nonaqueous solution shall be tetramethylsilane (TMS). A concentration of 1 % or less is preferred.
- 6.2.2 The position of the tetramethylsilane resonance is defined as exactly zero.
- 6.2.3 The recommended internal reference for proton spectra in aqueous solutions is the sodium salt of 2,2,3,3-tetradeutero-4,4-dimethyl-4-silapentanoic acid (TSP- d_4). Its chemical shift is assigned the value zero.
- 6.2.4 The numbers on the dimensionless (shift) scale to high frequency (low field) of TMS shall be regarded as positive.
- 6.3 NMR Reference Substances for Nuclei Other than Protons:
- 6.3.1 For all nuclei the numbers on the dimensionless (shift) scale to high frequency (low field) from the reference substance shall be positive. In the interim, until this proposal has been fully adopted, the sign convention used should be explicitly given.

Note 14—The existing literature on NMR contains examples of both the sign convention given above and its opposite. It seems desirable to adopt a uniform convention for all nuclei, and the convention recommended herein is already widely used in both proton and ¹³C NMR. The recommended convention will result in assigning the most positive numerical value to the transition of highest energy.

6.3.2 The primary internal reference for ¹³C spectra of nonaqueous solutions shall be tetramethylsilane (TMS). For aqueous solutions, secondary standards such as dioxane have been found satisfactory. When such standards are used the line positions and chemical shifts should be reported with reference to TMS, and the conversion factor should be stated explicitly.

6.3.3 The primary external reference for boron spectra (10 B and 11 B) shall be boron trifluoride-diethyletherate [(C_2H_5)₂O:BF₃].

6.3.4 The primary external reference for ^{31}P spectra shall be phosphorus trioxide (P_4O_6).

6.3.5 Specific recommendations for nuclei other than those mentioned above are not offered here. The following guidelines should be used: If previous work on the nucleus under study exists, any earlier reference should be used unless there are compelling reasons to choose a new reference. A reference substance should have a sharp line spectrum if possible. A singlet spectrum is preferred. A reference substance should be chosen to have a resonance at low frequency (high field) so far as possible, in order that the majority of chemical shifts will be of positive sign. Internal references should be avoided unless it is possible to include a study of solvent effects on chemical shift.

7. Recommended Practice for Signal-to-Noise Determination in Fourier Transform NMR

7.1 General—This section gives the recommended practice for signal-to-noise ratio (S/N) determination in three specific situations: (a) proton single pulse mode; (b) carbon-13 single pulse mode; and (c) carbon-13 multiple pulse mode.

Note 15—Some of the materials recommended for use in this section are known to present health hazards if used improperly. Anyone making up solutions containing benzene, dioxane, or chloroform should consult and abide by OSHA regulations 29CFR 1910.1000 (solvents) and 29CFR 1910.1028 (benzene).

7.2 Proton Single Pulse Mode:

7.2.1 Sample—Dilute ethylbenzene in CDCl₃.

7.2.2 *Measurement*—Proton signal-to-noise ratio is measured using a single pulse of radio-frequency power applied to a dilute solution of ethylbenzene in CDCl₃. Choose the

concentration of ethylbenzene appropriate to the sensitivity of the instrument under test, such that the S/N as measured on the methylene quartet is 25:1. State the determined S/N as "equivalent one percent ethylbenzene sensitivity." Carry out the measurement using the following conditions:

Spectral width 0 to 10 ppm $(\delta_{TMS}^{1} \equiv 0)$

Data acquisition time ≥0.4 s

Flip angle 90° Analog filter appropriate

Analog filter appropriate for method of detection

Detection method specify (for example, single phase, SSB, QPD)

Equilibration delay 60 s

Following the data acquisition, multiply the data by a decaying exponential function of the form $e^{-t/A}$, where A is equivalent to a T_2 contribution. A may be expressed as a time constant in units of seconds, or, alternatively, the line broadening (LB) resulting from the exponential multiplication may be expressed in units of hertz (Hz). For the measurement, A = 0.3 or LB = 1 Hz. Perform no data smoothing after transformation. Plot the resulting absorption mode spectrum over the full 0 to 10 ppm. Measure S/N on a plot expansion covering the range of 2 to 6 ppm, in which the methylene quartet is plotted to fill the chart paper as closely as practical. Use sufficient vertical amplitude to obtain a peak-to-peak noise measurement greater than 2 cm. Measure peak-to-peak noise over the 4 to 6 ppm region on the same trace or calculate rms noise by computer (see Note 2). The S/N is then calculated on the strongest line in the quartet as follows (see Fig. 1):

$$[(signal intensity)/(peak - to - peak noise)] \times 2.5 = S/N$$
 (5)

Note 16—The true rms noise can be calculated by computer and used in the S/N determination. Since peak-to-peak noise is approximately five times rms noise, rather than 2.5 times, the rms noise must be doubled to obtain a comparable S/N. When this is done, it is felt that the S/N determined by computer should be reliable and less subject to human error than the alternate method of estimating peak-to-peak noise from a chart recording. The computer program should do the following:

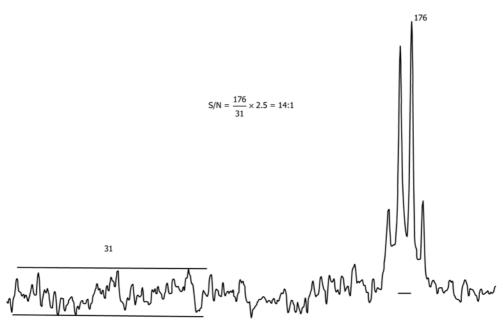


FIG. 1 Typical S/N Measurement on the Proton Signal in Dilute Ethylbenzene

- (a) Select the region in which noise is to be measured as specified in the above test.
- (b) Obtain the algebraic mean of all the observed points in this region, and subtract the mean from each point (zero-order correction).
- (c) If the base line slopes, a first order correction may be made by using a standard least-squares method to obtain the slope and intercept of the baseline, then subtracting each calculated point from the corresponding observed point.
- (d) Corrections calculated on the noise in the specified region of the spectrum should be applied to that region and also to the spectral region containing the signal.
- (e) Form the sum of the squares of each amplitude (point), corrected as described previously, divide by one less than the number of points in the region, and take the square root. This is the rms noise.

rms noise =
$$\left[\left(\sum [\text{amplitude}]^2 \right) / (N-1) \right]^{1/2}$$
 (6)

No other processing should be done; in particular, points that appear to be extreme should not be deleted. S/N becomes simply (signal intensity/2)/(rms noise).

- 7.2.3 *Discussion*—The 1 % ethylbenzene S/N measurement is a widely used method for ¹H S/N both in CW and FT NMR. Although presenting few difficulties in CW work, the typical samples used in FT NMR do present some problems which we hope to avoid using this procedure.
- 7.2.3.1 The 1 % concentration traditionally employed generates a very high S/N on modern FT spectrometers, particularly at very high magnetic field strengths.
- 7.2.3.2 TMS is usually present in standard samples at the 1 % level. This causes a very strong signal which can lead to an erroneous S/N measurement.
- 7.2.3.3 The variety of sample tube sizes and S/N values has made it inconvenient to use a uniform concentration. The solution(s) should be made up by volume composition at 25°C using good volumetric practice. Suggested solutions:

No.	Ethylbenzene, %	TMS, % (Note 3)
1	3.0	0.3
2	1.0	0.1
3	1.0	1.0 (also valuable for CW
		TMS-locked spectrometers)
4	0.33	0.03
5	0.10	0.01
6	0.033	0.003
7	0.010	0.001

Note 17—The TMS is added for a reference material.

- 7.3 Carbon-13 Single Pulse Mode:
- 7.3.1 Sample—60 % C_6D_6 (>98atom %D),40 % *p*-dioxane (v/v).
- 7.3.2 *Measurement*—Measure carbon-13 signal-to-noise ratio on the benzene carbon signal in a solution of 60 % perdeuterobenzene— 40 % *p*-dioxane, with the spectrometer locked to the deuterium in the sample, using the following conditions:

 $\begin{array}{lll} \mbox{Spectral width} & 0 \mbox{ to 200 ppm (} \delta \mbox{ } G^{^{13}}_{\it TMS} \equiv 0 \mbox{ ppm)} \\ \mbox{Data acquisition time} & \geq 0.4 \mbox{ s} \\ \mbox{Flip angle} & 90^{\circ} \\ \mbox{Analog filter} & \mbox{appropriate for method of detection} \\ \mbox{Detection method} & \mbox{specify (for example, single phase, SSB, QPD)} \\ \mbox{Equilibration delay} & 300 \mbox{ s} \\ \mbox{Decoupler} & \mbox{off} \\ \end{array}$

Following the data acquisition, multiply the data by a decaying exponential function of the form $e^{-t/A}$, where A is equivalent to a T_2 contribution. A may be expressed as a time constant in units of seconds, or, alternatively, the line broadening (LB) resulting from exponential multiplication may be expressed in units of hertz (Hz). For the measurement, A=0.3 or LB = 1 Hz. Perform no data smoothing after transformation. Plot the resulting absorption mode spectrum over the full 0 to 200 ppm chemical shift range. Plot the C_6D_6 triplet to fill the vertical range of the chart paper as closely as practical. Use sufficient vertical amplitude to obtain a peak-to-peak noise measurement greater than 2 cm. Signal-to-noise is to be measured as:

[(average triplet intensity)/(peak - to - peak noise)]
$$\times 2.5 = S/N$$
 (7)

Measure the peak-to-peak noise between the C_6D_6 and dioxane triplets, specifically between and inclusive of 80 and 120 ppm on the 13 C chemical shift scale, or calculate rms noise by computer (see Note 2 and Fig. 2).

7.3.3 Characteristics of the Proposed Standard:

7.3.3.1 The S/N of the C_6D_6 triplet is low enough to permit a plot from which both signal and noise may be measured. For a full scale vertical display of the C_6D_6 triplet, the peak-to-peak noise amplitude should be adequately measured and have two significant figures. (For those spectrometers with very high sensitivity, noise would still have to be blown up to at least 2 cm peak-to-peak in a separate trace of the same transformed data.)

- 7.3.3.2 The C_6D_6 triplet has linewidth of 14 Hz under these conditions, reasonably independent of magnet resolution, permitting easy tune up and small 4 K data table for the measurement.
- 7.3.3.3 The C_6D_6 S/N can be measured in the presence of or absence of high power proton decoupling facilitating servicing diagnostic procedures. It is particularly valuable in diagnosing decoupler-caused noise contributions.
- 7.3.3.4 The broad lines of the $\rm C_6D_6$ result from long-range $\rm ^{13}C^{-2}H$ coupling and thus the linewidth is not field-dependent.
- $7.3.3.5~C_6D_6$ has no nuclear Overhauser enhancement (NOE).
- 7.3.3.6 The reference material is widely available and can serve as an internal ²H lock.
- 7.3.3.7 The C_6D_6 S/N is independent of applied lock power in normal locking power range up to and beyond saturation of the deuterium signal.
- 7.3.3.8 The C_6D_6 S/N is temperature independent over normal working temperatures.
- 7.3.3.9 The dioxane serves several purposes: ready reference to prior data; a conveniently short T_1 (<10 s); under decoupled conditions it possesses a strong signal serving for $\gamma H_1/2\pi$ measurement by means of a 90° pulse determination; under off-resonance conditions its residual $^{13}\text{C-}^{1}\text{H}$ coupling can serve to measure $\gamma H_2/2\pi$; the decoupled singlet can be used to measure resolution in terms of full linewidth at half-height, also line shape and spinning sidebands; and under coupled conditions and longer acquisition times, it can provide a coupled spectrum with long-range couplings. The strong

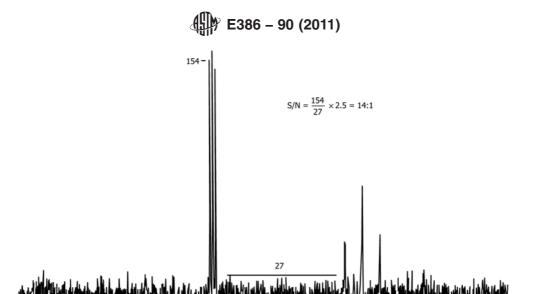


FIG. 2 Typical S/N Measurement on Single Pulse ¹³C Spectrum of C₆D₆-Dioxane Mixture

signal available from decoupled dioxane permits facile tests of decoupler gating through measurement of the NOE via "Suppressed Overhauser" gating schemes vs use of coupled dioxane as the base point for calculating the NOE. The short T_1 of dioxane allows routine check of automatic T_1 programs and calculations.

7.3.4 *Discussion*—The proposed measurement is possible and convenient on any modern FT instrument. This method ensures that the maximum available S/N is obtained, thus preventing confusion in parameter choice, particularly in the case of the exponential weighting. A new standard is necessary in view of the difficulty in widespread reliable use of the 90 % ethylbenzene sample previously used. The natural linewidths of the ethylbenzene lines are less than 0.1 Hz requiring exacting field homogeneity to obtain maximum resolution. The narrow lines also demand long data acquisition times in each FID to define the lines adequately. Since ethylbenzene S/N is measured on a decoupled protonated carbon signal, decoupler power, modulation efficiency, and offset are all factors in determining S/N. The S/N for most spectrometers is >100:1 for 90 % ethylbenzene making noise measurements the primary factor in the derived S/N.

7.3.4.1 Dioxane has been proposed for the S/N sample but it has some serious drawbacks in addition to several advantages shared with deuterobenzene. Its T₁ is dipole-dipole dominated and has full NOE in the decoupled experiment. It is easily possible to have residual NOE in a *coupled* spectrum by not waiting long enough for the NOE to decay away prior to the sampling pulse. Although deuterobenzene has the common requirement of sufficient equilibration delay the error is always on the side of lower S/N, whereas dioxane's apparent S/N can be up to a factor of three greater than that assumed by simple inspection of the spectrum. This makes comparison of intrinsic S/N susceptible to error. The addition of dioxane to the 40%level provides all the advantages listed above for routine tuning up and quick S/N checking, while the C₆D₆ permits an absolute measurement. The other major disadvantage of dioxane is the dependence of the character of the spectrum on acquisition

time and weighting function. If more than 0.5-s acquisition is used with a less severe weighting function than above, the fine structure from the long-range coupling becomes visible. While no problem for the experienced spectroscopist, this can be and has been confusing to inexperienced users.

7.3.4.2 In summary, the sample in 7.3 for S/N measurement is recommended particularly when comparing instruments in different laboratories. For use within a laboratory by knowledgeable operators, ethylbenzene still offers a practical sample for simultaneous checking of S/N, resolution and decoupling efficiency. The adoption of an intrinsic S/N sample such as that described above also identifies the need for separate measurement of resolution and $H_2/2\pi$ to more completely characterize the performance of an FT spectrometer on ¹³C. In addition, this measurement is understood to measure only intrinsic sensitivity and not the sensitivity of a time-averaged spectrum on a "routine" sample.

7.4 Carbon-13 Multiple Pulse Mode:

7.4.1 Sample—0.1 M Sucrose in D_2O equilibrated with toluene. Dissolve 3.423 g of sucrose (stored at a relative humidity of 50 % or less; NBS SRM sucrose is satisfactory) in about 90 cc of D_2O in a 100-cc volumetric flask, then dilute to the mark at 25°C with D_2O after all the sucrose is dissolved. Add 0.05 ml of toluene as a preservative.

7.4.2 *Measurement*—Carry out the measurement in the multiple-pulsed mode locked to the internal D_2O using the following conditions:

 $\begin{array}{lll} \mbox{Spectral width} & \mbox{0 to 200 ppm } (\delta^{13}_{TMS} \equiv 0) \\ \mbox{Data acquisition time} & \geq 0.4 \ \mbox{s} \\ \mbox{Flip angle} & \mbox{90}^{\circ} \end{array}$

Analog filter appropriate for method of detection Detection method specify (for example, single phase,

SSB, QPD)
Pulse repetition rate 1 pulse/s

1 decoupler broadband

¹H decoupler frequency centered at 5 ± 1 ppm in the ¹H

¹H decoupler modulation mode specify (for example, noise, square wave, etc.)

¹H decoupler modulation frequency Number of transients

specify

4000 for 5-mm sample size 1000 for 10 to 12-mm sample size 100 for >12-mm sample size specify

Operating temperature

Following the data acquisition, multiply the data by a decaying exponential function of the form $e^{-t/A}$, where A is equivalent to a T_2 contribution. A may be expressed as a time constant in units of seconds, or, alternatively, the line broadening (LB) resulting from the exponential multiplication may be expressed in units of Hz. For the measurement, A = 0.3 or LB = 1.0 Hz. Perform no data smoothing after transformation. Plot the resulting absorption mode spectrum over the full 200 ppm chemical shift range. Plot the spectrum to fill the vertical range of the chart paper as closely as practical. Measure the peak-to-peak noise between 120 and 140 ppm of the spectral window or calculate rms noise by computer (see Note 2). For those spectrometers with very high sensitivity, noise may have to be blown up to at least 2 cm peak-to-peak in a separate trace of the same transformed data. Measure signals Nos. 2, 3, 9, and 12 (identified on Fig. 3) and calculate S/N as follows:

$$[(2+3+9+12)/(\text{peak} - \text{to} - \text{peak noise})] \times 0.625 = S/N$$
 (8)

7.4.3 Discussion—This measurement permits evaluation of sensitivity under "typical" conditions; that is, the decoupler is on and many transients are obtained. In addition to a knowledge of the basic, or intrinsic, 13 C sensitivity as measured in the C_6D_6 test, it is extremely important to evaluate the long term sensitivity as reflected in a proton-decoupled, time-averaged spectrum. The type and quality of the decoupling, as well as long term and short term instabilities in any instrument element, can profoundly affect sensitivity. This test is designed to monitor this performance.

7.4.3.1 Sucrose is chosen because of its widespread availability, purity, low cost, stability (in toluene equilibrated water) and spectral characteristics. Among these are the reasonable (1 Hz) linewidths, short T_1 s, and full NOE. The number of transients is chosen to provide a reasonable total

experimental time, typically 20 min, while still running long enough to simulate normal experiments adequately.

7.4.3.2 Decoupling efficiency is another highly variable element in "routine sensitivity." It certainly determines the ultimate sensitivity in the 90 % ethylbenzene sensitivity test (magnet homogeneity permitting). For this reason ethylbenzene is unsuitable for an absolute sensitivity determination. Yet, it is necessary to include the decoupler in sensitivity considerations since a poorly operating decoupler can be the main determinant in apparent sensitivity. Thus, proper consideration must be given not only to intrinsic sensitivity but also to "routine" sensitivity in characterizing spectrometer performance.

8. Presentation of NMR Data and Spectrometer Parameters

- 8.1 *General*—The following should be specified whenever NMR data are published:
- 8.1.1 Nucleus observed. In cases where possible ambiguity exists, the isotope must be specified, for example, ¹⁴N, ¹¹B. In other cases the isotope may be specified, even though superfluous, such as, ¹⁹F, ³¹P.
 - 8.1.2 Name of solvent and concentration of solution.
- 8.1.3 Name of external reference, or name and concentration of internal reference, as applicable.
 - 8.1.4 Temperature of sample and how measured.
 - 8.1.5 Procedure used for measuring peak positions.
 - 8.1.6 Radio frequency at which measurements were made.
- 8.1.7 Magnitude of radio frequency field (see 2.4), or assurance that saturation of the signal has not occurred (in the case of CW spectra), or both.
- 8.1.8 Mathematical operations used to analyze the spectra. In cases where a computer program has been used to assist in the analysis of the spectrum, the following information should be included: Identification/source of program, number of lines

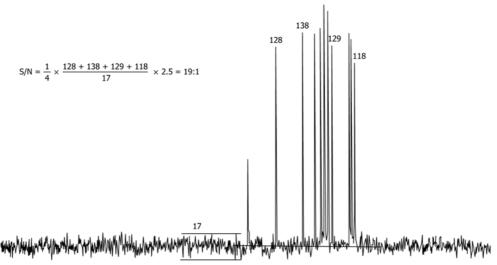


FIG. 3 Typical S/N Measurement on Accumulated ¹³C Spectrum of 0.1 M Sucrose in D₂O

fitted, identity of parameters varied, rms deviation of all lines, estimated precision of fitted parameters, and maximum deviation of worst line.

- 8.1.9 Numbers on the frequency scale (if used). They should increase from low to high frequency (high to low applied field if field sweep is used).
- 8.2 When CW spectra are published the following information should be included:
 - 8.2.1 Sweep rate.
- 8.2.2 Values of both r-f fields when spin decoupling or double resonance is employed.
- 8.2.3 The shifts and couplings obtained from the spectra should be reported when available, the former in dimensionless units (ppm) and the latter in frequency units (hertz).
- 8.3 *Pulse-Fourier Transform Spectra* For high-resolution pulse-Fourier transform experiments, all of the following that are applicable should be specified:
 - 8.3.1 Pulse flip angle used.
 - 8.3.2 90° pulse width, or pulse amplitude.

Note 18—Both 8.3.1 and 8.3.2 must always be specified. They may be given indirectly, for example, as pulse width used *and* as pulse width for a 90° pulse for the nucleus being studied.

8.3.3 Bandwidth and rolloff characteristics of all limiting filters (low-pass and crystal filters). Usually given as bandwidth (see 4.2.9) and type (such as, a 4-pole Butterworth).

- 8.3.4 Spectral width (or data acquisition rate or dwell time).
- 8.3.5 Data acquisition time (and acquisition delay time if relevant).
- 8.3.6 Pulse repetition time and number of pulses if the" sequence" consists of a single pulse.
- 8.3.7 Description of pulse sequence including (a) common name or details of pulses and phases, (b) sequence repetition time, (c) pulse intervals, (d) waiting time, (e) number of sequences, and (f) the specific pulse intervals during which data are acquired.
 - 8.3.8 Quadrature phase detection, if used.
- 8.3.9 Number of data points Fourier transformed (it is desirable to indicate specifically whether zero filling is used).
- 8.3.10 The time constant of exponential weighting function (exponential filter), if used.
- 8.3.11 Details of apodization or other weighting of the time response signal.
- 8.3.12 Details of any other data processing such as spectral smoothing, baseline corrections, etc.
 - 8.3.13 Details of systematic noise reduction, if used.
 - 8.3.14 Relation of pulse frequency to observed frequencies.

9. Keywords

9.1 molecular spectroscopy; nuclear magnetic resonance

ASTM International takes no position respecting the validity of any patent rights asserted in connection with any item mentioned in this standard. Users of this standard are expressly advised that determination of the validity of any such patent rights, and the risk of infringement of such rights, are entirely their own responsibility.

This standard is subject to revision at any time by the responsible technical committee and must be reviewed every five years and if not revised, either reapproved or withdrawn. Your comments are invited either for revision of this standard or for additional standards and should be addressed to ASTM International Headquarters. Your comments will receive careful consideration at a meeting of the responsible technical committee, which you may attend. If you feel that your comments have not received a fair hearing you should make your views known to the ASTM Committee on Standards, at the address shown below.

This standard is copyrighted by ASTM International, 100 Barr Harbor Drive, PO Box C700, West Conshohocken, PA 19428-2959, United States. Individual reprints (single or multiple copies) of this standard may be obtained by contacting ASTM at the above address or at 610-832-9585 (phone), 610-832-9555 (fax), or service@astm.org (e-mail); or through the ASTM website (www.astm.org). Permission rights to photocopy the standard may also be secured from the Copyright Clearance Center, 222 Rosewood Drive, Danvers, MA 01923, Tel: (978) 646-2600; http://www.copyright.com/