Designation: E179 - 17

Standard Guide for Selection of Geometric Conditions for Measurement of Reflection and Transmission Properties of Materials¹

This standard is issued under the fixed designation E179; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ε) indicates an editorial change since the last revision or reapproval.

INTRODUCTION

This is a guide describing the selecting of geometric conditions of measurement of appearance attributes such as color, gloss, reflectance, opacity, and transmittance. It includes a selection of numerical scales for appearance attributes other than color.

In describing appearance, wavelength (or spectral) variability is primarily responsible for color, while geometric (or directional) selectivity is primarily responsible for gloss, luster, translucency, and like attributes. However, geometric conditions not only affect geometric variables such as gloss and transparency, but also affect color, diffuse reflectance, and transmittance. Likewise spectral conditions can affect the measurement of geometric attributes of appearance. Therefore both the spectral and geometric conditions of measurement must be identified in specifying an appearance attribute of a specimen.

This guide describes the selection of geometric conditions and as a consequence should help improve agreement in these measurements as well as providing useful guidance in resolving differences between spectral-type measurements that are related to geometry.

1. Scope

- 1.1 This guide is intended for use in selecting terminology, measurement scales, and instrumentation for describing or evaluating such appearance characteristics as glossiness, opacity, lightness, transparency, and haziness in terms of reflected or transmitted light. This guide does not consider the spectral variations responsible for color, but the geometric variables described herein can importantly affect instrumentally measured values of color. This guide is general in scope rather than specific as to instrument or material.
- 1.2 This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.

2. Referenced Documents

2.1 ASTM Standards:²

C346 Test Method for 45-deg Specular Gloss of Ceramic Materials

C347 Test Method for Reflectivity and Coefficient of Scatter of White Porcelain Enamels (Withdrawn 1990)³

C523 Test Method for Light Reflectance of Acoustical Materials by the Integrating Sphere Reflectometer (Withdrawn 1988)³

C584 Test Method for Specular Gloss of Glazed Ceramic Whitewares and Related Products

D523 Test Method for Specular Gloss

D1003 Test Method for Haze and Luminous Transmittance of Transparent Plastics

D1455 Test Method for 60° Specular Gloss of Emulsion Floor Polish

¹ This guide is under the jurisdiction of ASTM Committee E12 on Color and Appearance and is the direct responsibility of Subcommittee E12.03 on Geometry. Current edition approved May 1, 2017. Published July 2017. Originally approved in 1961. Last previous edition approved in 2012 as E179 – 12. DOI: 10.1520/E0179-17.

² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

 $^{^{3}\,\}mbox{The last approved version of this historical standard is referenced on www.astm.org.$

- D1494 Test Method for Diffuse Light Transmission Factor of Reinforced Plastics Panels
- D1746 Test Method for Transparency of Plastic Sheeting
- D1834 Test Method for 20° Specular Gloss of Waxed Paper (Withdrawn 2004)³
- D4039 Test Method for Reflection Haze of High-Gloss Surfaces
- D4061 Test Method for Retroreflectance of Horizontal Coatings
- E97 Method of Test for Directional Reflectance Factor, 45-Deg 0-Deg, of Opaque Specimens by Broad-Band Filter Reflectometry (Withdrawn 1991)³
- E284 Terminology of Appearance
- E429 Test Method for Measurement and Calculation of Reflecting Characteristics of Metallic Surfaces Using Integrating Sphere Instruments (Withdrawn 1996)³
- E430 Test Methods for Measurement of Gloss of High-Gloss Surfaces by Abridged Goniophotometry
- E808 Practice for Describing Retroreflection
- E809 Practice for Measuring Photometric Characteristics of Retroreflectors
- E810 Test Method for Coefficient of Retroreflection of Retroreflective Sheeting Utilizing the Coplanar Geometry
- E811 Practice for Measuring Colorimetric Characteristics of Retroreflectors Under Nighttime Conditions
- E991 Practice for Color Measurement of Fluorescent Specimens Using the One-Monochromator Method
- E1164 Practice for Obtaining Spectrometric Data for Object-Color Evaluation
- E1331 Test Method for Reflectance Factor and Color by Spectrophotometry Using Hemispherical Geometry
- E1348 Test Method for Transmittance and Color by Spectrophotometry Using Hemispherical Geometry
- E1349 Test Method for Reflectance Factor and Color by Spectrophotometry Using Bidirectional (45°:0° or 0°:45°) Geometry
- E1767 Practice for Specifying the Geometries of Observation and Measurement to Characterize the Appearance of Materials
- E2194 Test Method for Multiangle Color Measurement of Metal Flake Pigmented Materials
- E2539 Test Method for Multiangle Color Measurement of Interference Pigments
- F768 Test Method for Specular Reflectance and Transmittance Measurements of Optically Flat-Coated and Non-Coated Specimens (Withdrawn 1994)³
- 2.2 CIE Publications:⁴
- CIE Publication No. 15 Colorimetry
- CIE Publication No. 17 International Lighting Vocabulary
- CIE Publication No. 38 Radiometric and Photometric Characteristics of Materials and Their Measurement

3. Terminology

3.1 Definitions:

⁴ CIE documents may be obtained from CIE (International Commission on Illumination), http://www.cie.co.at or http://www.techstreet.com.

- 3.1.1 *flux (radiant)*, ϕ , n—the time rate of flow of radiant energy; radiant power (Terminology E284).
- 3.1.2 *incident flux,* Φ_i *n*—flux incident on the specimen at a specified illumination angle and aperture angle.
- 3.1.3 reflected flux, Φ_r , n—flux reflected from the specimen at a specified viewing angle and aperture angle.
- 3.1.4 reference reflected flux, $\Phi_{r,r}$, n—flux reflected from a reference standard of reflectance, illuminated and viewed in the same manner as the specimen under consideration.
- 3.1.5 transmitted flux, Φ_{r} n—flux transmitted through the specimen at a specified viewing angle and field angle.
- 3.1.6 reflectance, ρ , n—ratio of the reflected flux to the incident flux defined as $\rho = \Phi_r / \Phi_i$.
- 3.1.7 reflectance factor, R, n—ratio of the reflected flux to the reference reflected flux defined as $R = \Phi_r / \Phi_{rr}$.
- 3.1.8 *transmittance*, τ , n—ratio of the transmitted flux to the incident flux defined as $\tau = \Phi_t / \Phi_i$.
- 3.1.8.1 *Discussion*—A companion term, transmittance factor, is not normally used in the measurement of appearance attributes.
- 3.1.9 For other definitions see Terminology E284 and CIE Publication Nos. 17 and 38.

4. Summary of Guide

- 4.1 When light impinges upon a material, several phenomena can occur. Part of the light may be reflected, part may be transmitted, and part may be absorbed. This guide deals with the reflected and transmitted light and the selection of geometric conditions for its measurement.
- 4.2 An idealization of the light reflected and transmitted by a material is shown in Fig. 1. Fig. 2 illustrates light distributions more like those actually encountered in practice.

5. Types of Measurement Scales

5.1 *Type of Scale*—The terms defined in 3.1.6 – 3.1.8 to may be further identified by a preceding adjective, such as specular, regular, diffuse, total, or directional, thereby identifying the basis for the measurement scale. The significance of each of these adjectives is as follows:

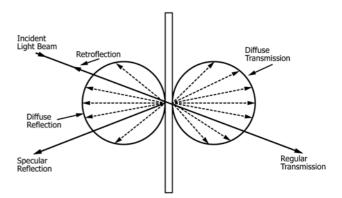


FIG. 1 Idealizations of Reflection and Transmission Phenomena, Showing Components



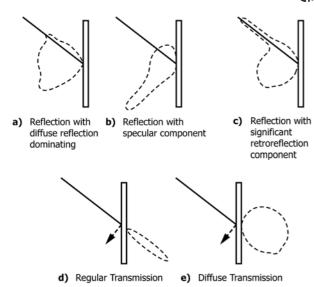


FIG. 2 Representations of Actual Reflection and Transmission Phenomena with Mixtures of Components

TABLE 1 Differences Between Concepts of Regular (Specular) and Diffuse Components of Reflection and Transmission

Measurement	Geometric Distribution of Light	Structural Elements Responsible	Resulting Appearance Characteristic When Component Dominates
Reflectance:			
Specular	reflected only in	smoothness of surface	glossiness or
component	direction of mirror reflection	or skin of specimen	shininess
Diffuse	distributed in all	pigment granules and	lightness
component	directions	cavities within specimen, surface	(expressed on black-gray-white
		roughness	scale)
Transmittance:		-	•
Regular	a continuation of the	clear homogeneous	clearness or
component	incident beam	medium with plane, parallel faces	transparency
Diffuse	distributed in all	scattering and	translucency,
component	directions	refracting particles of a	•
		nonopaque specimen,	haziness
		surface roughness	

- 5.1.1 regular—indicates that only light that has been reflected or transmitted without scattering or diffusion is included for measurement. When a specimen scatters or diffuses the incident light on reflection or transmission, the values obtained will depend on the angular size of the illuminator and receiver used in the measurement.
- 5.1.2 specular—indicates that only the light that is mirror-reflected is included for measurement. The CIE prefers the modifier regular instead of specular although specular reflectance is recognized. Specular has also sometimes been used to refer to regular transmittance. This is a misnomer because specular refers to a mirror.
- 5.1.3 *diffuse*—indicates that only the light reflected or transmitted in directions other than the specular or regular direction is included in the measurement.

Note 1—The differences between the concepts of regular and diffuse components of reflection and transmission are shown in Table 1.

- 5.1.4 *total*—indicates that the light reflected or transmitted in all directions is included for measurement.
- 5.1.5 *directional*—indicates that the light reflected or transmitted in specified directions only is included for measurement. Directional values depend on the illumination and viewing angles and refer to light reflected or transmitted in directions that differ moderately from the centroid direction or axis of the beam.

6. Geometric Directions of Incidence and Viewing

- 6.1 Geometric directions may be identified by preceding the adjective with the angular directions, by including a detailed geometric description, or by placing after the symbols a subscript that represents the measurement condition.
- Note 2—This guide is concerned with bidirectional or hemispherical measurement systems. For gonioapparent methods, see Section 8.4. For methods of specifying the geometry of measurements, see Practice E1767.
- 6.2 *illumination and viewing angles*—the angles of illumination and viewing are identified as follows (see Fig. 3):
- 6.2.1 *illumination angle*, θ_i —the angle between the incident-beam axis and the normal (perpendicular) to the surface of the specimen (the specimen normal).
- 6.2.2 viewing angle for reflection, θ_r —angle between the surface normal and the axis of the receiver.
- 6.2.3 viewing angle for transmission, θ_t —angle between the axis of the transmitted beam and the axis of the receiver.
- 6.3 aperture angles—the angles subtended at a point on the specimen by the maximum dimension of the apparent illuminator and receiver. They are a necessary part of the geometric specification because the finite size of every practical illuminator limits collimation.
- 6.4 azimuthal angle, η —the angle between the plane containing the illuminator axis and the specimen normal and the plane containing the receiver axis and the specimen normal. Unless an azimuthal angle is specified, the illuminator axis, the specimen normal, and the receiver axis are taken to be in the same plane.
- 6.5 rotation angle, ε —the angle indicating the orientation of the test specimen when it is rotated in its own plane. The orientation of the specimen is considered to be part of the specimen description in this guide (see 12.2.7).
- 6.6 Complete geometric specifications are necessary for measuring such geometrically dependent factors as gloss, transparency, and haze. For ideally specular or ideally regular or diffuse reflection or transmission, specification of only the directions of illumination and view is usually adequate.

7. Measured Quantities

- 7.1 The following quantities, defined and described in more detail in the Illuminating and Viewing Conditions section of Practice E1164 and in CIE Publication No. 15, are those most commonly measured by spectrophotometry and tristimulus (filter) colorimetry for the assessment of color and related appearance attributes.
- 7.1.1 45°/normal (45/0) and normal/45° (0/45) reflectance factor—for the 45/0 condition, the specimen is illuminated by one or more beams at an angle of 45° from the specimen

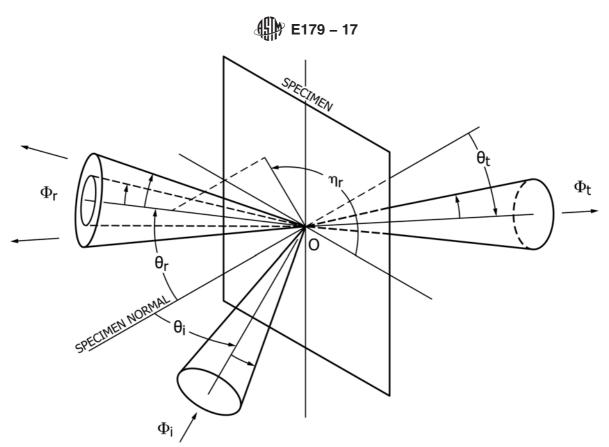


FIG. 3 Designations of Flux, Φ , and Angles θ , η , for Reflectance and Transmittance Measurement

normal to the specimen surface. The angle between the direction of viewing and the specimen normal should not exceed 10°. For the 0/45 condition, these requirements are interchanged. Suitable restrictions on the angles of illumination and viewing and on the aperture angles should be observed.

7.1.2 total/normal (t/0) or diffuse/normal (d/0) and normal/total (0/t) or normal/diffuse (0/d) reflectance factor—for the t/0 or d/0 conditions, the specimen is illuminated diffusely, for example by an integrating sphere. The angle between the normal to the specimen surface and the direction of viewing should not exceed 10° . If all specularly reflected light is included in the measurements, the condition is t/0; if all specularly reflected light is excluded, the condition is d/0. For the 0/t or 0/d conditions, the requirements for illumination and viewing are interchanged. Suitable restrictions on the aperture angles and the nature of the integrating sphere must be observed.

7.1.3 regular transmittance of fully transparent specimens—the specimen is illuminated with an illumination angle not exceeding 5°. The requirements for illumination and viewing may be interchanged. Suitable restrictions on the aperture angles should be observed.

7.1.4 normal/total (0/t) or normal/diffuse (0/d) and total/ normal (t/0) or diffuse/normal (d/0) transmittance of translucent, diffusing, or hazy specimens—for the 0/t or 0/d conditions, the specimen is illuminated at an angle of less than 5° from the normal to its surface. The transmitted flux is collected by an integrating sphere, with the specimen placed flush against the port of the sphere. With suitable restrictions on the nature of the sphere, the condition is 0/t if the regularly transmitted flux is included and 0/d if it is excluded. The results should be interpreted with caution and may be specific to the instrument used. For the t/0 and d/0 conditions, the requirements for illumination and viewing are interchanged. Suitable restrictions on the aperture angles should be observed.

8. Reflectance

8.1 If the specimen being measured is a specular (non-scattering) reflector (for example, a mirror, high-gloss metal surface, or coated window glass), proceed to 8.2, otherwise proceed to 8.3.

8.2 Specular Reflector—If the specimen being measured is a specular (non-scattering) reflector (for example, a mirror, high-gloss metal surface, or coated window glass), then measure using hemispeherical illumination, or view, with the specular component included in accordance with Test Method E1331.

8.3 If the specimen being measured is known to contain gonioapparent pigments, such as metallic or pearlescent flake, then proceed to 8.4. If the specimen being measured is a body-color reflector (a specimen having diffuse reflectance) then proceed to 8.5.

8.4 Gonioapparent Materials—If the specimen is gonioapparent, color changes with change in illumination or viewing angle, then a multiangle measurement geometry is required to describe the color of the specimen. There are two standards relating to the measurement of gonioapparent specimens, Practices E2194 and E2539. If the specimen exhibits STRONG hue flop, then Practice E2539 may be

required depending on the intended use of the data. For most specimens however, the geometries specified in Practice E2194 should be adequate. The user is referred to the Significance and Use section of each standard for further guidance.

- 8.5 Body-Color Specimen—If the specimen being measured is a body-color (scattering) reflector (for example, a specimen having diffuse reflectance), assess the end-use to which the measurement will be put. If the measurements are intended for computer-assisted color-matching, proceed to 8.5.1. If the measurements being made are intended for quality-control purposes, proceed to 8.5.2. If the user is most interested in assessing appearance attributes of the specimen, proceed to 8.5.3.
- 8.5.1 Computer-Assisted Color-Matching—If the results are intended for match prediction in computer-assisted color-matching, then measure using hemispherical illumination, or collection, with the specular component included in accordance with Test Method E1331.
- 8.5.2 *Quality Control*—If the measurements being made are intended for quality-control purposes, assess whether the first-surface characteristics (gloss and texture attributes) of the standard and the trial are similar or dissimilar. If similar, proceed to 8.5.2.1. If dissimilar, proceed to 8.5.2.2.
- 8.5.2.1 If the first-surface of the standard specimen, and the first-surface of the trial specimen are similar, or identical, for gloss and texture characteristics, then measurement may be made by several methods. The user may choose hemispherical illumination, or collection, with the specular component included, or may elect that the specular component be excluded, both in accordance with Test Method E1331. The user could under these conditions choose 45°/0° geometry in accordance with Test Method E1349. Each of these three methods produces a result that is consistent within its own set of geometrical conditions. Each is arbitrary in the sense that it is slightly, but significantly, different from the other geometries. Measurements made in one geometry are not interchangeable with measurements made in another geometry.
- 8.5.2.2 If the first-surface of the standard specimen and the first-surface for the trial specimen are dissimilar as to gloss or texture, then measure using hemispherical illumination with the specular component included in accordance with Test Method E1331.
- 8.5.3 Appearance Attributes—If the user is most interested in the results for assessing appearance attributes of the specimen, and good correlation to the average visual assessment, then measure using 45°/0° geometry in accordance with Test Method E1349.
- 8.6 For the determination of absolute specular reflectance of mirrors, mirror glasses, and polished metals, methods known as the "V-W" or "V-N method" can be used.⁵ The description of these methods is beyond the scope of this guide.

9. Transmittance

- 9.1 If the specimen being measured is a liquid specimen, proceed to 9.2. If the specimen being measured is a solid specimen, proceed to 9.3.
- 9.2 Liquid Specimen—Choose a clear liquid of approximately the same index of refraction η as the sample being measured. Fill the sample cuvette with such liquid and standardize the instrument in accordance with Test Method E1348. Make the standardizing measurement with that liquid in place in the cuvette in the transmission sample holder of the instrument. Replace the liquid with the specimen liquid and make the trial measurement with hemispherical illumination, or collection, with the specular component included in accordance with Test Method E1348.
- 9.3 *Solid Specimen*—If the specimen being measured is a solid transmitting specimen, then measure using hemispherical illumination, or collection, with the specular component included in accordance with Test Method E1348.
- 9.4 Instruments with 0°/180° geometry may be useful for measuring the regular transmittance of both non-scattering liquids and non-scattering solids (see Table 2). The description of such geometry is beyond the scope of this guide.
- 9.5 See Fig. 4 for a flow chart of information contained in Sections 8 and 9.

10. Instrumentation Characteristics

- 10.1 Vignetting—There should be only one aperture stop in any instrument. This stop determines the cross-sectional area of the incident beam on the specimen. All incident rays within the limits of the illuminator aperture angle, and all rays within the receiver aperture angle, should reach the receiver and be given equal weight by the measurement system. Where the diameters of lenses or stops are too small, vignetting takes place. When vignetting occurs, the illumination, viewing, and aperture angles do not adequately describe the geometric properties of the instrument.
- 10.2 The Helmholtz Reciprocal Relation⁶—This relation states that the loss of flux density suffered by a bundle of rays due to reflection, refraction, absorption, or scattering by a specimen will not be changed if the direction of travel of the bundle is reversed. In other words, results of intercomparisons of specimens by reflectometers, glossmeters, etc., are not changed if the geometries of incident and viewing beams are interchanged. Because the pupil of the eye is small, visual instruments customarily have small receiver aperture angles. In any instrument with a large receiver window, rays entering different parts of the window should receive equal weight. Similarly, a large source should have uniform radiance in the direction of illumination. Several experimenters have presented evidence tending to refute the Helmholtz Reciprocal Relation, but it is strongly suspected that insufficient attention

⁵ Springsteen, A., Techniques for the Measurement of Specular Reflectance , *Spectroscopy*, Vol 12, (9), 24-28, 1997.

⁶ Clarke, F. J. J., and Parry, D. J., "Helmholtz Reciprocity: Its Validity and Application to Reflectometry," *Lighting Research and Technology*, Vol 17, 1985, pp. 1–11



TABLE 2 Geometric Scales of Reflectance and Transmittance Used for Evaluation of Appearance Attributes

Appearance Attributes	Measurement Geometry	Characteristic Materials	ASTM Designations
Lightness, opacity, and color of surfaces	R (45/0)	paints, papers, porcelain enamels, textiles, other nonmetallic surfaces	E97 E1164 C347
		fluorescent specimens retroreflective specimens	F768 E991 E1164
	R (0)	almost all nonmetallic materials	E1164
		metallic surfaces	C523 E429 E430
Glossiness	$G_s (\Phi_{\hat{r}} \theta_{\hat{r}} \Phi_{r} \theta_{\theta})$		
	60° specular, 4.4° wide receiver 85° specular, 4.0° wide receiver 20° specular, 1.8° wide receiver 75° specular, 11.4° diameter receiver 45° specular, 8.0° wide receiver 20° specular, 5.0° diameter receiver 30° specular, 0.4° wide receiver 30° incidence, off specular by 0.3°, 2°, 5° reflection haze	paints, plastics, waxes low-gloss paint high-gloss paint, plastics paper porcelain enamels, plastics waxed paper metallic surfaces metallic surfaces nonmetallic surfaces	D523, D1455, C584 D523 D523 C346 D1834 E430 E430 D4039
ightness and color by ransmission, haze	τ(0/d)	turbid solutions, plastic sheets	D1003, D1494, F768
Transparency by transmis- sion	τ(0/180)	films and sheets	D1746
Retroreflection	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	retroreflective specimens	E808, E809, E810, E811, D4061

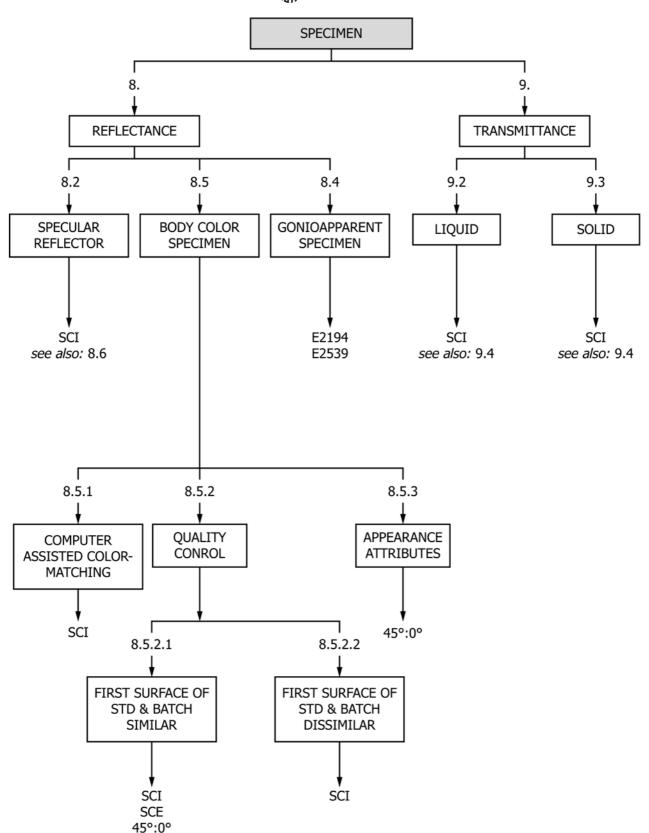


FIG. 4 Flow Chart of Information Contained in Sections 8 and 9



was given to the foregoing requirements for uniformity of weighting of all light fluxes leaving or entering the instrument apertures involved.

11. Separation of Regular and Diffuse Components

11.1 Regularly and diffusely reflected and transmitted light are often not adequately differentiated and identified to enable their separation for measurement. Most objects and material distribute some light both regularly and diffusely; consequently the regular and diffuse components of reflection and transmission cannot be separated precisely for measurement.

12. Test Specimens

- 12.1 Surfaces for reflectance measurement should be flat and uniformly colored throughout the area exposed for measurement, while films and volumes for transmittance measurement should have two flat parallel faces. Where the specimens do not have these desired characteristics, departures should be reported.
- 12.2 The method of selecting, preparing, and conditioning specimens for reflectance and transmittance measurement should be identified. Some of the factors that may affect the measured results importantly are:
 - 12.2.1 Supporting or underlying material,

- 12.2.2 Thickness and method of preparation,
- 12.2.3 Drying and conditioning technique,
- 12.2.4 Light exposure history,
- 12.2.5 Packing condition in powders,
- 12.2.6 Size distribution and orientation of particles,
- 12.2.7 Orientation of specimen,
- 12.2.8 Entrapment of air in liquids, and
- 12.2.9 Gross surface planarity and parallelism.

13. Identification of Reflection and Transmission Quantities

- 13.1 Give values of reflectance or transmittance, and identify the following:
 - 13.1.1 Specimen source and preparation,
- 13.1.2 Geometric scale from Table 2, together with the illuminating, viewing, and aperture angles as required,
- 13.1.3 Instrument used, together with such factors as area illuminated, measurement system, and specimen orientation, and
- 13.1.4 Standard of reflectance factor, if used, and its calibration information.

14. Keywords

14.1 geometric conditions; reflection; transmission

ASTM International takes no position respecting the validity of any patent rights asserted in connection with any item mentioned in this standard. Users of this standard are expressly advised that determination of the validity of any such patent rights, and the risk of infringement of such rights, are entirely their own responsibility.

This standard is subject to revision at any time by the responsible technical committee and must be reviewed every five years and if not revised, either reapproved or withdrawn. Your comments are invited either for revision of this standard or for additional standards and should be addressed to ASTM International Headquarters. Your comments will receive careful consideration at a meeting of the responsible technical committee, which you may attend. If you feel that your comments have not received a fair hearing you should make your views known to the ASTM Committee on Standards, at the address shown below.

This standard is copyrighted by ASTM International, 100 Barr Harbor Drive, PO Box C700, West Conshohocken, PA 19428-2959, United States. Individual reprints (single or multiple copies) of this standard may be obtained by contacting ASTM at the above address or at 610-832-9585 (phone), 610-832-9555 (fax), or service@astm.org (e-mail); or through the ASTM website (www.astm.org). Permission rights to photocopy the standard may also be secured from the Copyright Clearance Center, 222 Rosewood Drive, Danvers, MA 01923, Tel: (978) 646-2600; http://www.copyright.com/