



9
Chapter

Race and Ethnicity



Imagine that you are an African American man living in Macon County, Alabama, during the Great Depression of the 1930s. Your home is a little country shack with a dirt floor. You have no electricity or running water. You never finished grade school, and you make a living, such as it is, by doing odd jobs. You haven't been feeling too good lately, but you can't afford a doctor.

You have just become part of one of the most callous experiments of all time.

Then you hear the fantastic news. You rub your eyes in disbelief. It is just like winning the lottery! If you join *Miss Rivers' Lodge* (and it is free to join), you will get a lifetime of free physical examinations at Tuskegee University. You will even get free rides to and from the clinic, hot meals on examination days, and free treatment for minor ailments.

You eagerly join *Miss Rivers' Lodge*.

After your first physical examination, the doctor gives you the bad news. "You've got bad blood," he says. "That's why you've been feeling bad. Miss Rivers will give you some medicine and schedule you for your next exam. I've got to warn you, though. If you go to another doctor, there's no more free exams or medicine."

You can't afford another doctor anyway. You take your medicine and look forward to the next trip to the university.

What has really happened? You have just become part of what is surely slated to go down in history as one of the most callous experiments of all time, outside of the infamous World War II Nazi and Japanese experiments. With heartless disregard for human life, the U.S. Public Health Service told 399 African American men that they had joined a social club and burial society called "Miss Rivers' Lodge." What the men were *not* told was that they had syphilis. For forty years, the "Public Health Service" allowed these men to go without treatment for their syphilis—just "to see what would happen." There was even a control group of 201 men who were free of the disease (Jones 1993).

By the way, you do get one further benefit: a free autopsy to determine how syphilis ravaged your body.

Laying the Sociological Foundation

As unlikely as it seems, this is a true story. It really did happen. Seldom do race and ethnic relations degenerate to this point, but reports of troubled race relations surprise none of us. Today's newspapers and TV news shows regularly report on racial problems. Sociology can contribute greatly to our understanding of this aspect of social life—and this chapter may be an eye-opener for you. To begin, let's consider to what extent race itself is a myth.

Race: Myth and Reality

With its more than 6.5 billion people, the world offers a fascinating variety of human shapes and colors. People see one another as black, white, red, yellow, and brown. Eyes come in shades of blue, brown, and green. Lips are thick and thin. Hair is straight, curly, kinky, black, blonde, and red—and, of course, all shades of brown.

As humans spread throughout the world, their adaptations to diverse climates and other living conditions resulted in this profusion of complexions, hair textures and colors, eye hues, and other physical variations. Genetic mutations added distinct characteristics to the peoples of the globe. In this sense, the concept of **race**—a group of people with inherited physical characteristics that distinguish it from another group—is a reality. Humans do, indeed, come in a variety of colors and shapes.

In two senses, however, race is a myth, a fabrication of the human mind. The *first* myth is the idea that any race is superior to others. All races have their geniuses—and their idiots. As with language, no race is superior to another.

Ideas of racial superiority abound, however. They are not only false but also dangerous. Adolf Hitler, for example, believed that the Aryans were a superior race, responsible for the cultural achievements of Europe. The Aryans, he said, were destined to establish a superior culture and usher in a new world order. This destiny required them to avoid the “racial contamination” that would come from breeding with inferior races; therefore, it was necessary to isolate or destroy races that threatened Aryan purity and culture.

Put into practice, Hitler's views left an appalling legacy—the Nazi slaughter of those they deemed inferior: Jews, Slavs, gypsies, homosexuals, and people with mental and physical disabilities. Horrific images of gas ovens and emaciated bodies stacked like cordwood haunted the world's nations. At Nuremberg, the Allies, flush with victory, put the top Nazis on trial, exposing their heinous deeds to a shocked world. Their public executions, everyone assumed, marked the end of such grisly acts.

Obviously, they didn't. In the summer of 1994 in Rwanda, Hutus slaughtered about 800,000 Tutsis—mostly with machetes (Cowell 2006). A few years later, the Serbs in Bosnia massacred Muslims, giving us the new term “ethnic cleansing.” As these events sadly attest, **genocide**, the attempt to destroy a group of people because of their presumed race or ethnicity, remains alive

The reason I selected these photos is to illustrate how seriously we must take all preaching of hatred and of racial supremacy, even though it seems to come from harmless or even humorous sources. The strange-looking person on the left, who is wearing lederhosen, traditional clothing of Bavaria, Germany, is Adolf Hitler. He caused the horrific scene on the right, which greeted the British army when it liberated the concentration camp in Buchenwald, Germany: Thousands of people were dying of starvation and diseases amidst piles of rotting corpses awaiting mass burial.



and well. Although more recent killings are not accompanied by swastikas and gas ovens, the perpetrators' goal is the same.

The *second* myth is that “pure” races exist. Humans show such a mixture of physical characteristics—in skin color, hair texture, nose shape, head shape, eye color, and so on—that there are no “pure” races. Instead of falling into distinct types that are clearly separate from one another, human characteristics flow endlessly together. The mapping of the human genome system shows that humans are strikingly homogenous, that so-called racial groups differ from one another only once in a thousand subunits of the genome (Angler 2000). Humans, then, vary from one another in only very slight ways. As you can see from the example of Tiger Woods, discussed in the Cultural Diversity box on the next page, these minute gradations make any attempt to draw lines of race purely arbitrary.

Although large groupings of people can be classified by blood type and gene frequencies, these classifications do not uncover “race.” Rather, race is so arbitrary that biologists and anthropologists cannot even agree on how many “races” there are. Ashley Montagu (1964, 1999), a physical anthropologist, pointed out that some scientists have classified humans into only two “races,” while others have found as many as two thousand. Montagu (1960) himself classified humans into forty “racial” groups. As the Down-to-Earth Sociology box on page 230 illustrates, even a plane ride can change someone's race!

The *idea* of race, of course, is far from a myth. Firmly embedded in our culture, it is a powerful force in our everyday lives. That no race is superior and that even biologists cannot decide how people should be classified into races is not what counts. “I know what I see, and you can't tell me any different” seems to be the common attitude. As was noted in Chapter 4, sociologists W. I. and D. S. Thomas (1928) observed that “If people define situations as real, they are real in their consequences.” In other words, people act on beliefs, not facts. As a result, we will always have people like Hitler and, as illustrated in our opening vignette, officials like those in the U.S. Public Health Service who

Humans show such remarkable diversity that, as the text explains, there are no pure races. Shown here are Verne Troyer, who weighs about 45 pounds and is 2 feet 8 inches short, and Yao Ming, who weighs 296 pounds and is 7 feet 5 inches tall.

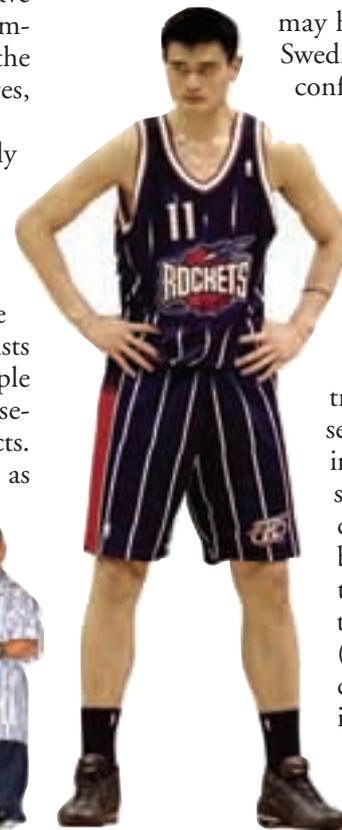


thought that it was fine to experiment with people whom they deemed inferior. While few people hold such extreme views, most people appear to be ethnocentric enough to believe that their own race is—at least just a little—superior to others.

Ethnic Groups

In contrast to *race*, which people use to refer to supposed biological characteristics that distinguish one group of people from another, **ethnicity** and **ethnic** refer to cultural characteristics. Derived from the word *ethnos* (a Greek word meaning “people” or “nation”), *ethnicity* and *ethnic* refer to people who identify with one another on the basis of common ancestry and cultural heritage. Their sense of belonging may center on their nation or region of origin, distinctive foods, clothing, language, music, religion, or family names and relationships.

People often confuse the terms *race* and *ethnic group*. For example, many people, including many Jews, consider Jews a race. Jews, however, are more properly considered an ethnic group, for it is their cultural characteristics, especially their religion, that bind them together. Wherever Jews have lived in the world, they have intermarried. Consequently, Jews in China may have Chinese features, while some Swedish Jews are blue-eyed blonds. The confusion of race and ethnicity is illustrated in the photo on page 229.



Minority Groups and Dominant Groups

Sociologist Louis Wirth (1945) defined a **minority group** as people who are singled out for unequal treatment and who regard themselves as objects of collective discrimination. Worldwide, minorities share several conditions: Their physical or cultural traits are held in low esteem by the dominant group, which treats them unfairly, and they tend to marry within their own group (Wagley and Harris 1958). These conditions tend to create a sense of identity among minorities (a feeling of “we-ness”). In many instances, a sense of common destiny emerges (Chandra 1993b).



Cultural Diversity in the United States

Tiger Woods: Mapping the Changing Ethnic Terrain

Tiger Woods, perhaps the top golfer of all time, calls himself Cablinasian. Woods invented this term as a boy to try to explain to himself just who he was—a combination of Caucasian, Black, Indian, and Asian (Leland and Beals 1997; Hall 2001). Woods wants to embrace all sides of his family.

Like many of us, Tiger Woods' heritage is difficult to specify. Analysts who like to quantify ethnic heritage put Woods at one-quarter Thai, one-quarter Chinese, one-quarter white, an eighth Native American, and an eighth African American. From this chapter, you know how ridiculous such computations are, but the sociological question is why many people consider Tiger Woods an African American. The U.S. racial scene is indeed complex, but a good part of the reason is simply that this is the label the media placed on him. "Everyone has to fit somewhere" seems to be our attitude. If they don't, we grow uncomfortable. And for Tiger Woods, the media chose African American.

The United States once had a firm "color line"—barriers between racial-ethnic groups that you didn't dare cross, especially in dating or marriage. This invisible barrier has broken down, and today such marriages are common (*Statistical Abstract* 2007: Table 58). Several campuses have interracial student organizations. Harvard has two, one just for students who have one African American parent (Leland and Beals 1997).

As we march into unfamiliar ethnic terrain, our classifications are bursting at the seams. Consider how Kwame Anthony Appiah, of Harvard's Philosophy and Afro-American Studies Departments, described his situation:

"My mother is English; my father is Ghanaian. My sisters are married to a Nigerian and a Norwegian. I have nephews who range from blond-haired kids to very black kids. They are all first cousins. Now according to the American scheme of things, they're all black—even the guy with blond hair who skis in Oslo." (Wright 1994)

I marvel at what racial experts the U.S. census takers once were. When they took the census, which is done



Tiger Woods as he answers questions at a news conference.

every ten years, they looked at people and assigned them a race. At various points, the census contained these categories: mulatto, quadroon, octoroon, Negro, black, Mexican, white, Indian, Filipino, Japanese, Chinese, and Hindu. Quadroon (one-fourth black and three-fourths white) and octoroon (one-eighth black and seven-eighths white) proved too difficult to "measure," and these categories were used only in 1890. Mulatto appeared in the 1850 census, but disappeared in 1930. The Mexican government complained about Mexicans being treated as a race, and this category was used only in 1930. I don't know whose strange idea it was to make Hindu a race, but it lasted for three censuses, from 1920 to 1940 (Bean et al. 2004; Tafoya et al. 2005).

Continuing to reflect changing ideas about race-ethnicity, censuses have become flexible, and we now have a lot of choices. In the 2000 census, we were first asked to declare whether we were or were not "Spanish/Hispanic/Latino." After this, we were asked to check "one or more races" that we "consider ourselves to be." We could choose from White; Black, African American, or Negro; American Indian or Alaska Native; Asian Indian, Chinese, Filipino, Japanese, Korean, Vietnamese, Native Hawaiian, Guamanian or Chamorro, Samoan, and other Pacific Islander. If these didn't do it, we could check a box called "Some Other Race" and then write whatever we wanted.

Perhaps the census should list Cablinasian, after all. There should also be ANGEL for African-Norwegian-German-English-Latino Americans, DEVIL for those of Danish-English-Vietnamese-Italian-Lebanese descent, and STUDY for Swedish-Turkish-Uruguayan-Djibouti-Yugoslavian Americans. As you read farther in this chapter, you will see why these terms make as much sense as the categories we currently use.

For Your Consideration

Just why do we count people by "race" anyway? Why not eliminate race from the U.S. census? (Race became a factor in 1790 during the first census. To determine the number of representatives from each state, slaves were counted as three-fifths of whites!) Why is race so important to some people? Perhaps you can use the materials in this chapter to answer these questions.

Surprisingly, a minority group is not necessarily a *numerical* minority. For example, before India's independence in 1947, a handful of British colonial rulers dominated tens of millions of Indians. Similarly, when South Africa practiced apartheid, a smaller group of Dutch discriminated against a much larger number of blacks. And all over the world, females are a minority group. Accordingly, sociologists usually refer to those who do the discriminating not as the *majority*, but, rather, as the **dominant group**, for they have the greater power, privileges, and social status.

Possessing political power and unified by shared physical and cultural traits, the dominant group uses its position to discriminate against those with different—and supposedly inferior—traits. The dominant group considers its privileged position to be the result of its own innate superiority.

Emergence of Minority Groups A group becomes a minority in one of two ways. The *first* is through the expansion of political boundaries. With the exception of females, tribal societies contain no minority groups. Everyone shares the same culture, including the same language, and belongs to the same group. When a group expands its political boundaries, however, it produces minority groups if it incorporates people with different customs, languages, values, and physical characteristics into the same political entity and discriminates against

them. For example, after defeating Mexico in war in 1848, the United States took over the Southwest. The Mexicans living there, who had been the dominant group prior to the war, were transformed into a minority group, a master status that has influenced their lives ever since. Referring to his ancestors, one Latino said, “We didn’t move across the border—the border moved across us.”

A *second* way in which a group becomes a minority is by migration. This can be voluntary, as with the millions of people who have chosen to move from Mexico to the United States, or involuntary, as with the millions of Africans who were brought in chains to the United States. (The way females became a minority group represents a third way, but, as discussed in the previous chapter, no one knows just how this occurred.)

How People Construct Their Racial–Ethnic Identity

Some of us have a greater sense of ethnicity than others. Some of us feel firm boundaries between “us” and “them.” Others have assimilated so extensively into the mainstream culture that they are only vaguely aware of their ethnic origins. With interethnic marrying common, some do not even know the countries from which their families originated—nor do they care. If asked to identify themselves ethnically, they respond



This photo illustrates the difficulty that assumptions about *race* and *ethnicity* posed for Israel. These Ethiopian Jews, shown here as they arrived in Israel, looked so different from other Jews that it took several years for Israeli authorities to acknowledge this group's “true Jewishness.”

Down-to-Earth sociology

Can a Plane Ride Change Your Race?

At the beginning of this text (pages 20–21), I mentioned that common sense and sociology often differ. This is especially so when it comes to race. According to common sense, our racial classifications represent biological differences between people. Sociologists, in contrast, stress that what we call races are social classifications, not biological categories.

Sociologists point out that our “race” depends more on the society in which we live than on our biological characteristics. For example, the racial categories common in the United States are merely one of numerous ways by which people around the world classify physical appearances. Although various groups use different categories, each group assumes that its categories are natural, merely a response to visible biology.

To better understand this essential sociological point—that race is more social than it is biological—consider this: In the United States, children born to the same parents are all of the same race. “What could be more natural?” Americans assume. But in Brazil, children born to the same parents may be of different races—if their appearances differ. “What could be more natural?” assume Brazilians.

Consider how Americans usually classify a child born to a “black” mother and a “white” father. Why do they usually say that the child is “black”? Wouldn’t it be equally as logical to classify the child as “white”? Similarly, if a child has one grandmother who is “black,” but all her other ancestors are “white,” the child is often considered “black.” Yet she has much more “white blood” than “black blood.” Why, then, is she considered “black”? Certainly not because of biology. Rather, such thinking is a legacy of slavery. In an attempt to preserve the “purity” of their “race” in the face of numerous children whose fathers were white slave masters and whose mothers were black slaves, whites classified anyone with even a “drop of black blood” as black. This was actually known as the “one-drop” rule.



What “race” are these two Brazillians? Is the child of a different “race” than the mother? The text explains why “race” is such an unreliable concept that it changes even with geography.

Even a plane trip can change a person’s race. In the city of Salvador in Brazil, people classify one another by color of skin and eyes, breadth of nose and lips, and color and curliness of hair. They use at least seven terms for what we call white and black. Consider again a U.S. child who has “white” and “black” parents. If she flies to Brazil, she is no longer “black”; she now belongs to one of their several “whiter” categories (Fish 1995).

If the girl makes such a flight, would her “race” actually change? Our common sense revolts at this, I know, but it actually would. We want to argue that because her biological characteristics remain unchanged, her race remains unchanged. This is because we think of race as biological, when *race is actually a label we use to describe perceived biological characteristics*. Simply put, the race we “are” depends on our social location—on who is doing the classifying.

“Racial” classifications are also fluid, not fixed. You can see change occurring even now in the classifications that are used in the United States. The category “multiracial,” for example, indicates changing thought and perception.

For Your Consideration

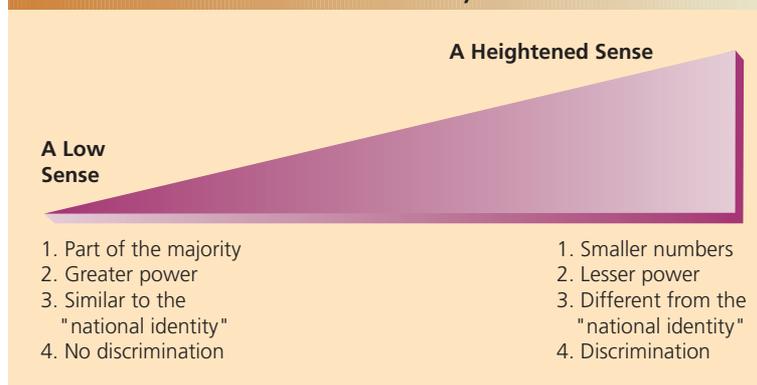
How would you explain to “Joe and Suzie Six-Pack” that race is more a social classification than a biological one? Can you come up with any arguments to refute this statement? How do you think our racial–ethnic categories will change in the future?

with something like “I’m Heinz 57—German and Irish, with a little Italian and French thrown in—and I think someone said something about being one-sixteenth Indian, too.”

Why do some people feel an intense sense of ethnic identity, while others feel hardly any? Figure 9.1 portrays four factors, identified by sociologist Ashley Doane, that heighten or reduce our sense of ethnic identity. From this figure, you can see that the keys are relative size, power, appearance, and discrimination. If your group is relatively small, has little power, looks different from most people in society, and is an object of discrimination, you will have a heightened sense of ethnic identity. In contrast, if you belong to the dominant group that holds most of the power, look like most people in the society, and feel no discrimination, you are likely to experience a sense of “belonging”—and to wonder why ethnic identity is such a big deal.

We can use the term **ethnic work** to refer to the way people construct their ethnicity. For people who have a strong ethnic identity, this term refers to how they enhance and maintain their group’s distinctions—from clothing, food, and language to religious practices and holidays. For people whose ethnic identity is not as firm, it refers to attempts to recover their ethnic heritage, such as trying to trace family lines or visiting the country or region of their family’s origin. As illustrated by the photo essay on the next page, many Americans are engaged in ethnic work. This has confounded the experts who thought that the United States would be a **melting pot**, with most of its groups blending into a sort of ethnic stew. Because so many Americans have become fascinated with their “roots,” some analysts have suggested that “tossed salad” is a more appropriate term than “melting pot.”

FIGURE 9.1 A Sense of Ethnicity



Source: By the author. Based on Doane 1997.

Prejudice and Discrimination

With prejudice and discrimination so significant in social life, let’s consider the origin of prejudice and the extent of discrimination.

Learning Prejudice

Distinguishing Between Prejudice and Discrimination

Prejudice and discrimination are common throughout the world. In Mexico, Hispanic Mexicans discriminate against Native American Mexicans; in Israel, Ashkenazi Jews, primarily of European descent, discriminate against Sephardi Jews from the Muslim world. In some places, the elderly discriminate against the young; in others, the young discriminate against the elderly. And all around the world, men discriminate against women.

Discrimination is an *action*—unfair treatment directed against someone. Discrimination can be based on many characteristics: age, sex, height, weight, skin color, clothing, income, education, marital status, sexual orientation, disease, disability, religion, and politics. When the basis of discrimination is someone’s perception of race, it is known as **racism**. Discrimination is often the result of an *attitude* called **prejudice**—a prejudging of some sort, usually in a negative way. There is also *positive prejudice*, which exaggerates the virtues of a group, as when people think that some group (usually their own) is more capable than others. Most prejudice, however, is negative and involves prejudging a group as inferior.

Learning from Association As with our other attitudes, we are not born with prejudice. Rather, we learn prejudice from the people around us. In a fascinating study, sociologist Kathleen Blee (2005) interviewed women who were members of the KKK and Aryan Nations. Her first finding is of the “ho hum” variety: Most women were recruited by someone who already belonged to the group. Blee’s second finding, however, holds a surprise: Some women learned to be racists *after* they joined the group. They were attracted to the group not because it matched their racist beliefs but because someone they liked belonged to it. Blee found that their racism was not the *cause* of their joining but, rather, the *result* of their membership.

Ethnic Work

Explorations in Cultural Identity

Ethnic work refers to the ways that people establish, maintain, protect, and transmit their ethnic identity. As shown here, among the techniques people use to forge ties with their roots are dress, dance, and music.



Many African Americans are trying to get in closer contact with their roots. To do this, some use musical performances, as with this group in Philadelphia, Pennsylvania. Note the five-year old who is participating.



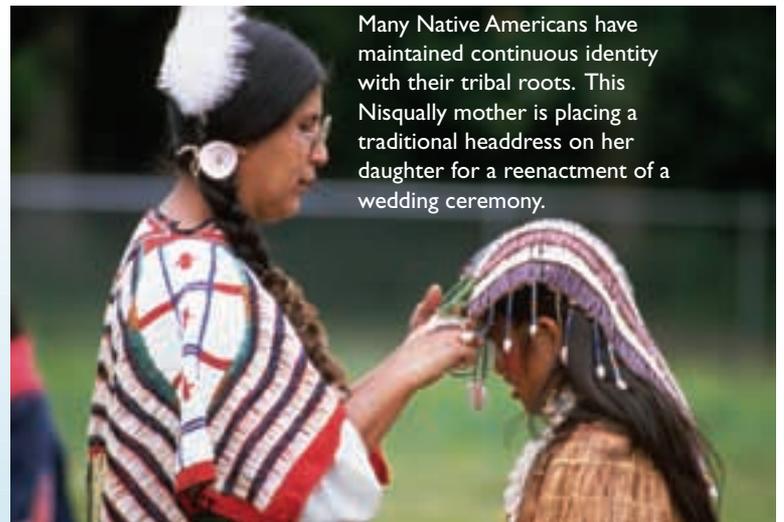
Having children participate in ethnic celebrations is a common way of passing on cultural heritage. Shown here is a Thai girl in Los Angeles getting final touches before she performs a temple dance.



Folk dancing (doing a traditional dance of one's cultural heritage) is often used to maintain ethnic identity. Shown here is a man in Arlington, Virginia, doing a Bolivian folk dance.



Many European Americans are also involved in ethnic work, attempting to maintain an identity more precise than "from Europe." These women of Czech ancestry are performing for a Czech community in a small town in Nebraska.



Many Native Americans have maintained continuous identity with their tribal roots. This Nisqually mother is placing a traditional headdress on her daughter for a reenactment of a wedding ceremony.



In the 1920s and 1930s, the Ku Klux Klan was a powerful political force in the United States. To get a sense of the prevailing mood at the time, consider the caption that accompanied this photo taken in Freeport, New York, when it appeared in the local newspaper: "Here's the Ladies in Their Natty Uniforms Marching in the Parade." Which theories would be most useful to explain this upsurge in racism among mainstream whites of the time?

The Far-Reaching Nature of Prejudice It is amazing how much prejudice people can learn. In a classic article, psychologist Eugene Hartley (1946) asked people how they felt about several racial and ethnic groups. Besides Negroes, Jews, and so on, he included the Wallonians, Pireneans, and Danireans—names he had made up. Most people who expressed dislike for Jews and Negroes also expressed dislike for these three fictitious groups.

Hartley's study shows that prejudice does not depend on negative experiences with others. It also reveals that people who are prejudiced against one racial or ethnic group also tend to be prejudiced against other groups. People can be, and are, prejudiced against people they have never met—and even against groups that do not exist!

Internalizing Dominant Norms People can even learn to be prejudiced against their *own* group. A national survey of black Americans conducted by black interviewers found that African Americans think that lighter-skinned African American women are more attractive than those with darker skin (Hill 2002). Sociologists call this *the internalization of the norms of the dominant group*.

To study the internalization of dominant norms, psychologists Mahzarin Banaji and Anthony Greenwald created the "Implicit Association Test." In one version of this test, good and

bad words are flashed on a screen along with photos of African Americans and whites. Most subjects are quicker to associate positive words (such as *love*, *peace*, and *baby*) with whites and negative words (such as *cancer*, *bomb*, and *devil*) with blacks. Here's the clincher: This is true for *both* white and black subjects (Dasgupta et al. 2000; Greenwald and Krieger 2006). Apparently, we all learn the *ethnic maps* of our culture and, along with them, their route to biased perception.



In the early 1900s, the Ku Klux Klan was a powerful political force. By the 1950s, when this photo was taken in Montgomery, Alabama, the Klan possessed only a shadow of its former power: Today's Klan gets a headline here and there, but few are listening to its message. The group continues to have followers, however.

Individual and Institutional Discrimination

Sociologists stress that we should move beyond thinking in terms of **individual discrimination**, the negative treatment of one person by another. Although such behavior creates problems, it is primarily an issue between individuals. With their focus on the broader picture, sociologists encourage us to examine **institutional discrimination**, that is, to see how discrimination is woven into the fabric of society. Let's look at two examples.

Home Mortgages and Car Loans Bank lending provides an excellent illustration of institutional discrimination. As shown in Figure 9.2, race–ethnicity is a significant factor in getting a mortgage. When bankers looked at the statistics shown in this figure, they cried foul. It might *look* like discrimination, they said, but the truth is that whites have better credit histories. To see if this were true, researchers went over the data again, comparing the credit histories of the applicants. The lending gap did narrow a bit, but the bottom line was that even when applicants were identical

in all these areas, African Americans and Latinos were *60 percent* more likely than whites to be rejected (Thomas 1992; Passell 1996).

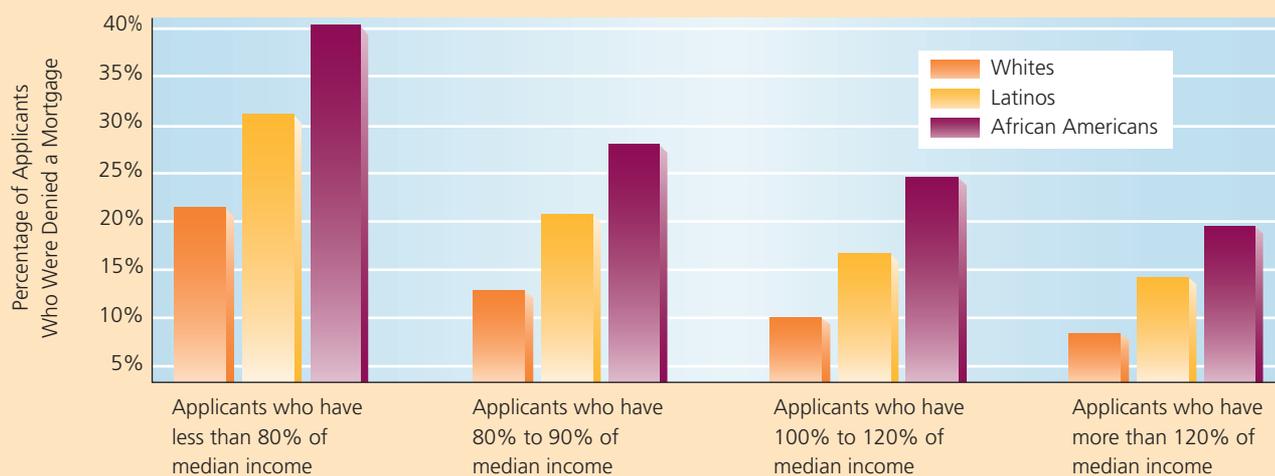
Mortgage discrimination continues. Among the revelations of the subprime debacle that made national news and worried Congress was that, compared with whites, African Americans and Latinos had been hit the hardest. They had been charged higher interest rates and were more likely to lose their homes (Fernandez 2007; Fessenden 2007). They are also more likely to pay more for their car loans (Peters and Hakim 2005). In short, it is not a matter of a banker here or there discriminating according to personal prejudices; rather, discrimination is built into the country's financial institutions.

Health Care Discrimination does not have to be deliberate. It can occur even though no one is aware of it: neither those being discriminated against *nor* those doing the discriminating. For example, white patients are more likely to receive knee replacements and coronary bypass surgery than are Latino and African American patients (Skinner et al. 2003; Smedley et al. 2003).

FIGURE 9.2 Race-Ethnicity and Mortgages: An Example of Institutional Discrimination

The Federal Reserve Board gathered data on the loans made by 9,300 financial institutions (Thomas 1991). As shown here, loan applicants who had the same income did not receive the same treatment. Note how much more likely banks were to turn down minorities.

This figure illustrates *institutional discrimination*. (Because the discrimination is part of the social system, it is also called *systemic discrimination*.) Being turned down for a mortgage is not due to discrimination by an individual banker here and there, but, rather, is a nationwide practice.



Source: By the author. Based on Thomas 1991.

Treatment after a heart attack follows a similar pattern: Whites are more likely than blacks to be given cardiac catheterization, a test to detect blockage of blood vessels. This study of 40,000 patients holds a surprise: Both black *and* white doctors are more likely to give this preventive care to whites (Stolberg 2001).

Researchers do not know why race–ethnicity is a factor in medical decisions. With both white and black doctors involved, we can be certain that physicians *do not intend* to discriminate. In ways we do not yet understand, discrimination is built into the medical delivery system. My guess (hypothesis) is that the implicit bias that apparently comes with the internalization of dominant norms becomes a subconscious motivation for giving or denying access to advanced medical procedures.

Institutional discrimination, then, is much more than a matter of inconvenience—it even translates into life and death. Table 9.1 also illustrates this point. Here you can see that an African American baby has more than *twice* the chance of dying in infancy that a white baby does and that an African mother is more than *three* times as likely to die in childbirth as a white mother. You can also see that African Americans die four to six years younger than whites. The reason for these differences is not biology, but social factors, in this case largely income—the key factor in determining who has access to better nutrition, housing, and medical care.

Theories of Prejudice

Social scientists have developed several theories to explain prejudice. Let's first look at psychological explanations, then sociological ones.

	Mother and Child Deaths		Life Expectancy	
	Infant Deaths	Maternal Deaths	Males	Females
White Americans	5.7	8.7	75.7	80.8
African Americans	14.0	30.5	69.8	76.5

Note: The national database used for this table does not list these totals for other racial–ethnic groups. *White* refers to non-Hispanic whites. *Infant Deaths* refers to the number of deaths per year of infants under 1 year old per 1,000 live births. *Maternal Deaths* refers to the number of deaths per 100,000 women who give birth in a year.

Source: Statistical Abstract 2007: Tables 98,106.

Psychological Perspectives

Frustration and Scapegoats In 1939, psychologist John Dollard suggested that prejudice is the result of frustration. People who are unable to strike out at the real source of their frustration (such as unemployment) look for someone to blame. They unfairly attribute their troubles to a **scapegoat**—often a racial–ethnic or religious minority—and this person or group becomes a target on which they vent their frustrations. Gender and age also provide common bases for scapegoating.

Even mild frustration can increase prejudice. A team of psychologists led by Emory Cowen (1959) measured the prejudice of a sample of students. They then gave the students two puzzles to solve, making sure the students did not have enough time to solve them. After the students had worked furiously on the puzzles, the experimenters shook their heads in disgust and said that they couldn't believe the students hadn't finished such a simple task. They then retested the students and found that their scores on prejudice had increased. The students had directed their frustrations outward, transferring them to people who had nothing to do with the contempt the experimenters had directed toward them.

The Authoritarian Personality Have you ever wondered whether personality is a cause of prejudice? Maybe some people are more inclined to be prejudiced, and others more fair-minded. For psychologist Theodor Adorno, who had fled from the Nazis, this was no idle speculation. With the horrors he had observed still fresh in his mind, Adorno wondered whether there might be a certain type of person who is more likely to fall for the racist spewings of people like Hitler, Mussolini, and those in the Ku Klux Klan.

To find out, Adorno (Adorno et al. 1950) tested about two thousand people, ranging from college professors to prison inmates. To measure their ethnocentrism, anti-Semitism (bias against Jews), and support for strong, authoritarian leaders, he gave them three tests. Adorno found that people who scored high on one test also scored high on the other two. For example, people who agreed with anti-Semitic statements also said that governments should be authoritarian and that foreign ways of life pose a threat to the “American” way.

Adorno concluded that highly prejudiced people are insecure conformists.

They have deep respect for authority and are submissive to superiors. He termed this the **authoritarian personality**. These people believe that things are either right or wrong. Ambiguity disturbs them, especially in matters of religion or sex. They become anxious when they confront norms and values that vary from their own. To view people who differ from themselves as inferior assures them that their own positions are right.

Adorno's research stirred the scientific community, stimulating more than a thousand research studies. In general, the researchers found that people who are older, less educated, less intelligent, and from a lower social class are more likely to be authoritarian. Critics say that this doesn't indicate a particular personality, just that the less educated are more prejudiced—which we already knew (Yinger 1965; Ray 1991). Nevertheless, researchers continue to study this concept (Stenner 2005).

Sociological Perspectives

Sociologists find psychological explanations inadequate. They stress that the key to understanding prejudice cannot be found by looking *inside* people but, rather, by examining conditions *outside* them. For this reason, sociologists focus on how social environments influence prejudice. With this background, let's compare functionalist, conflict, and symbolic interactionist perspectives on prejudice.

Functionalism In a telling scene from a television documentary, journalist Bill Moyers interviewed Fritz Hippler, a Nazi intellectual who at age 29 was put in charge of the entire German film industry. Hippler said that when Hitler came to power the Germans were no more anti-Semitic than the French, and probably less so. He was told to create anti-Semitism. Obediently, Hippler produced movies that contained vivid scenes comparing Jews to rats—with their breeding threatening to infest the population.

Why was Hippler told to create hatred? Prejudice and discrimination were functional for the Nazis. Germany was on its knees at this time. It had been defeated in World War I and was being devastated by fines levied by the victors. The middle class was being destroyed by runaway inflation. The Jews provided a scapegoat, a common enemy against which the Nazis could unite Germany. In addition, the Jews owned businesses, bank accounts, fine art, and other property that the Nazis could confiscate. Jews also held key positions (as university professors, reporters, judges, and so on) into which the Nazis could place their own flunkies. In the end, hatred also showed its

dysfunctional side, as the Nazi officials who were hanged at Nuremberg discovered.

Prejudice becomes practically irresistible when state machinery is harnessed to advance the cause of hatred. To produce prejudice, the Nazis exploited government agencies, the schools, police, courts, and mass media. The results were devastating. Recall the identical twins featured in the Down-to-Earth Sociology box on page 61. Jack and Oskar had been separated as babies. Jack was brought up as a Jew in Trinidad, while Oskar was reared as a Catholic in Czechoslovakia. Under the Nazi regime, Oskar learned to hate Jews, unaware that he himself was a Jew.

That prejudice is functional and is shaped by the social environment was demonstrated by psychologists Muzafer and Carolyn Sherif (1953). In a boys' summer camp, they assigned friends to different cabins and then had the cabin groups compete in sports. In just a few days, strong in-groups had formed. Even lifelong friends began to taunt one another, calling each other a "crybaby" and "sissy."

The Sherif study teaches us several important lessons about social life. Note how it is possible to arrange the social environment to generate either positive or negative feelings about people and how prejudice arises if we pit groups against one another in an "I win, you lose" situation. You can also see that prejudice is functional, how it creates in-group solidarity. And, of course, it is obvious how dysfunctional prejudice is, when you observe the way it destroys human relationships.

Conflict Theory Conflict theorists also analyze how groups are pitted against one another, but they focus on how this arrangement benefits those with power. They begin by noting that workers want better food, health care, housing, and education. To attain these goals, workers need good jobs. If workers are united, they can demand higher wages and better working conditions, but if capitalists can keep workers divided, they can hold wages down. To do this, capitalists use two main tactics.

The first tactic is to keep workers insecure. Fear of unemployment works especially well. The unemployed serve as a **reserve labor force** for capitalists. The capitalists draw on the unemployed to expand production during economic booms; when the economy contracts, they release these workers to rejoin the ranks of the unemployed. The lesson is not lost on workers who have jobs. They fear eviction and worry about having their cars and furniture repossessed. Many know they are just

one paycheck away from ending up “on the streets.” This helps to keep workers docile.

The second tactic is encouraging and exploiting racial–ethnic divisions (Patterson 2007). Pitting worker against worker weakens labor’s bargaining power. When white workers went on strike in California in the 1800s, owners of factories replaced them with Chinese workers. To break strikes by Japanese workers on plantations in Hawaii, owners used to hire Koreans (Xie and Goyette 2004). This division of workers along racial–ethnic and gender lines is known as a **split labor market** (Du Bois 1935/1992; Roediger 2002). Although today’s exploitation is more subtle, fear and suspicion continue to split workers. Whites are aware that other groups are ready to take their jobs, African Americans often perceive Latinos as competitors (Cose 2006), and men know that women are eager to get promoted. All of this helps to make workers more docile.

The consequences are devastating, say conflict theorists. It is just like the boys in the Sherif experiment. African Americans, Latinos, whites, and others see themselves as able to make gains only at the expense of members of the other groups. This rivalry shows up along even finer racial–ethnic lines, such as that in Miami between Haitians and African Americans, who distrust each other as competitors. Divisions among workers deflect anger and hostility away from the power elite and direct these powerful emotions toward other racial and ethnic groups. Instead of recognizing their common class interests and working for their mutual welfare, workers learn to fear and distrust one another.

Symbolic Interactionism While conflict theorists focus on the role of the capitalist class in exploiting racial and ethnic divisions, symbolic interactionists examine how labels affect perception and create prejudice.

How Labels Create Prejudice Symbolic interactionists stress that *the labels we learn affect the way we perceive people*. Labels cause **selective perception**; that is, they lead us to see certain things while they blind us to others. If we apply a label to a group, we tend to perceive its members as all alike. We shake off evidence that doesn’t fit (Simpson and Yinger 1972). Racial and ethnic labels are especially powerful. They are shorthand for emotionally charged stereotypes. The term *nigger*, for example, is not neutral. Nor are *honky*, *cracker*, *spic*, *mick*, *kike*, *limey*, *kraut*, *dago*, *guinea*, or any of the other scornful words people use to belittle ethnic groups. Such words overpower us with emotions, blocking out

rational thought about the people to whom they refer (Allport 1954).

Labels and the Self-Fulfilling Stereotype Some stereotypes not only justify prejudice and discrimination but also produce the behaviors depicted in the stereotype. Let’s consider Group X. Negative stereotypes characterize the members of Group X as lazy, so they don’t deserve good jobs. (“They are lazy and undependable and wouldn’t do the job well.”) Denied the better jobs, most members of Group X are limited to doing “dirty work,” the kind of employment thought appropriate for “that kind” of people. Since much “dirty work” is sporadic, members of Group X are often seen “on the streets.” The sight of their idleness reinforces the original stereotype of laziness. The discrimination that created the “laziness” in the first place passes unnoticed.

To apply these three theoretical perspectives and catch a glimpse of how amazingly different things were in the past, read the Down-to-Earth Sociology box on the next page.

Global Patterns of Intergroup Relations

Sociologists have studied racial–ethnic relations around the world. They have found six basic patterns that characterize the relationship of dominant groups and minorities. These patterns are shown in Figure 9.3 on page 239. Let’s look at each.

Genocide

Last century’s two most notorious examples of genocide occurred in Europe and Africa. In Germany during the 1930s and 1940s, Hitler and the Nazis attempted to destroy all Jews. In the 1990s, in Rwanda, the Hutus tried to destroy all Tutsis. One of the horrifying aspects of these slaughters was that the killers did not crawl out from under a rock someplace. Rather, they were ordinary citizens whose participation was facilitated by labels that singled out the victims as enemies who deserved to die (Huttenbach 1991; Browning 1993; Gross 2001).

To better understand how ordinary people can participate in genocide, let’s look at an example from the United States. To call the Native Americans “savages,” as U.S. officials and white settlers did, was to label them as inferior, as somehow less than human. This identification made it easier to justify killing the Native Americans in order to take their resources.

Down-to-Earth Sociology

The Man in the Zoo

You are going to think I'm kidding, but listen to this:

The Bronx Zoo in New York City used to keep a 22-year-old pygmy in the Monkey House. The man—and the orangutan he lived with—became the most popular exhibit at the zoo. Thousands of visitors would arrive daily and head straight for the Monkey House. Eyewitnesses to what they thought was a lower form of human in the long chain of evolution, the visitors were fascinated by the pygmy, especially by his sharpened teeth.

To make the exhibit even more alluring, the zoo director had animal bones scattered in front of the man.

I know it sounds as though I must have made this up, but this is a true story. The World's Fair was going to be held in St. Louis in 1904, and the Department of Anthropology wanted to show villages from different cultures. They asked Samuel Verner, an explorer, if he could bring some pygmies to St. Louis to serve as live exhibits. Verner agreed, and on his next trip to Africa, in the Belgian Congo he came across Ota Benga (or Otabenga), a pygmy who had been enslaved by another tribe. Benga, then about age 20, said he was willing to go to St. Louis. After Verner bought Benga's freedom for a few yards of cloth, Benga recruited another half dozen pygmies to go with them.

After the World's Fair, Verner took the pygmies back to Africa. When Benga found out that a hostile tribe had wiped out his village and killed his family, he asked Verner if he could return with him to the United States. Verner agreed.

When they returned to New York, Verner ran into financial trouble and cashed some bad checks. Unable to care for Benga, Verner dropped Benga off with friends at the American Museum of Natural History. After a few weeks, they grew tired of Benga's antics and turned him over to the Bronx Zoo. There, on exhibit in the Monkey House, living with an orangutan as a roommate, Benga became a sensation.



Ota Benga

In their official bulletin, the New York Zoological Society described Benga as “an acquisition” of the Bronx Zoo.

An article in the *New York Times* said it was fortunate that Benga couldn't think very deeply, or else he might be bothered by living with monkeys.

When the Colored Baptist Ministers' Conference protested that the zoo's exhibit was degrading, zoo officials replied that they were “taking excellent care of the little fellow.” They added that “he has one of the best rooms at the primate house.”

Not surprisingly, this didn't satisfy the ministers.

As their protests grew more insistent, zoo officials decided to let Benga out of his cage. They put a white shirt on him and let him walk around the zoo. At night, Benga slept in the monkey house.

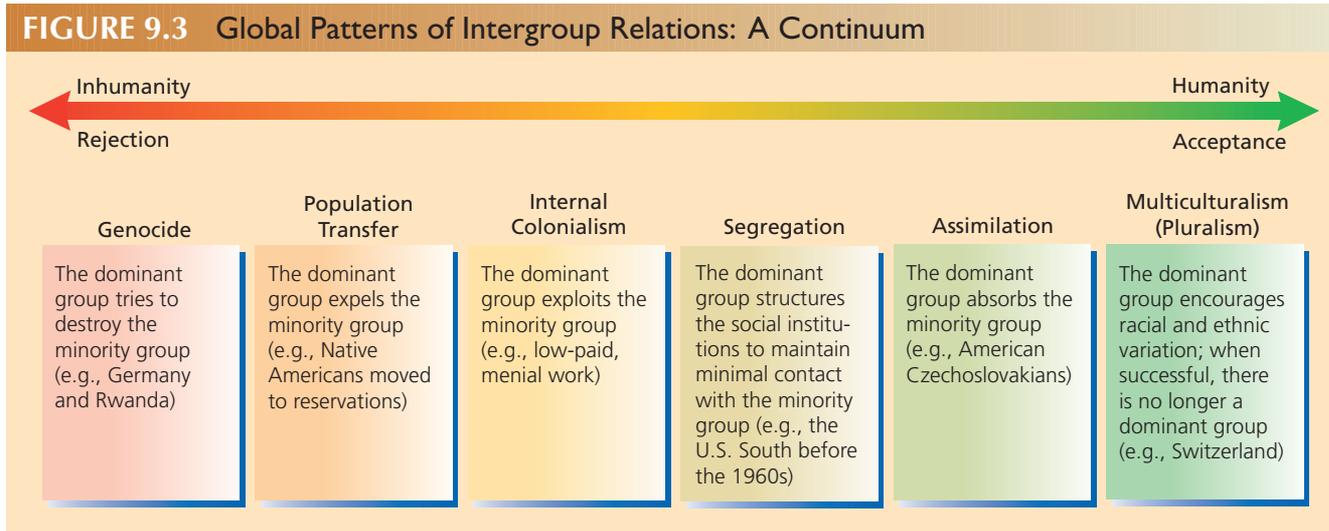
This limited freedom made life even more miserable for Benga. Zoo visitors would follow him, howling, jeering, laughing, and poking at him. Benga then made a little bow and some arrows and began shooting at the obnoxious visitors. At that point, all the fun was over for the zoo officials. They decided that Benga had to leave.

Benga ended up working as a laborer in a tobacco factory in Lynchburg, Virginia. Always treated as a freak, Benga was desperately lonely. In despair that he had no home or family to return to in Africa, in 1916, at the age of 26, Benga ended his misery by shooting himself in the heart.

Based on Bradford and Blume 1992; Crossen 2006; Richman 2006.

For Your Consideration

1. See what different views emerge as you apply the three theoretical perspectives (functionalism, symbolic interactionism, and conflict theory) to exhibiting Benga at the Bronx Zoo.
2. How does the concept of ethnocentrism apply to this event?
3. Explain how the concepts of prejudice and discrimination apply to what happened to Benga.



When gold was discovered in northern California in 1849, the fabled “Forty-Niners” rushed in. With the region already inhabited by 150,000 Native Americans, the white government put a bounty on the heads of Native Americans. It even reimbursed the whites for their bullets. The result was the slaughter of 120,000 Native American men, women, and children. (Schaefer 2004)

Most Native Americans, however, died not from bullets but from diseases that the whites brought with them. The Native Americans had no immunity against diseases such as measles, smallpox, and the flu (Dobyns 1983; Schaefer 2004). The settlers also ruthlessly destroyed the Native Americans’ food supply (buffalos, crops). As a result, about 95 percent of Native Americans died (Thornton 1987; Churchill 1997).

The same thing was happening in other places. In South Africa, the Dutch settlers viewed the native Hottentots as jungle animals and totally wiped them out. In Tasmania, the British settlers stalked the local aboriginal population, hunting them for sport and sometimes even for dog food.

Labels are powerful. Those that dehumanize help people to **compartmentalize**—to separate their acts of cruelty from their sense of being good and moral people. To regard members of some group as inferior or even less than human means that it is okay to treat them inhumanely. Thus people can kill—and still retain a good self-concept (Bernard et al. 1971). In short, *labeling the targeted group as inferior or even less than fully human facilitates genocide.*

Population Transfer

There are two types of **population transfer**: indirect and direct. *Indirect transfer* is achieved by making life so miserable for members of a minority that they leave “voluntarily.” Under the bitter conditions of czarist Russia, for example, millions of Jews made this “choice.” *Direct transfer* occurs when a dominant group expels a minority. Examples include the U.S. government relocating Native Americans to reservations and transferring Americans of Japanese descent to internment camps during World War II.

In the 1990s, a combination of genocide and population transfer occurred in Bosnia and Kosovo, parts of the former Yugoslavia. A hatred nurtured for centuries had been kept under wraps by Tito’s iron-fisted rule from 1944 to 1980. After Tito’s death, these suppressed, smoldering hostilities soared to the surface, and Yugoslavia split into warring factions. When the Serbs gained power, Muslims rebelled and began guerilla warfare. The Serbs vented their hatred by what they termed **ethnic cleansing**: They terrorized villages with killing and rape, forcing survivors to flee in fear.

Internal Colonialism

In Chapter 7, the term *colonialism* was used to refer to one way that the Most Industrialized Nations exploit the Least Industrialized Nations (p. 190). Conflict theorists use the term **internal colonialism** to describe the way in which

Amid fears that Japanese Americans were “enemies within” who would sabotage industrial and military installations on the West Coast, in the early days of World War II Japanese Americans were transferred to “relocation camps.” Many returned home after the war to find that their property had been confiscated or vandalized.



a country’s dominant group exploits minority groups for its economic advantage. The dominant group manipulates the social institutions to suppress minorities and deny them full access to their society’s benefits. Slavery, reviewed in Chapter 7, is an extreme example of internal colonialism, as was the South African system of *apartheid*. Although the dominant Afrikaners despised the minority, they found its presence necessary. As Simpson and Yinger (1972) put it, who else would do the hard work?

Segregation

Internal colonialism is often accompanied by **segregation**—the separation of racial or ethnic groups. Segregation allows the dominant group to maintain social distance from the minority and yet to exploit their labor as cooks, cleaners, chauffeurs, nannies, factory workers, and so on. In the U.S. South until the 1960s, by law, African Americans and whites had to use separate public facilities such as hotels, schools, swimming pools, bathrooms, and even drinking fountains. In thirty-eight states, laws prohibited marriage between blacks and whites. Violators could be sent to prison (Mahoney and Kooistra 1995; Crossen 2004b). The last law of this type was repealed in 1967 (Spickard 1989). In the villages of India, an ethnic group, the Dalits (untouchables), is forbidden to use the village pump. Dalit women must walk long distances to streams or pumps outside of the village to fetch their water (author’s notes).

Assimilation

Assimilation is the process by which a minority group is absorbed into the mainstream culture. There are two types. In *forced assimilation*, the dominant group refuses to allow the minority to practice its religion, to speak its language, or to follow its customs. Before the fall of the Soviet Union, for example, the dominant group, the Russians, required that Armenian children attend schools where they were taught in Russian. Armenians could celebrate only Russian holidays, not Armenian ones. *Permissible assimilation*, in contrast, allows the minority to adopt the dominant group’s patterns in its own way and at its own speed.

Multiculturalism (Pluralism)

A policy of **multiculturalism**, also called **pluralism**, permits or even encourages racial–ethnic variation. The minority groups are able to maintain their separate identities, yet participate freely in the country’s social institutions, from education to politics. Switzerland provides an outstanding example of multiculturalism. The Swiss population includes four ethnic groups: French, Italians, Germans, and Romansh. These groups have kept their own languages, and they live peacefully in political and economic unity. Multiculturalism has been so successful that none of these groups can properly be called a minority.

Racial–Ethnic Relations in the United States

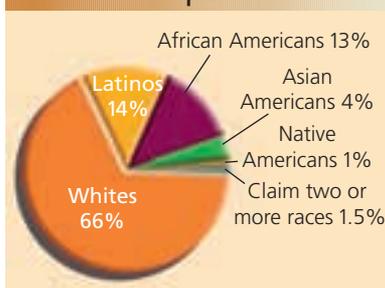
Writing about race–ethnicity is like stepping onto a minefield: One never knows where to expect the next explosion. Even basic terms are controversial. The term *African American*, for example, is rejected by those who ask why this term doesn’t include white immigrants from South Africa. Some people classified as African Americans also reject this term because they identify themselves as blacks. Similarly, some Latinos prefer the term *Hispanic American*, but others reject it, saying that it ignores the Indian side of their heritage. Some would limit the term *Chicanos*—commonly used to refer to Americans from Mexico—to those who have a sense of ethnic oppression and unity; they say that it does not apply to those who have assimilated.

No term that I use here, then, will satisfy everyone. Racial–ethnic identity is fluid, constantly changing, and all terms carry a risk as they take on politically charged

meanings. Nevertheless, as part of everyday life, we classify ourselves and one another as belonging to distinct racial–ethnic groups. As Figures 9.4 and 9.5 show, on the basis of these self-identities, whites make up 66 percent of the U.S. population, minorities (African Americans, Asian Americans, Latinos, and Native Americans) 32 percent. Between 1 and 2 percent claim membership in two or more racial–ethnic groups.

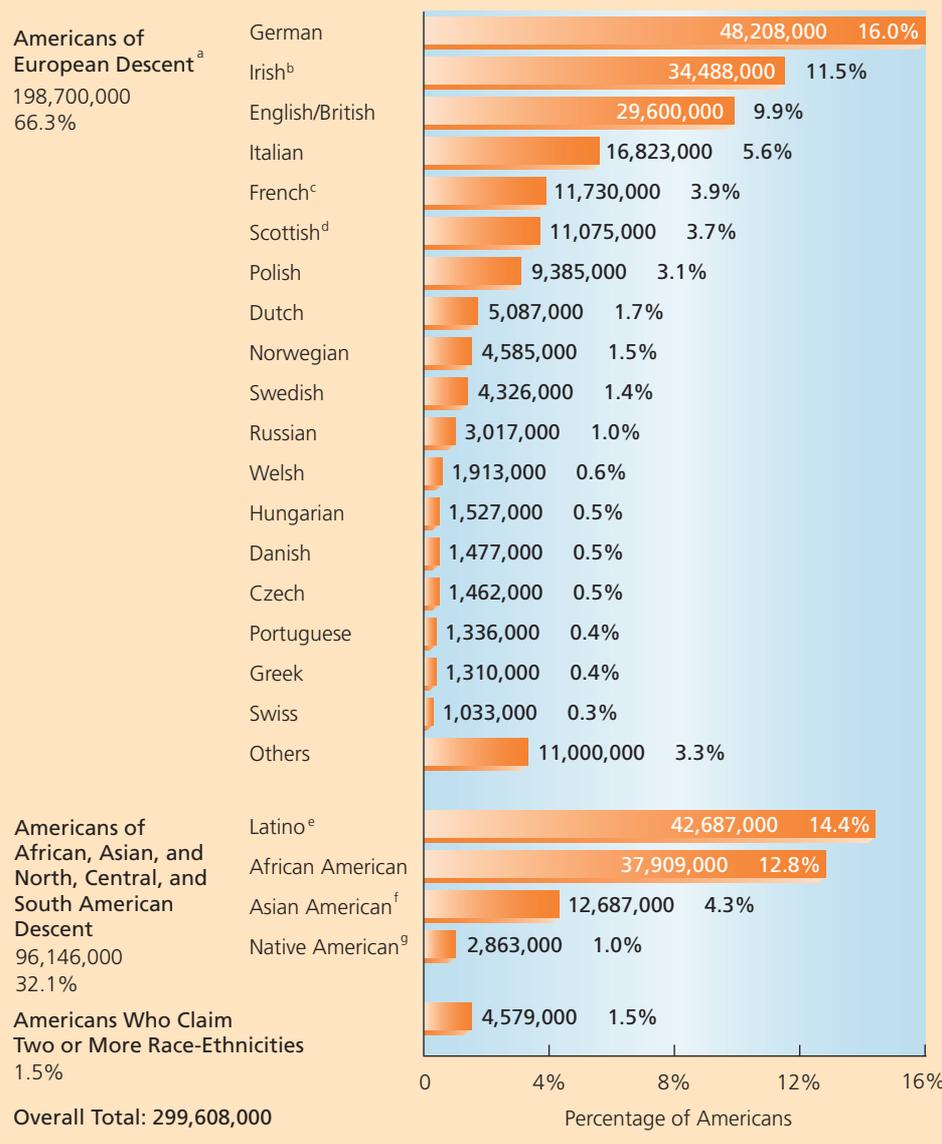
As you can see from the Social Map on the next page, the distribution of dominant and minority groups among the states seldom comes close to the national average. This is because minority groups tend to be clustered in regions.

FIGURE 9.4 Race–Ethnicity of the U.S. Population



Source: By the author. See Figure 9.5.

FIGURE 9.5 U.S. Racial–Ethnic Groups



Notes: ^aThe totals in this figure should be taken as broadly accurate only. The totals for groups and even for the U.S. population vary from table to table in the source. Because the total of the individual white ethnic groups listed in the source is 10 percent above the total of whites, I arbitrarily reduced each white ethnic group by 10 percent. ^bInterestingly, this total is six times higher than all the Irish who live in Ireland. ^cIncludes French Canadian. ^dIncludes “Scottish-Irish.” ^eMost Latinos trace at least part of their ancestry to Europe. ^fIn descending order, the largest groups of Asian Americans are from China, the Philippines, India, Korea, Vietnam, and Japan. See Figure 9.9 on page 251. Also includes those who identify themselves as Native Hawaiian or Pacific Islander. ^gIncludes Native American, Inuit, and Aleut.

Source: By the author. Based on *Statistical Abstract 2007*: Table 50.



USA—the land of diversity

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The extreme distributions are represented by Maine and Vermont, each of which has only 4 percent minority, and by Hawaii, where minorities outnumber whites 77 percent to 23 percent. With this as background, let's review the major groups in the United States, going from the largest to the smallest.

European Americans

Perhaps the event that best crystallizes the racial view of the nation's founders occurred during the first U.S. Congress. Its members passed the Naturalization Act of 1790, declaring that only white immigrants could apply for citizenship. The sense of superiority and privilege of **WASPs** (white Anglo-Saxon Protestants) was not limited to their views of race. They also viewed as inferior white Europeans from countries other than England. They greeted **white ethnics**—immigrants from Europe whose language and other customs differed from theirs—with disdain and negative stereotypes. They especially despised the Irish, viewing them as dirty, lazy drunkards, but they also painted Germans, Poles, Jews,

Italians, and others with similarly broad disparaging brush strokes.

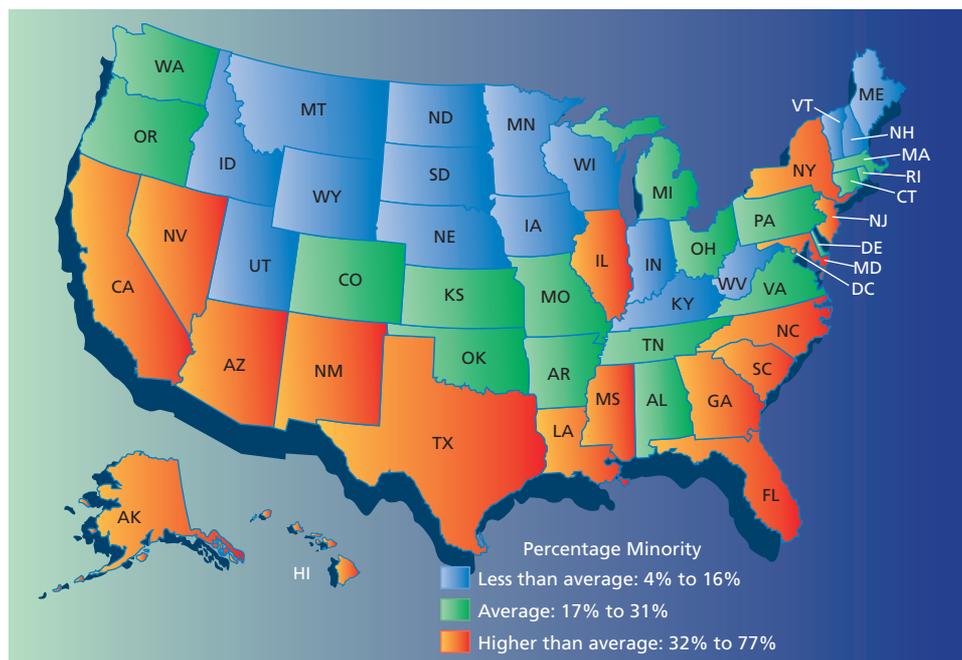
To get an idea of how intense these feelings were, consider this statement by Benjamin Franklin regarding immigrants from Germany:

Why should the Palatine boors be suffered to swarm into our settlements and by herding together establish their language and manners to the exclusion of ours? Why should Pennsylvania, founded by the English, become a colony of aliens, who will shortly be so numerous as to germanize us instead of our anglicizing them? (In Alba and Nee 2003:17)

The cultural and political dominance of the WASPs placed pressure on immigrants to assimilate into the mainstream culture. The children of most immigrants embraced the new way of life and quickly came to think of themselves as Americans rather than as Germans, French, Hungarians, and so on. They dropped their distinctive customs, especially their language, often viewing them as symbols of shame. This second generation of immigrants was sandwiched between two worlds: “the old country”

FIGURE 9.6 The Distribution of Dominant and Minority Groups

This social map indicates how unevenly distributed U.S. minority groups are. The extremes are Hawaii with 77 percent minority and Maine and Vermont with 4 percent minority.



Source: By the author. Based on *Statistical Abstract 2007*:Table 23.

of their parents and their new home. Their children, the third generation, had an easier adjustment, for they had fewer customs to discard. As immigrants from other parts of Europe assimilated into this Anglo culture, the meaning of WASP expanded to include people of this descent.

In Sum: Because Protestant English immigrants settled the colonies, they established the culture—from the dom-

inant language to the dominant religion. Highly ethnocentric, they regarded as inferior the customs of other groups. Because white Europeans took power, they determined the national agenda to which other ethnic groups had to react and conform. Their institutional and cultural dominance still sets the stage for current ethnic relations, a topic that is explored in the Down-to-Earth Sociology box below.

Down-to-Earth Sociology

Unpacking the Invisible Knapsack: Exploring Cultural Privilege

Over the years, overt racism in the United States has dropped sharply, but doors still open and close on the basis of the color of our skin. Whites have a difficult time grasping the idea that good things come their way because they are white. They usually fail to perceive how “whiteness” operates in their own lives.

Peggy McIntosh, of Irish descent, began to wonder why she was so seldom aware of her race–ethnicity, while her African American friends were so conscious of theirs. She realized that people are not highly aware of things that they take for granted—and that “whiteness” is a “taken-for-granted” background assumption of U.S. society. To explore this, she drew up a list of things that she can take for granted because of her “whiteness,” what she calls her “invisible knapsack.”

What is in this “knapsack”? That is, what taken-for-granted privileges can most white people in U.S. society assume? Because she is white, McIntosh (1988) says,

1. If I don't do well as a leader, I can be sure people won't say that it is because of my race.
2. When I go shopping, store detectives won't follow me.
3. When I watch television or look at the front page of the paper, I see people of my race presented positively.
4. When I study our national heritage, I see people of my color and am taught that they made our country great.
5. When I cash a check or use a credit card, my skin color does not make the clerk think that I may be financially irresponsible.
6. To protect my children, I do not have to teach them to be aware of racism.
7. I can talk with my mouth full and not have people put this down to my color.



One of the cultural privileges of being white in the United States is less suspicion of wrongdoing.

8. I can speak at a public meeting without putting my race on trial.
9. I can achieve something and not be “a credit to my race.”
10. I am never asked to speak for all the people of my race.
11. If a traffic cop pulls me over, I can be sure that it isn't because I'm white.
12. I can be late to a meeting without people thinking I was late because “That's how they are.”

For Your Consideration

Can you think of other “background privileges” that come to whites because of their skin color? (McIntosh's list contains forty-six items.) Why are whites seldom aware that they carry this invisible knapsack?

Latinos (Hispanics)

A Note on Terms Before reviewing major characteristics of Latinos, it is important to stress that *Latino* and *Hispanic* refer not to a race but to ethnic groups. Latinos may identify themselves racially as black, white, or Native American. With changing self-identities, some Latinos who have an African heritage refer to themselves as Afro-Latinos (Navarro 2003).

Numbers, Origins, and Location When birds still nested in the trees that would be used to build the *Mayflower*, Latinos had already established settlements in Florida and New Mexico (Bretos 1994). Today, Latinos are the largest minority group in the United States. As shown in Figure 9.7, about 28 million people trace their origin to Mexico, 3 to 4 million to Puerto Rico, 1 to 2 million to Cuba, and about 7 million to Central or South America.

Although Latinos are officially tallied at 42 million, another 7 million Latinos are living here illegally, 5 million from Mexico and 2 million from Central and South America (*Statistical Abstract* 2006:Table 7). Most Latinos are legal residents, but each year more than 1 million Mexicans are apprehended at the border or at points inland and are returned to Mexico (*Statistical Abstract* 2007:Table 518). Several hundred thousand others manage to enter the United States each year. With this vast migration, there are millions more Latinos in the United States than there are Canadians in Canada (33 million). As Figure 9.8 shows, two-thirds live in just four states: California, Texas, Florida, and New York.

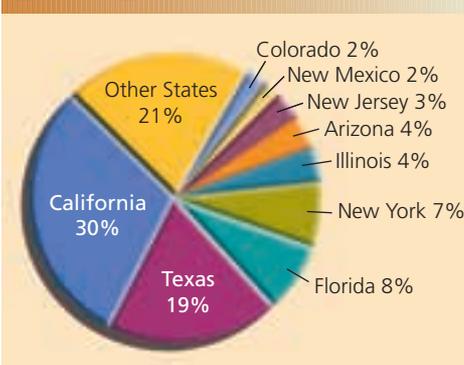
Public concern about the migration of Mexicans across the U.S. border has led to emotionally charged debates in the U.S. Congress. One response was to tighten the border, and, despite protests from the Mexican government,

FIGURE 9.7 Geographical Origin of U.S. Latinos



Source: By the author. Based on *Statistical Abstract* 2007:Table 44.

FIGURE 9.8 Where U.S. Latinos Live



Source: By the author. Based on *Statistical Abstract* 2007:Table 23.



The illegal migration of Mexicans into the United States has become a major social issue. For political reasons, including especially relations with Mexico, U.S. officials have hesitated to close the border. The appearance of citizen patrols proved an embarrassment to the official U.S. Border Patrol, as well as a threat to international relations.

U.S. officials built a wall at various points on the U.S. side of the border. Helping to shape the international debate was the arrival of volunteers, calling themselves Minutemen, organized through the Internet to patrol the border. Their arrival in Arizona spread fear among Mexicans and upset U.S. officials, who worried that there would be bloody clashes between the volunteers and the “coyotes” who were smuggling migrants (Peña 2005; Ramos 2005). The violence didn’t happen, and the unwelcome unofficial patrolling of the border continued. So did the migration. Despite walls and patrols, as long as there is a need for unskilled labor and so many Mexicans live in poverty, this flow of undocumented workers will continue. To gain insight into why, see the Cultural Diversity box on the next page.

Spanish Language The Spanish language distinguishes most Latinos from other U.S. ethnic groups. With 31 million people speaking Spanish at home, the United States has become one of the largest Spanish-speaking nations in the world (*Statistical Abstract* 2007:Table 51). Because about half of Latinos are unable to speak English, or can do so only with difficulty, many millions face a major obstacle to getting good jobs.

The growing use of Spanish has stoked controversy. Perceiving the prevalence of Spanish as a threat, Senator S. I. Hayakawa of California initiated an “English-only” movement in 1981. The constitutional amendment that he sponsored never got off the ground, but twenty-six states have passed laws that declare English their official language (Schaefer 2004).

Diversity For Latinos, country of origin is highly significant. Those from Puerto Rico, for example, feel that they have little in common with people from Mexico,

Venezuela, or El Salvador—just as earlier immigrants from Germany, Sweden, and England felt they had little in common with one another. A sign of these divisions is that many refer to themselves in terms of their country of origin, such as *puertorriqueños* or *cubanos*, rather than as Latino or Hispanic.

As with other ethnic groups, Latinos are separated by social class. The half-million Cubans who fled Castro’s rise to power in 1959, for example, were mostly well-educated, well-to-do professionals or businesspeople. In contrast, the “boat people” who fled later were mostly lower-class refugees, people with whom the earlier arrivals would not have associated in Cuba. The earlier arrivals, who are firmly established in Florida and who control many businesses and financial institutions, distance themselves from the more recent immigrants.

These divisions of national origin and social class are a major obstacle to political unity. One consequence is a severe underrepresentation in politics. Because Latinos make up 14.4 percent of the U.S. population, we might expect fourteen or fifteen U.S. senators to be Latino. How many are there? *Two*. In addition, Latinos hold only 5 percent of the seats in the U.S. House of Representatives (*Statistical Abstract* 2007:Table 395).

The potential political power of Latinos is remarkable, and in coming years we will see more of this potential realized. As Latinos have become more visible in U.S. society and more vocal in their demands for equality, they have come face to face with African Americans who fear that Latino gains in employment and at the ballot box will come at their expense (Cose 2006). Together, Latinos and African Americans make up more than one-fourth of the U.S. population. If these two groups were to join together, their unity would produce an unstoppable political force.



For millions of people, the United States represents a land of opportunity and freedom from oppression. Shown here are Cubans who reached the United States by transforming their 1950s truck into a boat.



Cultural Diversity in the United States

The Illegal Travel Guide

Manuel was a drinking buddy of Jose, a man I had met in Colima, Mexico. At 45, Manuel was friendly, outgoing, and enterprising.

Manuel, who had lived in the United States for seven years, spoke fluent English. Preferring to live in his home town in Colima, where he palled around with his childhood friends, Manuel always seemed to have money and free time.

When Manuel invited me to go on a business trip with him, I accepted. I never could figure out what he did for a living or how he could afford a car, a luxury that none of his friends had. As we traveled from one remote village to another, Manuel would sell used clothing that he had heaped in the back of his older-model Ford station wagon.

At one stop, Manuel took me into a dirt-floored, thatched-roof hut. While chickens ran in and out, Manuel whispered to a slender man who was about 23 years old. The poverty was overwhelming. Juan, as his name turned out to be, had a partial grade school education. He also had a wife, four hungry children under the age of 5, and two pigs—his main food supply. Although eager to work, Juan had no job, for there was simply no work available in this remote village.

As we were drinking a Coke, which seems to be the national beverage of Mexico's poor, Manuel explained to me that he was not only selling clothing—he was also lining up migrants to the United States. For a fee, he would take a man to the border and introduce him to a “coyote,” who would help him make a night crossing into the promised land.



Mexicans crossing the Rio Grande. Photo taken from the Mexican side of the border, near Nuevo Laredo.

When I saw the hope in Juan's face, I knew nothing would stop him. He was borrowing every cent he could from every friend and relative to scrape the money together. Although he risked losing everything if apprehended and he would be facing unknown risks, Juan would make the trip, for wealth beckoned on the other side. He knew people who had been to the United States and spoke glowingly of its opportunities. Manuel, of course, stoked the fires of hope.

Looking up from the children playing on the dirt floor with the chickens pecking about them, I saw a man who loved his family. In order to make the desperate bid for a better life, he would suffer an enforced absence, as well as the uncertainties of a foreign culture whose language he did not know.

Juan opened his billfold, took something out, and slowly handed it to me. I looked at it curiously. I felt tears as I saw the tenderness with which he handled this piece of paper. It was his passport to the land of opportunity: a Social Security card made out in his name, sent by a friend who had already made the trip and who was waiting for Juan on the other side of the border.

It was then that I realized that the thousands of Manuels scurrying about Mexico and the millions of Juans they were transporting could never be stopped, for only the United States could fulfill their dream of a better life.

For Your Consideration

The vast stream of immigrants illegally crossing the Mexican–U.S. border has become a national issue. What do you think is the best way to deal with this issue? Why?

Comparative Conditions To see how Latinos are doing on some major indicators of well-being, look at Table 9.2 below. As you can see, compared with white Americans and Asian Americans, Latinos have less income, higher unemployment, and more poverty. They are also less likely to own their homes. Now look at how closely Latinos rank with African Americans and Native Americans. From this table, you can also see how significant country of origin is. People from Cuba score higher on all these indicators of well-being, while those from Puerto Rico score lower.

The significance of country or region of origin is also underscored by Table 9.3 on the next page. You can see that people who trace their roots to Cuba attain considerably more education than do those who come from other areas. You can also see that Latinos are the most likely to drop out of high school and the least likely to graduate from college. In a postindustrial society that increasingly requires advanced skills, these totals indicate that huge numbers of Latinos will be left behind.

African Americans

After slavery was abolished, the Southern states passed legislation (*Jim Crow* laws) to segregate blacks and whites. In 1896, the U.S. Supreme Court ruled in *Plessy v. Ferguson* that it was reasonable to use state power to require “separate but equal” accommodations for blacks. Whites used this ruling to strip blacks of the political power they had gained after the Civil War. One way they did this was to prohibit blacks from voting in “white” primaries. It was not until 1944 that the Supreme Court ruled that African Americans could vote in Southern primaries, and not until 1954 that they gained the legal right to attend the same public schools as whites (Schaefer 2004). Well into the 1960s, the South was still openly—and legally—practicing segregation.

The Struggle for Civil Rights

It was 1955, in Montgomery, Alabama. As specified by law, whites took the front seats of the bus, and blacks went to

TABLE 9.2 Race–Ethnicity and Comparative Well-Being¹

Racial–Ethnic Group	Income		Unemployment		Poverty		Home Ownership	
	Median Family Income	Compared to Whites	Percentage Unemployed	Compared to Whites	Percentage Below Poverty Line	Compared to Whites	Percentage Who Own Their Homes	Compared to Whites
Whites	\$59,907	—	3.5%	—	7.7%	—	76.3%	—
Latinos	\$36,820	39% lower	4.8%	37% higher	21.9%	184% higher	49.4%	35% lower
Country or Area of Origin								
Cuba	NA ²	NA	2.0%	57% lower	14.5%	88% higher	61.3%	20% lower
Central and South America	NA	NA	NA	NA	17.8%	131% higher	39.2%	49% lower
Mexico	NA	NA	4.2%	20% higher	23.8%	209% higher	51.4%	33% lower
Puerto Rico	NA	NA	4.7%	34% higher	22.9%	197% higher	39.7%	48% lower
African Americans	\$34,851	42% lower	7.5%	114% higher	24.5%	218% higher	49.5%	35% lower
Asian Americans ³	\$65,132	9% higher	3.5%	The same	11.7%	52% higher	57.6%	25% lower
Native Americans	\$35,981	40% lower	NA	NA	24.6%	219% higher	55.5%	27% lower

¹ Data are from 2004 and 2005.

² Not Available

³ Includes Pacific Islanders

Source: By the author. Based on *Statistical Abstract* 2007: Tables 40, 41, 44, 613, 677.

TABLE 9.3 Race–Ethnicity and Education

Racial–Ethnic Group	Education Completed				Doctorates		Percentage of U.S. Population
	Less than High School	High School	Some College	College (BA or Higher)	Number Awarded	Percentage of all U.S. Doctorates ¹	
Whites	9.7%	33.4%	28.0%	28.9%	28,214	81.0%	66.3%
Latinos	41.1%	27.3%	19.6%	12.1%	1,662	4.7%	14.4%
Country or Area of Origin							
Cuba	26.5%	30.4%	18.4%	24.7%	NA	NA	
Puerto Rico	27.8%	33.7%	24.7%	13.8%	NA	NA	
Central and South America	37.6%	25.6%	18.3%	18.5%	NA	NA	
Mexico	47.7%	26.6%	17.4%	8.3%	NA	NA	
African Americans	19.4%	36.0%	27.0%	17.6%	2,900	8.1%	12.8%
Asian Americans	15.1%	17.2%	19.3%	48.2%	2,632	7.4%	4.3%
Native Americans	23.3%	31.3%	31.1%	14.1%	217	0.6%	1.0%

¹Percentage after the doctorates awarded to nonresidents are deducted from the total.

Source: By the author. Based on *Statistical Abstract* 2007: Tables 41, 44, 289 and Figure 12.5 of this text.

the back. As the bus filled up, blacks had to give up their seats to whites.

When Rosa Parks, a 42-year-old African American woman and secretary of the Montgomery NAACP, was told that she would have to stand so that white folks could sit, she refused (Bray 1995). She stubbornly sat there while the bus driver raged and whites felt insulted. Her arrest touched off mass demonstrations, led 50,000 blacks to boycott the city's buses for a year, and thrust an otherwise unknown preacher into a historic role.

Reverend Martin Luther King, Jr., who had majored in sociology at Morehouse College in Atlanta, Georgia, took control. He organized car pools and preached nonviolence. Incensed at this radical organizer and at the stirrings in the normally compliant black community, segregationists also put their beliefs into practice—by bombing the homes of blacks and dynamiting their churches.

Rising Expectations and Civil Strife The barriers came down, but they came down slowly. Not until 1964 did Congress pass the Civil Rights Act, making it illegal to discriminate on the basis of race. African Americans were finally allowed in “white” restaurants, hotels, theaters, and other public places. Then in 1965, Congress passed the Voting Rights Act, banning the fraudulent literacy tests that the Southern states had used to keep African Americans from voting.

Encouraged by these gains, African Americans experienced what sociologists call **rising expectations**; that is, they believed that better conditions would soon follow. The lives of the poor among them, however, changed little, if at all. Frustrations built, finally exploding in Watts in 1965, when people living in that African American ghetto of central Los Angeles took to the streets in the first of what were termed “urban revolts.” When King was assassinated by a white supremacist on April 4, 1968, inner cities across the nation erupted in fiery violence. Under threat of the destruction of U.S. cities, Congress passed the sweeping Civil Rights Act of 1968.

Continued Gains Since then, African Americans have made remarkable gains in politics, education, and jobs. At 10 percent, the number of African Americans in the U.S. House of Representatives is almost *three times* what it was a generation ago (*Statistical Abstract* 1989: Table 423; 2007: Table 395). As college enrollments increased, the middle class expanded, and today half of all African American families make more than \$35,000 a year. One in three makes more than \$50,000 a year, and one in six earns more than \$75,000 (*Statistical Abstract* 2007: Table 675). Contrary to stereotypes, the average African American family is *not* poor.

The extent of African American political prominence was highlighted when Jesse Jackson (another sociology

major) competed for the Democratic presidential nomination in 1984 and 1988. Political progress was further confirmed in 1989 when L. Douglas Wilder was elected governor of Virginia and again in 2006 when Deval Patrick became governor of Massachusetts. The most publicized African American politician is Barack Obama, who was elected to the U.S. Senate from Illinois in 2004 and then won the Democratic nomination for president in 2008.

Current Losses Despite these gains, African Americans continue to lag behind in politics, economics, and education. Only *one* U.S. senator is African American, but on the basis of the percentage of African Americans in the U.S. population, we would expect about thirteen. As Tables 9.2 and 9.3 on pages 247 and 248 show, African Americans average only 58 percent of white income, have much more unemployment and poverty, and are less likely to own their home or to have a college education. That half of African American families have incomes over \$35,000 is only part of the story. Table 9.4 shows the other part—that one of every five African American families makes less than \$15,000 a year.

TABLE 9.4 Race–Ethnicity and Income Extremes

	Less than \$15,000	Over \$75,000
Asian Americans	6.3%	53.2%
Whites	6.7%	38.6%
African Americans	21.1%	17.7%
Latinos	15.8%	16.6%

Note: These are family incomes. Only these groups are listed in the source.

Source: By the author: Based on *Statistical Abstract 2007*: Table 675.

The upper mobility of millions of African Americans into the middle class has created two worlds of African American experience—one educated and affluent, the other uneducated and poor. Concentrated among the poor are those with the least hope, the highest despair, and the violence that so often dominates the evening news. Although homicide rates have dropped to their lowest point in thirty years, African



Until the 1960s, the South's public facilities were segregated. Some were reserved for whites only, others for blacks only. This *apartheid* was broken by blacks and whites who worked together and risked their lives to bring about a fairer society. Shown here is a 1963 sit-in at a Woolworth's lunch counter in Jackson, Mississippi. Sugar, ketchup, and mustard are being poured over the heads of the demonstrators.

The political gains of African Americans have been stunning, but none greater than the accomplishments of Barack Obama. Since Obama's mother is a white American and his father is from Kenya, why is he referred to as African American? The explanation is in the text.

Americans are *six* times as likely to be murdered as are whites (*Statistical Abstract* 2007:Table 302). Compared with whites, African Americans are also *eleven* times more likely to die from AIDS (*Statistical Abstract* 2007:Table 117).

Race or Social Class? A Sociological Debate This division of African Americans into “haves” and “have-nots” has fueled a sociological controversy. Sociologist William Julius Wilson (1978, 1987, 2000) argues that social class has become more important than race in determining the life chances of African Americans. Before civil rights legislation, he says, the African American experience was dominated by race. Throughout the United States, African Americans were excluded from avenues of economic advancement: good schools and good jobs. When civil rights legislation opened new opportunities, African Americans seized them. Just as legislation began to open doors to African Americans, however, manufacturing jobs dried up, and many blue-collar jobs were moved to the suburbs. As better-educated African Americans obtained middle-class, white-collar jobs and moved out of the inner city, they left behind the African Americans with poor education and few skills.

Wilson stresses the significance of these two worlds of African American experience. The group that is stuck in the inner city lives in poverty, attends poor schools, and faces dead-end jobs or welfare. This group is filled with hopelessness and despair, combined with apathy or hostility. In contrast, those who have moved up the social class ladder live in comfortable homes in secure neighborhoods. They work at jobs that provide decent incomes, and they send their children to good schools. Their middle-class experiences and lifestyle have changed their views on life, and their aspirations and values have little in common with those of African Americans who remain poor. According to Wilson, then, social class—not race—has become the most significant factor in the lives of African Americans.

Some sociologists reply that this analysis overlooks the discrimination that continues to underlie the African American experience. They note that even when African Americans do the same work as whites, they average less



pay (Willie 1991; Herring 2002). This, they argue, points to racial discrimination, not to social class.

What is the answer to this debate? Wilson would reply that it is not an either-or question. My book is titled *The Declining Significance of Race*, he would say, not *The Absence of Race*. Certainly racism is still alive, he would add, but today social class is more central to the African American experience than is racial discrimination. He stresses that for the poor in the inner city, we need to provide jobs—for work provides an anchor to a responsible life (Wilson 1996, 2000).

Racism as an Everyday Burden Today, racism is more subtle than it used to be, but it still walks among us (Perry 2006). To study discrimination in the job market, researchers sent out 5,000 résumés in response to help wanted ads in the Boston and Chicago Sunday papers (Bertrand and Mullainathan 2002). The résumés were identical, except for the names of the job applicants. Some applicants had white-sounding names, such as Emily and Brandon, while others had black-sounding names, such as Lakisha and Jamal. Although the qualifications of the supposed job applicants were identical, the white-sounding

names elicited *50 percent* more callbacks than the black-sounding names.

African Americans who occupy higher statuses enjoy greater opportunities, and they also face less discrimination. The discrimination that they encounter, however, is no less painful. Unlike whites of the same social class, they feel discrimination constantly hovering over them. Here is how an African American professor described it:

[One problem with] being black in America is that you have to spend so much time thinking about stuff that most white people just don't even have to think about. I worry when I get pulled over by a cop. . . . I worry what some white cop is going to think when he walks over to our car, because he's holding on to a gun. And I'm very aware of how many black folks accidentally get shot by cops. I worry when I walk into a store, that someone's going to think I'm in there shoplifting. . . . And I get resentful that I have to think about things that a lot of people, even my very close white friends whose politics are similar to mine, simply don't have to worry about. (Feagin 1999:398)

Asian Americans

I have stressed in this chapter that our racial–ethnic categories are based more on social considerations than on biological ones. This point is again obvious when we examine the category Asian American. As Figure 9.9 shows, those who are called Asian Americans came to the United States from many nations. With no unifying culture or “race,” why should they ever be clustered together in a single category—except that others perceive them as a unit? Think about it. What culture or race–ethnicity do Samoans and Vietnamese have in common? Or Laotians and Pakistanis? Or Native Hawaiians and Chinese? Or people from India and those from Guam? Yet all these groups—and more—are lumped together and called Asian Americans. Apparently, the U.S. government is not satisfied until it is able to pigeonhole everyone into a racial–ethnic category.

Since *Asian American* is a standard term, however, let's look at the characteristics of the 13 million people who are lumped together and assigned this label.

A Background of Discrimination From their first arrival in the United States, Asian Americans confronted discrimination. Lured by gold strikes in the West and an urgent need for unskilled workers to build the railroads, 200,000 Chinese immigrated between 1850 and 1880. When the famous golden spike was driven at Promontory, Utah, in 1869 to mark the completion of the railroad to the West

FIGURE 9.9 The Country of Origin of Asian Americans



Source: By the author. Based on *Statistical Abstract* 2006: Table 24.

Coast, white workers prevented Chinese workers from being in the photo—even though Chinese made up 90 percent of Central Pacific Railroad's labor force (Hsu 1971).

After the railroad was complete, the Chinese took other jobs. Feeling threatened by their cheap labor, Anglos formed vigilante groups to intimidate them. They also used the law. California's 1850 Foreign Miner's Act required Chinese (and Latinos) to pay a fee of \$20 a month in order to work—when wages were a dollar a day. The California Supreme Court ruled that Chinese could not testify against whites (Carlson and Colburn 1972). In 1882, Congress passed the Chinese Exclusion Act, suspending all Chinese immigration for ten years. Four years later, the Statue of Liberty was dedicated. The tired, the poor, and the huddled masses it was intended to welcome were obviously not Chinese.

When immigrants from Japan arrived, they encountered *spillover bigotry*, a stereotype that lumped Asians together, depicting them as sneaky, lazy, and untrustworthy. After Japan attacked Pearl Harbor in 1941, conditions grew worse for the 110,000 Japanese Americans who called the United States their home. U.S. authorities feared that Japan would invade the United States and that the Japanese Americans would fight on Japan's side. They also feared that Japanese Americans would sabotage military installations on the West Coast. Although no Japanese American had been involved in even a single act of sabotage, on February 19, 1942, President Franklin D. Roosevelt ordered that everyone who was *one-eighth Japanese or more* be confined in detention centers (called

“internment camps”). These people were charged with no crime, and they had no trials. Japanese ancestry was sufficient cause for being imprisoned.

Diversity As you can see from Table 9.2 on page 247, the annual income of Asian Americans has outstripped that of whites. This has led to the stereotype that all Asian Americans are successful. Are they? Their poverty rate is actually 50 percent higher than that of whites, and between 1 and 2 million Asian Americans live in poverty. Like Latinos, country of origin is also significant: Poverty is unusual among Chinese and Japanese Americans, but it clusters among Americans from Southeast Asia.

Reasons for Success The high average income of Asian Americans can be traced to three major factors: family life, educational achievement, and assimilation into mainstream culture.

Of all ethnic groups, including whites, Asian American children are the most likely to grow up with two parents and the least likely to be born to a single mother (*Statistical Abstract* 2007: Tables 53, 64). Most grow up in close-knit families that stress self-discipline, thrift, and hard work (Suzuki 1985; Bell 1991). This early socialization provides strong impetus for the other two factors.

The second factor is their high rate of college graduation. As Table 9.3 on page 248 shows, 48 percent of Asian Americans complete college. To realize how stunning this is, compare this with the other groups shown on this table. This educational achievement, in turn, opens doors to economic success.

The most striking indication of assimilation, the third factor, is a high rate of intermarriage. It is especially high among those who trace their descent from Japan and China—who are the most successful financially. In an unprecedented change, two of every three children born to a Japanese American have one parent who is not of Japanese descent (Schaefer 2004). The Chinese are close behind (Alba and Nee 2003).

Asian Americans are becoming more prominent in politics. With more than half of its citizens being Asian American, Hawaii has elected Asian American governors and sent several Asian American senators to Washington, including the two now serving there (Lee 1998, *Statistical Abstract* 2007: Table 395). The first Asian American governor outside of Hawaii was Gary Locke, who served as governor of Washington, a state in which Asian Americans make up less than 6 percent of the population, from 1997 to 2005.

Native Americans

“I don’t go so far as to think that the only good Indians are dead Indians, but I believe nine out of ten are—and I shouldn’t inquire too closely in the case of the tenth. The most vicious cowboy has more moral principle than the average Indian.”

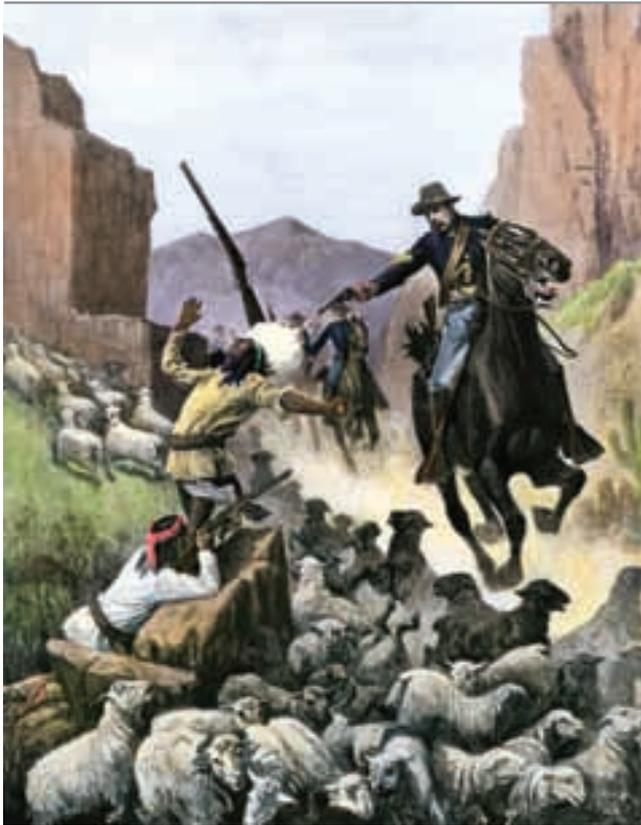
—Teddy Roosevelt, 1886,
President of the United States, 1901–1909

Diversity of Groups This quote from Teddy Roosevelt provides insight into the rampant racism of earlier generations. Yet, even today, thanks to countless grade B Westerns, some Americans view the original inhabitants of what became the United States as wild, uncivilized savages, a single group of people subdivided into separate tribes. The European immigrants to the colonies, however, encountered diverse groups of people with a variety of cultures—from nomadic hunters and gatherers to people who lived in wooden houses in settled agricultural communities. Altogether, they spoke over 700 languages (Schaefer 2004). Each group had its own norms and values—and the usual ethnocentric pride in its own culture. Consider what happened in 1744 when the colonists of Virginia offered college scholarships for “savage lads.” The Iroquois replied:

“Several of our young people were formerly brought up at the colleges of Northern Provinces. They were instructed in all your sciences. But when they came back to us, they were bad runners, ignorant of every means of living in the woods, unable to bear either cold or hunger, knew neither how to build a cabin, take a deer, or kill an enemy. . . . They were totally good for nothing.”

They added, “If the English gentlemen would send a dozen or two of their children to Onondaga, the great Council would take care of their education, bring them up in really what was the best manner and make men of them.” (Nash 1974; in McLemore 1994)

Native Americans, who numbered about 10 million, had no immunity to the diseases the Europeans brought with them. With deaths due to disease—and warfare, a much lesser cause—their population plummeted. The low point came in 1890, when the census reported only 250,000 Native Americans. If the census and the estimate of the original population are accurate, Native Americans had been reduced to about *one-fortieth* their original size. The population has never recovered, but Native Americans now number almost 3 million (see



The Native Americans stood in the way of the U.S. government's westward expansion. To seize their lands, the government followed a policy of *genocide*, later replaced by *population transfer*. This depiction of Apache shepherds being attacked by the U.S. Cavalry is by Rufus Zogbaum, a popular U.S. illustrator of the 1880s.

not to enforce the treaty, but to force the tribe off its lands. In its relentless drive westward, the U.S. government embarked on a policy of genocide. It assigned the U.S. cavalry the task of “pacification,” which translated into slaughtering Native Americans who “stood in the way” of this territorial expansion.

The acts of cruelty perpetrated by the Europeans against Native Americans appear endless, but two are especially notable. The first is the Trail of Tears. In the winter of 1838–1839, the U.S. Army rounded up 15,000 Cherokees and forced them to walk a thousand miles from the Carolinas and Georgia to Oklahoma. Coming from the South, many of the Cherokees wore only light clothing. Conditions were so inhumane that about 4,000 of those who were forced on this midwinter march died before they reached Oklahoma. About 50 years later came the symbolic end to Native American resistance to the European expansion. In 1890, at Wounded Knee, South Dakota, the U.S. cavalry gunned down 300 men, women, and children. After the massacre, the soldiers threw the bodies of the Dakota Sioux into a mass grave (Thornton 1987; Lind 1995; DiSilvestro 2006). These acts took place after the U.S. government had begun a policy called *Indian Removal*, forcefully confining Native Americans to specified areas called *reservations*.

The Invisible Minority and Self-Determination Native Americans can truly be called the invisible minority. Because about half live in rural areas and one-third in just three states—Oklahoma, California, and Arizona—most other Americans are hardly aware of a Native American presence in the United States. The isolation of about half of Native Americans on reservations further reduces their visibility (Schaefer 2004).

The systematic attempts of European Americans to destroy the Native Americans' way of life and their forced resettlement onto reservations continue to have deleterious effects. The rate of suicide of Native Americans is the highest of any racial–ethnic group, and their life expectancy is lower than that of the nation as a whole (Murray et al. 2006; Centers for Disease Control 2007b). Table 9.3 on page 248 shows that their education also lags behind most groups: Only 14 percent graduate from college.

Figure 9.5 on page 241). Native Americans, who today speak 150 different languages, do not think of themselves as a single people who fit neatly within a single label (McLemore 1994).

From Treaties to Genocide and Population Transfer At first, the Native Americans tried to accommodate the strangers, since there was plenty of land for both the newcomers and themselves. Soon, however, the settlers began to raid Indian villages and pillage their food supplies (Horn 2006). As wave after wave of settlers arrived, Pontiac, an Ottawa chief, saw the future—and didn't like it. He convinced several tribes to unite in an effort to push the Europeans into the sea. He almost succeeded, but failed when the English were reinforced by fresh troops (McLemore 1994).

A pattern of deception evolved. The U.S. government would make treaties to buy some of a tribe's land, with the promise to honor forever the tribe's right to what it had not sold. European immigrants, who continued to pour into the United States, would then disregard these boundaries. The tribes would resist, with death tolls on both sides. The U.S. government would then intervene—

Native Americans are experiencing major changes. In the 1800s, U.S. courts ruled that Native Americans did not own the land on which they had been settled and determined that they had no right to develop its resources. Native Americans were made wards of the state and treated like children by the Bureau of Indian Affairs (Mohawk 1991; Schaefer 2004). Then, in the 1960s, Native Americans won a series of legal victories that gave them control over reservation lands. As a result, many Native American tribes have opened businesses—ranging from industrial parks serving metropolitan areas to fish canneries. The Skywalk, opened by the Hualapai, which offers breathtaking views of the Grand Canyon, gives an idea of the varieties of businesses to come.

It is the casinos, though, that have attracted the most attention. In 1988, the federal government passed a law that allowed Native Americans to operate gambling establishments on reservations. Now over 200 tribes operate casinos. *They bring in about \$25 billion a year, twice as much as all the casinos in Las Vegas* (Werner 2007). The Oneida tribe of New York, which has only 1,000 members, runs a casino that nets \$232,000 a year for each man, woman, and child (Peterson 2003). This huge amount, however, pales in comparison with that of the Pequot of Connecticut. With only 700 members, they bring in more than \$2 million a day just from slot machines (Rivlin 2007). Incredibly, one tribe has only *one* member: She has her own casino (Barlett and Steele 2002).

One of the most significant changes is **pan-Indianism**. This emphasis on common elements that run through Native American cultures is an attempt to develop an identity that goes beyond the tribe. Pan-Indianism (“We are all Indians”) is a remarkable example of the plasticity of ethnicity. The label “Indian”—originally imposed by whites—is embraced and substituted for individual tribal identities. As sociologist Irwin Deutscher (2002:61) puts it, “The peoples who have accepted the larger definition

of who they are, have, in fact, little else in common with each other than the stereotypes of the dominant group which labels them.”

A highly controversial issue is *separatism*. Because Native Americans were independent peoples when the Europeans arrived and they never willingly joined the United States, many tribes maintain the right to remain separate from the U.S. government and U.S. society. “Such decisions must be ours,” say the Native Americans. “We are sovereign, and we will not take orders from the victors of past wars.”

Looking Toward the Future

Back in 1903, sociologist W. E. B. Du Bois said, “The problem of the twentieth century is the problem of the color line—the relation of the darker to the lighter races.” Incredibly, over a hundred years later, the color line remains one of the most volatile topics facing the nation. From time to time, the color line takes on a different complexion, as with the war on terrorism and the corresponding discrimination directed against people of Middle Eastern descent.

In another hundred years, will yet another sociologist lament that the color of people’s skin still affects human relationships? Given our past, it seems that although racial-ethnic walls will diminish, even crumble at some points, the color line is not likely to disappear. Let’s close this chapter by looking at two issues we are currently grappling with, immigration and affirmative action.

The United States is the most racially-ethnically diverse society in the world. This can be our central strength, with our many groups working together to build a harmonious society, a stellar example for the world. Or it can be our Achilles heel, if we break into feuding groups, forming a Balkanized society that marks an ill-fitting end to a grand social experiment. Our reality will probably fall somewhere between these extremes.



The Immigration Debate

Throughout its history, the United States has both welcomed immigration and feared its consequences. The gates opened wide (numerically, if not in attitude) for waves of immigrants in the 1800s and early 1900s. During the past twenty years, a new wave of immigration has brought close to a million new residents to the United States each year. Today, more immigrants (34 million) live in the United States than at any other time in the country's history (*Statistical Abstract* 2007:Tables 5, 45).

In contrast to earlier waves, in which immigrants came almost exclusively from western Europe, this current wave of immigrants is more diverse. In fact, it is changing the U.S. racial-ethnic mix. If current trends in immigration (and birth) persist, in about fifty years the "average" American will trace his or her ancestry to Africa, Asia, South America, the Pacific Islands, the Middle East—almost anywhere but white Europe. This change is discussed in the Cultural Diversity box on the next page.

In some states, the future is arriving much sooner than this. In California, racial-ethnic minorities have become the majority. California has 20 million minorities and 16 million whites (*Statistical Abstract* 2007:Table 23). Californians who request new telephone service from Pacific Bell can speak to customer service representatives in Spanish, Korean, Vietnamese, Mandarin, Cantonese—or in English.

As in the past, there is concern that "too many" immigrants will change the character of the United States. "Throughout the history of U.S. immigration," write sociologists Alejandro Portes and Rubén Rumbaut (1990), "a consistent thread has been the fear that the 'alien element' would somehow undermine the institutions of the country and would lead it down the path of disintegration and decay." A hundred years ago, the widespread fear was that the immigrants from southern Europe would bring communism with them. Today, some fear that Spanish-speaking immigrants threaten the primacy of the English language. In addition, the age-old fear that immigrants will take jobs away from native-born Americans remains strong. Finally, minority groups that struggled for political representation fear that newer groups will gain political power at their expense.

Affirmative Action

The role of affirmative action in our multicultural society lies at the center of a national debate about racial-ethnic relations. In this policy, initiated by President Kennedy in

1961, goals based on race (and sex) are used in hiring, promotion, and college admission. Sociologist Barbara Re-skin (1998) examined the results of affirmative action. She concluded that although it is difficult to separate the results of affirmative action from economic booms and busts and the greater numbers of women in the workforce, affirmative action has had a modest impact.

The results may have been modest, but the reactions to this program have been anything but modest. Affirmative action has been at the center of controversy for almost two generations. Liberals, both white and minority, say that this program is the most direct way to level the playing field of economic opportunity. If whites are passed over, this is an unfortunate cost that we must pay if we are to make up for past discrimination. In contrast, conservatives, both white and minority, agree that opportunity should be open to all, but claim that putting race (or sex) ahead of an individual's training and ability to perform a job is reverse discrimination. Because of their race (or sex), qualified people who had nothing to do with past inequity are discriminated against. They add that affirmative action stigmatizes the people who benefit from it, because it suggests that they hold their jobs because of race (or sex), rather than merit.

This national debate crystallized with a series of controversial rulings. One of the most significant was *Proposition 209*, a 1996 amendment to the California state constitution. This amendment made it illegal to give preference to minorities and women in hiring, promotion, and college admissions. Despite appeals by a coalition of civil rights groups, the U.S. Supreme Court upheld this California law.

A second significant ruling was made by the Supreme Court of Michigan in 2003. White students who had been denied admission to the University of Michigan claimed that they had been discriminated against because less qualified applicants had been admitted on the basis of their race. The Court ruled that universities can give minorities an edge in admissions, but there must be a meaningful review of individual applicants. Mechanical systems, such as giving extra points because of race, are unconstitutional. This murky message satisfied no one, as no one knew what it really meant.

To remove ambiguity, opponents of affirmative action in Michigan offered *Proposition 2*, an amendment to the state constitution that would make it illegal for public institutions to even consider race or sex in college admissions, in hiring, or in awarding contracts. Like the proposal in California, *Proposition 2* became law (Lewin 2007).

With opponents and proponents of affirmative action gearing up for similar battles in other states, the issue of



Cultural Diversity in the United States

Glimpsing the Future: The Shifting U.S. Racial–Ethnic Mix

During the next twenty-five years, the population of the United States is expected to grow by about 22 percent. To see what the U.S. population will look like at the end of that time, can we simply add 22 percent to our current racial–ethnic mix? The answer is a resounding no. As you can see from Figure 9.10, some groups will grow much more than others, giving us a different-looking United States. Some of the changes in the U.S. racial–ethnic mix will be dramatic. In twenty-five years, one of every nineteen Americans is expected to have an Asian background, and the most dramatic change—almost one of four is expected to be of Latino ancestry.

Two basic causes underlie this fundamental shift: immigration and birth rates. Immigration is by far the more important. The racial–ethnic groups have different rates of immigration and birth, and these will change their proportions of the U.S. population. From Figure 9.10, you can see how the proportion of non-Hispanic whites is expected to shrink, that of Native Americans to remain the same, that of African Americans

to increase slightly, and that of Latinos to increase sharply.

For Your Consideration

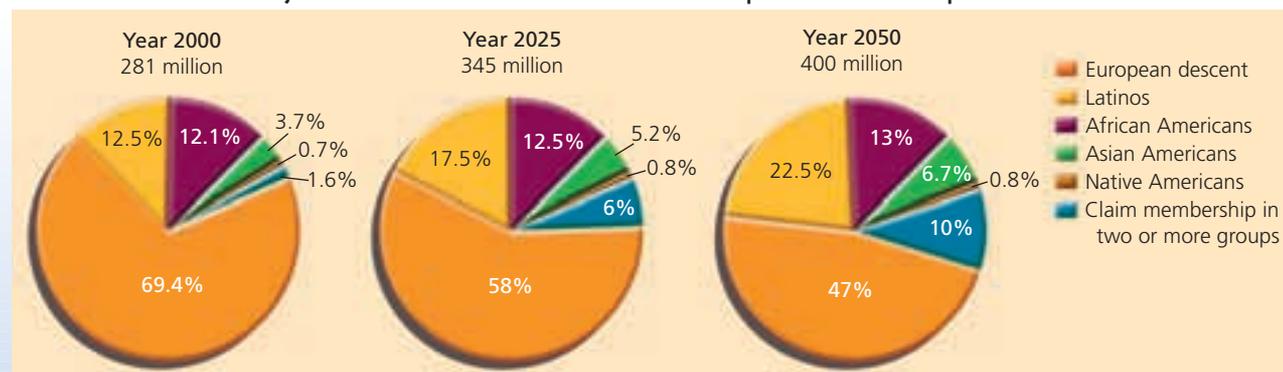
This shifting racial–ethnic mix is one of the most significant events occurring in the United States. To better understand its implications, apply the three theoretical perspectives.

Use the *conflict perspective* to identify the groups that are likely to be threatened by this change. Over what resources are struggles likely to develop? What impact do you think this changing mix might have on European Americans? On Latinos? On African Americans? On Asian Americans? On Native Americans? What changes in immigration laws (or their enforcement) can you anticipate?

To apply the *symbolic interactionist perspective*, consider how groups might perceive one another differently as their proportion of the population changes. How do you think that this changed perception will affect people's behavior?

To apply the *functionalist perspective*, try to determine how each racial–ethnic group will benefit from this changing mix. How will other parts of society (such as businesses) benefit? What functions and dysfunctions can you anticipate for politics, economics, education, or religion?

Projections of the Racial–Ethnic Makeup of the U.S. Population



Sources: By the author. Based on Bernstein and Bergman 2003; *Statistical Abstract* 2004:Table 16; 2005:Table 16. I modified the projections based on the new census category of membership in two or more groups and trends in interethnic marriage.

affirmative action in a multicultural society is likely to remain center stage for quite some time.

Toward a True Multicultural Society

The United States has the potential to become a society in which racial–ethnic groups not only coexist, but also respect one another—and thrive—as they work together for mutually beneficial goals. In a true multicultural society, the minority groups that make up the United States

would participate fully in the nation’s social institutions while maintaining their cultural integrity. Reaching this goal will require that we understand that “the biological differences that divide one race from another add up to a drop in the genetic ocean.” For a long time, we have given racial categories an importance they never merited. Now we need to figure out how to reduce them to the irrelevance they deserve. In short, we need to make real the abstraction called equality that we profess to believe (Cose 2000).

SUMMARY *and* REVIEW

Laying the Sociological Foundation

How is race both a reality and a myth?

In the sense that different groups inherit distinctive physical traits, race is a reality. There is no agreement regarding what constitutes a particular race, however, or even how many races there are. In the sense of one race being superior to another and of there being pure races, race is a myth. The *idea* of race is powerful, shaping basic relationships among people. Pp. 226–227.

How do race and ethnicity differ?

Race refers to inherited biological characteristics; **ethnicity**, to cultural ones. Members of ethnic groups identify with one another on the basis of common ancestry and cultural heritage. P. 227.

What are minority and dominant groups?

Minority groups are people who are singled out for unequal treatment by members of the **dominant group**, the group with more power, privilege, and social status. Minorities originate with migration or the expansion of political boundaries. Pp. 227–229.

What heightens ethnic identity, and what is “ethnic work”?

A group’s relative size, power, physical characteristics, and amount of discrimination heighten or reduce ethnic identity. **Ethnic work** is the process of constructing and maintaining an ethnic identity. For people without a firm ethnic identity, ethnic work is an attempt to recover their ethnic heritage.

For those with strong ties to their culture of origin, ethnic work involves enhancing group distinctions. Pp. 229–231.

Prejudice and Discrimination

Why are people prejudiced?

Prejudice is an attitude, and **discrimination** is an action. Like other attitudes, **prejudice** is learned in association with others. Prejudice is so extensive that people can show prejudice against groups that don’t even exist. Minorities also internalize the dominant norms, and some show prejudice against their own group. Pp. 231–233.

How do individual and institutional discrimination differ?

Individual discrimination is the negative treatment of one person by another, while **institutional discrimination** is negative treatment that is built into social institutions. Institutional discrimination can occur without the awareness of either the perpetrator or the object of discrimination. Discrimination in health care is one example. Pp. 234–235.

Theories of Prejudice

How do psychologists explain prejudice?

Psychological theories of prejudice stress the **authoritarian personality** and frustration displaced toward **scapegoats**. Pp. 235–236.

How do sociologists explain prejudice?

Sociological theories focus on how different social environments increase or decrease prejudice. *Functionalists* stress the benefits and costs that come from discrimination. *Conflict theorists* look at how the groups in power exploit racial–ethnic divisions in order to control workers

and maintain power. *Symbolic interactionists* stress how labels create **selective perception** and self-fulfilling prophecies. Pp. 236–237.

Global Patterns of Intergroup Relations

What are the major patterns of minority and dominant group relations?

Beginning with the least humane, they are **genocide, population transfer, internal colonialism, segregation, assimilation, and multiculturalism (pluralism)**. Pp. 237–240.

Racial–Ethnic Relations in the United States

What are the major racial–ethnic groups in the United States?

From largest to smallest, the major groups are European Americans, Latinos, African Americans, Asian Americans, and Native Americans. Pp. 240–243.

What are some issues in racial–ethnic relations and characteristics of minority groups?

Latinos are divided by social class and country of origin. African Americans are increasingly divided into middle and lower classes, with two sharply contrasting worlds of experience. On many measures, Asian Americans are better off than white Americans, but their well-being varies with their country of origin. For Native Americans, the primary issues are poverty, nationhood, and settling treaty obligations. The overarching issue for minorities is overcoming discrimination. Pp. 244–254.

Looking Toward the Future

What main issues dominate U.S. racial–ethnic relations?

The main issues are immigration, affirmative action, and how to develop a true multicultural society. The answers affect our future. Pp. 254–257.

THINKING CRITICALLY *about* Chapter 9

- How many races do your friends think there are? Do they think that one race is superior to the others? What do you think their reaction would be to the sociological position that racial categories are primarily social?
- A hundred years ago, sociologist W. E. B. Du Bois said, “The problem of the twentieth century is the problem of the color line—the relation of the darker to the lighter races.” Why do you think that the color line remains one of the most volatile topics facing the nation?
- If you were appointed head of the U.S. Civil Service Commission, what policies would you propose to reduce racial–ethnic strife in the United States? Be ready to explain the sociological principles that might give your proposals a higher chance of success.

BY THE NUMBERS: Changes Over Time

- Number of possible racial–ethnic categories in the 1890 census: **8**
- Number of possible racial–ethnic categories in the 2000 census: **63**
- Percentage of U.S. Native Americans who were killed or died of disease by 1860: **95%**
- Percentage of Tutsis killed in Rwanda in 1994: **77%**
- Percentage of Americans of European descent in 2000: **69%**
- Projected percentage of Americans of European descent in 2050: **47%**
- Percentage of Americans of Latino descent in 2000: **12.5%**
- Projected percentage of Americans of Latino descent in 2050: **22.5%**
- Percentage of Americans of African descent in 2000: **12%**
- Projected percentage of Americans of African descent in 2050: **13%**
- Percentage of Americans who claim membership in two or more racial–ethnic groups in 2000: **1.6%**
- Projected percentage of Americans who will claim membership in two or more racial–ethnic groups in 2050: **10%**

ADDITIONAL RESOURCES

What can you find in MySocLab?



www.mysoclab.com

- Complete Ebook
- Practice Tests and Video and Audio activities
- Mapping and Data Analysis exercises
- Sociology in the News
- Classic Readings in Sociology
- Research and Writing advice

Where Can I Read More on This Topic?

Suggested readings for this chapter are listed at the back of this book.