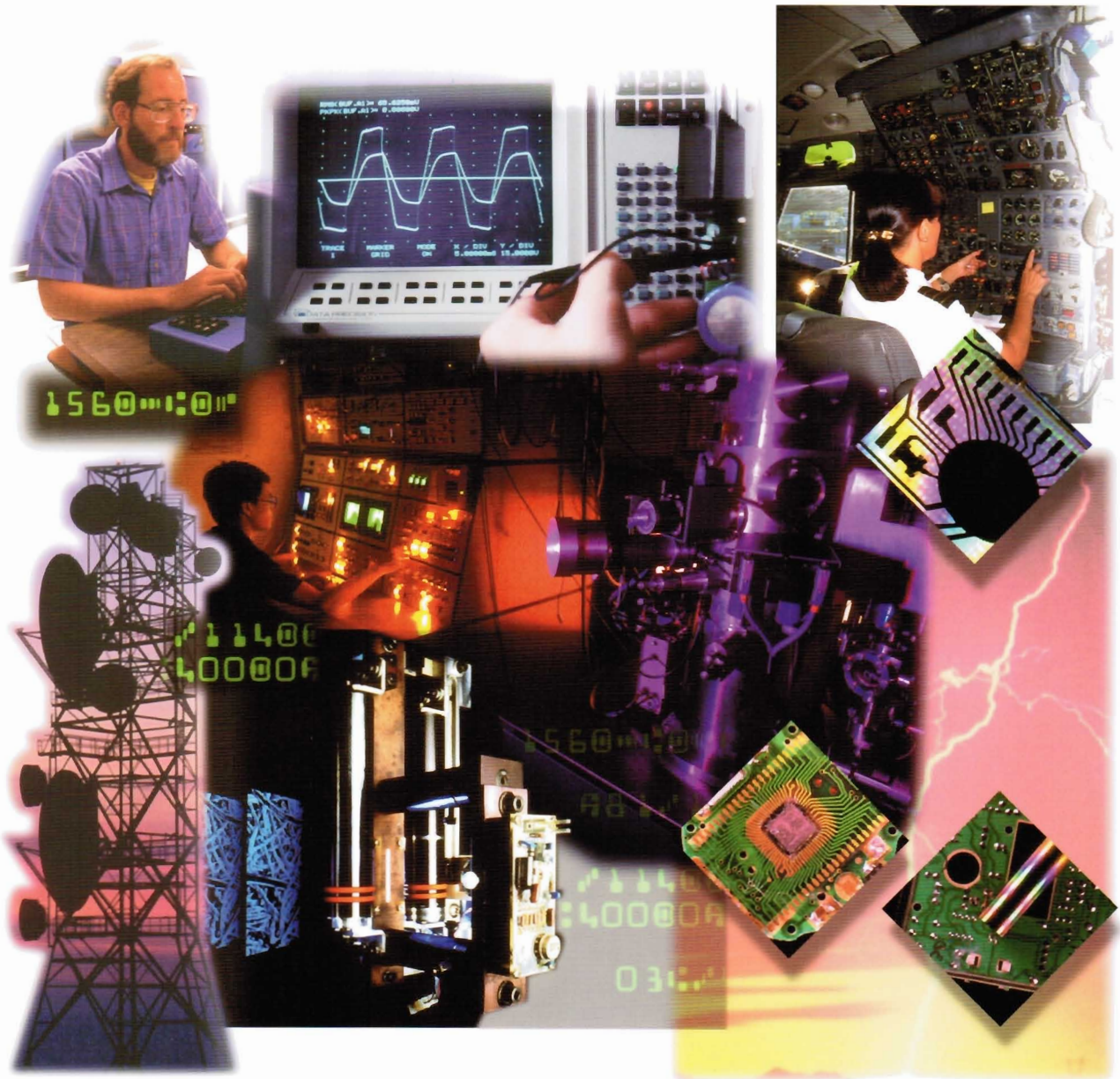


# INDIVIDUAL LEARNING SYSTEM



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# ELECTRONIC CIRCUITS



# **ELECTRONIC CIRCUITS**

EE-3104B  
595-4377-03



**Electronic Circuits, Third Edition**

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## INTRODUCTION

A wide variety of electronic circuits are required in most types of electronic equipment. However, certain types of circuits are used more extensively than others and they essentially serve as the fundamental building blocks which are used to create various electronic devices. These building-block circuits provide the basis for this course.

Electronic circuits are nothing more than electronic components connected to accomplish a specific task. These components are grouped into two general categories: active and passive. Resistors, capacitors, and inductors are examples of passive components. The active devices are electron tubes and solid-state devices. However, since the state of the art in electronics has shifted to solid-state devices, this course is designed to explain the basic circuits using a multitude of solid-state devices in both theoretical and practical applications.

After completing this course, you will be familiar with a wide variety of circuit configurations and their characteristics. You will also have gained a working knowledge of amplifiers, power supplies, oscillators, and pulse circuits, as well as the principles of modulation. This knowledge is essential if you are to progress further in your studies of electronics.

To perform the Experiments in this program, you will need a Heathkit Analog Trainer, a Multimeter and an oscilloscope. All other parts, such as IC's, resistors, and capacitors, have been supplied. Be sure to check your parts against the "Parts List" that follows. If you are missing any parts, you must request them on the Parts Order Form provided.

How do you gauge your learning? Let the "**objectives**" be your guide. These carefully constructed objectives are the framework for the course. When you can meet all of the objectives, you have satisfied the requirements of the course. You'll find two types of objectives in this course: broad, "**Course Objectives**" are listed following this introduction. More specific "**Unit Objectives**" are listed near the front of each unit. When you can satisfy these unit objectives, you've learned everything that was intended from the units; no matter how easy it seemed.



## COURSE OBJECTIVES

When you have completed this course, you will have the following skills and knowledge. You will be able to:

1. Identify basic transistor amplifier circuits, describe their operation, and list the characteristics of each.
2. Discuss direct current amplifiers, audio amplifiers, video amplifiers, intermediate frequency amplifiers, and radio frequency amplifiers, including their application in practical electronic systems.
3. Explain the operation of differential amplifiers, comparators, summing and difference amplifiers, and active filter circuits.
4. Analyze and design simple inverting and non-inverting amplifiers that use operational amplifiers.
5. Identify and explain the operation of power supply rectifiers, filters, and regulation circuits.
6. Discuss the basic principles of oscillation, identify and describe the operation of commonly used LC, RC, and crystal oscillators.
7. Demonstrate a knowledge of pulse shapers, multivibrators, the Schmitt trigger, and ramp generators.
8. Explain amplitude and frequency modulation, the heterodyne principle, and modulation and detection circuits.
9. Construct transistor and integrated circuit amplifiers, oscillators, active filters, and pulse-shaping circuits; power supplies and power supply regulation circuits; and a basic amplitude modulation and demodulation system.
10. Use a multimeter and an oscilloscope to analyze the operation of electronic circuits.

## COURSE OUTLINE

### UNIT 1 BASIC AMPLIFIERS

- I. Introduction
- II. Unit Objectives
- III. Unit Activity Guide
- IV. The Importance of Amplifiers
  - A. What is an amplifier?
  - B. What types of amplifiers are used?
  - C. Where are amplifiers used?
- V. Amplifier Configurations
  - A. Common-Emitter Circuits
    1. Circuit operation
    2. Current gain
    3. Voltage gain
    4. Power gain
    5. Input resistance
    6. Output resistance
  - B. Common-Base Circuits
    1. Circuit operation
    2. Current gain
    3. Voltage gain
    4. Power gain
    5. Input resistance
    6. Output resistance
  - C. Common-Collector Circuits
    1. Circuit Operation
    2. Current gain
    3. Voltage gain
    4. Power gain
    5. Input resistance
    6. Output resistance
- VI. Amplifier Biasing
  - A. Base-Biased Circuits
  - B. Feedback Bias
  - C. Voltage-Divider Biasing
  - D. Class of Operation

- VII. Amplifier Coupling
  - A. Resistance-Capacitance Coupling
  - B. Impedance Coupling
  - C. Direct Coupling
  - D. Transformer Coupling
- VIII. Experiment 1: Thermal Stability
- IX. Experiment 2: Common-Emitter Amplifier Characteristics
- X. Unit Summary
- XI. Unit Examination
- XII. Examination Answers



**UNIT 2 . TYPICAL AMPLIFIERS**

- I. Introduction
- II. Unit Objectives
- III. Unit Activity Guide
- IV. Direct Current Amplifiers
  - A. Basic Circuit Configuration
  - B. Multiple-Stage Amplifiers
    - 1. Darlington amplifiers
    - 2. Differential amplifiers
- V. Experiment 3: DC Amplifiers
- VI. Audio Amplifiers
  - A. Voltage Amplifiers
  - B. Power Amplifiers
  - C. Single-Ended Power Amplifiers
  - D. Push-Pull Power Amplifiers
    - 1. Transformer output
    - 2. Complementary amplifiers
    - 3. Quasi-complementary amplifiers
  - E. Heat Sinks
  - F. Volume Control Circuits
  - G. Tone Control Circuits
- VII. Experiment 4: Complementary Power Amplifiers
- VIII. Video Amplifiers
  - A. Factors Affecting Frequency Response
  - B. Frequency Compensation
  - C. Typical Video Amplifiers
- IX. RF and IF Amplifiers
  - A. Tuned Amplifiers
  - B. Amplifier Neutralization
  - C. Typical RF Amplifiers
  - D. Frequency Multipliers
  - E. IF Amplifiers
- X. Unit Summary
- XI. Unit Examination
- XII. Examination Answers

**UNIT 3 OPERATIONAL AMPLIFIERS**

- I. Introduction
- II. Unit Objectives
- III. Unit Activity Guide
- IV. Differential Amplifiers
  - A. Basic Differential Amplifier Circuits
  - B. Single-Input, Single-Output Operation
  - C. Single-Input, Differential-Output Circuit
  - D. Differential-Input, Differential-Output Operation
  - E. Current Sources and Voltage Sources
  - F. Practical Current Source
  - G. Practical Differential Amplifiers
  - H. Common-Mode Input Operation
  - I. Differential-Input Operation
  - J. Common-Mode Rejection Ratio
  - K. IC Differential Amplifiers
- V. Experiment 5: The Differential Amplifier
- VI. Operational Amplifier Characteristics
  - A. Basic Op Amp
  - B. Electrical Characteristics
  - C. IC Op Amp Families
- VII. Experiment 6: Introduction To The Operational Amplifier
- VIII. Closed-Loop Operation
  - A. Inverting Configuration
  - B. Noninverting Configuration
  - C. Bandwidth Limitations
  - D. Operational Amplifier Circuits
- IX. Experiment 7: Inverting and Noninverting Amplifiers
- X. Applications of Operational Amplifiers
  - A. Summing Amplifier (adder)
  - B. Active Filters
  - C. Difference Amplifiers
  - D. Other Applications
- XI. Experiment 8: Active Filters
- XII. Unit Summary
- XIII. Unit Examination
- XIV. Examination Answers

**UNIT 4 POWER SUPPLIES**

- I. Introduction
- II. Unit Objectives
- III. Unit Activity Guide
- IV. Rectifier Circuits
  - A. Half-Wave Rectifiers
    - 1. Basic circuit
    - 2. Effective, peak, and average values
    - 3. Rectifier with transformer
    - 4. Isolation
    - 5. Ripple frequency
    - 6. Output polarity
  - B. Full-Wave Rectifier
  - C. Bridge Rectifier
  - D. Comparison of Rectifiers
- V. Power Supply Filters
  - A. The Capacitor as a Filter
  - B. Full-Wave Supply with Capacitor Filter
  - C. Percent Ripple
  - D. The Capacitor's Effect on the Diodes
  - E. RC Filters
  - F. LC Filters
- VI. Voltage Multipliers
  - A. Half-Wave Voltage Doubler
  - B. Full-Wave Voltage Doubler
  - C. Voltage Tripler
  - D. Higher Order Voltage Multiplication
- VII. Experiment 9: Unregulated Power Supplies
- VIII. Voltage Regulation
  - A. Load Regulation
  - B. The Zener Regulator
- IX. Series Voltage Regulation
  - A. The Emitter Follower Regulator
  - B. The Feedback Regulator
    - 1. Block diagram
    - 2. Basic circuit
  - C. Feedback Regulator With Op Amp
  - D. Short Circuit Protection



- X. Experiment 10: Voltage Regulation
- XI. Power Supply Circuits
  - A. Overload Protection Devices
    - 1. Fuses
    - 2. Circuit breakers
  - B. Protective Circuits
  - C. Shunt Regulators
  - D. IC Regulators
  - E. Oscilloscope Power Supply
  - F. Color TV Power Supply
  - G. ET-3100 Power Supply
- XII. Unit Summary
- XIII. Unit Examination
- XIV. Examination Answers

**UNIT 5 OSCILLATORS**

- I. Introduction
- II. Unit Objectives
- III. Unit Activity Guide
- IV. Oscillator Fundamentals
  - A. What is an Oscillator?
  - B. The Basic Oscillator
- V. Experiment 11. The Practical Tank Circuit
- VI. The Transformer Oscillator
  - A. The Tuned-Collector Oscillator
  - B. The Tuned-Base Oscillator
- VII. LC Oscillators
  - A. The Series-Fed Hartley
  - B. The Shunt-Fed Hartley
  - C. The Colpitts Oscillator
  - D. The Clapp Oscillator
- VIII. Experiment 12: LC Oscillators
- IX. Crystal Controlled Oscillators
  - A. Crystal Characteristics
  - B. Equivalent Crystal Circuits
  - C. The Hartley Crystal Oscillator
  - D. The Colpitts Crystal Oscillator
  - E. The Pierce Oscillator
  - F. The Butler Oscillator
- X. Experiment 13: Crystal Oscillators
- XI. RC Oscillators
  - A. The Phase-Shift Oscillator
  - B. The Wien-Bridge Oscillator
- XII. Experiment 14: The Wien-Bridge Oscillator
- XIII. Nonsinusoidal Oscillators
  - A. The Blocking Oscillator
  - B. A Sawtooth Blocking Oscillator
- XIV. High Frequency Oscillators
- XV. Unit Summary
- XVI. Unit Examination
- XVII. Examination Answers

**UNIT 6 PULSE CIRCUITS**

- I. Introduction
- II. Unit Objectives
- III. Unit Activity Guide
- IV. Nonsinusoidal Waveforms
  - A. Frequency Domain Analysis
    - 1. The square wave
    - 2. The sawtooth wave
    - 3. Other waveforms
  - B. Waveform Spectrum
  - C. Terminology
- V. Waveshaping
  - A. RC Waveshaping
    - 1. Differentiator
    - 2. Integrator
  - B. Diode Clipping Circuits
    - 1. The series clipper
    - 2. Biased series clippers
    - 3. Shunt clippers
    - 4. Biased shunt clipper
    - 5. Slicer circuits
  - C. Transistor Clipper
  - D. Clampers
- VI. Experiment 15: Waveshaping
- VII. Rectangular-Wave Generators
  - A. Astable Multivibrator
  - B. Monostable Multivibrator
  - C. Bistable Multivibrator
  - D. Schmitt Trigger
  - E. The 555 Timer
- VIII. Experiment 16: Rectangular-Wave Generators
- IX. Ramp Generators
  - A. Forming the Ramp
  - B. Operational Amplifier Integrator
  - C. Sawtooth Generator
- X. Experiment 17: Ramp Generators
- XI. Unit Summary
- XII. Unit Examination
- XIII. Examination Answers



## UNIT 7 MODULATION

- I. Introduction
- II. Unit Objectives
- III. Unit Activity Guide
- IV. Amplitude Modulation
  - A. The Radio Wave
  - B. The Diode Modulator
  - C. Sidebands
  - D. Bandwidth
  - E. Percent of Modulation
  - F. The AM Transmitter
  - G. Modulator Circuits
  - H. The AM Detector
  - I. Tuned RF Receiver
  - J. Superheterodyne Receiver
  - K. Mixers and Frequency Converters
- V. Experiment 18: Amplitude Modulation
- VI. Other AM Systems
  - A. Disadvantages of Standard AM
    1. Carrier power
    2. Bandwidth
    3. Propagation problems
  - B. Single Sideband
  - C. Suppressing Components
  - D. The Balanced Modulator
  - E. Receiving Single Sideband
  - F. AM and SSB Variations
- VII. Frequency Modulation
  - A. The FM Waveform
  - B. Frequency Modulator
  - C. The FM Transmitter
  - D. The FM Receiver
  - E. FM Detectors
    1. Slope detector
    2. Double-tuned detector
    3. Foster-Seeley discriminator
    4. Ratio detector
- VIII. Unit Summary
- IX. Unit Examination
- X. Examination Answers

# PARTS LIST

This parts list contains all of the parts used in the experiments that you will perform with this course. The key numbers in parts list correspond to the numbers on the part illustrations. Some parts are packaged in envelopes. Except for this initial parts check, keep these parts in their envelopes until they are called for in an experiment. A container is provided so that you can keep the small parts together in one place.

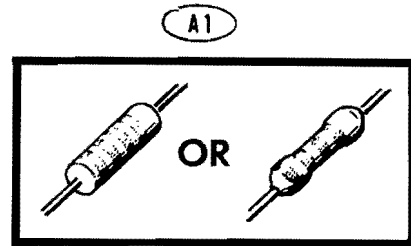
KEY No.	PART No.	QTY.	DESCRIPTION
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## RESISTORS

### NOTES:

1. Resistors are 5% tolerance (fourth band of gold).
2. Resistors are 1/2-watt composition.
3. The resistors may be packed in more than one envelope. Open all the resistor envelopes before you check them against the Parts List.

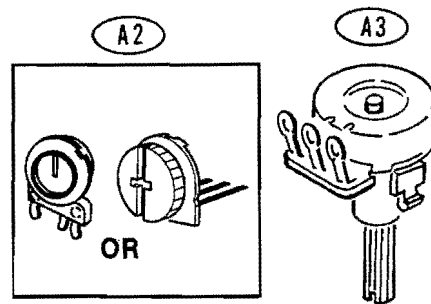
A1	6-479	1	4.7 Ω (yellow, violet, gold)
A1	6-100	1	10 Ω (brown, black, black)
A1	6-101	2	100 Ω (brown, black, brown)
A1	6-151	1	150 Ω (brown, green, brown)
A1	6-221	1	220 Ω (red, red, brown)
A1	6-331	2	330 Ω (orange, orange, brown)
A1	6-561	1	560 Ω green, blue, brown)
A1	6-621	1	620 Ω (blue, red, brown)
A1	6-102	3	1000 Ω (brown, black, red)
A1	6-152	1	1500 Ω (brown, green, red)
A1	6-182	1	1800 Ω (brown, gray, red)
A1	6-222	1	2200 Ω (red, red, red)
A1	6-332	2	3300 Ω (orange, orange, red)
A1	6-472	2	4700 Ω (yellow, violet, red)
A1	6-562	1	5600 Ω (green, blue, red)
A1	6-822	1	8200 Ω (gray, red, red)
A1	6-103	2	10 kΩ (brown, black, orange)
A1	6-223	1	22 kΩ (red, red, orange)
A1	6-333	1	33 kΩ (orange, orange, orange)
A1	6-473	1	47 kΩ (yellow, violet, orange)
A1	6-563	1	56 kΩ (green, blue, orange)
A1	6-683	1	68 kΩ (blue, gray, orange)
A1	6-104	2	100 kΩ (brown, black, yellow)
A1	6-474	1	470 kΩ (yellow, violet, yellow)
A1	6-105	2	1 MΩ (brown, black, green)



KEY No.	PART No.	QTY.	DESCRIPTION
---------	----------	------	-------------

**LINEAR CONTROLS**

A2	10-917	1	200 Ω
A2	10-1141	1	1 kΩ
A2	10-1142	1	100 kΩ
A3	10-194	1	1.5 MΩ

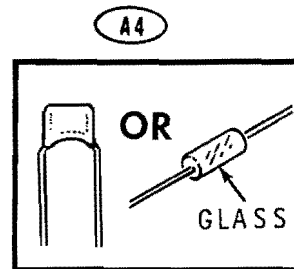


**CAPACITORS**

NOTE: Capacitor values are stamped on them. Whole numbers indicate pF (100K = 100 pF). The third digit is a multiplier (1 = X10, 2 = X100, 3 = X1000 (471 = 470 pF). Fractional numbers indicate μF (.001K = .001 μF).

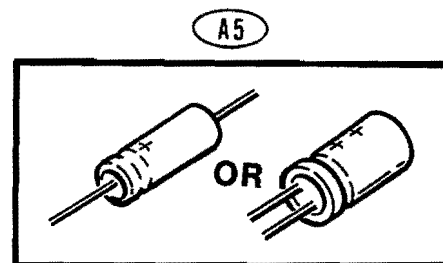
**Ceramic**

A4	21-149	1	2.7 pF
A4	21-168	1	4.7 pF
A4	21-84	1	24 pF
A4	21-32	1	47 pF
A4	21-75	2	100 pF
A4	21-711	1	470 pF
A4	21-163	2	1000 pF (.001 μF)
A4	21-47	2	0.01 μF
A4	21-82	1	0.02 μF



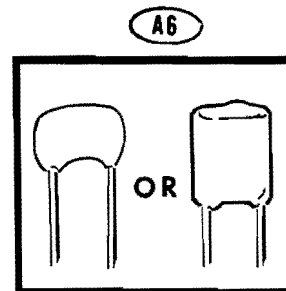
**Electrolytic**

A5	25-881	2	10 μF
A5	25-928	3	33 μF
A5	25-870	1	100 μF
A5	25-887	1	220 μF



**Mylar\***

A6	27-41	3	2200 pF (.0022 μF)
A6	27-79	1	0.039 μF
A6	27-77	2	0.1 μF
A6	27-62	1	0.68 μF

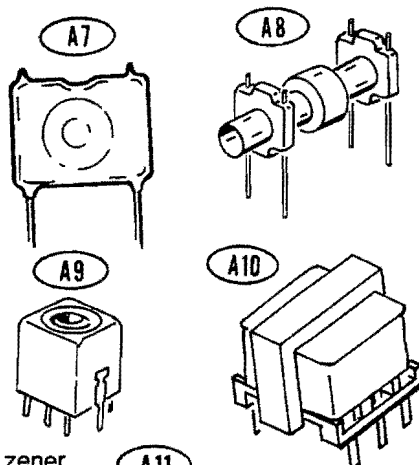


\*DuPont registered trademark

KEY No.	PART No.	QTY.	DESCRIPTION
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**INDUCTORS — TRANSFORMER**

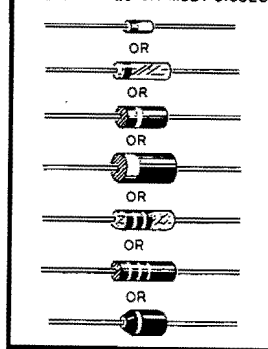
A7	40-1659	1	47 $\mu$ H (yellow, violet) fixed inductor
A8	40-882	1	305 $\mu$ H variable inductor
A9	40-953	1	360 $\mu$ H variable inductor
A10	51-97	1	Audio transformer



**DIODES**

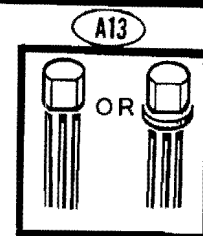
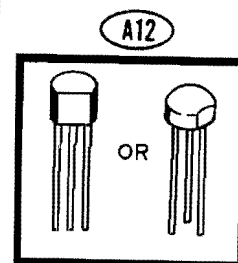
A11	56-26 <sup>1</sup>	1	1N191
A11	56-56	1	1N4149
A11	56-58	1	1N709A or 1N5234B 6.2V zener
A11	56-61 <sup>2</sup>	1	GESTB stabistor (PLE1V5)
A11	56-99	1	1N5223B 2.7V zener
A11	57-27	4	1N2071

NOTE: HEATH PART NUMBERS ARE STAMPED ON MOST DIODES.



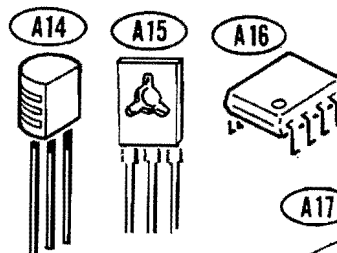
**TRANSISTORS**

A12	417-110	2	S2090
A12	417-116	1	S2091
A12	417-222	1	2N5308
A13	417-235	1	2N4121
A14	417-801	3	MPSA20
A15	417-818	1	MJE181



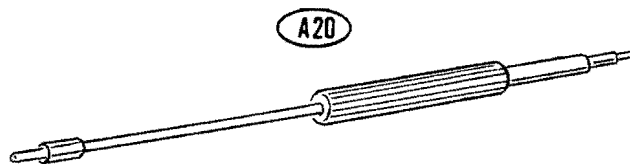
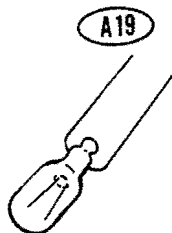
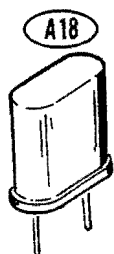
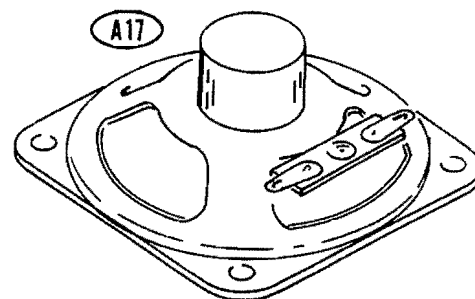
**INTEGRATED CIRCUITS**

A16	442-22	1	N5741V op amp
A16	442-53	1	NE555V timer



**MISCELLANEOUS**

	331-7	1	Solder package
	344-59	5'	#22 solid wire
A17	401-163	1	3" speaker
A18	404-238	1	3579.545 kHz crystal
A19	412-619	1	#1869D lamp
A20	490-1	1	Alignment tool
	266-962	1	Small parts container



1 This diode maybe color-coded (brown, white, brown)  
 2 PLE 1.5 (8740) is an approved substitute.

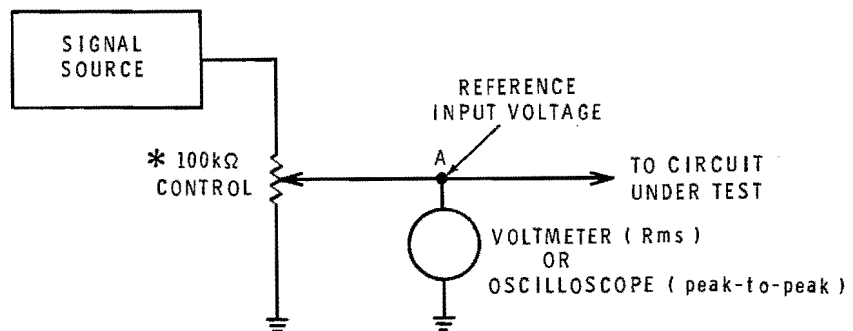
## General Tips for Improving the Accuracy of Your Experiments

1. Be sure that all powered equipment is connected to the same power source. This will establish a common reference and prevent ground loops.
2. Make sure you do **NOT** inadvertently insert a ground into the circuit under test. This could occur, for example, if your test equipment reference (ground) lead is earth grounded.

Use an ohmmeter, set to  $R \times 1$ , to see if the test equipment reference lead is earth grounded. Measure between reference lead and the center prong on the power plug. If the reading is zero, your equipment is earth grounded. If it is earth grounded, all measurements **MUST** be referenced to ground.

3. When you are making a comparison between two frequencies, make sure that the input reference does **NOT** change with the change in frequency.

Tune your source across the frequency range while monitoring the output. If the output amplitude changes or is too high, incorporate the circuit below between your signal source and the circuit under test.



This procedure will take the approximate and relative values out of your calculations and, thus, greatly improve the accuracy of your results and your understanding of the principles being demonstrated.

4. Remember: The frequency dial represents only a relative value. If you want greater accuracy, monitor the output frequency with a calibrated frequency counter or oscilloscope.

\*When you use Heathkit Trainers, use the 100 kΩ control mounted on the Trainer.





*Unit 1*

# **BASIC AMPLIFIERS**



## **CONTENTS**

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## INTRODUCTION

One of the most widely used electronic circuits is the amplifier. This circuit converts an input signal to a desired output signal, usually at a higher amplitude.

Most equipment requires that signals have a definite phase, frequency, and/or power relationship. By the proper application of the various circuit configurations, the amplifier can shift or alter phase; select or reject specific frequencies; amplify either voltage, current, or power; and match impedances for a maximum transfer of energy.

In this first unit we will examine the basic types of amplifier circuits. We will discuss these basic circuits in terms of input and output variations, as well as their effects on phase and amplitude. You will also perform several experiments designed to reinforce key points of the text. During these experiments, you will have the opportunity to demonstrate and observe the operation of these important circuits.

## UNIT OBJECTIVES

When you have completed this unit, you should be able to:

1. Name the two basic functions of amplifiers.
2. Identify the three basic amplifier circuit configurations.
3. Describe the most important characteristics of each basic amplifier circuit configuration.
4. Identify the most basic biasing arrangements that are used with common-emitter transistor circuits.
5. Explain how each basic biasing circuit operates.
6. Design a basic common-emitter amplifier circuit to operate class A.
7. Explain the purpose of placing a resistor in the emitter leg.
8. Determine if a simple transistor amplifier is operating in the class A, AB, B, or C mode.
9. Explain why amplifiers operated class A are preferred for audio equipment.
10. Identify the four basic amplifier coupling techniques and state the advantages and disadvantages of each.

## UNIT ACTIVITY GUIDE

	<b>Completion Time</b>
<input type="checkbox"/> Read "The Importance of Amplifiers."	_____
<input type="checkbox"/> Complete Programmed Review Frames 1 through 11.	_____
<input type="checkbox"/> Read "Basic Amplifier Configurations."	_____
<input type="checkbox"/> Complete Programmed Review Frames 12 through 30.	_____
<input type="checkbox"/> Read "Amplifier Biasing."	_____
<input type="checkbox"/> Complete Programmed Review Frames 31 through 42.	_____
<input type="checkbox"/> Read "Amplifier Coupling."	_____
<input type="checkbox"/> Complete Programmed Review Frames 43 through 51.	_____
<input type="checkbox"/> Perform Experiment 1.	_____
<input type="checkbox"/> Perform Experiment 2.	_____
<input type="checkbox"/> Study Unit Summary.	_____
<input type="checkbox"/> Complete Unit Examination.	_____
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## THE IMPORTANCE OF AMPLIFIERS

Amplifiers are among the most widely used electronic circuits. They perform a basic but extremely important electronic function and they are used in many types of commercial, industrial, and military equipment.

We will begin this unit by learning what an amplifier is, why it is used, and where it is used. Once you understand the important role of amplifiers in various electronic applications, you will be better prepared to understand the more detailed discussions which follow.

### What is an Amplifier?

An amplifier is a device which is used to amplify or, in other words, increase the level or magnitude of an electronic signal. Most amplifiers are designed to faithfully reproduce the original shape of an input signal or waveform even though they increase the various instantaneous and peak values of the waveform. If an amplifier had ideal characteristics, it would amplify a signal without introducing irregularities into the signal. Such irregularities or unwanted signal variations are commonly referred to as **distortion**. Practical amplifier circuits cannot be designed so that they are 100 percent free of distortion, but the distortion can be reduced to an insignificant or acceptable level.

In certain cases, amplifiers are designed to amplify a signal but at the same time, produce certain changes in the shape of the signal. These amplifiers intentionally introduce distortion into the signal to obtain a certain shape or waveform characteristic which is required for a particular application.

Modern amplifiers use one or more semiconductor or solid-state components along with a number of associated components. The solid-state component is usually a transistor or integrated circuit (IC) which can provide the necessary amplification. The associated components are usually resistors, capacitors, and inductors which are used to control the operation of the transistors or ICs involved, and effectively regulate the overall characteristics as well as the stability of the amplifier circuit.

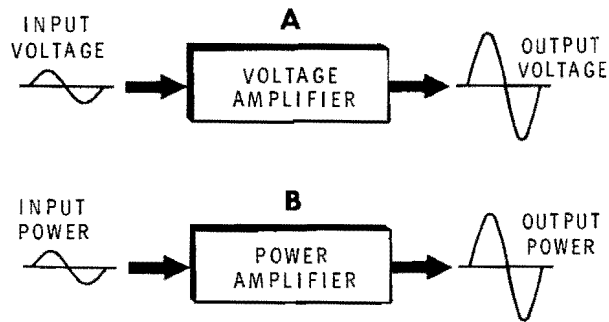


Figure 1-1

All amplifiers can be classified as either voltage amplifiers (A) or power amplifiers (B).

## What Types of Amplifiers are Used?

When we consider the various ways in which amplifiers are used in electronic equipment systems, we find that there are basically only two different types. They can be classified as either **voltage amplifiers** or **power amplifiers**. A voltage amplifier is used to increase the voltage level of an input signal as indicated in Figure 1-1A. For example, the amplifier may accept an input AC voltage that has a peak-to-peak value of only a few millivolts and amplify this voltage to a level of several volts peak-to-peak or possibly even higher. This output signal voltage could then be applied to another circuit or device which might process the signal or respond to it in some manner.

A power amplifier is used to increase the power level of an input signal as indicated in Figure 1-1B. For example, this type of amplifier may receive an AC signal at a power level of only a few milliwatts and produce an output AC power level of several watts or possibly even several hundred watts. The power amplifier delivers a substantial amount of power to a load by accepting a relatively low input current and producing a high output current. A power amplifier usually supplies a substantial amount of power to a load or circuit which has a relatively low resistance or impedance. A low resistance load is necessary to develop a high output current.

Although all amplifiers are basically voltage or power amplifiers, there are still a number of ways in which both of the basic amplifier types can be grouped or classified. For example, both types can be classified according to their **circuit configuration**. When a transistor is used as the principle controlling element in an amplifier circuit, three circuit configurations are possible. They are referred to as **common-emitter**, **common-base**, and **common-collector** circuits. All three of these configurations will be examined later in this unit.

Both voltage and power amplifiers may also be arranged according to their **class of operation**. Four classes of operation are commonly used and are referred to as **class A**, **class B**, **class AB**, and **class C** modes of operation. You will examine all four of these operating modes in this unit.

Both types of amplifiers may also be classified according to **frequency**. Most amplifiers provide amplification over a specific range of frequencies. Some amplifiers are used to amplify DC signals (which have zero frequency) or very low frequency AC signals and are known as **DC amplifiers**. Others operate at progressively higher frequencies and they may be designed to amplify only a narrow band or a wide band of frequencies. Typical examples include **audio amplifiers**, **video amplifiers**, **RF amplifiers**, and **IF amplifiers**. These various amplifiers will be examined in detail in the next unit.

## Where are Amplifiers Used?

Amplifiers are essential in most types of electronic equipment. They are used in many of the electronic instruments and devices that you use each day. For example, amplifiers are used in radios, television sets, hi-fi and stereo systems, tape recorders, and electronic organs as shown in Figure 1-2. In each of these devices the amplifiers are used to raise the amplitude of an AC signal to a usable level. In a radio or television set, amplifiers are needed to increase the level of the extremely weak AC signal that is picked up by the associated antenna. These signals are amplified to a level which is suitable for processing. The related picture and sound information (only sound in the radio) is then extracted from the incoming signal. This information is amplified again to a level which can operate the picture tube and loudspeaker which, in turn, develop the visual display and audible sound.

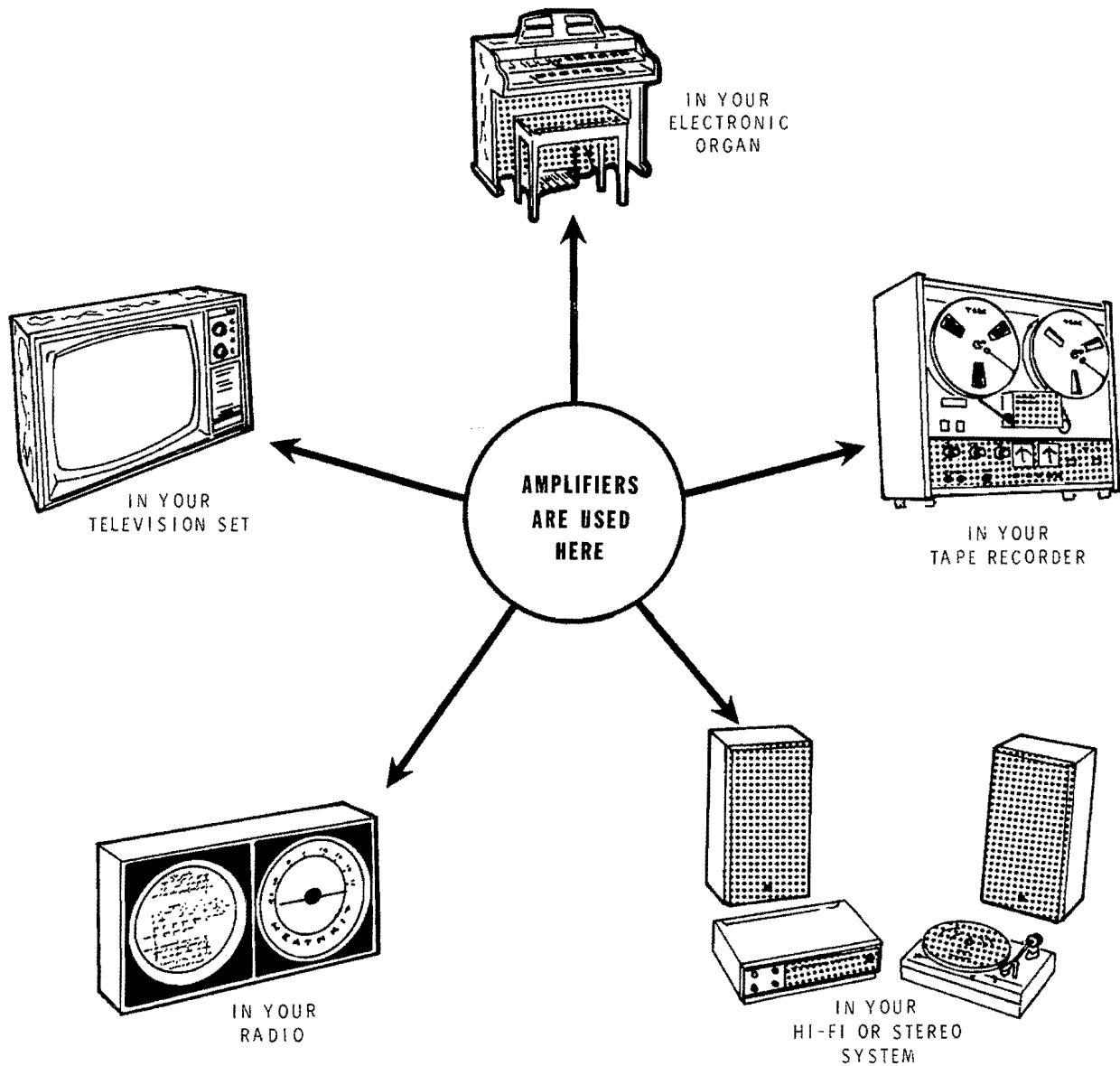


Figure 1-2

Amplifiers are used in all of these devices which are found in the home.

In an electronic organ, internally generated AC signals must be amplified to a level which can drive a loudspeaker which, in turn, produces the various audible tones. In a tape recorder or hi-fi set, amplifiers are needed to amplify the weak signal that is extracted from the magnetic tape or the record respectively. The amplified signals are then applied to one or more loudspeakers.



Amplifiers are also used extensively in military applications. For example, they are used in two-way radio communications systems where it is necessary to amplify a signal (which contains voice information) to a very high level so that it can be applied to an antenna and transmitted through space. At the receiving end, the signal must again be amplified so that it can be processed and used to drive a loudspeaker. Amplifiers are used in radar sets to amplify the short bursts of high frequency AC energy which is applied to an antenna and radiated into space. When this energy strikes an object, it is reflected back to the antenna where it is picked up and again amplified before it is processed. Amplifiers play an important role in automatic fire-control systems which are used to control the large guns aboard naval vessels. They are also used in guided missiles, satellites, and spacecraft as part of the on-board communication systems and they serve to amplify the signals obtained from the sensors and instruments that are used in various on-board experiments.

Much of the electronic equipment, that is used to either monitor or control various industrial processes, contains various types of amplifiers. They are also used in electronic security systems which are used to protect both company property and personal property, and they serve as the heart of most public address and intercom systems. Even our world-wide telephone communications network would not be possible without amplifiers.

As you can see, there are many applications for amplifiers in electronic equipment. The examples just given are only a small sample. These important circuits are used in so many different applications that it is almost impossible to itemize them all. It is safe to say that amplifiers are the most common circuits in electronics.

## Programmed Review

This review is prepared in a programmed instruction (P.I.) format. It will enhance your understanding of the material presented in this section. Read each of the numbered frames carefully and fill in the missing blanks at the bottom of each frame. The correct answer appears in parentheses at the beginning of the next frame. Use a sheet of paper to cover all of the frames below the one you are reading.

- |    |  |
|----|--|
| 1. | An amplifier is a device that increases the _____ of an electronic signal.   |
| 2. | (level, magnitude, or amplitude) Most amplifier circuits are designed to faithfully reproduce the shape of a signal and therefore do not intentionally introduce _____ into the signal.  |
| 3. | (distortion) Most modern amplifiers contain a solid-state component such as a _____ which is capable of providing the necessary amplification.   |
| 4. | (transistor) In addition to the solid-state component, various resistors, capacitors, and inductors are used to control the operation of the solid-state device and effectively regulate the overall characteristics and stability of the _____ circuit. |
| 5. | (amplifier) Basically, all amplifiers can be arranged into two groups according to their use. One type is used to amplify signal voltages and it is therefore referred to as a _____ amplifier.  |
| 6. | (voltage) The second type is used to amplify the power level of a signal and is therefore referred to as a _____ amplifier.  |
| 7. | (power) A power amplifier provides power amplification by raising the input signal current to a higher level. This is why a power amplifier is sometimes referred to as a _____ amplifier.   |
| 8. | (current) Amplifiers may be classified according to their circuit configuration. When a transistor is used in the amplifier, the three possible circuit configurations are the common-_____, common-_____, and common-_____ circuits.                    |

9. (emitter, base, collector) Amplifiers may also be grouped according to their class of operation. The four commonly used classes of operation are class \_\_\_\_\_, class \_\_\_\_\_, class \_\_\_\_\_, and class \_\_\_\_\_.

10. (A, B, AB, and C) Another way of classifying amplifiers is by frequency. The operating frequency may extend from zero, in the case of a DC signal, to extremely high frequencies. Five typical examples include \_\_\_\_\_ amplifiers, \_\_\_\_\_ amplifiers, \_\_\_\_\_ amplifiers, \_\_\_\_\_ amplifiers, and \_\_\_\_\_ amplifiers.

11. (DC, audio, video, RF, and IF) Amplifiers are among the most widely used electronic circuits and are found in some of the instruments that are commonly used in the home. Five instruments in the home which use amplifiers are \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, and \_\_\_\_\_.

(radios, television sets, stereo or hi-fi sets, tape recorders, and electronic organs)

## AMPLIFIER CONFIGURATIONS

The various amplifiers used in electronic equipment can be classified according to their circuit configuration as explained earlier. When a transistor is used as the principle controlling element (it provides the amplification) in an amplifier circuit, the transistor can be connected in basically three different ways and still perform its amplifying function. The transistor has only three connections or leads, which are referred to as the **emitter**, **base**, and **collector**. However, each amplifier circuit must have two input leads and two output leads. This means that one of the transistor's leads must be common to both the input and the output of the circuit.

The three possible circuit configurations are therefore formed by using the emitter, base, or collector lead as the common lead and the two remaining leads as input and output leads. For this reason, the three configurations are called **common-emitter**, **common-base**, and **common-collector** circuits.

The common lead is often connected to circuit ground, so that the input and output signals are referenced to ground. When the common lead is grounded, the circuit is often referred to as a **grounded-emitter**, **grounded-base**, or **grounded-collector** circuit. The terms **common** and **ground** have essentially the same meaning. Furthermore, the common lead does not have to be connected directly to ground to be at ground potential as far as an AC signal is concerned. For example, the common lead can be connected to ground through a battery or a resistor that is bypassed with a capacitor and still be at AC ground potential. The battery and the bypassed resistor are shorts as far as the AC signal is concerned. When the common lead is connected directly to circuit ground, it is then considered to be at both AC and DC ground. In the circuits which will now be described, the common leads are considered to be at AC ground only.

We will now examine the three basic amplifier circuit configurations and consider their most important electrical characteristics. This preliminary discussion, although brief, is extremely important and you should study it very carefully.

## Common-Emitter Circuits

In the common-emitter circuit, the transistor's emitter lead is common to both the input and output signals, while the base and collector leads serve as input and output terminals respectively. Furthermore, the common-emitter circuit can be formed by using either an NPN or a PNP type of transistor. Since it has many desirable features, the common-emitter circuit is used more extensively than any other circuit arrangement.

### CIRCUIT OPERATION

The basic common-emitter circuit is shown in Figure 1-3. Figure 1-3A shows how a common-emitter circuit is formed using an NPN transistor. This portion of the figure also shows the basic structure of the transistor. Figure 1-3B shows the same common-emitter circuit, but in this case, the schematic symbol for the NPN transistor is used.

As shown in Figure 1-3, the input signal is applied between the transistor's base (B) and emitter (E) and the output signal appears between the transistor's collector (C) and emitter (E). The emitter is therefore common to both the input and output signals. The transistor's emitter, base, and collector regions are constructed from N-type, P-type, and N-type semiconductor materials respectively. These three layers are sandwiched together to form two PN junctions. The junction formed between the emitter and base regions is called the **emitter-base junction** or simply the **emitter junction**. The junction that is formed between the collector and base regions is called the **collector-base junction** or simply the **collector junction**.

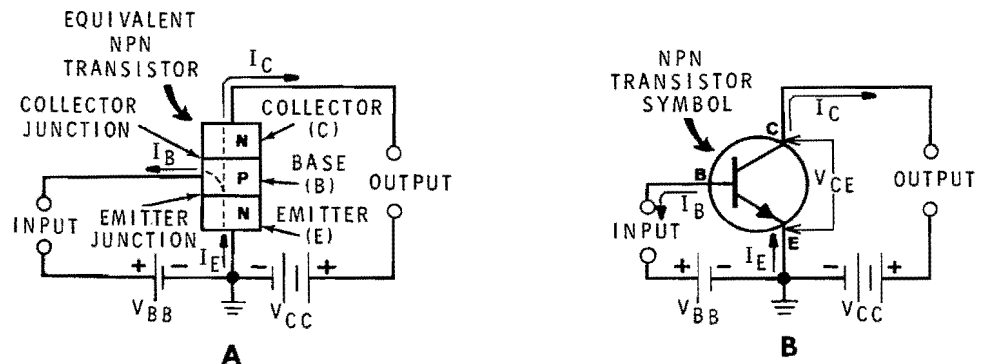


Figure 1-3

An NPN transistor connected in the common-emitter configuration.

Under normal operating conditions, the transistor's emitter junction must be forward biased. In other words, it must be subjected to a voltage which will allow current to flow through it. The emitter junction is forward biased when the P-type base is made positive with respect to the N-type emitter. This forward bias voltage is provided by voltage source  $V_{BB}$  as shown. When an input signal source is connected to the amplifier,  $V_{BB}$  is effectively connected across the emitter junction through the internal resistance of the signal source. This causes a small current to flow through the emitter junction, the signal source, and  $V_{BB}$ . This current (which flows out of the base region) is called the **base current**, and is identified as  $I_B$ . If the polarity of voltage source  $V_{BB}$  was reversed, the emitter junction would be **reverse biased** and essentially no base current would flow. This condition is avoided in most situations.

The transistor's collector junction is normally reverse biased. In other words, the N-type collector region must be made positive with respect to the P-type base region. This reverse bias voltage is provided by voltage source  $V_{CC}$  as shown in Figure 1-3. When an external load (usually a resistor) is connected to the output terminals, the positive side of  $V_{CC}$  is applied through the load to the N-type collector. The negative side of  $V_{CC}$  is effectively applied to the P-type base through the forward-biased emitter junction. The emitter junction presents a low resistance because it is forward biased and is capable of conducting a small base current ( $I_B$ ).

Since the collector junction is reverse biased, it might be reasonable to assume that no current could possibly flow through the collector junction. However, this is not the case. A unique action takes place within the transistor which allows current to flow through the reverse-biased collector junction. The forward-biased emitter junction allows a small  $I_B$  value to flow from the emitter to the base. However, most of the electrons that flow into the base do not flow out of the base to produce  $I_B$ . In fact, only 1 to 5 percent of these electrons flow out of the base. The majority (95 to 99 percent) are attracted by the positive charge placed on the collector region by voltage source  $V_{CC}$ . These electrons flow out of the collector region to produce a collector current ( $I_C$ ). The current flowing into the transistor's emitter region (from the negative side of each voltage source) is called the **emitter current** ( $I_E$ ). Therefore, according to the internal action just described, it is the emitter current ( $I_E$ ) that flows into the base region and then splits into two paths to create  $I_B$  and  $I_C$ . This simply means that  $I_E$  is equal to the sum of  $I_B$  and  $I_C$  or, expressed mathematically:

$$I_E = I_B + I_C$$

This equation may also be rearranged to show that  $I_B$  is actually the difference between  $I_E$  and  $I_C$  or, expressed mathematically:

$$I_B = I_E - I_C$$

We can also rearrange the equation to show that  $I_C$  is equal to the difference between  $I_E$  and  $I_B$  or, expressed mathematically:

$$I_C = I_E - I_B$$

This same basic common-emitter amplifier circuit shown in Figure 1-3 can be formed using a PNP transistor. However, the PNP transistor is constructed in the exact opposite manner as the NPN device. The PNP device has an N-type base or center region which is sandwiched between two P-type regions which serve as the emitter and collector. Like the NPN device, the PNP transistor must operate with its emitter junction forward biased and its collector junction reverse biased. This means that it is necessary to reverse polarity of bias voltages  $V_{BB}$  and  $V_{CC}$  so the circuit is assembled as shown in Figure 1-4A. Except for the polarity of the bias voltages, the circuit is arranged just like the circuit in Figure 1-3A. This PNP circuit functions in basically the same manner as its NPN counterpart even though its bias voltages and currents are opposite to those in the NPN circuit. The exact same relationship between  $I_B$ ,  $I_E$ , and  $I_C$  still exists. The PNP circuit is shown again in Figure 1-4B, but in this circuit, the schematic symbol for the PNP transistor is used.

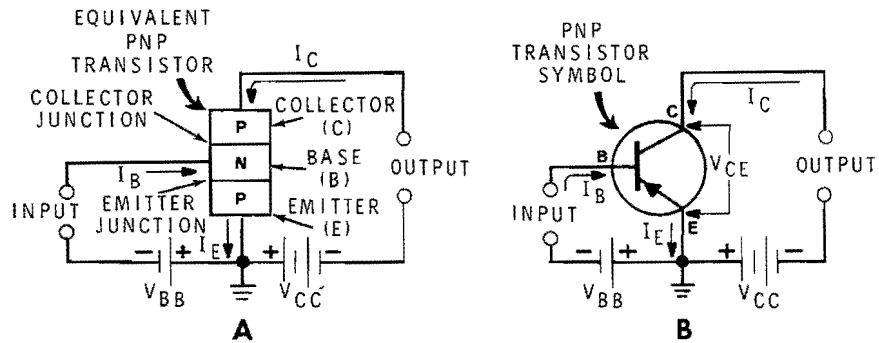


Figure 1-4

A PNP transistor connected in the common-emitter configuration.

## CURRENT GAIN

In the common-emitter circuit, a very small base current ( $I_B$ ) causes a much larger collector current ( $I_C$ ) to flow through the transistor. Since  $I_B$  serves as the input current and  $I_C$  is essentially the output current, the overall circuit provides an increase in current or, in other words, a **current gain**. Furthermore, any change in  $I_B$  will produce a proportional change in  $I_C$ . As  $I_B$  increases,  $I_C$  will increase by a proportional amount and, when  $I_B$  decreases,  $I_C$  will proportionally decrease. If  $I_B$  decreases to zero,  $I_C$  will essentially decrease to zero; although an extremely small leakage current may still flow due to certain impurities within the transistor's semiconductor material. Also, there is a practical limit to the maximum value of  $I_B$  and  $I_C$ . If  $I_B$  is increased too far, the increase in  $I_C$  will taper off or, stated differently, reach a saturation point. Also, the  $I_B$  and  $I_C$  values must be held to safe limits so the transistor's internal structure is not damaged by an excessive amount of internally generated heat.

The current gain of a transistor in the common-emitter configuration is sometimes referred to as the transistor's **beta** ( $\beta$ ). The transistor's beta is simply the ratio of its output current ( $I_C$ ) to its input current ( $I_B$ ). If the ratio of the steady-state or DC input and output currents is determined, the end result will be the transistor's **DC** beta. It is expressed mathematically as:

$$\text{DC beta} = \frac{I_C}{I_B}$$

However, a small change in  $I_B$  ( $\Delta I_B$ ) will be accompanied by a corresponding change in  $I_C$  ( $\Delta I_C$ ) as explained earlier. When we determine the ratio of a small change in  $I_C$  to a corresponding change in  $I_B$ , we obtain the transistor's **AC** beta. The **AC** beta may be expressed mathematically as:

$$\text{AC beta} = \frac{\Delta I_C}{\Delta I_B}$$



Like the DC beta previously described, the AC beta is simply a ratio of output and input currents. However, the AC beta represents the gain obtained when a changing (AC) signal is applied to the common-emitter transistor, while the DC beta is determined under steady-state or no-signal conditions. For any given transistor, the DC and AC beta values are nearly the same. Beta values may range from 10 to more than 200 with a value of from 80 to 150 being typical. These beta values are always determined while the transistor's collector-to-emitter voltage ( $V_{CE}$ ) is held constant (no load resistance is used). The output terminals in Figure 1-3 would be shorted so that  $V_{CE}$  would be equal to the source voltage ( $V_{CC}$ ). Figure 1-5 shows two basic circuit arrangements which can be used to determine the beta of a transistor. Figure 1-5A shows how steady DC values are used to determine the DC beta and Figure 1-5B shows how changing values are used to determine the AC beta. Notice that the change in  $I_B$  is obtained by varying  $V_{BB}$ . Although NPN transistors are shown in Figure 1-5, the same basic techniques are used to determine the DC or AC beta of a PNP transistor.

The current gain or beta of a common-emitter transistor is sometimes called the transistor's **common-emitter, forward-current transfer ratio**. The DC beta (forward current transfer ratio) is often represented by the symbol  $h_{FE}$  and the AC beta (forward-current transfer ratio) is represented by  $h_{fe}$ .

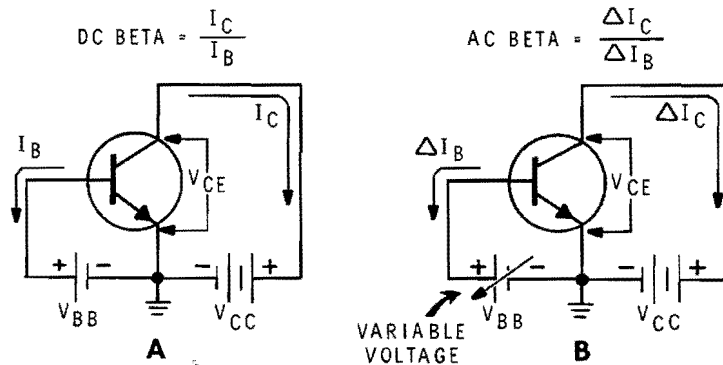


Figure 1-5

Determining the current gain of a transistor in the common-emitter configuration.

As explained earlier, a transistor's beta is determined while  $V_{CE}$  is held constant (no load is used). However, in most practical applications, some type of load resistance is used with the transistor. The transistor's collector current must flow through this load in order to perform a useful function. Although a load resistance is normally connected in the common-emitter transistor circuit, the transistor's beta value still provides a reasonably accurate estimate of the current gain provided by the circuit.

## VOLTAGE GAIN

The common-emitter circuit is often used to increase the level of an input voltage (provide a voltage gain). In order to perform this function, the transistor's collector current ( $I_C$ ) must flow through a load resistance so that an output signal voltage can be developed. A load resistance ( $R_L$ ) must therefore be connected in the output portion of the circuit as shown in Figure 1-6A.

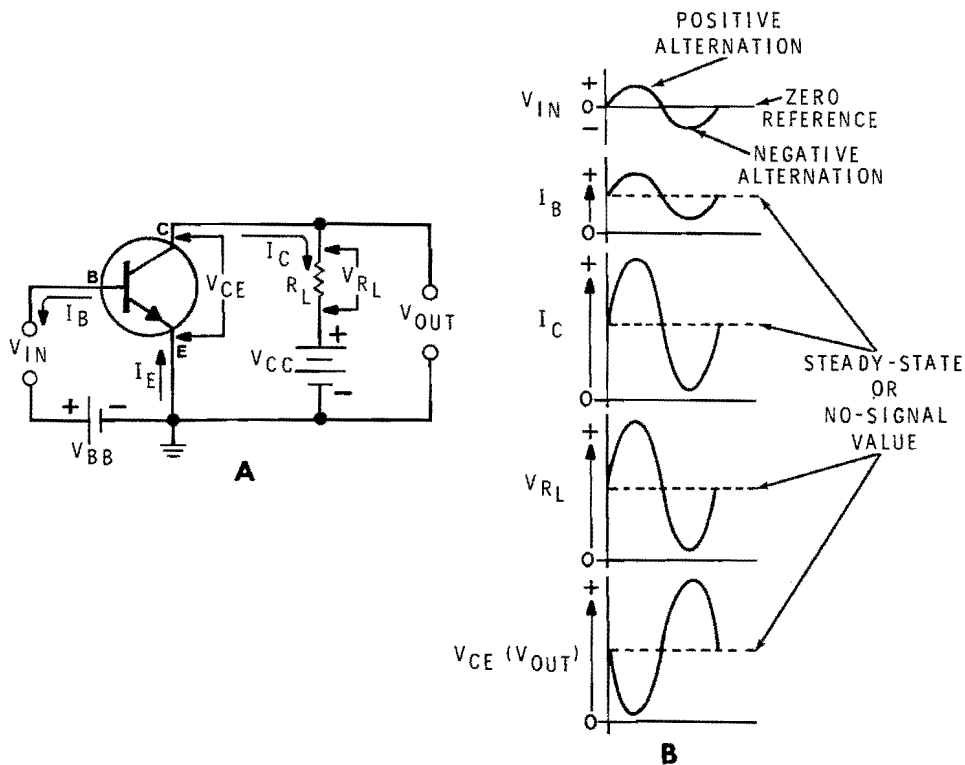


Figure 1-6

A common-emitter circuit (A) and its associated input and output signals (B).

When an input signal voltage is applied to the input terminals of the common-emitter circuit in Figure 1-6A, it is effectively connected between the transistor's base and emitter. However, the input voltage is also in series with  $V_{BB}$ . The input signal voltage source actually completes the circuit so that  $V_{BB}$  is applied through the voltage source to the transistor's emitter junction. Voltage source  $V_{BB}$  is adjusted to a value which will cause a specific  $I_B$  to flow through the transistor's base when the input voltage is zero. This will cause a specific  $I_C$  value to flow out of the transistor's collector and through  $R_L$ . The value of  $I_C$  is determined by both the value of  $I_B$  and the transistor's collector-to-emitter voltage ( $V_{CE}$ ). However, the transistor is in series with  $R_L$ , and both are connected across  $V_{CC}$ . This means that the voltage across the transistor ( $V_{CE}$ ), plus the voltage across  $R_L$  ( $V_{R_L}$ ), must be equal to  $V_{CC}$ . Generally, the values of  $I_B$ ,  $V_{CC}$ , and  $R_L$  are chosen so that  $I_C$  will have a value that is well within safe limits. Also, the  $I_C$  value is usually within the transistor's linear operating region where a change in  $I_B$  will result in a proportional change in  $I_C$ .

Assume that the input signal voltage is an AC voltage which varies in a sinusoidal manner. One cycle of the input AC voltage (identified as  $V_{IN}$ ) is shown in Figure 1-6B. The positive alternation or, (the portion of the AC waveform above the zero reference line) represents a positive-going input voltage. During the positive alternation, the transistor's base becomes more positive with respect to its emitter. The input voltage effectively aids the forward-bias voltage ( $V_{BB}$ ) during this time. The input voltage increases from zero to a maximum positive value and drops back to zero again. This, in turn, causes the base current ( $I_B$ ) to increase and decrease as shown in Figure 1-6B. Notice that  $I_B$  rises from its steady-state or no-signal value to a maximum value and then drops back to its no-signal value again.

The increase and decrease in  $I_B$  causes a corresponding increase and decrease in the transistor's collector current ( $I_C$ ) as shown in Figure 1-6B. Notice that  $I_C$  rises from its steady-state or no-signal value to a maximum value and then drops to its no-signal value again. Since  $I_C$  has a much higher value than  $I_B$ , the circuit produces an output signal current ( $I_C$ ) that has a much greater amplitude than the input signal current ( $I_B$ ).

Since  $I_C$  flows through the load resistor ( $R_L$ ), it must produce a voltage drop across  $R_L$  that is directly proportional to the value of  $I_C$ . The increasing and decreasing  $I_C$  value therefore produces a corresponding increase and decrease in the voltage across  $R_L$ . The voltage across  $R_L$  ( $V_{R_L}$ ) there-

fore rises from its no-signal value to a maximum value and then drops to its no-signal value again as shown in Figure 1-6B.

The voltage across  $R_L$  ( $V_{RL}$ ) plus the transistor's collector-to-emitter voltage ( $V_{CE}$ ) must be equal to  $V_{CC}$ . Therefore, when  $V_{RL}$  increases and decreases as shown in Figure 1-6B,  $V_{CE}$  must decrease and increase in the exact opposite manner so that the sum of the two voltages are equal to  $V_{CC}$  at any given instant. The manner in which  $V_{CE}$  varies is shown in Figure 1-6B. Notice the  $V_{CE}$  decreases from its no-signal value to a minimum value and then rises again to its no-signal value.

During the negative alternation of the input AC voltage, the transistor's base becomes less positive (more negative) with respect to its emitter. The input voltage opposes the forward-bias voltage ( $V_{BB}$ ) during this time as it varies from zero to a maximum negative value and back to zero again. This causes  $I_B$  to drop below its no-signal value to a minimum value and then rise to its no-signal value again. The decrease and increase in  $I_B$  causes a proportional decrease and increase in  $I_C$ , which in turn, causes  $V_{RL}$  to decrease and increase respectively as shown in Figure 1-6B. However,  $V_{CE}$  must be equal to  $V_{CC}$  minus  $V_{RL}$  at any given instant. This means that  $V_{CE}$  must vary in the opposite manner as  $V_{RL}$ . Therefore,  $V_{CE}$  must increase from its no-signal value to a maximum value and then decrease again to its no-signal value as shown.

Since the output voltage in a common-emitter circuit is taken from the transistor's collector and emitter, this means that  $V_{CE}$  is actually the output voltage ( $V_{OUT}$ ) as indicated in Figure 1-6B. When  $V_{OUT}$  is compared with  $V_{IN}$ , they vary in the exact opposite manner. When  $V_{IN}$  is positive going or, in other words, when the transistor's base is becoming more positive with respect to its emitter,  $V_{OUT}$  is negative going. The output voltage is considered to be negative going because the collector, which is positive with respect to the emitter, is becoming less positive. When  $V_{IN}$  is negative going (when the base is becoming less positive),  $V_{OUT}$  is positive going (the collector becomes more positive). Since a positive or negative-going voltage at the input produces a negative or positive-going voltage respectively at the output, the common-emitter circuit effectively inverts the input signal voltage. Therefore, the positive and negative alternations of  $V_{IN}$  are inverted so they appear as negative and positive alternations at the output. The common-emitter circuit effectively produces a voltage phase reversal. The input and output signal voltages are said to be  $180^\circ$  out of phase.

Although the input and output signal voltages are out of phase in a common-emitter circuit, the circuit still provides a voltage gain. An increase in signal voltage is possible because the output current ( $I_C$ ) is much higher than the input current ( $I_B$ ) and  $I_C$  can be controlled by  $I_B$ . Only a very small change in input signal voltage is required to vary  $I_B$ , while the corresponding changes in  $I_C$  can produce much larger changes in the voltage across ( $V_{R_L}$ ) and the voltage across the transistor ( $V_{CE}$ ). Therefore, the output voltage ( $V_{CE}$ ) is usually much larger than  $V_{IN}$ .

The voltage gain of any amplifier circuit can be expressed as the ratio of the output signal voltage ( $V_{OUT}$ ) to the input signal voltage ( $V_{IN}$ ). The voltage gain (sometimes identified as  $A_V$ ) can be expressed mathematically as:

$$A_V = \frac{V_{OUT}}{V_{IN}}$$

The voltage gain of an amplifier is usually determined by using AC signal values. For example, total change or variation in the output voltage (which is equivalent to its peak-to-peak value) may be divided by the peak-to-peak value of the input AC voltage. Also, the peak, average, or effective values of the input and output signals might be used to obtain the same results.

Simple common-emitter amplifier circuits can be designed to have very high voltage gains. Typical voltage gains may be as high as 250, although voltage gains as high as 500 are possible.

The fact that a common-emitter circuit provides a  $180^\circ$  phase shift between its input and output does not restrict its use in most applications. In many applications, it simply does not matter if the input signal is inverted or not.

### POWER GAIN

The power in an electronic circuit is equal to the product of the current and voltage in the circuit. The power ( $P$ ) in watts can be determined by multiplying the current ( $I$ ) in amperes by the voltage ( $E$ ) in volts. This relationship is summed up in the following equation.

$$P = IE$$

The input signal voltage applied to a common-emitter circuit is accompanied by a corresponding input signal current. Also, the output signal voltage produced by the circuit is accompanied by a corresponding output signal current. Therefore, the input portion of the circuit receives a certain amount of signal power and a certain amount of signal power is developed in the output portion of the circuit.

Since the common-emitter circuit provides both current gain and voltage gain, it also provides a substantial power gain. In other words, the circuit effectively provides power amplification.

The power gain of a circuit is simply the ratio of the output signal power to the input signal power. The power gain can be mathematically expressed as:

$$A_p = \frac{P_{OUT}}{P_{IN}}$$

This equation simply states that the power gain ( $A_p$ ) is equal to the output power ( $P_{OUT}$ ) divided by the input power ( $P_{IN}$ ).

The power gain of a circuit may also be determined by multiplying the current gain by the voltage gain. However, the current gain of a common-emitter circuit is essentially equal to the beta of the transistor used in the circuit as explained earlier. The power gain of the common-emitter circuit is therefore approximately equivalent to the transistor's beta ( $\beta$ ) times the voltage gain ( $A_v$ ) of the circuit. This relationship can be mathematically expressed as:

$$A_p = \beta A_v$$

For example, suppose that a particular common-emitter circuit uses a transistor that has a current gain (beta) of 100, and this same circuit provides a voltage gain of 100. The power gain of the circuit is equal to:

$$A_p = (100) (100) = 10,000$$

The power gain of the common-emitter circuit would therefore be equal to 10,000. This simply means that an input signal power level of 1 milliwatt (.001 watt) would be raised to an output level of 10,000 milliwatts (10 watts). Although this power gain may seem extremely high, it is a value which is often achieved or exceeded in common-emitter circuits. Such extremely high power gains are possible because the common-emitter circuit provides a substantial increase in signal current and voltage. If only current gain or voltage gain is provided, the power gain is much lower.

### INPUT RESISTANCE

A transistor's emitter junction must be forward biased so that a small base current ( $I_B$ ) will flow through the transistor's base and emitter regions. In the common-emitter circuit, the input signal voltage is applied across this forward-biased emitter junction and the emitter junction offers relatively little opposition to the flow of input signal current. For this reason, the common-emitter circuit is said to have a relatively low input resistance.

The input resistance of an amplifier is essentially a dynamic quantity that varies with the input signal. It is not a simple DC resistance which can be measured with an ohmmeter. The input resistance is the opposition presented to a changing (AC) input current. Furthermore, the input resistance is not a fixed value, but will vary slightly as the amount of base current ( $I_B$ ) flowing through the emitter junction is changed. An adjustment of the steady-state or no-signal  $I_B$  value can change the input resistance slightly and, therefore, change the amount of opposition presented to the input AC signal current.

If no additional components are connected in series with the transistor's base and emitter leads or across the emitter junction, the common-emitter circuit might have a typical input resistance that is between 50 ohms and several thousand ohms. These values effectively represent the input resistance of the transistor itself. However, when additional components are connected in the circuit, as previously mentioned, the input resistance of the overall circuit might be considerably higher or lower than the values given. Such additional components are often used to provide the proper bias voltages and currents for the transistor.

## OUTPUT RESISTANCE

A transistor's collector junction is reverse biased under normal operating conditions. Although collector current ( $I_C$ ) can flow through the collector junction due to the unique action that occurs within the device, this reverse-biased junction still exhibits a relatively high resistance.

The resistance at the output terminals of a common-emitter circuit is, effectively, the high resistance offered by the collector junction. This resistance, which appears between the transistor's collector and emitter regions, is commonly referred to as the output resistance or output impedance of the common-emitter circuit. The output resistance is a dynamic quantity just like the input resistance. It represents the amount of opposition offered to an AC or changing current.

If no load resistor is connected in series with the transistor's collector lead, the output resistance of a typical common-emitter circuit might be in the neighborhood of 40 or 50 kilohms. Under those conditions, the output resistance of the circuit is actually the output resistance of the transistor itself. When a load resistance is connected in the circuit, the output resistance of the circuit is affected. Also, the circuit's output resistance is affected by a change in the transistor's steady-state collector-to-emitter voltage ( $V_{CE}$ ) whether a load resistor is used in the circuit or not. This means that the output resistance is not a fixed quantity, but will vary with load resistance and operating voltage.

The important common-emitter circuit characteristics which have been discussed so far are summarized in Figure 1-7. Except for the voltage and power gains, which can be obtained only when a suitable load resistance is used, the typical values shown are for the common-emitter transistor itself.

COMMON-EMITTER CIRCUIT	
CHARACTERISTICS	TYPICAL VALUES
HIGH CURRENT GAIN	50
HIGH VOLTAGE GAIN	UP TO 500
HIGH POWER GAIN	UP TO 10,000
LOW INPUT RESISTANCE	1K $\Omega$
HIGH OUTPUT RESISTANCE	50K $\Omega$

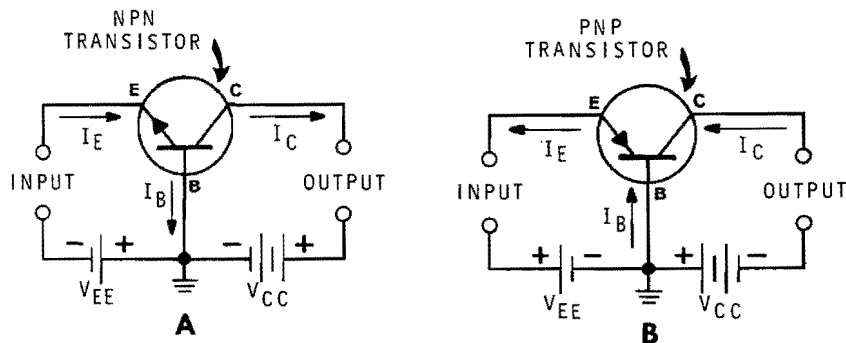
Figure 1-7

Summary of important common-emitter circuit characteristics.



Figure 1-8

A common-base circuit using an NPN transistor (A) and a PNP transistor (B).



## Common-Base Circuits

In the common-base circuit, the transistor's base is common to both the input and the output signals. The input signal is applied between the transistor's emitter and base and the output signal appears between the transistor's collector and base. However, the transistor's emitter junction is still forward biased and its collector junction is still reverse biased as in the case of the common-emitter circuit. Although the common-base circuit is not used as extensively as the common-emitter circuit, it does have certain features which make it useful in a number of applications.

### CIRCUIT OPERATION

A simple common-base circuit which uses an NPN transistor is shown in Figure 1-8A. Notice that voltage source  $V_{EE}$  provides the necessary forward-bias voltage for the transistor's emitter (emitter-base) junction while voltage source  $V_{CC}$  supplies the necessary reverse-bias voltage across the transistor's collector (collector-base) junction.

As explained earlier, the transistor's emitter current ( $I_E$ ) must be equal to the sum of its base current ( $I_B$ ) and collector current ( $I_C$ ) values or, expressed mathematically:

$$I_E = I_B + I_C$$

The emitter current must divide into two separate currents ( $I_B$  and  $I_C$ ). The  $I_C$  value may be equal to 95 percent of the  $I_E$  value or possibly even higher. The remaining 5 percent or less flows through the base to produce  $I_B$ . The transistor's  $I_E$ ,  $I_B$ , and  $I_C$  values are directly related so that a change in  $I_E$  is accompanied by a proportional change in  $I_B$  and  $I_C$ .

In the common-base circuit,  $I_E$  serves as the input current and  $I_C$  serves as the output current. The input signal is used to effectively control  $I_E$ , and  $I_E$  causes  $I_C$  to vary by a proportional amount. The steady-state or no-signal  $I_E$  and  $I_C$  values are determined by  $V_{EE}$  and  $V_{CC}$ . These two voltages are adjusted so the transistor operates within its linear operating region so that proportional changes in  $I_E$  and  $I_C$  will result.

The common-base circuit may also be formed with a PNP transistor as shown in Figure 1-8B. Notice that this circuit is basically the same as the NPN circuit. The only difference is the polarity of the voltage sources. Both  $V_{EE}$  and  $V_{CC}$  have been reversed to provide the necessary forward and reverse-bias voltages for the PNP transistor. The PNP circuit functions in basically the same manner as the NPN circuit, even though its bias voltages and currents are reversed.

### CURRENT GAIN

The current gain of a common-base circuit can be determined by simply dividing the output current ( $I_C$ ) by the input current ( $I_E$ ). The current gain is usually determined for the transistor alone without any additional components in the circuit. The current gain of a transistor in the common-base configuration is called the transistor's **alpha** and it is represented by the symbol  $\alpha$ .

The transistor's alpha may be determined by using fixed  $I_E$  and  $I_C$  values or by using changing  $I_E$  and  $I_C$  values. When fixed values are used, the transistor's DC alpha is obtained and when changing values are used, the transistor's AC alpha is obtained.

The DC alpha represents the current gain of the transistor under steady-state or no-signal conditions. The DC alpha is equal to the ratio of  $I_C$  to  $I_E$  and can be expressed mathematically as:

$$\text{DC alpha} = \frac{I_C}{I_E}$$

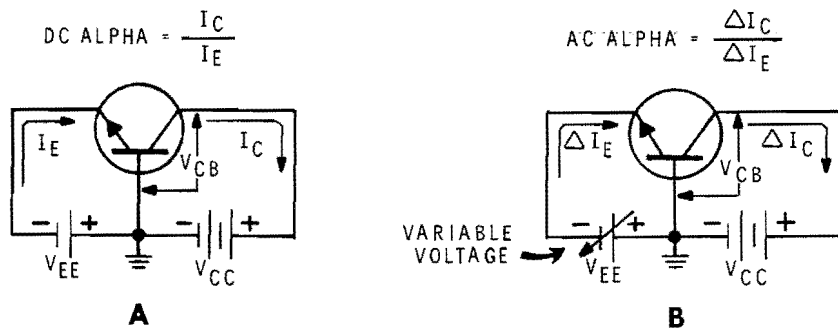


Figure 1-9

Determining the current gain of a transistor in the common-base configuration.

The DC alpha can be determined by using a simple circuit like the one shown in Figure 1-9A. Voltage sources  $V_{EE}$  and  $V_{CC}$  are adjusted to obtain fixed  $I_E$  and  $I_C$  values and then the  $I_C$  value is divided by the  $I_E$  value to obtain the DC alpha.

Since  $I_C$  is slightly lower than  $I_E$ , the transistor's DC alpha must be less than unity or 1. However, it can be very close to 1 since  $I_C$  is typically 95 percent to 99 percent of the  $I_E$  value. Typical DC alpha values will therefore range from 0.95 to 0.99, although most modern transistors have an alpha value that is near the high end of 0.99. This essentially means that the common-base transistor does not provide an increase in current. Instead, there is a slight loss in current at the output.

The AC alpha represents the current gain seen by an input AC signal. It is determined by varying  $I_E$  a small amount and noting the corresponding change in  $I_C$ . The change in  $I_C$  ( $\Delta I_C$ ) is then divided by the change in  $I_E$  ( $\Delta I_E$ ). Therefore, the AC alpha can be expressed mathematically as:

$$\text{AC alpha} = \frac{\Delta I_C}{\Delta I_E}$$

A simple circuit which can be used to determine the AC alpha value is shown in Figure 1-9B. Notice that  $V_{EE}$  can be varied to produce changes in  $I_E$  which in turn, produces changes in  $I_C$ . The ratio of these changes represents the transistor's AC alpha.

For any given transistor, the DC and AC alpha values are nearly the same and they are always just slightly less than 1. Furthermore, the DC and AC alpha values are always determined while the transistor's collector-to-base voltage ( $V_{CB}$ ), is held constant. As shown in Figure 1-9, a constant  $V_{CB}$  can be obtained by connecting  $V_{CC}$  directly across the transistor's collector and base leads.

A transistor's alpha is sometimes referred to as the transistor's **common-base, forward-current transfer ratio**. Also, the symbols  $h_{FB}$  and  $h_{fb}$  are sometimes used to represent the DC and AC alpha values respectively.

In most practical applications, some type of load resistance is usually connected between the transistor's collector and base so that  $I_C$  can flow through the load to perform a useful function. However, the DC or AC alpha value still provides a reasonably accurate indication of the amount of current gain that can be obtained.

## VOLTAGE GAIN

Even though the common-base has a current gain that is less than 1, the circuit can still provide a substantial voltage gain. A high voltage gain can be obtained because a relatively high load resistance can be connected in series with the transistor's collector lead without seriously reducing the value of  $I_C$ . The  $I_C$  value is always just slightly less than the  $I_E$  value, even when  $I_C$  must flow through a high resistance. This high output load resistance makes it possible to develop a high output signal voltage.

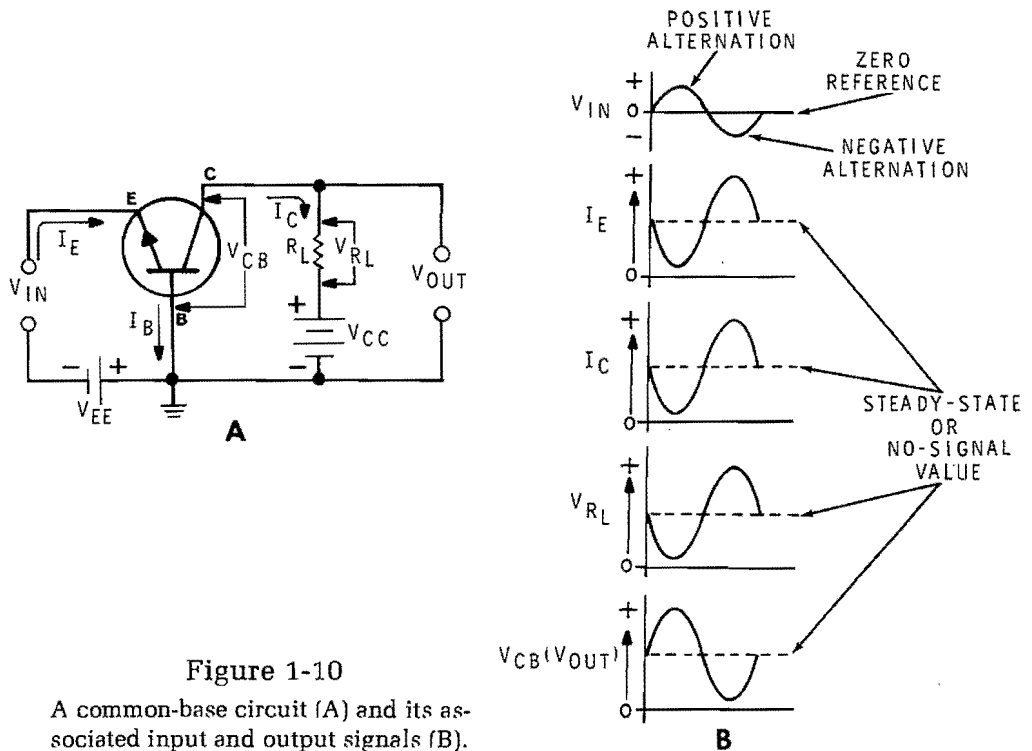


Figure 1-10  
A common-base circuit (A) and its associated input and output signals (B).

Figure 1-10A shows a simple common-base circuit with a load resistance ( $R_L$ ) connected in the output portion of the circuit. We will now briefly analyze the operation of this circuit to see exactly how a voltage gain is obtained.

The input signal voltage ( $V_{IN}$ ) is applied between the transistor's emitter and base and this voltage is effectively in series with voltage source  $V_{EE}$ . If  $V_{IN}$  is an AC sinusoidal voltage as shown in Figure 1-10B, it will alternately aid and oppose  $V_{EE}$ . Since  $V_{EE}$  causes the emitter to be negative with respect to the base, the positive alternation of  $V_{IN}$  opposes  $V_{EE}$  and effectively reduces the forward bias. This causes the emitter current ( $I_E$ ) to be reduced accordingly. As the positive-going  $V_{IN}$  increases and decreases in value,  $I_E$  decreases from its no-signal value and then increases to its no-signal value again.

The decrease and increase in  $I_E$  causes  $I_C$  to decrease and increase in the same manner as shown in Figure 1-10B. The variations in  $I_C$  cause a corresponding voltage to be developed across  $R_L$ . As  $I_C$  decreases and increases through  $R_L$ , the voltage across  $R_L$  ( $V_{RL}$ ) must decrease from its steady-state value and then return to this value accordingly.

The transistor's collector-to-base voltage ( $V_{CB}$ ) plus the voltage across  $R_L$  ( $V_{RL}$ ) must be equal to the reverse-bias supply voltage ( $V_{CC}$ ) at any given instant. This means that the decrease and increase in  $V_{RL}$  must be accompanied by an increase and decrease in  $V_{CB}$  as shown. Therefore,  $V_{CB}$  varies in the exact opposite manner as  $V_{RL}$ .

During the negative alternation of  $V_{IN}$ , the transistor's emitter becomes more negative with respect to its base. The input voltage aids  $V_{EE}$  during this time, as it varies from zero to a maximum negative value and back to zero again. This causes  $I_E$  to increase and then decrease, which in turn causes  $I_C$  to increase and decrease. Therefore,  $V_{RL}$  must increase and decrease while  $V_{CB}$  decreases and increases respectively.

The output voltage in a common-base circuit is taken from the transistor's collector and base. Therefore,  $V_{CB}$  is actually the output voltage ( $V_{OUT}$ ) as indicated in Figure 1-10B. When we compare  $V_{OUT}$  with  $V_{IN}$ , we find that the two AC signal voltages vary in the same manner. When  $V_{IN}$  is positive going,  $V_{OUT}$  is also positive going and when  $V_{IN}$  is negative going,  $V_{OUT}$  is likewise negative going. The input and output voltages are therefore in phase in a common-base circuit.

Although the  $I_C$  value is slightly lower than the  $I_E$  value,  $I_C$  can develop a substantial signal voltage across a large resistance ( $R_L$ ) and therefore cause a corresponding output signal voltage to appear across the transistor. Since only a small input voltage is needed to control  $I_E$ , a substantial voltage gain can be obtained.

A voltage gain ( $A_V$ ) as high as 1000 can often be obtained in a common-base circuit. This is even higher than the gain that can be obtained in the common-emitter circuit previously described.

### POWER GAIN

Although the common-base circuit provides a current gain that is less than 1, its voltage gain is quite high. This means that the circuit can still provide a moderate power gain.

The power gain ( $A_p$ ) is equal to the product of the current gain ( $\alpha$ ) and the voltage gain ( $A_V$ ) or, expressed mathematically:

$$A_p = \alpha A_V$$

Since the transistor's alpha may have a typical value of 0.99 and the voltage gain may be as high as 1000, the power gain may reach a value of 990 as shown by the following equation.

$$A_p = (0.99)(1000) = 990$$

The power gain may therefore reach a value that is almost as high as the voltage gain. Typical common-base circuits may have power gains that range from 100 or 200 to as much as 1000.

### INPUT RESISTANCE

A substantial, steady-state input current ( $I_E$ ) flows in a common-base circuit as a result of the forward bias applied to the transistor's emitter junction. This input current is much higher than the input current ( $I_B$ ) that flows in the common-emitter circuit. Therefore, when the input signal is applied between the emitter and base of the common-base transistor, it sees a very low input resistance.

The input resistance of a common-base transistor might typically range from 30 ohms to 150 ohms. This range of input resistance values is much lower than the range of values that might be expected in a common-emitter transistor. Also, the input resistance values just quoted are for the common-base transistor itself. When additional components are added to the circuit, the overall input resistance may be somewhat higher or lower.

### OUTPUT RESISTANCE

The output resistance that we see when we look back into the output terminals of a common-base circuit is essentially the high resistance offered by the transistor's reverse-biased collector junction. This resistance, which appears between the transistor's collector and base regions, is somewhat higher than the output resistance seen in a common-emitter circuit.

A typical common-base circuit might have an output resistance that is somewhere between 300 kilohms and 1 megohm. However, this range of values is for the transistor only. The output resistance of the overall circuit is affected when additional components are added to the circuit.

The important common-base circuit characteristics are summarized in Figure 1-11. Compare these characteristics with the common-emitter characteristics shown in Figure 1-7 and note the important differences.

COMMON-BASE CIRCUIT	
CHARACTERISTICS	TYPICAL VALUES
LOW CURRENT GAIN	0.99
HIGH VOLTAGE GAIN	UP TO 1000
MEDIUM POWER GAIN	UP TO 1000
VERY LOW INPUT RESISTANCE	30 TO 150Ω
VERY HIGH OUTPUT RESISTANCE	UP TO 1MΩ

Figure 1-11

Summary of important common-base circuit characteristics.

## Common-Collector Circuits

In the common-collector circuit, the transistor's collector lead is common to both the input and output signals. In this arrangement, the base and emitter leads serve as input and output leads respectively. Therefore, the input signal is applied between the base and collector and the output signal appears between the emitter and collector. However, the transistor's emitter junction still remains forward biased, while its collector junction is reverse biased.

The common-collector circuit has characteristics which are quite different from those of the common-emitter and common-base circuits. We will now examine these unique characteristics in detail.

### CIRCUIT OPERATION

A basic common-collector circuit is shown in Figure 1-12A. This circuit uses an NPN transistor which receives its forward and reverse bias from those voltage sources  $V_{BB}$  and  $V_{CC}$  respectively. The transistor's base current ( $I_B$ ) serves as the input current, while the emitter current ( $I_E$ ) serves as the output current.

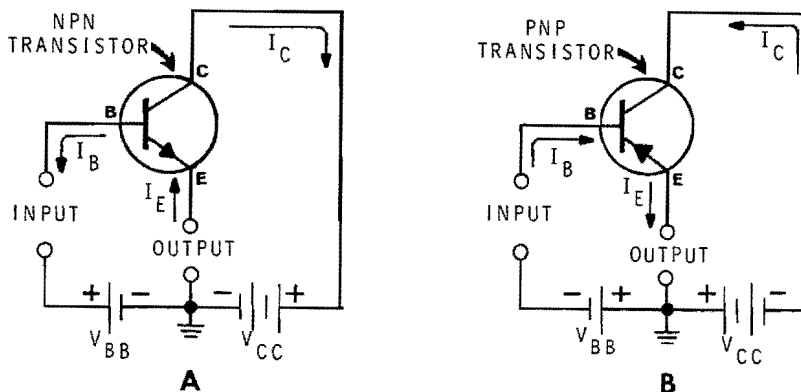


Figure 1-12

A common-collector circuit using an NPN transistor (A) and a PNP transistor (B).



The input signal controls the transistor's  $I_B$  value which, in turn, causes  $I_E$  to vary. To perform a useful function,  $I_E$  must flow through a load resistor or some other type of load that is connected to the output terminals. In this way, the circuit can produce an output signal voltage or deliver power to a load.

The values of  $V_{BB}$  and  $V_{CC}$  are adjusted so that  $I_B$  and  $I_C$  are within the transistor's linear operating region. Under these conditions, any change in  $I_B$  will produce a proportional change in  $I_C$  which, in turn, will produce a proportional change in the output voltage.

The common-collector circuit may also be formed with a PNP transistor as shown in Figure 1-12B. Notice that this circuit is basically the same as the NPN circuit. The only difference is the polarity of the voltage sources and the direction of input and output currents.

### CURRENT GAIN

Since the output current ( $I_E$ ) is much higher than the input current ( $I_B$ ) in a common-collector circuit, the circuit produces a substantial current gain. In fact, the current gain in a common-collector circuit is just slightly higher than the current gain in a common-emitter circuit. This occurs because the output current ( $I_E$ ) in the common-collector circuit is just slightly higher than the output current ( $I_C$ ) in a common-emitter circuit, assuming that the same transistor is used in each circuit configuration.

The current gain of a common-collector circuit is equal to 1 plus the beta ( $\beta$ ) of the transistor or, expressed mathematically:

$$\text{Current gain} = 1 + \beta$$

For example, assume that the transistor used in the common-collector circuit has a beta of 30, which simply means that it has a current gain of 30 when connected in the common-emitter configuration. The current gain of this common-collector circuit would be equal to  $1 + 30$ , or 31. This means that the amplitude or value of the output signal current would be 31 times greater than the input signal current amplitude.

The current gain of the common-collector circuit is therefore just slightly higher than the common-emitter current gain. For all practical purposes, when the transistor's beta is higher than 30, the current gain of the common-collector circuit is assumed to be equal to the transistor's beta.

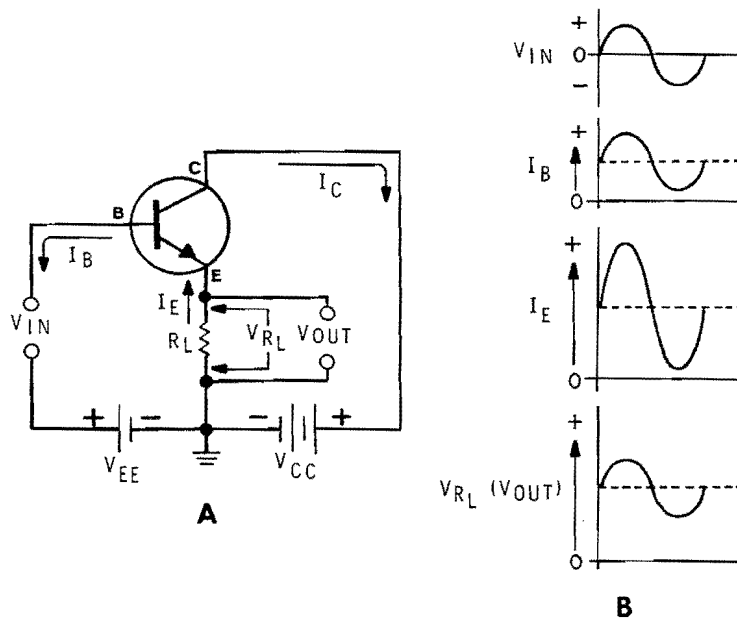


Figure 1-13  
A common-collector circuit (A) and its associated input and output signals (B).

## VOLTAGE GAIN

The common-collector circuit cannot provide voltage amplification when a load resistance ( $R_L$ ) is connected to the circuit's output terminals as shown in Figure 1-13A. Although the circuit shown contains an NPN transistor, the same basic circuit can be formed with a PNP transistor as explained earlier.

Although the input signal voltage ( $V_{IN}$ ) may appear to be applied between the transistor's base and emitter, it is actually applied between the base and collector. This is because voltage source  $V_{CC}$  acts as a short as far as the input signal is concerned, and this allows the collector to be at AC ground potential. If  $V_{IN}$  is an AC sinusoidal voltage as shown in Figure 1-13B, it will alternately aid and oppose the forward-bias voltage provided by  $V_{EE}$  thus causing  $I_B$  to vary accordingly. When  $V_{IN}$  is positive going (during the positive alternation), the forward bias is increased, thus causing  $I_B$  to increase accordingly. This causes  $I_E$  to increase which, in turn, causes the voltage across  $R_L$  ( $V_{RL}$ ) to increase. As  $V_{RL}$  increases, the emitter goes more positive with respect to ground and also with respect to the collector.

When  $V_{IN}$  is negative going (during the negative alternation), the forward-bias voltage decreases. This causes  $I_B$  and  $I_C$  to decrease which, in turn, causes  $V_{RL}$  to decrease, thus making the emitter less positive (more negative) with respect to ground. Therefore, when the base goes positive, the emitter does the same and when the base goes negative, the emitter likewise follows. This means that the input and output signal

voltages in the common-collector circuit vary in the same manner and are therefore in phase with each other. This in-phase relationship is shown in Figure 1-13B. Notice that the positive and negative alternations of  $V_{IN}$  coincide with the positive and negative-going signal voltage developed across  $R_L$ . Voltage  $V_{R_L}$  serves as the output voltage ( $V_{OUT}$ ) of the circuit since this voltage appears between the emitter and ground. It is also important to remember that the collector is grounded as far as the AC signal voltage is concerned.

Although the common-collector circuit can provide a substantial current gain, it cannot provide an increase in signal voltage. This is because of the degenerative action produced by  $R_L$ . The output voltage developed across  $R_L$  varies in a manner which opposes the changes in input voltage. For example, when  $V_{IN}$  causes the transistor's base to become more positive with respect to ground, the emitter also becomes more positive with respect to ground because the input and output voltages are in phase. Likewise, when the base becomes more negative, the emitter also becomes more negative. Since the emitter potential changes in accordance with the base potential, the input and output voltages tend to oppose or cancel each other. However, if complete cancellation occurred, no changes in forward bias would result, thus making it impossible to have any changes in  $I_B$  and  $I_C$  which, in turn, would prevent an output signal voltage from being developed. Therefore, the output signal voltage is always just slightly lower than the input signal voltage so that complete cancellation does not occur and a resulting change in forward bias, although small, still results. Essentially, the emitter voltage tends to track or follow the base voltage but always remains at a slightly lower value. Due to this tracking or following action, the circuit is often referred to as an **emitter-follower** circuit.

As just explained, the output signal voltage ( $V_{OUT}$ ) in a common-collector circuit can never be quite as high as the input signal voltage ( $V_{IN}$ ). However, in most practical common-collector circuits, the difference between  $V_{IN}$  and  $V_{OUT}$  is very small. This means that the common-collector circuit always has a voltage gain that is just slightly less than 1 or unity. However, the voltage gain is usually so close to being unity, that in most applications it is assumed to be equal to 1.

### **POWER GAIN**

Although the common-collector circuit provides a voltage gain that is slightly less than 1, it does provide a substantial current gain. This means that the circuit can provide a moderate power gain.

The power gain ( $A_p$ ) of the circuit is equal to the product of the current gain ( $1 + \beta$ ) and the voltage gain ( $A_v$ ). This relationship can be expressed mathematically as:

$$A_p = (1 + \beta) A_v$$

If a typical common-collector circuit has a current gain ( $1 + \beta$ ) of 50 and a voltage gain ( $A_v$ ) that is essentially equal to 1, the circuit would have a typical power gain that is equal to 50 as shown by the equation below:

$$A_p = (50) (1) = 50$$

Therefore, the power gain is essentially equal to the current gain, since the voltage gain is almost equal to 1. This means that the power gain of a common-collector circuit is usually much lower than the power gain provided by the other two circuit configurations.

### INPUT RESISTANCE

Since the input base current is very low in a common-collector circuit, the input resistance of the circuit is very high. In fact, it is usually higher than the input resistance of a common-emitter circuit.

The input signal voltage sees both the resistance of the transistor's emitter junction and the resistance of  $R_L$ . However,  $R_L$  is usually quite large with respect to the transistor's internal resistance, thus making  $R_L$  the major resistance in the input circuit. Furthermore, the  $R_L$  value appears to be multiplied by the transistor's beta value. This means that the input resistance is essentially equal to the product of the beta value and the value of  $R_L$  or, expressed mathematically:

$$\text{Input resistance} = \beta R_L$$

This equation shows that the input resistance is directly proportional to either the beta value or  $R_L$ . In most applications, a high beta value and a high  $R_L$  value are used to obtain a very high input resistance. A typical common-collector circuit might have an input resistance that is between 100 kilohms and 500 kilohms.

## OUTPUT RESISTANCE

The output resistance of a common-collector depends on a complex relationship between various factors such as the transistor's beta, the value of  $R_L$ , and even the internal resistance of the input signal voltage source. Generally this output resistance is very low. In fact, it is usually much lower than the output resistance provided by the other two circuit configurations. A typical common-collector circuit might have an output resistance that is between 50 ohms and 1000 ohms.

The value of  $R_L$  plays an important role in determining the input as well as the output resistance of the common-collector circuit. In many circuit applications,  $R_L$  must be carefully chosen so the required input and output resistances can be obtained.

The common-collector circuit is not important as an amplifying circuit since its voltage gain is less than 1 and its power gain is relatively low. **Instead, it is used because it has such a high input resistance and a very low output resistance.** The common-collector circuit can be connected to a high resistance, signal-voltage source without loading down the source (drawing an excessive amount of load current). Then a low resistance load can be connected to the output of the common-collector circuit without loading the output of the circuit. In this way the common-collector circuit can serve as an intermediate circuit which can effectively match a high resistance source and a low resistance load. By acting as a resistance or impedance matching device, the circuit allows a maximum transfer of power from the source to the load.

The common-collector circuit is used extensively to couple high and low resistances so that an efficient transfer of power will result. The circuit effectively serves as a buffer between the source and the load and is often referred to as a **buffer amplifier** when used for this purpose.

The important common-collector circuit characteristics are summarized in Figure 1-14. Compare these characteristics with the common-base and common-emitter characteristics previously discussed and note the various differences.

Figure 1-14  
Summary of important common-collector circuit characteristics.

COMMON-COLLECTOR CIRCUIT	
CHARACTERISTICS	TYPICAL VALUES
HIGH CURRENT GAIN	50
LOW VOLTAGE GAIN	LESS THAN 1
LOW POWER GAIN	50
VERY HIGH INPUT RESISTANCE	UP TO 500K $\Omega$
VERY LOW OUTPUT RESISTANCE	UP TO 1000 $\Omega$

## Programmed Review

12. When transistor amplifier circuits are classified according to their circuit configuration, we find that there are three basic types. In each circuit arrangement either the transistor's emitter, base, or collector lead is used as a common reference point. The three arrangements are referred to as common- \_\_\_\_\_ common- \_\_\_\_\_, and common- \_\_\_\_\_ circuits.
13. (emitter, base, collector) In the common-emitter circuit, the input signal is applied between the transistor's \_\_\_\_\_ and emitter leads.
14. (base) Therefore, the common-emitter circuit produces an output signal that appears between the transistor's \_\_\_\_\_ and emitter leads.
15. (collector) In a common-emitter circuit, the transistor's base current serves as the input current while the transistor's \_\_\_\_\_ current serves as the output current.
16. (collector) The ratio of output current to input current determines the \_\_\_\_\_ gain of the circuit.
17. (current) The common-emitter circuit can provide a substantial current gain because its collector current is much higher than its \_\_\_\_\_ current.
18. (base) When a load resistance is connected in series with the transistor's collector lead, the common-emitter circuit can develop an output signal voltage that is much higher than its input signal voltage. Therefore, the circuit can provide a high \_\_\_\_\_ gain.
19. (voltage) A common-emitter circuit can also provide a very high power gain since it provides a substantial current gain and a high voltage gain. The power gain can be determined by simply multiplying the current gain by the \_\_\_\_\_ gain.
20. (voltage) Since the emitter-to-base junction of a common-emitter transistor is forward biased, this junction offers little opposition to the input signal current. This means that the circuit has a relatively low input \_\_\_\_\_.

21. (resistance or impedance) The transistor's collector junction is reverse biased and this causes a relatively high resistance to exist between the collector and emitter leads. This means that the common-emitter circuit has a relatively high \_\_\_\_\_ resistance.
22. (output) In the common-base circuit, the input signal is applied between the transistor's emitter and base and the output signal appears between the transistor's \_\_\_\_\_ and base.
23. (collector) In a common-base circuit, the emitter current serves as the input current and the collector current serves as the \_\_\_\_\_ current.
24. (output) Since the collector current is just slightly lower than the emitter current, the common-base circuit has a \_\_\_\_\_ gain that is slightly less than unity.
25. (current) Although the common-base circuit cannot provide an increase in signal current, it can still provide a voltage gain. This is because the collector current can flow through a high load \_\_\_\_\_ without being seriously reduced.
26. (resistance) A common-base circuit can also provide a reasonably high power gain even though its current gain is less than 1. This is because the circuit provides a high \_\_\_\_\_ gain.
27. (voltage) The input resistance of the common-base circuit is very low because of the forward-biased emitter-to-base junction. However, the collector-to-base junction is reverse biased and this causes the circuit to have a very high output \_\_\_\_\_.

28. (resistance) In a common-collector circuit, the input signal is applied between the transistor's base and collector and the output signal appears between the transistor's \_\_\_\_\_ and collector.

29. (emitter) The common-collector circuit provides a substantial current gain, but its voltage gain is slightly less than 1. This means that the circuit can only provide a moderate \_\_\_\_\_ gain.

30. (power) The input resistance of the common-collector circuit is very high, but the output resistance of the circuit is very low. Therefore, the circuit is often used to match a high resistance, signal-voltage source to a low resistance load to insure an efficient transfer of \_\_\_\_\_.

(power)



## AMPLIFIER BIASING

The basic amplifier circuits previously described used two separate voltage sources to provide their required operating voltages. In most practical applications, this arrangement is too costly and also unnecessary since the required operating voltages can be provided by a single voltage source.

We will now examine some practical amplifier circuits which are biased with a single voltage source and one or more resistors or capacitors. We will start with the most basic biasing techniques and then progress to more complicated, but more useful, biasing arrangements.

Since the common-emitter circuit is used more extensively than the common-base and common-collector circuits, our discussion will be primarily centered around common-emitter biasing techniques. However, the basic common-base and common-collector circuits will be briefly described.

### Base-Biased Circuits

An extremely simple method of biasing a common-emitter transistor amplifier is shown in Figure 1-15A. Notice that a single voltage source ( $V_{CC}$ ) is used to provide the forward and reverse bias voltages for the NPN transistor used in the circuit. A PNP transistor could also be used in this circuit arrangement if  $V_{CC}$  was reversed.

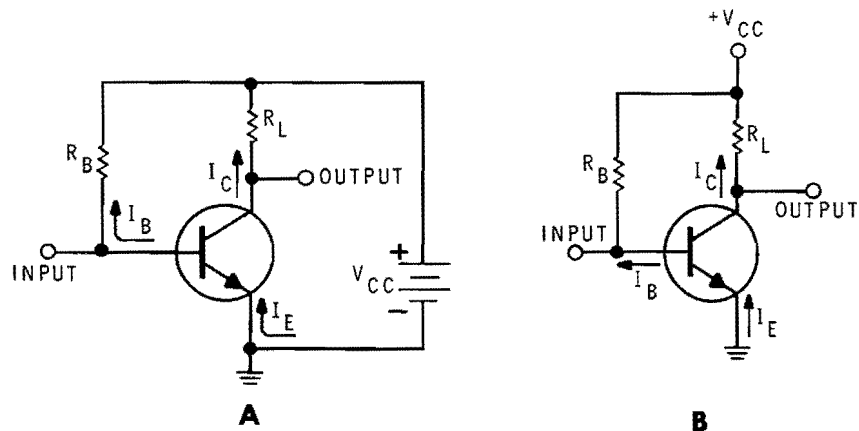


Figure 1-15

A simple base-biased common-emitter amplifier circuit.

Two resistors ( $R_B$  and  $R_L$ ) are used to distribute the voltage in the proper manner. Resistor  $R_L$  is simply a collector load resistor which is in series with the collector. The collector current ( $I_C$ ) flows through this resistor and develops a voltage across it. The sum of the voltage across  $R_L$  and the transistor's collector-to-emitter voltage must always be equal to  $V_{CC}$ . Therefore, the transistor's collector-to-emitter voltage is equal to  $V_{CC}$  minus the voltage across  $R_L$ . The transistor's collector-to-emitter voltage has the proper polarity to reverse bias the transistor's collector junction as required.

Resistor  $R_B$  is connected between the base and the positive side of  $V_{CC}$ . This resistor is in series with the base lead and it controls the amount of base current ( $I_B$ ) flowing out of the base. This  $I_B$  value flows through  $R_B$  and develops a voltage across this resistor. Most of source voltage  $V_{CC}$  is dropped across  $R_B$  and the remainder (the difference) appears across the transistor's base-to-emitter junction to provide the necessary forward-bias voltage. A single voltage source is able to provide the necessary forward and reverse-bias voltages for the NPN transistor because the transistor's base and collector must both be positive with respect to the emitter. Therefore, the positive side of  $V_{CC}$  can be connected to the base and collector through  $R_B$  and  $R_L$ .

Since the base current ( $I_B$ ) in the circuit just described is primarily controlled by resistor  $R_B$  and voltage source  $V_{CC}$ , the circuit is often called a **base-biased** circuit. The value of  $R_B$  is usually chosen so that the  $I_B$  value will be high enough to cause a substantial  $I_C$  value to flow through  $R_L$ . The  $I_B$  value may be in the microampere range, while the higher  $I_C$  value is likely to be in the milliamperere range.

The input signal voltage is applied between the transistor's base and emitter or, in other words, between the input terminal and ground as shown in Figure 1-15A. The input signal voltage either aids or opposes the existing forward-bias voltage across the emitter junction. This in turn causes  $I_C$  to vary which, in turn, causes the voltage across  $R_L$  to vary. The transistor's collector-to-emitter voltage likewise varies and produces the output signal voltage which appears between the output terminal and ground.

The circuit in Figure 1-15A is shown again in Figure 1-15B as it would appear on a typical schematic diagram. Instead of showing voltage source  $V_{CC}$ , only the upper positive terminal is shown and is identified as  $+V_{CC}$ . The ground symbol identifies the negative side of  $V_{CC}$ . This type of schematic drawing is generally used on schematic diagrams and it will be used in the discussions which follow.

The base-biased circuit is seldom used in electronic equipment because it is extremely unstable. The circuit has been described because it serves as a logical introduction to the more complex and more practical circuits which will be described later. The base-biased circuit is unstable because it cannot compensate for changes in its steady-state (no-signal) bias currents. For example, temperature changes can cause the transistor's internal resistances to vary and this can cause the bias currents ( $I_B$  and  $I_C$ ) to change. This, in turn, can cause the transistor's operating point to shift and it can also reduce the gain of the transistor. This entire process is referred to as **thermal instability** and it is inherent in any circuit that is base biased.

## Feedback Bias

A practical transistor amplifier circuit must be able to compensate for temperature changes which can affect the operation of the circuit. This ability is commonly referred to as **thermal stability**. Since any unwanted changes in bias currents ultimately affect the output current and voltage produced by the circuit, it is possible to compensate for these changes by feeding a portion of the unwanted output current or voltage back to the circuit's input so that it opposes or counteracts the change. When this is done, the circuit is said to be using **degenerative feedback** or **negative feedback**.

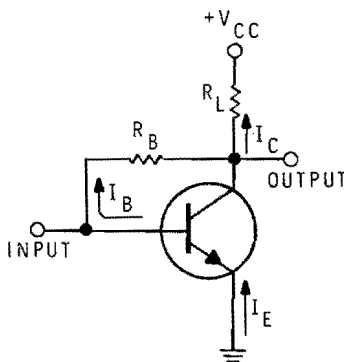


Figure 1-16

A common-emitter circuit which uses collector feedback.

A common-emitter circuit which uses degenerative feedback is shown in Figure 1-16. Notice that base resistor  $R_B$  has been connected directly across the NPN transistor's base and collector leads. With this circuit arrangement, the base current flowing through  $R_B$  is determined by the voltage at the collector.

As the temperature of the transistor rises, the collector current tends to increase slightly. This action occurs in both NPN and PNP transistors and in transistors that are made from either silicon or germanium semiconductor materials. However, germanium transistors tend to be more adversely affected by temperature changes. This is because the internal leakage current is usually higher in germanium devices and it is this leakage current which is actually affected by temperature changes. This small leakage current flows from the emitter to the collector along with the normal collector current and it effectively adds to the collector current. This collector-to-emitter leakage current (commonly called  $I_{CEO}$ ) increases with an increase in temperature and therefore causes the collector current to increase slightly. This, in turn, causes a shift in the transistor's collector-to-emitter voltage. This means that the output voltage, as well as the the output current, is affected by the temperature change.

Therefore, when the temperature rises and the  $I_C$  tends to increase, the voltage across  $R_L$  will likewise increase. This causes the transistor's collector-to-emitter (output) voltage to decrease which, in turn, reduces the voltage applied to  $R_B$ . This reduces  $I_B$  which, in turn, causes  $I_C$  to decrease toward its normal value. Since the feedback signal is obtained from the collector of the transistor, this type of circuit is said to use **collector feedback**. Such a circuit can provide a reasonable amount of temperature stability but it still does not provide complete stability.

The common-emitter circuit shown in Figure 1-17A uses another type of feedback arrangement. Notice that  $R_B$  is connected directly to the positive side of  $V_{CC}$  as it was in the first circuit shown in Figure 1-15. Also, an additional resistor ( $R_E$ ) has been connected in series with the emitter lead. The emitter current must flow through  $R_E$  and develop a voltage across this resistor which has a polarity shown.

Resistor  $R_B$ , resistor  $R_E$ , and the transistor's emitter (emitter-base) junction are now in series and are connected across  $V_{CC}$ . However, the voltage across the emitter junction is very small and can be neglected in our analysis. If an increase in temperature causes  $I_C$  to rise,  $I_E$  will also increase along with  $I_C$ . This will cause the voltage across  $R_E$  to increase which, in turn, will cause less voltage to appear across  $R_B$ . This means that  $I_B$  must decrease, which will tend to reduce  $I_C$  and  $I_E$ , towards their normal values. In a practical circuit,  $I_C$  and  $I_E$  may still increase just slightly since the decreasing  $I_B$  value cannot completely counteract or limit their rising values. However, the resulting change is considerably less than what it would be if no feedback was used. Since the feedback in this circuit arrangement is generated at the transistor's emitter, the circuit is said to use **emitter feedback**. Such an arrangement provides a substantial amount of temperature stability.

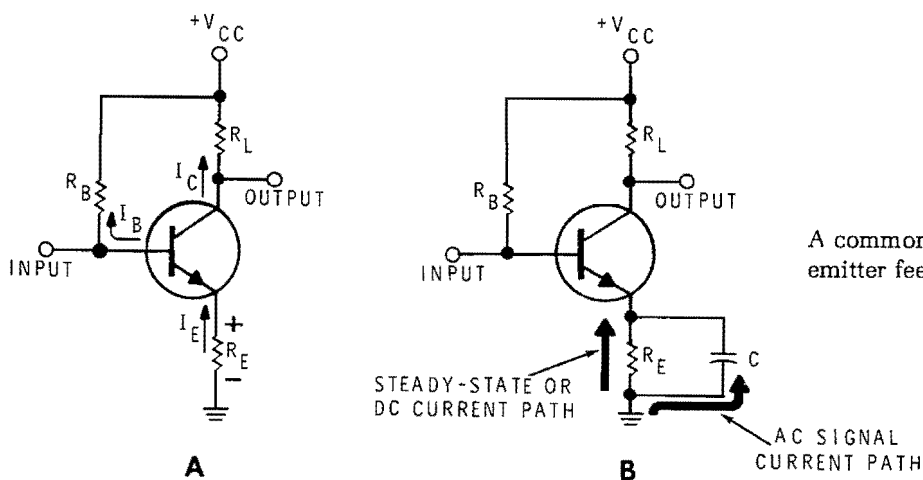


Figure 1-17

A common-emitter circuit which uses emitter feedback.

A further refinement of the emitter feedback circuit is shown in Figure 1-17B. Except for the addition of capacitor  $C$ , which is connected across  $R_E$ , this circuit is identical to the circuit in Figure 1-17A. Capacitor  $C$  is often referred to as a **bypass capacitor** because it is used to bypass the AC signal component around  $R_E$ .

If capacitor  $C$  was not used, an AC signal voltage would be produced across  $R_E$  as well as across the load resistor ( $R_L$ ) and the transistor. The signal voltage variations across  $R_E$  would have a degenerative effect on the input signal voltage and the overall gain of the circuit would be drastically reduced. The bypass capacitor prevents any sudden voltage changes from appearing across  $R_E$  by effectively offering a very low impedance path (essentially a short) to the AC or changing signal. The AC signal component is therefore shunted around  $R_E$  through capacitor  $C$ . Capacitor  $C$  holds the voltage across  $R_E$  at a steady value but does not interfere with the normal degenerative action that  $R_E$  provides. In other words,  $R_E$  can still provide a feedback signal which compensates for changes in temperature. The voltage across  $R_E$  can still vary at a slow rate when the steady-state  $I_E$  value slowly changes because of changes in temperature.

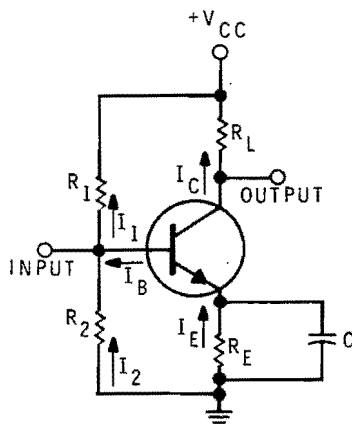


Figure 1-18

A common-emitter circuit which uses a voltage divider and emitter feedback.

## Voltage-Divider Biasing

The common-emitter circuit which uses emitter feedback can provide a reasonable amount of temperature stability. However, the stability of this circuit can be improved even more by removing resistor  $R_B$  and installing two resistors ( $R_1$  and  $R_2$ ) as shown in Figure 1-18. Resistors  $R_1$  and  $R_2$  are in series and they are connected across  $V_{CC}$ . The voltage dropped across  $R_1$ , plus the voltage across  $R_2$  must be equal to  $V_{CC}$ . These two resistors effectively divide voltage  $V_{CC}$  into two voltages and therefore serve as a **voltage divider**.

The voltage across  $R_2$  is substantially lower than source voltage  $V_{CC}$ . Also, the upper end of  $R_2$  is connected to the transistor's base. Therefore, the voltage at the base with respect to ground is equal to the voltage across  $R_2$  and this voltage remains essentially constant. Furthermore, the base voltage (the voltage at the upper end of  $R_2$ ) is positive with respect to ground since  $I_2$  flows up through the resistor.

The current through  $R_2$  is identified as  $I_2$ , while the current through  $R_1$  is designated as  $I_1$ . These two currents are almost equal but there is a slight difference. Actually,  $I_1$  is just slightly higher than  $I_2$ , because  $I_B$  also flows through  $R_1$ . However,  $I_B$  is extremely small and for all practical purposes can be ignored. This is because the  $R_1$  and  $R_2$  values are selected so that  $I_1$  and  $I_2$  will be much higher than  $I_B$ . Therefore, any changes in  $I_B$  will not upset the voltage divider and cause the voltage across  $R_2$  to vary by a significant amount. The purpose of the voltage divider is simply to establish a constant voltage from base to ground.

The transistor's  $I_E$  and  $I_C$  values flow in their normal manner just as they did in the simple emitter feedback circuit in Figure 1-17. Since  $I_E$  flows up through  $R_E$ , the voltage developed across  $R_E$  causes the transistor's emitter to be positive with respect to ground. However, the transistor's base is also positive with respect to ground. Therefore, the potential difference or voltage across the transistor's emitter (emitter-to-base) junction is equal to the difference between these two positive voltages.

Generally the base is just slightly more positive than the emitter. This is necessary in order to have the proper forward-bias voltage across the emitter junction of the NPN transistor. The voltage dropped across the emitter junction tends to remain essentially constant even when the current flowing through the junction varies over a wide range. This characteristic occurs in both silicon and germanium transistors which are either NPN or PNP devices. The emitter junction voltage drop in a silicon device is usually close to 0.6 or 0.7 volts while the germanium device has a somewhat lower voltage drop of 0.2 to 0.3 volts. This characteristic (when measured) can be used to determine if the transistor in the circuit is made of silicon or germanium.

When the temperature increases, the  $I_C$  and  $I_E$  values also tend to increase. The increasing  $I_E$  value causes the voltage across  $R_E$  to increase which causes the transistor's emitter to become more positive with respect to ground. This action tends to reduce the forward-bias voltage across the emitter junction which, in turn, causes  $I_B$  to decrease. The lower  $I_B$  value tends to reduce  $I_C$  and  $I_E$  towards their normal values. If the temperature decreases, the exact opposite action will take place ( $I_B$  will increase) to counteract the decreasing  $I_C$  and  $I_E$  values.

The circuit in Figure 1-18 provides excellent temperature stability and this circuit is widely used in electronic equipment. As explained earlier, capacitor C is used to keep the signal voltage from appearing across  $R_E$  so that the input signal will not be degenerated. If this capacitor was removed, the voltage and current gain of the circuit would be reduced considerably.

Although a number of mathematical equations and formulas can be used to design a circuit like the one in Figure 1-18, a reasonably good circuit can still be designed by using simple rule-of-thumb design procedures. A quick and simple rule-of-thumb design procedure for this circuit is outlined below.

**Rule-of-Thumb Design Procedure for an Amplifier with a Voltage Divider and Emitter Feedback.**

1. Select a steady-state (no-signal) collector current that is within the transistor's maximum limits (1 milliamperes is usually a safe value).
2. Select an  $R_E$  value that will drop one-tenth of the source voltage ( $V_{CC}$ ).
3. Use an  $R_2$  value that is 10 times higher than  $R_E$ .
4. Use an  $R_1$  value that is 9 times higher than  $R_2$ .
5. Use an  $R_L$  value that will drop 45 percent of the  $V_{CC}$  value ( $0.45V_{CC}$ ).
6. Use a bypass capacitor (C) that will have a capacitive reactance ( $X_C$ ) that is one-tenth the value of  $R_E$  at the lowest signal frequency to be amplified ( $0.1 R_E = X_C$ ). To solve for the value of capacitance in microfarads, this expression can be broken down further to the equation shown below.

$$C = \frac{1600000}{f R_E}$$

Where C is the capacitance in microfarads ( $\mu F$ ) f is the frequency in hertz (Hz) and  $R_E$  is the emitter resistance in ohms ( $\Omega$ ).

We will now use the simple design procedure just given to construct an amplifier circuit like the one shown in Figure 1-18. We will assume that our circuit must operate from a source voltage ( $V_{CC}$ ) that is equal to 20 volts and it must amplify AC signals as low as 50 hertz.

First, we will assume that the circuit's  $I_C$  value is equal to 1 milliampere as suggested. Although the transistor may be able to handle more current; this value is usually sufficient in an amplifier circuit like this one, which is primarily intended for use as a voltage amplifier. Also, the low  $I_C$  value places only a small current drain on  $V_{CC}$ . Therefore, the circuit uses only a small amount of power.

Next we will use an  $R_E$  value that will drop one-tenth of  $V_{CC}$  or 2 volts. This value is calculated by using Ohm's law ( $R = E/I$ ). The emitter current ( $I_E$ ) flowing through  $R_E$  is considered to be essentially the same as  $I_C$ , which is equal to 1 milliampere (0.001 ampere). Therefore  $R_E$  can be determined as follows:

$$R_E = \frac{2 \text{ V}}{0.001 \text{ A}} = 2000 \text{ } \Omega \text{ or } 2 \text{ k}\Omega$$

Now we will determine the value of  $R_2$ , which must be 10 times higher than  $R_E$ . Since  $R_E$  is equal to 2000 ohms,  $R_2$  must be equal to  $10 \times 2000$  or 20,000 ohms (20 kilohms).

Now we will determine the value of  $R_1$ , which must be 9 times the value of  $R_2$ . Since  $R_2$  is equal to 20,000 ohms,  $R_1$  must be equal to  $9 \times 20,000$  or 180,000 ohms (180 kilohms).

Now we will determine the value of  $R_L$ , which must drop 45 percent of the source voltage ( $V_{CC}$ ). Since  $V_{CC}$  is equal to 20 volts, 45 percent of  $V_{CC}$  would be  $0.45 \times 20$ , or 9.0 volts. Therefore,  $R_L$  must drop 9.0 volts. However, the current through  $R_L$  is equal to the  $I_C$  value of 1 milliampere. This means that the value of  $R_L$ , according to Ohm's law ( $R = E/I$ ), must be equal to its required voltage drop (9.0 volts) divided by the  $I_C$  value of 1 milliampere (0.001 ampere).

Therefore,  $R_L$  can be determined as follows:

$$R_L = \frac{9.0 \text{ V}}{0.001 \text{ A}} = 9000 \text{ } \Omega \text{ (9 k}\Omega\text{)}$$



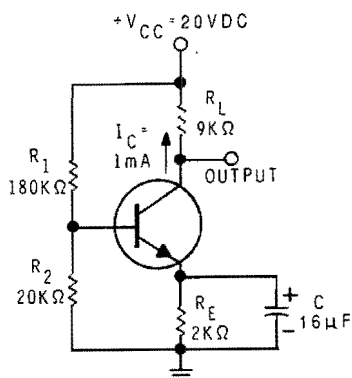


Figure 1-19

A typical common-emitter circuit showing the calculated component values.

Now that we have determined all of the resistance values, we must determine the value of capacitor  $C$  using the equation provided. Since the lowest frequency to be amplified is 50 hertz and the value of  $R_E$  is 2000 ohms, we can substitute these values into the equation to obtain the required capacitance value in microfarads as shown below.

$$C = \frac{1600000}{(50)(2000)} = 16 \mu\text{F}$$

Due to the large size of capacitor  $C$ , it must be an electrolytic capacitor.

Figure 1-19 shows the common-emitter amplifier circuit that was just designed with the simple rule-of-thumb procedure. The values shown are the actual calculated values. In practice, it is often necessary to use the closest standard resistor and capacitor values that are available. Also, the large electrolytic capacitor ( $C$ ) is usually designed to operate with a specific voltage polarity. It should be connected so that its positive and negative leads are in the direction shown, since the transistor is an NPN device and  $V_{CC}$  is positive with respect to ground. It is also important to note that a PNP transistor could be used just as easily as an NPN device. However, with a PNP transistor,  $V_{CC}$  would be negative with respect to ground and capacitor  $C$  would be reversed.

The circuit can be used without capacitor  $C$ ; however, the gain of the circuit would be much lower without it. When the capacitor is in the circuit, voltage gain is very high. Without this capacitor, the emitter resistor,  $R_E$ , is degenerative, resulting in a very low voltage gain. As a general rule, the voltage gain of the circuit is approximately equal to the ratio of  $R_L$  to  $R_E$  when capacitor  $C$  is not used. In other words, without  $C$ , the voltage gain of the circuit can be determined with the following equation.

$$\text{Voltage gain} = \frac{R_L}{R_E}$$

When we substitute the value of  $R_L$  (9000 ohms) and the value of  $R_E$  (2000 ohms) into this equation, we obtain the voltage gain as follows:

$$\text{Voltage gain} = \frac{9000}{2000} = 4.5$$

The voltage gain therefore drops to 4.5 when capacitor C is removed. Also, the circuit will provide this same voltage gain even when a different transistor is used in the circuit, as long as the new transistor has a reasonably high beta. Therefore, without capacitor C, the gain of the circuit is low, although it is completely predictable. With capacitor C in the circuit, the gain is determined by the transistor's beta. Under these conditions, the voltage gain will be very high but more difficult to calculate since it is affected by a number of factors in addition to the transistor's beta value.

When constructing a circuit like the one shown in Figure 1-19 or, in fact, any circuit which uses a transistor, it is always necessary to insure that the transistor is not exposed to excessively high operating voltages. Each type of transistor can withstand only a certain maximum voltage across any two of its leads. Therefore, it is necessary to check on these maximum voltage ratings to be sure that the normal operating voltages within the circuit are not too high. Such information is usually provided by the manufacturer of the device and it is included on a specification sheet which describes the transistor's important characteristics.

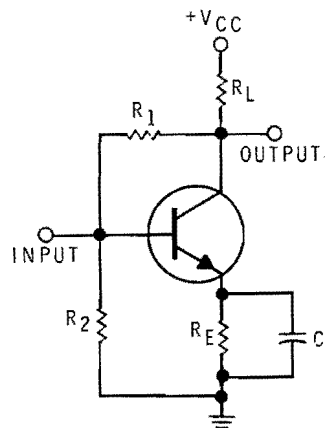
In general, the simple rule-of-thumb procedure provides the best results when  $V_{CC}$  is equal to 20 volts or more. When substantially lower  $V_{CC}$  values are used, the ratio of the  $R_1$  and  $R_2$  must be reduced to allow for the forward voltage drop that must appear across the transistor's emitter junction. Therefore, the ratio of the  $R_1$  and  $R_2$ , as given in the procedure, is simply a starting point at low  $V_{CC}$  values. This value of  $R_1$  should be reduced to obtain a lower  $R_1$  to  $R_2$  ratio when using  $V_{CC}$  values that are equal to 10 volts or less. This lower  $R_1$  value will raise the base voltage and increase the collector current so that the voltage across the transistor and the voltage across  $R_L$  will be closer to the desired values. This problem can be easily solved by wiring an experimental circuit and adjusting the  $R_1$  value until the proper voltage is dropped across the transistor and  $R_L$ . Later in this unit, you will demonstrate the operation of a common-emitter circuit which is similar to the circuit in the previous design problem. In this circuit,  $V_{CC}$  is equal to 10 volts and the  $R_1$  value has been appropriately adjusted for optimum circuit operation.

Although, considerable emphasis has been placed on the rule-of-thumb design procedure, the purpose of this whole discussion is to familiarize you with actual component values rather than teach circuit design. The previous design problem, along with the follow-up discussion, brings out a number of important features which must be understood by anyone who plans to troubleshoot and repair amplifier circuits.

The rule-of-thumb design procedure describes only one type of circuit which uses voltage-divider bias. Voltage-divider bias can be used with other biasing techniques to provide even better stability. For example, the stability of the circuit in Figure 1-19 can be further improved by connecting the top side of  $R_1$  directly to the transistor's collector as shown in Figure 1-20. Since  $R_1$  is now between the transistor's collector and base, this resistor now provides collector feedback although it is still part of the voltage divider. Therefore, the circuit now uses collector feedback (as shown in Figure 1-16) in addition to emitter feedback and voltage-divider bias.

Figure 1-20

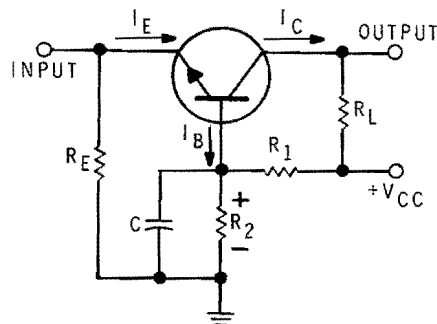
A common-emitter circuit which uses a voltage divider along with emitter and collector feedback.



Voltage-divider bias is also used with common-base and common-collector circuits. For example, a common-base circuit which uses a voltage divider, is shown in Figure 1-21. In this circuit, resistors  $R_1$  and  $R_2$  are again used to keep the base at a constant positive voltage with respect to ground. Emitter resistor  $R_E$  and load resistor  $R_L$  again perform the same basic functions they performed in the common-emitter circuit. However, in this circuit the input signal voltage is applied between the emitter and ground (across  $R_E$ ), while the output signal appears between the collector and ground. Although it may not be apparent, the base is at ground potential as far as the input and output AC signals are concerned. The base is effectively grounded through capacitor  $C$ .

Figure 1-21

A common-base circuit which uses voltage divider bias.



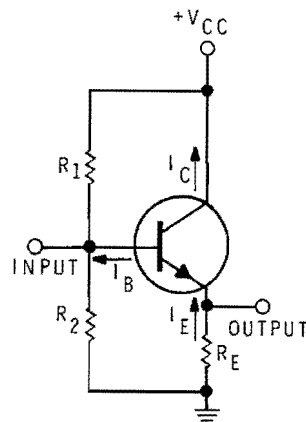


Figure 1-22

A common-collector circuit which uses voltage-divider bias.

A common-collector circuit which uses voltage-divider bias is shown in Figure 1-22. This circuit is biased in basically the same manner as the common-emitter circuit in Figure 1-19. However, no load resistor is used in this circuit and the output voltage is taken from emitter resistor  $R_E$ . Resistors  $R_1$  and  $R_2$  form the voltage divider and these resistors effectively keep the base at a constant, positive potential. As with the common-emitter circuit, the input signal voltage is used to control  $I_B$  which, in turn, controls  $I_E$  and  $I_C$ . The variations in  $I_E$  produce the output signal voltage that appears across  $R_E$ . In this circuit, resistor  $R_E$  must never be bypassed with a capacitor, since this would prevent any AC signal voltage from appearing at the output.

Although it may not be apparent, the transistor's collector is common to both the input and the output signals. The collector is connected directly to the positive side of voltage source  $V_{CC}$ , and  $V_{CC}$  acts as a short to the AC signals. The collector is therefore grounded through  $V_{CC}$ .

Although we have examined several practical amplifier circuits which use voltage-divider bias along with collector or emitter feedback, we still have considered only a few of the many biasing techniques that are used. However, this introductory information should get you off to a good start so you will be able to understand the more sophisticated circuit arrangements when you encounter them.

## Class of Operation

All of the amplifier circuits we have examined so far are biased so their output currents ( $I_C$  or  $I_E$ ) flow during the entire cycle of the AC input voltage. As the input signal goes through its positive and negative alternations, the output current will increase or decrease accordingly, but the output current will always continue to flow. Any amplifier that is biased in this manner, is said to be operating as a **class A** amplifier.

The output current of a class A amplifier that is used to amplify an AC sinusoidal signal, is shown in Figure 1-23. Notice that the current increases and decreases around its no-signal value but never drops to zero during one complete cycle. This means that the output voltage produced by this output current will also vary in a similar manner. This type of amplifier is usually biased so it will operate at a point where any change in input current is accompanied by a proportional, but amplified, change in output current. Therefore, the circuit operates in a linear manner. This type of amplifier produces a minimum amount of distortion.

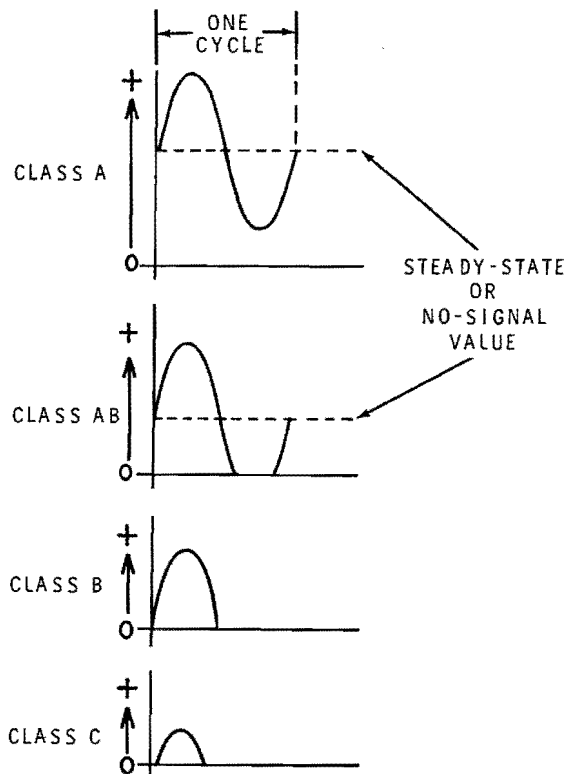


Figure 1-23

The output current for a class A, class AB, class B, and class C amplifier.

To operate in the class A mode, the amplifier must be biased so its output current has a no-signal value that is midway between its upper and lower limits. The upper limit is reached when the transistor is conducting as hard as it can. At this time, the transistor's output current levels off to a maximum value and the transistor is said to be **saturated**. Saturation will occur if the input current is increased to a sufficiently high value by increasing the forward-bias voltage across the transistor's emitter junction. We are assuming that the transistor's collector junction is appropriately reverse biased so that an output current can flow. Once the saturation point is reached, the output current will stop increasing even though the input current may continue to rise. The lower limit of output current is obtained when the forward bias and input current are reduced so low that essentially no output current can flow. It is important to remember that the output current ( $I_E$  and  $I_C$ ) is controlled by the forward bias and input current. When the forward bias is reduced until the input current is zero, the output current essentially drops to zero (a small leakage current may still exist). At this time, the transistor is said to be biased at **cutoff**.

Therefore, class A operation is achieved by biasing the transistor midway between its saturation and cutoff points. The input signal will then cause the forward bias to increase above and decrease below its steady-state value. This will cause the input current ( $I_B$  or  $I_E$ ) to vary which, in turn, will cause the output current to vary. If the operating point is properly chosen, the input signal will be amplified and distortion will be held to a minimum. We are assuming that the amplitude of the input signal is not so high that it overdrives the amplifier. If the input amplitude is so high that it causes extreme variations in the input current which, in turn cause the output current to reach the saturation and cutoff points, distortion can still result. If this occurred, the output signal would be distorted as shown in Figure 1-24. Notice that both positive and negative-going peaks are clipped off because the saturation and cutoff points are reached. However, this situation can be easily avoided by maintaining the proper input-signal level.

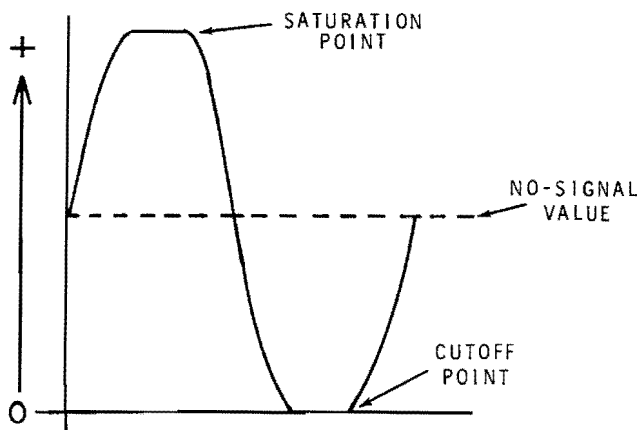


Figure 1-24

Output current distortion in a class A amplifier circuit.

When an amplifier is biased so that its output current flows for less than one full cycle of the AC input signal, but for more than half of the AC cycle, the circuit is said to be operating in the **class AB** mode. The output current waveform produced by a class AB amplifier is shown in Figure 1-23. Notice that the current waveform drops to zero for a period of time which is less than the time required to produce one-half (one alternation) of the AC cycle. This mode of operation can be achieved by simply reducing the forward bias slightly so the no-signal current is lower and therefore closer to the cutoff value.

An amplifier may also be biased so that its output current will flow for only one-half of the input AC cycle as shown in Figure 1-23. Under these conditions, the circuit is said to be operating in the **class B** mode. Class B operation can be achieved by biasing the circuit at cutoff. This is done by adjusting the forward bias for zero input current which, in turn, produces zero output current. Under these conditions, the output current can only flow during the input alternation which causes the forward bias and input current to increase. The circuit does not respond to the other alternation (half-cycle) since it effectively causes the transistor's emitter junction to be reverse biased. The class B amplifier amplifies only one-half of the input AC signal.

An amplifier can also be biased so that its output current will flow for less than one-half of the AC input cycle as shown in Figure 1-23. Under these conditions, the circuit is said to be operating in the **class C** mode. Class C

operation is obtained by biasing the circuit beyond the cutoff point. In other words, the emitter junction is actually reverse biased a certain amount so that the input signal must overcome the reverse-bias voltage before it can cause the emitter junction to be forward biased. This means that the output current can flow only for a portion of one alternation, since the voltage during this alternation must rise to a value which is high enough to overcome the reverse bias before current can flow.

A class A amplifier produces an output signal that has the same basic shape and characteristics as the input signal even though the amplitude of the output signal is higher. Class A circuits produce a minimum amount of distortion and, as a result, they are widely used in applications where a high degree of signal fidelity (quality of reproduction) must be maintained. For example, they are often used to amplify the audio (sound) signals in radio and television sets.

Class AB, class B, and class C amplifiers produce a substantial amount of distortion since these circuits amplify only a portion of the input signal. However, each of these amplifiers have specific applications in electronic equipment. They are often used in conjunction with other circuits or components which compensate for the distortion that they produce. However, in some applications they are used to intentionally produce distortion in order to change the characteristics of a signal.



## Programmed Review

31. The most basic biasing arrangement for a common-emitter circuit is formed by using a single voltage source ( $V_{CC}$ ) along with a base resistor ( $R_B$ ) to control the transistor's base current ( $I_B$ ). This type of circuit is often called a \_\_\_\_\_-biased circuit.
32. (base) The base-biased circuit is seldom used in electronic equipment because it cannot compensate for temperature changes which can cause the transistor's output collector current and voltage to vary. Therefore, the circuit has a high degree of thermal instability since \_\_\_\_\_ changes greatly affect its operation.
33. (temperature) An amplifier circuit must be able to compensate for temperature changes so that the circuit will have a high degree of \_\_\_\_\_ stability.
34. (thermal) Thermal stability can be achieved by feeding the unwanted output current or voltage variation back to the circuit's input so that it can act as a feedback signal to counteract the change. When this technique is used, the circuit is said to be using degenerative or negative \_\_\_\_\_.
35. (feedback) The feedback signal can be developed at the collector or the emitter of the transistor, thus making it possible to have a circuit which uses either \_\_\_\_\_ feedback or \_\_\_\_\_ feedback.
36. (collector, emitter) Collector feedback is obtained by connecting a resistor between the transistor's collector and base. The unwanted voltage variations which appear at the collector are therefore returned to the \_\_\_\_\_ so that base current  $I_B$  can be varied in a manner which will counteract the output change.
37. (base) Emitter feedback is obtained by connecting a resistor in series with the transistor's emitter. The unwanted output current variations produce voltage variations across this resistor which cause the transistor's emitter voltage to vary. These emitter voltage variations have a degenerative effect which causes the transistor's \_\_\_\_\_ current to vary in a manner which opposes the output current change.

38. (base or input) A popular biasing technique uses a voltage divider, consisting of two resistors, to provide a constant base voltage for the transistor. This voltage divider is generally used in conjunction with emitter feedback and sometimes even with collector feedback to achieve a high degree of temperature \_\_\_\_\_.
39. (stability) When an amplifier is biased so that its output current flows for one complete cycle of an input AC voltage, it is operating in the class A mode. This type of amplifier produces a minimum amount of distortion since its output signal is an accurate reproduction of its \_\_\_\_\_ signal.
40. (input) When an amplifier is biased so that its output flows for one-half of the AC input signal, it is operating in the class B mode. This type of amplifier essentially amplifies only \_\_\_\_\_ of the AC input signal.
41. (one-half) If an amplifier is biased so that it operates midway between class A and class B operation, it is said to be operating in the class \_\_\_\_\_ mode.
42. (AB) When an amplifier's output current flows for less than one-half of the AC input cycle, the circuit is operating in the class C mode. This type of circuit amplifies a much smaller portion of the input AC signal than the other amplifier types and therefore produces the most \_\_\_\_\_.
- (distortion)

## AMPLIFIER COUPLING

In some applications, one amplifier stage (one transistor plus its associated biasing components) cannot provide enough amplification. Therefore, it becomes necessary to couple two or more amplifier stages together to obtain a higher overall gain.

When amplifier stages are joined together, it must be done in a way which will not upset or disrupt the operation of either circuit. There are four basic coupling methods which are widely used. We will now briefly examine each of these methods.

### Resistance-Capacitance Coupling

The resistance-capacitance, or RC, coupling technique is one of the most widely used methods. When RC coupling is employed, the transistor's output load is a resistance and the signal must pass from one amplifier stage to the next through a coupling capacitor.

Figure 1-25 shows how RC coupling is used to connect two common-emitter amplifier stages. Each amplifier stage uses voltage-divider bias and emitter feedback just like the circuit in Figure 1-19. However, all of the components are designated in sequence ( $R_1$  through  $R_8$  and  $C_1$  through  $C_3$ ). This is the method normally used to identify components when a large number of components are involved on a schematic diagram. Also, the transistors are designated  $Q_1$  and  $Q_2$ . The Q designations are widely used to represent transistors on most schematic diagrams. Notice that capacitor  $C_2$  serves as the coupling capacitor. This capacitor couples the output signal of the first stage to the input of the second stage.

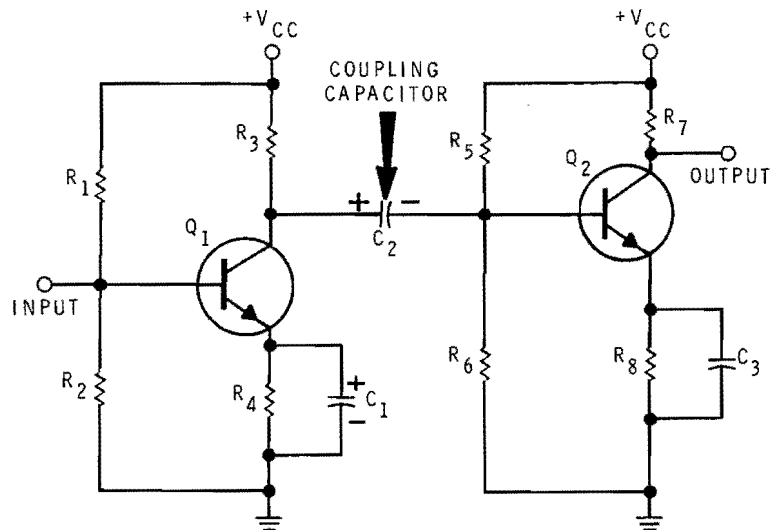


Figure 1-25

Two common-emitter amplifiers that are RC coupled.

Coupling capacitor  $C_2$  must always be charged to a voltage that is equal to the difference in potential that exists between the collector of transistor  $Q_1$  and the base of transistor  $Q_2$ . Furthermore, the collector of  $Q_1$  is much more positive with respect to ground than the base of  $Q_2$ . Therefore, the voltage across capacitor  $C_2$  must have the polarity shown. If the input signal to the first stage is an AC voltage, the output voltage appearing between the collector of  $Q_1$  and ground will increase and decrease in accordance with the input AC signal, but this output voltage will always remain positive with respect to ground. When the output voltage increases (goes more positive), the difference of potential between the collector of  $Q_1$  and the base of  $Q_2$  becomes greater and capacitor  $C_2$  charges to this higher voltage. However, in order for the charge to build up across  $C_2$ , a charging current must flow up from ground (negative side of  $V_{cc}$ ) through  $R_6$ , through  $C_2$ , and finally through  $R_3$  to the positive side of  $V_{cc}$ .

When the output voltage at the collector of  $Q_1$  decreases (goes less positive), the difference of potential between the collector of  $Q_1$  and the base of  $Q_2$  becomes less and  $C_2$  discharges to this lower voltage. In order for this to happen, a discharge current must flow in the opposite direction until the voltage across  $C_2$  drops to the proper value. This discharge current will flow through  $R_6$  again but in the opposite direction and it must continue on around through  $R_4$  and through  $Q_1$  and then back to the positive side of  $C_2$  until the potential across  $C_2$  has dropped to the proper level.

This action at  $C_2$  (charging and discharging through  $R_6$ ) allows the output signal voltage of the first stage to be applied to the second stage. The varying DC voltage that appears between the collector of  $Q_1$  and ground is actually applied across  $R_6$  since the charge and discharge action of  $C_2$  causes the signal current to flow in opposite directions through  $R_6$ . However, to be completely accurate, it is important to realize that  $R_6$  is actually in parallel with the emitter-base junction of  $Q_2$ . Much of the signal current will therefore flow through  $Q_2$  as well as  $R_6$ .

The signal current, supplied to  $R_6$  by the charging and discharging action of  $C_2$ , causes the base of  $Q_2$  to alternately go more positive and then less positive with respect to ground. This causes the base current of  $Q_2$  to alternately increase and decrease and, from this point on, the second stage amplifies the input signal in basically the same manner as the first stage.

In order for the coupling capacitor to efficiently transfer the AC signal to the second stage, this capacitor must offer very little opposition to the AC signal current, even at the lowest AC signal frequency which must be amplified. Therefore, a coupling capacitor usually has a high capacitance value (typically 10 microfarads or higher) so that its capacitive reactance (the opposition that it offers to AC) will be very low. Generally the coupling capacitor is an electrolytic type and it is necessary to observe polarity (the leads are marked + and -) when you connect this capacitor in the circuit.

RC coupled amplifiers can provide AC current and voltage amplification over a wide frequency range but there are definite upper and lower limits. The reactance of the coupling capacitor increases as frequency decreases. This means that the lower frequency limit is determined by the size of the coupling capacitor. Using a higher capacitance value (to obtain a lower reactance) will extend the lower frequency limit. However, in most RC coupled circuits, a lower limit of a few hertz (a few cycles per second) is the best that can be obtained. The coupling capacitor simply will not pass DC and it offers tremendous opposition to extremely low frequencies.

The upper frequency limit of the RC coupled stages is primarily determined by the type of transistor used, although the various components in the circuit can also have an affect. A transistor's current gain (beta) decreases when the frequency extends beyond a certain point, and eventually a point is reached where the transistor can no longer provide a useful current gain. The decreasing current gain also causes the voltage gain to decrease accordingly.

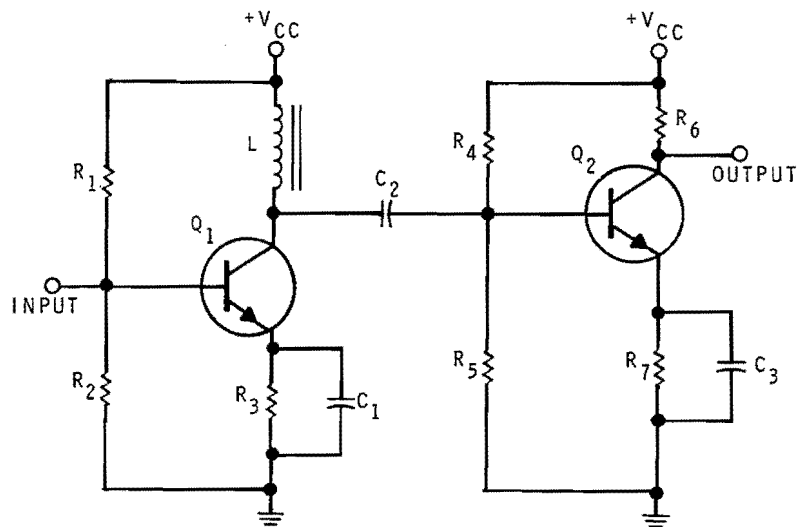


Figure 1-26

Two common-emitter stages that are impedance coupled.

## Impedance Coupling

The impedance coupling technique is similar to the RC coupling method previously described. However, with this method, an inductor is used in place of the collector load resistor in the first stage as shown in Figure 1-26.

Impedance coupling works like RC coupling since the inductor (L) performs the same basic function as a load resistor and the coupling capacitor ( $C_2$ ) transfers the signal from one stage to the next. However, the inductor has a very low DC resistance across its windings. The resistance of a typical inductor may be only several hundred ohms since the inductor may be formed from low resistance wire wound around an iron core.

Since the inductor has a low DC resistance, it drops only a small DC voltage when the collector current flows through it. This means that the inductor itself consumes only a small amount of power. Therefore, most of the source voltage ( $V_{CC}$ ) is applied through the inductor to the collector of  $Q_1$ . However, the inductor still offers a substantial amount of opposition to an AC signal. This opposition (called inductive reactance) can be just as high as the load resistance that might otherwise be connected in the circuit. Therefore, an AC signal voltage can be developed across the inductor just like it would be developed across a load resistor. However, the inductor has an advantage because it consumes less power than a resistor, thus increasing the overall efficiency of the circuit.

Unfortunately, the inductive reactance of the inductor (its opposition to AC) does not remain constant but increases with the signal frequency. This means that the signal voltage appearing across the inductor will increase with signal frequency, thus causing the output voltage to increase in the same manner. The voltage gain of the first stage therefore increases with frequency, thus causing the overall voltage gain of the two stages to increase in the same manner. This means that the impedance-coupled amplifier stages provide a higher voltage gain as the signal frequency increases, although the gain is eventually limited by the transistor's beta, just as it would be limited in the RC coupled circuit. Since the voltage gain of impedance-coupled stages tends to vary with frequency, this type of coupling is suited to applications where one signal frequency or a narrow range of frequencies must be amplified.

## Direct Coupling

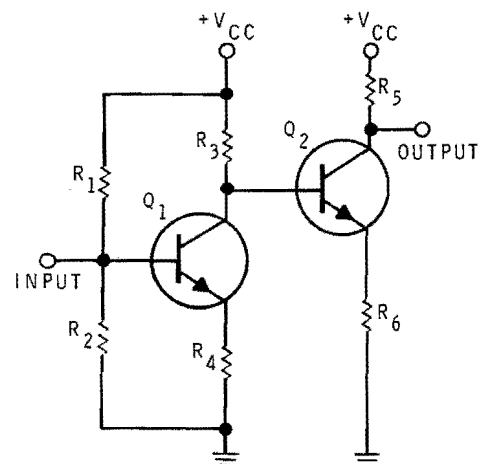
When very low signal frequencies must be amplified, the RC and impedance-coupling techniques cannot be effectively used. This is simply because the coupling capacitor cannot pass the extremely low frequencies.

When low frequency signals or even DC signals must be amplified, a technique known as direct coupling is generally used. A typical direct-coupled circuit is shown in Figure 1-27. Notice that the base of the second stage transistor ( $Q_2$ ) is connected directly to the collector of the first stage transistor ( $Q_1$ ). No coupling capacitor is used between the two stages.

Since the base of  $Q_2$  obtains its voltage from the collector of  $Q_1$ , a separate voltage divider is not required to provide the base voltage for  $Q_2$ . This means that fewer components are required to construct the two common-emitter stages when they are coupled in this manner. However, the design of a direct-coupled circuit can be quite time consuming since there is much interaction between the bias voltages and current in the circuit. For example,  $R_3$  must serve as a collector load resistor for  $Q_1$  and as a base resistor for  $Q_2$ . This resistor must limit the collector current through  $Q_1$  to the proper value but it must also control the base voltage of  $Q_2$  so that the base current through  $Q_2$  will have the proper value. Normally, resistor  $R_3$  must have a high value so that both of these conditions can be met. The typical circuit values used in this circuit may therefore be considerably different than the values used in the RC coupled amplifier previously described.

Figure 1-27

Two common-emitter stages that are direct coupled.



The first stage functions like an ordinary common-emitter amplifier and it produces an output signal voltage between the collector at  $Q_1$  and ground. This signal voltage is applied directly to the base of  $Q_2$  and it causes the base current of  $Q_2$  to vary. This in turn, causes the collector current of  $Q_2$  to vary and an output signal voltage appears between the collector of  $Q_2$  and ground. The circuit can amplify either DC signals (fixed or constant input voltages) or slowly changing AC signals since there are no capacitors in the signal path.

Direct-coupled amplifiers can provide a uniform current or voltage gain over a wide range of signal frequencies. These amplifiers may be used to amplify frequencies that range from zero (DC) to many thousands of hertz; however, they are particularly suited to low frequency applications.

Unfortunately, direct-coupled circuits are not as stable as the RC and impedance-coupled circuits previously described. This is because the second stage is essentially biased by the first stage. Any changes in the output current at the first stage (due to temperature variations) are effectively amplified by the second stage in much the same way that it would amplify a slowly changing input signal. This means that the operating point of the second stage can drift extensively if the circuit is not carefully designed. To obtain a circuit that has a reasonably high degree of temperature stability, it is often necessary to use expensive, precision components or special types of components to compensate for temperature changes.



## Transformer Coupling

A technique known as transformer coupling is also occasionally used to couple two amplifier stages. A typical transformer-coupled circuit is shown in Figure 1-28. Notice that the first stage is coupled to the second stage through a transformer. The collector current of  $Q_1$  flows through the input winding of the transformer. When the collector current changes in accordance with the input signal, it produces a changing magnetic field around the input winding and this magnetic field induces a changing voltage (and a corresponding current) in the transformer's output winding. The transformer's output winding is connected so that it is between the base of  $Q_2$  and the junction of the voltage divider ( $R_4$  and  $R_5$ ). This places the winding in series with the base lead. The changing signal voltage at the output of the transformer causes the base current of  $Q_2$  to vary so that  $Q_2$  can amplify the signal and produce an output signal voltage. Capacitor  $C_2$  provides AC bypass around  $R_5$  to keep one end of the transformer at signal ground.

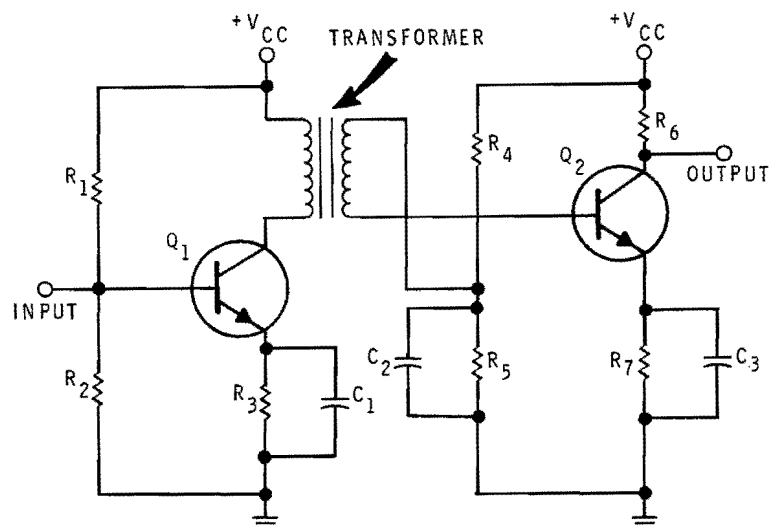


Figure 1-28

Two common-emitter stages that are transformer coupled.

The transformer can efficiently transfer the signal from the high impedance output of the first stage to the low impedance input of the second stage. The transformer can effectively be used as an impedance-matching device in much the same way that a common-collector amplifier is used to match a high impedance source to a low impedance load. Optimum coupling between the two stages can be obtained by adjusting the turns ratio of the transformer's input and output windings. When the proper turns ratio is found, the low input impedance of the second stage will appear as a much higher impedance at the output of the first stage due to transformer action.

Although the transformer provides an efficient means of coupling two stages together, it does have several disadvantages. First of all, the transformer is usually quite large and heavy and it is also expensive when compared to the price of a resistor or capacitor. Also, the transformer cannot pass a DC signal. Only AC signals can pass through the transformer and the frequency range of these AC signals is somewhat limited, thus making the amplifier useful over a relatively narrow frequency range.

## Programmed Review

43. When resistance-capacitance (RC) coupling is used between two amplifier stages, the signal must pass through a coupling capacitor. The coupling capacitor will allow AC signals to pass, but it will block \_\_\_\_\_ signals.
44. (DC) The coupling capacitor should have a low reactance or impedance at the lowest AC signal frequency to be amplified. Since the reactance decreases as the capacitance value increases, the capacitor should have a high \_\_\_\_\_ value.
45. (capacitance) The maximum signal frequency that can be amplified by the RC coupled amplifier is determined by the transistor. However, the coupling capacitor determines the lowest signal \_\_\_\_\_ that can be amplified.
46. (frequency) When impedance coupling is used between amplifier stages, an inductor is used as an output load for the first stage in place of the usual load \_\_\_\_\_.
47. (resistor) However, the signal in an impedance-coupled circuit is still transferred from one stage to the next through a coupling \_\_\_\_\_.
48. (capacitor) Since the inductive reactance of an inductor increases with the signal frequency, the output signal voltage in an impedance-coupled circuit will increase as frequency increases. This means that the voltage \_\_\_\_\_ of the circuit will increase with an increase in frequency although it is eventually limited by the transistor's beta.
49. (gain) Direct coupling is achieved by connecting the second stage directly to the first stage, thus eliminating the coupling capacitor. Since there is no capacitor in the signal path, the circuit can be used to amplify either AC signals or \_\_\_\_\_ signals.

50. (DC) Unfortunately, the direct-coupled stages tend to interact. Any changes in bias currents or voltages in the first stage, due to temperature variations, are amplified by the second stage. Therefore, the direct-coupled circuit is simply not as \_\_\_\_\_ as the RC and impedance-coupled circuits.

51. (stable) Transformer coupling provides a means of efficiently transferring the signal from one stage to the next to obtain a high gain. However, the transformer responds only to varying signals. Therefore, transformer coupled circuits can be used to amplify AC signals but not \_\_\_\_\_ signals.

(DC)

## EXPERIMENT 1

### Thermal Stability

**OBJECTIVE:**

Demonstrate the relative thermal stability of basic amplifier circuits.

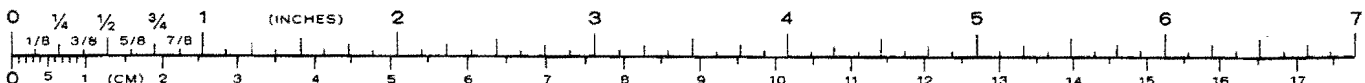
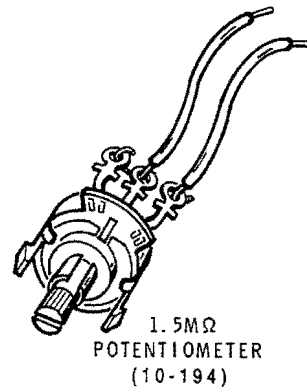
#### Introduction

In this experiment you will construct basic amplifier circuits which use base bias, voltage-divider bias, emitter feedback, and collector feedback and observe the relative thermal (temperature) stability of each. You will apply heat to each circuit arrangement and observe the output voltage change that occurs in each case. Then you will compare the output voltage variations to determine which circuits provide the best thermal stability. **Read the entire procedure before performing this experiment.**

#### Material Required

- Heathkit Analog Trainer
- Multimeter
- 20–40 watt soldering iron
- 1—NPN transistor (417-801) MPSA20
- 1—2.2 kilohm, resistor (red-red-red-silver)
- 1—4.7 kilohm resistor (yellow-violet-red-gold)
- 1—10 kilohm resistor (brown-black-orange-silver)
- 1—22 kilohm resistor (red-red-orange-silver)
- 1—470 kilohm resistor (yellow-violet-yellow-silver)
- 1—1.5 megohm potentiometer (10-194)

Figure 1-29  
Solder leads to potentiometer.



## Procedure

1. Cut two 3" lengths from the white hookup wire provided. Remove 1/4" of insulation from each end of each wire. Solder one of these two wires to the center terminal and one to the outside terminal of the 1.5 megohm potentiometer as shown in Figure 1-29. Ensure the wiper terminal is connected to one end of the potentiometer as shown in Figure 1-30.
2. Turn on your Trainer. Then connect your voltmeter across the POS and GND terminals so that you can measure the DC power supply voltage applied to your circuit. Adjust the positive (+) voltage control until your voltmeter indicates that the output DC voltage is equal to 10 volts. Turn off your Trainer.

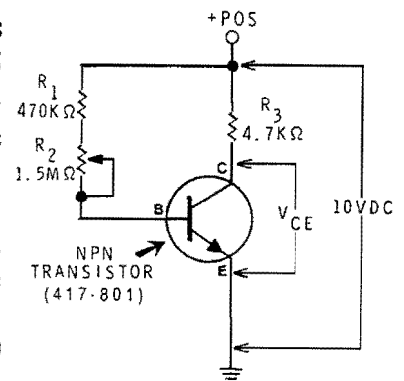


Figure 1-30  
Experimental base-biased circuit.

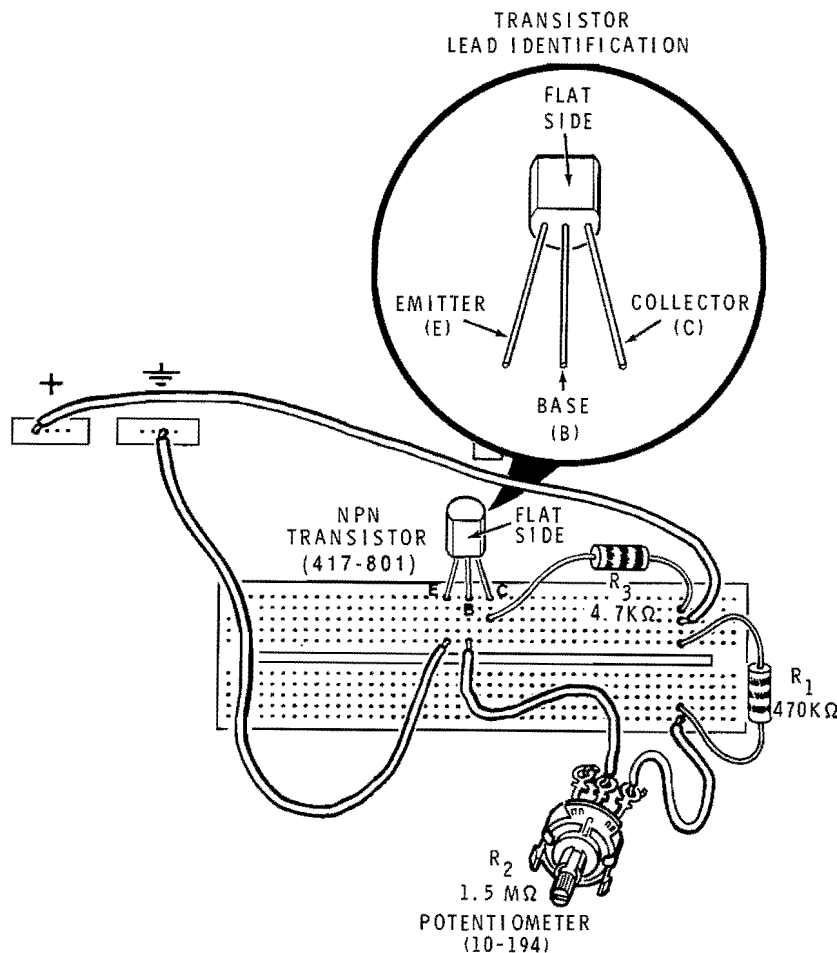
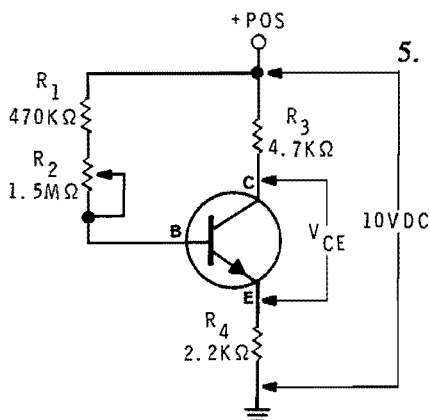


Figure 1-31

Wiring diagram for experimental circuit.

- Using the 1.5 megohm potentiometer, the NPN transistor, the 4.7 kilohm resistor, and the 470 kilohm resistor, construct the circuit in Figure 1-30. You may wire this circuit according to the wiring diagram shown in Figure 1-31 or you may use any wiring arrangement you choose. However, be sure to install the NPN transistor in the proper manner as shown. Your experimental circuit is a simple base-biased amplifier. The 1.5 megohm potentiometer ( $R_2$ ) is used to adjust the transistor's base current and the 470 kilohm resistor ( $R_1$ ) is in series with  $R_2$  to limit the maximum base current value. The 4.7 kilohm resistor  $R_3$  serves as the collector load resistor. Turn on the Trainer.
- Now use your multimeter to measure the transistor's collector-to-emitter voltage ( $V_{CE}$ ). To do this, simply connect the voltmeter's positive test probe to the transistor's collector lead and connect the negative test probe to the transistor's emitter. Then adjust potentiometer  $R_2$  until the voltmeter indicates that  $V_{CE}$  is equal to 5 volts. Your circuit is now properly biased.



- Now you will apply heat to the transistor and observe the change in output voltage. However, before you actually perform this step, be sure to read it through so you will know exactly what to do. You may use a 20 to 40-watt soldering iron as a source of heat, but be sure the soldering iron has reached its maximum operating temperature and the tip of the iron is clean. Connect your multimeter across the transistor so you can observe the transistor's  $V_{CE}$  while you apply heat to the circuit. Then touch the tip of the iron to the flat side of the transistor and hold it there for exactly 20 seconds (measure this time with a watch or clock). Note the value of  $V_{CE}$  at the end of the 20-second period at the instant you remove the soldering iron. Record this  $V_{CE}$  value below.

Figure 1-32

$V_{CE}$  after 20 seconds = \_\_\_\_\_ volts.

Experimental base-biased circuit which uses emitter feedback.

If your first measurement is unsuccessful, wait at least five minutes before you try again. This will give your circuit a chance to cool completely.

The  $V_{CE}$  value at the end of the 20-second period should be lower. Subtract this lower  $V_{CE}$  value from the original  $V_{CE}$  value of 5 volts. The difference between these two values represents the total change in  $V_{CE}$  that takes place during the 20-second period. Record this total change below.

Change in  $V_{CE}$  = \_\_\_\_\_ volts.

- Turn off your Trainer and install a 2.2 kilohm resistor in series with the transistor's emitter lead so that your circuit appears as shown in Figure 1-32. Your experimental circuit now contains a 2.2 kilohm emitter resistor ( $R_4$ ) which will provide emitter feedback.

7. Turn on your Trainer and measure the supply voltage to be sure it is still 10 volts. Next, measure the collector voltage ( $V_C$ ) referenced to ground and record your readings below. Then measure the emitter voltage ( $V_E$ ) referenced to ground and record the reading. Calculate  $V_{CE}$ .

$$V_C = \text{_____ volts.}$$

$$V_E = \text{_____ volts.}$$

$$V_{CE} = V_C - V_E = \text{_____ volts.}$$

Repeat steps 4 and 5

$$V_C \text{ after 20 seconds } \text{_____ volts.}$$

$$V_E \text{ after 20 seconds } \text{_____ volts.}$$

$$V_{CE} \text{ after 20 seconds } V_C - V_E = \text{_____ volts.}$$

$$\text{Change in } V_{CE} = \text{_____ volts.}$$

8. Turn off your Trainer and rewire your circuit so that it appears as shown in Figure 1-33. Notice that the 470 kilohm resistor ( $R_1$ ) must be replaced with a 10 kilohm resistor and a 22 kilohm resistor ( $R_5$ ) must be connected between the transistor's base and ground. Your experimental circuit now uses emitter feedback in conjunction with voltage-divider bias.
9. Now turn on your Trainer and check to be sure that the power supply voltage applied to your circuit is still equal to 10 volts. Then repeat steps 4, 5, and 7 as you did before. Record your new  $V_{CE}$  measurements below.

$$V_C = \text{_____ volts.}$$

$$V_E = \text{_____ volts.}$$

$$V_{CE} = V_C - V_E = \text{_____ volts.}$$

$$V_{CE} \text{ after 20 seconds } = \text{_____ volts.}$$

$$\text{Change in } V_{CE} = \text{_____ volts.}$$

10. Turn off your Trainer. Then rewire your circuit so that it appears as shown in Figure 1-34. Notice that  $R_1$  and  $R_2$  must now be connected between the transistor's collector and base. This will provide collector feedback in addition to the emitter feedback and voltage-divider bias.

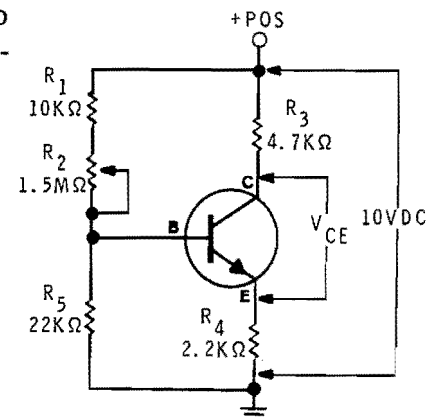


Figure 1-33

Experimental circuit which uses a voltage divider and emitter feedback.

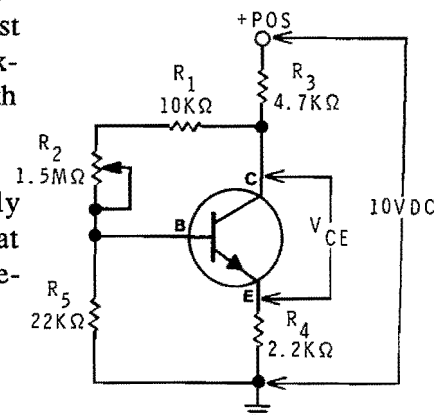


Figure 1-34

Experimental circuit which uses collector and emitter feedback along with voltage-divider bias.



11. Now turn on your Trainer and check your power supply voltage to be sure that it is still equal to 10 volts. Measure the following voltages referenced to ground.

$$V_C = \underline{\hspace{2cm}} \text{ volts.}$$

$$V_E = \underline{\hspace{2cm}} \text{ volts.}$$

$$V_{CE} = V_C - V_E = \underline{\hspace{2cm}} \text{ volts.}$$

Repeat steps 4 and 5 as you did before. Record your new measurements below.

$$V_C \text{ after 20 seconds } \underline{\hspace{2cm}} \text{ volts.}$$

$$V_E \text{ after 20 seconds } \underline{\hspace{2cm}} \text{ volts.}$$

$$V_{CE} \text{ after 20 seconds } V_C - V_E = \underline{\hspace{2cm}} \text{ volts.}$$

$$\text{Change in } V_{CE} = \underline{\hspace{2cm}} \text{ volts.}$$

12. Your experiment is now complete. Turn off your Trainer and read the following discussion.

## Discussion

In this experiment, you wired four basic amplifier circuits that used four different biasing techniques. You applied heat to the transistor in each circuit, and observed the amount of voltage change that occurred across each transistor. You should have seen a considerable change in the transistor's collector-to-emitter voltage ( $V_{CE}$ ) in the first circuit that used only base bias. The  $V_{CE}$  may have changed as much as several volts, thus, indicating that the transistor did not have a stable operating point. Therefore, the base-biased circuit has a very low thermal stability.

The next circuit used emitter feedback in addition to base bias. You should have seen that the change in  $V_{CE}$  was somewhat smaller, thus, indicating a higher degree of thermal stability. The next circuit used voltage-divider bias in addition to emitter feedback. The  $V_{CE}$  changes in this circuit should have been much smaller, thus, indicating a tremendous improvement in thermal stability. The last circuit used voltage divider bias in addition to emitter and collector feedback. The  $V_{CE}$  changes in this circuit should have been slightly smaller, thus, indicating that this circuit has the highest thermal stability of the four circuits tested.

Thermal stability is important in amplifier circuits. A highly unstable circuit can produce distortion in the output signal because the transistor's operating point can shift to one extreme or the other. Also, the transistor in a highly unstable circuit might be damaged if the temperature is too high. A higher temperature results in a lower  $V_{CE}$  value (as you observed in the experiment) due to the rise in collector current. When the temperature is high, the collector current might exceed the transistor's maximum safe value and the transistor might be destroyed. The last two circuits that you tested were highly stable, thus, the transistor would operate properly and safely over a wide range of temperatures.

## EXPERIMENT 2

### Common-Emitter Amplifier Characteristics

*OBJECTIVE: Demonstrate the operation and important characteristics of a practical, common-emitter amplifier circuit.*

#### Introduction

In this experiment, you will construct a common-emitter amplifier which uses voltage-divider bias and emitter feedback. Your circuit will be similar to the circuit that was developed earlier in this unit with the simple rule-of-thumb design procedure. However, component values have been adjusted for optimum circuit operation and standard component values have been used. You will apply an AC signal to the circuit and determine its voltage gain with and without a bypass capacitor. You will also determine the input resistance and the output resistance of the circuit as well as the type of transistor that is used. **Read the entire procedure before performing the experiment.**

#### Materials Required

- Heathkit Analog Trainer
- Multimeter
- Oscilloscope (dual channel preferred)
- 1—NPN transistor (417-801) MPSA20
- 1—1 kilohm resistor (brown-black-red-gold)
- 1—4.7 kilohm resistor (yellow-violet-red-gold)
- 2—10 kilohm resistor (brown-black-orange-silver)
- 1—56 kilohm resistor (green-blue-orange-silver)
- 3—33 microfarad electrolytic capacitors (25-928)
- 1—1 kilohm potentiometer (10-1141)

## Procedure

1. Turn on the Trainer and adjust the positive (+) power supply voltage control for + 10 V DC. Measure the voltage with a multimeter. Turn off the Trainer. Set the range switch to low, 1X, or 100 (depending on Trainer), and the Frequency control knob to 1 kilohertz.
2. Turn on the oscilloscope. Preset the channel 1 input to AC, the VOLTS/CM to 1 volt and TIME/CM to 0.5 ms. Preset channel 2 input to AC, and the VOLTS/CM to 5 volts.
3. Construct the circuit shown in Figure 1-35. Use the wiring diagram shown in Figure 1-36. The experimental circuit is a common-emitter amplifier. The amplifier uses voltage divider bias, provided by the 56 kilohm resistor ( $R_2$ ) and the 10 kilohm resistor ( $R_3$ ). The 1 kilohm resistor ( $R_5$ ) provides emitter feedback. The 4.7 kilohm resistor ( $R_4$ ) serves as the output load resistor. The sine wave output from the signal generator is applied to the 1 kilohm potentiometer ( $R_1$ ).  $R_1$  will be used to control the amplitude of the AC voltage (sine wave) provided by the signal generator. This variable AC signal will be used as the input signal voltage ( $V_{IN}$ ). The sine wave is coupled to the input of the amplifier circuit through the 33 microfarad capacitor ( $C_1$ ).

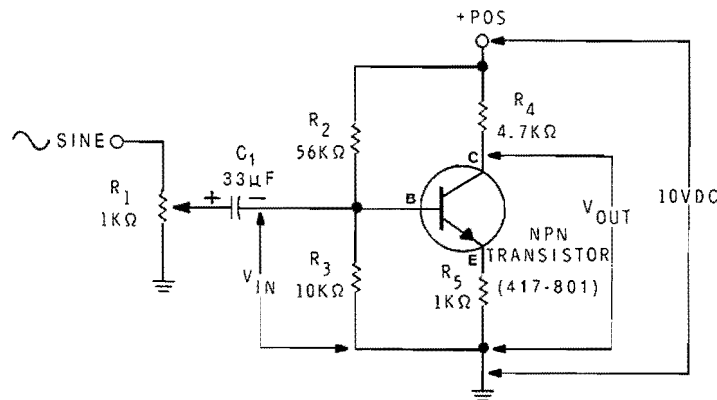


Figure 1-35  
Experimental circuit.

4. Connect channel 1 of your oscilloscope to the center terminal (wiper arm) of  $R_1$ . Adjust  $R_1$  for a 1 volt peak-to-peak signal. You may need to use the trigger level control on your oscilloscope to stabilize the displayed waveform.
5. Connect channel 2 of the oscilloscope to the transistor's collector and observe the output ( $V_{OUT}$ ) of the amplifier circuit.
6. Observe the change in  $V_{OUT}$  while increasing ( $R_1$  at minimum resistance) and decreasing ( $R_1$  at maximum resistance)  $V_{IN}$  by adjusting  $R_1$ . When  $V_{IN}$  increases  $V_{OUT}$  increases / decreases. \_\_\_\_\_  
 increases/decreases

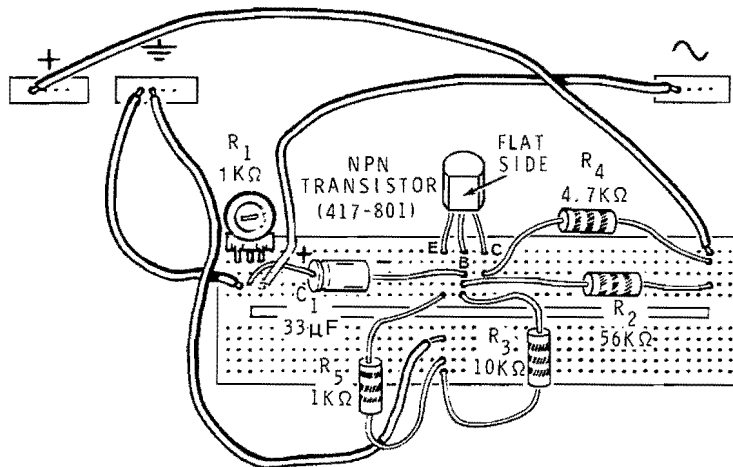


Figure 1-36

Wiring diagram for experimental circuit.

7. Rotate  $R_1$  to minimum resistance as you observe  $V_{OUT}$  on the oscilloscope. What happens to the positive and negative peaks of  $V_{OUT}$  at this time?

Why does this change in  $V_{OUT}$  occur? \_\_\_\_\_

8. Adjust  $R_1$  until  $V_{OUT}$  shows no signs of distortion at its positive or negative peaks. Then use your oscilloscope to measure the peak-to-peak value of  $V_{OUT}$ . Record this value below.

$V_{OUT} =$  \_\_\_\_\_ volts peak-to-peak.

9. Observe the input signal voltage ( $V_{IN}$ ) and measure the peak-to-peak value of  $V_{IN}$ . Connect the oscilloscope to the (+) side of  $C_1$ . Record this value below.

$V_{IN} =$  \_\_\_\_\_ volts peak-to-peak.

10. Now determine the voltage gain of the circuit by dividing the  $V_{OUT}$  value obtained in step 8 by the  $V_{IN}$  value obtained in step 9. Record your results below.

Voltage gain =  $\frac{V_{OUT}}{V_{IN}} =$  \_\_\_\_\_.

11. Now connect a 33 microfarad capacitor across emitter resistor  $R_5$ . Be sure to observe polarity when you do this (the positive side of the capacitor should connect to the transistor's emitter). This capacitor will act as a bypass capacitor across  $R_5$ . Also connect a 10 kilohm resistor between the center terminal (terminal 2) of potentiometer  $R_1$  and the 33 microfarad coupling capacitor ( $C_1$ ). This resistor will reduce the amount of input signal voltage that can be obtained from  $R_1$ .
12. Repeat steps 8, 9, and 10 as you did before, but record your new measurements and calculations below. Be sure you adjust  $R_1$  for minimum distortion as outlined in step 8.

$$V_{OUT} = \text{_____} \text{ volts peak-to-peak.}$$

$$V_{IN} = \text{_____} \text{ volts peak-to-peak.}$$

$$\text{Voltage gain} = \frac{V_{OUT}}{V_{IN}} = \text{_____}.$$

13. Now, turn off your Trainer and read the following discussion.

### Discussion of Steps 1 through 13

In this portion of the experiment, you applied a signal voltage to the circuit and increased its amplitude while observing the output signal. As  $V_{IN}$  increased,  $V_{OUT}$  should have likewise increased. However, when  $V_{IN}$  was increased to its maximum value (by adjusting  $R_1$ ), the positive and negative peaks of  $V_{OUT}$  should have flattened out. This clipping of the positive and negative peaks occurred because the amplifier was **over-driven**, thus causing the transistor to alternately saturate and cut off.

Next you adjusted  $V_{IN}$  to a low value which would not overdrive the amplifier. Then you measured both the peak-to-peak value of  $V_{OUT}$  and  $V_{IN}$ . Then you divided  $V_{OUT}$  by  $V_{IN}$  to determine the voltage gain. Since no bypass capacitor was connected across  $R_5$ , the voltage gain should have been approximately equal to the ratio of  $R_4$  to  $R_5$  which is equal to  $4700/1000$ , or 4.7. However, the voltage gain may have been slightly higher or lower than 4.7 because resistors  $R_4$  and  $R_5$  have a tolerance of plus or minus 5 percent. Also, there could be minor errors in your voltage measurements.

Next, you added a bypass capacitor across  $R_5$ . Then you again measured  $V_{OUT}$  and  $V_{IN}$  and determined the voltage gain. You should have found that the gain was much higher with the bypass capacitor installed. In fact, you probably obtained a voltage gain of 100 or more. The bypass capacitor prevented the input signal from being degenerated, thus allowing the transistor's beta to play an important role in determining the gain.

### Procedure (Continued)

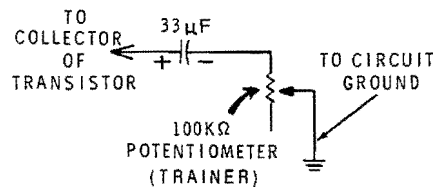


Figure 1-37

Components which must be added to determine the output resistance.

14. Turn on your Trainer. Adjust potentiometer  $R_1$  until  $V_{OUT}$  has a convenient peak-to-peak value, but be sure that the amplifier is not being overdriven so that distortion of the positive and negative peaks occur. Record this  $V_{OUT}$  value below.

$$V_{OUT} = \text{_____} \text{ volts peak-to-peak.}$$

15. Turn off your Trainer. Then connect the positive side of a 33 microfarad capacitor to the transistor's collector and connect the negative side of this capacitor to the 100 kilohm potentiometer. Then connect the center terminal (wiper) of the 100 kilohm potentiometer to circuit ground. These connections are shown in Figure 1-37.
16. Turn on the Trainer. While you observe  $V_{OUT}$  on your oscilloscope, adjust the 100 kilohm potentiometer until  $V_{OUT}$  has a peak-to-peak value that is exactly one-half of the value you recorded in step 14.

17. Turn off your Trainer and disconnect the 33 microfarad capacitor and 100 kilohm resistor that you previously installed as shown in Figure 1-37. Do not disturb the setting of the 100 kilohm potentiometer.
18. Use your multimeter to measure the resistance of the 100 kilohm potentiometer between the terminals connected to the capacitor and the center terminal. The resistance that you measure represents the output impedance of the circuit. Record this output resistance value below.

Output resistance = \_\_\_\_\_ kilohms.

19. Now, remove the 10 kilohm resistor that you previously inserted between potentiometer  $R_1$  and coupling capacitor  $C_1$ . Then connect the 100 kilohm potentiometer between potentiometer  $R_1$  and coupling capacitor  $C_1$  as shown in Figure 1-38.

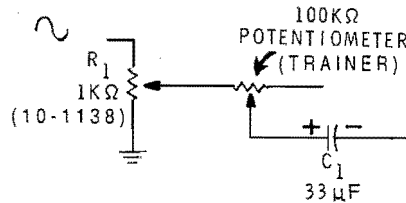


Figure 1-38

Circuit connections required to determine the input resistance.

20. Turn on your Trainer. Adjust the 100 kilohm potentiometer for minimum resistance. While observing  $V_{OUT}$  adjust potentiometer  $R_1$  to obtain a convenient  $V_{OUT}$  value. Be sure  $V_{OUT}$  is well below the point where the amplifier is over driven so distortion of the positive and negative peaks will not occur. Also, keep the  $V_{OUT}$  value low enough to obtain an output waveform that is a reasonably good sine wave. Record the peak-to-peak value of  $V_{OUT}$  below.

$V_{OUT}$  = \_\_\_\_\_ volts peak-to-peak.



21. While you observe  $V_{OUT}$  on your oscilloscope, turn the 100 kilohm potentiometer clockwise until the peak-to-peak value of  $V_{OUT}$  drops to exactly one-half of the value you recorded in step 20.
22. Turn off the Trainer and disconnect the 100 kilohm potentiometer. Do not disturb the setting of this potentiometer.
23. Use your multimeter to measure the resistance of the 100 kilohm potentiometer. The resistance that you measure represents the input resistance of the amplifier circuit. Record this input resistance value below.

Input resistance = \_\_\_\_\_ ohms.

### Discussion of Steps 14 through 23

In this portion of the experiment, you determined the input resistance and output resistance of the amplifier circuit. You determined the output resistance by connecting a variable resistor to the output of the circuit. When you adjusted this variable load so it dropped one-half of the output signal voltage, the resistance of the load was essentially equal to the output resistance of the circuit. Then you simply measured the value of the variable resistor to determine the output resistance.

You should have found that the output resistance was approximately equal to the value of the load resistor ( $R_4$ ), or 4.7 kilohms. The resistance of the load resistor is much lower than the output resistance of the transistor itself (which might be as high as 40 or 50 kilohms) and this resistor essentially determines the output resistance of the circuit. Load resistor  $R_4$  is effectively in parallel with (it shunts) the higher output resistance (the resistance between the collector and emitter) of the transistor as far as the AC signal is concerned. Also, since emitter resistor  $R_5$  is bypassed, it has no effect on the AC signal.

You used a similar procedure to measure the input resistance of the circuit. You inserted the same resistor in series with the input and again adjusted its value so that the output signal voltage dropped to one-half of its original value. At that time, the variable resistance was equal to the input resistance of the amplifier because it had reduced the input signal to one-half of its original value. You probably found that the input resistance was between 3 kilohms and 4 kilohms.

The input resistance of your amplifier circuit is primarily determined by the internal input resistance (the base-to-emitter resistance) of the transistor. However, the transistor's input resistance is essentially in parallel with voltage divider resistors  $R_2$  and  $R_3$  as far as the AC input signal is concerned. This parallel combination provides the overall input resistance of the circuit. Emitter resistor  $R_5$  had no effect on the overall input resistance because it was bypassed. If  $R_5$  was not bypassed, the input AC signal would see  $R_5$  in series with the transistor's internal input resistance and the overall input resistance of the circuit would be higher. However, your measurements were taken with  $R_5$  bypassed, which is the normal situation in a circuit of this type. Resistors  $R_2$  and  $R_3$  tend to lower the overall input resistance of the circuit since they effectively shunt the transistor's input resistance. However, your circuit is designed so that its base and collector currents are relatively low, so that it will operate well within safe limits. This means that the low input (base) current will cause the transistor's input resistance to be somewhat higher than might normally be expected.

### Procedure (Continued)

24. Make sure your circuit is wired in the original manner as shown in Figure 1-35. Adjust potentiometer  $R_1$  for maximum resistance so that  $V_{IN}$  will be zero.
25. Turn on your Trainer. Use your multimeter to measure the DC voltage across emitter resistor  $R_5$ . Record this voltage below.

Voltage across  $R_5$  = \_\_\_\_\_ V DC.

26. Now use your multimeter to measure the DC voltage across  $R_3$ . Record this voltage below.

Voltage across  $R_3$  = \_\_\_\_\_ V DC.

27. Now subtract the voltage reading obtained in step 25 (the voltage across  $R_5$ ) from the voltage reading obtained in step 26 (the voltage across  $R_3$ ). Record this voltage difference below.

Voltage difference = \_\_\_\_\_ V DC.

28. The voltage difference obtained in the previous step represents the forward voltage drop across the transistor. The value of this voltage drop indicates that the transistor is most likely made from

---

germanium/silicon

29. Turn off your Trainer and read the following discussion.

### **Discussion for Steps 24 through 29**

In this final portion of the experiment, you measured the voltage across emitter resistor  $R_5$  and resistor  $R_3$ . Then you subtracted one voltage reading from the other to obtain the forward voltage drop across the transistor's emitter junction. This forward voltage drop should have been approximately equal to 0.7 volts, thus indicating that the transistor is made of silicon.

Although you could also measure the forward voltage drop by connecting your voltmeter directly across the transistor's emitter junction, it is common practice to take voltage measurements with respect to circuit ground as you did in this experiment.

## UNIT SUMMARY

Amplifiers are usually classified as to primary function, circuit configuration, type of bias, or class of operation.

The primary function of any amplifier circuit is to amplify either voltage or power.

Most amplifier circuits can be divided into three basic configurations, depending on where the inputs and outputs are referenced: common emitter, common base, or common collector. (The word "common" in electronic circuits means reference, **usually** either to the circuit ground or earth ground).

The common emitter configuration provides high current gain, high voltage gain, and high power gain. It also has a low input impedance, high output impedance, and 180 degree phase inversion. As a result, it is the most widely used configuration.

The common base amplifier provides unity current gain and high voltage gain, but only medium power gain. It also has very low input impedance, very high output impedance, and no phase inversion.

The common collector amplifier provides unity voltage gain, high current gain, and low power gain. It also has high input impedance, low output impedance, and no phase inversion. This circuit is usually used as isolation between stages or to match low impedance output devices, thus coupling power with a minimum of loss.

Amplifiers can be biased with a passive network, either in series or parallel with the amplifying component. Biasing with a resistor in the emitter circuit lowers the overall gain but stabilizes the circuit, thus preventing thermal runaway, which would damage the transistor. A voltage divider biasing network in parallel with the transistor allows you to select an operating point independent of the input signal.

Lastly, amplifiers are classified according to the time of output signal compared to the time of input signal. These classes are listed as class A, AB, B, or C.

The class A amplifier is the most basic type of amplifier. This circuit has current flow for the complete 360 degrees of each input cycle. It is characterized by a minimum of signal distortion, low output power capability, and the lowest efficiency of the four classes. However, due to its faithful reproduction of input signals, it is widely used in audio equipment.

The class AB amplifier has an output for more than 180 degrees, but less than 360 degrees of its input signal, while the class B amplifier has an output for exactly 180 degrees of the input signal. Class C amplifiers have an output for less than 90 degrees of the input signal.

Class AB, B, and C circuit applications will be discussed in later units.

## UNIT EXAMINATION

The following multiple choice examination is designed to test your understanding of the material presented in this unit. Place a check beside the multiple choice answer (A, B, C, or D) that you feel is most correct. When you have completed the examination, compare your answers with the correct ones that appear after the examination.

1. All amplifiers may be classified as:
  - A. common-emitter or common-collector amplifiers.
  - B. voltage amplifiers or impedance amplifiers.
  - C. voltage amplifiers or power amplifiers.
  - D. class A or class B amplifiers.
  
2. An amplifier can provide both voltage gain and current gain when it is connected in the:
  - A. common-base configuration.
  - B. common-emitter configuration.
  - C. common-collector configuration.
  - D. emitter-follower configuration.
  
3. An amplifier's current gain will be slightly less than 1, but its voltage gain will be high when it is connected in the:
  - A. common-base configuration.
  - B. common-emitter configuration.
  - C. common-collector configuration.
  - D. emitter-follower configuration.
  
4. An amplifier's voltage gain will be less than 1, but its current gain will be high when it is connected in the:
  - A. common-base configuration.
  - B. common-emitter configuration.
  - C. common-collector configuration.
  - D. grounded base configuration.

5. The input and output signal voltages are  $180^\circ$  out of phase in:
  - A. the common-collector circuit only.
  - B. the common-base and common-collector circuits.
  - C. all three configurations.
  - D. the common-emitter circuit only.
  
6. If the transistor in a common-emitter circuit has an AC beta of 60 and the circuit provides an output signal voltage that is 100 times higher than the input signal voltage, the power gain of the circuit is equal to:
  - A. 60.
  - B. 6000.
  - C. 0.6.
  - D. 1.6.
  
7. If the same transistor (with a beta of 60) was connected in a common-collector circuit, the maximum power gain that the circuit could produce would be approximately equal to:
  - A. 60.
  - B. 6000.
  - C. 600.
  - D. 6.
  
8. A simple base-biased circuit can be formed with one voltage source and two resistors; however, this biasing arrangement is not practical because:
  - A. a high voltage gain cannot be obtained.
  - B. it can only be used with common-emitter circuits.
  - C. it can provide a current gain but not a voltage gain.
  - D. it has a high degree of thermal instability.
  
9. A circuit that has a high degree of thermal stability can be obtained by using:
  - A. two amplifier stages instead of one.
  - B. PNP transistor only.
  - C. negative feedback within the circuit.
  - D. positive feedback within the circuit.

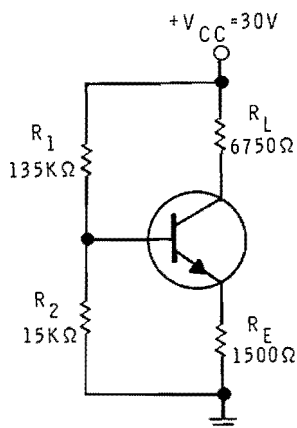
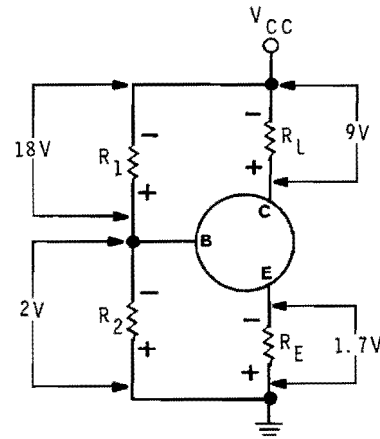


Figure 1-39  
Circuit for questions 10 through 13.

10. The practical common-emitter amplifier circuit shown in Figure 1-39 uses:
  - A. voltage-divider bias and collector feedback.
  - B. emitter and collector feedback.
  - C. voltage-divider bias along with collector and emitter feedback.
  - D. voltage-divider bias and emitter feedback.
  
11. The circuit in Figure 1-39 will have a voltage gain that is:
  - A. between 250 and 500.
  - B. greater than 20.
  - C. approximately equal to 4.5.
  - D. approximately equal to 9.
  
12. The AC voltage gain of the circuit in Figure 1-39 could be much higher if:
  - A.  $R_E$  had a higher resistance.
  - B. a bypass capacitor was connected across  $R_E$ .
  - C. a bypass capacitor was connected across  $R_2$ .
  - D. a capacitor was connected between the collector and ground.
  
13. If the circuit in Figure 1-39 is used to amplify signal frequencies that range from 20 to 2000 hertz, and a bypass capacitor is installed in the circuit, the bypass capacitor should have a value of approximately:
  - A. 50 microfarads.
  - B. 100 microfarads.
  - C. 200 microfarads.
  - D. 25 microfarads.



Figure 1-40  
Circuit for question 14.



14. If a voltmeter check of a common emitter amplifier resulted in the voltage reading shown in Figure 1-40, we could assume that the transistor in the circuit is:
- an NPN device which is made from silicon.
  - an NPN device which is made from germanium.
  - a PNP device which is made from silicon.
  - a PNP device which is made from germanium.
15. The biasing arrangement that provides the best thermal stability is:
- base biasing.
  - collector feedback biasing.
  - emitter feedback biasing.
  - a combination of voltage divider and emitter feedback bias.
16. A class A amplifier is biased so that its output current:
- flows for one-half the input AC cycle.
  - is very close to the saturation point.
  - is very close to the cutoff point.
  - is midway between its saturation and cutoff points.
17. If a class A amplifier receives an input signal that is too high, its output signal:
- will not be affected.
  - is likely to resemble the output from a class B amplifier.
  - will simply increase proportionally in amplitude.
  - will be distorted.
18. A class C amplifier usually operates with:
- no bias.
  - a small reverse bias voltage across its emitter junction.
  - a high forward bias across its emitter junction.
  - a forward-biased collector junction.

## EXAMINATION ANSWERS

1. C — All amplifiers are used either as voltage amplifying devices or as power amplifying devices.
2. B — The common-emitter circuit is the only configuration which provides both voltage and current gain which results in the highest possible power gain.
3. A — The common-base transistor has a current gain ( $\alpha$ ) that is less than 1, but it still can provide a voltage gain when its output current flows through a high load resistance.
4. C — The common-collector transistor has approximately the same current gain as the common-emitter transistor. However, the output voltage tends to follow the input voltage variations but remains just slightly lower than the input voltage.
5. D — Only the common-emitter circuit produces a  $180^\circ$  phase reversal between input and output.
6. B — The power gain is equal to the beta value (current gain), or 60 times the voltage gain. Since the output voltage is 100 times greater than the input voltage the voltage gain is equal to 100. Therefore, the power gain is equal to  $60 \times 100$ , or 6000.
7. A — The current gain of the common-collector circuit would be equal to the beta value plus 1, which is still approximately equal to 60. However, the voltage gain of the circuit would be approximately equal to 1 (it can never be higher). This means that the power gain could not exceed a value of  $60 \times 1$ , or 60.
8. D — The base-biased circuit is sensitive to temperature variations and is therefore highly unstable.
9. C — Negative feedback can be used to counteract the changes that are caused by temperature variations.
10. D — The voltage-divider bias is provided by  $R_1$  and  $R_2$  and the emitter feedback is provided by  $R_E$ . Resistor  $R_L$  is the output load resistor.

11. C — Since emitter resistor  $R_E$  is not bypassed with a capacitor, the voltage gain of the circuit is approximately equal to  $R_L$  divided by  $R_E$ . Therefore the voltage gain is equal to 6750 divided by 1500, or 4.5.
12. B — A bypass capacitor would maintain a constant bias voltage across  $R_E$  and prevent degeneration of the input signal.
13. A — Since  $R_E$  is equal to 1500 ohms and the lowest frequency to be amplified is 20 hertz, the value of the coupling capacitor would be equal to:

$$C = \frac{1600000}{(20)(1500)} = 53.3 \mu\text{F (approximately } 50 \mu\text{F)}$$

14. D — The polarity of the voltage drops show that the transistor's base is more negative than its emitter. Therefore, the transistor must have an N-type base and a P-type emitter (it must be a PNP unit) in order to be forward biased by this voltage. Also, the polarities show that current flows into the collector and out of the emitter. These are also the conditions which must exist in a PNP circuit. The voltage drop across the base and emitter (across the emitter junction) is equal to 2 minus 1.7, or 0.3 volts, which is a typical value for a germanium transistor.
15. D — The combination of emitter feedback and voltage divider bias provides excellent thermal stability.
16. D — A class A amplifier is usually biased midway between saturation and cutoff so that its output current will flow during the entire input AC cycle and at the same time vary in a linear manner.
17. D — Distortion will most likely occur since the amplifier will be overdriven. This usually causes the positive and negative peaks of the output current to be clipped.
18. B — A small reverse-bias voltage is applied to the emitter junction so that the circuit will be biased beyond the cutoff point.

*Unit 2*

**TYPICAL AMPLIFIERS**

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## INTRODUCTION

Now that you are familiar with basic amplifier circuits, it is time to expand your knowledge by examining some amplifier circuits that are designed for specific applications. The characteristics of various types of amplifiers vary greatly, and it is necessary to understand the purpose and characteristics of each type that you must work with.

In this unit we will examine some of the important amplifiers that are used extensively in various types of electronic equipment. These amplifier circuits are categorized according to frequency. We will begin by examining the circuits that are used to amplify DC and low frequency AC signals, direct current amplifiers and audio amplifiers. We will then proceed to circuits which operate at progressively higher frequencies: intermediate frequency amplifiers, radio frequency amplifiers, and video amplifiers.

After completing this unit, you will be familiar with a wide range of amplifier characteristics. This information will give you a well rounded knowledge of amplifiers, which should help you greatly when you work with various types of electronic equipment.

## UNIT OBJECTIVES

When you have completed this unit, you should be able to:

1. Explain the function and operation of DC, audio, video, power, RF, and IF amplifiers.
2. Identify the Darlington arrangement and tell how it operates.
3. Describe how a basic differential amplifier can be used to amplify a single input signal and explain why the circuit offers a high degree of temperature stability.
4. Identify three different types of power amplifiers.
5. Explain how volume and tone can be controlled in an audio amplifier.
6. Describe how the video amplifier obtains its wide bandwidth.
7. List three ways of increasing the bandwidth in RF and IF amplifiers.
8. Explain the basic differences between IF and RF amplifiers.
9. Describe the purpose of neutralization.
10. Explain how a frequency multiplier works.

## UNIT ACTIVITY GUIDE

	Completion Time
<input type="checkbox"/> Read "Direct Current Amplifiers."	_____
<input type="checkbox"/> Complete Programmed Review Frames 1 through 14.	_____
<input type="checkbox"/> Perform Experiment 3.	_____
<input type="checkbox"/> Read "Audio Amplifiers."	_____
<input type="checkbox"/> Complete Programmed Review Frames 15 through 26.	_____
<input type="checkbox"/> Perform Experiment 4.	_____
<input type="checkbox"/> Read "Video Amplifiers."	_____
<input type="checkbox"/> Complete Programmed Review Frames 27 through 39.	_____
<input type="checkbox"/> Read "RF and IF Amplifiers."	_____
<input type="checkbox"/> Complete Programmed Review Frames 40 through 53.	_____
<input type="checkbox"/> Complete Unit Examination.	_____
<input type="checkbox"/> Check Examination Answers.	_____



## DIRECT CURRENT AMPLIFIERS

When it is necessary to amplify DC or slowly changing AC signals, a direct current, or DC, amplifier is normally used. However, this type of amplifier may have a frequency response that extends from zero (DC) to as much as several thousand hertz, or even to several million hertz.

DC amplifiers are often used to amplify the DC or low frequency AC voltages or currents produced by transducers or sensors. Transducers are used to measure heat, light, pressure, or vibration, and produce corresponding electrical signals, that are often very weak and must be amplified to a suitable level before they can be processed or used. In other applications, DC amplifiers are used for various pulse-type signals that cannot be handled by amplifiers designed to respond only to AC signals.

All DC amplifiers are constructed in exactly the same manner. In certain applications, only one stage of amplification (one transistor and its associated components) may be required. In other applications, several stages may be required to raise the input signal to the required level. In any case, no matter how simple or complex the amplifier is, it must be able to amplify constant (DC) voltages or currents. This means that capacitors and transformers cannot be connected in the signal path. Such components will pass only the AC signals and block the DC signals. When multiple DC amplifier stages are used, they must be either directly coupled or coupled with components that can pass both DC and AC signals.

## Basic Circuit Configuration

A simple DC amplifier can be designed with the basic biasing techniques described in the previous unit. A common-emitter amplifier (the most popular arrangement), for example, could use the voltage divider bias and emitter feedback shown in Figure 2-1. In this basic circuit, resistors  $R_1$  and  $R_2$  serve as the voltage divider and  $R_4$  provides the emitter feedback to insure thermal stability. Load resistor  $R_3$  works in conjunction with the transistor to develop an output signal voltage. Notice that the circuit does not contain coupling capacitors. The input signal is applied directly to the transistor's base and the output signal is taken directly from the collector. Therefore, the circuit can respond to either DC or AC signals.

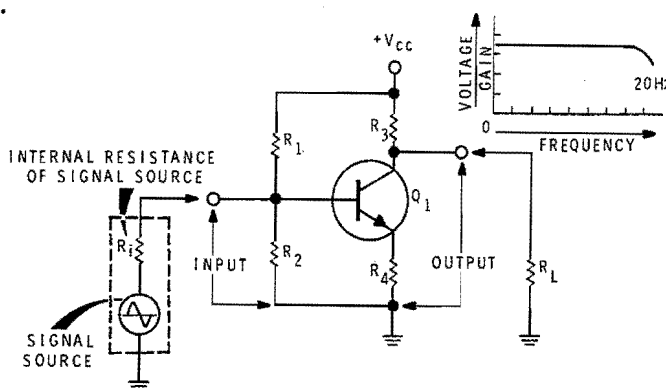


Figure 2-1  
A basic DC amplifier and its frequency response curve.

Since no coupling capacitors are used in this circuit, its bias voltages and currents are affected by any external components that are connected to its input or output. For example, when a signal is connected to the input, the internal resistance ( $R_i$ ) of the source is effectively connected across  $R_2$ . This changes the equivalent resistance of the bias network, and therefore, the base voltage and base current are also changed. The altered base current, in turn, changes the collector current, the voltage across  $R_3$ , and the output voltage. When a load resistance ( $R_L$ ) is connected to the output, it effectively shunts the transistor and  $R_4$ , thus causing a change in the resistance between the transistor's collector and ground. This also causes the output voltage to change accordingly.

Since the signal source and output load resistance can affect the bias voltages and the operating point of the circuit in Figure 2-1, the resistance of the source and the output load resistance must be taken into consideration when you determine the various component values needed to properly bias the transistor. A simple DC amplifier like the one shown in Figure 2-1 must provide the necessary amplification while working in conjunction with any external components.

The signal source in Figure 2-1 could be any device that produces a DC or AC signal. In some applications, the internal resistance of the signal source may even serve as the lower portion of the voltage divider (replace  $R_2$ ). This type of arrangement allows the signal source to help provide the necessary bias voltages as well as generate the input signal. The output load resistance ( $R_L$ ) is usually not a fixed resistor as shown. The resistor symbol is used to represent any resistive load which might be connected to the circuit. In most cases, the resistive load is actually the input resistance of another amplifier stage.

The DC amplifier circuit in Figure 2-1 can provide both voltage and current amplification, although it is used primarily as a voltage amplifier. The circuit can provide a uniform voltage gain for both DC and AC signals, and a typical frequency response curve is also shown in the Figure. Notice that the voltage gain remains essentially constant up to a certain maximum frequency, and then it drops off. This reduction in gain ultimately occurs because the transistor's beta eventually drops off to a very low value (depending on the type of transistor used) after a certain frequency is reached. However, until this upper frequency limit is reached, the voltage gain of the amplifier is essentially determined by the ratio of  $R_3$  to  $R_4$ , as explained in the previous unit. For example, if  $R_3$  is equal to 5000 ohms and  $R_4$  is equal to 1000 ohms, the voltage gain of the amplifier must be equal to 5000/1000 or 5. The gain of the circuit can be determined in this manner as long as a bypass capacitor is not connected across  $R_4$ .

Since the circuit in Figure 2-1 is a common-emitter amplifier, it provides an output signal voltage that is 180 degrees out of phase, with its input signal voltage. The application of a more positive DC voltage to the base of the transistor would cause the NPN transistor to conduct harder, which, in turn, would cause the output collector voltage to decrease. Likewise, a less positive DC voltage at the base would cause the transistor to conduct less which, in turn, would cause its output collector voltage to increase, or become more positive. The amount of output voltage change that takes place is determined by the voltage gain of the circuit.

## Multiple-Stage Amplifiers

In many applications, one stage of DC amplification is not enough and two or more stages are connected together to form a multiple-stage amplifier that can provide a much higher overall gain. However, this must be done in a way that will allow both DC and AC signals to pass from one stage to the next. The stages must also be connected in a way that will prevent one stage from affecting the operation of the next. Various techniques as described below, can be used to couple these DC amplifier stages.

Figure 2-2 shows a simple two-stage amplifier with the output of one stage coupled directly to the input of the next. Notice that resistors  $R_1$  through  $R_4$  and transistor  $Q_1$  form the first stage, which is identical to the amplifier circuit in Figure 2-1. The second stage consists of transistor  $Q_2$  and resistors  $R_5$  and  $R_6$ . A voltage divider ( $R_1$  and  $R_2$ ) is used with the first stage but a similar arrangement is not needed for the second stage, since the base of  $Q_2$  is directly coupled to the collector of  $Q_1$ .

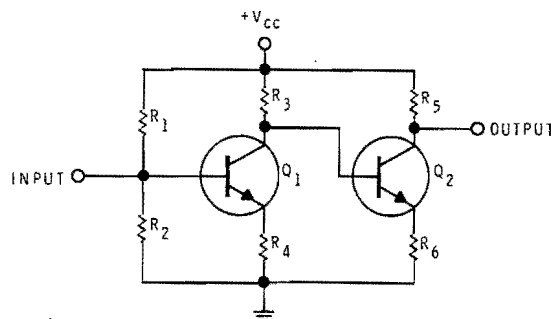


Figure 2-2  
A two-stage direct-coupled DC  
amplifier.

The input (DC or AC) signal is amplified by the first stage and appears as a much larger signal at the collector of  $Q_1$ . It is then applied to the base of  $Q_2$  where it is further amplified. The overall voltage gain of the amplifier is equal to the product of  $Q_1$ 's voltage gain and  $Q_2$ 's voltage gain. For example, if  $Q_1$  has a voltage gain of 10 and  $Q_2$  has a voltage gain of 10, the overall voltage gain would be 100. Also, since each stage is a common-emitter amplifier, it produces a voltage phase shift of 180 degrees. This means that the total phase shift is 360 degrees, which is the same as 0 degrees. Therefore, the amplified output signal is in phase with the input signal.

Although a direct-coupled amplifier like the one in Figure 2-2 can provide gain, it is difficult to obtain suitable bias voltages for both transistors at the same time. In a common-emitter stage, the voltage required between the base of the transistor and ground is much lower than the voltage normally available between the collector of the transistor and ground. This makes it difficult to simply connect the base of one transistor to the collector of another. In order to do this, it is necessary to adjust the bias voltages on the first stage so its collector voltage is low enough to provide the proper base voltage for the second stage. This results in a compromise setting which requires at least one of the transistors to operate with reduced efficiency.

Two complete amplifier stages with voltage divider bias can be coupled together if a component is inserted between them to prevent one stage from affecting the other. One method used to accomplish this is shown in Figure 2-3. This amplifier contains two stages that are identical to the amplifier stage in Figure 2-1. These two stages are coupled together with a fixed resistor,  $R_5$ , which is connected between the collector of  $Q_1$  and the base of  $Q_2$ . The amplified signal at the collector of  $Q_1$  must pass through this resistor to reach the base of  $Q_2$ .

The coupling resistor ( $R_5$ ) must have a high resistance value so that one stage will not seriously affect the operation of the other. In other words, when the two stages are joined by a high resistance, their normal bias voltages and currents are not significantly changed. However, the high coupling resistance causes a significant loss in signal amplitude between the two stages, thus causing a considerable reduction in the overall voltage gain of the circuit.

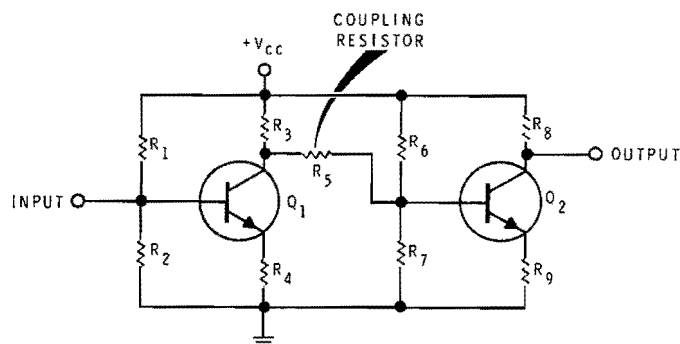


Figure 2-3

A two-stage DC amplifier which uses a coupling resistor.

The voltage gain of the circuit in Figure 2-3 can be greatly increased if the coupling resistor is replaced, as shown in Figure 2-4, with a zener diode. This diode, which is described below, performs the same basic function as the coupling resistor but without causing a significant loss in signal amplitude.

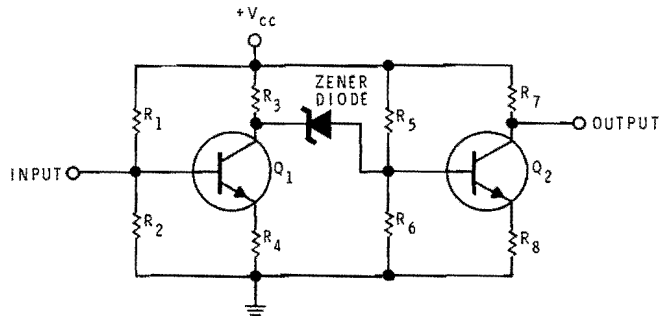


Figure 2-4

A two-stage DC amplifier which uses a zener diode.

A zener diode is a special type of PN junction diode that must be subjected to reverse bias voltage. When the reverse current through this diode reaches a sufficiently high value, the diode will “avalanche.” This means that the internal resistance of the diode will change in such a manner that the reverse voltage across the device will remain constant even though the reverse current continues to increase.

The zener diode in Figure 2-4 is connected in the reverse-biased direction and the reverse current through it is high enough to make it operate continuously in its avalanche region. The voltage at the collector of  $Q_1$  is usually several volts higher than the voltage at the base of  $Q_2$ . Therefore, a zener diode must be chosen that will maintain the proper voltage between  $Q_1$  and  $Q_2$ .

The input signal voltage is amplified by the first stage and appears between the collector of  $Q_1$  and ground. This amplified signal is applied across the zener diode and  $R_6$  (these two components are effectively in series). The voltage across the diode plus the voltage across  $R_6$  must be equal to the voltage between the collector of  $Q_1$  and ground at any given instant. However, since the voltage across the diode remains essentially constant, any voltage change between the collector of  $Q_1$  and ground will appear undiminished across  $R_6$ . Therefore, the signal voltage from the first stage is coupled through the zener diode and developed across  $R_6$  with no significant loss in amplitude. This voltage across  $R_6$ , in turn, controls the base current of  $Q_2$ , which provides additional gain.

Like the circuit in Figure 2-2, the circuit in Figure 2-4 has an overall voltage gain which is equal to its first stage gain times its second stage gain. The circuit in Figure 2-3, however, has an overall voltage gain that is much lower than the product of its individual stage gains. Although these three circuits contain only NPN transistors, the same basic circuits can be formed with PNP transistors. However, with PNP devices, it is necessary to reverse both the zener diode and the polarity of the voltage source ( $V_{cc}$ ).

A two-stage DC amplifier can also be formed as shown in Figure 2-5, with one NPN transistor ( $Q_1$ ) and one PNP transistor ( $Q_2$ ). This type of circuit, commonly referred to as a **complementary amplifier**, is similar to the circuit of Figure 2-2. PNP transistor  $Q_2$  is reversed so its emitter is connected to  $R_5$  and its collector is connected to  $R_6$ . Therefore, resistor  $R_5$  now serves as an emitter resistor for  $Q_2$  while  $R_6$  is used as a collector load resistor.

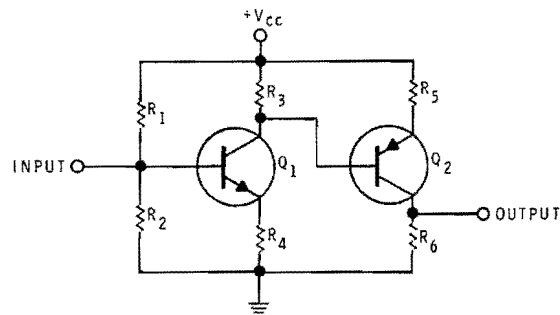


Figure 2-5

A complementary DC amplifier.

As with the previous circuits, the amplified input signal voltage appears between the collector of  $Q_1$  and ground. However, a corresponding signal voltage is also developed across  $R_3$ , between the collector of  $Q_1$  and  $V_{cc}$ . This voltage, which is applied directly to the base of  $Q_2$  and resistor  $R_5$ , controls the base current through  $Q_2$ , enabling  $Q_2$  to provide additional amplification. The signal voltage that appears between the collector of  $Q_2$  and ground (across load resistor  $R_6$ ) is used as the output signal.

Since the signal voltage is applied to the base of  $Q_2$ , and an output signal is obtained from its collector, the second amplifier stage in Figure 2-5 functions as a common-emitter amplifier just like the first stage. In fact, the complementary amplifier functions in basically the same manner as the direct-coupled circuit shown in Figure 2-2. The only difference is that  $Q_2$  is a PNP transistor and its emitter and collector leads are reversed so it will be properly biased.

The first stage in the complementary circuit has a voltage gain that is determined by the ratio of  $R_3$  to  $R_4$  ( $R_3/R_4$ ), while the second stage has a voltage gain that is equal to the ratio of  $R_6$  to  $R_5$  ( $R_6/R_5$ ). The overall gain of the circuit is equal to the product of the first and second stage gains, just as it would be for the circuit of Figure 2-2.

### DARLINGTON AMPLIFIERS

Figure 2-6 shows how two transistors can be connected together so they function as a single unit. This method of connecting transistors together is referred to as a **Darlington** arrangement. Although the two transistors in this circuit are NPN devices, the same basic arrangement can be formed with PNP transistors.

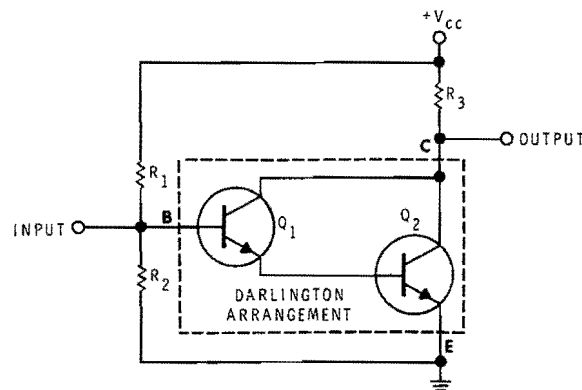


Figure 2-6

A DC amplifier which uses a Darlington arrangement.

The Darlington arrangement is formed by connecting the emitter and collector leads of one transistor ( $Q_1$ ) to the base and collector leads of a second transistor ( $Q_2$ ) as shown. This allows the emitter current of  $Q_1$  to serve as the base current for  $Q_2$ . When the base current of  $Q_1$  is varied, the emitter current of  $Q_1$  and the base current of  $Q_2$  will both vary, causing the collector current of  $Q_2$  to also vary. Therefore,  $Q_1$  is used to control the conduction of  $Q_2$ .

When two transistors are connected in the Darlington arrangement, or as "a Darlington pair," they effectively function as a single transistor which has emitter (E), base (B), and collector (C) leads as shown in Figure 2-6. The beta (current gain) of the first transistor is effectively multiplied by the beta of the second transistor so that the overall current gain is approximately equal to the product of the two beta values.



The Darlington arrangement in Figure 2-6 functions as a high-gain NPN transistor. This can be used in place of a single NPN transistor in various applications. In Figure 2-6, the Darlington arrangement is used in a simple DC amplifier circuit which can provide a very high voltage gain. Resistors  $R_1$  and  $R_2$  provide voltage divider bias and  $R_3$  serves as the collector load resistor. No emitter resistor is used in this circuit since it would reduce the overall voltage gain of the circuit considerably and take away the primary advantage that the Darlington circuit has to offer. Although it is true that the emitter resistor could be bypassed with a capacitor, a bypass capacitor would serve no useful function as far as DC signals are concerned. Bypass capacitors are useful only in preventing degeneration of AC signals.

In practice, the amplifier circuit of Figure 2-6 may provide slightly less gain than expected. This is because it is usually necessary to adjust the bias voltages so the input base current of  $Q_1$  is very low. Low base current is necessary to keep the emitter current of  $Q_1$  to a relatively low value, since this emitter current also serves as the base current for  $Q_2$ . If the input base current is allowed to increase by a significant amount, transistor  $Q_2$  can be quickly driven into saturation. Since  $Q_1$  must operate with a base current that is lower than normal, its beta value is also likely to be lower than normal. This means that the overall current gain of the Darlington arrangement will be reduced which, in turn, reduces the voltage gain.

### **DIFFERENTIAL AMPLIFIERS**

The various multiple-stage amplifiers and the Darlington circuit previously described all have one important disadvantage: they exhibit a high degree of thermal instability. In each multiple-stage amplifier, the bias voltages in the second stage are partly determined by the bias voltages in the first stage. Temperature variations can cause the bias voltages and currents in the first stage to vary; and this, in turn, can cause the DC output voltage of the first stage to change or drift from its steady-state or no-signal value. The second stage will amplify this voltage change in the same way that it would amplify a DC signal. Therefore, temperature changes can have a cumulative effect in a multiple-stage DC amplifier. Each succeeding stage is affected to a greater extent, because of the amplified voltage changes.

In a three or four stage DC amplifier, the final stage may be so adversely affected by the cumulative change in bias voltages and currents that it might be driven into saturation or cutoff. In such a case, the output stage could not amplify the normal DC signal applied to the amplifier. A similar situation can also occur in the Darlington circuit, since any current variations produced in the first transistor by temperature changes will be further amplified by the second transistor.

In applications where high gain as well as good temperature stability is required, another type of amplifier called the **differential**, or **difference**, amplifier is generally used. Unlike a conventional amplifier, which has only one input and one output, the differential amplifier has two separate inputs and it can provide either one or two outputs.

A basic differential amplifier is shown in Figure 2-7. Notice that the circuit contains two transistors ( $Q_1$  and  $Q_2$ ) which share the same emitter resistor ( $R_4$ ), although each transistor has its own collector load resistor ( $R_3$  and  $R_5$ ). Resistors  $R_1$  and  $R_2$  provide voltage divider bias for  $Q_1$ , and  $R_6$  and  $R_7$  provide voltage divider bias for  $Q_2$ . One input signal can be applied to  $Q_1$  while a second input signal can be applied to  $Q_2$ .

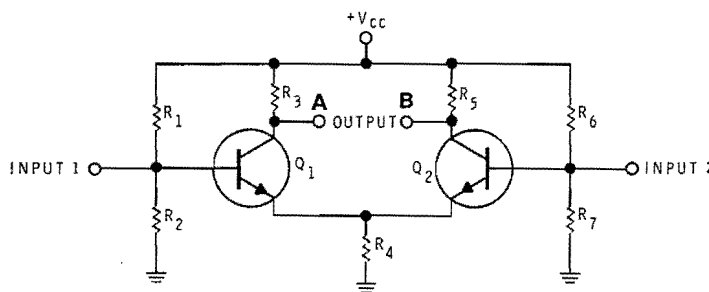


Figure 2-7

A basic differential amplifier.

If transistors  $Q_1$  and  $Q_2$  have electrical characteristics that are identical and the bias voltages applied to each transistor are equal, the collector currents through the two transistors must also be equal. This means that the voltage between the collector of  $Q_1$  (point A) and ground must be equal to the voltage between the collector of  $Q_2$  (point B) and ground. Under these conditions, the difference of potential between points A and B must be zero. These are the conditions which should exist in an ideal differential amplifier when no input signal is applied to either transistor. At this time, the circuit can provide an output between points A and B which is equal to zero, or it can provide two separate outputs (between points A or B and ground) which remain at some steady-state or no-signal value.

If a signal voltage is applied to only one input (either input may be used), the differential amplifier can be used as a conventional amplifier. For example, if a signal is applied to input 1, transistor  $Q_1$  amplifies the signal and produces an output between its collector (point A) and ground just like an ordinary amplifier stage. However, emitter resistor  $R_4$  is not bypassed and a small signal voltage will appear across this resistor. This small signal voltage is applied to the emitter of transistor  $Q_2$  and this transistor functions as if it were connected as a common-base amplifier configuration. Transistor  $Q_2$  amplifies the signal at its emitter and produces an output signal which appears at its collector (point B). Therefore, the differential amplifier is more versatile than a conventional amplifier since it can provide two separate outputs between point A and ground and point B and ground. Furthermore, the two output signals are 180 degrees out of phase with each other.

The differential amplifier is seldom used as a conventional amplifier to provide one or even two outputs with respect to ground. Instead, the output signal voltage is usually obtained between the collector of  $Q_1$  (point A) and the collector of  $Q_2$  (point B). Since the voltage at point A is 180 degrees out of phase with the voltage at point B, a substantial output voltage is developed between these two points. Furthermore, the signal voltage can be applied to either input and the output signal voltage can still be obtained between points A and B.

A differential amplifier can only provide its optimum degree of temperature stability when the output signal voltage is obtained between points A and B. This high degree of stability is obtained because:

- A.  $Q_1$  and  $Q_2$  are mounted close together so that both transistors are equally affected by the change in temperature.
- B. The collector currents of  $Q_1$  and  $Q_2$  tend to increase or decrease by the same amount as temperature increases or decreases respectively.

Therefore, the voltages between point A and ground and point B and ground tend to decrease or increase with temperatures by the same amount. This causes the difference of potential between points A and B to remain essentially constant. The voltage fluctuations between these two points occur only as a result of a signal amplification.

This type of amplifier is more stable and more versatile than any of the circuits that were described previously. In some applications, both inputs are used simultaneously to compare the amplitude of two signals, and an amplified output voltage is produced between points A and B that is proportional to the difference between the two input signals. As the difference between the two input signals becomes greater, the output signal voltage increases. If the two input voltages are equal, the output voltage is equal to zero. This is why the circuit is referred to as a difference or differential amplifier.

The differential amplifier is used extensively in electronic equipment to amplify as well as compare the amplitudes of both DC and AC signals. In applications where one differential amplifier circuit cannot provide the required gain, it is possible to connect two or more circuits together to obtain a higher overall gain. In other applications it is possible to use a differential amplifier in the critical first stage, and then use conventional amplifier circuits in the succeeding stages where temperature stability is not as important.

Integrated circuits (IC's) use differential amplifiers extensively. In fact, they are usually constructed in IC form and are only occasionally constructed from discrete (individual) components. When the circuit is constructed in IC form, it is possible to obtain transistors with closely matched characteristics. Furthermore, the transistors can be very close to each other so that they will be equally affected by temperature variations.

Because of their versatility and temperature stability, differential amplifiers are perhaps the most important type of DC amplifier. Our discussion has only touched on the most important and the most basic characteristics of these circuits and essentially serves as an introduction. Later in this course, the differential amplifier will be described in greater detail and its most important applications will be extensively reviewed.

## Programmed Review

This review is prepared in a programmed instruction (P. I.) format. It will enhance your understanding of the material presented in this section. Read each of the numbered frames carefully and fill in the missing blanks at the bottom of each frame. The correct answer appears in parentheses at the beginning of the next frame.

1. A direct current amplifier is a circuit that can be used to amplify \_\_\_\_\_ or \_\_\_\_\_ signals.
2. (DC or AC) A simple, common-emitter DC amplifier can be formed using voltage divider bias and emitter feedback. However, no coupling capacitors can be used in the circuit since they will effectively block \_\_\_\_\_ signals.
3. (DC) The signal source and output load resistance can be directly connected to the common-emitter amplifier, but they affect the bias voltages and currents in the circuit. This means that the amplifier must be designed to work in conjunction with the \_\_\_\_\_ and the \_\_\_\_\_.
4. (signal source, output load resistance) The common-emitter circuit provides a phase shift of 180 degrees. Therefore, when the voltage applied to the input or base of the transistor becomes more positive, the output voltage at the collector becomes more \_\_\_\_\_.
5. (negative) Two or more amplifier stages can be coupled together to obtain a higher overall voltage \_\_\_\_\_.

6. (gain) Common-emitter amplifier stages may be directly coupled together. Although it is difficult to obtain the necessary bias voltages when using this technique, a high overall voltage gain can be obtained since the overall gain is equal to the \_\_\_\_\_ of the individual stage gains.
7. (product) Common-emitter amplifier stages may be coupled together with a coupling resistor. However, the coupling resistor attenuates the DC signal and causes the amplifier to have a somewhat lower overall voltage \_\_\_\_\_.
8. (gain) However, a higher overall voltage gain can be obtained if the coupling resistor is replaced with a component that can vary its internal resistance in a manner that will allow it to maintain a constant voltage drop. Such a component is referred to as a zener \_\_\_\_\_.
9. (diode) Common-emitter stages that contain both types of transistors may be directly coupled to form a complementary amplifier. This type of amplifier uses an NPN transistor in one stage and a \_\_\_\_\_ transistor in the other stage.
10. (PNP) A high-gain amplifier can be formed by using two transistors in a Darlington arrangement. The Darlington arrangement acts like a single \_\_\_\_\_ with a very high beta.
11. (transistor) Although the multiple-stage amplifier previously mentioned can provide a substantial voltage gain, these circuits are affected by changes in temperature and thus exhibit a high degree of thermal \_\_\_\_\_.

12. (instability) The thermal instability of multiple-stage amplifiers is significant because temperature variations can cause the output voltage of the first stage to vary; and this shift in output voltage is further amplified by the remaining \_\_\_\_\_.

13. (stages) In applications where stability is extremely important, a unique circuit with multiple inputs and outputs is often used. This circuit can amplify a single input voltage or it can compare two input voltages and provide an amplified output signal that is proportional to the difference between the two inputs. This circuit is called a \_\_\_\_\_ amplifier.

14. (differential or difference) The differential amplifier must use two transistors that have nearly identical characteristics; and these transistors must be close together so each is equally affected by changes in \_\_\_\_\_.

(temperature)

## EXPERIMENT 3

### DC Amplifier

**OBJECTIVES:** *Demonstrate how the input resistance of an amplifier is affected by the Beta of the transistor and the value of the emitter resistor.*

*Demonstrate how Beta can be increased with the Darlington circuit.*

### Introduction

An important characteristic of any amplifier is its input resistance. In Unit 1, you saw that the input resistance of a common collector amplifier is approximately equal to the transistor's Beta multiplied by the emitter resistance. That is,

$$R_{in} = \text{Beta} \times R_E.$$

An interesting way to demonstrate this is to use  $R_{in}$  as the resistance in an RC circuit. By changing the value of Beta and the value of  $R_E$ , we can change the  $R_{in}$  time constant. By carefully measuring this time constant, we can determine the affect on  $R_{in}$ . **Read the entire procedure before performing the experiment.**

### Material Required

Heathkit Analog Trainer  
Oscilloscope (dual channel preferred)  
Multimeter  
1—100 ohm resistor (brown-black-brown-silver)  
2—1000 ohm resistor (brown-black-red-gold)  
1—10 kilohm resistor (brown-black-orange-gold)  
1—100 kilohm resistor (brown-black-yellow-silver)  
1—100 picofarad capacitor  
1—1000 picofarad (.001  $\mu$ F) capacitor  
1—Silicon diode 1N4149 (56-56)  
1—Darlington transistor (417-222) 2N5308  
2—NPN transistor (417-801) MPSA20



## Procedure

1. While the POWER switch on your Trainer is off, construct the RC filter circuit shown in Figure 2-8. Notice that  $Q_4$  and  $R_{18}$  are inside the Trainer and are shown for explanation purposes only. Then wire the filter circuit to the Generator SQUARE output on the Trainer. When the wiring is complete and correct, turn on the Trainer. NOTE: As a general rule, it is a good idea to always turn the Trainer off each time you are making major changes in circuitry.

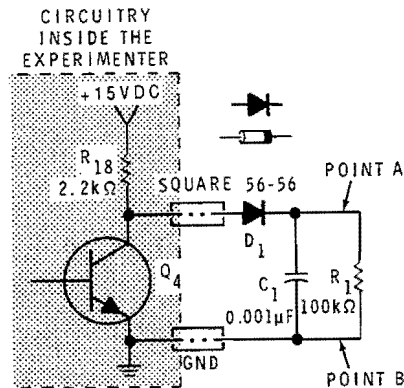


Figure 2-8

Circuit for steps 1 through 10.

2. Turn on your oscilloscope and set the controls as follows:
  - TRIGGERING — INT/EXT/LINE: INT position
  - TRIGGERING — +/-: +
  - TRIGGERING — AC/DC: DC
  - TIME/CM: 200  $\mu$ s (0.2ms)
3. Connect the ground lead of the oscilloscope to point B in the circuit. Connect channel 1 of the oscilloscope to point A.
4. Adjust the Generator controls on the Trainer as follows.
  - RANGE — Set to LOW (1X or 100 depending on Trainer)
  - FREQ — Set midway between 200 Hz and 1 kHz

5. Refer to Figure 2-9 and adjust the oscilloscope's HORIZ POS control so the trace starts on the left. Then adjust the VERT POS, VOLTS/CM, and VARIABLE controls so the entire trace covers exactly 5 centimeters vertically. The FREQ control on the Trainer should be adjusted so point C is placed exactly as shown in Figure 2-9.

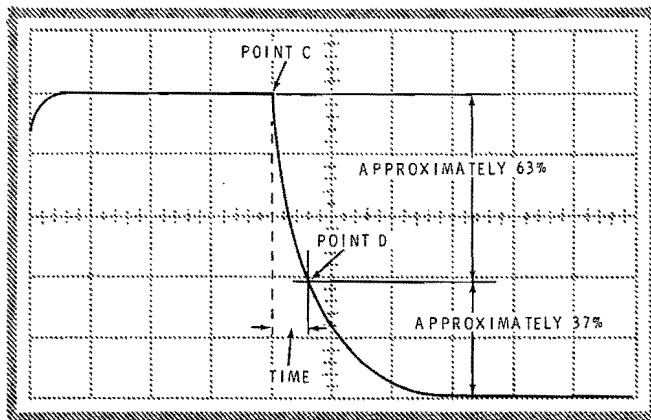


Figure 2-9  
Waveform viewed in step 5.

6. Measure the time required for the trace to fall from point C to point D as shown in Figure 2-9. D is the point at which the waveform falls to 37% of its maximum amplitude.

Time = \_\_\_\_\_  $\mu$ s

7. Remove the 100 kilohm resistor and replace it with a 10 kilohm resistor.
8. Set the oscilloscope's TIME/CM switch to 20 microseconds/centimeter and adjust the FREQ control on the Experimenter so point C is placed exactly as shown in Figure 2-9. Switch the RANGE switch to HIGH on the Experimenter if necessary to obtain the proper trace. Readjust the VERT POS and vertical VARIABLE control to obtain 5 centimeters of vertical deflection.
9. Measure the time required for the trace to fall from point C to point D as shown in Figure 2-9. Turn off the Trainer.

Time = \_\_\_\_\_  $\mu$ s

10. Use the formula  $TC = R \times C$  to compute the time constant of the RC circuit. Determine the time constant for:

A) A 100 kilohm resistor and a 0.001 microfarad capacitor.  
TC = \_\_\_\_\_ microseconds.

B) A 10 kilohm resistor and a 0.001 microfarad capacitor.  
TC = \_\_\_\_\_ microseconds.

### Discussion

Charge and discharge rates are measured in terms of time constants. A time constant can be defined as the time required for a capacitor to discharge 63% of its voltage.

In this part of the experiment, you measured and calculated the time constants for specific components in an RC filter circuit. The time you measured in step 6 should be close to the 100 microseconds you calculated in step 10A. The time you recorded in step 9 should be close to the 10 microseconds you calculated in step 10B. Considering the component and instrument tolerances and the degree to which you can read the oscilloscope, steps 6 and 10A should be within 50 microseconds of each other, and steps 9 and 10B should be within 5 microseconds of each other.

In Figure 2-8, square-wave pulses are used to charge a capacitor through a diode. The leading edge of the square wave is produced when output transistor  $Q_4$ , which is located inside the Trainer, is turned off. As the collector voltage rises, diode  $D_1$  conducts, acting like a low value resistor. Capacitor  $C_1$  then quickly charges through resistor  $R_{18}$  to about 15 volts.

The negative portion of the square wave is initiated when  $Q_4$  turns on again. When this occurs, its collector voltage drops to almost zero. This reverse biases the diode, effectively disconnecting the generator from the circuit. The time constant of the trailing edge is therefore the product of  $R_1$  and  $C_1$ .

Since the time constant is directly related to the product of the two components, a very long time constant would result if large values were used. In this case, the leading edge of the next square-wave pulse would occur before the voltage across the capacitor had time to fully discharge. Thus, a smaller peak-to-peak voltage occurs. This action provides a basis for filter circuits. Such filter circuits are often used to smooth out DC pulses.

To be useful, this circuit must feed some other circuit. But the circuit to which it is connected will load the output of the filter circuit, resulting in a shorter time constant. To prevent this, you could connect a DC amplifier between the two circuits. This would isolate the output of the RC filter circuit from the input of the other circuit and keep them from interacting.

### Procedure (Continued)

11. Turn on the Trainer and adjust the (+) power supply voltage control to 15 VDC. Turn off the Trainer.
12. Construct the circuit shown in Figure 2-10.

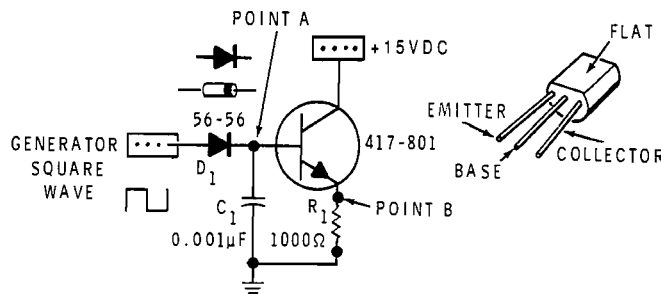


Figure 2-10  
Circuit for steps 11 through 17.

13. Set your oscilloscope controls as indicated in step 2. Connect channel 1 to point A (refer to Figure 2-10). Repeat steps 4 and 5.
14. Observe the waveforms at points A and B and notice that the two are almost identical, except that the waveform at point A is slightly larger than the one at point B. Leave the lead of the oscilloscope at point B. Record the time constant for the waveform at point B, using the method in step 6.

Time = \_\_\_\_\_  $\mu$ s

15. Now change the value of resistor  $R_1$  to 2000 ohms, using two 1000 ohm resistors in series.
16. Measure the time constant for the waveform at point B, using the method in step 6.

Time = \_\_\_\_\_  $\mu$ s

17. Disconnect the circuit and adjust the (+) power supply voltage control for 10 VDC. Turn off the Trainer.

18. Construct the circuit shown in Figure 2-11. Turn on the Trainer.

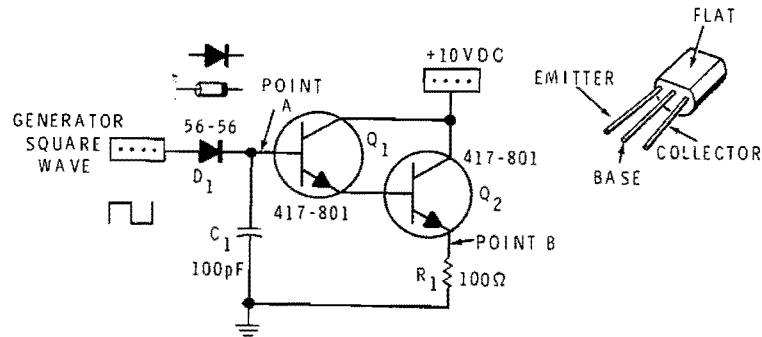


Figure 2-11  
Circuit for steps 18 through 20.

19. Connect channel 1 of the oscilloscope to point B (refer to Figure 2-11).
20. Measure the time constant for the waveform at point B, using the method in step 6.

Time = \_\_\_\_\_  $\mu$ s.

21. Turn off the Trainer.
22. Construct the circuit shown in Figure 2-12.

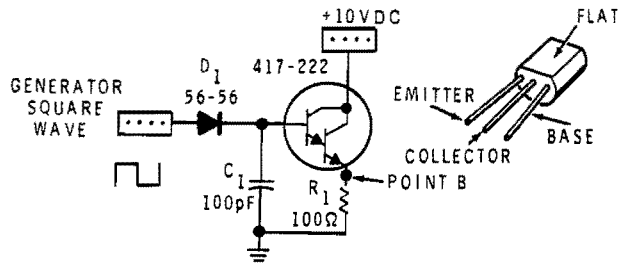


Figure 2-12  
Circuit for steps 22 through 23.

23. Turn on the Trainer and measure the time constant for the waveform at point B, using the method in step 6.

Time = \_\_\_\_\_  $\mu$ s.

Is this time constant longer or shorter than the one recorded in step 20?

\_\_\_\_\_ shorter by \_\_\_\_\_  $\mu$ s.

\_\_\_\_\_ longer by \_\_\_\_\_  $\mu$ s.

## Discussion

The common collector DC amplifier provides a convenient method of connecting high and low impedance circuits together. Previously, when we directly connected a 100 kilohm resistor, simulating a load, we found that the 0.001 microfarad capacitor discharged 63% of its voltage in 100 microseconds.

In Figure 2-10, the load resistor is placed in the emitter-circuit, isolated from the capacitor. In addition, the value of this load is decreased to 1000 ohms. The discharge rate of the capacitor in this circuit is now determined by the input resistance offered by the base circuit of the transistor.

In step 14, you noticed that the waveforms at the base and the emitter were nearly identical. The only difference being that the base voltage was slightly greater than the emitter voltage.

The input resistance of a common-collector circuit is approximately equal to the transistor's Beta multiplied by its emitter resistance. The Beta of the transistor used in the experiment can be from 40 to 400, with about 100 being typical. Therefore, the input resistance is 100 kilohms, which is the product of the Beta and emitter resistor.

The time constant for this circuit should be approximately 100 microseconds, which you measured and recorded in step 14. The time might be slightly longer or shorter depending on transistor Beta.

Increasing the value of the emitter resistor to 2000 ohms increases the transistor's input resistance. With a 2000 ohm emitter resistor multiplied by a Beta of 100, we arrive at an input resistance of 200,000 ohms. This resistance placed across the capacitor results in a time constant of 200 microseconds, which you should have measured in step 16.

When one common-collector amplifier follows another in a Darlington amplifier configuration, like the one in Figure 2-11, the input resistance is very high. It is the result of the beta of  $Q_1$  multiplied by the Beta of  $Q_2$  multiplied by the emitter-resistor. Considering a Beta of 100 for each transistor, the input resistance for the circuit will be 1 megohms. This resistance across the 100 picofarad capacitor results in a time constant of 100 microseconds, which you should have measured in step 20. (Your time may have been slightly different due to beta tolerance differences.)

The circuit in Figure 2-12 uses a Darlington transistor. The device is composed of two transistors connected together in one package. This makes it very convenient to use. Also, the Darlington transistor is usually more efficient than two separate transistors. In step 23, you should have recorded approximately 200 microseconds, which would be longer than the time you recorded in step 20. The time you recorded may be different, depending on the Beta tolerance of the Darlington transistor.

## AUDIO AMPLIFIERS

There are many applications in electronics where it is necessary to amplify AC signals that are within the audio frequency range, which extends from approximately 20 hertz to 20,000 hertz. The circuits used to amplify these signals are generally referred to as audio frequency (AF) amplifiers, or simply, audio amplifiers.

The audio frequency range covers all of the frequencies to which the human ear can respond. The various frequencies produced by the human voice fall within this range. Therefore, audio amplifiers play an important role in any electronic equipment that is used to transmit, receive, or process sound signals. Such equipment includes radio and television transmitters, high fidelity amplifiers, radio and television receivers, and various types of two-way radio communication systems. Also included are tape recorders, phonographs, and public address systems.

Audio amplifiers provide both voltage and power amplification. They are generally designed to operate in class A mode, although class B amplifiers are also used. In some applications, audio amplifiers must be designed to produce an absolute minimum amount of distortion; while in other applications, a substantial amount of distortion may be permissible. Certain types of audio amplifier circuits contain various controls and adjustments that may be used to regulate the overall gain and frequency response of the circuit.

### Voltage Amplifiers

Many audio amplifiers are specifically designed to amplify low-voltage audio signals and may be broadly classified as voltage amplifiers. In most cases, voltage amplifiers are used to increase the voltage level of an input signal to a value high enough to drive a power amplifier stage. The power amplifier can then supply a high output signal current to operate a loudspeaker or some other device requiring high power.



A simple voltage amplifier stage is shown in Figure 2-13. Notice that the circuit is a simple common-emitter amplifier.  $R_1$  controls the transistor's input base current and, therefore, provides the necessary forward bias. These amplifiers must be biased class A to provide minimum distortion. Emitter resistor  $R_3$  provides emitter feedback, which greatly improves the thermal stability of the circuit.  $C_3$  serves as a bypass capacitor and prevents the AC input signal from being degenerated, while  $R_2$  serves as the collector load resistor.

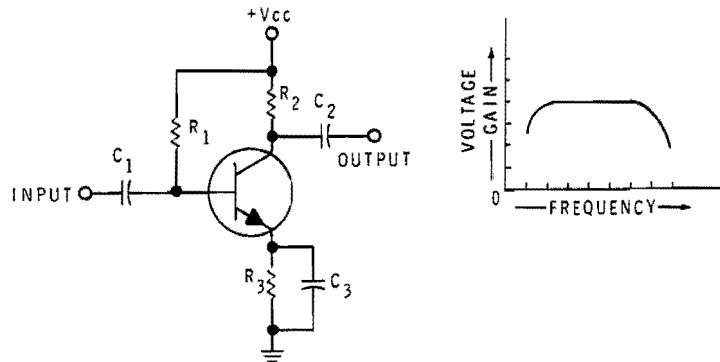


Figure 2-13

A basic audio voltage amplifier and its frequency response curve.

The AC input signal voltage is applied to the circuit through coupling capacitor  $C_1$ . This voltage appears between the base of the transistor and circuit ground, and it effectively controls the transistor's base-emitter current. The amplified AC output signal appears between the collector and circuit ground, and it must pass through coupling capacitor  $C_2$  before it is applied to another stage.

This amplifier circuit can provide substantial voltage gain over a relatively wide frequency range. A typical frequency response curve is also shown in Figure 2-13. Notice that the voltage gain drops off at each end of the frequency range. The decrease in gain at the lower end is due to the increase in the reactance of each capacitor; while the drop-off at the upper end is due to the reduced beta of the transistor. The circuit cannot amplify DC signal voltages because coupling capacitors are used in the signal path.

If the amplifier stage shown in Figure 2-13 cannot provide sufficient amplification, two or more stages can be connected together to form an RC-coupled (resistance-capacitance) amplifier that has a higher overall gain. However, in any RC-coupled amplifier, the gain of each stage is

affected by its load resistance. For example, when two common-emitter stages are RC coupled as shown in Figure 2-14, the relatively low input impedance of the second stage appears to be in parallel with the collector load resistance ( $R_2$ ) of the first stage, as far as the AC signal is concerned. This effectively lowers the collector load resistance and reduces the voltage gain of the first stage. When a number of stages are RC-coupled, the gain of each stage is somewhat reduced and the overall voltage gain (which is the product of the individual stage gains) may be lower than expected. Therefore, in applications where a tremendous amount of audio signal amplification is required, a number of RC-coupled amplifier stages may be required to provide sufficient gain.

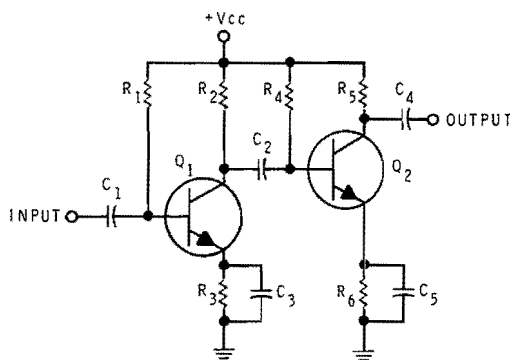


Figure 2-14

A two stage, RC-coupled audio amplifier.

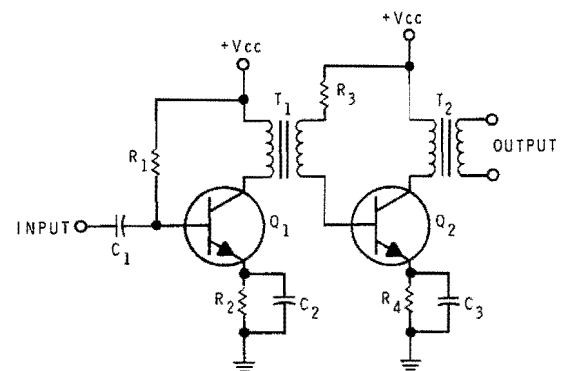


Figure 2-15

A two-stage transformer coupled audio amplifier.

A more efficient multi-stage audio amplifier can be formed by using transformer coupling between stages as shown in Figure 2-15. Coupling transformer  $T_1$  is connected so its input (primary) winding serves as the collector load for the first stage transistor ( $Q_1$ ). The output (secondary) winding is connected in series with the base lead of the second stage transistor ( $Q_2$ ). The AC signal developed across the primary winding is magnetically coupled to the secondary winding where it affects the base current of  $Q_2$ . The second stage is base-biased just like the first stage, with resistor  $R_3$  and  $R_1$  providing the proper no-signal input base current for  $Q_2$  and  $Q_1$ . The output of the second stage is taken from transformer  $T_2$ . Although only two stages are shown in Figure 2-15, additional transformer-coupled stages could be added. Because the transformer is used to connect two stages together, it is called an **interstage transformer**.

Transformer coupling is often used in audio amplifier circuits because it is more efficient than RC coupling; the transformer keeps the second stage from loading down the first stage. In fact, the transformer makes the low impedance of the second stage appear as a much larger impedance at the collector of  $Q_1$ . The turns ratio of the transformer (the ratio of primary to secondary turns) can be made so the low input impedance of the second stage (usually several thousand ohms) will appear as a first-stage collector load impedance with a value equal to the output impedance of the first stage transistor (usually 40 or 50 thousand ohms). In other words, the transformer can be used to match the input and output impedances of the two stages. This allows the optimum signal current and signal voltage to reach the second stage, thus allowing the maximum signal power to be transferred.

The greater efficiency of transformer coupling results in a higher gain. However, the transformer can respond only to a specific range of AC frequencies, and often the overall frequency response of a transformer-coupled circuit is not as wide as that of an RC-coupled circuit. However, transformer coupled circuits, because of their high gain, are widely used in audio applications. Most RC-coupled and transformer-coupled voltage amplifiers operate in a class A mode and are designed to produce a minimum of signal distortion.

## Power Amplifiers

Once sufficient voltage levels are available, a power amplifier (P.A.) circuit is used to drive any load that requires high power. This is the last stage of amplification before a signal leaves the amplifier system.

A power amplifier circuit is rated in terms of watts. The power can be calculated with the basic power formula:

$$P = \frac{E^2}{R}$$

Where: E = rms volts (V<sub>rms</sub>)  
R = load resistance in ohms  
P = power in watts

Let's look at an example. A power amplifier that can deliver 20 Vrms to an 8 ohm load can supply how much output power?

Solution:

$$P = \frac{E^2}{R}$$

$$P = \frac{20^2}{8}$$

$$P = \frac{400}{8}$$

$$P = 50 \text{ watts}$$

This amplifier can deliver 50 watts to an 8 ohm load. If you change to a 4 ohm load, the formula shows that twice as much power will result; in this case, 100 watts. But to do this, the circuit must be able to deliver the additional power without any voltage output decrease. Actually, some voltage decrease would occur with the lower value load. Therefore, although more power may be delivered, it will not be twice as much.

Power amplifier circuits are designed to work into specified loads. For example, an amplifier may be designed to deliver its rated power when connected to an 8 ohm load. This amplifier may be overloaded if it is connected to a lower impedance load. Typically, solid-state amplifiers can operate safely into loads between 4 and 16 ohms. Always check the manufacturer's specification sheets to determine the output load rating.

A power amplifier should always have a very low characteristic output impedance. For example, a circuit that is designed to drive an 8-ohm load might have an output impedance on the order of tenth's of an ohm. Low output impedance assures that load differences will not affect voltage levels being delivered. The ratio of the load impedance to the output impedance is called "damping factor."

Thus:

$$\frac{Z_L}{Z_{out}} = D$$

Where:  $Z_L$  = Load Impedance  
 $Z_{out}$  = Characteristic output impedance  
 D = Damping Factor

Wire size and length, terminal connections, and fuses in the speaker line all add resistance. With minimum resistance in the line, the speaker system and wiring will provide a higher damping factor and will make the amplifier system sound cleaner and sharper.

## Single-Ended Power Amplifier

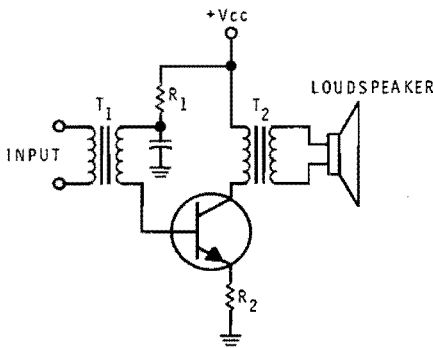


Figure 2-16

A basic audio power amplifier.

The power amplifier in Figure 2-16 uses a single transistor in a common emitter configuration. This is a single-ended circuit, since only one active device, the transistor, causes the output signal voltage to be developed across the primary of output transformer  $T_2$ . The primary of this transformer is the collector load for the transistor. This transformer provides an impedance conversion between the relatively high collector output impedance and the low load impedance. Base biasing is provided by  $R_1$  while emitter biasing is provided by  $R_2$ . In order to reproduce a complete waveform with minimum distortion, single-ended amplifiers are biased class A.

Input and output transformer coupling provides excellent gain. However, because of the resistance of the wire in the transformer and losses at high power, the damping is generally low. A damping factor of 10 is typical for a transformer output circuit.

Output transformers are usually large, compared to interstage transformers, because of the greater power-handling required. The size of this transformer usually contributes heavily to the overall weight of the amplifier system.

## Push-Pull Power Amplifiers

One of the disadvantages of the power amplifier shown in Figure 2-16 is that the DC collector current flows through the primary of  $T_2$ . This tends to magnetize the transformer core (core saturation). The core must be large enough to withstand this current plus the AC signal current without saturating. This calls for a transformer that is large, heavy, and expensive.

A two-transistor circuit called a push-pull amplifier can be used to overcome this problem. In this circuit, the DC collector currents flow into opposite ends of the primary, so that the resulting magnetic fields tend to cancel. Thus, the push-pull circuit can generally get by with smaller, lighter, and less expensive transformers.

### TRANSFORMER OUTPUT

In a push-pull power amplifier circuit, like the one shown in Figure 2-17, an output transformer is driven from two points by two active devices. The top and bottom halves of this amplifier are mirror images, and each looks much like a single ended amplifier.

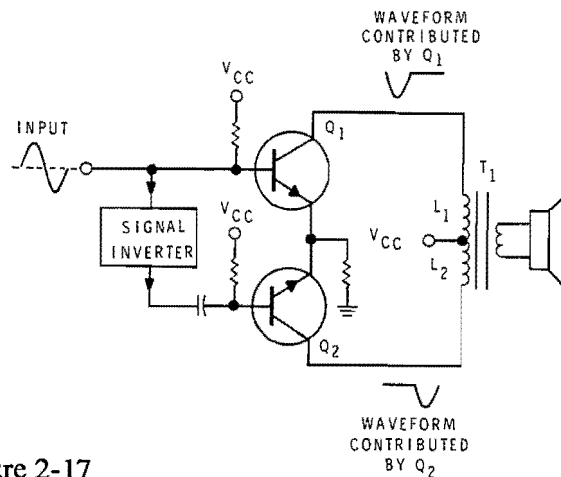


Figure 2-17  
Transformer type, push-pull power  
amplifier.

The signal voltage is developed across the primary of the output transformer during alternate half cycles of the input signal. Transistors  $Q_1$  and  $Q_2$  are both biased class B, or slightly higher, which is called class AB. In other words, each transistor is conducting slightly with no signal input.  $Q_1$  conducts during the positive half of the input cycle. During this time,  $Q_2$  remains cut off because the signal is inverted to this transistor. When the negative half of the cycle occurs, conditions reverse, and  $Q_1$  is cut off while  $Q_2$  conducts.

When  $Q_1$  conducts, current flows through the  $L_1$  section of transformer  $T_1$ . The magnetic field that builds up as a result of this flow will pass through the other windings of the transformer. When  $Q_2$  conducts, current flows through the  $L_2$  section of transformer  $T_1$ . There will be an opposite polarity field built up this time. The complete cycle is reconstructed as a result of  $Q_1$  and  $Q_2$  alternately conducting. The signal voltage developed in the secondary is then connected to the loudspeaker.

The inputs to push-pull amplifiers require complementary signals, one signal must be inverted as compared with the other. Both signals must be the same amplitude and frequency. The circuits that produce these signals are called phase splitters because, with one signal input, they produce two complementary output signals that are  $180^\circ$  out of phase with each other.

These complementary input signals can be supplied by a transformer, as shown in Figure 2-18. With a sine wave at the primary of the transformer, the output signal between the center tap and point A is opposite in polarity from the signal between the center tap and point B. Equal complementary amplitudes depend on how close the ground point is to electrical center on the transformer secondary. The amplitude of the secondary voltage is determined by the input amplitude and the primary to secondary turns ratio of the transformer.

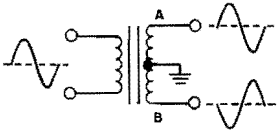


Figure 2-18

Transformer used as a phase splitter.

An interstage transformer, therefore, is often used as a phase splitter. Figure 2-19 shows a signal from a previous stage across the primary of transformer  $T_1$ . A single bias resistor ( $R_1$ ) is shared by  $Q_1$  and  $Q_2$ . The base current path is through the secondary of transformer  $T_1$ . Capacitor  $C_1$  connects the center tap to AC ground. The two complementary signals at points A and B are connected directly to the bases of each transistor.

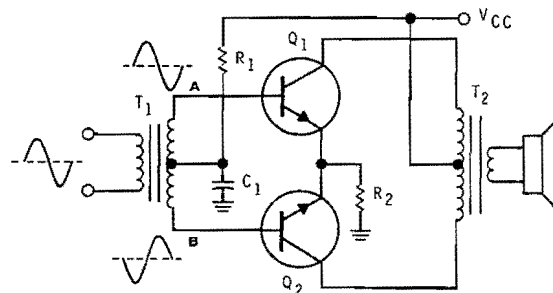


Figure 2-19

Push-pull power amplifier using an interstage transformer as a phase splitter.

Two cascaded, common-emitter amplifiers, connected as shown in Figure 2-20, will also provide complementary signals. The output signal of  $Q_1$  is inverted as compared to its input signal. Transistor  $Q_2$  also inverts the signal, but notice that its input is taken from the output of transistor  $Q_1$ . Resistor values must be selected so the amplifiers will provide equal complementary output amplitudes. If the input signal can be used to drive one side of the push-pull output stage, then only a single, unity gain, common emitter stage is necessary to provide the inverted signal for the other side.

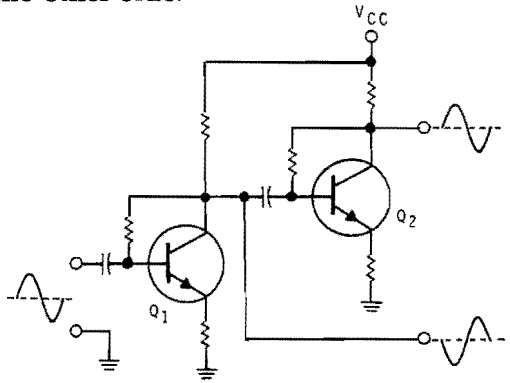


Figure 2-20  
Complementary signals can be provided by a two-stage amplifier.

A single transistor phase splitter, such as the one shown in Figure 2-21, has two outputs. One signal is taken from the collector while the other is taken from the emitter. The signal phase difference between the base and collector is  $180^\circ$ , while that between the base and emitter is  $0^\circ$ . Therefore, the two output signal voltages are complementary. The voltage gain of this stage is controlled by the resistance values of resistors  $R_2$  and  $R_3$ . When they are equal, the gain is unity and the output signals will be equal in amplitude. A transistor phase splitter must operate class A to provide minimum distortion. The operating class depends on the proper resistance value of  $R_1$ .

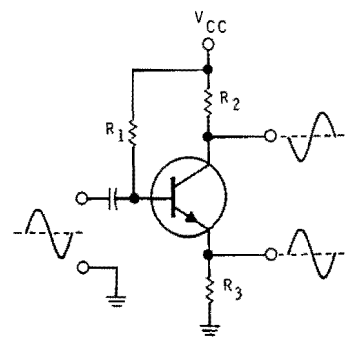


Figure 2-21  
Transistor type phase splitter.

When a single-transistor phase splitter is connected to a power amplifier, as in Figure 2-22, the DC collector and emitter voltages of  $Q_1$  do not match the base voltages of push-pull transistors  $Q_2$  and  $Q_3$ . Thus, coupling capacitors  $C_2$  and  $C_3$  are required between the stages to offset the voltages. In addition, transistors  $Q_2$  and  $Q_3$  need their own bias resistors,  $R_3$  and  $R_4$ .

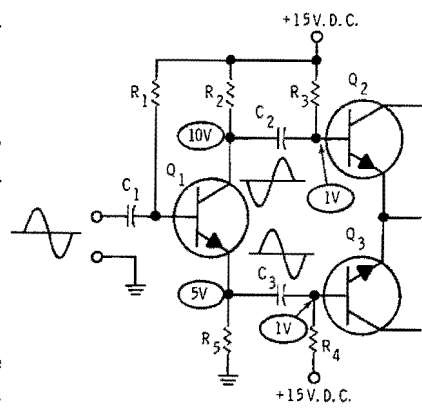


Figure 2-22  
Push-pull power amplifier using a transistor as a phase splitter.

A transistor phase splitter usually has a much wider frequency response than the interstage transformer type. It may be selected over the transformer type because of cost, even though more parts may be required. The total cost of these parts are often less expensive than one interstage transformer.



## COMPLEMENTARY AMPLIFIER

One type of push-pull amplifier does not require input or output transformers. In fact, it does not even require a phase splitter. Instead, it uses the complementary nature of NPN and PNP transistors to accomplish push-pull action.

Recall that a positive voltage on the base of an NPN transistor causes it to conduct. However, the PNP transistor requires a negative base voltage to conduct. These characteristics allow us to build a push-pull amplifier using a minimum number of components. The circuit is called a “complementary amplifier” and is shown in Figure 2-23.

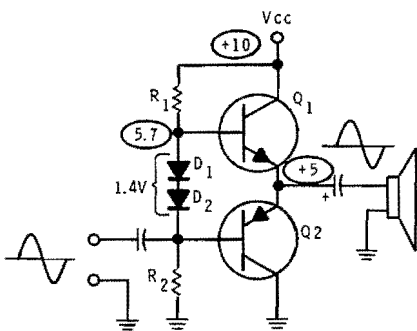


Figure 2-23  
Basic complementary power amplifier.

$Q_1$  is an NPN transistor and  $Q_2$  is a PNP transistor. The two transistors are connected in series between  $V_{CC}$  and ground, and the two emitters are connected together. For maximum voltage swing, with minimum distortion, the voltage at the common emitters must be one half of the  $V_{CC}$  voltage. The DC emitter voltage is dependent on equal current flow through the transistors; and this, in turn, is dependent on the resistance values of  $R_1$  and  $R_2$ . When each transistor is biased properly, there will be approximately 0.7 volt between its base and emitter, or a total of 1.4 volts from one base to the other. Diodes  $D_1$  and  $D_2$  help keep this voltage difference constant.

Diodes  $D_1$  and  $D_2$  also prevent a condition called “thermal runaway.” This condition is caused by a constantly increasing thermal feedback. As the temperature in the transistors begins to increase, it causes the current in them to increase. And the increase in current causes the temperature to rise even higher. Unchecked, this thermal runaway would destroy the transistors.

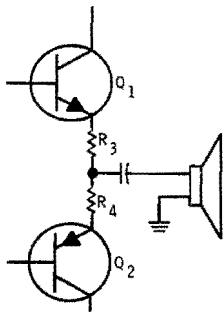


Figure 2-24  
The addition of  $R_3$  and  $R_4$  help thermally stabilize  $Q_1$  and  $Q_2$ .

The two diodes are placed close to the transistors and have a temperature characteristic that closely matches the transistors. When the diodes sense the rising temperatures, the current in them also increases, but this decreases the voltage across them. This decreased voltage reduces the voltage difference between the transistor bases, causing less base current to flow and stabilizing the current flow through the transistors. Adding emitter resistors, as shown in Figure 2-24, also contributes to thermal stabilization.

The output signal from this circuit is taken from the junction of the two emitters (or emitter resistors). Because of the DC voltage there, direct speaker connection is not possible without a DC blocking capacitor.

Since the basic power amplifier circuit has a voltage gain of less than one, it is desirable to drive it with a class A, common emitter amplifier, as shown in Figure 2-25. This stage provides voltage gain to the overall amplifier; and if it were not stabilized in some way, it would also be adversely affected by rising temperatures. The rising collector currents of  $Q_1$  would then pass directly on to  $Q_2$  and  $Q_3$ . Therefore, a negative feedback is coupled back to its base, through  $R_4$  and  $R_3$ , from the output of the amplifier at the common emitter circuits of  $Q_2$  and  $Q_3$ . This feedback counteracts any changes in  $Q_1$  due to temperature. Operating bias for  $Q_1$  is also supplied by this same source.  $C_2$  bypasses any AC signals to ground and keeps them from being coupled back to  $Q_1$ .

The circuit in Figure 2-26 is a complementary amplifier that was redesigned to eliminate the output coupling capacitor. The loudspeaker is connected directly between the two transistor emitter resistors. This is possible only because the circuit is powered by positive and negative power supplies.

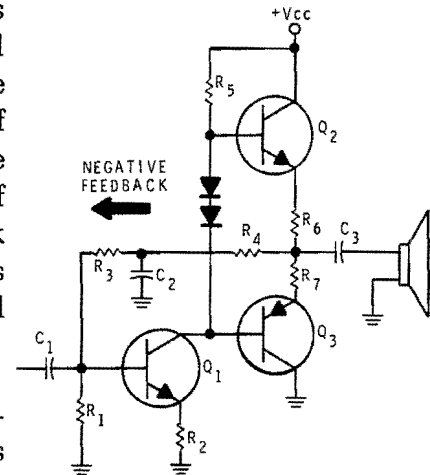


Figure 2-25  
Class A amplifier precedes basic complementary power amplifier circuit.

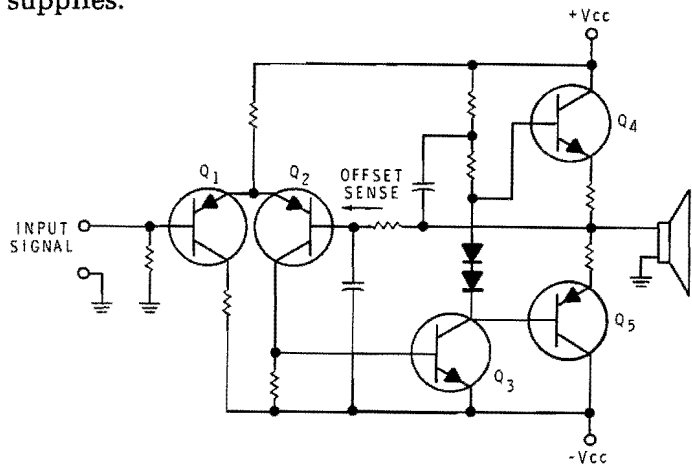


Figure 2-26  
Direct coupled output power amplifier.

Several steps are involved in the evolution of the direct-coupled amplifier from the capacitor-coupled amplifier. First, the  $+V_{CC}$  voltage is only half that used in the capacitor-coupled amplifier. Second, a  $-V_{CC}$  supply is added. As a result, the point at which the speaker is connected is at 0 volts with no input signal. Direct speaker connection is now possible, but additional changes are made to assure a zero voltage.

A differential amplifier composed of  $Q_1$  and  $Q_2$  is placed immediately preceding the class A amplifier,  $Q_3$ . One input accepts the signal to be amplified while the other input accepts the DC voltage offset information from the output.

The very low output impedance offered with the elimination of the output capacitor results in a good damping factor. Also, a new characteristic has been added. Notice that all stages are DC coupled. This extends the frequency range down to DC. This type of amplifier can even be used to drive loads requiring large amounts of DC power, such as DC motors.

### QUASI-COMPLEMENTARY AMPLIFIERS

The characteristics of NPN and PNP transistors are usually difficult to match. In large power amplifiers, from about the 10-watt range on up, nearly identical characteristics become more important to minimize thermal drift and distortion. Also, high power PNP transistors cost considerably more than their NPN counterparts.

The circuit in Figure 2-27 shows two NPN power transistors ( $Q_3$  and  $Q_4$ ) in the final output stage. They are driven by lower power NPN and PNP transistors respectively.

Looking only at the upper set of transistors,  $Q_1$  and  $Q_3$ , notice that they are connected in a Darlington configuration. This pair, operating as one unit, acts like a single NPN transistor. That is, a more positive voltage on the base of transistor  $Q_1$  causes current flow between the collector and emitter of transistor  $Q_3$ .

The lower two transistors,  $Q_2$  and  $Q_4$ , use a PNP transistor to drive an NPN power transistor. This pair, operating as one unit, acts like a single PNP transistor. That is, a more negative voltage on the base of  $Q_2$  causes current flow between the collector and emitter of transistor,  $Q_4$ .

The upper half of the amplifier appears as an NPN transistor and the lower half, appears as a PNP transistor. This is called a quasi-complementary/amplifier because it operates like the complementary/amplifier because it operates like the complementary amplifier discussed earlier, but it does not require high power complementary output transistors.

One other circuit change is also required. Counting the base-emitter junctions between the bases of  $Q_1$  and  $Q_2$ , we find there are three; the junctions in  $Q_1$ ,  $Q_2$ , and  $Q_3$ . The voltage drop across resistors  $R_1$  and  $R_2$  is negligible. Therefore, three diodes,  $D_1$ ,  $D_2$ , and  $D_3$  are necessary to stabilize the voltage difference, as described in the paragraphs about the complementary amplifier on the preceding pages.

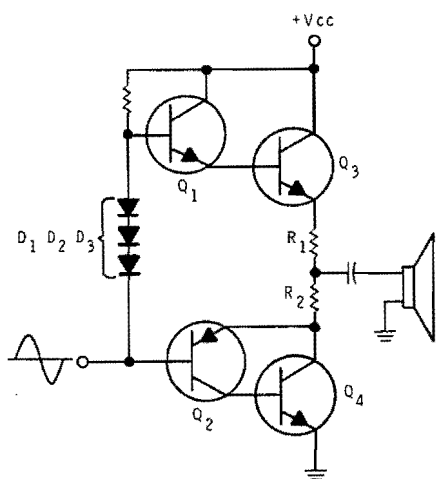


Figure 2-27

Quasi-complementary output stage.

## Heat Sinks

Because large amounts of power are handled by power amplifiers, some components can get quite hot. These components must be mounted in such a way as to allow for adequate ventilation and heat dissipation. Often, all that is necessary is to space them far enough away from other components, or the circuit board to allow air to pass freely around them. The components may be supported by their own electrical leads or they may require some other mounting arrangement for mechanical security.

If the temperature of the component can not be kept down, it may be because the surface area is too small. In this case, the component may be mounted to a metal device called a heat sink. This device provides a larger area from which the heat can be radiated.

Transistor performance is closely related to temperature conditions. Power specifications must be derated with temperature increase in order to prevent permanent damage. In other words, if a power transistor's temperature is controlled with an adequate heat sink, it will deliver more power safely than a transistor with an inadequate heat sink.

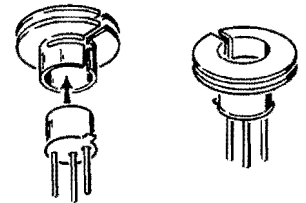


Figure 2-28

A heat sink for a low power transistor.

Figure 2-28 shows a simple heat sink which can be placed on a small power transistor. Remember, the purpose of the heat sink is to provide a larger surface to radiate heat. It is actually an extension of the transistor's heat radiating surface. Figure 2-29 shows a method for mounting a large power transistor to a metal surface. A rear panel or the chassis itself will

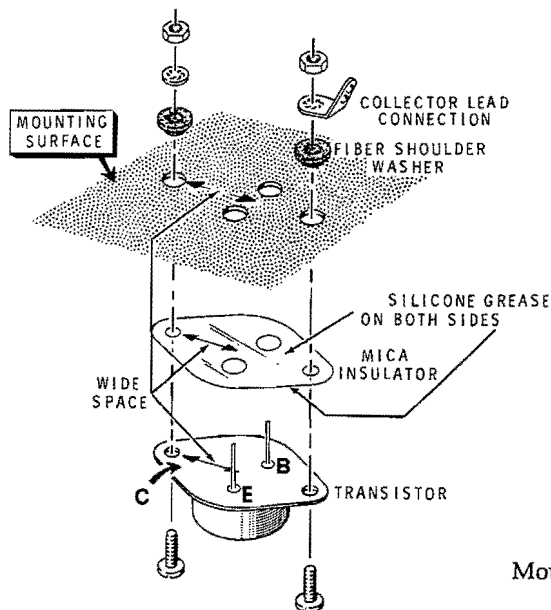


Figure 2-29

Mounting a large power transistor.

often serve this purpose. This mounting method is complicated because the transistor case itself is the connection for the collector lead. Thus, the case must be electrically insulated from the mounting surface if there is a difference of potential between the two. The mica insulator provides this insulation. Silicone grease, a poor electrical conductor and a good thermal conductor, is coated on both sides of the insulator. When the entire assembly is mounted properly, it will be mechanically secure, each lead will be electrically isolated, and the mounting surface will provide much more heat radiation surface for the transistor. A black anodized, multi-finned, aluminum heat sink can also be used for cases requiring an even greater heat transfer.

## Volume Control Circuits

The amplifiers you have studied so far all provide a fixed gain. But usually, the gain of an amplifier must be adjusted from time to time. To accomplish this, to change the volume level or loudness from the loudspeaker system on a radio, hi-fi system, or television, a volume control circuit is used. This control is conveniently located so the listener can adjust it at any time.

The circuit in Figure 2-30 incorporates a control for changing the overall gain of the amplifier. The AC signal voltage at the collector of  $Q_1$  is applied across  $C_1$  in series with  $R_2$ . Since the reactance of  $C_1$  is very low, almost all of the signal voltage from  $Q_1$  is developed across the control. At the bottom end of the control, there is a zero AC signal. When the control is turned clockwise, as indicated by the arrow, more AC signal is passed on to the input circuit of  $Q_2$ .  $C_1$  and  $C_2$  isolate DC and allow the control to be rotated without changing the DC bias at the base of  $Q_2$ .

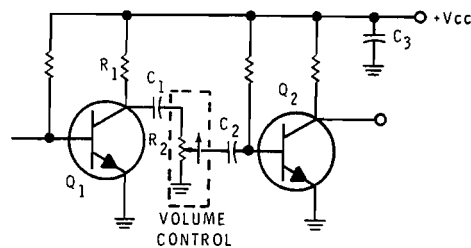


Figure 2-30

Adjusting the gain of an amplifier.

This circuit can be simplified as shown in Figure 2-31, with the control serving as the collector load resistor for  $Q_1$ . Since the full signal is developed across the control, it can be adjusted to obtain the desired AC amplitude. Notice that this circuit uses fewer parts than the previous one. For this reason, it may be found in more "economy" units.

In a simple amplifier system, as in a child's phonograph, the volume control is usually electrically placed between the phonograph cartridge and the first stage of amplification. Control of the volume is achieved by adjusting the signal level entering the amplifier. This system works well when only one or two amplifier stages are used.

A typical large amplifier system may have several amplifier stages, and the volume control location will affect the amplifier's performance. In Figure 2-32, stages A, B, and C are voltage amplifiers; each with a gain of ten. Power amplifier stage D has a voltage gain of one in this example.

With no volume control, and the maximum output limit of the amplifier 10 volts at 8 ohms, then a signal as small as .01 volts at the input stage would drive the amplifier to full power. Higher input levels would cause distortion.

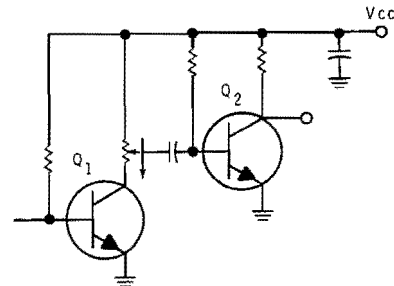


Figure 2-31  
Simplified volume control.

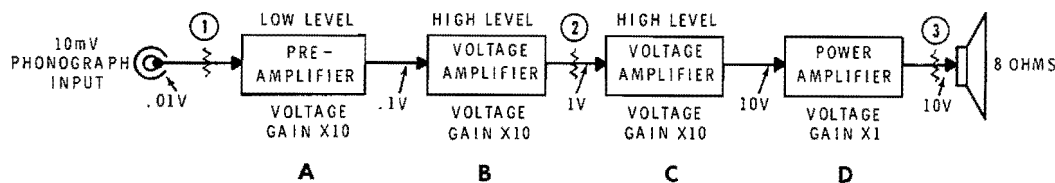


Figure 2-32  
Block diagram of a Hi-Fi  
amplifier system.

If a volume control were placed at location 1 in this circuit, the very small inherent noise in preamplifier transistor A and any AC hum or noise picked up by the wiring in the first two stages will be amplified and probably heard in the speaker. A better position for this control would be at location 2. Here, when the control is reduced, the inherent noise picked up in the first two stages will also be reduced. As a result, less noise will be heard.

Sometimes, a signal source with too large an amplitude will overload the first stage and cause distortion (clipping). In this case, the volume control at location 2 can only reduce the loudness of the distortion. The signal will still sound distorted even at low volume levels. But if another control

were included at location 1, it could be adjusted to reduce the input signal voltage to a point where input clipping ceases. An input level control of this type is usually adjusted only once to match the output voltage from the signal source to an acceptable input voltage to the amplifier. The volume control at location 2 would then be used to adjust the amplifier for comfortable listening levels.

When two or more amplifiers are used, with separate speakers, a control may also be placed at location 3. This control would be used to balance out the different loudness levels produced by different (left and right, for example) speaker systems. This control, since it is located after the power amplifier stage, must have a high wattage rating to handle the large amounts of power that pass through it.

## Tone Control Circuits

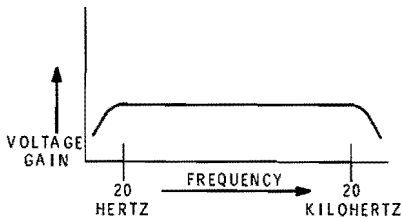


Figure 2-33  
Flat frequency response.

When an amplifier responds with equal voltage gain to all the frequencies within its range, it is said to have a flat response. In Figure 2-33, the audio amplifier represented has a flat response at all frequencies between 20 and 20,000 hertz. But, although this flat response is usually a necessary requirement for any good amplifier, some means of altering the response is usually incorporated to suit the different tastes of individual listeners. The gain, and therefore the response, can be boosted or cut at either end of the audio frequency range. The frequencies at the lower end of the range are called bass frequencies, and those at the high end are called treble frequencies. Bass and treble controls are generally called tone controls.

Figure 2-34 shows a bass and treble control circuit that can provide both boost and cut. A flat response occurs when each control is adjusted to the center of its range. The values of capacitors  $C_1$  and  $C_2$  are equal. The values of  $C_3$  and  $C_4$  are also equal. Each network forms a voltage divider whose output, when the control is centered, is one half the value of the applied input voltage.

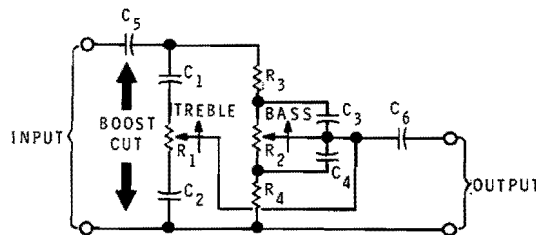


Figure 2-34  
Tone control circuit.

Capacitors  $C_5$  and  $C_6$  are large values whose reactances are very low at all audio frequencies. These two capacitors provide DC isolation between the tone circuit and the circuits connected to its input and output. Capacitors  $C_1$  and  $C_2$  offer a low reactance at high (treble) frequencies and a high reactance at low frequencies. When treble control  $R_1$  is fully clockwise as indicated by the arrow, the balance of the circuit is upset. The treble frequencies then pass on to the output without being attenuated. When the treble control is fully counterclockwise, treble frequencies passing through  $C_1$  and control  $R_1$  will be attenuated by  $R_1$ . Low frequencies remain unaffected because they pass through the bass circuit.

The bass control works similarly. Capacitors  $C_3$  and  $C_4$  bypass the high frequencies around bass control  $R_2$ , but offer a high impedance to the low frequencies and force them to pass through the control. When  $R_2$  is fully clockwise as indicated by the arrow, all the bass frequencies pass on to the output without being attenuated. Attenuation is gradually increased as the control is turned counterclockwise.

The circuit you have just studied can be easily incorporated into a single-stage amplifier, as shown in Figure 2-35. The gain offered by the transistor compensates for the inherent signal loss in the resistor-capacitor circuit.  $C_1$ ,  $C_2$ , and  $C_3$  are large value capacitors with low reactances at audio frequencies, providing DC isolation. The input signal appears at point A. The output signal from the collector of  $Q_1$  is also fed back to point B. Because of  $Q_1$ , the signal at point B is 180 degrees out of phase with the input signal at point A. This circuit operates similar to the basic RC circuit previously described.

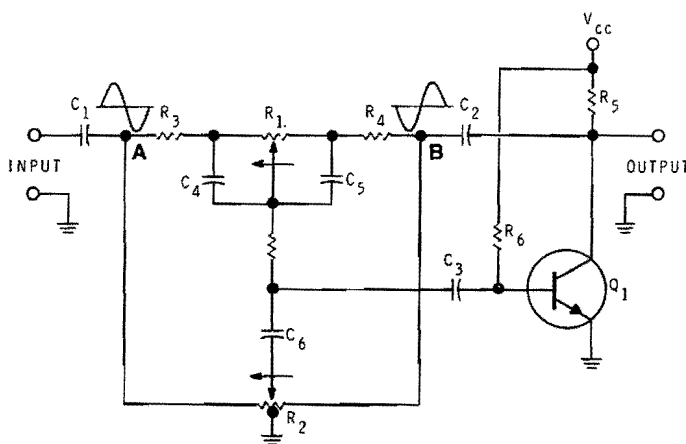


Figure 2-35

Audio amplifier with tone control.



**Programmed Review (continued)**

15. Amplifiers that operate in the range of 20 to 20,000 hertz are called \_\_\_\_\_ amplifiers.

16. (audio) When a low audio voltage level needs to be increased, a \_\_\_\_\_ amplifier is used.

17. (voltage) In order to produce minimum distortion, single transistor audio amplifiers must operate class \_\_\_\_\_ mode.

18. (A) RC coupled stages cannot amplify \_\_\_\_\_ voltage signals.

19. (DC) The purpose of placing one amplifier after another is to provide greater overall \_\_\_\_\_.

20. (gain) The damping factor of a power amplifier is the \_\_\_\_\_ impedance divided by the \_\_\_\_\_ impedance.

21. (load, characteristic output) A single-ended, transformer-coupled amplifier must reproduce an audio signal with a minimum amount of distortion at high power levels. This amplifier must be biased class \_\_\_\_\_.

22. (A) A push-pull amplifier uses two transistors for power amplifiers. These transistors are biased either class \_\_\_\_\_ or class \_\_\_\_\_.

23. (B or AB) It is unnecessary to bias push-pull output transistors class A because each transistor operates during \_\_\_\_\_ of the input cycle.

24. (half) Push-pull amplifiers require \_\_\_\_\_ signal(s) which are \_\_\_\_\_.

25. (two, complementary) A circuit which provides complementary output signals is called a \_\_\_\_\_.

26. (phase splitter) A complementary amplifier requires only one input signal, and it uses a \_\_\_\_\_ and a \_\_\_\_\_ transistor.

(PNP, NPN).

## EXPERIMENT 4

### Complimentary Power Amplifiers

*OBJECTIVES: Demonstrate two types of distortion.*

*Demonstrate the characteristics of the two types of distortion by showing them on an oscilloscope and passing them through a loudspeaker.*

#### Introduction

A power amplifier, like the one you will construct in this experiment, is used in many small radio receivers, television receivers, and phonographs. Designers prefer this circuit because of its low power consumption with no signal input and its relatively low cost versus performance. You will use the oscilloscope to observe the different output waveforms produced while the circuit bias is changed and while the input signal level is changed. When you build the final version of this amplifier, a speaker will let you hear two different types of distortion; these are usually caused by component failure or poor design. **Read the entire procedure before performing the experiment.**

## Material Required

Heathkit Analog Trainer  
Multimeter  
Oscilloscope (dual channel preferred)  
20–40 watt soldering iron  
1—4.7 ohm resistor (yellow-violet-gold-silver)  
2—100 ohm resistor (brown-black-brown-gold)  
2—330 ohm resistor (orange-orange-brown-silver)  
1—4700 ohm resistor (yellow-violet-red-silver)  
1—8200 ohm resistor (gray-red-red-gold)  
1—10 kilohm resistor (brown-black-orange-silver)  
1—47 kilohm resistor (yellow-violet-orange-gold)  
1—10 microfarad electrolytic capacitor  
1—33 microfarad electrolytic capacitor  
1—220 microfarad electrolytic capacitor  
1—100 microfarad electrolytic capacitor  
1—0.1 microfarad Mylar\* capacitor  
1—Stabistor (56-61) PLE1V5  
2—NPN transistor (417-110) S2090  
1—PNP transistor (417-116) S2091  
1—Loudspeaker (401-163)  
1—1.5 megohm potentiometer (10-194)

NOTE: You will be using the loudspeaker later in the experiment. Wires will have to be soldered to each lug. Therefore, you may wish to warm up your soldering iron now. Keep the loudspeaker in its wrapper until you are instructed to remove it.

## Procedure

1. Turn on the Trainer and adjust the (+) power supply voltage control for 10 Vdc. Turn off the Trainer. Preset the generator range switch to low (1X or 100) and adjust the frequency control to 1 kHz.

\*DuPont Registered Trademark

- Construct the circuit shown in Figure 2-36. Place a jumper wire across diode  $D_1$  where the dotted line indicates. Be sure control  $R_1$  is wired so that clockwise rotation results in maximum signal output.

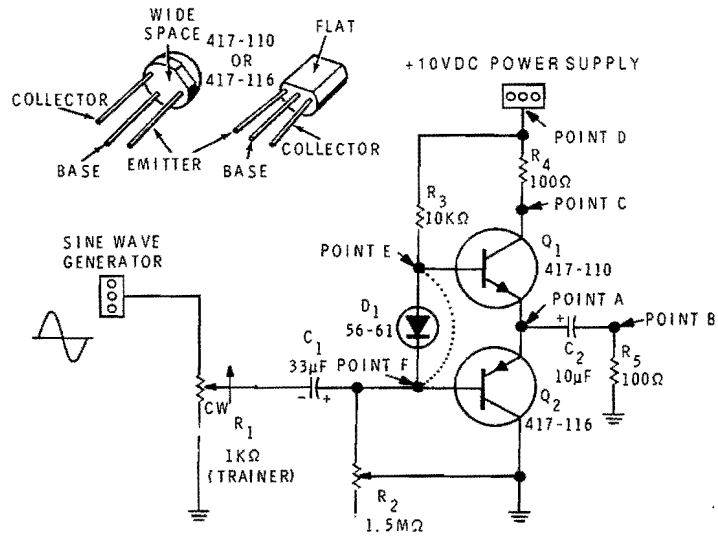


Figure 2-36  
Circuit for steps 1 through 12.

- Turn on the Trainer. Rotate control  $R_1$  fully counterclockwise (CCW). Connect the positive lead of your multimeter to point A and the negative lead to ground (refer to Figure 2-36). Adjust control  $R_2$  for a meter reading of +5 VDC.
- If current is flowing through the collector and emitter circuits of transistors  $Q_1$  and  $Q_2$ , there will be a voltage drop across resistor  $R_4$ . Measure the voltage across  $R_4$  with your multimeter by placing the positive lead at points D and C. Connect the negative lead to ground. Be sure the measurement is made while there is a jumper wire across diode  $D_1$ . Subtract the voltage at point C from the voltage at point D.

Point D to ground = \_\_\_\_\_ V.  
 Point C to ground = \_\_\_\_\_ V.  
 Voltage across  $R_4$  = \_\_\_\_\_ V.

- Turn on the oscilloscope and preset the controls as follows:

TRIGGERING INT/EXT/LINE: INT  
 TRIGGERING AC/DC/TV: AC  
 TRIGGERING +/-:+  
 TRIGGERING AUTO/NORMAL:AUTO  
 TIME/CM:200  $\mu$ s (0.2 ms)  
 VOLT/CM: 0.5

- Connect channel 1 of your oscilloscope to point B and connect the negative lead to ground.
- Rotate control  $R_1$  1/4 turn clockwise (CW) and notice the distorted waveform. Draw this waveform on the graph in Figure 2-37.

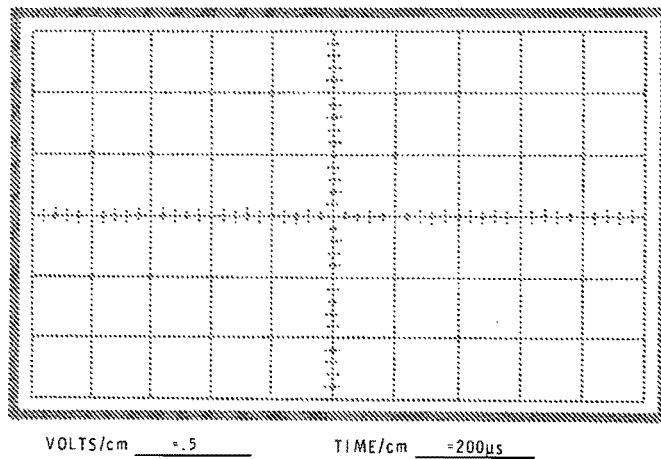


Figure 2-37  
 Waveform observed in step 7.

- Disconnect the jumper wire from across  $D_1$ .
- Rotate control  $R_1$  fully counterclockwise.
- Measure the voltage across  $R_4$  as in step 4.

Voltage across  $R_4$  = \_\_\_\_\_ V

- Measure the voltage across  $D_1$  by placing the positive lead of the multimeter on points E and F. Then measure between E and ground; and then between F and ground.

Voltage at point E = \_\_\_\_\_ V.  
 Voltage at point F = \_\_\_\_\_ V.  
 Voltage across  $D_1$  = \_\_\_\_\_ V.

12. Rotate control  $R_1$  fully clockwise and notice the undistorted waveform. Draw this waveform on the graph in Figure 2-38. Turn off the Trainer and disconnect the circuit.

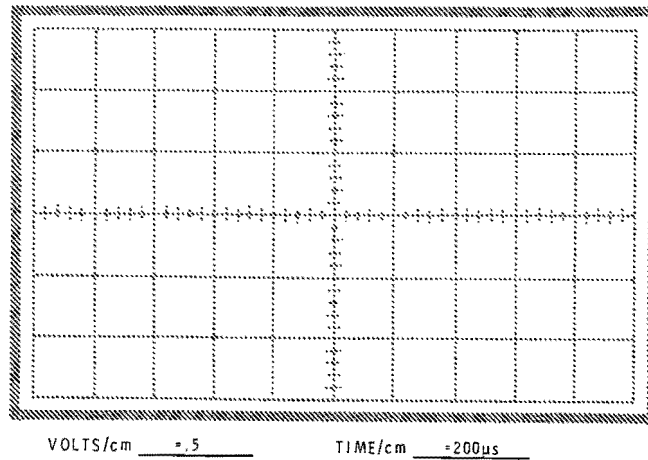


Figure 2-38

Waveform observed in step 12.

## Discussion

The circuit in Figure 2-36 is a basic complementary power amplifier.  $R_4$  was added to provide a means to measure the collector to emitter current flowing through each transistor. It is usually not found in this type of circuit.

$R_1$  is used as a volume control. This control was turned fully counterclockwise so minimum signal would enter the amplifier. Then control  $R_2$  was adjusted so the voltage at point A was equal to one half of the power supply voltage. The jumper wire across  $D_1$  causes each base-emitter junction to be reverse biased, placing each transistor in a nonconducting state. With no signal input, the current flow through the collector and emitter circuit of each transistor should be zero. Therefore, the voltage which you measured across  $R_4$  should also be zero, indicating no current flow.

When control  $R_1$  was rotated clockwise, you observed and drew the waveform present at point B. This waveform should appear like the one in Figure 2-39. This complex waveform is produced by transistors  $Q_1$  and  $Q_2$  alternately conducting. In addition, there is a period of time in which neither transistor conducts.

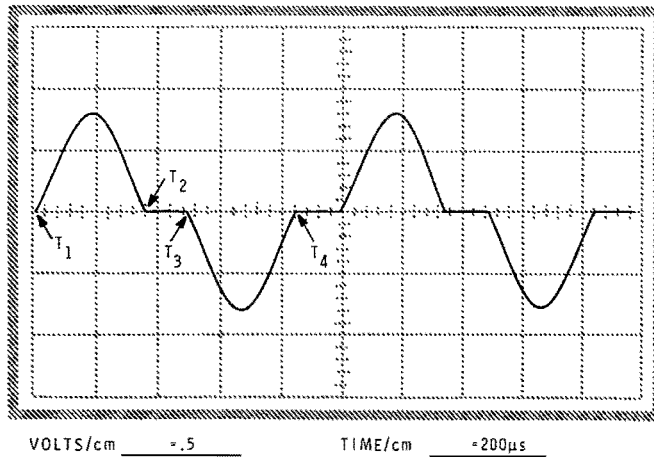


Figure 2-39  
Waveform showing crossover  
distortion.

The sine waveform in Figure 2-40 represents the signal applied to the amplifier. The applied voltage between times  $T_1$  and  $T_2$  causes  $Q_1$  to conduct. The applied voltage between times  $T_3$  and  $T_4$  causes  $Q_2$  to conduct. Between times  $T_2$  and  $T_3$ , the applied voltage does not reach levels for either transistor to conduct, resulting in a time period where no corresponding voltage change occurs in the output signal. This area is called a “**dead zone**.” It accounts for the flat period on the waveform in Figure 2-39. Since this distortion occurs between the time the signal voltage crosses over from one transistor to the other, we call it crossover distortion.

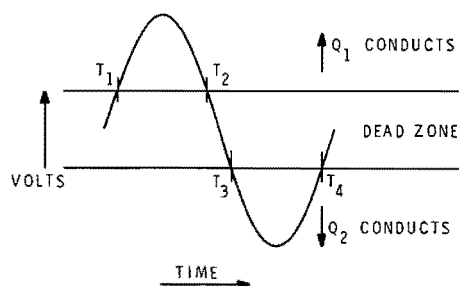


Figure 2-40  
Signal voltage from the generator.



When the jumper is removed from across diode  $D_1$ , proper bias is restored. The two transistors are forward biased slightly and some collector-emitter current flows. The voltage measured across resistor  $R_4$  should now be approximately 0.05 volts. This voltage across the 100 ohm resistor indicates 0.5 milliamps of current flow.

The diode used is a special device called a stabistor, which is designed specifically for stabilizing amplifier circuits. It actually contains two diodes in series in one package. The voltage across this diode package should be approximately 1.2 volts.

With proper bias supplied to the circuits, a clean, undistorted signal such as the one in Figure 2-41 should appear at the output. You should have already drawn this waveform in Figure 2-38.

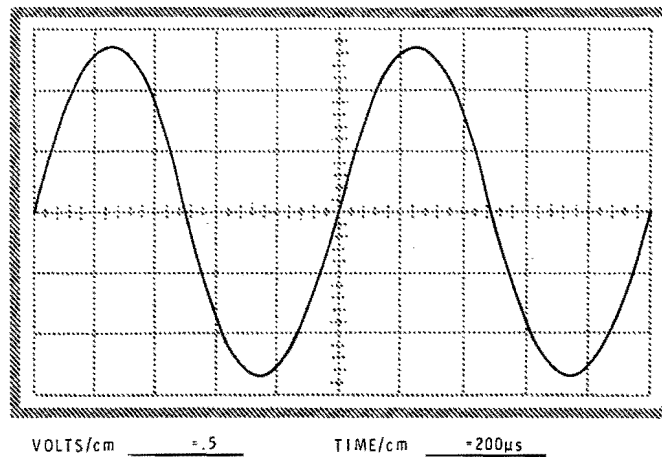
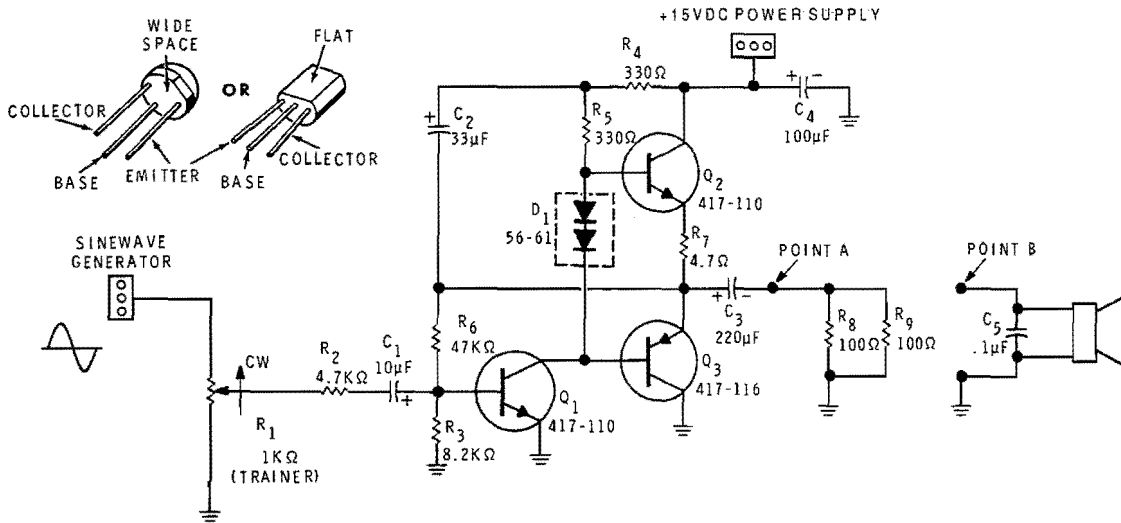


Figure 2-41  
Waveform drawn in step 12.

**Procedure (Continued)**

- Turn on the Trainer and adjust the (+) power supply voltage control to 15 VDC. Turn off the Trainer. Construct the circuit shown in Figure 2-42. Be sure control  $R_1$  is wired so clockwise rotation results in maximum output.



**Figure 2-42**  
Circuit for steps 13 through 35.

- Turn on the oscilloscope and set the controls as follows:
  - TRIGGERING — INT/EXT/LINE:INT
  - TRIGGERING — +/-:+
  - TRIGGERING — AC/DC:DC
  - TIME/CM:200  $\mu$ s (0.2 ms)
  - VOLT/CM:5
- Connect channel 1 of your oscilloscope to point A (refer to Figure 2-42).
- Turn on the Trainer. Rotate control  $R_1$  fully counterclockwise. Adjust the Generator FREQ control for an output of 1 kilohertz.
- Momentarily switch the AC/GND/DC switch to GND. Adjust VERT POS so that the trace is exactly on the center line. Move the AC/GND/DC switch to the DC position.

18. Rotate control  $R_1$  clockwise to a point just before the waveform starts to flatten either on the top or bottom. Record the peak-to-peak (P-P) voltage measured at this time.

P-P voltage = \_\_\_\_\_

19. Calculate the amplifier's output power using the formula:

$$P = \frac{E^2}{R}$$

Where: P = power in watts  
E = rms volts ( $V_{rms}$ )  
R = resistance in ohms

Convert the P-P voltage measured in step 18 to  $V_{rms}$  by multiplying it by .353. The voltage is measured across a resistance of 50 ohms.

P = \_\_\_\_\_ watts or \_\_\_\_\_ milliwatts.

20. Adjust the (+) power supply voltage control to 10 VDC. Repeat steps 18 and 19. You may wish to switch the VOLT/CM switch to 2 for a more accurate reading.

P-P = \_\_\_\_\_

Power = \_\_\_\_\_ watts or \_\_\_\_\_ milliwatts.

21. Turn control  $R_1$  fully counterclockwise.
22. The loudspeaker may be packaged in a small box or it may have a cardboard wrapper around it. Carefully remove the loudspeaker from its packaging.
23. Prepare two 18-inch lengths of hook-up wire by removing 1/2-inch of insulation from each end.
24. Solder one wire to each loudspeaker lug. Then twist the two wires together to form a twisted wire cable. Leave about 3 inches of wire untwisted at the loudspeaker and free ends of the cable.

25. Place the loudspeaker back into its packaging and tape it closed.
26. Remove resistors  $R_8$  and  $R_9$ . Connect one loudspeaker lead to point A and the other to ground. Then connect the 0.1 microfarad capacitor across the speaker as shown in Figure 2-42.
27. Adjust the (+) power supply voltage control to 15 VDC.
28. Connect the oscilloscope test leads across the speaker connections.
29. Adjust  $R_1$  for a LOW comfortable listening level.
30. Switch the VOLTS/CM switch on the oscilloscope to a position that will cause the display to cover at least one-half the screen, but less than the full screen.
31. Repeatedly connect and then disconnect a jumper wire across diode  $D_1$  while looking at the waveform on the oscilloscope and listening to the signal. Then leave the wire disconnected.
32. Rotate control  $R_1$  clockwise to the point before the waveform starts to distort. Perform the instructions in step 31 once again. Leave the wire disconnected.

When was the distortion more pronounced? Check one:

\_\_\_\_\_ At High Listening Levels      \_\_\_\_\_ At Low Listening Levels

33. Rotate the (+) power supply voltage control counterclockwise until you either hear distortion or you see appreciable distortion on the oscilloscope. Note the power supply reading when this occurs. Return the output to +15 VDC.
34. Rotate control  $R_1$  counterclockwise to a low listening level. Then perform step 33 once again.

When was the distortion more pronounced?

\_\_\_\_\_ At High Listening Levels      \_\_\_\_\_ At Low Listening Levels

35. Turn off the Trainer and read the following Discussion.

## Discussion

The circuit you built this time is a complete power amplifier like the one you would find in a typical small receiver system. The power output from these systems range from about 100 milliwatts (0.1 watts) to 1 watt. The power level is determined by the DC power supply capability, transistor wattage ratings, and the loudspeaker's impedance.

The output power of this amplifier will be determined by how much AC voltage can be impressed across the load resistor. In this case, we are using two 100 ohm resistors in parallel, which is equal to 50 ohms. This is close to the typical loudspeaker impedance of 47 ohms used in small systems.

Referring to Figure 2-42, the output signal is developed across the load resistor by transistors  $Q_2$  and  $Q_3$  turning on and off alternately. Therefore, the maximum AC voltage can be equal to no more than the DC power supply voltage. The peak-to-peak voltage recorded in step 18 should have been slightly less than the 15 VDC from the power supply. If you read 14 volts peak-to-peak, this would be equal to:

$$14 \text{ Vp-p} \times .353 = 4.94 \text{ Vrms}$$

The output power calculated in step 18 should be:

$$P = \frac{E^2}{R}$$

$$P = \frac{(4.94)^2}{50} = 0.488 \text{ W or } 488 \text{ mW}$$

When the power supply voltage was reduced to 10 volts, you may have measured 9 volts peak-to-peak in step 20, which would be 3.2 Vrms. The power for this circuit would then be:

$$P = \frac{E^2}{R}$$

$$P = \frac{(3.2)^2}{50} = 0.205 \text{ W or } 205 \text{ mW}$$

In steps 31 and 32, you should have recognized the distortion you saw on the oscilloscope and heard in the loudspeaker as being crossover distortion. This distortion causes the audio signal to be "tinny" sounding. It is most noticeable while the volume control is adjusted to low levels. As the signal level is increased, the *dead zone* on the waveform remains constant. The distortion area on the waveform is then smaller by comparison, resulting in the signal sounding better as the level is increased.

The distortion produced by inadequate power supply voltage, as simulated in steps 33 and 34 also sounds "tinny." However, this distortion is heard mostly at higher volume levels. The lower signal level settings should sound normal and the supply voltage would have to be reduced further to cause distortion.

You should have noticed a flat spot on the top of the waveform while you heard the distortion. As you reduced the power supply voltage further, you should even have heard the distortion in low signal levels.

Feel free to use this circuit for a small power amplifier later. It can be used as a basis for an intercom system, or an amplifier which can be connected to a tape recorder output jack, or if you are designing your own radio receiver, it can be used as the final audio stage.

## VIDEO AMPLIFIERS

Video amplifiers are commonly used in television and radar systems to amplify video, or picture information, hence the name. The video signals range from 10 Hz to 5 MHz, a very wide range of frequencies. As you can see, the video amplifier must indeed be a wideband amplifier.

Audio frequency amplifiers, on the other hand, are considered good if they have a relatively flat response from 20 Hz to 20 kHz. Figure 2-43 compares the response of video and audio amplifiers. The dashed line represents the required gain characteristic of a video amplifier.

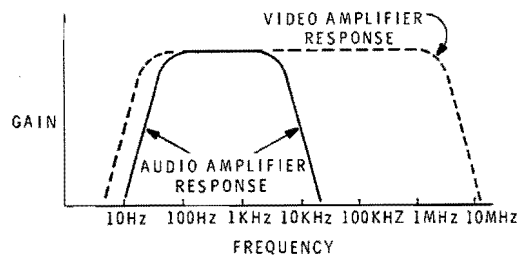


Figure 2-43  
Audio amplifier response versus  
video amplifier response.

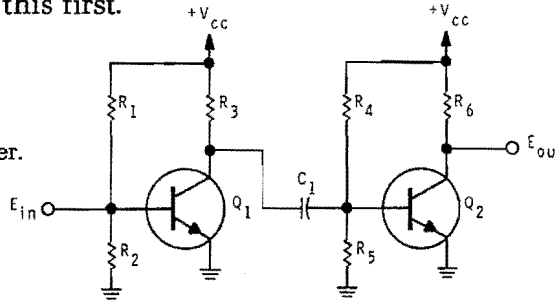
Only direct-coupled and RC-coupled amplifiers can provide this necessary bandwidth. The RC amplifier has the advantage of economy, while the direct-coupled amplifier has perfect low frequency response. Transformer coupling is not suitable because of the wide variations in frequencies. For example, an audio transformer would be satisfactory for low frequencies, but would attenuate the higher video frequencies. Likewise, an RF transformer would not provide adequate coupling for low frequencies.

There are two characteristics that make the RC-coupled amplifier desirable for use in video amplifier circuits. First, its frequency response is flat in the middle-frequency range. Second, its frequency and phase characteristics throughout these middle ranges are suitable for use in video amplifiers; therefore, this section of the curve requires no further improvement. However, the high and low frequency responses are far from adequate and corrective measures must be taken. Fortunately, changes made in high or low frequency response areas do not interact; therefore, each can be analyzed separately. But before we look at these corrective measures, let's examine the characteristics of the RC amplifier that make correction necessary.

## Factors Affecting Frequency Response

Figure 2-44 shows a simplified, two-stage, RC-coupled amplifier that might be used for audio frequency amplification. This circuit has certain factors which limit its gain at very high frequencies. The factor that probably limits high frequency gain the most is the shunt capacitance of the circuit, so let's discuss this first.

Figure 2-44  
A two-stage RC coupled amplifier.



A small but definite capacitance exists between the junctions of a transistor. As shown in Figure 2-45, these capacitances are:  $C_{BE}$ , the base-to-emitter capacitance;  $C_{CB}$  the collector-to-base capacitance; and  $C_{CE}$ , the collector-to-emitter capacitance. These junction capacitances are determined by factors such as the physical size of the junction and the spacing between transistor leads. Some effort is made by transistor manufacturers to keep these values as low as possible. However, they can not be completely eliminated.

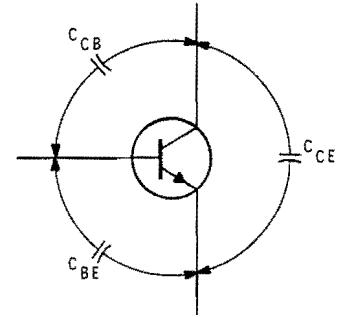


Figure 2-45  
Transistor junction capacitances.

When the transistor is connected in a circuit, we find junction capacitance is further affected by junction bias. When a semiconductor junction is forward biased, it presents a greater capacitance than when it is reverse biased. The reason for this is shown in Figure 2-46. With the semiconductor junction forward biased, as shown in Figure 2-46A, many charge carriers are present at the junction. This effectively reduces the spacing between the capacitor plates (transistor junctions) and increases capacitance. When the junction is reverse biased, as shown in Figure 2-46B, the carriers are forced apart, creating a wide depletion region. This has the effect of increasing the spacing between the plates, resulting in less capacitance.

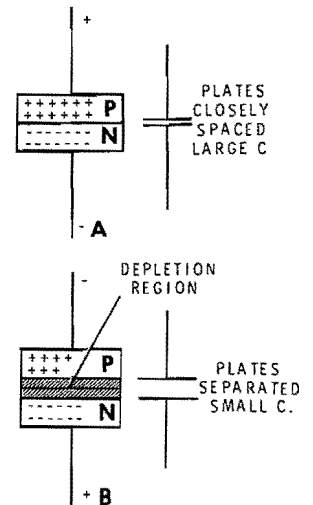


Figure 2-46  
Bias affects junction capacitance.

Another type of capacitance, known as the Miller effect, also has a large effect on high frequency gain, as shown in Figure 2-47. This is a dynamic type of capacitance that increases with the gain of the circuit, and is the result of the inherent collector-base junction capacitance. As shown, the collector-to-base capacitance creates a negative feedback path between the collector and base. The resistance of the collector base junction,  $R_{CB}$ , is also in this path.

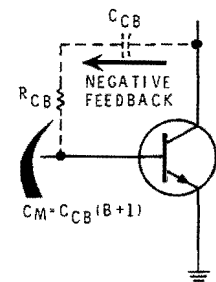


Figure 2-47  
The Miller effect.



At low frequencies this capacitance has very little effect, since  $X_C$  is rather large. However, when frequency increases,  $X_C$  goes down and the feedback path reactance is reduced. Since there is a phase inversion between the base and collector in the common-emitter configuration, feedback voltage is opposite in polarity to base input. Therefore, this type of feedback has a degenerative effect on circuit gain and is called negative feedback. Miller capacitance varies in direct proportion to circuit gain or Beta and is expressed as

$$C_m = C_{CB} (\beta + 1)$$

Where:  $C_{CB}$  = Transistor collector to base capacitance.

$\beta$  = Circuit gain.

As the formula indicates Miller capacitance is not a fixed value, but instead is a dynamic value that changes with circuit gain. Consequently, higher beta ( $\beta$ ) values produce more Miller capacitance. As mentioned previously, Miller capacitance does not affect low frequency signals. But at higher frequencies, the reactance of this negative feedback path is greatly reduced and negative feedback increases. Effectively, this decreases circuit gain at high frequencies, limiting high frequency response.

Figure 2-48 shows the original RC-coupled amplifier redrawn to indicate the shunt capacitances that exist between the two stages. The output capacitance of the first stage,  $C_{out}$ , is comprised of the collector-to-emitter capacitance,  $C_{CE}$ , and the stray wiring capacitance of the circuit,  $C_S$ . Since  $C_{CE}$  and  $C_S$  are in parallel,  $C_{out}$  can be expressed as

$$C_{OUT} = C_{CE} + C_S$$

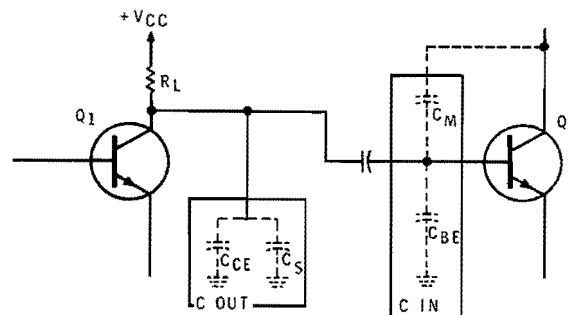


Figure 2-48

Shunt capacitance between RC coupled stages.

The input capacitance for transistor  $Q_2$  is made up of the Miller capacitance,  $C_M$ , the base-emitter capacitance,  $C_{BE}$ , and of course, stray wiring capacitance. Therefore, the total input capacitance can be expressed as

$$C_{IN} = C_M + C_{BE} + C_S$$

Remember, the equation for  $C_M$  is  $C_M = C_{CB} (\beta + 1)$ . Therefore, input capacitance is more correctly expressed as

$$C_{IN} = C_{CB} (\beta + 1) + C_{BE} + C_S$$

Because both  $C_{IN}$  and  $C_{out}$  are in parallel, the total capacitance between the two stages is then

$$C_T = C_{IN} + C_{OUT}$$

Typical values of total shunt capacitance for such a circuit can run as high or higher than 180 pF. This may not seem like such a high value of capacitance until you consider the fact that voltage gain is the result of the voltage developed across the load or collector resistor, and this capacitance shunts some of this voltage to ground.

Figure 2-49 more clearly illustrates this point. Here we see 8.8 kilohm load resistor  $R_L$  connected in the amplifier circuit. Total circuit capacitance of 180 picofarad is shown across the circuit output. The output voltage, in this case representing circuit gain, is plotted against frequency in the response curve at the right. The solid line represents circuit response, while the required video response curve is shown by the dashed line.

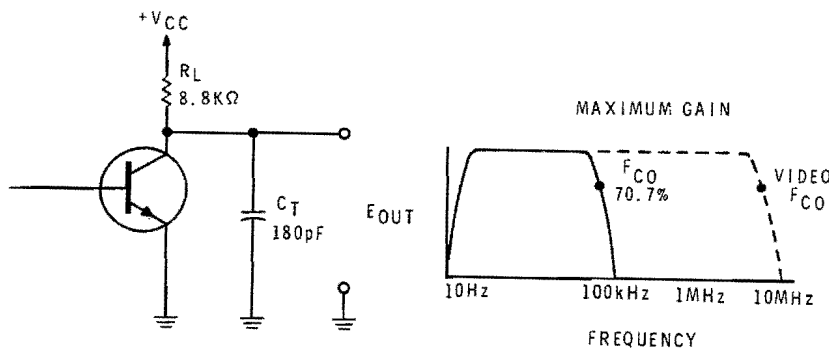


Figure 2-49  
Capacitance shunt high frequencies to ground.

As the response curve shows, the circuit responds equally to frequencies between 10 hertz and 90 kilohertz, as evidenced by the flat portion of the curve. However, above 90 kilohertz the output voltage begins to decrease rapidly until a point called high frequency cutoff, indicated by  $F_{CO}$ , is reached. At this point, the output voltage has decreased to 70.7% of maximum. The total shunt capacitance causes this reduction.

$C_T$  has little effect on circuit gain when low frequencies are being amplified. For example, if this circuit is amplifying a frequency of 1 kilohertz the reactance of  $C_T$  is approximately 900 kilohm. Therefore, the capacitance presents a relatively large impedance to ground and  $E_{out}$  is high. The effect of  $C_T$  is not important until its reactance is low enough to be comparable with the resistance of  $R_L$ . Then  $X_{CT}$  will shunt a large portion of the output to ground and effectively reduce the output impedance.

As an example, when the frequency of the amplified signal increases to around 100 kilohertz,  $X_{CT}$  decreases to approximately 8.8 kilohm. This equals the resistance of  $R_L$ , and the combined impedance of  $R_L$  and  $X_{CT}$  is reduced to 70.7% of either one. Consequently, this decrease in output impedance lowers  $E_{out}$  by the same amount. This corresponds to the high frequency cutoff point,  $F_{CO}$ . Specifically, gain is down to 70.7% of maximum when  $X_{CT} = R_L$ . The cutoff frequency,  $F_{CO}$ , can be calculated from the formula

$$F_{CO} = \frac{1}{2\pi R_L C_T}$$

For the circuit shown in Figure 2-49, where  $R_L$  is 8.8 kilohm and  $C_T$  is 180 picofarad,  $F_{CO}$  is

$$F_{CO} = \frac{1}{6.28 (8.8 \times 10^3) (180 \times 10^{-12})}$$

$$F_{CO} = \frac{1}{.995 \times 10^{-5}}$$

$$F_{CO} = 100 \text{ kHz}$$

Therefore, as shown by the response curve and just proved by the  $F_{CO}$  formula, this amplifier is useful for frequencies up to 100 kilohertz; far short of the required 5 megahertz bandwidth needed for video frequencies.

Because high frequency cutoff occurs at the point where  $X_{CT} = R_L$ , you might correctly surmise that by reducing the value of  $R_L$ ,  $F_{CO}$  can be extended. Of course, any decrease in  $R_L$  also results in a reduction in the voltage gain of the amplifier, but frequently this sacrifice is necessary in video amplifiers.

Figure 2-50 shows the amplifier just discussed with the value of  $R_L$  decreased to 2.2 kilohm. As the response curve shows, the output voltage is significantly reduced over that of the previous circuit. High frequency cutoff, with this lower value of load resistor, can now be calculated as follows using the  $F_{CO}$  formula

$$F_{CO} = \frac{1}{2\pi R_L C_T}$$

$$F_{CO} = \frac{1}{(6.28)(2.2 \times 10^3)(180 \times 10^{-12})}$$

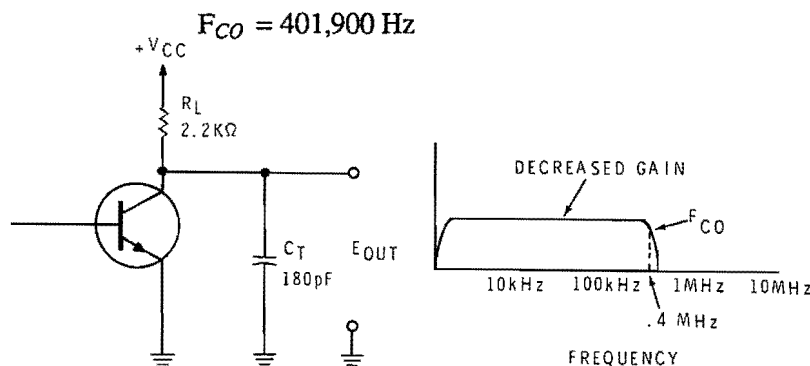


Figure 2-50

Decreasing  $R_L$  increase bandwidth.

As the response curve shows, amplifier gain is relatively flat out to about 400 kilohertz. Since decreasing the value of load resistance seems such a simple way to extend frequency response, you might think that by lowering it even further we could obtain the required high frequency response. But unfortunately, since decreasing load resistance decreases amplifier gain, a point of diminishing return is quickly reached where gain is so low that the amplifier is useless.

For example, if  $R_L$  is decreased to 1 kilohm, amplifier response is extended out to only 884 kilohertz. This response is not wide enough for video frequencies, and other steps must be taken to improve frequency response.

## Frequency Compensation

By far the most popular method of increasing video amplifier high frequency response is through the use of **peaking coils**. The peaking coil is nothing more than a small inductor strategically placed in the circuit to take advantage of the circuit's shunt capacitance. There are three different techniques for connecting these coils in the circuit: Shunt peaking, series peaking, and a combination of the two. First, let's discuss shunt peaking.

Figure 2-51 shows the shunt peaking method. Notice that the  $360\ \mu\text{H}$  inductor,  $L_{SH}$ , is connected in series with the load resistor. Close examination of the circuit, however, shows that  $L_{SH}$  is effectively in parallel, or shunt, with  $C_T$ , therefore, this is called **shunt peaking**. This small value of inductance tends to nullify the effect of the shunt capacitance.

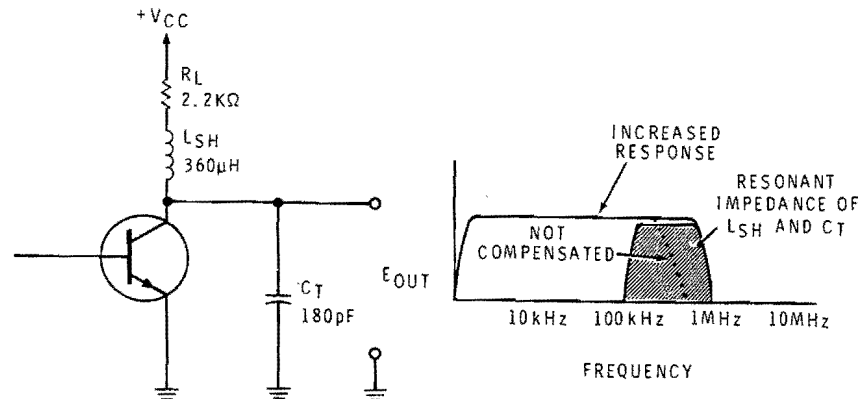


Figure 2-51  
Shunt peaking.

Again, amplifier response is shown at the right. In the low end and mid frequency range, the peaking coil has little effect on amplifier response. However, at the higher frequencies,  $L_{SH}$  resonates with capacitance  $C_T$  to increase output impedance and therefore boost the gain at these frequencies. The dotted line in the response curve shows the response for the uncompensated amplifier. The shaded portion of the curve indicates the added response produced by the resonant impedance of the peaking coil and the total capacitance. As you can see, this extends amplifier high frequency response by a factor of 2.

A second way to increase high frequency response is to insert a small coil in series with the interstage coupling capacitor. Figure 2-52 shows this type of frequency compensation, called **series peaking**. Since peaking coil  $L_{SE}$  is connected between the two stages, it effectively isolates the output and input capacitances of the two stages. On the left-hand side of the series inductance is the output capacitance of the  $Q_1$  stage. While on the other side is the input capacitance of the  $Q_2$  stage. This separation permits the use of a larger value for  $R_L$  than was possible with shunt peaking. Actually  $R_L$  can be increased by approximately 50%. Thus, higher stage gain is possible with series peaking.

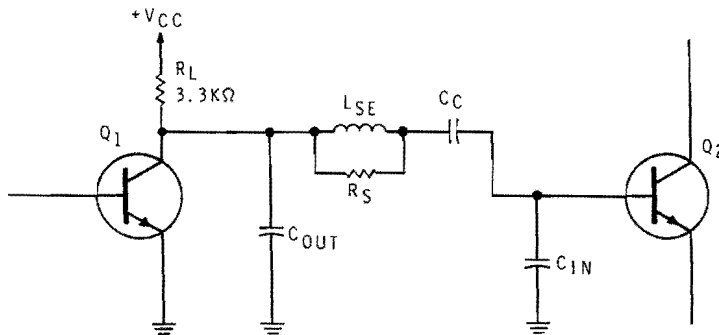


Figure 2-52  
Series peaking.

Notice the resistor,  $R_S$ , in parallel with the series peaking coil. Its purpose is to reduce the  $Q$  of the inductor and prevent undesirable coil resonance. Such resonance can cause the circuit to overcompensate over a narrow range of frequencies, producing a ringing effect. Frequently, the series peaking coil is wound on the resistor, using the resistor as a coil form.

Shunt and series peaking are often combined to obtain the advantages of both. This type of peaking, known as **combination** or **series-shunt peaking**, is shown in Figure 2-53. In this circuit, the peaking coils assume separate roles. The shunt coil,  $L_{SH}$ , neutralizes the output capacitance of  $Q_1$ , while the series coil,  $L_{SE}$ , counteracts the input capacitance of the  $Q_2$  stage. This combination extends the bandwidth of the amplifier to 5 megahertz, which is much greater than that derived with shunt or series peaking alone. Once again, notice  $R_S$ , connected across the series coil.

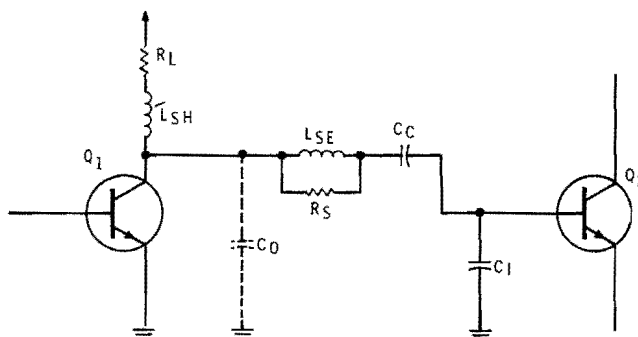


Figure 2-53  
Amplifier using shunt and  
series peaking.

There are a number of other ways to extend the high frequency response of video amplifiers. Among these are the use of the emitter-follower and the common-emitter configurations rather than the common-base amplifier, using almost any RF transistor, has a constant current gain that extends up to 100 megahertz. Unfortunately, current gain for the common-base stage cannot exceed 1. Likewise, the emitter-follower is an excellent broadband amplifier. However, voltage gain is less than one in this configuration. It is common practice to combine the CE and CC amplifiers in the design of a total video amplifier system.

### Typical Video-Amplifiers

The most common use of the video amplifier is in the television receiver. Figure 2-54 shows a simplified version of a video amplifier that is used in a black and white television receiver. This amplifier employs two stages of amplification and provides a voltage gain of 40 with a bandwidth of 3.5 megahertz. Although the bandwidth is somewhat less than the 5 megahertz bandwidth previously discussed, it is wide enough to amplify the video frequencies of a black and white picture signal.

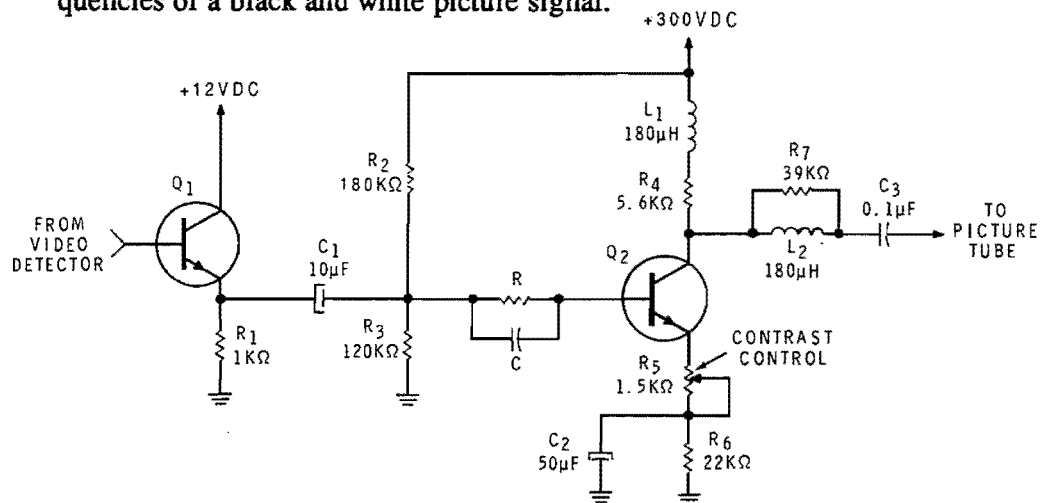


Figure 2-54  
A representative video amplifier.

The first stage of the amplifier shows  $Q_1$  is connected as an emitter-follower. Input to  $Q_1$  is from the video detector, which recovers the video (picture signal) from a much higher frequency signal called the intermediate frequency. We will discuss intermediate frequency amplifiers in the following section of this unit. Then you will better understand the function that IF amplifiers serve in a television receiver.

The emitter follower presents a desirable high input impedance for the video detector and a low output impedance to the  $Q_2$  stage. This low-impedance driving source improves the high frequency response of the  $Q_2$  stage. The video signal is developed across emitter resistor  $R_1$  and is coupled to the base of  $Q_2$  by coupling capacitor  $C_1$ .

The 10 microfarad capacitor,  $C_1$ , is large enough to minimize the loss of low frequency signals. The RC network near the base of  $Q_2$  serves as a highpass filter to correct the circuit's natural roll-off of high-frequencies. Resistors  $R_2$  and  $R_3$  develop base bias for  $Q_2$ .

The collector supply voltage may seem unusually high, but is necessary to produce the required output voltage to drive the picture tube. However, such a high supply voltage permits the use of the large emitter resistor,  $R_6$ . This 22 kilohm resistor determines, to a large extent, the DC collector current of the stage. Notice that  $R_6$  is bypassed by a large capacitor,  $C_2$ , and does not affect amplifier performance in the normal video bandpass.

Resistor  $R_5$  is not bypassed and is used to control the gain of the stage. Remember, an unbypassed emitter resistance is naturally degenerative and functions to reduce circuit gain. Gain will be maximum when the wiper arm is at the top and  $R_5$  is effectively shorted. To reduce gain, the arm is moved toward the bottom and the circuit becomes naturally degenerative. As shown in the illustration, the gain control in the video amplifier is known as the **contrast** control. By varying video amplifier gain, you control the difference between the black and white areas of the picture on the television screen, or in other words, you vary contrast.

In the collector circuit of  $Q_2$  we find load resistor  $R_4$  and shunt peaking coil  $L_1$ . In the signal takeoff path are series peaking coil  $L_2$  and its swamping resistor,  $R_7$ . Capacitor  $C_3$  couples the video signal to the picture tube.



Figure 2-55 shows a video amplifier that uses a somewhat different type of high frequency compensation. In this circuit,  $Q_1$  and  $Q_2$  are connected in series in a **stacked**, or **cascode amplifier**, arrangement. Therefore, the relatively large signal voltage necessary to drive the picture tube is evenly divided between the transistors, decreasing the likelihood of voltage breakdown.

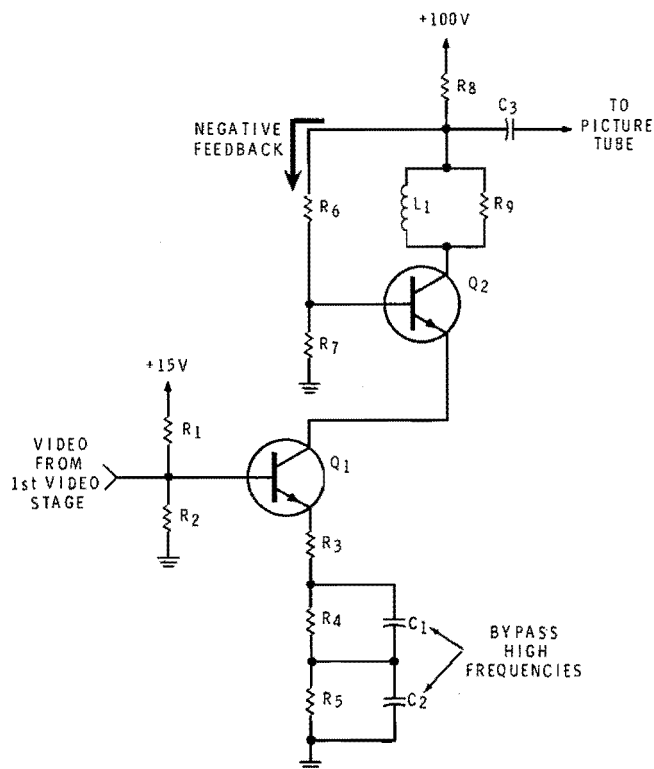


Figure 2-55  
Cascode video amplifier.

The input signal from the first video stage, an emitter-follower, is applied to the base of  $Q_1$ . Resistors  $R_1$  and  $R_2$  establish base bias for transistor  $Q_1$ . The emitter circuit of  $Q_1$  shows three resistors connected in series. Notice that  $R_4$  and  $R_5$  are bypassed by capacitors  $C_1$  and  $C_2$ . This arrangement is a form of high frequency compensation.

A close examination of the emitter circuit shows that  $R_3$  is never bypassed and therefore is always degenerative. When the video signal is made up of low frequencies, the series combination of  $R_3$ ,  $R_4$ , and  $R_5$  form a degenerative feedback circuit that reduces circuit gain considerably. At higher video frequencies,  $C_1$  and  $C_2$  bypass emitter resistors  $R_4$  and  $R_5$ , and significantly reduce degenerative feedback, increasing gain. Increasing high frequency gain in this manner compensates for the circuit's natural roll-off of high frequencies. Thus, circuit response is flat for low frequencies and high frequencies. Series peaking coil  $L_1$  and its swamping resistor  $R_9$  in the  $Q_2$  collector circuit help further improve the circuit's high frequency response.

Notice that the base bias for transistor  $Q_2$  is developed by the resistor network of  $R_6$  and  $R_7$ . This bias arrangement is different than that employed for  $Q_1$  and you will probably recognize it as **collector** feedback. The key should be that resistor  $R_6$  is connected to the collector side of load resistor  $R_8$ . The signal voltage developed across  $R_8$  is fed back to  $Q_2$  through resistor  $R_6$ . This feedback is degenerative, since collector voltage is  $180^\circ$  out of phase with the base voltage, and therefore is called negative feedback. Naturally, negative feedback reduces circuit gain, especially in the midfrequency range, and serves to widen the bandwidth of the amplifier.

Essentially, then, this circuit employs two different degenerative feedback networks. The emitter circuit of  $Q_1$  provides degenerative **current** feedback, while the base bias arrangement of  $Q_2$  provides degenerative **voltage** feedback. The combined effect of the feedback networks serves to broaden amplifier response. Coupling capacitor  $C_3$  connects the wide-band video signal to the picture tube.

You have seen two variations of video amplifiers. It would be impossible to show all of the schemes that are used in video amplifier circuits, but these two examples indicate two commonly used methods of extending frequency response. Remember, video amplifiers must offset the effect of high frequency rolloff that is produced by circuit capacitance and also maintain a relatively flat response for a wide band of frequencies. This can be accomplished by sacrificing midfrequency gain or by using peaking circuits to increase high frequency gain.

## Programmed Review (continued)

27. Video amplifiers are used in television receivers to amplify video signals that range from 10 Hz to 5 MHz. Because these video signals cover such a wide range, video amplifiers are also known as \_\_\_\_\_ amplifiers.
28. (wideband) Direct-coupled and \_\_\_\_\_-coupled amplifiers are commonly used in video amplifier circuits.
29. (RC) An RC-coupled audio amplifier cannot be used as a video amplifier because certain factors limit high frequency gain. The factor that affects high frequency gain the most is the \_\_\_\_\_ capacitance of the circuit.
30. (shunt) This shunt capacitance includes the inherent capacitance of the transistor junctions and stray circuit wiring capacitance. However, transistor junction capacitance is not fixed, but varies depending on whether the junction is forward or reverse biased. When a transistor junction is forward biased, it has a \_\_\_\_\_ capacitance than when it is reverse biased.  
larger/smaller
31. (larger) Another type of junction capacitance that is the result of collector-to-base capacitance, and is dynamic in nature, further reduces high frequency gain. This capacitance varies with circuit gain and is known as \_\_\_\_\_ capacitance.
32. (Miller effect) Miller effect capacitance \_\_\_\_\_ with circuit  
increases/decreases  
gain and effectively produces negative feedback that reduces high-frequency response.

33. (increases) Shunt circuit capacitance is made up of the input and output capacitances of the stage. At low frequencies the reactance of this capacitance is high and circuit gain is not affected. However, as frequency increases the reactance of  $C_T$  decreases, \_\_\_\_\_ amplifier output impedance and reducing circuit gain.  
lowering/raising

34. (lowering) One method of increasing high frequency gain is to \_\_\_\_\_ the value of load resistance. However, this reduces overall gain and a point of diminishing return is quickly reached.  
increase/decrease

35. (decrease) A more desirable method of increasing high frequency gain and extending amplifier bandwidth is to connect small inductors, called \_\_\_\_\_ coils in the circuit.

36. (peaking) The peaking coil ( $L_1$ ) in the circuit of Figure 2-56 resonates with the circuit's total shunt capacitance  $C_T$  and increases output impedance at high frequencies. This type of peaking is called \_\_\_\_\_ peaking.

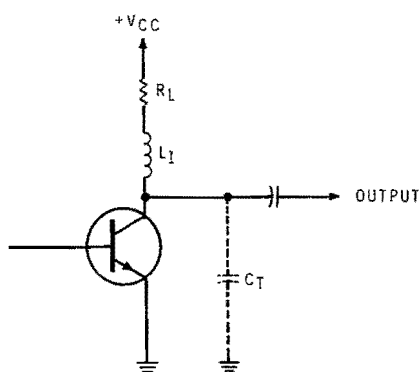


Figure 2-56  
 Circuit for frame 36.

37. (shunt) Another peaking method is shown in Figure 2-57. This type of peaking is superior to shunt peaking because it \_\_\_\_\_ the output capacitance of the first stage from the input capacitance of the second stage.

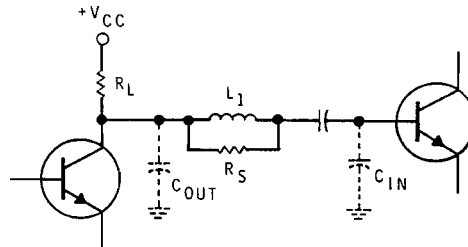


Figure 2-57  
Circuit for frame 37.

38. (isolates or separates) Inductor  $L_1$  in Figure 2-57 is effectively in series with the video signal path, therefore, this is known as \_\_\_\_\_ peaking.
39. (series) The combination of series and shunt peaking takes advantage of the individual merits of each and increases amplifier response to approximately 5 MHz. Therefore, shunt-series peaking is commonly used in video amplifiers.

## RF AND IF AMPLIFIERS

In a broad sense, radio communication means, the transfer of intelligence from one point to another through space. This transfer is possible because high frequency signals, called **radio frequency**, or **RF** signals, can be easily converted to electromagnetic energy by the transmitting antenna. The transmitting antenna radiates these electromagnetic waves into space and the receiving antenna intercepts them. Virtually all communication systems use this system to convey intelligence through free space. The radio frequency band is very wide, encompassing frequencies from about 10 kHz to 30,000 MHz.

The signal that arrives at a receiver's antenna contains only a minute fraction of the original transmitted power. For example, the power received by the antenna, may be only a few microwatts. Therefore, this input signal must be amplified many times before it has enough power to drive a speaker or produce a good picture on a television screen. Special amplifiers, known as **radio frequency** or **RF** amplifiers, perform this function.

Figure 2-58 is a simplified block diagram of a typical receiver, such as a portable AM radio. The receiving antenna is simply a piece of wire. When the transmitted electromagnetic waves cut this wire, signal voltages that correspond to the transmitted signal are induced into the antenna. Remember, these are extremely small voltages of only a few hundred microvolts, so they must be amplified before they can be processed. Notice that the receiver contains three different amplifier sections: The RF amplifier, the IF (intermediate frequency) amplifier, and the audio frequency amplifier.

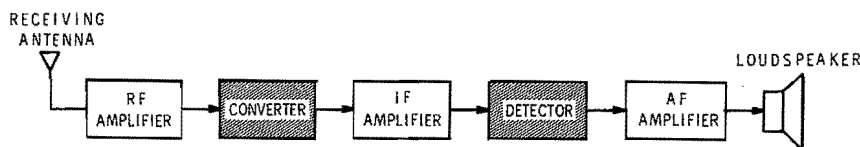


Figure 2-58  
Block diagram of a typical receiver.

The first stage in the receiver is the RF amplifier, a voltage amplifier that increases the signal voltages to a more usable level. But all radio communication systems use the air waves to carry information, so numerous signals are always cutting the antenna. Therefore, the receiver must select only the desired signal and reject all others. Tuned LC circuits strategically placed in the RF amplifier and antenna circuits make this selection possible.

You will recall that LC circuits are frequently employed as filters to pass certain bands of frequencies and reject all others. When an LC circuit is variable, you can “tune in” a wide range of frequencies by simply varying the capacitance or inductance of the LC network. This technique is employed in the RF amplifier of Figure 2-59.

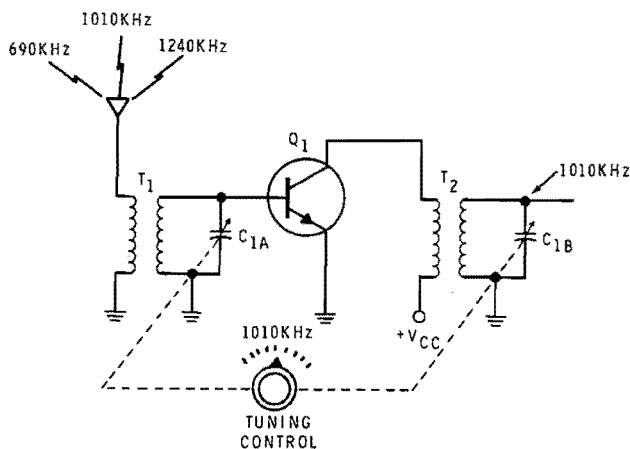


Figure 2-59

Selecting the desired signal.

Here we see that the primary of transformer  $T_1$  is connected to the antenna. Notice that the secondary of  $T_1$  and capacitor  $C_{1A}$  form a parallel LC network.  $Q_1$  is the RF amplifier transistor and the primary of  $T_2$  is the collector load. This amplified RF signal is transformer-coupled through  $T_2$  to the following circuit. The secondary of  $T_2$  and capacitor  $C_{1B}$  form another LC parallel network. Capacitors  $C_{1A}$  and  $C_{1B}$  are ganged together on a common shaft and this, in turn, serves as the tuning control.

In this example, the tuning control is set to 1010 kilohertz. The capacitors tune the circuits of  $T_1C_{1A}$  and  $T_2C_{1B}$  to 1010 kilohertz. Thus, the amplifier selects this one frequency and rejects all others. The degree to which this RF amplifier responds to the one desired signal while rejecting all others is known as the amplifier's **selectivity**, a very important characteristic for any receiver.

Returning to Figure 2-58, we find that the output from the RF amplifier is applied to the converter, a circuit that changes the variable RF (radio frequency) signal into a fixed-frequency signal called the intermediate frequency, or IF. This IF signal is then coupled to the IF amplifier, which usually has two or three fixed-frequency stages. (The role of the converter is described in detail in Unit 7.)

RF and IF amplifiers have several things in common. A special type of amplifier called the **tuned amplifier** is used for both of these applications. And both are considered high frequency amplifiers; therefore, they both have the problem of obtaining good high frequency response. But the distinct difference between IF and RF amplifiers is that the RF amplifier is usually tunable over a broad range of frequencies (the broadcast band for example), while the IF amplifier is fixed-tuned to only the receiver's IF frequency.

A tuning control, like the tuning knob on an AM radio, permits the user to adjust the frequency of the RF amplifier, and hence, select the station to be received. Now, let's explore this new category of tuned RF and IF amplifiers.

## Tuned Amplifiers

A tuned amplifier, like the one shown in Figure 2-60, always contains at least one tuned, or resonant, circuit and amplifies only a certain band of frequencies. Conversely, it rejects frequencies that are outside this band. The tuned circuit, which usually uses the primary or secondary windings of a transformer, often serves as a coupling device between cascaded amplifiers. Notice that this amplifier uses transformer coupling between stages.

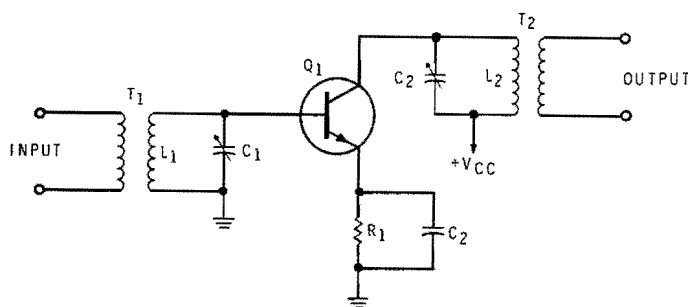


Figure 2-60  
A tuned amplifier.



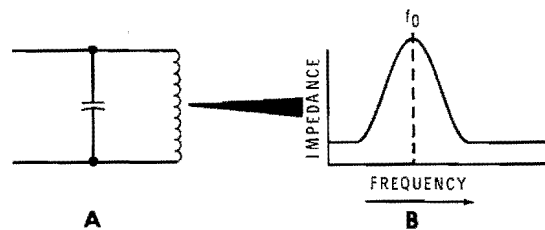
The input signal is transformer coupled to the base of  $Q_1$ . The transformer secondary,  $L_1$ , and capacitor  $C_1$  form a parallel resonant circuit. Therefore, at the resonant frequency, maximum input voltage is developed across the base of  $Q_1$ , while little or no signal is developed at other frequencies. The output signal is developed across the tuned circuit that consists of  $L_2$  and  $C_2$ . Again, maximum signal output is developed at the resonant frequency.

Since the characteristics of the tuned amplifier are determined by tuned circuits, let's briefly review tuned circuits and the effect they have on amplifier response and bandwidth.

The coil and capacitor of Figure 2-61A form a parallel resonant circuit. You will recall that capacitors and inductors, when combined, have a natural frequency at which they resonate. This frequency is expressed by the formula

$$F_o = \frac{1}{2\pi \sqrt{LC}}$$

Where  $F_o$  is the circuit's resonant frequency in hertz.  
 $L$  is the inductance in henries  
 $C$  is the capacitance in farads



**Figure 2-61**  
 A parallel LC circuit and its response curve.

The response curve in Figure 2-61B shows that this parallel network has maximum impedance at the resonant frequency. At frequencies above and below resonance, the impedance drops off rapidly to a low value. The shape of the response curve for this LC circuit determines two very important RF amplifier characteristics, bandpass and selectivity.

As Figure 2-62 shows, bandwidth is measured between points  $F_1$  and  $F_2$  on the response curve. As you can see, circuit impedance decreases to 70.7% of maximum at these points. Below  $F_1$  and  $F_2$ , circuit response is too low to produce a usable output and you will probably remember these as the **half-power points**. The shaded portion of the curve indicates that this circuit's bandwidth is 200 Hz.

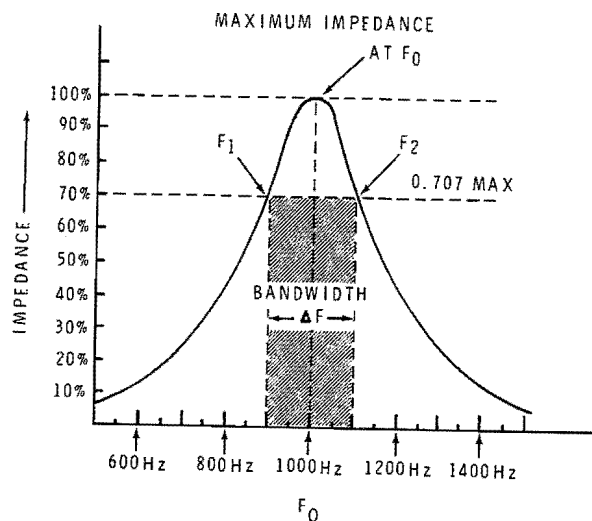


Figure 2-62

Bandwidth is measured between  
half-power points.

A distinct relationship exists between bandwidth and selectivity, the characteristic that makes a circuit select one frequency and reject all others. A circuit with a wide bandwidth will respond to a broad band of frequencies. Therefore, a wide bandwidth indicates the circuit is not very selective and will have poor selectivity. Conversely, a circuit with a narrow bandwidth is highly selective.

The bandwidth of a tuned circuit is determined, to a large degree, by the  $Q$  of the circuit. Figure 2-63 shows the effect  $Q$  has on bandwidth. As shown, circuit  $Q$  indicates two characteristics of tuned circuits:

1. The sharpness of the response curve at and around the resonant frequency; in other words, the selectivity of the circuit.
2. The impedance of the circuit at certain frequencies. This is an important concept, since an amplifier's output impedance determines its voltage gain. A higher impedance means that more voltage is developed across the load and, consequently, circuit gain is higher.

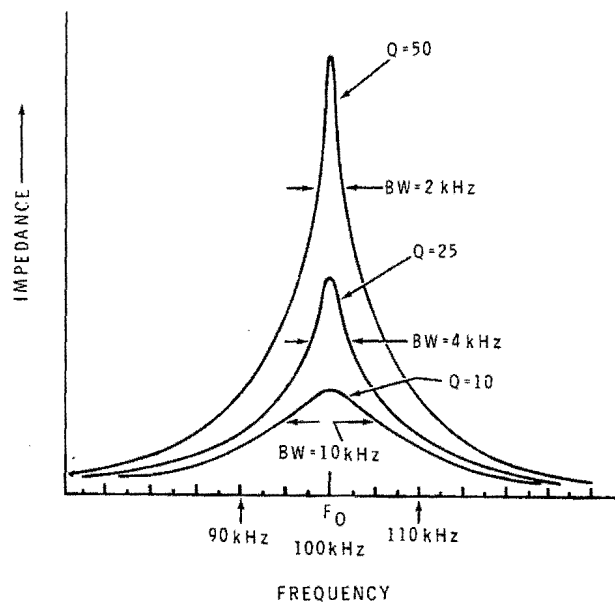


Figure 2-63

Bandwidth increases as  $Q$  decreases.

For any given circuit, the higher the  $Q$  the more selective the response (greater circuit selectivity), and the higher the impedance. This is illustrated in Figure 2-63. The circuit with a  $Q$  of 50 has a much higher response and a narrower bandwidth than the circuit with a  $Q$  of 25. From this example, you might correctly surmise that bandwidth can be increased by reducing circuit  $Q$ . The following mathematical expression for bandwidth bears this out.

$$BW = \frac{F_o}{Q}$$

When the  $Q$  of the circuit is reduced even further, bandwidth naturally increases. It seems that there is a paradox here. Since amplifier gain is important, it might appear that a high  $Q$  circuit is always desirable. But on the other hand, RF amplifiers must have a wide bandpass, sometimes as high as 6.0 MHz, so lower  $Q$  seems desirable from this standpoint. High gain transistors, of course, somewhat reduce the need for extremely high  $Q$  circuits for the sake of gain. So instead, emphasis can now be shifted to the problem of widening the bandwidth to obtain the required frequency response.

Whereas the simple LC circuit just described is sometimes used to tune amplifiers, a more common method is to use an untuned primary coil that is inductively-coupled to a tuned secondary coil, as shown in Figure 2-64. With this type of coupling, additional gain is possible because the secondary has more turns than the primary. Therefore, this tuned interstage transformer actually steps up voltage.

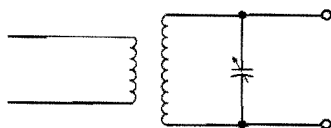


Figure 2-64

A common form of transformer coupling.

The shape of the response curve for transformer coupled stages depends to a large extent upon the degree of coupling between coils. When a greater coefficient of coupling is used, more signal energy is transferred. When more signal is transferred, a wider band of frequencies is also transferred. Figure 2-65 shows the effect coupling has on tuned circuit response. Here we see transformers with tuned primaries and tuned secondaries, a method of coupling frequently employed between IF amplifiers.

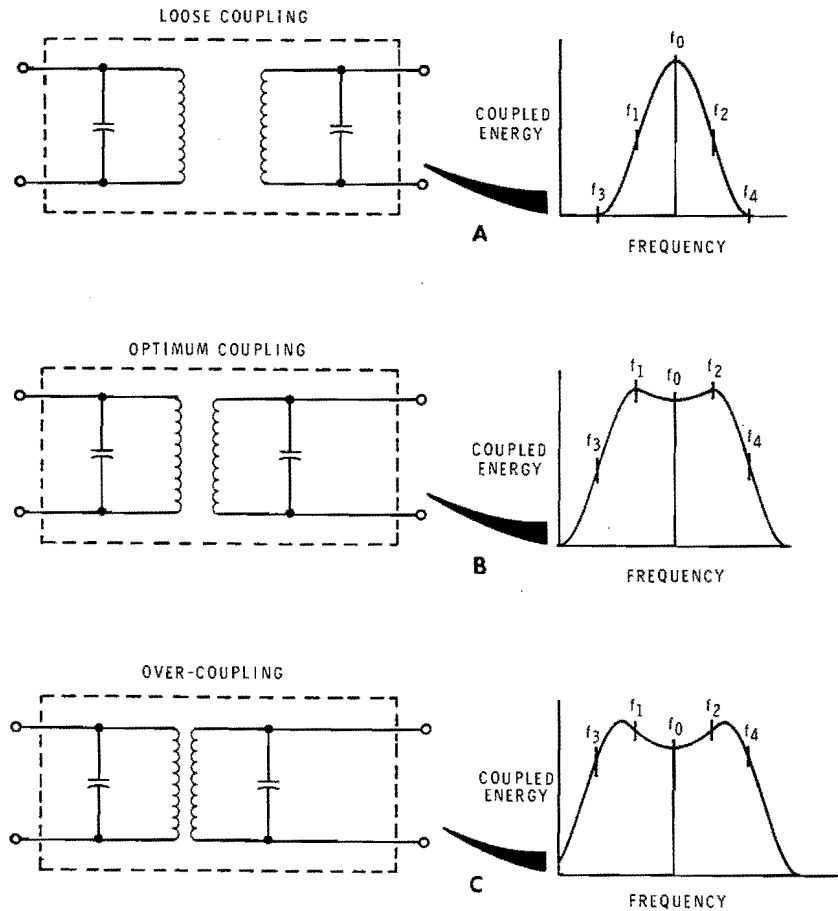


Figure 2-65  
The effect of coupling on response.

When the coefficient of coupling is low (when the coils are relatively far apart), as shown in Figure 2-65A, the interaction between coils is small. The secondary response curve retains its original shape. As shown, this circuit passes the center frequency but severely attenuates frequencies  $F_1$  and  $F_2$ .

As the coefficient of coupling is increased, the secondary circuit reflects a larger impedance into the primary. This, in turn, changes the number of flux lines which cut the secondary coil. The end result is a gradual broadening of both primary and secondary response curves, resulting in the response shown in Figure 2-65B. Notice that the response curve is relatively flat between  $F_1$  and  $F_2$ , so frequencies between these points would receive equal response.

Figure 2-65C shows the other extreme, known as **over coupling**. Here the coils are placed very close and a pronounced dip appears in the center of the response curve. As coupling increases the curve broadens and the dip becomes more pronounced.

The optimum amount of coupling is shown in Figure 2-65B. This flat response curve provides good wide band response for the desired signals between  $F_1$  and  $F_2$ . The undesired frequencies of  $F_3$  and  $F_4$  are severely attenuated, as indicated by the relatively sharp "skirts" of the response curve.

Of course, there are some situations where even this wide band response isn't sufficient. This is the case with RF amplifiers for television receivers, which must have a 6.0 MHz bandpass. However, if a low value resistor is shunted across the tuned LC circuit, the curve can be artificially flattened. Naturally this swamping resistor reduces the Q of the circuit and, therefore, an inevitable reduction in output occurs.

Figure 2-66 shows the effect tuned circuits have when connected in the RF amplifier circuit. As shown at the left, many frequencies are picked up by the antenna and coupled to the base of  $Q_1$  through transformer  $T_1$ . Notice that the secondary of  $T_1$  is tuned by capacitor  $C_1$  forming a resonant circuit. This causes the input circuit to be slightly more responsive to the band of frequencies from  $F_1$  and  $F_2$  than it is to the other frequencies.

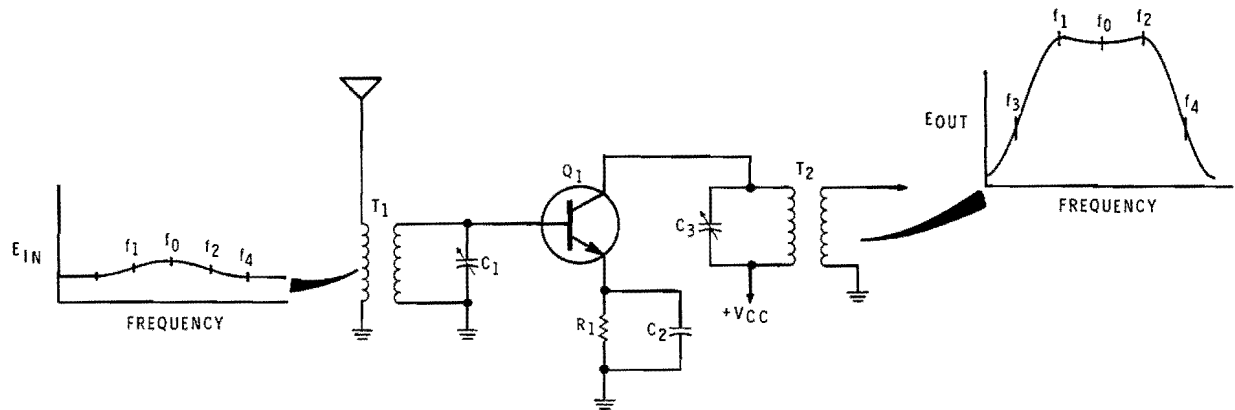


Figure 2-66

Comparing input and output response  
of an RF amplifier.

Turning our attention to the transistor output circuit we see that the collector load impedance is comprised of the parallel tuned circuit of  $C_3$  and the primary of  $T_2$ . This circuit is tuned so it is resonant at  $F_0$ . The degree of coupling between the primary and secondary of  $T_1$  is adjusted to present a relatively flat response between frequencies  $F_1$  and  $F_2$ . Therefore, between these frequencies, the collector load impedance is maximum and the voltage gain of the amplifier is large. The result is a large voltage gain, as evidenced by the response curve.

For frequencies outside this band,  $F_3$  and  $F_4$  for example, the impedance of the collector load circuit is significantly reduced. Hence, the voltage gain for these frequencies is very low and they fall outside the bandpass of the amplifier. The tuned circuits provide the necessary bandwidth and, therefore, the selectivity of this RF amplifier.

## Amplifier Neutralization

When we discussed Miller effect capacitance in the video amplifier section, we stressed the degenerative effect of this capacitance. Remember, video amplifiers must have flat response only for frequencies up to 5.0 MHz. For RF and IF amplifiers, Miller capacitance has a much more pronounced effect. Especially when you consider that some RF amplifiers, such as those used in television tuners, must amplify signals higher than 200 MHz.

Of course, RF amplifiers can be operated as either common-emitter or common-base amplifiers. The common-base circuit is not affected very much by Miller capacitance and is occasionally used in RF amplifiers because of this characteristic. However, the current gain of the common-base circuit is approximately 1. The common-emitter circuit, on the other hand, has somewhat higher current gain and therefore is preferred because of this factor.

You will recall that Miller effect capacitance is the result of the inherent collector-to-base capacitance of transistors. Also, Miller capacitance varies with the current gain ( $\beta$ ) of the amplifier. The expression  $C_M = C_{CB} (\beta + 1)$  shows the variation. At the high frequencies normally processed by RF amplifiers, Miller capacitance has a much more pronounced effect than it did on the relatively low video frequencies.

To begin with, the signal fed back to the base via the collector-base junction may be either degenerative or regenerative, depending on the frequency and the type of collector load used. If it is degenerative, the feedback signal partially cancels the signal at the base and causes distortion. Conversely, if it is regenerative, the feedback signal reinforces the input signal and the circuit breaks into oscillation. When oscillation occurs, the amplifier becomes an electronic generator and produces a signal frequency of its own, regardless of the input signal. Both conditions are undesirable and, therefore, Miller effect capacitance must be neutralized or offset.

This is accomplished by a **neutralization** circuit that deliberately feeds back a signal 180° out-of-phase with the signal produced by the Miller effect capacitance. Thus, the neutralizing signal cancels the effect of the undesired feedback signal.



Figure 2-67A shows a simplified RF amplifier circuit. In this circuit, Miller capacitance  $C_M$  couples a  $180^\circ$  out-of-phase collector signal back to the base. This unwanted feedback signal is degenerative. To cancel it, neutralizing capacitor  $C_N$  couples an in-phase signal back to the base. This feedback voltage is taken from the  $T_1$  secondary and is  $180^\circ$  out-of-phase with the collector voltage. Therefore, the voltage developed across  $C_N$  offsets the unwanted degenerative feedback and restores normal circuit gain. Capacitor  $C_N$  is variable to permit adjustment of the neutralizing voltage.

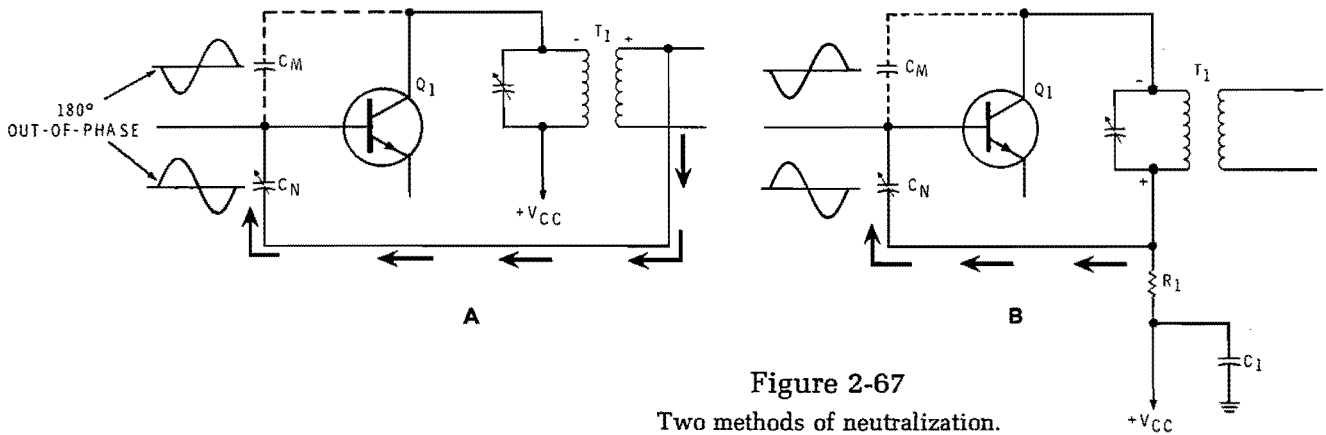


Figure 2-67

Two methods of neutralization.

Figure 2-67B shows another scheme for neutralization. In this circuit the neutralizing voltage is taken from the bottom of transformer  $T_1$  primary. The signal voltage at this point is naturally  $180^\circ$  out-of-phase with the collector because of the difference in polarities across the transformer.  $C_N$  couples the in-phase feedback voltage to the base of  $Q_1$  and effectively neutralizes the stage. The decoupling network comprised of resistor  $R_1$  and capacitor  $C_1$  prevents interaction through the power supply.

## Typical RF Amplifiers

The transistor RF amplifier used in a receiver circuit must meet four requirements. It must: Provide sufficient stage gain, produce low internal noise, provide good selectivity, and respond equally well to all frequencies of the selected signal. A well-designed tuned amplifier can fulfill these requirements.

Figure 2-68 shows the RF amplifier stage for a portable AM radio. This radio uses the popular ferrite loop antenna. Notice that the antenna has two windings on a ferrite core. Capacitor  $C_1$  tunes the antenna input winding to the desired frequency. Also note that capacitors  $C_1$  and  $C_4$  are ganged together on a common shaft. Therefore, when the input circuit is tuned (with the tuning control), output transformer  $T_1$  is also tuned to the same frequency.

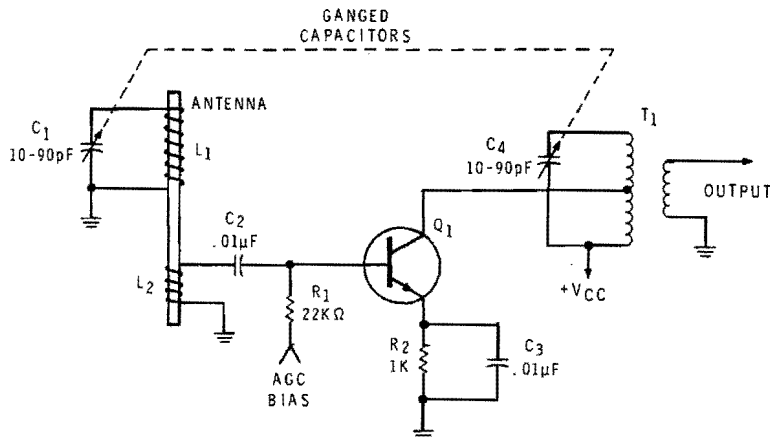


Figure 2-68

The RF amplifier for an AM radio.

The input signal is coupled to the base of  $Q_1$  by the lower winding on the antenna core,  $L_2$ . Transistor  $Q_1$  operates class A and receives bias from a special AGC or **automatic gain control** circuit. The AGC bias voltage automatically compensates for variations in input signal strength and maintains constant gain over a wide range of input voltages.

The collector load circuit,  $T_1$  primary and  $C_4$ , is tuned by capacitor  $C_4$  to resonate at the desired (tuned in) frequency. This load circuit provides high voltage gain at the resonant frequency. Hence, the output from the transformer will contain only the tuned-in frequencies. Output transformer  $T_1$  is tapped to provide a good impedance match for the transistor collector.

Figure 2-69 shows another type of RF amplifier. This circuit, which is used in a television VHF tuner, is inductively tuned by coils  $L_{2A}$ ,  $L_{2B}$ , and  $L_{2C}$ . This type of tuning is used because each television channel occupies a 6.0 MHz bandwidth. When the channel selector is rotated, a new set of coils is switched into the circuit for each channel. This produces the necessary wideband response for each channel.

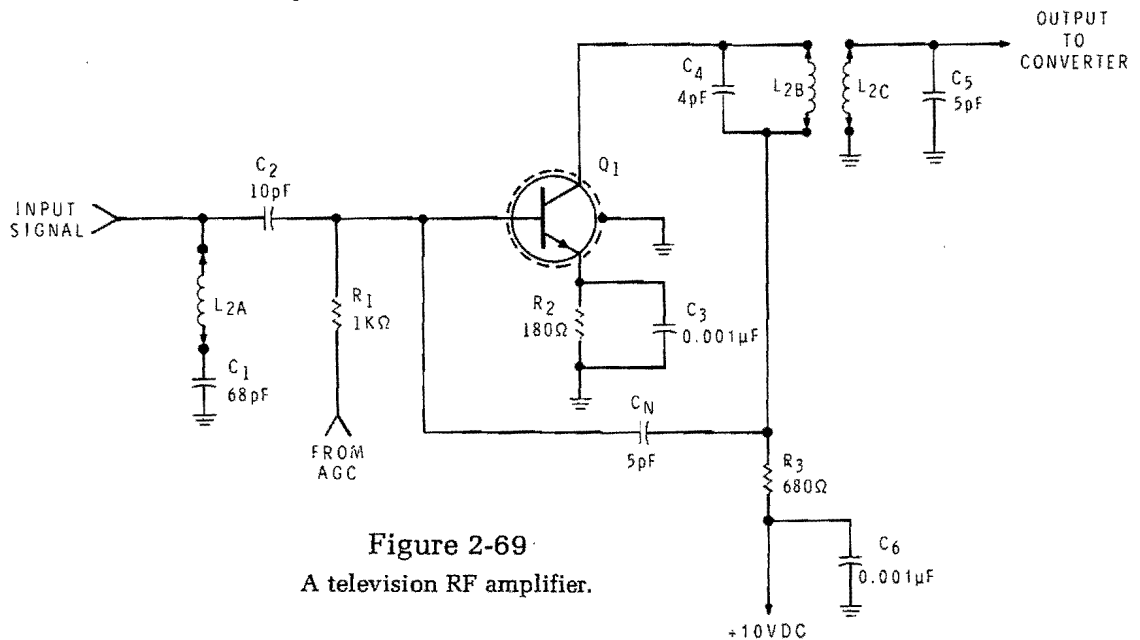


Figure 2-69  
A television RF amplifier.

The input signal from the antenna is developed across the tuned circuit consisting of  $L_{2A}$ ,  $C_1$ , and  $C_2$ .  $Q_1$  operates as a class A amplifier. Bias for the amplifier is provided by an AGC circuit similar to that of the AM radio. The collector output circuit is a double-tuned transformer. Coil  $L_{2B}$  is tuned by capacitor  $C_4$  while  $L_{2C}$  is tuned by  $C_5$ . A decoupling filter,  $R_3C_6$ , prevents any RF from entering the power supply and interacting with other circuits. Capacitor  $C_N$  is the neutralizing capacitor for the stage.

This circuit uses a transistor with a grounded shield. This reduces the possibility that the transistor will pick up any stray RF signals, and also decreases any RF radiation that might emit from the transistor.

## Frequency Multipliers

An interesting variation of the RF amplifier is shown in Figure 2-70A. On the surface it looks like an ordinary fixed-tuned RF amplifier. However, notice that it is biased to operate Class C. That is, it conducts only when the input signal swings positive enough to overcome the negative DC bias voltage on the base. Thus,  $Q_1$  conducts only on the positive peak of each input cycle. Notice that a pulse of current will flow through the tank circuit in the collector each time  $Q_1$  conducts. If this tank circuit is tuned to the frequency of the input signal, its natural flywheel effect will reproduce the input sine wave. Some RF power amplifiers operate in this mode.

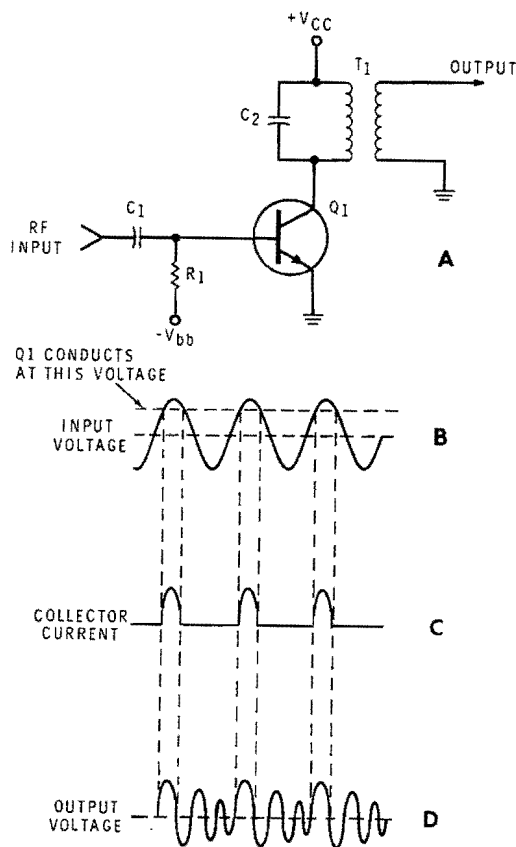


Figure 2-70  
The frequency multiplier and its  
waveforms.

This circuit provides an easy way to produce higher radio frequencies. For example, let's assume that the tank circuit is tuned to three times the input frequency. The pulse of current that occurs each time  $Q_1$  conducts will shock excite the tank into oscillation. Because of the flywheel effect, the tank will oscillate for a few cycles at its natural resonant frequency. If it were not for additional current pulses, the oscillation would slowly die out. However, if the resonant frequency is exactly three times the input frequency, the next pulse of current from  $Q_1$  will occur at the proper point in the third cycle to keep the oscillations going. This is also illustrated in Figure 2-70.

In Figure 2-70B, the RF input to  $Q_1$  is shown.  $Q_1$  conducts only at the positive peaks. The pulses of collector current are shown in Figure 2-70C. These pulses shock excite the tank circuit producing the output shown in Figure 2-70D. Notice that the pulses of current must occur at the right point in the cycle to sustain oscillation. This occurs when the tank is tuned to an exact multiple of the input frequency.

This circuit is called a tripler since the output frequency is three times the input frequency. Frequency doublers are also popular. Even frequency quadruplers are possible. If higher multiples are required, two or more frequency multipliers can be cascaded together. For example, three triplers can produce frequency multiplication by a factor of:  $3 \times 3 \times 3 = 27$ .

This technique is often used in transmitters to obtain a stable high frequency from a stable low frequency.

## IF Amplifiers

Virtually all receivers convert the received RF signal to an intermediate frequency (IF) prior to detecting the transmitted intelligence. Let's look at some of the reasons for doing this.

A standard AM radio can receive frequencies between about 535 kHz and 1605 kHz. Thus, the RF amplifier must be tunable over this entire range. An amplifier that is tunable over this wide range is relatively expensive to build and it will probably have low gain. To provide good sensitivity, a series of these amplifiers would have to be connected one after the other. This would result in a very expensive receiver.

This problem can be overcome by converting the received RF signal to a lower and, more importantly, a constant intermediate frequency (IF). In most standard AM receivers, the incoming RF, regardless of its frequency, is converted to an IF of 455 kHz. The type of stage that performs this conversion will be discussed in a later unit. For now, let's concentrate on the amplifier stages of the receiver.

Once the received signal is changed to a constant intermediate frequency, a fixed-tuned IF amplifier can be used to increase the signal to a usable level. Because the IF amplifier always operates at the same frequency, its characteristics can be optimized.

Generally, two or more IF amplifiers are necessary to increase the received signal to the proper level. These amplifiers are largely responsible for the overall sensitivity and selectivity of the receiver. The sensitivity is determined to a large extent by the gain of the IF amplifier. The higher the gain, the better the sensitivity will be. The selectivity of the receiver is optimized by carefully tuning the IF amplifiers at the time of manufacture. A properly tuned IF section will amplify the desired signals while rejecting all others.

Another important characteristic of the IF amplifier is its **bandwidth**. In order to recover the intelligence from an IF signal, a band of frequencies must be passed through the IF amplifier to the detector. In a standard AM radio, this band of frequencies extends 5 kHz above and below the center intermediate frequency (455 kHz). Thus, the IF amplifier must have a bandwidth of about 10 kHz. Ideally, it should pass all frequencies within this range equally well. At the same time it should block all frequencies outside this range. Thus, the ideal response curve is shown in Figure 2-71A.

In practice, such a response curve is impossible to achieve and a compromise must be made. A more realistic response curve is shown in Figure 2-71B. Here, the required band of frequencies is amplified, but the high and low end frequencies are amplified slightly less than the center frequency. The amplifier also responds to some unwanted frequencies at both ends of the band.

Figure 2-72 shows the RF, IF, and bandwidth frequencies encountered in standard AM, FM, and television receivers. Notice that the center frequency and bandwidth requirements of the various IF amplifiers vary widely from one type of receiver to another. In the AM and FM radios, the IF amplifiers can be tuned sharply since the bandwidth is low compared to the center IF frequency. These tuned circuits can have fairly large values of Q, which allows high amplifier gain. For this reason, only one or two stages of IF amplification are frequently used in AM and FM receivers. However, in a TV receiver, the bandwidth is much wider; and because of this, the gain of each stage must be somewhat lower. Consequently, TV sets frequently have three or four IF amplifier stages.

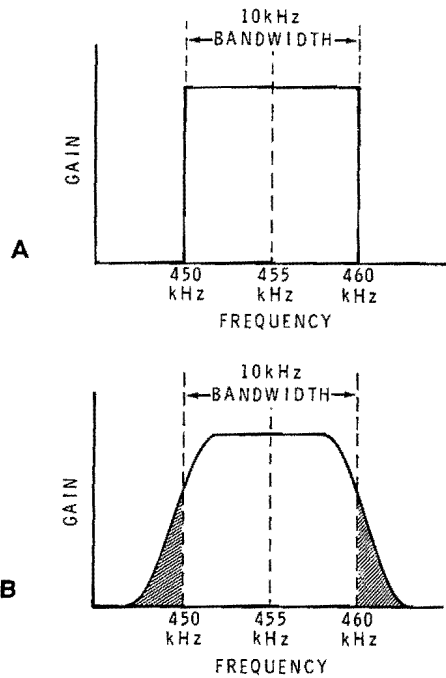


Figure 2-71

Ideal versus practical response curve.

SERVICE	RECEIVED RF	COMMON IF	BANDWIDTH
AM RADIO	535 kHz — 1605 kHz	455 kHz	10 kHz
FM RADIO	88 MHz — 108 MHz	10.7 MHz	150 kHz
TELEVISION			
Channels 2 — 6	54 MHz — 88 MHz		
Channels 7 — 13	174 MHz — 216 MHz	41 — 47 MHz	6 MHz
Channels 14 — 83	470 MHz — 890 MHz		

Figure 2-72

Comparison of RF, IF, and bandwidths used in radio and television.

A typical IF amplifier is shown in Figure 2-73A.  $C_1$  and the primary of  $T_1$  form a parallel resonant circuit which is tuned by the manufacturer to the intermediate frequency. In the same way,  $C_2$  and the primary of  $T_2$  tune the collector circuit of  $Q_1$  to the IF. This stage is typical of those found in AM radios where the IF is 455 kHz. Because of the low frequencies involved and the relatively narrow bandwidth, this type of circuit is not very demanding.

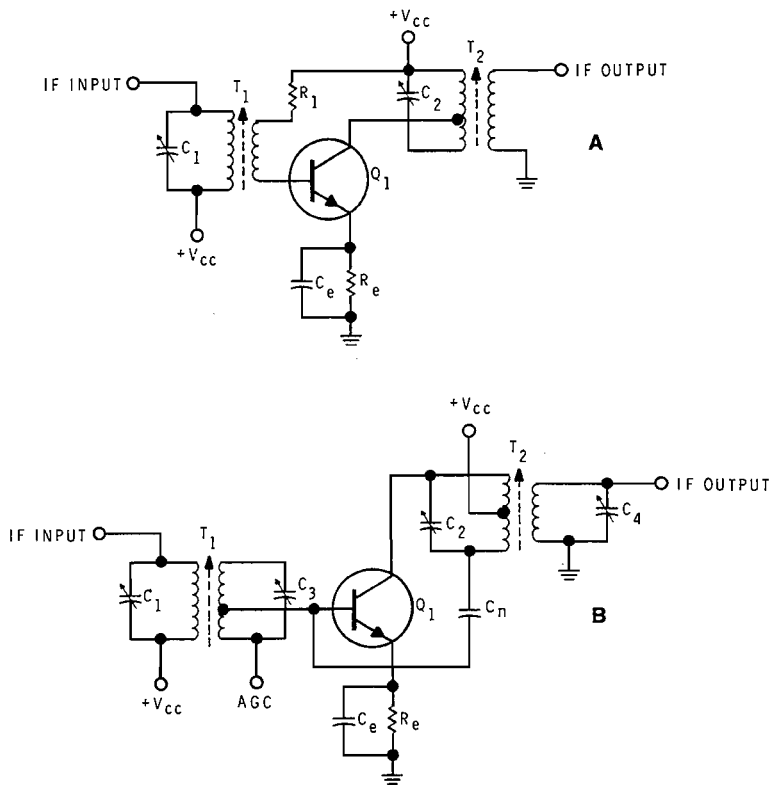


Figure 2-73  
Typical IF amplifiers.

Figure 2-73B shows a somewhat more complex stage such as might be found in a TV receiver. Some additional components are added. First, because of the higher frequency (around 45 MHz), neutralization may be required.  $C_N$  is provided for this purpose. It feeds back a signal to the base of  $Q_1$  that is of the proper amplitude and phase to cancel out any regenerative feedback through the collector-base capacitance.



Notice also that the base of  $Q_1$  is not returned to  $+V_{CC}$ . Instead, the bias voltage comes from the AGC line. As mentioned earlier, AGC stands for **automatic gain control**. Most receivers have a special circuit that produces a DC bias voltage proportional to the received signal strength. By using this voltage to bias one of the IF amplifiers, the receiver can automatically compensate for changes in the strength of the received signal.

When IF amplifiers must have very wide bandwidths, a technique called **stagger tuning** is sometimes used. Here, each of the resonant circuits is tuned to a slightly different frequency. The individual response curves for each tuned circuit are shown in Figure 2-74. The overall response of the amplifiers is shaped by the individual response curves. Thus, the resulting overall response will appear somewhat like the upper curve in the figure.

Two other techniques are also commonly used to increase bandwidth. One is called **over coupling** and was discussed earlier. The other involves placing a relatively low value resistor in parallel with the LC tank. Recall that the  $Q$  of a tank circuit can be lowered by a shunt resistor. This also tends to broaden the response curve.

One final variation of the IF amplifier is shown in Figure 2-75. Here piezoelectric crystals ( $FL_1$  and  $FL_2$ ) are used as selective filters. These devices will be discussed later when you study oscillators. As you will see, they are made of a ceramic or quartz material that exhibits the piezoelectric effect. When cut to a specific size and shape they can be made to act like a series resonant LC circuit. Thus, they can be manufactured so that they pass a specific band of frequencies. The circuit shown is from an AM radio. These filters pass a 10 kHz band of frequencies that is centered on 455 kHz. While this desired band of frequencies is passed, these filters offer a very high impedance to frequencies outside this band. The response of these filters is sharp enough so that no other frequency selective devices are needed in this IF amplifier.

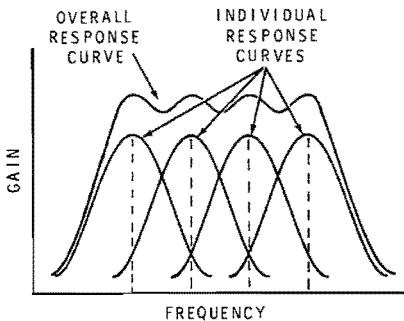
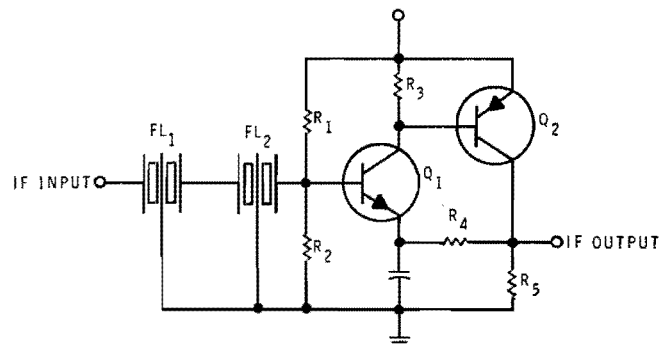


Figure 2-74

The bandwidth can be increased by stagger tuning.

Figure 2-75  
The IF amplifier uses ceramic filters as the frequency selective devices.



## Programmed Review (continued)

40. RF amplifiers use tuned circuits in the collector and base circuits to provide uniform gain at high frequencies. Therefore, the RF amplifier can be classified as a \_\_\_\_\_ amplifier.

41. (tuned) Tuned LC circuits produce two characteristics of tuned amplifiers, bandwidth and selectivity. Amplifier bandwidth determines the range of frequencies that are amplified. An amplifier with a narrow bandwidth has \_\_\_\_\_ selectivity.  
good/poor

42. (good) Conversely, an amplifier with a \_\_\_\_\_ bandwidth has a poor selectivity.  
wide/narrow

43. (wide) Circuit Q affects tuned circuit bandwidth. A high Q circuit has a \_\_\_\_\_ bandwidth.  
wide/narrow

44. (narrow) One method of producing good wideband response in tuned amplifiers is to use tuned interstage transformers. When tuned transformers are used, the degree or coefficient of \_\_\_\_\_ determines amplifier bandwidth.

45. (coupling) Common-emitter (RF) amplifiers are adversely affected by Miller capacitance. This collector-to-base capacitance can produce either regenerative or degenerative feedback, both undesirable. To offset or cancel the effect of this capacitance the amplifier must be \_\_\_\_\_.

46. (neutralized) In some RF amplifiers, the collector circuit is tuned to an exact multiple of the input frequency. Such a circuit is called a \_\_\_\_\_.

47. (frequency multiplier) In most receivers, the RF signal is converted to a lower frequency prior to recovering the intelligence. This lower frequency is called an \_\_\_\_\_ frequency.

48. (intermediate) Because the IF amplifier operates at a fixed frequency, it can have relatively high gain. Thus, it contributes significantly to the \_\_\_\_\_ of the receiver.

49. (sensitivity) Carefully tuning the IF amplifier at time of manufacture will improve the \_\_\_\_\_ of the receiver.

50. (selectivity) Although selectivity is important, the IF amplifiers must pass a band of frequencies if the intelligence is to be recovered without distortion. Thus, another important characteristic of the IF amplifier is its \_\_\_\_\_.

51. (bandwidth) One method of increasing the bandwidth is to tune the resonant circuits to slightly different frequencies. This is called \_\_\_\_\_ tuning.

52. (stagger) Other methods include overcoupling and connecting a \_\_\_\_\_ in shunt with the tank circuits.

(resistance)

## UNIT SUMMARY

DC amplifiers naturally amplify low frequency AC signals. However, low frequency amplifiers cannot amplify DC voltages.

You can usually place an amplifier in one of the amplifier categories, such as DC or audio, by closely examining the coupling components used between the stages or the collector load circuits. For example, an amplifier with a DC blocking component such as a capacitor or transformer in the signal path cannot be used as a DC amplifier. These coupling components automatically place this amplifier in another category.

When amplifiers are cascaded, thermal stability is of extreme importance. Any thermal change in gain that is produced in an early stage will be amplified in the following stages, compounding the error.

Degeneration results in a reduction in amplifier gain. On the other hand, a regenerative amplifier produces an increase in gain.

High Q LC circuits pass a narrow range of frequencies. The higher the Q, the narrower the band pass. When this characteristic is added to a basic amplifier, the resulting circuit amplifies a narrow band of frequencies.

Impedance matching of amplifiers is extremely important. Remember, maximum power is transferred between stages when output impedance matches input impedance. Usually, impedance matching between amplifiers is a compromise. Low impedance amplifiers can be used to drive high impedance circuits. However, high impedance amplifiers cannot drive low impedance circuits.

Since most amplifiers use a common power supply, interaction can occur between stages. Therefore, decoupling capacitors are commonly used in the power supply to shunt these stray signals to ground and prevent interaction through the power supply.

Audio amplifiers are capable of amplifying frequencies that range from 20 Hz to 20 kHz. Video amplifiers, on the other hand, must be capable of uniformly amplifying signals that range from 10 Hz to 5 MHz. Video amplifier low frequency response can be increased if DC coupling is used, or if large value coupling capacitors are used between stages. Obtaining the necessary high frequency response is a bit more difficult.

Shunt capacitances, inherent in transistor circuits, do not affect low frequency response because they have a high reactance at low frequencies. However, as frequency increases, the reactance of these shunt capacitances decreases, lowering the output impedance. This decreasing impedance lowers voltage gain for high frequencies.

Peaking coils can be used to offset the degenerative effects of this shunt capacitance. When shunt peaking is used, the small inductance resonates with the shunt capacitance, increasing output impedance and widening high frequency response. When a series peaking coil is connected between amplifier stages, the output and input capacitances are isolated. Series peaking is even more effective than shunt peaking. Frequently, a combination of both peaking methods is used to produce the desired high-frequency response.

RF amplifiers must amplify signals at extremely high frequencies. At these high frequencies, the inherent capacitances of the circuit can feed back a positive signal that causes the circuit to be regenerative. To neutralize this regenerative feedback, degenerative feedback is purposely introduced, usually with a neutralizing capacitor. RF amplifiers also differ from lower frequency amplifiers because inductive loads are usually employed. Many RF amplifiers are tuned by reactive components to increase amplifier selectivity.

IF amplifiers are used in heterodyne receivers, such as radios and television sets, to increase the signal level of the intermediate frequencies. IF amplifiers usually employ tuned transformer coupling between stages. These interstage coupling transformers are fixed-tuned to the intermediate frequency and are adjusted for maximum gain at the required pass band. Consequently, the IF amplifiers determine the selectivity of the receiver.

## UNIT EXAMINATION

The following multiple choice examination is designed to test your understanding of the material presented in this unit. Place a check beside the multiple choice answer (A, B, C, or D) that you feel is most correct. When you have completed the examination, compare your answers with the correct ones that appear after the examination.

1. Concerning frequency, which sequence is correctly arranged from lowest to highest?
  - A. AF, DC, Video, RF, IF.
  - B. DC, AF, Video, RF, IF.
  - C. Video, AF, DC, IF, RF.
  - D. DC, AF, Video, IF, RF.
  
2. Which method of coupling can **not** be used with DC amplifiers?
  - A. Direct.
  - B. Resistor.
  - C. Zener.
  - D. Transformer.
  
3. What is the voltage gain of the circuit in Figure 2-76?
  - A. 110.
  - B. 29700.
  - C. 17.
  - D. 43.
  
4. What is the approximate input impedance of the circuit in Figure 2-76?
  - A. 1 M $\Omega$ .
  - B. 110  $\Omega$ .
  - C. 42  $\Omega$ .
  - D. 29.7 k $\Omega$ .
  
5. An audio amplifier must be able to reproduce a full sine wave signal voltage swing without distortion. Which mode of operation does this call for?
  - A. Class A.
  - B. Class B.
  - C. Class C.
  - D. Class D.

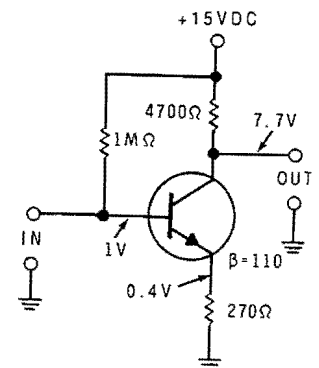


Figure 2-76

Circuit for Questions 3 and 4.

6. A push-pull audio power amplifier uses two transistors. In what class do these transistors usually operate?
  - A. Class A.
  - B. Class B or AB.
  - C. Class C.
  - D. Class D.
  
7. A circuit which produces two equal amplitude AC signals that are 180° out of phase is called a:
  - A. Push-pull amplifier.
  - B. Double-ended circuit.
  - C. Phase splitter.
  - D. Phase-shift amplifier.
  
8. How much power would a properly operating circuit be delivering if a 25.5 volts peak-to-peak undistorted sine wave was measured across an 8 Ω load?
  - A. 16 watts.
  - B. 78 watts.
  - C. 10 watts.
  - D. 204 watts.

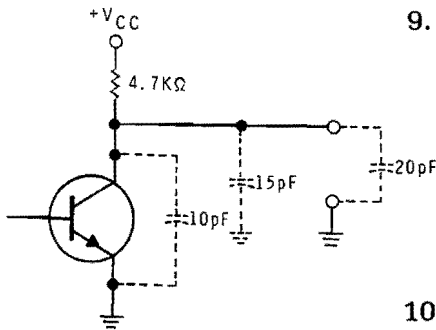


Figure 2-77  
Circuit for Question 10.

9. What is characteristic of a direct coupled complementary power amplifier?
  - A. It has a high damping factor.
  - B. DC voltage signals can be amplified.
  - C. It requires a positive and negative power supply.
  - D. All of the above are correct.
  
10. The video amplifier shown in Figure 2-77 uses a 4700 ohm load resistor. The output capacitance of the transistor is 10 picofarad. The lead capacitance to the chassis has been found to be 15 picofarad. The circuit works into a 20 picofarad load. With this information, what is the cutoff frequency ( $F_{CO}$ )?
  - A. 211.5 kHz.
  - B. 104 kHz.
  - C. 753 kHz.
  - D. 100 kHz.

11. The overall bandwidth of an IF system can be increased by:
- A. Stagger tuning the resonant circuits.
  - B. Using transformers that are coupled greater than the critical point.
  - C. Placing a resistor across the tuned circuit.
  - D. All of the above.
12. The response curve for a tuned RF amplifier is shown in Figure 2-78. This circuit has a bandwidth of:
- A. 40 kHz.
  - B. 50 kHz.
  - C. 80 kHz.
  - D. 160 kHz.

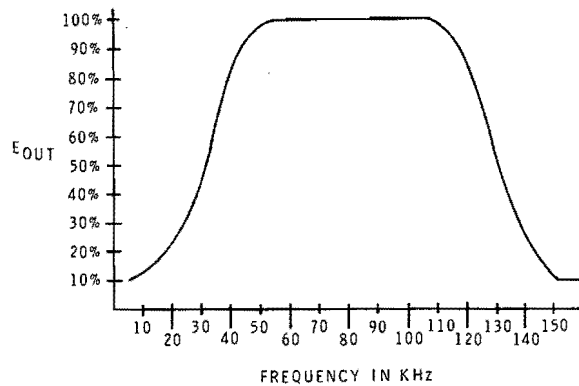


Figure 2-78

Response curve for Question 12.

13. From the statements below, select the one true statement.
- A. A tuned LC circuit with a wide bandwidth has good selectivity.
  - B. A tuned LC circuit with a narrow bandwidth has poor selectivity.
  - C. A tuned LC circuit with a high Q has good selectivity.
  - D. A tuned LC circuit with a high Q has poor selectivity.



14. You could neutralize the RF amplifier shown in Figure 2-79 by connecting a capacitor between:

- A. Points C and B.
- B. Points D and B.
- C. Points E and B.
- D. Point B and ground.

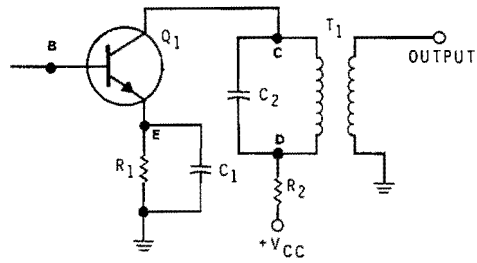


Figure 2-79  
Circuit for Question 14.

## EXAMINATION ANSWERS

1. D — The frequency ranges are:

DC = 0.

AF = 20 hertz to 20 kilohertz.

Video = 10 hertz to 5 megahertz.

IF = could be any frequency between the AF and RF ranges.

RF = could be many megahertz.

There are cases where the IF frequency in one receiver may be higher than the RF frequency in another. If you thought of this, you may have answered "B." However, IF frequencies are generally considered to be lower than RF frequencies.

2. D — Because there is complete DC isolation between the primary and secondary. Transformer coupling cannot be used in a DC amplifier.
3. C — To calculate the voltage gain of an amplifier, divide the collector load resistance by the emitter resistance. Therefore,

$$\frac{4700}{270} = 17.4, \text{ which is close to the correct answer of } 17.$$

4. D — To compute the approximate input impedance of the amplifier, multiply the emitter resistance by the beta. Therefore,

$$270 \times 110 = 29,700 \text{ or } 29.7 \text{ k}\Omega.$$

5. A — The audio amplifier must reproduce the signal through 360° of the sine wave signal. The Class A amplifier must be used.
6. B — Push-pull audio power amplifier transistors usually operate class B or AB. It is possible for them to operate Class A. However, this results in needless power being wasted when no signal is applied.

7. C — A phase-splitter produces two equal amplitude signals that are  $180^\circ$  out of phase.
8. C —  $25.5 \text{ Vp-p} \times .353$  equals  $9 \text{ Vrms}$ .

Then to find the power dissipated by the load, use the formula

$$P = \frac{E^2}{R}$$

$$P = \frac{81}{8} = 10.125 \text{ watts, or about } 10 \text{ watts.}$$

9. D — Since there is no output coupling capacitor used and there is a direct connection between the power amplifier and the load, there will be less resistance in the path resulting in a high damping factor. Also, direct coupling means that DC signals can be amplified.

Positive and Negative power supplies are needed so the idle voltage (zero) can be connected directly to the load without dissipating power.

10. C —  $F_{co}$  is computed with the formula

$$F_{co} = \frac{1}{2 \pi R_L C_T}$$

$$R_L = 4700 \Omega$$

$$C_T = 45 \text{ pF}$$

$$2\pi = 6.28$$

Therefore,

$$F_{co} = \frac{1}{6.28 (4.7 \times 10^3) (45 \times 10^{-12})}$$

$$F_{co} = 752,887 \text{ Hz}$$

or about: 753 kHz

11. D — The bandwidth can be increased by any of these methods.
12. C — The bandwidth is measured between the half power points (70.7%). The bandpass is from 40 kHz to 120 kHz. Thus, the bandwidth is 80 kHz.
13. C — A tuned LC circuit with a high Q has good selectivity.
14. B — The neutralizing capacitor should be connected between points B and D.



*Unit 3*

**OPERATIONAL AMPLIFIERS**

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## INTRODUCTION

The development of the operational amplifier had a dramatic effect on the electronic market. When operational amplifiers first became available they were so expensive that few technicians or engineers ever became involved with them. Their use was confined to special applications such as analog computers and instrumentation equipment. However, operational amplifiers are now available in an inexpensive integrated circuit form. Using operational amplifiers, it is now possible to reduce a circuit's physical size, number of components, and power requirements, while at the same time, increasing its stability at a higher gain. Moreover, all of this is accomplished at a lower price. The modern technician can ill afford to overlook this device with its many and varied applications. In fact, anyone involved with electronics should know how the "Op Amp" can be used, its characteristics, and its limitations.

In this unit, you will perform four experiments that clearly establish the advantages of the "Op Amp" over conventional circuits. In addition, you will gain the knowledge required to evaluate, use, and troubleshoot these compact circuits.



## UNIT OBJECTIVES

When you have completed this unit, you will be able to:

1. Explain how a differential amplifier works.
2. List the advantages, disadvantages, and characteristics of a differential amplifier.
3. Determine the amount of current provided by simple constant current sources.
4. Define common mode rejection ratio, input resistance, output resistance, offset voltage, offset current, bias current, slew rate, and other terms found on data sheets for operational amplifiers.
5. Explain the operation of the comparator.
6. Analyze and design simple inverting and noninverting amplifiers using operational amplifiers.
7. Describe the characteristics and purpose of the voltage follower.
8. Recognize the schematic diagram and explain the operation of the summing amplifier and the difference amplifier.
9. Describe the operation and characteristics of the low-pass, high-pass, and bandpass active filters.

## UNIT ACTIVITY GUIDE

	Completion Time
<input type="checkbox"/> Read "Differential Amplifiers."	_____
<input type="checkbox"/> Complete Programmed Review Frames 1 through 25.	_____
<input type="checkbox"/> Perform Experiment 5.	_____
<input type="checkbox"/> Read "Operational Amplifier Characteristics."	_____
<input type="checkbox"/> Complete Programmed Review Frames 26 through 35.	_____
<input type="checkbox"/> Perform Experiment 6.	_____
<input type="checkbox"/> Read "Closed Loop Operation."	_____
<input type="checkbox"/> Complete Programmed Review Frames 36 through 50.	_____
<input type="checkbox"/> Perform Experiment 7.	_____
<input type="checkbox"/> Read "Applications of Operational Amplifiers."	_____
<input type="checkbox"/> Complete Programmed Review Frames 51 through 60.	_____
<input type="checkbox"/> Perform Experiment 8.	_____
<input type="checkbox"/> Study Summary.	_____
<input type="checkbox"/> Complete Unit Examination.	_____
<input type="checkbox"/> Check Examination Answers.	_____

## DIFFERENTIAL AMPLIFIERS

In virtually all operational amplifiers, the input stage is a differential amplifier. Thus, to understand the operational amplifier, you must first understand the differential amplifier.

The differential amplifier has been used in certain applications for years. Even so, it did not become popular until the invention of the linear integrated circuit. Up to that time, its disadvantages outweighed its advantages except for special applications. Let's examine the disadvantages of the discrete version of this circuit. Then you will see how these disadvantages are overcome by using integrated circuits (ICs).

When using discrete components, a chief disadvantage is the number of transistors required. Every differential amplifier uses at least two transistors and some use more. So, for a given gain, the differential amplifier requires more transistors than an ordinary amplifier.

Another disadvantage is that the transistors have to be carefully matched for best results. The characteristics of the transistors must be the same. Just as important, the characteristics must change by the same amount with changes in temperature.

The integrated circuit form of the differential amplifier overcomes these disadvantages. In ICs, we like a high ratio of active to passive components. Stated more simply, an IC should have more transistors and fewer resistors. The reason for this is that a resistor takes up more chip space than a transistor. While the differential amplifier requires more transistors, it requires fewer and smaller resistors. Thus, it is a "natural" for the integrated circuit.

The problem of component matching also disappears with the integrated circuit. The geometry and doping of the transistors can be controlled so precisely that a match is assured. Also, the components are so close together that they are always at the same temperature.

### Basic Differential Amplifier Circuits

A simple differential amplifier is shown in Figure 3-1. This amplifier has two input terminals and two output terminals. An input can be applied to either or both bases. An output can be taken from either collector with respect to ground. Or, the output can be taken between the two collectors. The simplest connection is the single-input, single-output arrangement.

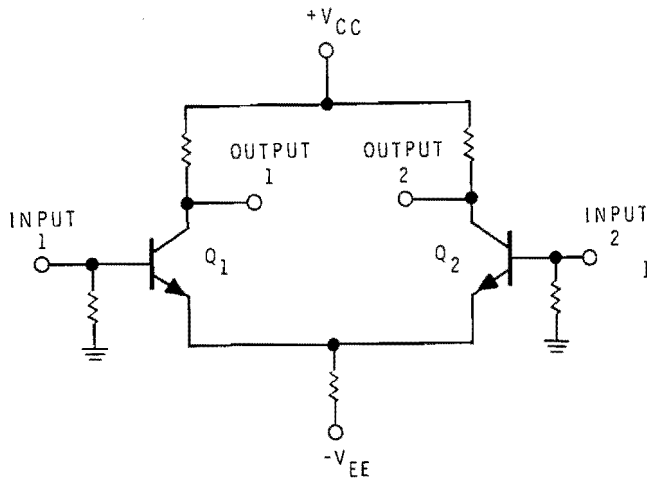


Figure 3-1  
Basic differential amplifier circuit.

## Single-Input, Single-Output Operation

This arrangement is shown in Figure 3-2. The input signal is applied to the base of  $Q_1$ , while the output is taken from the collector of  $Q_2$ . The base of  $Q_2$  is grounded.  $Q_1$  acts as an emitter follower, while  $Q_2$  acts as a common-base amplifier.

When the input signal swings positive, the signal on the emitter of  $Q_1$  swings positive by the same amount because of emitter-follower action. The emitter of  $Q_1$  is directly coupled to the emitter of  $Q_2$ . As the emitter of  $Q_2$  swings positive, the collector also swings positive since  $Q_2$  acts as a common-base amplifier. On the negative half-cycle of the input signal, the polarities described above are reversed.

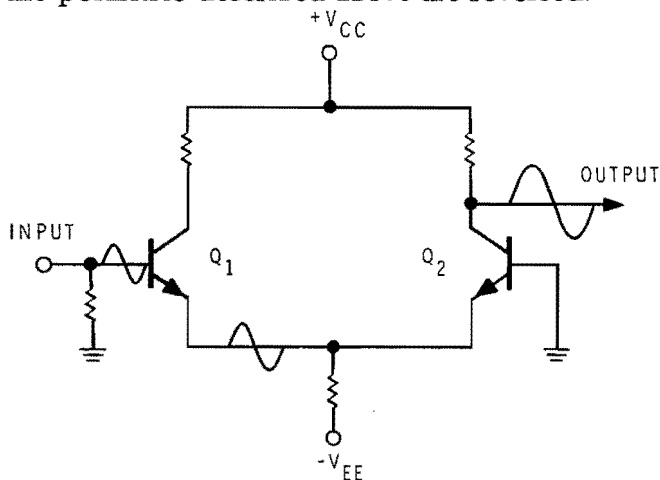


Figure 3-2  
Single-input, single-output arrangement.

Notice that the output signal is in phase with the input signal. The voltage gain of the overall stage is approximately the same as that of the common-base amplifier stage ( $Q_2$ ). Because direct coupling is used, the amplifier works for both AC and DC signals. While this arrangement does provide amplification, it does not take full advantage of the differential amplifier's characteristics.

## Single-Input, Differential-Output Operation

Figure 3-3A shows another single-input circuit. As before the input signal is applied to the base of  $Q_1$ . However, with this circuit, two outputs are available. The output at the collector of  $Q_2$  is in phase with the input signal for the reason discussed previously. A second output is available at the collector of  $Q_1$ .  $Q_1$  acts like a common-emitter amplifier producing an output voltage at the collector which is  $180^\circ$  out of phase with the input signal.

The signals at various points in the circuit are shown in Figures 3-3B through 3-3E. This circuit produces two output signals which are  $180^\circ$  out of phase. A load can be connected between either output and ground. Or, a load can be connected between the two output terminals. The two output signals are of equal amplitude, but of opposite phase. Consequently, the signal voltage applied across the load will be twice the value of either output signal with respect to ground. Thus, the gain of the circuit can be effectively doubled simply by connecting the load between the two output terminals.

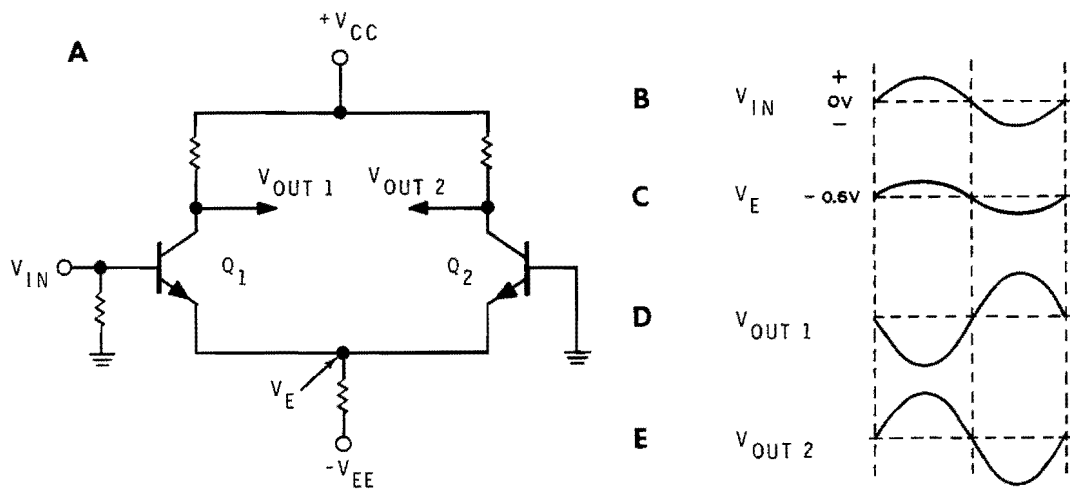


Figure 3-3

Single-input, differential-output circuit.

## Differential-Input, Differential-Output Operation

The circuit shown in Figure 3-4A takes full advantage of the differential amplifier's characteristics. It has two input signals. It is this mode of operation that gives the differential amplifier (or difference amplifier) its name. This circuit amplifies the difference between the two input signals. Thus,  $V_{OUT}$  is equal to  $V_1 - V_2$  times the gain of the amplifier. That is,  $V_{OUT} = A_V(V_1 - V_2)$ .

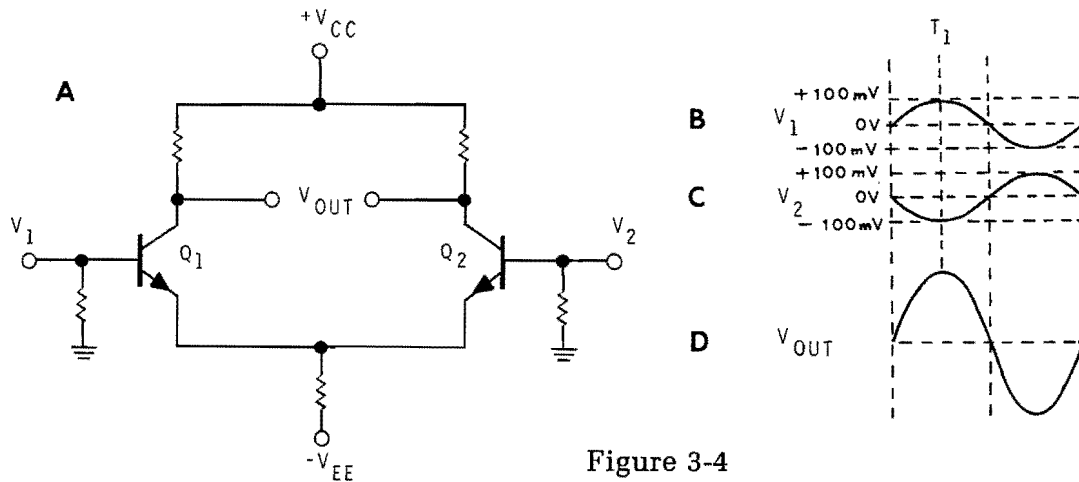


Figure 3-4  
Differential-input, differential-output  
circuit.

Normally, the two input signals are identical except for their phase.  $V_1$  is  $180^\circ$  out of phase with  $V_2$  as shown in Figures 3-4B and 3-4C. Since the two signals are  $180^\circ$  out of phase, the difference between the two is twice the amplitude of either signal. For example, at time  $T_1$ ,  $V_1$  is at  $+100$  mV and  $V_2$  is at  $-100$  mV. So, the difference between the two signals is  $200$  mV. It is this difference to which the circuit responds.

We can see why the circuit responds as it does by considering the effects of each input signal separately. Let's consider the effects of  $V_1$  first. We have already seen that a positive-going signal at the base of  $Q_1$  causes an amplified negative-going signal at the collector of  $Q_1$ . Furthermore,  $V_1$  is coupled to the emitter of  $Q_2$  by emitter-follower action. This positive-going signal on the emitter of  $Q_2$  causes an amplified positive-going signal at the collector of  $Q_2$ .

At the same time that  $V_1$  swings positive,  $V_2$  swings negative. A negative-going voltage on the base of  $Q_2$  drives the collector of  $Q_2$  more positive. Thus, both  $V_1$  and  $V_2$  tend to force the collector of  $Q_2$  positive.  $Q_2$  also acts as an emitter follower which supplies a sample of  $V_2$  to the emitter of  $Q_1$ . The negative-going  $V_2$  on the emitter of  $Q_1$  causes  $Q_1$  to conduct more, driving its collector more negative. Therefore, both  $V_1$  and  $V_2$  tend to force the collector of  $Q_1$  in a negative direction.

$V_1$  and  $V_2$  act together to produce an amplified output signal. The load is normally connected between the two output terminals. Since the signals at these two points are  $180^\circ$  out of phase, a large output voltage is developed across the load.

However, the load can be connected between either output terminal and ground. The voltage available at the collector of  $Q_2$  with respect to ground is shown in Figure 3-4D. The voltage at the collector of  $Q_1$  will have the same amplitude but the opposite phase. When used like this, the circuit is called a **differential-input, single-output stage**.

This has been a brief look at the basic differential amplifier connections. Most differential amplifiers used in integrated circuits have a constant current source in the emitter circuit. Therefore, we will first discuss the constant current source.

## Current Sources and Voltage Sources

The easiest way to visualize a current source is to compare it to a voltage source. An ideal voltage source is a device which produces the same output voltage regardless of the current drawn from it. In most electronic devices, a battery can be considered a nearly ideal voltage source. A large 12-volt battery will produce an output of approximately 12 volts whether the load current is 0 amperes, 1 ampere, or even 10 amperes. The reason for this is that the resistance of the battery is very low compared to the load resistance. An ideal voltage source would have an internal resistance of 0 ohms. In most electronic devices, the internal resistance of the battery or power supply is negligible compared to other circuit resistances. Thus, a battery or power supply can be considered a nearly ideal voltage source.

The idea of a current source is similar. Whereas a voltage source has a certain voltage rating, the current source has a certain current rating. An ideal current source will deliver its rated current regardless of the value of resistance connected across it.

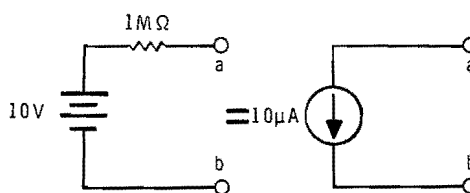


Figure 3-5  
The current source.

A current source can be visualized as a voltage source with an enormously high internal resistance. Consider, for example, the circuit shown in Figure 3-5. Here, a 10-volt battery is shown in series with a 1 megohm resistor. If points a and b are shorted, the current in the circuit is 10 microamps as shown by the following equation.

$$I = \frac{E}{R} = \frac{10 \text{ V}}{1,000,000 \Omega} = 10 \mu\text{A}$$

If a 10 ohm resistor is placed across a and b, the current will still be 10 microamps for all practical purposes. Even a 1 kilohm resistor would not cause a noticeable drop in current. Thus, this circuit acts as a 10 microamps current source. Of course, this is not an ideal current source because, if a large enough resistance is placed between points a and b, the current will decrease. An ideal current source would have an infinite resistance and the current output would be constant regardless of the load resistance.

Notice that the symbol for the current source is a circle with an arrow. In this course, the arrow will point in the direction of electron flow through the current source.

## Practical Current Source

A more practical current source is shown in Figure 3-6A.  $D_1$  is a 5.6-volt zener diode. It holds the voltage at the base of  $Q_1$  at exactly 5.6 volts. Let's assume that  $Q_1$  is a silicon transistor. When properly biased, a silicon transistor has an emitter-to-base voltage drop ( $V_{BE}$ ) of about 0.6 volts. The exact voltage drop varies from one transistor to the next and it changes with temperature. Let's assume that  $Q_1$  has a  $V_{BE}$  of exactly 0.6 volts. This means that the voltage across the emitter resistor ( $R_E$ ) is

$$5.6 \text{ V} - 0.6 \text{ V} = 5.0 \text{ V}$$

$R_E$  is a 1 kilohm resistor. Therefore, the emitter current is

$$I = \frac{E}{R_E} = \frac{5 \text{ V}}{1000 \Omega} = 0.005 \text{ A or } 5 \text{ mA}$$

$Q_1$  draws a very small amount of base current. Thus, for most practical purposes, we can consider the collector current to be 5 mA. If points a and b are shorted, 5 milliamps of current will flow. If a 100 ohm resistor ( $R_L$ ) is connected between points a and b, 5 milliamps will still flow. Even a 1 kilohm resistor between points a and b will not cause a noticeable change in current.

Of course, this circuit is not an ideal current source. If  $R_L$  is made large enough, the current will drop. Recall that for proper transistor action, the collector-base junction must be reverse biased. Thus, in the example shown, the collector voltage must not drop lower than the base voltage.

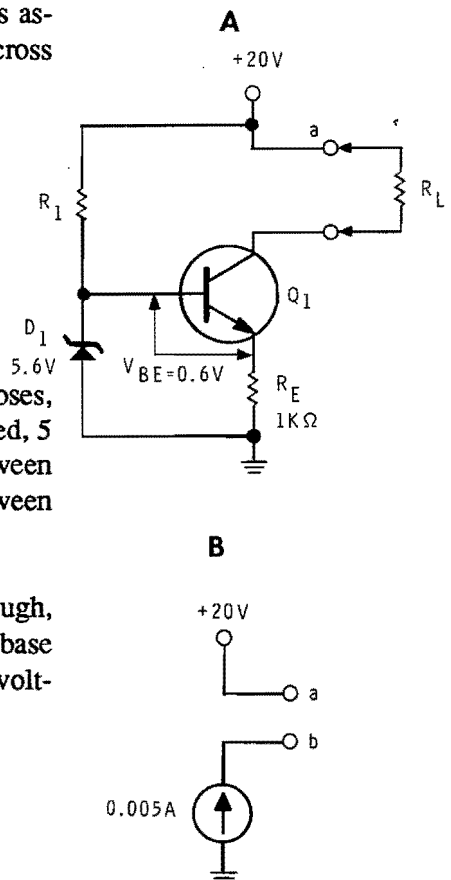


Figure 3-6  
Practical current source.



The collector voltage will be the same as the base voltage (+5.6 volts) when  $R_L$  drops 20 volts – 5.6 volts = 14.4 volts. Since the current is about 0.005 amperes,  $R_L$  drops 14.4 volts when its value is

$$R_L = \frac{E}{I} = \frac{14.4 \text{ V}}{0.005 \text{ A}} = 2880 \Omega.$$

As long as  $R_L$  is held well below this value, the circuit will behave as a constant current source. Thus, the circuit can be replaced with the symbol shown in Figure 3-6B.

Another practical current source is shown in Figure 3-7. Here, the base is grounded. If  $V_{BE}$  is 0.6 volts as shown, the emitter voltage will be –0.6 volts. Thus, the voltage drop across  $R_E$  is 10.6 volts – 0.6 volts = 10 volts. This causes an emitter current of

$$I = \frac{E}{R_E} = \frac{10 \text{ V}}{10,000 \Omega} = 0.001 \text{ A or } 1 \text{ mA}.$$

Since the base current is very low, the collector current is also approximately 0.001 amperes. The circuit will provide this current to a load placed between points a and b. The current will remain almost constant up to a value of about 15 kilohm for  $R_L$ . At this resistance,  $R_L$  drops.

$$\begin{aligned} E &= I \times R \\ E &= 0.001 \text{ A} \times 15,000 \Omega \\ E &= 15 \text{ V} \end{aligned}$$

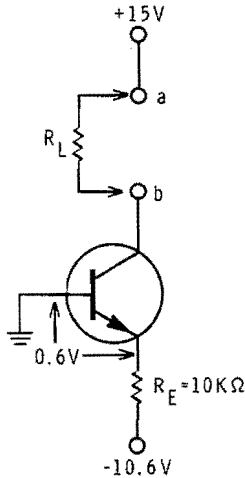


Figure 3-7  
Current source with emitter bias.

The collector voltage cannot drop below 0 volts. Consequently, a value of  $R_L$  larger than 15 kilohm will cause the current to drop below 0.001 amperes. However, as long as the value of  $R_L$  is held below 15 kilohm, the current will be almost constant at 0.001 amperes.

### Practical Differential Amplifiers

Figure 3-8 shows a differential amplifier with a current source in the emitter circuit. The current source provides 1 milliamps of current. Let's look at some ways this can be accomplished.

A simple way to implement this circuit is shown in Figure 3-9. At first, it may seem that the current source has been omitted. However,  $R_E$  and the negative power supply form a current source. Let's see how it works.

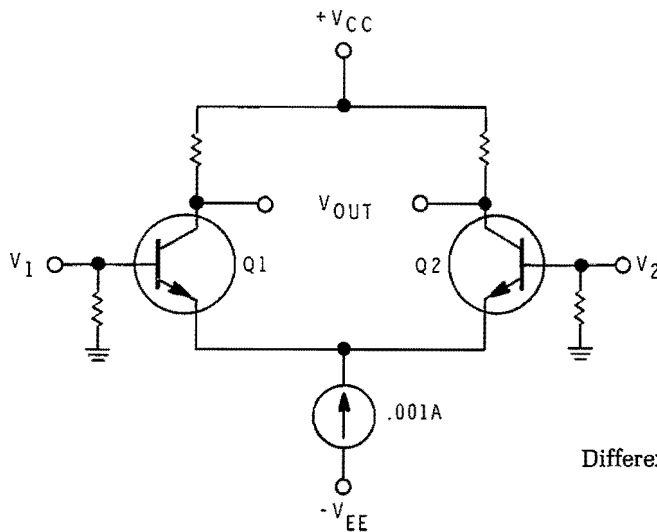


Figure 3-8  
Differential amplifier with current source.

Remember that the base current is quite low. If  $R_B$  is a relatively low value resistor, the voltage drop across  $R_B$  will be negligible compared to other circuit voltages.  $V_{BE}$  is about 0.6 volts. Therefore, the voltage at the emitters is about  $-0.6$  volts. The voltage drop across  $R_E$  is  $5.6$  volts  $- 0.6$  volts =  $5$  volts. Thus,  $R_E$  provides a current of

$$I = \frac{E}{R_E} = \frac{5 \text{ V}}{5000 \Omega} = .001 \text{ A or } 1 \text{ mA.}$$

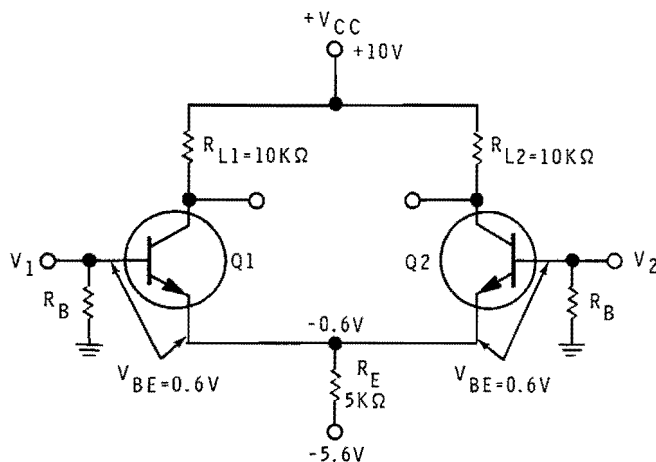


Figure 3-9  
A large emitter resistor forms a crude current source.

When  $V_1$  and  $V_2$  are at  $0$  volts, this current is split evenly between  $Q_1$  and  $Q_2$ . Each transistor draws  $0.5$  mA. This type of current source is not always acceptable since the amount of current delivered can change as the input voltages change.

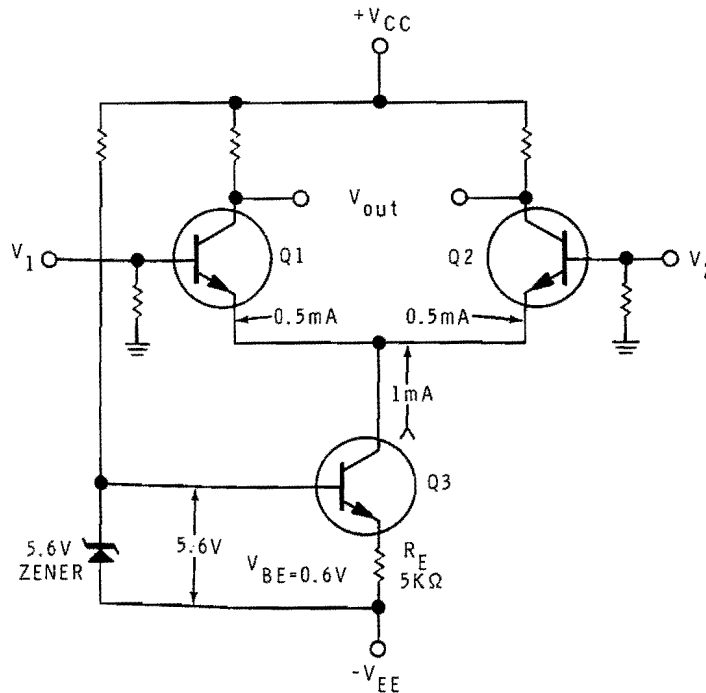


Figure 3-10

The zener-transistor current source is better.

A much better circuit is shown in Figure 3-10. Here, a zener diode is used to hold the voltage across  $R_E$  at 5.6 volts - 0.6 volts = 5 volts.

Since  $R_E$  is a 5 kilohm resistor, the resulting current is 1 milliamps. This current source is much better since the current delivered is independent of changes in the input signals.

To understand why the current source is so important, we must investigate another characteristic of the differential amplifier.

### Common-Mode Input Operation

One of the chief advantages of the differential amplifier is its ability to reject common-mode signals. A common-mode signal is one which appears exactly the same at both input terminals. The amplifier should not respond to common-mode signals. The reason for this is obvious from the equation

$$V_{OUT} = A_V(V_1 - V_2).$$

If  $V_1 = V_2$ , then  $V_1 - V_2 = 0$ . Consequently,  $V_{OUT}$  should be zero for common-mode signals.

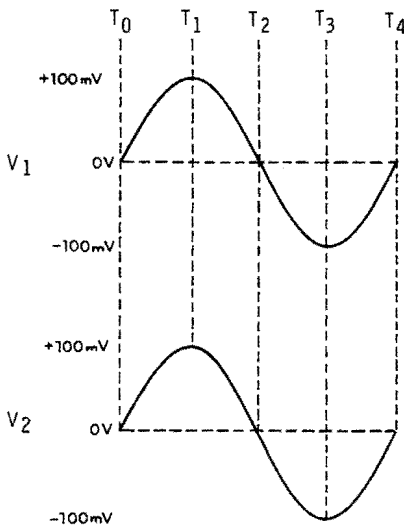


Figure 3-11  
Common-mode signals.

Let's visualize what would happen if the two input signals shown in Figure 3-11 were applied to the circuit shown in Figure 3-10. Because  $V_1$  and  $V_2$  are identical, they can be classified as common-mode signals. A good differential amplifier can reject signals of this type almost entirely.

At time  $T_0$ , both  $V_1$  and  $V_2$  are at 0 volts. The current source provides 1 milliamp, 0.5 milliamps for each transistor. At time  $T_1$ , both  $V_1$  and  $V_2$  swing positive to +100 millivolts. Ordinarily, we might expect both transistors to conduct more when the bases swing positive. However, we must remember that the current source is providing a current of 1 milliamp — no more, no less. Since both bases swing positive by the same amount, both transistors will still draw the same current (0.5 milliamps each). Since the current does not change, the output voltage ( $V_{OUT}$ ) does not change.

This illustrates the importance of the current source. The current source should provide the same current at all times. If it does, then common-mode signals are rejected.

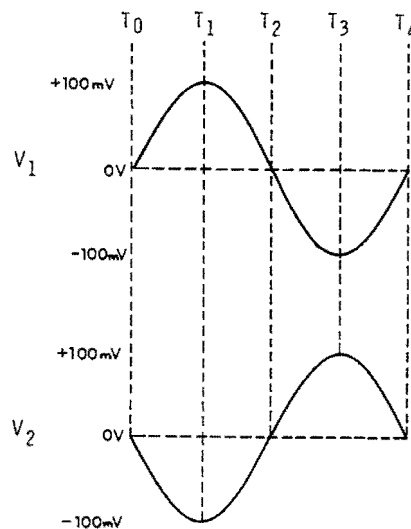


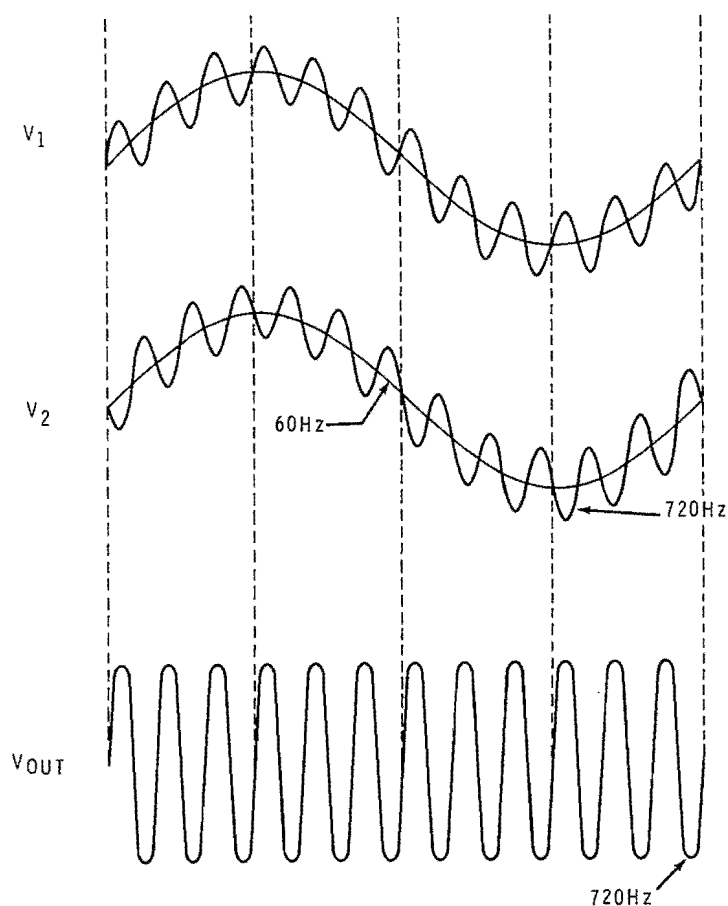
Figure 3-12  
Differential inputs.

## Differential Input Operation

Let's take a look at the operation of the same circuit when differential inputs are applied. Let's assume the inputs are as shown in Figure 3-12.

At time  $T_0$ , both inputs are at 0 volts. Consequently, the 1 mA provided by  $Q_3$  is split evenly between  $Q_1$  and  $Q_2$ . At time  $T_1$ , the input to  $Q_1$  swings positive while the input to  $Q_2$  swings negative. This causes  $Q_1$  to conduct more while  $Q_2$  conducts less. The current through  $Q_1$  might increase to 0.8 milliamps, while the current through  $Q_2$  might decrease to 0.2 milliamps. Notice that the total current is still 1 milliamp, but the current is no longer split evenly. As  $Q_1$  conducts more, its collector voltage decreases. Thus, this voltage is in phase with  $V_2$ . As  $Q_2$  conducts less, its collector voltage increases. Therefore, this voltage is in phase with  $V_1$ . So, the circuit responds to the differential inputs, but rejects common-mode inputs.

Figure 3-13  
The 60-Hz, common-mode signal is rejected.



## Common-Mode Rejection Ratio

We have seen that the differential amplifier will reject common-mode signals and amplify differential signals. This makes the amplifier very valuable for getting rid of unwanted hum and noise signals which are sometimes picked up. Figure 3-13 illustrates an extreme example of a signal which has picked up a 60 Hz hum. The signal we wish to amplify is 720 Hz. The 60 Hz is unwanted and should be rejected.

Notice that the 60 Hz signal has the same phase at  $V_1$  and  $V_2$ . Consequently, this is a common-mode signal. However, notice that the 720 Hz signal at  $V_1$  is  $180^\circ$  out of phase with the 720 Hz signal at  $V_2$ . This is a differential signal. As we have seen, the amplifier will tend to reject the common-mode signal while amplifying the differential signal. Thus, only the desired 720 Hz signal appears at the output.

In practice, some tiny amount of the 60 Hz signal will appear at the output. That is, the amplifier will not entirely reject the unwanted signal. A good amplifier may **amplify** the desired signal by a factor of 100. At the same time, it may **attenuate** the unwanted signal by a factor of 100. Thus, at the output, the wanted signal may be 10,000 times as high as the unwanted signal. For example, the 720 Hz signal at the output may be 10 volts peak-to-peak while the 60 Hz signal at the output may be only 1 mV peak-to-peak.

The ability of the differential amplifier to amplify the differential signal while rejecting the common-mode signal is expressed as a ratio. This ratio is called the common-mode rejection ratio (CMRR). It is a ratio of difference gain to common-mode gain.

The difference gain ( $A_D$ ) is found by comparing a change in the output voltage ( $\Delta V_o$ ) to the change in the differential input voltage ( $\Delta V_D$ ). That is

$$A_D = \frac{\Delta V_o}{\Delta V_D} .$$

If a change of 100 mV at the differential input causes a change of 10 V at the output, the difference gain is

$$A_D = \frac{\Delta V_o}{\Delta V_D} = \frac{10 \text{ V}}{0.1 \text{ V}} = 100.$$

A common-mode change of 100 mV may cause an output change of only 1 mV. In this case, the common-mode gain is

$$A_{CM} = \frac{\Delta V_o}{\Delta V_{CM}} = \frac{1 \text{ mV}}{100 \text{ mV}} = 0.01.$$

The common-mode rejection ratio (CMRR) is the ratio of the difference gain ( $A_D$ ) to the common-mode gain ( $A_{CM}$ ). Thus,

$$\text{CMRR} = \frac{A_D}{A_{CM}} .$$

In the example given above,

$$\text{CMRR} = \frac{A_D}{A_{CM}} = \frac{100}{0.01} = 10,000.$$

## IC Differential Amplifiers

As already mentioned, the differential amplifier is perfect for integrated circuits. It is a basic building block used in a great number of linear IC's. Linear IC's such as operational amplifiers, voltage regulators, and audio amplifiers contain one or more differential amplifiers. In addition, a great number of linear IC's are nothing more than special differential amplifier stages.

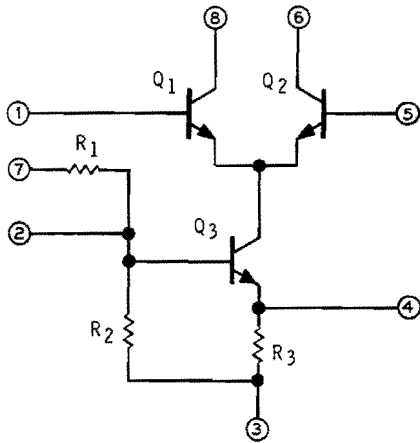


Figure 3-14

Simple differential amplifier in integrated circuit form.

For example, Figure 3-14 shows the schematic diagram of an RF amplifier in IC form. This is a simple differential amplifier stage.  $Q_3$ ,  $R_1$ ,  $R_2$ , and  $R_3$  form the current source.  $Q_1$  and  $Q_2$  form the amplifier itself. To allow maximum flexibility, no collector or base resistors are provided in the IC. This allows the designer to select source and load impedances for the best performance. Such a circuit can be used as an amplifier, an oscillator, a mixer, a converter, or an amplitude modulator.

A somewhat more complicated IC is shown in Figure 3-15. The differential amplifier stage is made up of  $Q_3$ ,  $Q_4$ ,  $R_1$ ,  $R_2$ ,  $R_5$ , and  $R_6$ .  $Q_7$  and its associated components form the constant current source.

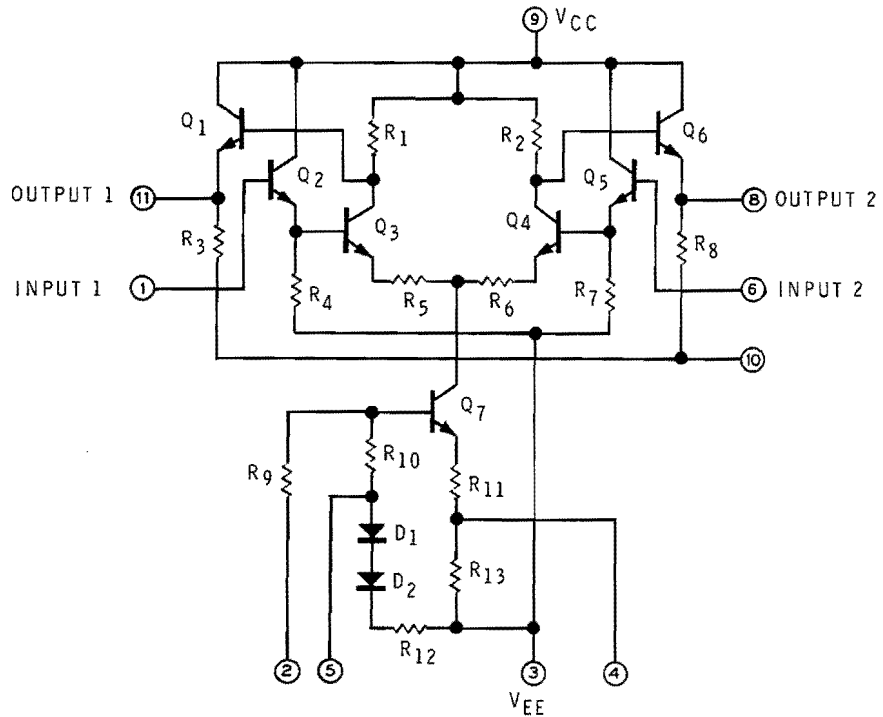


Figure 3-15

Differential amplifier with input and output emitter followers.

$Q_2$  and  $R_4$  form an emitter follower between input 1 and the base of  $Q_3$ . In the same way,  $Q_5$  and  $R_7$  act as an emitter follower between input 2 and the base of  $Q_4$ . These emitter followers increase the input impedance.

$Q_1$  and  $R_3$  form an emitter follower between the collector of  $Q_3$  and the output-1 terminal.  $Q_6$  and  $R_8$  act as an emitter follower for the other output terminal. These emitter followers give the circuit a low output impedance.

The differential amplifier is an important circuit in its own right. As you will see later, it is also an important part of the operational amplifier.

## Programmed Review

This review is presented in a programmed instruction (P.I.) format. It will enhance your understanding of the material presented in this section. Read each of the numbered frames carefully and fill in the missing blanks. The correct answer appears in parentheses at the beginning of the next frame. Use a sheet of paper to cover all of the frames below the one you are reading.

1. The differential amplifier is sometimes called a difference amplifier because it amplifies the \_\_\_\_\_ between the voltages at the two input terminals.

2. (difference) The basic differential amplifier consists of two transistors which have their \_\_\_\_\_ tied together.

3. (emitters) The two input signals applied to the circuit are normally \_\_\_\_\_ ° out of phase.

4. (180°) In turn, the circuit produces two output signals which are \_\_\_\_\_ ° out of phase.

5. (180°) A practical differential amplifier will often have a \_\_\_\_\_ source in the emitter circuit.

6. (constant current) The current through the differential amplifier is independent of the input signal. This helps the amplifier to reject \_\_\_\_\_ signals.

7. (common-mode) In a good differential amplifier, the differential gain should be very \_\_\_\_\_ while the common-mode gain should be very \_\_\_\_\_.



8. (high) (low) The ratio of the differential gain to the common-mode gain is called the \_\_\_\_\_ ratio (CMRR).

9. (common-mode rejection) Figure 3-16 shows a differential amplifier.  $Q_3$ ,  $R_5$ ,  $R_6$ , and  $R_7$  form a \_\_\_\_\_ source.

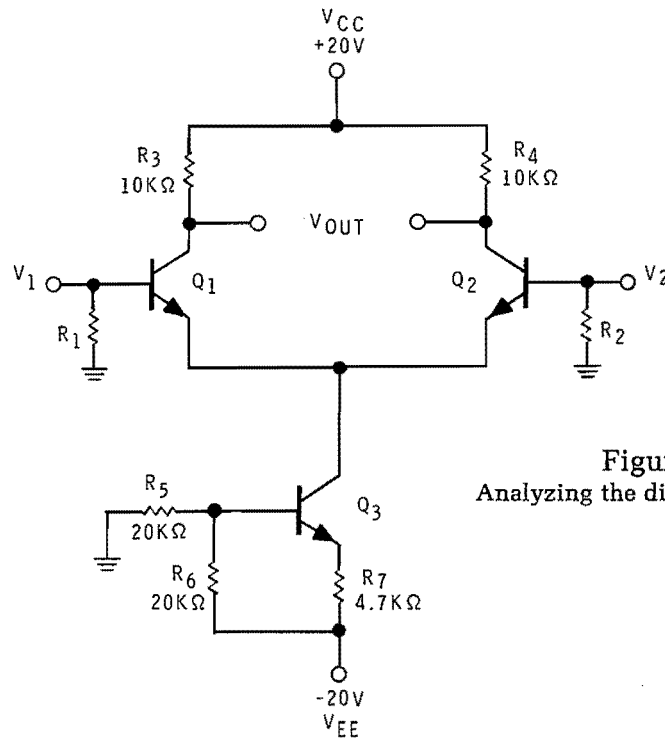


Figure 3-16  
Analyzing the differential amplifier.

10. (constant-current) The voltage on the base of  $Q_3$  is determined by  $R_5$  and  $R_6$ . With the values shown, the voltage on the base of  $Q_3$  is \_\_\_\_\_ volts.

11. (-10) Assuming a  $V_{BE}$  of 0.6 volts, the voltage on the emitter of  $Q_3$  must be \_\_\_\_\_ volts.

12. (-10.6) The voltage across  $R_7$  must be the difference between  $-V_{EE}$  and -10.6 volts or \_\_\_\_\_ volts.

13. (9.4) If the voltage across  $R_7$  is 9.4 volts, then the current through  $R_7$  is \_\_\_\_\_ mA.

14. (2) Since the base current of  $Q_3$  is extremely low, most of this current must flow into  $Q_1$  and  $Q_2$ . Let's assume that  $Q_1$  and  $Q_2$  are perfectly matched. When  $V_1$  and  $V_2$  are at 0 volts, the current will split evenly between  $Q_1$  and  $Q_2$ . That is, each transistor will conduct \_\_\_\_\_ mA.
15. (1) These currents flow on through  $R_3$  and  $R_4$ . Since  $R_3$  is a  $10\text{ k}\Omega$  resistor it drops \_\_\_\_\_ volts.
16. (10) Therefore, the voltage at the collector of  $Q_1$  must be  $+V_{CC} - 10\text{ volts} =$  \_\_\_\_\_ volts.
17. (+10) Likewise, the voltage at the collector of  $Q_2$  will be \_\_\_\_\_ volts.
18. (+10) A positive-going common-mode signal at both inputs will attempt to make  $Q_1$  and  $Q_2$  conduct \_\_\_\_\_.  
more/less
19. (more) However, this would require an increase in current from  $Q_3$ . As we have seen,  $Q_3$  provides only \_\_\_\_\_ mA.
20. (2) Input signals can never increase nor decrease this current. Thus, \_\_\_\_\_-\_\_\_\_\_ signals are rejected.
21. (common-mode) Differential input signals do not change the current from  $Q_3$ , but they do change the currents through \_\_\_\_\_ and \_\_\_\_\_.
22. ( $Q_1, Q_2$ ) When  $V_1$  swings positive,  $V_2$  swings negative.  $Q_1$  conducts more and  $Q_2$  conducts less. If  $Q_1$  conducts 1.5 mA of current,  $Q_2$  must conduct \_\_\_\_\_ mA.
23. (0.5 mA) Remember, the total current will always be 2 mA. In this case,  $R_3$  drops  $1.5\text{ mA} \times 10\text{ k}\Omega$ , or 15 volts. Thus, the voltage at the collector of  $Q_1$  drops to \_\_\_\_\_ volts.
24. (+5 volts) At the same time,  $R_4$  drops  $0.5\text{ mA} \times 10\text{ k}\Omega$  or 5 volts. The voltage at the collector of  $Q_2$  increases to \_\_\_\_\_ volts.
25. (+15 volts) As you can see, the differential inputs upset the balanced conditions. The amplifier responds to the difference between  $V_1$  and  $V_2$ .

## EXPERIMENT 5

### The Differential Amplifier

**OBJECTIVE:** *Illustrate the characteristics of differential amplifiers.*

#### Introduction

In this experiment, you will build a differential amplifier made from discrete components. Although the two transistors used in the amplifier are the same type, they are not matched. In particular, the  $V_{BE}$  values will be slightly different. This value is normally about 0.6 volts, but the exact value will vary from one transistor to the next. Unfortunately, the amplifier sees any mismatch in  $V_{BE}$  as a difference voltage which it must amplify. This will unbalance the amplifier, sending more current through one transistor and less through the other. To compensate for this, a potentiometer can be added to the emitter circuit as shown in Figure 3-17. The potentiometer is adjusted so that more resistance appears in the emitter circuit of the transistor with the lower value of  $V_{BE}$ . Thus, the extra  $V_{BE}$  drop in one transistor is exactly offset by the extra voltage drop across the emitter resistor in the other transistor. With no signal applied, the emitter resistor is adjusted until the collector voltages are equal. This also helps to compensate for differences in load resistor values caused by component tolerances. Read this entire procedure before performing this experiment.

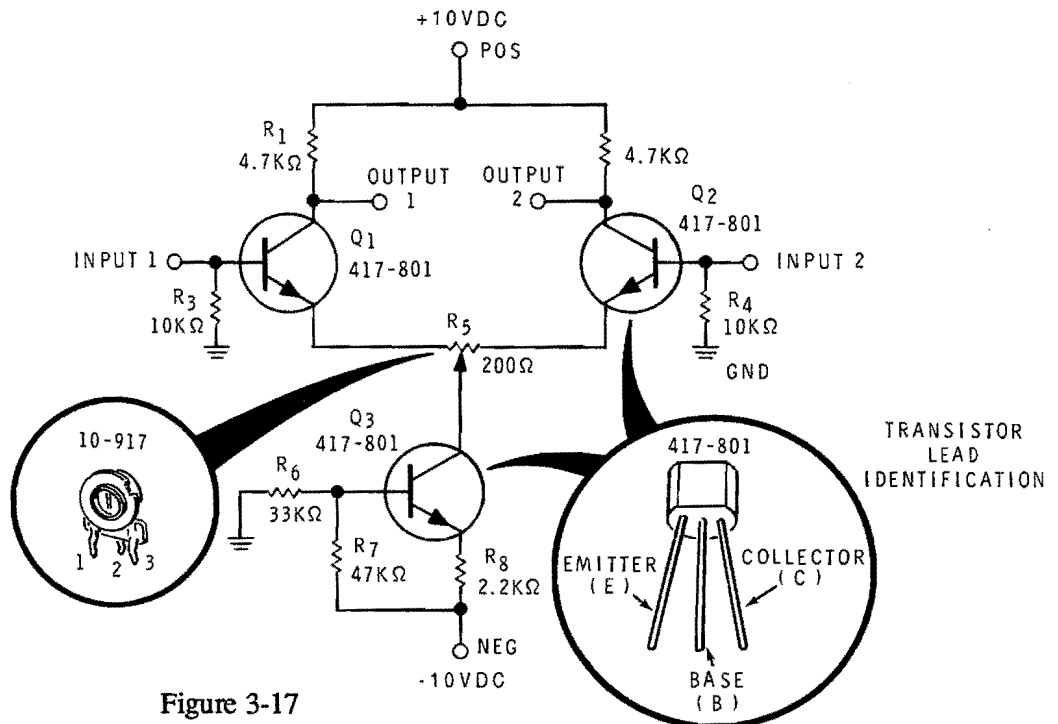


Figure 3-17

Circuit for steps 1 through 7.

## Material Required

Heathkit Analog Trainer  
Multimeter  
Oscilloscope (dual channel preferred)  
Soldering iron  
3—NPN transistors (417-801) MPSA20  
2—4.7 kilohm resistors (yellow-violet-red-gold)  
2—10 kilohm resistors (brown-black-orange-gold)  
1—2.2 kilohm resistor (red-red-red-gold)  
1—33 kilohm resistor (orange-orange-orange-gold)  
1—47 kilohm resistor (yellow-violet-orange-gold)  
1—1 kilohm resistor (brown-black-red-gold)  
1—100 ohm resistor (brown-black-brown-gold)  
1—200 ohm potentiometer (10-917)  
1—Audio transformer (51-97)  
1—10 microfarad electrolytic capacitor (25-881)  
Hookup wire

## Component Preparation

1. Cut eight 2" strips of hookup wire. Remove 1/4" of insulation from each end.
2. Solder a prepared hookup wire to each of the three terminals on the 200  $\Omega$  potentiometer.
3. Solder a prepared hookup wire to each of the five terminals on the audio transformer.

## Procedure

1. Turn on the Trainer. Adjust the (+) voltage control for 10 VDC. Adjust the (–) voltage control for 10 VDC. Turn off the Trainer.
2. Construct the circuit shown in Figure 3-17. Turn on the Trainer.
3. Using your multimeter, monitor output 1 and output 2. Then, adjust the 200 ohm potentiometer ( $R_5$ ) until both outputs read exactly the same.

**NOTE:** You may have to make several adjustments to obtain equal voltages.

4. Connect the Multimeter between output 1 and ground. Turn the negative voltage control until the meter reads +5 VDC.

5. Switch the Multimeter between output 1 and output 2, and note any difference in voltage. Readjust  $R_5$  (if necessary) so that the meter reads exactly the same on both collectors.
6. With the Multimeter connected to output 1, touch the plastic case of  $Q_1$  with your finger. Watch the meter closely as your finger warms the transistor. Does the reading change? \_\_\_\_\_  
Why? \_\_\_\_\_.
7. Remove your finger from the case of  $Q_1$ . Does the circuit return to its balanced condition? \_\_\_\_\_.

## Discussion

In step 2, you built a differential amplifier complete with a constant current source. In steps 3 through 5, you adjusted the operating point and balanced the circuit so that equal currents flowed through  $Q_1$  and  $Q_2$ . You saw that this balanced condition could be upset by temperature changes which affect one transistor but not the other. In practical discrete circuits, this problem can be partially overcome by clipping the transistors to a common heat sink. This will insure that temperature changes affect both transistors equally.

With integrated circuits, the problem is eliminated, since the transistors can be more closely matched. Also, their close proximity insures that they remain at the same temperature.

The following procedure will show how the circuit responds to an input signal.

## Procedure (Continued)

8. If necessary, rebalance the circuit as indicated in step 5; then remove your multimeter from the circuit.
9. Connect the sinewave generator to the circuit via the potentiometer and 10 microfarad capacitor as shown in Figure 3-18. Notice that an input signal is applied to the base of  $Q_1$  from the generator of the Trainer. The 1 kilohm potentiometer is used as an amplitude adjust.
10. Set the Generator RANGE switch to LOW (1X or 100) and set the Generator FREQUENCY to 1 kilohertz.
11. Connect the external trigger input jack of your oscilloscope to the generator SQUARE terminal on the Trainer. Place your oscilloscope in the external triggering mode. Set the triggering polarity or slope switch to the "+" position. Connect the lead from channel 1 of your oscilloscope to the SINE terminal of the Generator. Connect the ground lead of the oscillo-

scope to the ground connection in the circuit. Adjust the TIME/CM or horizontal sweep rate on the oscilloscope until a few cycles of the sine wave are visible on the screen.

12. Adjust the horizontal position control on the oscilloscope so the left side of the trace is visible on the screen. The first half-cycle of the waveform is \_\_\_\_\_ going. How does the phase of the sine wave produced by the Generator compare with that of the square wave which you are using to trigger the oscilloscope? \_\_\_\_\_
13. Connect channel 2 of the oscilloscope to the base of  $Q_1$  in the circuit. Adjust the 1 kilohm potentiometer until the sine wave on the base has a value of 0.2 volts peak-to-peak. Note the phase of the sine wave on the base. The first half-cycle is \_\_\_\_\_ going.

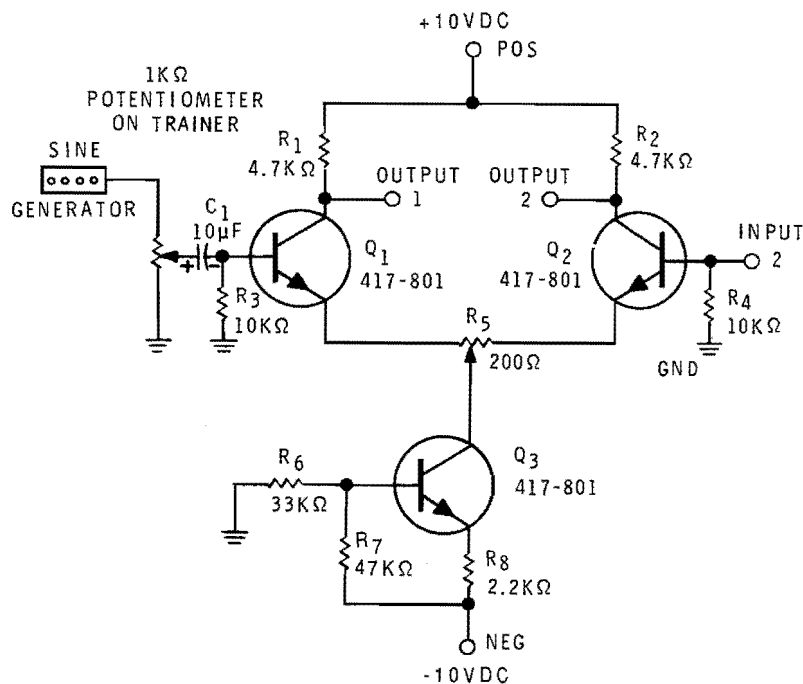


Figure 3-18

Circuit for steps 8 through 24.

14. Reconnect channel 1 of the oscilloscope to the collector of  $Q_1$  (output 1). Measure and record the peak-to-peak voltage, \_\_\_\_\_  $V_{p-p_1}$ . Prepare your multimeter to measure AC voltage. Measure and record the rms voltage at output 1 with respect to ground, \_\_\_\_\_  $V_{rms}$ . Calculate  $V_{rms}$  with the following equation and compare it with the voltage measured.  $V_{p-p}(.353) = V_{rms}$

15. Compute the gain of the circuit by dividing the voltage set in step 13 into the voltage measured in step 14. The gain of the stage is \_\_\_\_\_.
16. Compare the phase of the sine wave at the collector of  $Q_1$  with that on the base of  $Q_1$ . The two signals are \_\_\_\_\_.  
in phase/180° out-of-phase
17. Connect channel 2 of the oscilloscope to the collector of  $Q_2$  (output 2). Measure and record the peak-to-peak voltage. \_\_\_\_\_  $V_{p-p_2}$ . Prepare your multimeter to measure AC voltage. Measure and record the rms voltage at output 2 with respect to ground. \_\_\_\_\_  $V_{rms}$ . Calculate  $V_{rms}$  for output 2 with the equation in step 14 and compare it with the measured value.
18. Compute the gain of the circuit by dividing the voltage set in step 13 into the voltage measured in step 17. The gain of the stage is \_\_\_\_\_. Is this approximately the same gain computed in step 15? \_\_\_\_\_.
19. Compare the phase of the sine wave at the collector of  $Q_2$  with that on the base of  $Q_1$ . The two signals are \_\_\_\_\_.  
in phase/180° out-of-phase
20. Compare the phase of the sine wave at the collector of  $Q_2$  with that at the collector of  $Q_1$ . These two signals are \_\_\_\_\_.  
in phase/180° out-of-phase
21. Disconnect the external trigger input to the oscilloscope. Place the oscilloscope in the internal triggering mode. Stabilize the display using the triggering level control.
22. Compare the waveforms (output 1 and 2) and note that they are 180° out of phase with each other. Thus, the overall output is twice the peak-to-peak value measured in step 14 or in step 17. Add  $V_{p-p_1}$  to  $V_{p-p_2}$  and record the value  $V_{p-p_3} =$  \_\_\_\_\_. Using your multimeter to measure AC voltage, connect one lead to output 1 and the other lead to output 2. Measure and record the rms voltage. \_\_\_\_\_  $V_{rms}$ . Calculate the  $V_{rms}$  for the overall output using the equation  $V_{p-p(.353)} = V_{rms}$  and compare it with the measured value.
23. Compute the gain of the circuit by dividing the input voltage set in step 13 into the overall output voltage  $V_{p-p_3}$ . The circuit gain is \_\_\_\_\_. How does this gain compare with that computed in steps 15 and 18? \_\_\_\_\_.
24. For the following discussion, remember that all but one of the measurements were referenced to ground. Turn off the Trainer.

## Discussion

In this portion of the experiment, you examined the operation of the single-input differential amplifier. You applied a 1 kilohertz signal from the generator to the base of  $Q_1$ . You triggered the oscilloscope with the square wave from the generator. Because the square wave was in phase with the input sine wave, you could easily monitor the phase of the signal at any point in the circuit. In steps 12 and 13, you saw that the first half-cycle of the waveform appears positive going.

In step 13, you set the voltage on the base of  $Q_1$  for 0.2 Vp-p. Next, you measured the voltage at the collector of  $Q_1$  (output 1) and found it to be about 2.2 volts peak-to-peak (Vp-p<sub>1</sub>) and 0.77 Vrms. Your measurement may vary somewhat from this typical value. This corresponds to a circuit gain of:

$$\frac{2.2 \text{ Vp-p}}{0.2 \text{ Vp-p}} = 11$$

You also found that the signal at the collector of  $Q_1$  is 180° out of phase with the input at the base of  $Q_1$ .

In step 17, you measured the voltage at the collector of  $Q_2$  (output 2). This signal is approximately the same amplitude as output 1 but not in phase. However, the output 2 signal is in phase with the input signal at the base of  $Q_1$ .

Next, you monitored the output of both collectors (output 1 and output 2). The differential output (overall output) appears about twice the amplitude of either output 1 or 2 considered separately. Then, adding both peak-to-peak values in step 22 (Vp-p<sub>3</sub>), calculating and measuring the rms voltage you proved the overall output value. The calculated value should have been close to the measured value (approximately 1.55 Vrms). Thus, the circuit gain doubles when you use the differential output.

Now let's examine the characteristics of an amplifier with differential input's. To operate in this mode, the amplifier requires two input signals which are 180° out of phase. An easy way to get two out-of-phase signals is to use a transformer with a center-tapped secondary.

## Procedure (Continued)

25. Construct the circuit shown in Figure 3-19. Turn on the Trainer.
26. Using your oscilloscope measure the voltage at the base of  $Q_1$  and  $Q_2$  with respect to ground.
27. Adjust the 1 kilohm potentiometer until the differential input at each base is 0.2 volts peak-to-peak.



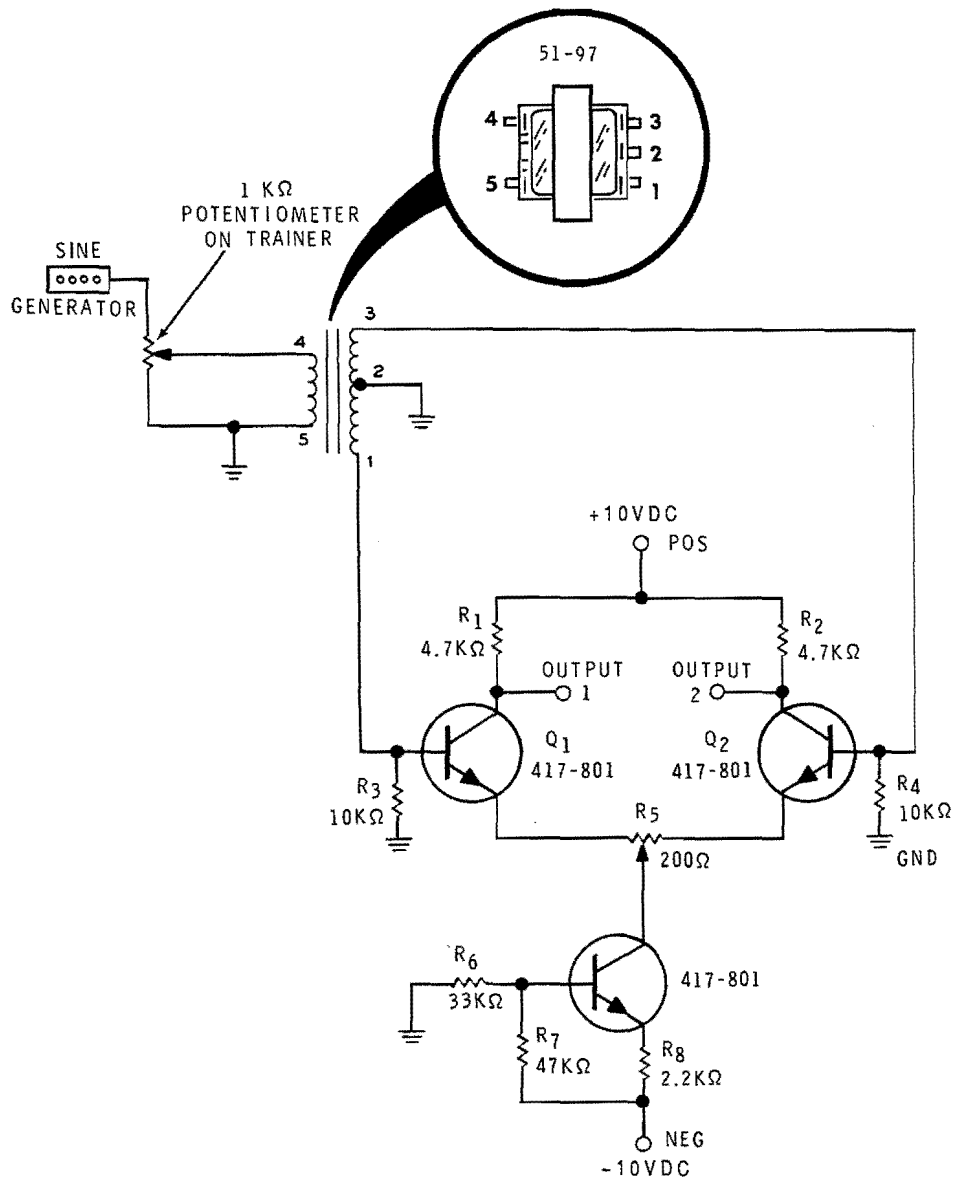


Figure 3-19  
Circuit for steps 25 through 29.

28. Measure the voltage at the collectors of Q<sub>1</sub> (output 1) and then Q<sub>2</sub> (output 2). Note that the output voltages are 180° out of phase. Calculate the output voltage.

output voltage = \_\_\_\_\_ V<sub>p-p</sub>.

29. Divide the input voltage set in step 27 into the output voltage you calculated in step 28. What is the gain of this circuit? \_\_\_\_\_.

## Discussion

Although the overall differential input voltage is 0.2 volts peak-to-peak, the voltage on each base with respect to ground is only 0.1 volts. The output voltage should be about 5.6 volts peak-to-peak. Thus, the gain of the circuit is about 28.

The advantage of the differential input mode is not gain, but rather common-mode rejection. While the circuit will amplify differential inputs, it will reject signals which are common to both inputs. We can verify this by intentionally introducing a common-mode signal.

## Procedure (Continued)

30. Turn off the Trainer and modify the circuit as shown in Figure 3-20. Notice that this introduces a relatively high 60 hertz signal at the center tap of the transformer.

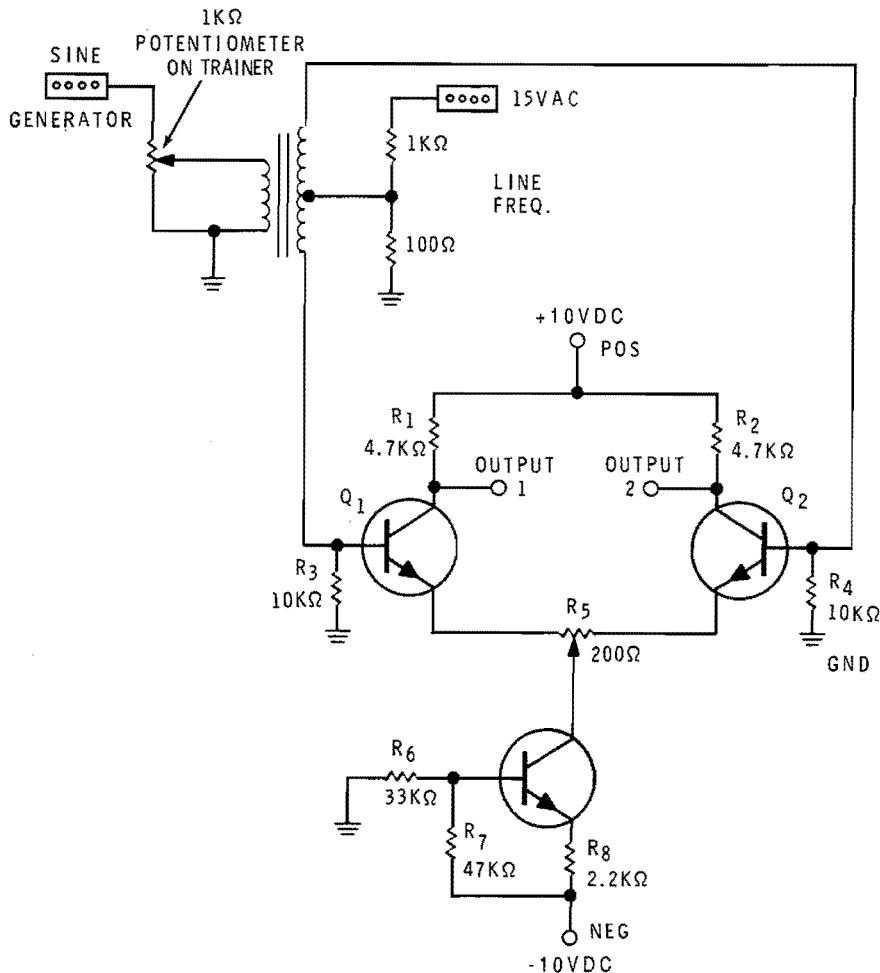


Figure 3-20  
Circuit for steps 30 through 33.

31. Preset the oscilloscope to measure 2 volts/centimeter and 5 milliseconds/centimeter. Connect channel 1 to the base of  $Q_1$  and channel 2 to the base of  $Q_2$ .
32. Turn on the Trainer and draw the waveform seen on the base of  $Q_1$ . Label the 60 hertz common-mode signal and the 1 kilohertz differential signal. Which signal is higher in amplitude? \_\_\_\_\_.
33. View the signal on the base of  $Q_2$ . Does it appear the same as the signal on the base of  $Q_1$ ? \_\_\_\_\_.

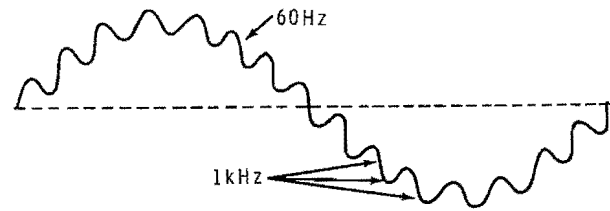


Figure 3-21  
Signal at the base of  $Q_1$ .

## Discussion

In this part of the experiment, we deliberately applied a 4-volt peak-to-peak common-mode signal to the differential amplifier. Your sketch of the input signal should look somewhat like Figure 3-21. Notice that the signal we wish to amplify is barely visible. Nevertheless, the circuit responds to the tiny 1 kilohertz signal and ignores the much larger common-mode signal. If the circuit is perfectly balanced, the 1 kilohertz signal will be amplified normally. Only a slight trace of the 60 hertz signal should be seen in the output circuit.

## OPERATIONAL AMPLIFIER CHARACTERISTICS

An operational amplifier or "op amp" is a special type of high gain DC amplifier. It consists of several amplifier stages cascaded together. Each amplifier stage gives the overall circuit some desirable characteristic. It is these characteristics which separate the op amp from the ordinary amplifier.

Before an amplifier can be classified as **operational**, it must have certain characteristics. Three of the most important are:

1. Very high gain.
2. Very high input impedance.
3. Very low output impedance.

Other worthwhile characteristics will be discussed later, but these three are essential.

Op amps come in both discrete and integrated circuit form. While the principles discussed in this unit apply to both types, we will concentrate on the IC form. In particular, we will be concerned with the low-cost, general-purpose op amps which are most widely used. The IC op amp has two advantages over the discrete op amp; it costs less and it is smaller. The low cost of the IC op amp is responsible for its widespread popularity.

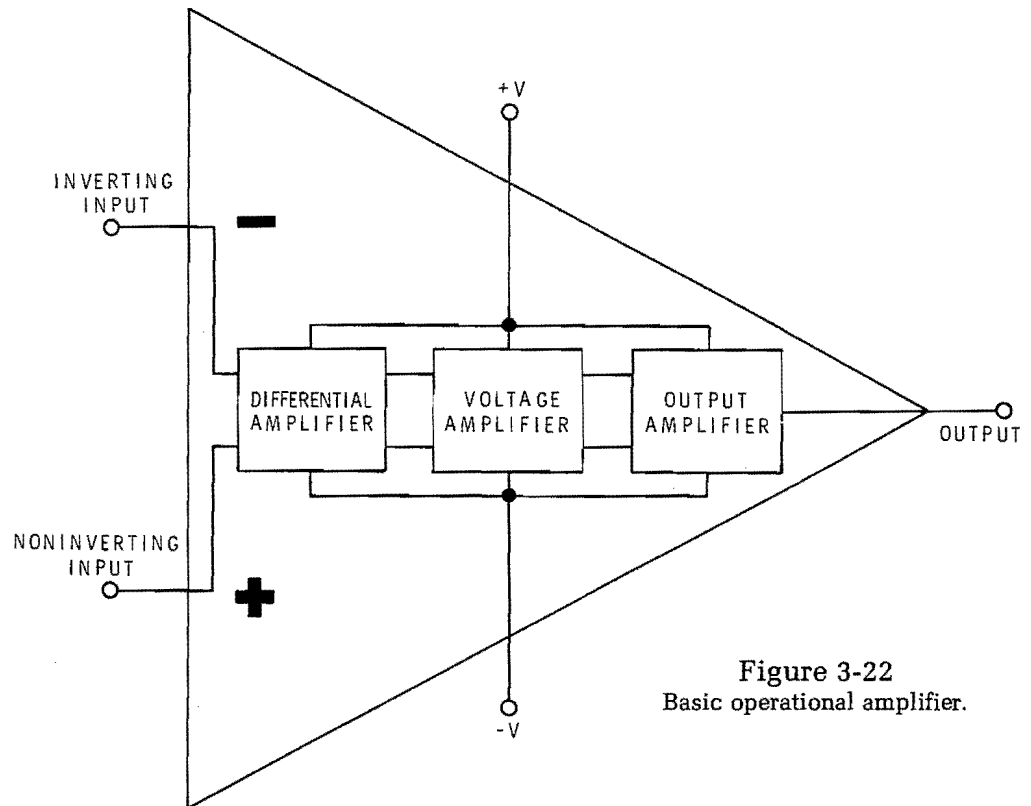


Figure 3-22  
Basic operational amplifier.

## Basic Op Amp

Figure 3-22 is a block diagram of a typical operational amplifier. Because the op amp is an integrated circuit, we can use it without knowing exactly what goes on inside it. However, we can better understand the characteristics of the op amp if we have some idea of what is inside the IC.

The op amp is normally composed of three stages as shown. Each stage is an amplifier which provides some unique characteristic.

The input stage is a differential amplifier. This gives the op amp the advantages of high common-mode rejection, differential inputs, and a frequency response down to DC. In addition, special techniques are used to give the input stage a very high input impedance.

The second stage is a high gain voltage amplifier. This stage may be composed of several transistors often connected in Darlington pairs. A typical op amp may have a voltage gain of 200,000 or more. This stage provides most of that gain.

The final stage is an output amplifier. This stage is often a complementary emitter follower. It gives the op amp a low output impedance. It allows the op amp to deliver several milliamperes of current to a load.

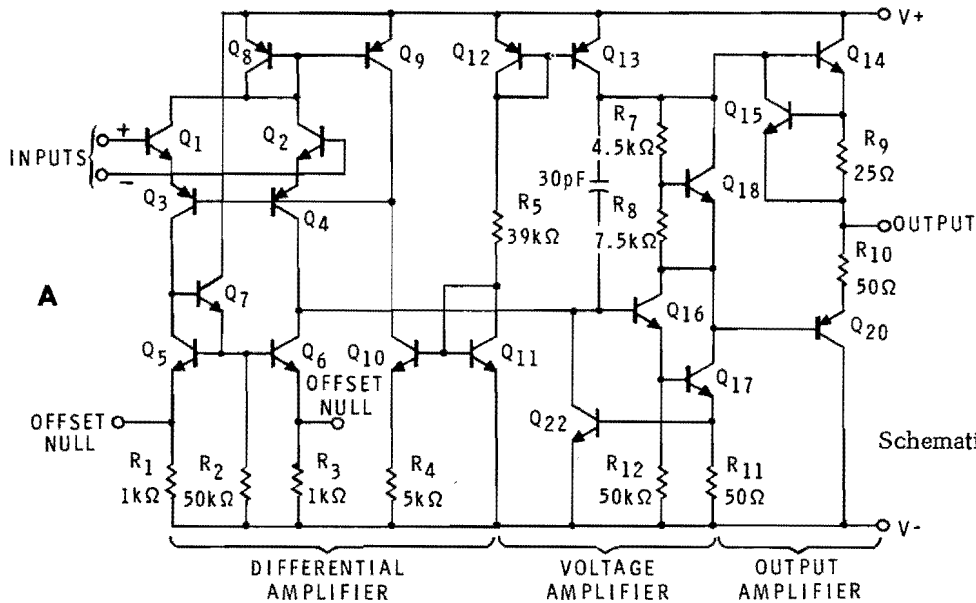


Figure 3-23  
Schematic diagram of a typical operational amplifier.

The op amp is powered by both negative and positive power supplies. This allows the output voltage to swing negative or positive with respect to ground. Most op amps require supply voltages from 5 volts to about 20 volts.

The complete schematic diagram of a typical op amp is shown in Figure 3-23. The three stages are pointed out. In keeping with normal IC design, the number of resistors and capacitors are held to an absolute minimum. Transistors are used wherever possible. This makes it a little hard to identify the types of circuits being used. The input stage is a special type of differential amplifier. The output stage is a push-pull emitter follower.

Notice that no coupling capacitors are used. This allows the circuit to amplify DC as well as AC signals. This op amp has two terminals which were not shown on the block diagram. These are labeled **offset null**. These allow an external potentiometer to be connected to the circuit. The potentiometer is set so that the output of the op amp is exactly 0 volts when the two input terminals are at 0 volts.

The two input terminals are labeled “+” and “-.” The “-” input is called the inverting input. The “+” input is called the noninverting input. Like all differential amplifiers, this input stage can be operated in three different modes. In the differential-input mode, the inputs are two signals which are 180° out of phase. Another arrangement is to ground the “+” input and apply the signal to the “-” input. In this case, the output will be an inverted and amplified version of the input signal.

Finally, the “-” input terminal can be grounded and the signal can be applied to the “+” input terminal. In this case, the signal is amplified but **not inverted**.

Notice that the two input terminals connect directly to the bases of  $Q_1$  and  $Q_2$ . There are no base resistors on the op amp. For this reason, a DC return path to ground must be provided by the external circuit. Generally, this is no problem and DC return paths are provided by the signal source. However, in some cases, capacitive coupling may be used between stages. In these cases, we must add DC return resistors.

The schematic symbol for the op amp is a triangle as shown in Figure 3-24A. Often, the power supply connections are omitted as shown in Figure 3-24B. Of course, power must be supplied to the op amp whether or not the connections are actually shown.

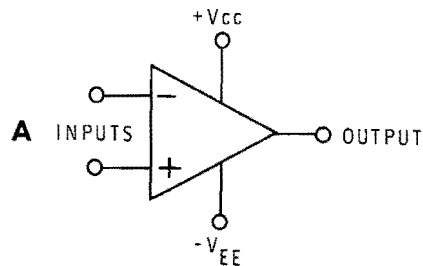
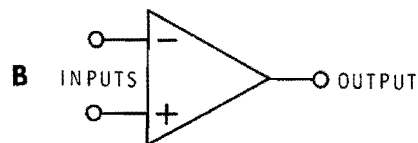


Figure 3-24  
Schematic symbol for the op amp.



## Electrical Characteristics

All the important characteristics of an operational amplifier are included on the data sheet for that op amp. In this section, we will look at some of these characteristics and discuss their meanings.

### INPUT RESISTANCE ( $R_{IN}$ )

This specification tells us the resistance between the two input terminals of the op amp. Generally, the higher the input resistance, the better the op amp will perform. An input resistance in excess of one megohm is common.

### INPUT CAPACITANCE ( $C_{IN}$ )

When used at high frequencies, the input capacitance of the op amp may become important. This is the capacitance at one of the input terminals when the other terminal is grounded. Typical values are less than 2 picofarads.

### GAIN ( $A_V$ )

The voltage gain of an op amp should be very high—the higher, the better. Gains of 200,000 or higher are common. This is the "open-loop" gain or the gain of the amplifier without feedback. Ironically, most of this gain is sacrificed in practical applications. The op amp is normally operated with very heavy degenerative or negative feedback. This drastically reduces the circuit gain.

Often the gain is specified as a certain number of volts out for each millivolt input. That is, a gain of 200,000 may be specified as 200 V/mV. This means that the gain is such that a 1 mV change at the input will attempt to cause a 200-volt change at the output. Of course, the output cannot approach 200 volts. The amplifier saturates with an output voltage slightly less than the supply voltage. The 200 V/mV specification is just another way of saying that the output change will be 200,000 times greater than the input change.

### OUTPUT RESISTANCE ( $R_{OUT}$ )

The output resistance of the op amp should be very low—the lower, the better. An output resistance of 150 ohms or less is common.

### COMMON MODE REJECTION RATIO (CMRR)

As explained earlier, this is the ratio of the differential voltage gain to the common-mode voltage gain. A CMRR of 30,000 or higher is typical.

### INPUT OFFSET VOLTAGE

Ideally, the output voltage of an op amp should be 0 volts when both inputs are at 0 volts. Unfortunately, because of the high gain, the slightest circuit imbalance can cause an output voltage. The output can be forced back to zero by applying a slight offset voltage at one of the inputs. Thus, the **input offset voltage** is the DC voltage which must be applied between the inputs to force the DC output voltage to zero. A typical value is 1 mV.



### INPUT-OFFSET CURRENT

In an ideal op amp, the output voltage would be zero when the two input currents are equal. However, in practice, a slight offset current is normally required. The **input-offset current** is the difference between the currents into (or out of) the input terminals when the output voltage is zero. A typical value is  $20 \times 10^{-9}$  amperes or 20 nanoamperes (nA). In other words, to set the output to zero volts, one input may require up to 20 nA more current than the other.

### SLEW RATE

This characteristic indicates how fast the output voltage of the op amp can change. It is given in volts per microsecond. For example, a slew rate of  $1 \text{ V}/\mu\text{S}$  means that the output voltage can change no faster than one volt during each microsecond regardless of how fast the input voltage changes.

### INPUT BIAS CURRENT

When the output of the op amp is nulled to 0 volts, there will be some current flowing into or out of the input terminals. The average of these two input currents is called the input bias current. Typical values are less than one microampere. This current can be a source of unbalance since it tends to change with temperature. Generally, the lower the input bias current, the smaller the imbalance will be. Some op amps are specially designed to have a very low input bias current.

### OTHER CHARACTERISTICS

Op amps have many additional characteristics such as total power dissipation, maximum-voltage limits, etc. Most of these are either self explanatory or are explained in the data sheets. For our purposes, we do not need to consider these other characteristics at this time.

## IC Op Amp Families

Operational amplifiers have been around for years. However, it was not until the mid 1960's that op amps were packaged in integrated circuit form. The characteristics of the op amps are constantly being improved. Over the years several op amp "families" have developed. Each family is composed of members from several different manufacturers. And yet, each member has about the same characteristics as the other members of that family. Let's look at some of these families.

## THE 709 FAMILY

The first op amp to gain wide acceptance was the 709 type. This amplifier is still used today. It has an input resistance of about  $250\text{ k}\Omega$ , an output resistance of about  $150\ \Omega$ , and a voltage gain of about 45,000. Members of this family have numbers like  $\mu\text{A}709$ , MC1709, and SN72709. Notice that each contains the 709 number.

By today's standards, the characteristics of the 709 op amp are not very impressive. Also, the 709 has some serious disadvantages. One disadvantage is a **latch-up** problem. With certain values of common-mode input voltages, the output voltage may become "stuck" at some value. Another disadvantage is that the 709 has no **short circuit protection**. If the output terminal is accidentally shorted to ground, the op amp may be destroyed. Finally, the 709 requires an external frequency compensation network. This means extra components and additional work for the designer.

## THE 741 FAMILY

The schematic diagram shown earlier in Figure 3-23 was for the 741 type of operational amplifier. This op amp requires no external frequency compensation; it is short circuit protected; and it has no latch-up problem. In addition, its specifications exceed those of the 709.

This family includes a number of devices which carry the basic 741 number. But it also includes devices like the 747 which contains two 741 type circuits on a single chip.

The 741 op amp offers good performance at a low price. It is one of the most commonly used operational amplifiers. We will discuss its characteristics in more detail later.

## THE 101 FAMILY

This family has some advantages over the 741 type. In particular, its input offset current is lower. This is important because this parameter changes with temperature. Thus, with this family, the output voltage is more likely to remain balanced with changes in temperature. It also has a higher input resistance and higher common-mode rejection ratio.

## OTHER FAMILIES

The above IC types are general-purpose, low-cost op amps. There are many other families which are more specialized. Some emphasize extremely high input impedances. Others are very wide band devices with high slew rates. Still, others are designed to operate at high voltages.

## Programmed Review

26.	An operational amplifier actually consists of several amplifier stages. The input stage is generally a _____ amplifier.
27.	(differential) This is followed by a voltage amplifier which gives the op amp a very high _____.
28.	(gain) The final stage is often an emitter follower. This stage gives the op amp a very low output _____.
29.	(impedance) Bipolar power supplies are used so that the output voltage can swing both positive and _____.
30.	(negative) The symbol for the op amp is a triangle. The inputs are labeled “-” and “+.” A signal at the “-” input will appear _____ at the output.
31.	(inverted) A signal at the “+” input is not inverted. Thus, the “+” input is called the _____ input.
32.	(noninverting) The input and output resistance of the op amp are important characteristics. The input resistance should be very _____. high/low _____. high/low
33.	(high) (low) The gain of the op amp is also important. An op amp whose gain is given as 100 V/mV, has a gain of _____.
34.	(100,000) Several of the characteristics deal with offset voltages and currents. These characteristics are important because the op amp sees an _____ voltage or current as an input signal.
35.	(offset).

## EXPERIMENT 6

### Introduction to the Operational Amplifier

- OBJECTIVES:**
- Show the characteristics of the 741C op amp.*
  - Demonstrate how the op amp can be used as a comparator.*

#### Introduction

This experiment demonstrates a practical application of the operational amplifier. In fact, the comparator is the only practical application of the op amp which we will study that does not require feedback. Before experimenting with the comparator, you will measure the input and output resistance of the op amp.

The op amp which you will use is the 741C type. Figure 3-25A lists several important absolute maximum ratings for this op amp. If any one of these ratings are exceeded, the op amp may be damaged. Figure 3-25B shows some of the electrical characteristics of the device. **Read the entire procedure before performing the experiment.**

<b>ABSOLUTE MAXIMUM RATINGS FOR 741C OP AMP</b>	
Supply Voltage .....	±18 volts.
Input Voltage .....	±15 volts (or supply voltage level, whichever is lower).
Differential Input Voltage .....	±30 volts.

Figure 3-25A  
741C characteristics.

**ELECTRICAL CHARACTERISTICS OF 741C OP AMP**  
 (typical values at 25°C with supply voltages of ±15 volts)

Input offset voltage .....	2.0 millivolts.
Input offset current .....	20 nanoamperes.
Input Resistance .....	2.0 megohms.
Input Capacitance .....	1.4 picofarads.
Gain .....	200,000 or 200V/mV.
Output Resistance .....	75 ohms.
Common Mode Rejection Ratio .....	30,000.
Slew rate .....	0.5 volts per microsecond.

Figure 3-25B  
 741C characteristics.

To use the op amp, you must know which pin is which. Figure 3-26A shows how to identify pin 1. Once you have found pin 1, Figure 3-26B shows how the other pins are numbered. It also shows how the op amp is connected to these pins. Refer back to this diagram as you perform this experiment.

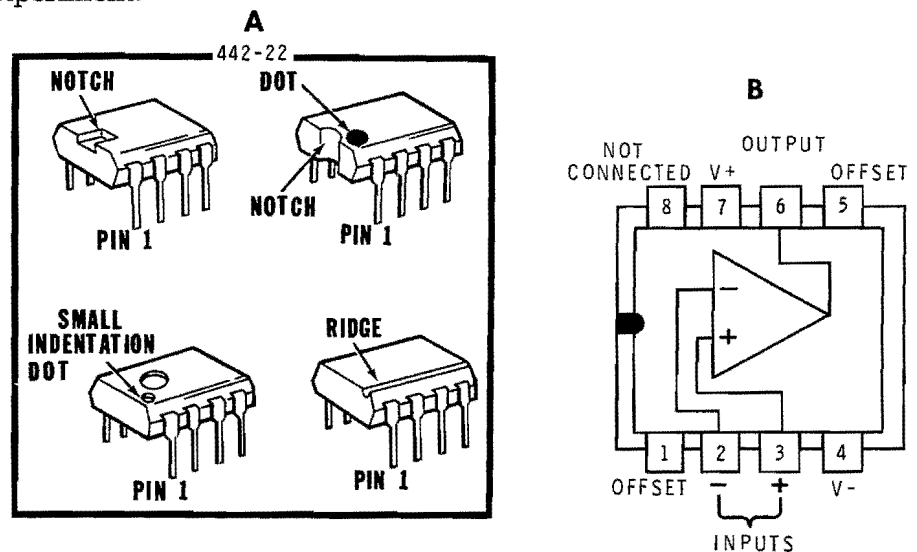


Figure 3-26  
 Identifying the pins of the 741C op amp.

## Material Required

- Heathkit Analog Trainer
- Multimeter
- Oscilloscope (dual channel preferred)
- 1—741C operational amplifier (442-22)
- 2—1 kilohm resistors (brown-black-red-gold)
- 1—10 microfarad electrolytic capacitor
- 1—100 kilohm linear control (10-1142)

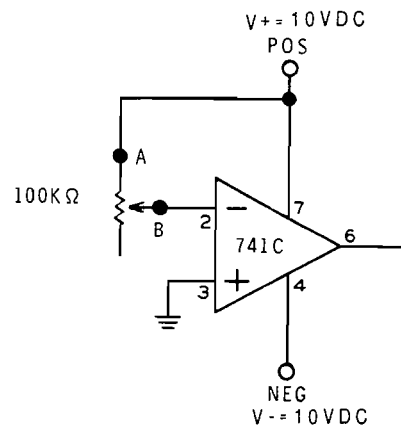


Figure 3-27  
Circuit for steps 1 through 6.

## Procedure

NOTE: The voltage measurement made in step 5 will be accurate only if your multimeter has a very high input resistance. If you have only a 20,000 ohm/volt input, you may want to skip this part of the experiment.

1. Turn on the Trainer and adjust the (+) and (-) power supply voltage controls for 10 VDC. Turn off the Trainer.
2. Construct the circuit shown in Figure 3-27. Notice that the inverting terminal (pin 2) is connected to the (+) 10 VDC supply through the 100 kilohm potentiometer. Turn on the Trainer.
3. Connect the multimeter between pin 2 and ground. Adjust the potentiometer until the meter reads exactly 9.9 VDC. The voltage drop between pin 2 and pin 3 of the op amp equals 9.9 VDC. The input resistance of the op amp is dropping 99 times as much voltage as the potentiometer.
4. Using your multimeter, measure the voltage drop across the potentiometer (the end terminals). \_\_\_\_\_ VDC.
5. Turn off the Trainer. Without disturbing the setting of the potentiometer, disconnect the circuit. Measure the resistance between points A and B of the potentiometer. The resistance is \_\_\_\_\_ kilohms.
6. Since the input resistance of the op amp drops 99 times as much voltage as the potentiometer, the input resistance must be 99 times larger. Thus, the input resistance can be computed by multiplying the value measured in step 5 by 99. Thus,  $R_{IN}$  equals \_\_\_\_\_ kilohms.

## Discussion

The input resistance of the op amp cannot be measured directly with an ohmmeter because the op amp must be operating. However, you can determine the input resistance with fair accuracy, using the method described here. The typical value of input resistance is 2 megohm. However, the input resistance may be as low as 300 kilohm.

The output resistance of an op amp circuit can be estimated using a similar procedure.

## Procedure (Continued)

7. Construct the circuit shown in Figure 3-28.
8. Temporarily disconnect the 1 kilohm resistor from the output terminal (pin 6) of the op amp. Turn on the Trainer.
9. With no load on the output, measure the DC voltage from pin 6 to ground.  
 $E_{OUT} =$  \_\_\_\_\_ volts.
10. Reconnect the 1 kilohm resistor to pin 6 of the op amp.  $E_{OUT} =$  \_\_\_\_\_ volts. Where is the rest of the output voltage being dropped? \_\_\_\_\_.
11. The 1 kilohm resistor is dropping about \_\_\_\_\_ times as much voltage as the output resistance of the op amp.
12. Therefore, the output resistance of the op amp must be about \_\_\_\_\_ ohms. Turn off the Trainer.

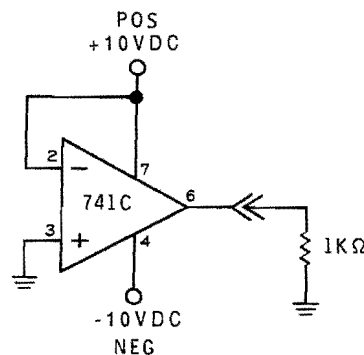


Figure 3-28  
Circuit for steps 7 through 12.

## Discussion

Here, you indirectly estimated the output resistance of the op amp. A positive DC signal was applied to the inverting input. This drove  $E_{OUT}$  to its negative limit. Without a load,  $E_{OUT}$  is about  $-9$  volts. When the output is loaded by connecting the 1 kilohm resistor,  $E_{OUT}$  drops to about  $-8.5$  volts. The 0.5-volt difference in these readings is caused by the voltage drop across the output resistance of the op amp ( $R_{OUT}$ ).  $R_{OUT}$  drops the missing 0.5 volts. Notice that the 1 kilohm resistor is dropping 17 times as much voltage as  $R_{OUT}$ . Consequently,  $R_{OUT}$  must be about 1/17 of 1000 ohms, or about 60 ohms. This is a typical value estimated from the values given. Your value may vary somewhat. This procedure proves that the output resistance of the op amp is quite low — typically less than 100 ohms.

The following paragraphs describe the first practical application of the operational amplifier. In nearly all op amp applications, heavy degenerative feedback is used. One exception to this rule is the **comparator**.

The comparator uses the full gain of the op amp. The 741C has gain of about 200,000. For this reason, the output voltage reaches its saturation level with a very low input voltage. For example, a 1 mV peak-to-peak input signal will try to cause a 200 volt peak-to-peak output. Of course, the output voltage cannot even approach this level. **The output voltage can never be as great as the supply voltage.** Also, the supply voltage limits for the 741C are  $\pm 18$  volts. These are the absolute maximum values and the op amp is normally operated with lower supply voltages. With supply voltages of  $\pm 10$  volts, the limits of the output voltage are about  $\pm 9$  volts.

The op amp amplifies the difference between the two voltages at its inputs. If the voltage at the inverting input is even 1 mV more positive than that at the noninverting input, the output voltage is driven to its negative limit. On the other hand, if the voltage at the noninverting input is slightly more positive, the output voltage is driven to its positive limit. Thus, in this mode, the op amp performs not as a linear amplifier, but rather as a comparator to indicate which input voltage is higher. Comparators are used in analog-to-digital converters, and digital-to-analog converters.



The comparator can also perform wave shaping functions. For example, let's assume that the noninverting input is grounded and that a sine wave is applied to the inverting input. As soon as the sine wave starts its positive excursion, the output is driven to its negative limit. The output remains there until the sine wave returns to 0 volts, 180° later. But then, since the sine wave input immediately swings negative, the output is driven to its positive limit. Thus, a sine wave at the input produces a square wave at the output.

The operations described above will be verified by experiment.

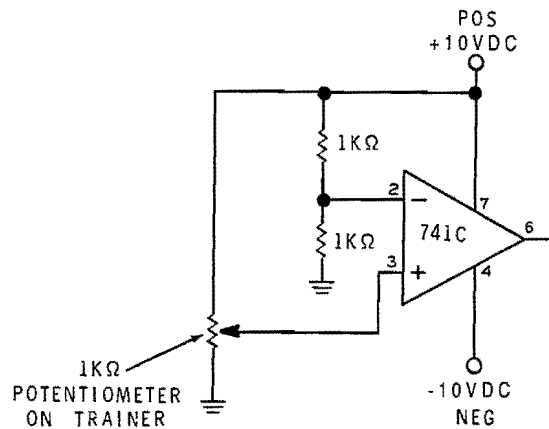


Figure 3-29  
Simple comparator.

### Procedure (Continued)

13. Construct the circuit shown in Figure 3-29. Turn on the Trainer.
14. Set the 1 kilohm potentiometer for maximum resistance. Measure the DC voltage at pin 3 of the op amp. The voltage at this input is \_\_\_\_\_ volts.
15. Measure the DC voltage at pin 2 of the op amp. The voltage at this input is \_\_\_\_\_ volts. Since the voltage at the inverting input is positive, the voltage at the output will be \_\_\_\_\_.  
positive/negative
16. Measure the DC voltage at pin 6. The output voltage is \_\_\_\_\_ volts.
17. Very slowly turn the 1 kilohm potentiometer toward minimum resistance while monitoring the output voltage. At some point, the output voltage will suddenly change polarity. Stop turning the potentiometer as soon as you reach this point.

18. With the potentiometer set at this "switch over" point, measure the two input voltages. The voltage at the inverting input (pin 2) is \_\_\_\_\_ volts. The voltage at the noninverting input (pin 3) is \_\_\_\_\_ volts. Are these two voltages equal? \_\_\_\_\_.
19. Turn the potentiometer for minimum resistance. Measure the output voltage at pin 6. The output voltage is \_\_\_\_\_ volts.
20. Very slowly turn the potentiometer toward maximum resistance as you monitor the output voltage. Again, the output voltage will suddenly switch polarity at some point.
21. With the potentiometer set at this "switch over" point, measure the two input voltages. The voltage at the inverting input (pin 2) is \_\_\_\_\_ volts. The voltage at the noninverting input (pin 3) is \_\_\_\_\_ volts. Are the two voltages equal? \_\_\_\_\_. Turn off the Trainer.

## Discussion

In step 14, you set the voltage at the noninverting input to 0 volts. At the same time, the voltage at the inverting input is about +5 volts. This drives the output voltage to its negative limit of about -9 volts.

In step 17, you began increasing the voltage at the noninverting input. The instant this voltage becomes more positive than that on the inverting input, the output swings to its positive limit. This happens when the voltage at pin 3 is only a fraction of a millivolt higher than at pin 2. For practical purposes, we can assume that the two input voltages are equal at this point. In step 18, you measured the two input voltages and found them to be equal.

In step 19, you made the noninverting input more positive. This drove the output to its positive limit. Then you slowly decreased the voltage to the noninverting input. As soon as this voltage dropped below that at the inverting input, the output swung negative. Again, you measured the output voltages and found them to be equal at this point.

This part of the experiment demonstrates four points about the comparator:

1. When the inverting input is more positive than the noninverting input, the output is negative.
2. When the noninverting input is more positive than the inverting input, the output is positive.

3. The switch in polarity of the output voltage occurs when the two input voltages are virtually equal.
4. The output voltage is always at one extreme or the other, never in between.

The following procedure will show how the comparator reacts to a sine wave input.

### Procedure (Continued)

22. Construct the circuit shown in Figure 3-30. Turn on the Trainer.

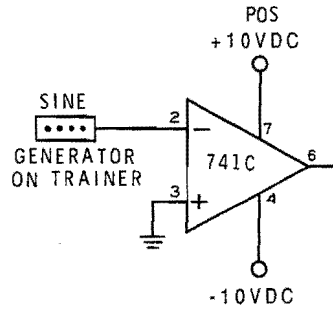


Figure 3-30  
Circuit for steps 22 through 26.

23. Set the Generator RANGE switch to LOW (1X or 100) and set the Generator FREQUENCY to 1 kilohertz.
24. Connect the external trigger input jack of your oscilloscope to the generator SQUARE terminal on the Trainer. Place your oscilloscope in the external triggering mode. Set the triggering polarity or slope switch to the "+" position. Connect the ground lead of the oscilloscope to one of the GND terminals on the Trainer. Connect channel 1 of the oscilloscope to the SINE terminal of the Generator. Adjust the TIME/CM or horizontal sweep rate on the oscilloscope until a few cycles of the sine wave are visible on the screen.
25. Using the space provided in Figure 3-31A, draw the first two cycles of the input signal.
26. Connect channel 2 of the oscilloscope to pin 6 of the op amp. Using the space provided in Figure 3-31B, draw the first two cycles of the output signal.

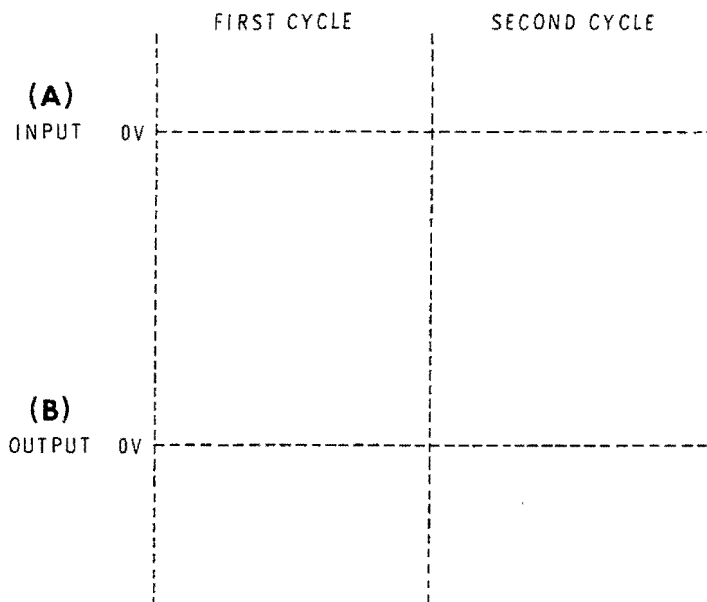


Figure 3-31

Draw the waveforms observed in steps 25 and 26.

27. Turn off the trainer and modify the circuit according to Figure 3-32. Turn on the Trainer.
28. Connect your multimeter between pin 3 of the op amp and ground. Adjust the 1 kilohm potentiometer until the voltage at pin 3 is +5 VDC. Leave the multimeter connected to this point.

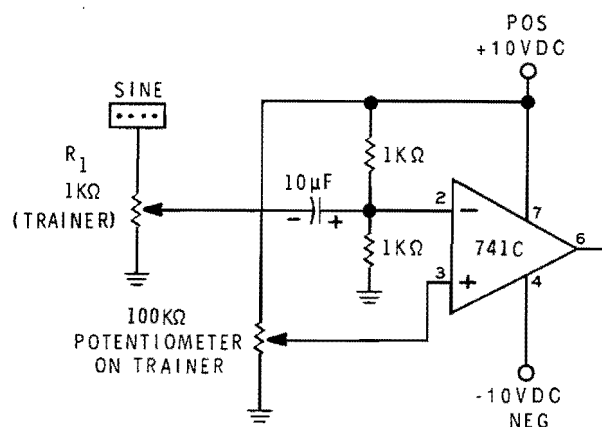


Figure 3-32

Circuit for steps 27 through 36.

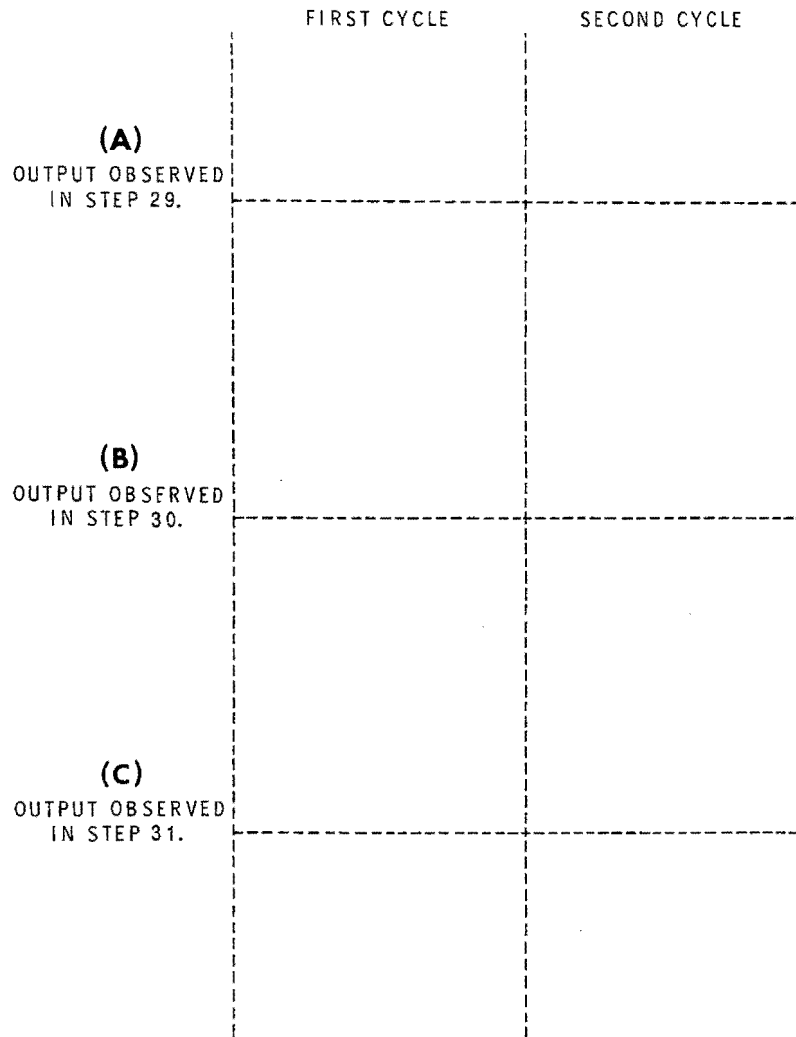


Figure 3-33

Draw the waveforms observed in steps 29, 30, and 31.

29. Connect channel 2 of the oscilloscope to pin 6 of the op amp. Draw the first two cycles of the output waveform in Figure 3-33A. Leave the oscilloscope connected to this point.
30. Adjust the potentiometer until the voltage at pin 3 of the op amp is +6 VDC. Observe the effect on the output. Draw the first two cycles of the output waveform in Figure 3-33B.
31. Adjust the potentiometer until the voltage at pin 3 of the op amp is +4 VDC. Observe the effect on the output. Draw the first two cycles of the output waveform in Figure 3-33C.
32. Compare the three waveforms you have drawn in Figure 3-33. Notice that the width of the positive-going pulse can be readily changed by varying the voltage at pin 3 of the op amp.

33. Turn the potentiometer fully clockwise then fully counterclockwise several times. Notice that at both ends of the potentiometer setting, the output square wave disappears. Adjust the potentiometer for maximum resistance. Very slowly, adjust the potentiometer for minimum resistance until you notice the first sign of an AC signal at the output. The voltage on pin 3 of the op amp at this time is \_\_\_\_\_ VDC.
34. Continue to adjust the potentiometer for minimum resistance. Narrow, positive pulses should begin to appear at the output. The pulses become wider as you continue to adjust the potentiometer.
35. Continue to adjust the potentiometer until all signs of the AC signal disappear at the output. The voltage at pin 3 of the op amp at this time is \_\_\_\_\_ VDC.
36. Subtract the voltage measured in step 33 from the voltage measured in step 35. The result is \_\_\_\_\_ volts. This is the peak-to-peak value of the AC sine wave applied to pin 2 of the op amp. Why? \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

## Discussion

In steps 22 through 26, you saw how the comparator responded to an AC signal when one of the inputs is grounded. The input and output waveform which you drew in Figure 3-31 should look like those shown in Figure 3-34.

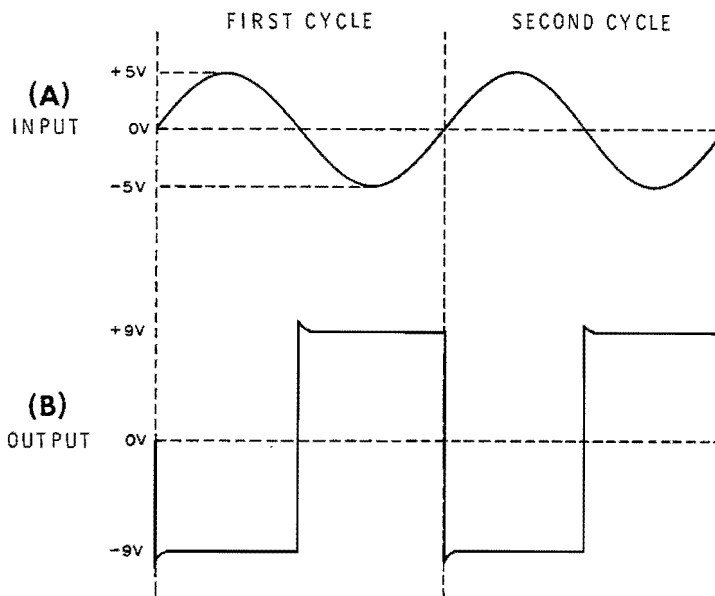
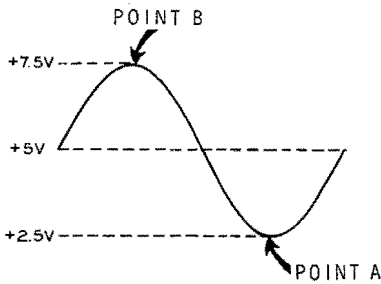


Figure 3-34

The waveforms observed in steps 25 and 26.

In step 27, you modified the circuit to give you some control over the output waveform. A voltage divider sets the DC voltage at pin 2 to +5 volts. The AC signal is then superimposed on this +5-volt level as shown in Figure 3-35. The potentiometer allows us to set the voltage at the noninverting input to any value between 0 and +10 volts.



You set this reference voltage to +5 volts, +6 volts, and +4 volts. You drew the outputs for each of these settings in Figure 3-33. Your waveforms should look like those shown in Figure 3-36. Notice that the width of the positive-going pulse is controlled by the reference voltage.

In step 33, you determined the voltage at point A in Figure 3-35. You did this by adjusting the reference voltage to the point at which all AC disappeared in the output. This occurs when the reference voltage is the same as the voltage at point A. Using a similar procedure in step 35, you determined the voltage at point B, in Figure 3-35. Finally, you computed the peak-to-peak value of the AC input signal by subtracting one extreme from the other.

Figure 3-35  
Signal on the inverting input of the comparator.

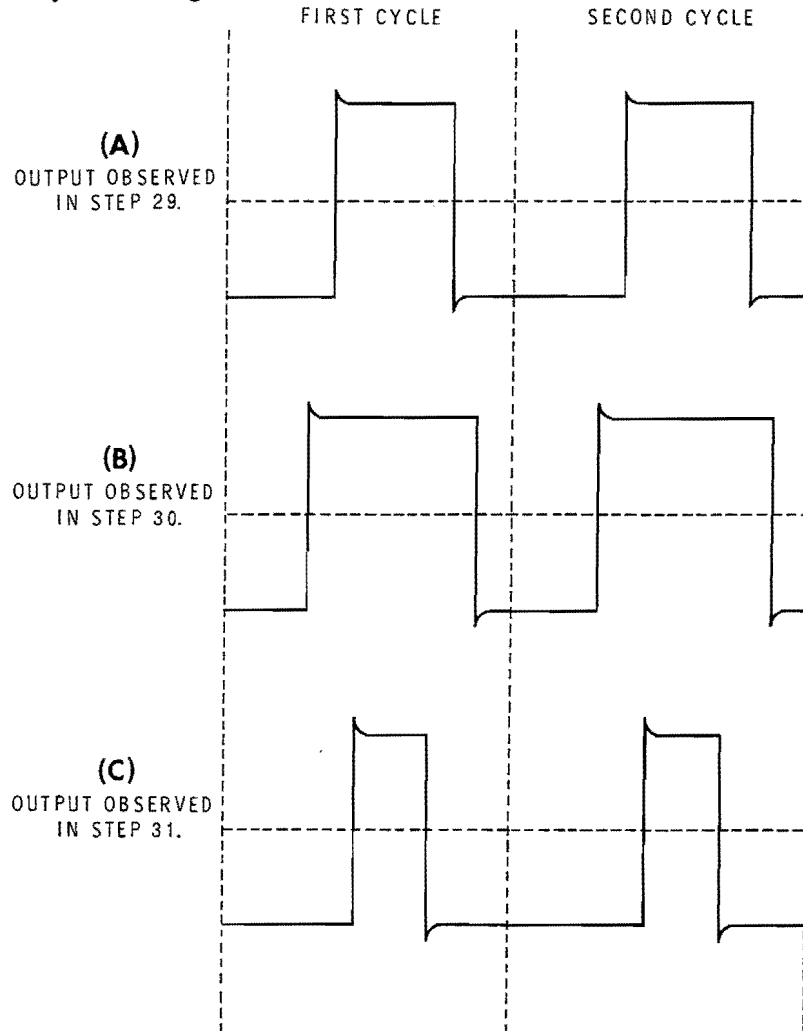


Figure 3-36

The waveforms observed in steps 29, 30, and 31.

## CLOSED-LOOP OPERATION

As mentioned, the op amp is not normally used in the open-loop mode. Except for the comparator, there are no practical open-loop circuits.

The normal mode of operation for the op amp is the closed-loop mode. In this mode, a lot of degenerative feedback is used. This greatly reduces the circuit gain, but it stabilizes the stage. If feedback is used properly, the characteristics of the stage are independent of the op amp.

In the closed-loop configuration, the output signal is applied back to one of the input terminals. The feedback signal always opposes the effects of the original input signal. There are two basic closed-loop circuits — the **inverting configuration** and the **noninverting configuration**. Because the inverting configuration is more popular, it will be described first.

### Inverting Configuration

The inverting configuration is shown in Figure 3-37. In its most basic form, it consists of the op amp and two resistors. The noninverting input is grounded. The input signal ( $E_{IN}$ ) is applied through  $R_2$  to the inverting input. The output signal is taken between the output terminal of the op amp and ground. The output signal is also applied back through  $R_1$  to the inverting input. So, the signal at the inverting input is determined not only by  $E_{IN}$ , but also by  $E_{OUT}$ .

At this point, we must differentiate between the op amp and the operational circuit. The triangle is the op amp. The operational circuit consists of the op amp and the two resistors.  $E_{IN}$  is the input to the operational circuit. However, the signal at the inverting input of the op amp is determined by both  $E_{IN}$  and  $E_{OUT}$ .

### FEEDBACK OPERATION

In a feedback arrangement of this type, events happen so quickly that they are hard to visualize. For example, assume that  $E_{IN}$  is initially at 0 volts. Since no difference in potential exists between the two input terminals, the output should also be at 0 volts.

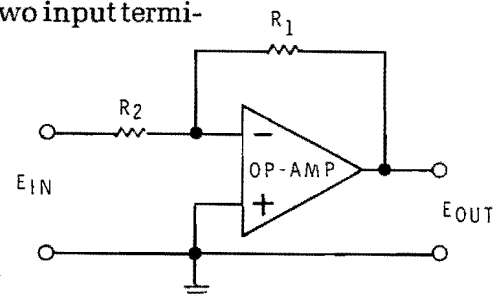


Figure 3-37  
Inverting configuration.



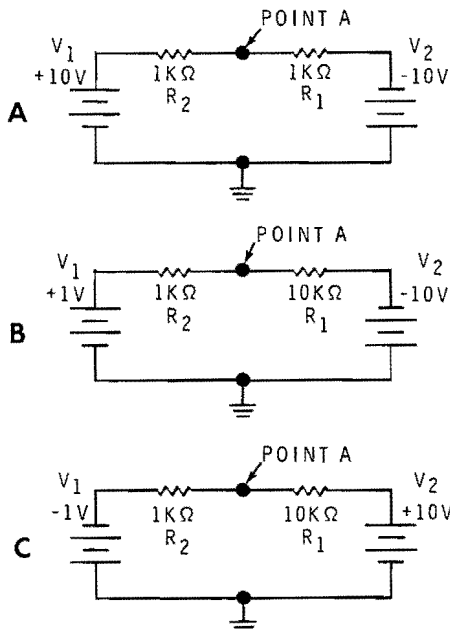


Figure 3-38

Point A is a virtual ground.

Now, assume that  $E_{IN}$  instantly changes to +1 volt. When the voltage at the inverting input goes positive,  $E_{OUT}$  starts to swing negative. This negative-going voltage is felt back through  $R_1$  to the inverting input where it tends to cancel the original change. Of course, the feedback signal cannot completely cancel the input signal because, if it did, there would be no feedback signal. Nevertheless, the feedback signal will greatly reduce the effect of the input signal. Whereas  $E_{IN}$  changed to +1 volt, the voltage at the inverting input of the op amp may have changed by only a few microvolts.

The point is; the feedback voltage is of the proper amplitude and polarity to hold the voltage at the inverting input to an extremely low level. Compared to other voltages in the circuit, the voltage at the inverting input can be approximated as 0 volts. While this may be difficult to visualize, it is very easy to prove with experiments. In fact, you will prove this point yourself later in a practical experiment. You will see that the voltage at the inverting input of the op amp is, for practical purposes, 0 volts. The feedback signal holds the voltage at about 0 volts regardless of changes in  $E_{IN}$ .

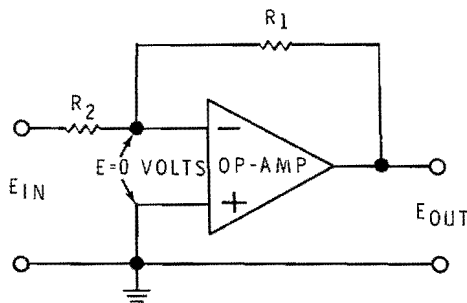


Figure 3-39

The inverting input is a virtual ground.

**VIRTUAL GROUND**

Virtual ground is a point in a circuit which is at ground potential (0 volts) but which is not connected to ground. Figure 3-38 shows three examples. In each case, point A is at 0 volts but is isolated from ground. Notice that  $V_1, V_2, R_1,$  and  $R_2$  determine the voltage at point A. A voltmeter connected between point A and ground would read 0 volts and yet, point A is isolated from ground.

In much the same way,  $E_{IN}, E_{OUT}, R_1,$  and  $R_2$  hold the voltage at the inverting input of the op amp in Figure 3-39 at about 0 volts. Thus, the inverting input of the op amp can be considered a virtual ground.

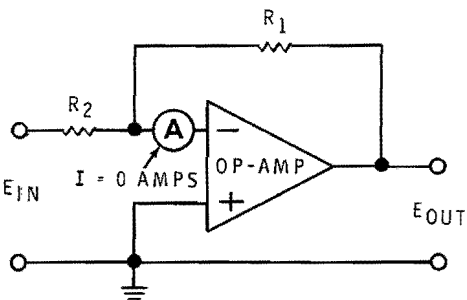


Figure 3-40

For practical purposes, the current into and out of the op amp is 0.

**INPUT CURRENT**

The analysis of the inverting configuration can be further simplified by making another approximation. Recall that the input resistance of the operational amplifier is very high. For this reason, the input current flowing into or out of the inverting input of the op amp will be extremely low. Compared to other circuit currents, this current can be approximated as zero. That is, the current flowing into (or out of) the input terminals of the op amp is so small that it can be ignored. This is illustrated in Figure 3-40.

## RESISTOR RATIO

The characteristic of the inverting configuration are determined almost entirely by the values of  $R_1$  and  $R_2$  as shown in Figure 3-41.

The input signal ( $E_{IN}$ ) causes current to flow through  $R_2$ . Since the voltage at the inverting input of the op amp is approximately 0 volts,  $E_{IN}$  must be dropped entirely by  $R_2$ . Therefore, the input current is

$$I_{IN} = \frac{E_{IN}}{R_2}.$$

An output voltage ( $E_{OUT}$ ) is developed which is opposite in phase or polarity to  $E_{IN}$ . This voltage causes a feedback current ( $I_F$ ) to flow through  $R_1$ . The left side of  $R_1$  is at 0 volts and the right side is at  $E_{OUT}$ . Thus, the feedback current is

$$I_F = \frac{-E_{OUT}}{R_1}.$$

The minus sign indicates that  $E_{OUT}$  is 180° out of phase with  $E_{IN}$ .

No current flows into or out of the inverting input of the op amp. Consequently, any current reaching this point via  $R_2$  must leave this point via  $R_1$ . That is

$$I_{IN} = I_F.$$

Now, if  $I_{IN}$  and  $I_F$  are equal, then

$$\frac{E_{IN}}{R_2} = \frac{-E_{OUT}}{R_1}.$$

If we rearrange the equation, we find that

$$\frac{E_{OUT}}{E_{IN}} = -\frac{R_1}{R_2}.$$

Recall that the voltage gain ( $A_V$ ) of any stage is expressed by

$$A_V = \frac{E_{OUT}}{E_{IN}}.$$

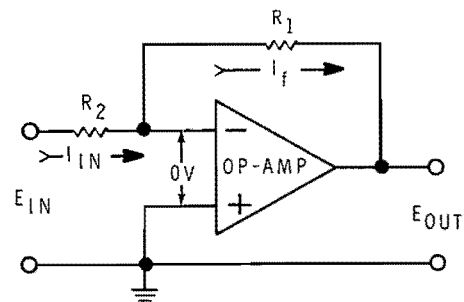


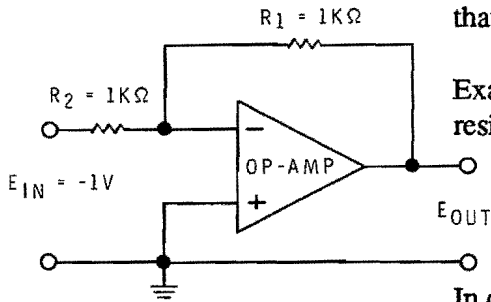
Figure 3-41

The characteristics of the circuit are determined by  $R_1$  and  $R_2$ .

Therefore, the voltage gain of the inverting configuration is expressed by:

$$A_V = -\frac{R_1}{R_2}$$

The minus sign simply indicates that the signal is inverted. This expression states that the gain of the stage is determined solely by the ratio of  $R_1$  to  $R_2$ .



Examples: Figure 3-42 shows an example of the inverting configuration with the resistor values given. According to the gain formula, the gain of this circuit is

$$A_V = -\frac{R_1}{R_2} = -\frac{1 \text{ k}\Omega}{1 \text{ k}\Omega} = -1$$

In other words, the circuit should have unity gain and a phase reversal.

Assume that  $E_{IN}$  is a  $-1$ -volt DC signal.  $E_{IN}$  is dropped by  $R_2$  since the voltage at the "-" input is 0 volts. The input current is

$$I_{IN} = \frac{E_{IN}}{R_2} = \frac{-1 \text{ V}}{1 \text{ k}\Omega} = 0.001 \text{ A}$$

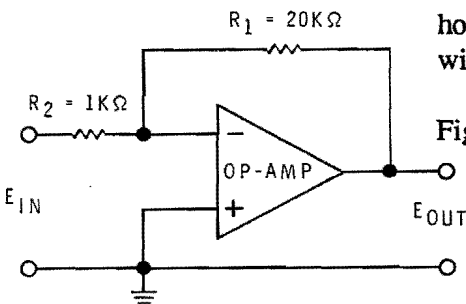
Since this current cannot flow into the "-" input terminal of the op amp, it must flow through  $R_1$ . In order to pull this current through  $R_1$ ,  $E_{OUT}$  must have a value of

$$E_{OUT} = R_1 (I_{IN}) = 1 \text{ k}\Omega (0.001 \text{ A}) = 1 \text{ V}$$

Furthermore, because current is flowing to the  $E_{OUT}$  terminal,  $E_{OUT}$  must be positive. Thus, when  $E_{IN}$  is  $-1$  volt,  $E_{OUT}$  is  $+1$  volt. This satisfies the gain formula since the gain is  $-1$ .

For simplicity, the input was assumed to be DC. However, the same formula holds true for AC signals. Thus, if  $E_{IN}$  is a 1-volt peak-to-peak AC signal,  $E_{OUT}$  will be a signal of the same amplitude but with opposite phase.

Figure 3-43 shows a more useful circuit. The gain of this circuit is



$$A_V = -\frac{R_1}{R_2} = -\frac{20 \text{ k}\Omega}{1 \text{ k}\Omega} = -20$$

Figure 3-43

This circuit has a voltage gain of  $-20$ .

Assume that the  $E_{IN}$  is +0.1 volt DC. Since the inverting input of the op amp is always at 0 volts,  $E_{IN}$  must be dropped across  $R_2$ . So, the current through  $R_2$  must be

$$I = \frac{E_{IN}}{R_2} = \frac{+0.1 \text{ V}}{1 \text{ k}\Omega} = 0.1 \text{ mA.}$$

Since this current cannot flow out of the “-” terminal of the op amp, it must flow through  $R_1$ .

In order to force 0.1 mA through  $R_1$ ,  $E_{OUT}$  must be

$$\begin{aligned} E_{OUT} &= R_1 \times I_{IN} \\ E_{OUT} &= 20 \text{ k}\Omega \times 0.1 \text{ mA} \\ E_{OUT} &= 2 \text{ volts.} \end{aligned}$$

Furthermore, since the current must flow from  $E_{OUT}$  to  $E_{IN}$ ,  $E_{OUT}$  must be a negative voltage. That is, when  $E_{IN}$  is +0.1 volt,  $E_{OUT}$  will be -2 volts. Thus, the circuit has a gain of -20.

This illustrates that the gain of the stage can be set simply by selecting the proper ratio of  $R_1$  to  $R_2$ . If  $R_1$  is 20 times larger, the amplifier will have a gain of 20.

### INPUT RESISTANCE

As described earlier, the input resistance of the op amp is very high. However, the input resistance of the inverting amplifier is not determined by the op amp. Rather, it is determined by the value of  $R_2$ .

Recall that the “-” terminal of the op amp is at virtual ground. Thus,  $E_{IN}$  is developed across  $R_2$ , and the input resistance of the stage is equal to the value of  $R_2$ .

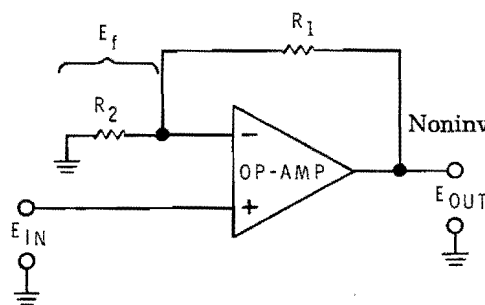


Figure 3-44  
Noninverting configuration.

### Noninverting Configuration

The op amp can also be used as a noninverting amplifier. This configuration is shown in Figure 3-44. Since  $E_{IN}$  is applied to the noninverting (+) input,  $E_{OUT}$  is in phase with  $E_{IN}$ . In this circuit, the feedback is applied to the inverting (-) input.

### FEEDBACK

$E_{OUT}$  is divided between  $R_1$  and  $R_2$  to produce the feedback voltage ( $E_F$ ). Since  $E_{OUT}$  is in phase with  $E_{IN}$ , the feedback voltage ( $E_F$ ) must also be in phase with  $E_{IN}$ . At first, this may not seem like degenerative feedback. However, since the op amp responds to the difference between the two input signals, the feedback voltage does oppose the input voltage.

The feedback action is difficult to follow because it happens so quickly. If  $E_{IN}$  swings more positive,  $E_{OUT}$  tends to swing much more positive. However, as  $E_{OUT}$  increases, so does  $E_F$ . The increase in  $E_F$  tends to partially offset the increase in  $E_{IN}$ . Because the gain of the op amp is so tremendously high, only a slight difference between  $E_{IN}$  and  $E_F$  is necessary to produce  $E_{OUT}$ . Thus,  $E_{IN}$  is almost exactly equal to  $E_F$ . This point is very easy to prove in practice. In a later experiment, you will verify that  $E_F$  and  $E_{IN}$  remain almost exactly equal. Of course, there is a slight difference and it is this difference that is amplified. However, for most practical purposes,

$$E_{IN} = E_F$$

Using this procedure, you can develop an equation for the gain of the stage.

**Stage Gain** By definition, the gain ( $A_V$ ) of the circuit is:

$$A_V = \frac{E_{OUT}}{E_{IN}}$$

And since  $E_{IN} = E_F$ ,

$$A_V = \frac{E_{OUT}}{E_F}$$

Now,  $E_F$  is determined by  $E_{OUT}$  and the value of  $R_1$  and  $R_2$ .  $R_1$  and  $R_2$  divide  $E_{OUT}$  in proportion to their resistance values. Consequently,

$$E_F = \frac{R_2}{R_1 + R_2} (E_{OUT})$$

Dividing both sides by  $E_{OUT}$ ,

$$\frac{E_F}{E_{OUT}} = \frac{R_2}{R_1 + R_2}$$

Inverting;

$$\frac{E_{OUT}}{E_F} = \frac{R_1 + R_2}{R_2}$$

$$\frac{E_{OUT}}{E_F} = \frac{R_1}{R_2} + \frac{R_2}{R_2}$$

Simplifying;

$$\frac{E_{OUT}}{E_F} = \frac{R_1}{R_2} + 1$$

But as shown earlier;

$$A_V = \frac{E_{OUT}}{E_F}$$

Therefore,

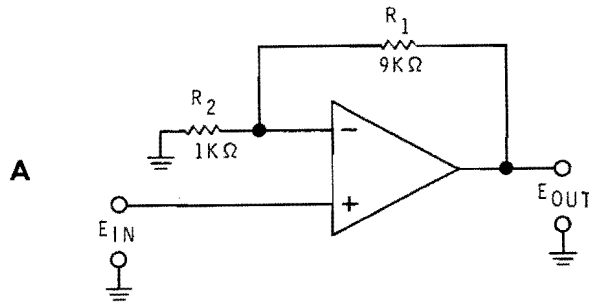
$$A_V = \frac{R_1}{R_2} + 1$$

As this equation shows, the gain of the stage is determined by the ratio of  $R_1$  to  $R_2$ .

## INPUT IMPEDANCE

The input impedance of the inverting configuration discussed earlier was equal to the input resistor value. This resulted because the "-" input of the op amp was a virtual ground. The noninverting configuration shown in Figure 3-44 does not have this characteristic.  $E_{IN}$  is applied directly to the "+" input.  $E_{IN}$  will cause a certain current to flow between the "+" and "-" input terminals of the op amp. At first, it might seem that the current is limited only by the input resistance ( $R_{IN}$ ) of the op amp. As shown before, this input resistance ( $R_{IN}$ ) is very high and it would hold the current to a low value. However, remember that  $E_F$  is almost equal to  $E_{IN}$ . Thus, the only voltage causing input current is the tiny difference between  $E_{IN}$  and  $E_F$ . Therefore, the input current is much lower than can be accounted for by  $E_{IN}$  and  $R_{IN}$  alone. So, the input impedance of the stage is somewhat higher than the input resistance of the op amp. As you can see, the noninverting circuit has an extremely high input impedance.

Examples: Figure 3-45A shows an example of a noninverting amplifier circuit. Using our gain formula,



$$A_V = \frac{R_1}{R_2} + 1$$

$$A_V = \frac{9 \text{ k}\Omega}{1 \text{ k}\Omega} + 1$$

$$A_V = 9 + 1$$

$$A_V = 10.$$

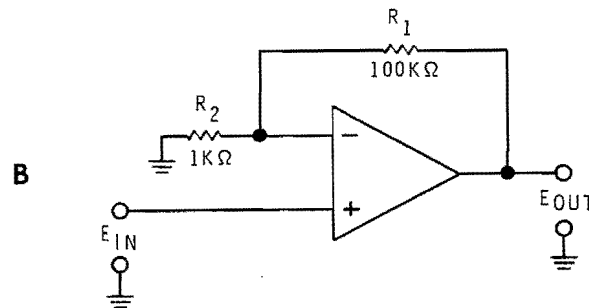


Figure 3-45  
Noninverting amplifier.

The circuit produces a gain of 10. The input impedance of this stage is high and the output impedance is very low.  $E_{OUT}$  will be in phase with  $E_{IN}$ , but will be ten times higher in amplitude.

Figure 3-45B shows another example. Here, the gain is 101. Circuits of this type are used to obtain voltage gains without phase inversion. They are also used when a very high input impedance is needed.

## Bandwidth Limitations

The gain of an operational amplifier varies with frequency. The gain figure given on op amp specification sheets is generally at 0 Hz or DC. The gain at higher frequencies may be much lower.

### OPEN-LOOP GAIN VERSUS FREQUENCY

Figure 3-46 shows the response curve of a typical operational amplifier. The open-loop gain is plotted against the frequency. Notice that at DC and very low frequencies, the gain of the amplifier is about 100,000. However, the gain drops off very rapidly as the frequency increases. The gain is fairly flat only to about 10 Hz. At 10 Hz, the gain has fallen to 70.7% of its maximum value. The point at which the gain falls to 70.7% of maximum is called the breakover point. The frequency at this point is called the break or breakover frequency. For this op amp, the break frequency is about 10 Hz.

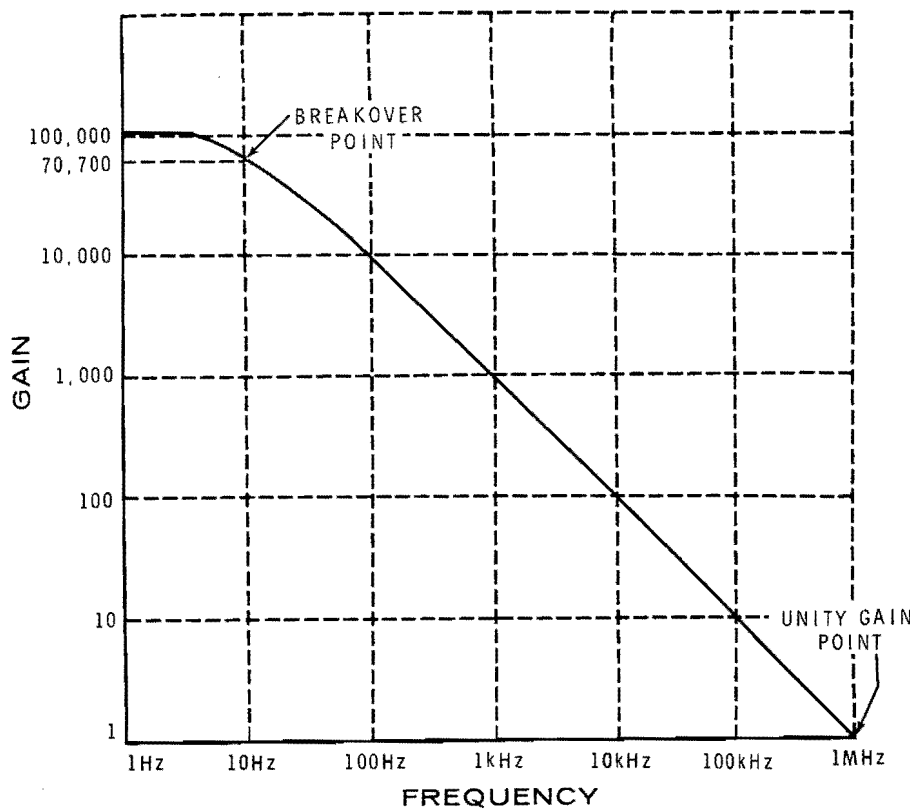


Figure 3-46  
Open-loop response.

Above the break frequency, the gain drops off at a constant rate as the frequency increases. Notice that a tenfold increase in frequency causes a tenfold decrease in gain. This linear roll-off continues with the gain falling to unity (1) at a frequency of 1 MHz. Thus, 1 MHz is called the unity gain frequency. The unity gain frequency is important because it is generally considered the maximum frequency at which the op amp is useful.

Bandwidth is normally measured at the point where the response falls to 70.7% of maximum. The op amp whose response is shown, has an open-loop bandwidth of only about 10 Hz. Of course, if there were not some way to increase this bandwidth, the op amp would be of little use, except as a DC amplifier.

### FEEDBACK INCREASES BANDWIDTH

As you have seen, the op amp is rarely used without feedback. The heavy degenerative feedback stabilizes the circuit and makes it independent of the op amp's characteristics. Just as important, the degenerative feedback also increases the bandwidth of the circuit.



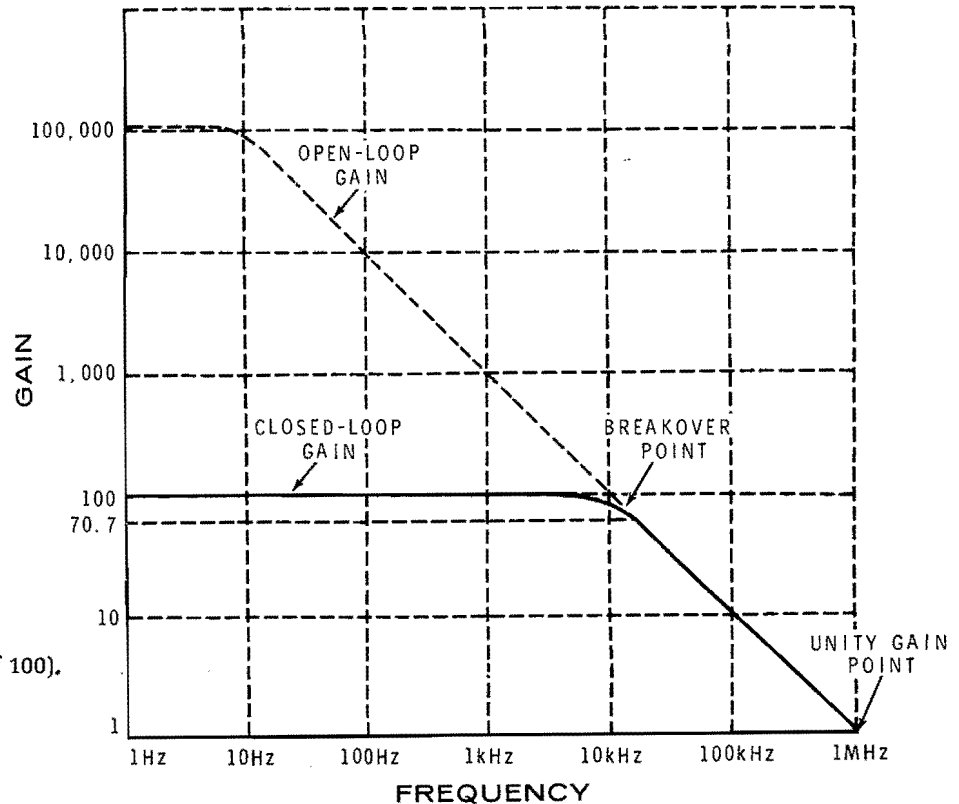


Figure 3-47  
Closed-loop response (gain of 100).

Figure 3-47 shows how dramatically the bandwidth can be increased by using feedback. Here a heavy degenerative feedback is used to decrease the gain from 100,000 to 100. That is, the feedback reduces the gain by a factor of 1000. In doing this, the bandwidth **increased** by a factor of 1000. That is, the response is now flat to about 10 kHz.

By reducing the gain to 100 the breakover point now occurs at a gain of 70.7. The break frequency is now about 10 kHz.

### GAIN-BANDWIDTH PRODUCT

Figure 3-48 shows the response curve of the same operational amplifier when the feedback is increased. Here, the gain is only ten but the bandwidth has increased to 100 kHz. Study the response curves shown in Figures 3-46, 3-47, and 3-48. Notice that when the gain of the circuit is multiplied by the bandwidth, the result is always 1,000,000 Hz. In Figure 3-46, a gain of 100,000, times a bandwidth of 10 Hz, equals 1,000,000 Hz. In Figure 3-47, the gain is 100 and the bandwidth is 10,000 Hz. The product is still 1,000,000 Hz. Finally, in Figure 3-48, the gain is 10 and the bandwidth is 100,000 Hz. As before, the product is 1,000,000 Hz. This illustrates that, for a given op amp, the **gain-bandwidth product** is constant. It also illustrates that the gain-bandwidth product is equal to the unity gain frequency.

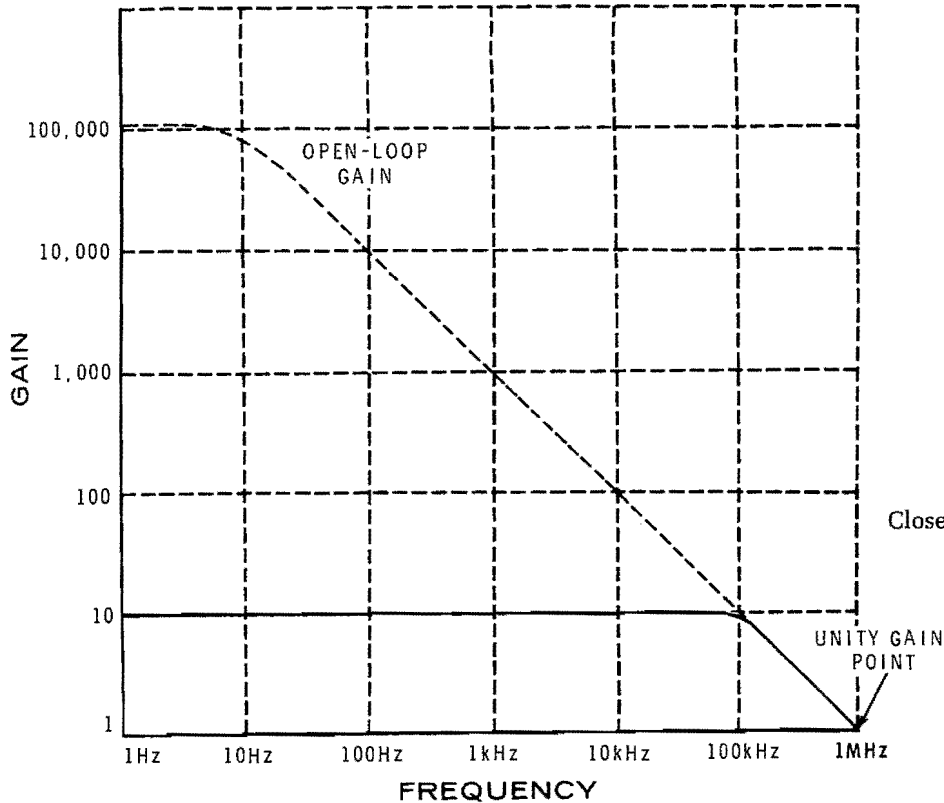


Figure 3-48  
Closed-loop response (gain of 10).

The gain-bandwidth product is an important specification. It tells us the upper frequency that the circuit can amplify. We can use it to determine the upper frequency limit we can expect for a given gain. Some IC op amps have gain-bandwidth products of 15 MHz or higher. The 741 has a gain-bandwidth product of 1 MHz.

## Operational Amplifier Circuits

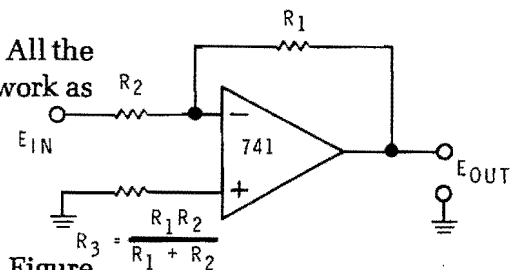
Let's take a look at several practical operational amplifier circuits. All the circuits shown use the 741 type of op amp, but other types will work as well.

### INVERTING AMPLIFIER

The inverting amplifier is shown in Figure 3-49A. The Table in Figure 3-49B shows the characteristics of the amplifier for different values of  $R_1$  and  $R_2$ . Notice that the gain-bandwidth product is always 1 MHz. The gain is determined strictly by the ratio of  $R_1$  to  $R_2$  as discussed earlier. The input resistance is always equal to  $R_2$ . One additional resistor ( $R_3$ ) is added to help minimize offset. Its value is equal to the equivalent parallel resistance of  $R_1$  and  $R_2$ . That is  $R_3$  is determined by

$$R_3 = \frac{R_1 R_2}{R_1 + R_2}$$

Figure 3-49A  
Designer's guide to inverting amplifiers.



$R_1$	$R_2$	GAIN	BANDWIDTH	$R_{IN}$
10 k $\Omega$	10 k $\Omega$	-1	1 MHz	10 k $\Omega$
10 k $\Omega$	1 k $\Omega$	-10	100 kHz	1 k $\Omega$
100 k $\Omega$	1 k $\Omega$	-100	10 kHz	1 k $\Omega$
100 k $\Omega$	100 $\Omega$	-1000	1 kHz	100 $\Omega$

Figure 3-49B

The example shows values of  $R_1$   $R_2$  which will produce gains of from 1 to 1000 in steps of 10. Of course, other values of gain are easily achieved by choosing the proper values of  $R_1$  and  $R_2$ .

### NONINVERTING AMPLIFIER

Figure 3-50 shows the noninverting amplifier and its characteristics for various values of  $R_1$  and  $R_2$ . Recall that the gain formula for this amplifier is:

$$A_V = \frac{R_1}{R_2} + 1$$

To allow the gain to work out to exact multiples of 10, nonstandard values are given for  $R_1$ . In practice, standard values of 10 k and 100 k would be used for  $R_1$ .

Compare the input resistance ( $R_{IN}$ ) values of this circuit with those for the inverting amplifier. Here, the input resistance is much higher.

Like the inverting amplifier, a third resistor ( $R_3$ ) is added to minimize offset. Again,  $R_3$  is chosen so that it has the equivalent resistance of  $R_1$  and  $R_2$  in parallel.

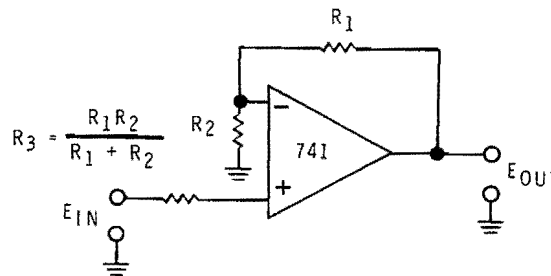


Figure 3-50

Designer's guide to noninverting amplifiers

$R_1$	$R_2$	GAIN	BAND- WIDTH	$R_{IN}$
9 k $\Omega$	1 k $\Omega$	10	100 kHz	400 M $\Omega$
9.9 k $\Omega$	100 $\Omega$	100	10 kHz	280 M $\Omega$
99.9 k $\Omega$	100 $\Omega$	1000	1 kHz	80 M $\Omega$

Figure 3-50A

### VOLTAGE FOLLOWER

Figure 3-51 shows a special type of noninverting amplifier. Recall that the gain formula is:

$$A_V = \frac{R_1}{R_2} + 1$$

Notice that  $R_1$  is 0, so the first term in the equation disappears, leaving:

$$A_V = 1$$

That is, this circuit has a gain of 1. Therefore, the output will be an exact replica of the input signal. The input resistance is tremendously high and the output resistance is very low. Thus, the circuit behaves like a "super" emitter follower. It is used for impedance matching and isolation very much like the emitter follower.

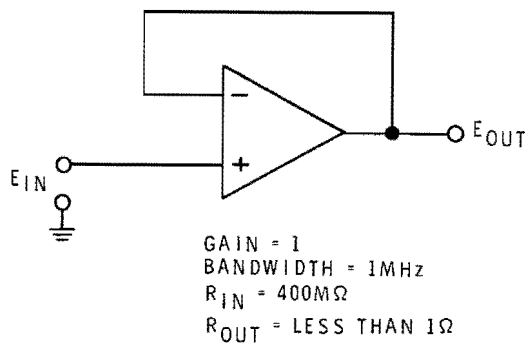


Figure 3-51  
 Unit gain voltage follower.

### Programmed Review

36. The operational amplifier is normally operated in a closed-loop mode. In this mode, we sacrifice \_\_\_\_\_ in order to increase stability and bandwidth.

37. (gain) In the closed-loop mode, heavy degenerative \_\_\_\_\_ is used to make the operational circuit independent of the op amp's characteristics.

38. (feedback) Figure 3-52 shows an inverting amplifier. The op amp amplifies the signal at the "-" input. The signal at this point is determined by  $E_{IN}$  and a feedback voltage from  $E_{OUT}$ . Since the feedback voltage is out of phase with  $E_{IN}$ , the signal at the "-" input will be extremely small. In fact, compared to other values involved, the voltage at the "-" input can be considered \_\_\_\_\_.

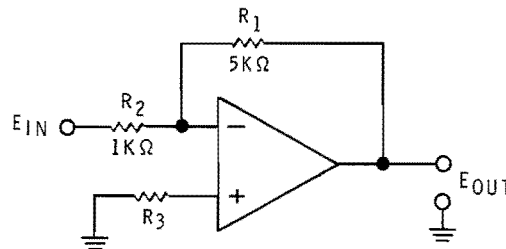


Figure 3-52  
Inverting amplifier.

39. (0 volts) Because the voltage at the "-" input is extremely small and the input resistance of the op amp is very high, the current flowing into or out of the "-" input can be considered \_\_\_\_\_.

40. (zero) If  $E_{IN}$  is  $-1$  volt, the current through  $R_2$  must be \_\_\_\_\_ mA.

41. (1) Since this current cannot come through the op amp, it must pass through \_\_\_\_\_.

42. ( $R_1$ ) To force 1 mA of current through  $R_1$ , the value of  $E_{OUT}$  must be \_\_\_\_\_.

43. ( $-5$  volts) Thus, the gain of this circuit is:

$$A_V = \frac{-E_{OUT}}{E_{IN}} = \underline{\hspace{2cm}}$$

44. (-5) The gain can also be expressed as the ratio of  $R_1$  to  $R_2$ . The equation is:

$$A_V = \underline{\hspace{2cm}}$$

45.  $(-\frac{R_1}{R_2})$  The input resistance to this stage is determined by \_\_\_\_\_ and is 1 kilohm.

46. ( $R_2$ ) If the op amp has a gain-bandwidth product of 1 megahertz, the bandwidth of the circuit shown is \_\_\_\_\_ kilohertz.

47. (200) Figure 3-53 shows a noninverting amplifier.  $E_{IN}$  is applied to the "+" input, while a feedback voltage ( $E_f$ ) is applied to the "-" input. The circuit automatically adjusts itself so that  $E_f$  is almost exactly equal to \_\_\_\_\_.

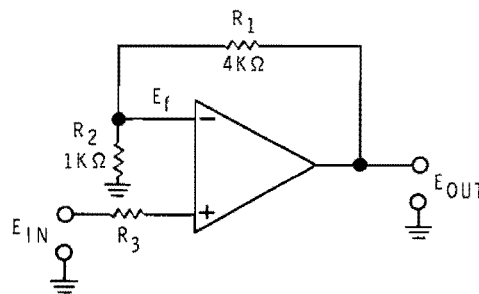


Figure 3-53  
Noninverting amplifier.

48. ( $E_{IN}$ ) The gain of this stage is determined by the formula:

$$A_V = \underline{\hspace{2cm}}$$

49.  $(\frac{R_1}{R_2} + 1)$  Thus, the gain of the stage shown is \_\_\_\_\_.

50. (5)

## EXPERIMENT 7

### Inverting And Noninverting Amplifiers

**OBJECTIVE:** *Show the characteristics of the inverting and non-inverting amplifiers.*

#### Introduction

In the previous section, you saw how the operational amplifier performs when operated in the closed-loop mode. Many of the points discussed in that section can be verified with experiments. **Read the entire procedure before performing this experiment.**

#### Material Required

Heathkit Analog Trainer

Multimeter

Oscilloscope (dual channel preferred)

1—741C operational amplifier (442-22)

1—100 kilohm resistor (brown-black-yellow-silver)

2—10 kilohm resistors (brown-black-orange-gold)

3—1 kilohm resistors (brown-black-red-gold)

1—4700 ohm resistor (yellow-violet-red-gold)

2—100 ohm resistor (brown-black-brown-gold)

1—10 ohm resistor (brown-black-black-silver)

#### Procedure

1. Turn on the Trainer and adjust the (+) and (-) power supply voltage controls for 10 VDC. Turn off the Trainer.
2. Construct the circuit shown in Figure 3-54. Initially, connect the 1 kilohm potentiometer to the (+) power supply. This connection will be changed in later steps.
3. Connect your multimeter between the wiper arm of the 1 kilohm potentiometer and ground. Adjust the potentiometer until the meter reads + 5 VDC. This is the input voltage ( $E_{IN}$ ) to the amplifier circuit.

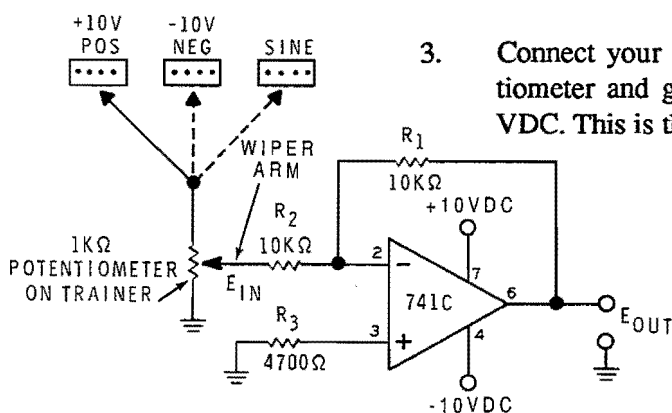


Figure 3-54  
Circuit for steps 1 through 10.

4. Connect your multimeter between the "-" input (pin 2) of the op amp and ground. The voltage is \_\_\_\_\_ volts.
5. Connect your multimeter between pin 6 of the op amp and ground.  $E_{OUT} =$  \_\_\_\_\_ volts.
6. Using the equation  $A_V = -\frac{E_{OUT}}{E_{IN}}$  (the value of  $E_{IN}$  set in step 3 and  $E_{OUT}$  measured in step 5) what is the gain of this circuit?
7. Using the equation  $A_V = -\frac{R_1}{R_2}$ , what is the gain of the circuit? \_\_\_\_\_. Does this value agree with that computed in step 6? \_\_\_\_\_.
8. Refer to the table shown in Figure 3-55. Set  $E_{IN}$  to each of the values shown. Record the values of  $E_{OUT}$  and the voltage at pin 2 of the op amp at each setting. To complete the lower half of the table, you will disconnect the 1 kilohm potentiometer from the +10-volt supply and connect it to the -10-volt supply.
9. Disconnect the 1 kilohm potentiometer from the "-" power supply and connect it to the SINE terminal of the Generator. Set the frequency to 1 kilohertz. Using your oscilloscope, connect channel 1 to  $E_{IN}$  and channel 2 to  $E_{OUT}$  and observe the signals with the potentiometer at various settings.
10. Set the potentiometer so that  $E_{IN}$  is at its maximum value. Connect channel 1 of the oscilloscope to pin 2 of the op amp. Is any trace of the AC input signal visible? \_\_\_\_\_. Turn off the Trainer.

Voltage at wiper arm of 1 k $\Omega$ potentiometer ( $E_{IN}$ )	Voltage at Pin 2 of op amp (- input)	Voltage at Pin 6 of op amp ( $E_{OUT}$ )
0V		
+2V		
+4V		
+6V		
+8V		
+10V		
0V		
-2V		
-4V		
-6V		
-8V		
-10V		

Figure 3-55  
Record results here.



## Discussion

The amplifier shown in Figure 3-54 has a gain of:

$$A_V = -\frac{R_1}{R_2} = -\frac{10\text{ k}\Omega}{10\text{ k}\Omega} = -1$$

Thus,  $E_{OUT}$  will always equal  $-E_{IN}$ . You proved this with several different values of  $E_{IN}$ . You also proved that the voltage at pin 2 of the op amp appears to be 0 volts, regardless of the value of  $E_{IN}$ . Although there is a signal present, its value is too low to measure; so the "-" input of the op amp is a virtual ground. This holds true as long as  $E_{OUT}$  is high enough to cancel  $E_{IN}$ . When  $E_{IN}$  is increased to +10 volts or -10 volts, the op amp saturates at a level slightly below the supply voltage. In these cases, the feedback is not sufficient to completely cancel  $E_{IN}$ , and a measurable voltage appears at pin 2 of the op amp. However, the op amp is not normally operated at these limits.

In step 9, you saw that the op amp performs the same way for AC signals.  $E_{OUT}$  is an inverted duplicate of  $E_{IN}$ . In step 10, you attempted to view the tiny AC signal at the inverting (-) input of the op amp. Although there is a signal present, it is too small to be seen except with a very sensitive oscilloscope. Here again, the feedback maintains this point at a virtual ground potential.

A circuit like this one is of limited use because it has unity gain. However, we can easily increase the gain by changing the ratio of  $R_1$  to  $R_2$ .

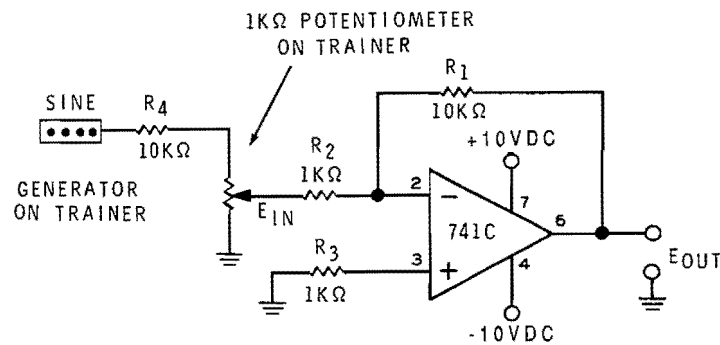


Figure 3-56

Circuit for steps 11 through 18.

## Procedure (Continued)

11. Construct the circuit shown in Figure 3-56.
12. Turn on the Trainer. Set the Generator frequency to 1 kilohertz.
13. Connect channel 1 of your oscilloscope to the wiper arm of the 1 kilohm potentiometer. Connect the ground lead of your oscilloscope to one of the ground terminals on the Trainer. Adjust the 1 kilohm potentiometer until the AC signal ( $E_{IN}$ ) is 0.2 volts peak-to-peak.
14. Connect channel 2 of your oscilloscope to pin 6 of the op amp. The AC signal at this point ( $E_{OUT}$ ) is \_\_\_\_\_ volts peak-to-peak.
15. Compute the gain of the amplifier using the formula:

$$A_V = \frac{-E_{OUT}}{E_{IN}} \quad A_V = \underline{\hspace{2cm}}$$

16. Compute the gain of the amplifier using the formula:

$$A_V = -\frac{R_1}{R_2} \quad A_V = \underline{\hspace{2cm}}$$

Do the two values of gain agree? \_\_\_\_\_.

17. Set the Generator RANGE switch to HIGH (10X or 10 K) and turn the Generator FREQUENCY control fully clockwise. The Generator is now producing its highest frequency (over 20 kHz).
18. Readjust the 1 kilohm potentiometer, if necessary, so the voltage viewed at pin 2 of the potentiometer ( $E_{IN}$ ) is 0.2 volts peak-to-peak. Using the oscilloscope, measure  $E_{OUT}$ .  $E_{OUT} = \underline{\hspace{2cm}}$  volts peak-to-peak. Turn off the Trainer. Compute the gain of the stage at this new frequency:

$$A_V = \frac{-E_{OUT}}{E_{IN}} = \underline{\hspace{2cm}}$$

Is the gain at this high frequency within 70% of the gain at 1 kilohertz?

\_\_\_\_\_.

## Discussion

In this part of the experiment, you constructed an inverting amplifier with a gain of  $-10$ . You computed the gain by dividing  $E_{OUT}$  by  $E_{IN}$ . You saw that this value agrees with the value obtained using the formula

$$A_V = -\frac{R_1}{R_2}$$

You also proved that the gain of the stage remains constant from 1 kilohertz, to over 20 kilohertz. Actually, the gain remains constant to about 100 kilohertz, dropping off to approximately  $-7.7$  at this breakover point, but the Generator will not produce frequencies this high.

Recall that the gain-bandwidth product of the 741C is 1 megahertz. Thus, an amplifier with a gain of 10 will have a bandwidth of:

$$\text{Bandwidth} = \frac{\text{Gain-bandwidth Product}}{\text{Gain}} = \frac{1 \text{ MHz}}{10} = 100 \text{ kHz.}$$

As the gain increases, the bandwidth should decrease. We can demonstrate this.

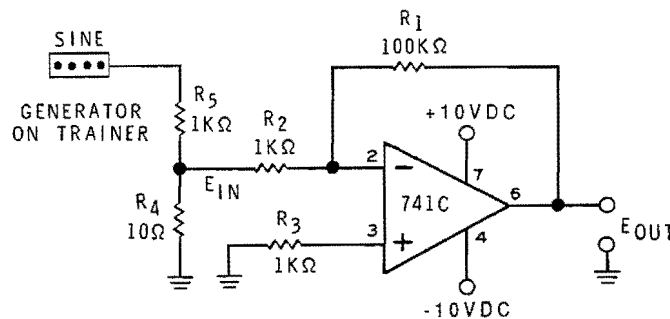


Figure 3-57

Circuit for steps 19 through 26.

## Procedure (Continued)

19. Construct the circuit shown in Figure 3-57. Turn on the Trainer. Set the Generator frequency to 1 kilohertz.
20. Connect channel 1 of the oscilloscope to the SINE terminal on the Trainer. The generator signal is \_\_\_\_\_ volts peak-to-peak.
21. Notice that  $R_5$  and  $R_4$  form a voltage divider across the Generator output. Because  $R_5$  is 100 times larger than  $R_4$ , it must drop 100 times as much voltage. Thus,  $R_4$  drops only about 1% of the Generator output signal.  $E_{IN}$  is about 1% of the voltage measured in step 20, or about \_\_\_\_\_ volts peak-to-peak.

22. Using channel 2 of the oscilloscope, measure  $E_{OUT}$  at pin 6 of the op amp.  $E_{OUT} =$  \_\_\_\_\_ volts peak-to-peak.
23. Using the value of  $E_{IN}$  estimated in step 21 and the value of  $E_{OUT}$  measured in step 22, compute the gain of the circuit.  $A_V =$  \_\_\_\_\_.
24. Using the formula  $A_V = -\frac{R_1}{R_2}$ , compute the gain of the circuit.  $A_V =$  \_\_\_\_\_. Does this value agree with that computed in step 23?  
\_\_\_\_\_.
25. Set the Generator RANGE switch to HIGH (10X or 10K) and turn the FREQUENCY control fully counterclockwise. Measure and record  $E_{IN}$ .

$$E_{IN} = \text{_____ V}$$

26. Slowly, turn the FREQUENCY control clockwise. At what frequency does  $E_{OUT}$  drop off to 70.7% of the amplitude measured in step 22? \_\_\_\_\_. Readjust  $E_{IN}$  to the reference established in step 25 if it has changed. Turn off the Trainer.

## Discussion

In this part of the experiment, you constructed an amplifier with a gain of about 100.  $R_5$  and  $R_4$  drop the Generator voltage down to about 70 millivolts. This is the voltage that the amplifier sees as  $E_{IN}$ . The amplifier has a gain of:

$$A_V = -\frac{R_1}{R_2} = -\frac{100 \text{ k}\Omega}{1 \text{ k}\Omega} = -100$$

While the gain has increased, the bandwidth has decreased. The bandwidth is now only:

$$\text{Bandwidth} = \frac{\text{Gain-bandwidth Product}}{\text{Gain}} = \frac{1 \text{ MHz}}{100} = 10 \text{ kHz}$$

Ideally, circuit gain should begin to drop off just before 10 kilohertz, the break-over point. However, in practical circuits, gain gradually decreases as frequency increases. When the breakover point (the point where gain is 70.7% of maximum) is reached, circuit gain rapidly decreases. The bandwidth should decrease further if the gain of the circuit is increased even more.

## Procedure (Continued)

27. Construct the circuit shown in Figure 3-58. Set the Generator frequency to 200 hertz.

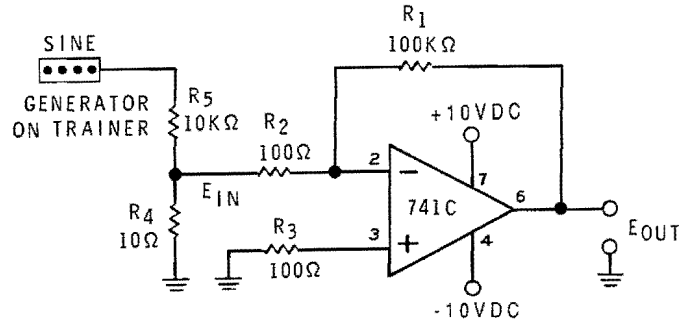


Figure 3-58  
Circuit for steps 27 through 31.

28. Turn on the Trainer.  $R_5$  and  $R_4$  hold  $E_{IN}$  to a value of about 10 millivolts peak-to-peak. Using the oscilloscope, measure  $E_{OUT}$  at pin 6.  $E_{OUT} =$  \_\_\_\_\_ volts peak-to-peak. Thus, the gain of the circuit is about \_\_\_\_\_.
29. Compute the gain using the formula  $A_V = -\frac{R_1}{R_2}$ .  $A_V =$  \_\_\_\_\_.
30. Connect channel 1 of the oscilloscope and monitor the value of  $E_{OUT}$ . Slowly increase the frequency of the Generator by turning the FREQUENCY control clockwise. At what frequency does  $E_{OUT}$  begin to decrease? \_\_\_\_\_ hertz.
31. What is the value of  $E_{OUT}$  at 20 kilohertz? \_\_\_\_\_. Turn off the Trainer.

## Discussion

The gain of the amplifier shown in Figure 3-58 is about  $-1000$ .

$$A_V = -\frac{R_1}{R_2} = -\frac{100,000 \Omega}{100 \Omega} = -1000$$

Thus, its bandwidth is only:

$$\text{Bandwidth} = \frac{\text{Gain-bandwidth Product}}{\text{Gain}} = \frac{1 \text{ MHz}}{1000} = 1000 \text{ Hz}$$

$E_{OUT}$  should begin to decrease when the frequency goes above 1000 hertz. At 20 kilohertz,  $E_{OUT}$  should be quite low compared to its previous value. This demonstrates how dramatically the bandwidth decreases as gain increases.

The above examples used the inverting amplifier. However, the noninverting amplifier has the same gain-bandwidth characteristics. Let's briefly take a look at some of the other characteristics of the noninverting amplifier.

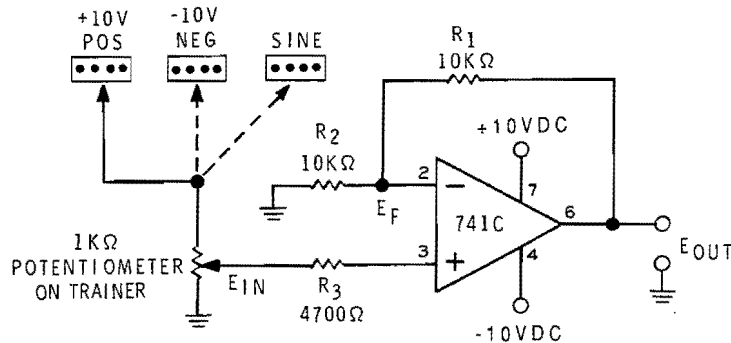


Figure 3-59

Circuit for steps 32 through 39.

### Procedure (Continued)

32. Construct the circuit shown in Figure 3-59. Initially, connect the 1 kilohm potentiometer to the "+" power supply. This connection will be changed in a later step. Turn on Trainer.
33. Connect your multimeter between the wiper arm of the 1 kilohm potentiometer and ground. Adjust the potentiometer until the meter reads +3 volts. This is the input voltage ( $E_{IN}$ ) to the amplifier circuit.
34. Connect your multimeter between pin 6 of the op amp and ground.  $E_{OUT} =$  \_\_\_\_\_ volts.
35. Using the value of  $E_{IN}$  set in step 33 and the value of  $E_{OUT}$  measured in step 34, compute the gain of the circuit.

$$A_V = \frac{E_{OUT}}{E_{IN}} = \underline{\hspace{2cm}}$$

36. Using the formula  $A_V = \frac{R_1}{R_2} + 1$ , compute the gain of the circuit.  $A_V =$  \_\_\_\_\_. Does this value agree with the value computed in step 35? \_\_\_\_\_.

$E_{IN}$	$E_f$	$E_{OUT}$
+1V		
+2V		
+3V		
+4V		
-1V		
-2V		
-3V		
-4V		

Figure 3-60

Record values here.

37. Earlier it was stated that the feedback voltage at pin 2 of the op amp ( $E_f$ ) would always be equal to  $E_{IN}$ . Measure  $E_f$ .  $E_f =$  \_\_\_\_\_ volts. Is it the same as  $E_{IN}$ ? \_\_\_\_\_.
38. Refer to the table shown in Figure 3-60. Set  $E_{IN}$  to each of the values shown. Record the values of  $E_{OUT}$  and  $E_f$  at each setting. To complete the lower half of the table, you will disconnect the 1 kilohm potentiometer from the +10-volt supply and connect it to the -10-volt supply.
39. Disconnect the 1 kilohm potentiometer from the "-" power supply and connect it to the SINE terminal of the Generator. Set the frequency to 1 kilohertz. Using your oscilloscope, view  $E_{IN}$ ,  $E_{OUT}$ , and  $E_f$  with the potentiometer at various settings. Turn off the Trainer.

## Discussion

The amplifier has a gain of

$$A_V = \frac{R_1}{R_2} + 1 = \frac{10 \text{ k}\Omega}{10 \text{ k}\Omega} + 1 = 1 + 1 = 2.$$

Thus,  $E_{OUT}$  should always be twice the value of  $E_{IN}$ . Since  $E_{IN}$  is applied to the noninverting input, the output will always have the same polarity or phase. You saw that the amplifier has a gain of 2 for positive and negative DC inputs and for AC inputs as well. You also verified that the feedback voltage ( $E_f$ ) is almost exactly equal to  $E_{IN}$ .

As with the inverting amplifier, the gain of this stage can be changed by changing the ratio of  $R_1$  to  $R_2$ . The following procedure gives two examples.

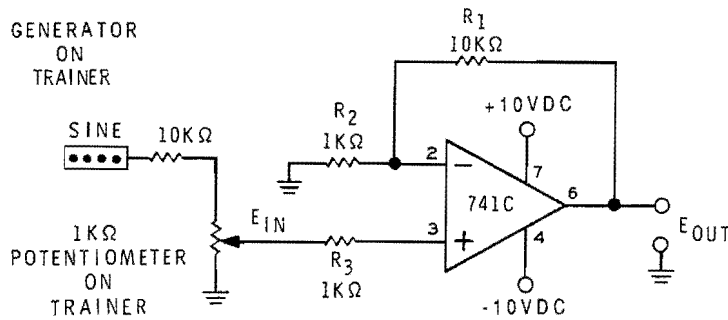


Figure 3-61  
Circuit for steps 40 through 44.

### Procedure (Continued)

40. Construct the circuit shown in Figure 3-61. Set the generator frequency to 1 kilohertz. Turn on the Trainer.
41. Connect channel 1 of the oscilloscope to  $E_{IN}$  and adjust the 1 kilohm potentiometer so that  $E_{IN} = 0.5$  volts peak-to-peak.
42. Connect channel 2 of the oscilloscope to  $E_{OUT}$  and measure  $E_{OUT}$ .  $E_{OUT} =$  \_\_\_\_\_ volts peak-to-peak.
43. Using the value of  $E_{IN}$  set in step 41 and the value of  $E_{OUT}$  measured in step 42, compute the gain of the amplifier.  $A_V =$  \_\_\_\_\_.
44. Using the formula  $A_V = \frac{R_1}{R_2} + 1$ , compute the gain.  $A_V =$  \_\_\_\_\_. Does this value agree with that computed in step 43? \_\_\_\_\_.
45. Turn off the Trainer and construct the circuit shown in Figure 3-62. Set the generator frequency to 1 kilohertz.

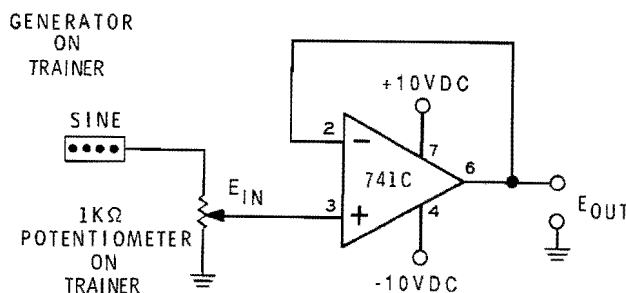


Figure 3-62  
Circuit for steps 45 through 51.



46. Turn on the Trainer. Connect channel 1 of the oscilloscope to  $E_{IN}$ . Adjust the 1 kilohm potentiometer so that  $E_{IN}$  is equal to 1 volt peak-to-peak.
47. Connect channel 2 of the oscilloscope to measure  $E_{OUT}$ .  $E_{OUT} =$  \_\_\_\_\_ volts peak-to-peak.
48. Using the value of  $E_{IN}$ , set in step 46 and the value of  $E_{OUT}$  measured in step 47, compute the gain of this stage.  $A_V =$  \_\_\_\_\_.
49. Adjust the 1 kilohm potentiometer so that  $E_{IN}$  is 0.1 volt peak-to-peak.
50. Measure  $E_{OUT}$ .  $E_{OUT} =$  \_\_\_\_\_ volts.
51. Connect a 10 ohm resistor between pin 6 of the op amp and ground. Measure  $E_{OUT}$ .  $E_{OUT} =$  \_\_\_\_\_ volts peak-to-peak. Is the output loaded down by the 10 ohm load? \_\_\_\_\_.

### Discussion

The amplifier shown in Figure 3-61 has a gain of:

$$A_V = \frac{R_1}{R_2} + 1 = \frac{10 \text{ k}\Omega}{1 \text{ k}\Omega} + 1 = 10 + 1 = 11$$

Your measured values of  $E_{IN}$  and  $E_{OUT}$  should verify this.

The circuit shown in Figure 3-62 is the voltage follower. You verified that it has a gain of 1 and, therefore,  $E_{OUT}$  is always the same as  $E_{IN}$ .

In steps 49 through 51, you demonstrated a most useful characteristic of the voltage follower. You demonstrated that a 10 ohm load can be connected directly across the output without decreasing  $E_{OUT}$ . This is possible because the voltage follower has an extremely low output impedance. Since  $E_{OUT}$  did not change, the output impedance must be negligible compared to the 10 ohm resistor. Thus, the output impedance must be very low indeed.

## APPLICATIONS OF OPERATIONAL AMPLIFIERS

We have already discussed several applications of operational amplifiers. These include the comparator, the inverting amplifier, the noninverting amplifier, and the voltage follower. Now, let's take a look at several other applications.

### Summing Amplifier (Adder)

An interesting circuit that illustrates the versatility of the op amp is the **summing amplifier** or **analog adder**. The schematic diagram is shown in Figure 3-63. This circuit has two inputs and one output. With the resistor values shown,  $E_{OUT}$  will be the sum of  $E_1$  and  $E_2$ . For simplicity, we will analyze the circuit, using DC input signals. However, the circuit works equally well for AC signals.

In the example shown,  $E_1$  is a +2 V DC signal while  $E_2$  is a +3 V DC signal. The point at which the three resistors connect is a virtual ground. This point is called the **summing point**.  $E_1$  and  $E_2$  are isolated from each other by this virtual ground at the summing point.  $E_1$  causes a current to flow through  $R_2$ :

$$I = \frac{E_1}{R_2} = \frac{2 \text{ V}}{1 \text{ k}\Omega} = 2 \text{ mA.}$$

Likewise,  $E_2$  causes a current to flow through  $R_3$ :

$$I = \frac{E_2}{R_3} = \frac{3 \text{ V}}{1 \text{ k}\Omega} = 3 \text{ mA.}$$

Therefore, 5 mA of current flows to the left out of the summing point.

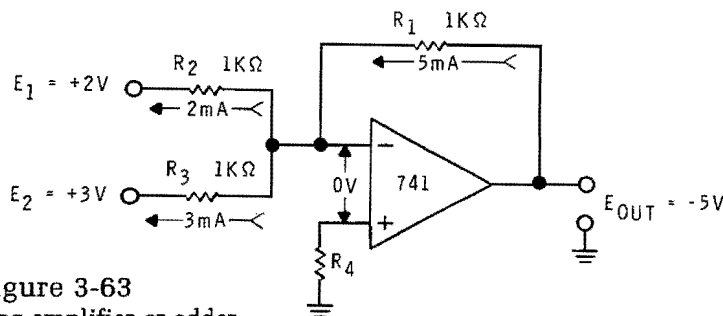


Figure 3-63  
The Summing amplifier or adder.

The current flowing out of the "-" input of the op amp is negligible because of the high input resistance. Therefore, to maintain the summing point at 0 volts, the 5 mA must be supplied through  $R_1$  by  $E_{OUT}$ . To force 5 mA through  $R_1$ ,  $E_{OUT}$  must be at -5 volts. The output is the sum of the two inputs, inverted by the inverting action of the op amp.

While the circuit shown has only two inputs, that is by no means the limit. Figure 3-64 shows an adder with four inputs. If  $R_1$  through  $R_5$  are the same value,  $E_{OUT}$  will be

$$E_{OUT} = -(E_1 + E_2 + E_3 + E_4).$$

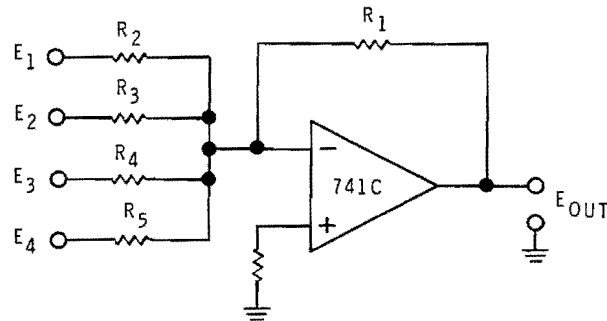


Figure 3-64

4-input summing amplifier.

The circuits shown above do not amplify because the feedback resistance ( $R_1$ ) is equal to each input resistance. By making  $R_1$  larger than the input resistors, a gain of  $-\frac{R_1}{R_{IN}}$  can be achieved. For example, in Figure 3-65,  $R_1$  is a 10 kilohm resistor while each input resistor has a value of 1 kilohm. Thus,  $E_{OUT}$  will be

$$E_{OUT} = -10 (E_1 + E_2 + E_3)$$

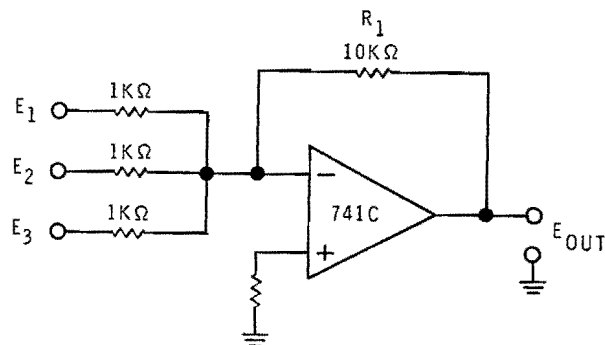


Figure 3-65

Adder with gain of -10.

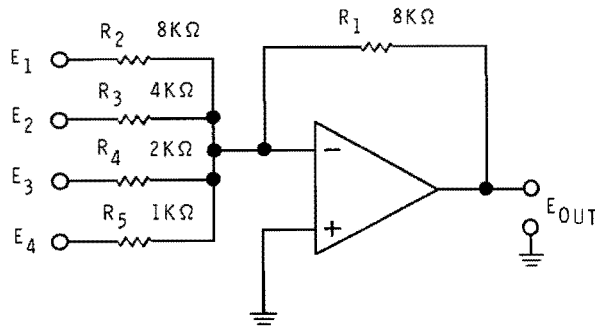


Figure 3-66  
Scaling adder.

In many applications, we may want one input to influence  $E_{OUT}$  more than another. In these cases, we use different value input resistors. For example, in Figure 3-66,  $E_1$  receives an amplification of 1 because  $R_2$  equals  $R_1$ . However,  $E_2$  is amplified by a factor of 2 because  $R_3$  is one-half  $R_1$ . Also,  $E_3$  is amplified by 4 and  $E_4$  is amplified by 8. In this case,  $E_{OUT}$  is determined by:

$$E_{OUT} = - \left( \frac{R_1}{R_2} E_1 + \frac{R_1}{R_3} E_2 + \frac{R_1}{R_4} E_3 + \frac{R_1}{R_5} E_4 \right)$$

This circuit is referred to as a scaling adder.

Circuits like the ones discussed above are common in digital-to-analog converters and in analog computers.

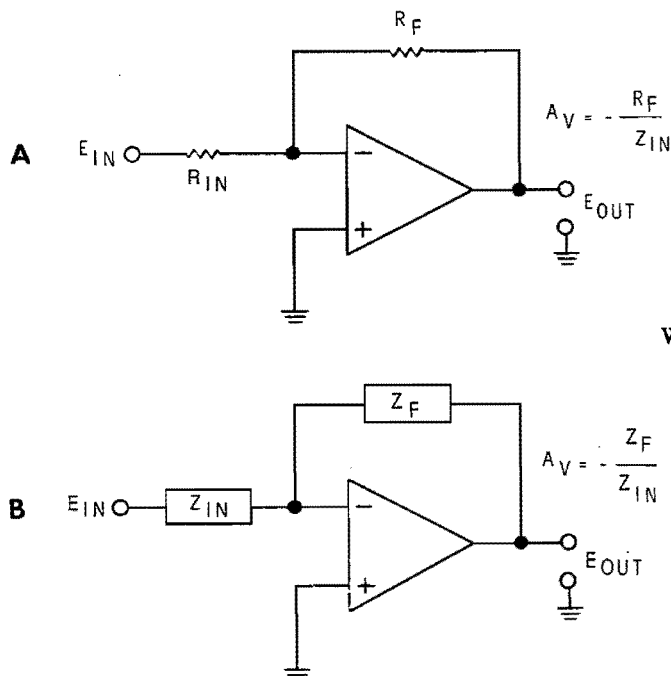


Figure 3-67

When RC networks are used in the input or feedback circuits, the gain is determined by  $Z_{IN}$  and  $Z_F$ .

## Active Filters

An ingenious application of the op amp is the active filter. Here, RC networks are used in the input or feedback circuits. This makes the op amp "frequency sensitive." That is, the op amp will produce a higher output signal at some frequencies than at others. Of course, somewhat similar effects can be achieved using RC filters alone. However, the op amp gives the filter much steeper response curves.

When used with one or more op amps, the response curve of an RC filter can be made as sharp as that of a good LC filter. The active filter is particularly handy at low frequencies. Here, LC filters are often impractical because of the large size of the inductor required.

Figure 3-67 illustrates the principle of the active filter. Up to now, we have considered only resistors as the feedback and input networks. As shown in Figure 3-67A, the gain of this type stage is determined by the size of the input resistor ( $R_{IN}$ ) and the feedback resistor ( $R_F$ ).

When capacitors are added to the input or feedback circuit, we must consider the resulting impedance as shown in Figure 3-67B. Here the gain of the stage is determined by:

$$A_V = -\frac{Z_F}{Z_{IN}}$$

Since the impedance of an RC network varies with frequency, the gain of the stage can change with frequency.

A simple **high-pass filter** is shown in Figure 3-68A. The input impedance ( $Z_{IN}$ ) consists of an RC network. At low frequencies, the  $X_C$  of  $C_1$  and  $C_2$  are quite high. Therefore,  $Z_{IN}$  is high at low frequencies. The gain is determined by:

$$A_V = -\frac{Z_F}{Z_{IN}}$$

$Z_F$  is equal to  $R_F$  and is constant at all frequencies. Consequently, when  $Z_{IN}$  is high, the gain of the stage is low. Thus,  $E_{OUT}$  is low at low frequencies.

At the frequency increases, the  $X_C$  of  $C_1$  and  $C_2$  decrease. Therefore,  $Z_{IN}$  decreases. As  $Z_{IN}$  decreases, the gain of the stage and  $E_{OUT}$  increase. The response curve of this circuit is shown in Figure 3-68B.

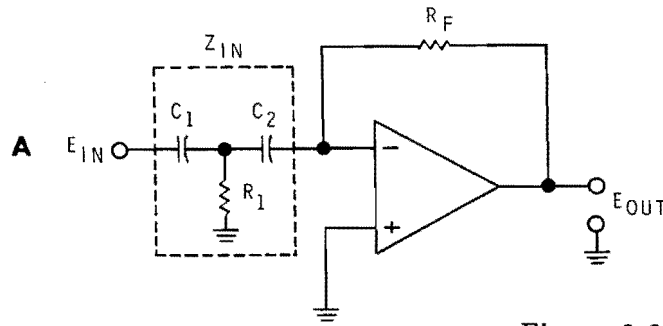
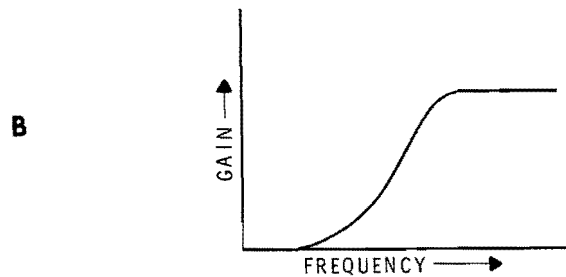


Figure 3-68  
High-pass filter and its response curve.



A simple **low-pass filter** is shown in Figure 3-69A. At low frequencies, the  $X_C$  of capacitor  $C_1$  is high. Therefore, very little of the input current is shunted away from the feedback path.  $E_{OUT}$  must be high to deliver the full input current. Thus, the gain of the stage is high at low frequencies.

As the frequency increases, the  $X_C$  of  $C_1$  decreases, shunting more of the input current away from the feedback path. Therefore,  $E_{OUT}$  can be a lower value and still provide enough current to maintain the virtual ground. Consequently, the gain of the stage is low at high frequencies. The response curve is shown in Figure 3-69B.

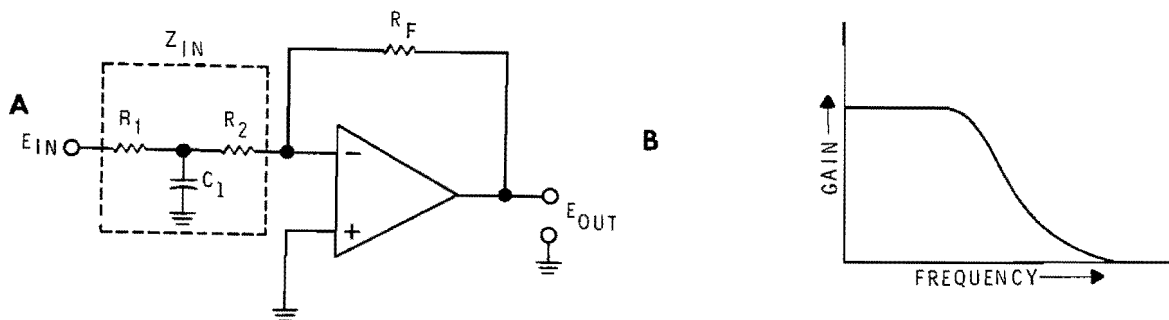


Figure 3-69  
Low-pass filter and its response curve.

Figure 3-70A shows a simple **bandpass filter**. Here, the feedback network consists of  $R_1$ ,  $R_2$ ,  $R_3$ ,  $C_1$ ,  $C_2$ , and  $C_3$ .  $E_{out}$  is fed back through this network to the inverting input. At most frequencies,  $E_{out}$  is passed back to the input without being greatly attenuated. However, for a narrow band of frequencies, the feedback is greatly attenuated. This band is centered at a frequency of:

$$F = \frac{\sqrt{3}}{2\pi RC} = \frac{0.275}{RC}$$

At this frequency, the feedback signal is quite small compared to  $E_{out}$ . To keep the inverting input at virtual ground,  $E_{out}$  must increase considerably at the critical frequency. That is, as the critical frequency is approached, the feedback signal decreases. This allows  $E_{in}$  to pass a larger signal to the op amp. Thus,  $E_{out}$  increases. This, in turn, increases the feedback signal, and holds the inverting input at virtual ground.

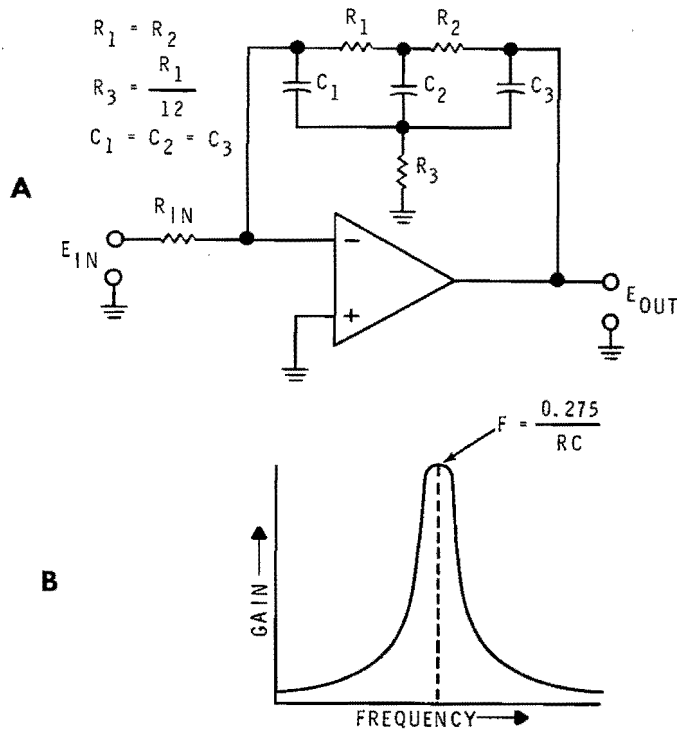


Figure 3-70  
Bandpass filter and its response curve.

The response curve of the stage is shown in Figure 3-70B. Notice that the gain of the stage peaks sharply at the critical frequency.

## Difference Amplifier

As we have seen, the input stage in the op amp is a differential amplifier. However, until now, we have not used the op amp as a difference amplifier. Let's see how the op amp behaves in this mode of operation.

Figure 3-71 shows the basic difference amplifier. The gain of the stage is determined by the size of the four resistors. When all four resistors are equal,  $E_{OUT}$  will be the difference between  $E_1$  and  $E_2$ . That is,

$$E_{OUT} = E_1 - E_2.$$

When used in this way, the circuit is called a **subtractor** because it literally subtracts the value of  $E_2$  from the value of  $E_1$ .

If the ratio of the resistors is changed, the stage can provide amplification. In this case, the difference between  $E_1$  and  $E_2$  is amplified. However, any common-mode signal which appears at both  $E_1$  and  $E_2$  will be rejected.

Normally, the ratio of  $R_1$  to  $R_2$  is made equal to the ratio of  $R_3$  to  $R_4$ . That is,

$$\frac{R_1}{R_2} = \frac{R_3}{R_4}.$$

In this case,  $E_{OUT}$  is determined by

$$E_{OUT} = \frac{R_1}{R_2} (E_1 - E_2).$$

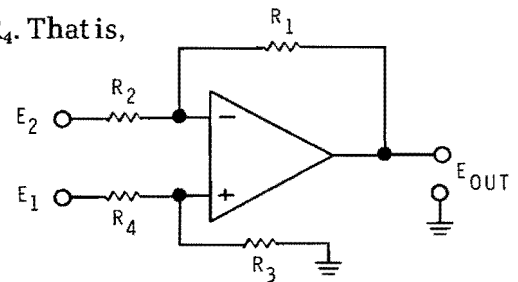


Figure 3-71  
The difference amplifier.

Let's assume that  $R_1$  and  $R_3$  are 10 k $\Omega$  resistors, and that  $R_2$  and  $R_4$  are 1 k $\Omega$  resistors. This would give the stage a gain of 10.

## Other Applications

In future units, you will see that there are many other applications of the operational amplifier. It can be used in a variety of oscillator circuits to produce sine or square waves. It can be used as an integrator to produce linear ramps and sawtooths. It can also produce a number of other waveshapes. In analog computers, op amps are used to perform multiplication, to extract square roots, and to perform logarithmic functions. Some additional applications will be discussed later.



### Programmed Review

51. The circuit shown in Figure 3-72 is called a summing amplifier or analog adder. It gets this name because, if  $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_F$  are equal, then  $E_{OUT} = \underline{\hspace{2cm}}$ .

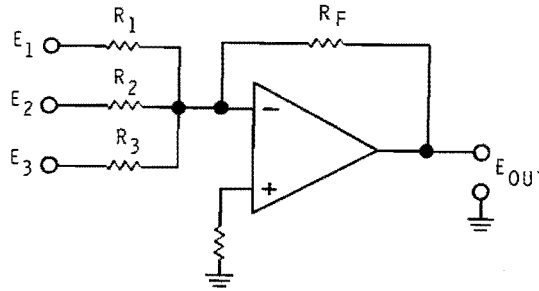


Figure 3-72  
Summing amplifier.

52. ( $E_{OUT} = -(E_1 + E_2 + E_3)$ ) It can also be used to multiply the sum of  $E_1$ ,  $E_2$ , and  $E_3$  by a constant. For example, if  $R_F$  is 5 kilohm and  $R_1$ ,  $R_2$ , and  $R_3$  are each 1 kilohm, the equation for  $E_{OUT}$  becomes  $E_{OUT} = \underline{\hspace{2cm}}$ .

53. ( $E_{OUT} = -5(E_1 + E_2 + E_3)$ ) Figure 3-73 is a simplified diagram of an active filter. Either  $Z_{IN}$  or  $Z_F$  is composed of an RC network. This causes the circuit to amplify some  $\underline{\hspace{2cm}}$  more than others.

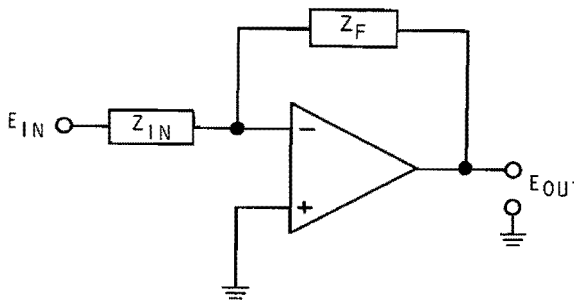


Figure 3-73  
Active filter.

54. (frequencies) If  $Z_{IN}$  is a low-pass RC filter, the circuit becomes a  $\underline{\hspace{2cm}}$  active filter.
55. (low-pass) If  $Z_{IN}$  is a high-pass RC filter, the circuit becomes a  $\underline{\hspace{2cm}}$  active filter.

56. (high-pass) A bandpass active filter is formed by replacing  $Z_F$  with an RC network which \_\_\_\_\_ the feedback signal for a narrow band of frequencies centered at: 
$$F = \frac{0.275}{RC}$$

57. (attenuates) The circuit shown in Figure 3-74 is called a \_\_\_\_\_ amplifier.

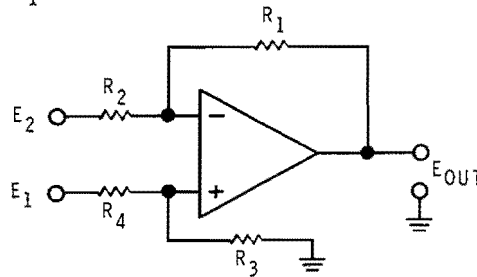


Figure 3-74  
Circuit for frame 57.

58. (difference) If all four resistors are equal, the formula for  $E_{OUT}$  is  $E_{OUT} = \underline{\hspace{2cm}}$ .

59. ( $E_{OUT} = E_1 - E_2$ ) The circuit can be made to amplify the difference between  $E_1$  and  $E_2$  by changing the ratio of the \_\_\_\_\_.

60. (resistors)

## EXPERIMENT 8

### Active Filters

**OBJECTIVE:**

*Show the characteristics of three different types of active filters.*

### Introduction

A filter is a frequency-sensitive circuit. It passes some frequencies but blocks or attenuates others. In this experiment, you will build three different types of filters and you will plot a response curve for each one.

The first circuit you will investigate is the **low-pass filter**. A simple low-pass active filter is shown in Figure 3-75. This filter is different from the one discussed earlier. Here, the input signal is applied through a low-pass filter to the **noninverting** input. The output is applied back to the inverting input so the op amp acts as a voltage follower. That is, it has a very high input impedance, a very low output impedance, and a gain of one.

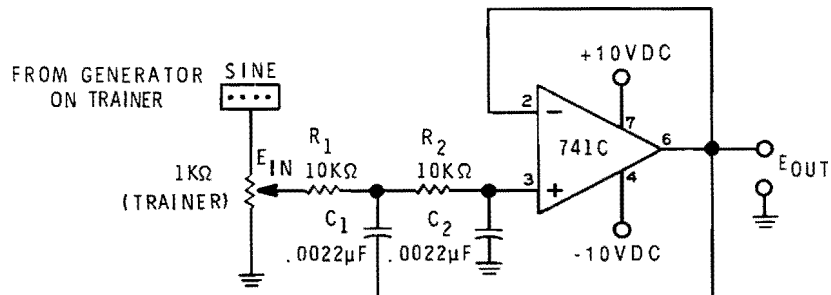


Figure 3-75  
Circuit for steps 1 through 7.

The low-pass filter (composed of  $R_1$ ,  $C_1$ ,  $R_2$ , and  $C_2$ ) passes low frequencies to the noninverting input with little attenuation. However, high frequencies see a low impedance path through  $C_1$  and  $C_2$ . Thus, the higher frequencies are attenuated before reaching the noninverting input. The result is that low frequencies are passed while high frequencies are blocked.

To sharpen the response curve, part of the output is applied back to the input through  $C_1$ . This hastens the transition from passing to blocking. That is, it causes the response to drop off rapidly once the cutoff frequency is reached. The cutoff frequency is defined as the frequency at which the output falls to 70.7% of its original value. **Read the entire procedure before performing this experiment.**

## Material Required

Heathkit Analog Trainer  
Oscilloscope (dual channel preferred)  
1—741C operational amplifier (422-22)  
3—0.0022 microfarad capacitors (27-41)  
1—8.2 kilohm resistor (gray-red-red-gold)  
2—10 kilohm resistors (brown-black-orange-gold)  
2—100 kilohm resistors (brown-black-yellow-gold)

## Procedure

1. Turn on the Trainer and adjust the (+) and (–) power supply voltage controls for 10 VDC. Turn off the Trainer. Set the generator range switch to HIGH (10X or 10K) and set the frequency control fully counterclockwise.
2. Construct the circuit shown in Figure 3-75.
3. Connect channel 1 of the oscilloscope to the wiper arm of the 1 kilohm potentiometer ( $E_{IN}$ ). Connect channel 2 to pin 6 ( $E_{OUT}$ ) of the op amp.
4. Adjust the 1 kilohm potentiometer until the sine wave at pin 6 of the op amp is exactly 3.5 volts peak-to-peak. Measure and record the input voltage ( $E_{IN}$ ).  $E_{IN}$  \_\_\_\_\_.

**NOTE:** Any time you change the frequency, monitor the input voltage and readjust it to maintain a constant input reference at all frequencies. This will improve the accuracy of your experiments by compensating for the non-linear characteristic's of your signal source.

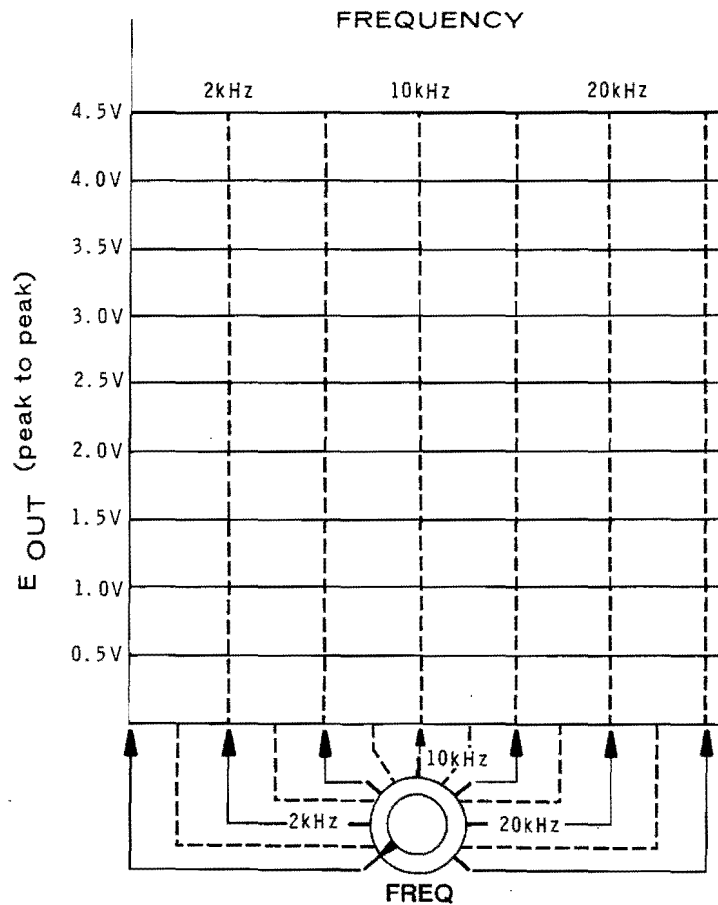
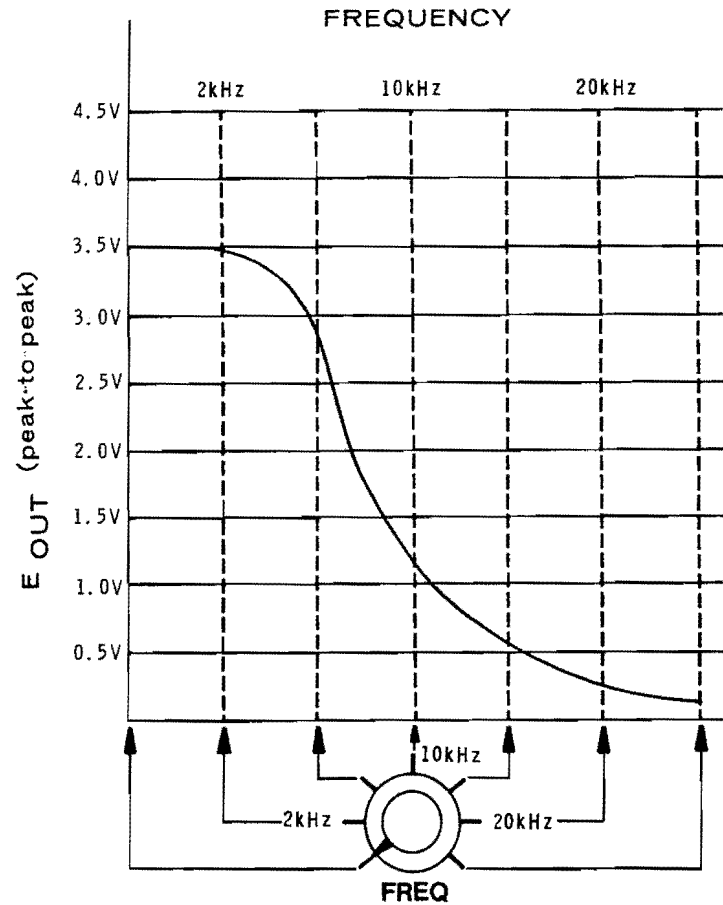


Figure 3-76

Plot response curve for low-pass filter.

5. Using a pencil, mark this output voltage ( $E_{OUT}$ ) on the far left vertical line of Figure 3-76. Notice that arrows show where the voltages should be plotted for each point on the frequency dial. Stop at several points between the arrows as indicated by the dotted lines.
6. Slowly rotate the FREQUENCY control clockwise. As you rotate the control, notice the  $E_{OUT}$  peak-to-peak value on the oscilloscope. Plot the measured voltages according to the point on the frequency dial and the chart in Figure 3-76.
7. Continue to turn the FREQUENCY control clockwise, measuring and plotting the voltages. Turn off the Trainer when you finish.

Figure 3-77  
Typical response curve for the  
low-pass active filter.

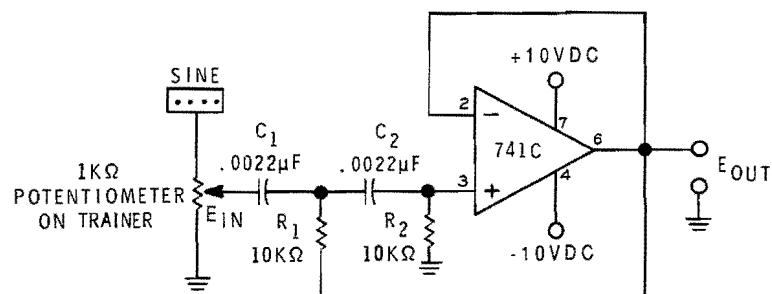


## Discussion

The response curve that you plotted should have the general appearance of the one shown in Figure 3-77. Of course, the frequency at which drop off occurs may vary slightly, depending on the accuracy of the generator and the Trainer model. This is the response curve for a low-pass filter.

In the next part of the experiment, you will construct the **high-pass active filter** shown in Figure 3-78. This circuit is very similar to the low-pass filter just discussed. The difference is that  $C_1$ ,  $R_1$ ,  $C_2$ , and  $R_2$  now form a high-pass filter. At low frequencies, the  $X_C$  of the capacitors is high. Thus, the signal applied to the noninverting input of the op amp is very low. However, at higher frequencies,  $X_C$  decreases allowing more signal to reach the op amp. Let's examine the characteristics of this circuit.

Figure 3-78  
Circuit for step 8 through 13.



### Procedure (Continued)

8. Turn on the Trainer and insure the (+) and (-) power supplies are still set for 10 VDC. Turn off the Trainer. Set the generator range switch to HIGH (10X 10K) and the frequency control fully clockwise.
9. Construct the circuit shown in Figure 3-78.
10. Connect channel 1 of the oscilloscope to  $E_{IN}$ . Connect channel 2 of the oscilloscope to  $E_{OUT}$ .
11. Turn on the Trainer and adjust the 1 kilohm potentiometer until the sine wave at pin 6 of the op amp is exactly 3.5 volts peak-to-peak. Measure and record the input voltage ( $E_{IN}$ ).  $E_{IN}$  \_\_\_\_\_ . Read the note after Step 4.
12. Set the Generator FREQUENCY control fully counterclockwise. Note the value of  $E_{OUT}$ . Plot this voltage on the far left vertical line of Figure 3-79.
13. Using the procedure outlined earlier in steps 5, 6, and 7, plot the response curve in Figure 3-79.

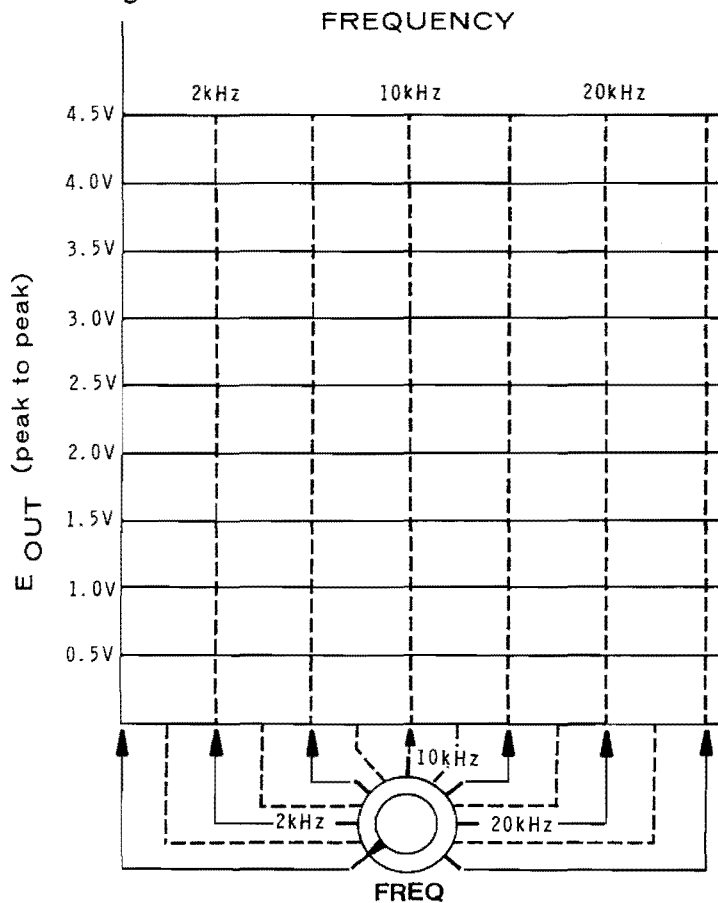


Figure 3-79

Plot response curve for high-pass filter.

## Discussion

Figure 3-80 shows a typical response curve for this circuit. The curve that you plotted should have the same general appearance.

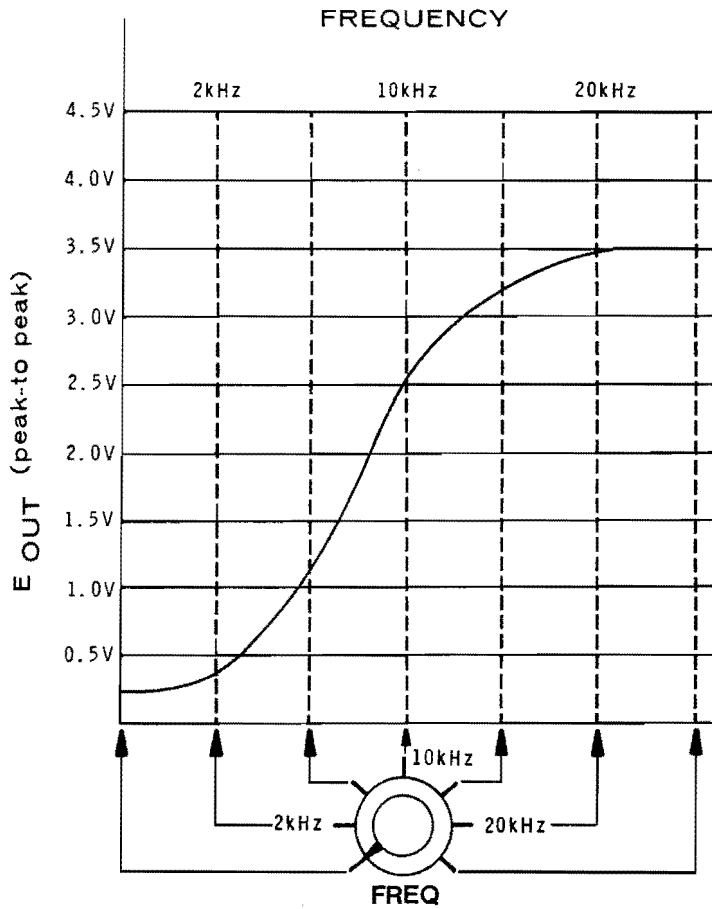


Figure 3-80  
Typical response curve for high-pass filter.



Next, let's examine the **bandpass** active filter. It passes a narrow band of frequencies while blocking lower and higher frequencies. The operation of the circuit shown in Figure 3-81 was discussed earlier. The center frequency to which this circuit responds is determined by the formula:

$$F = \frac{\sqrt{3}}{2\pi RC}$$

This simplifies to

$$F = \frac{0.275}{RC}$$

where  $F$  is in hertz,  $R$  is in ohms, and  $C$  is in farads.

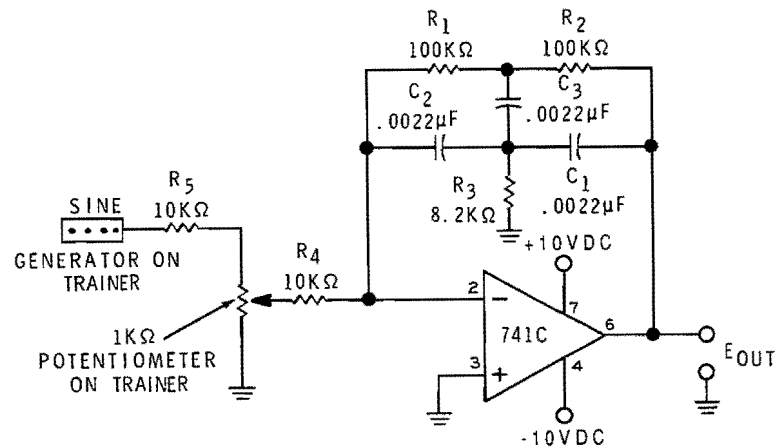


Figure 3-81

Circuit for steps 14 through 30.

### Procedure (Continued)

14. Turn on the Trainer and insure that the (+) and (-) power supplies are still set for 10 VDC. Turn off the Trainer.
15. Set the generator range switch to LOW (1X or 10) and the frequency control to 1 kilohertz. Preset the 1 kilohm potentiometer to midrange.
16. Construct the circuit shown in Figure 3-81. Connect channel 1 of the oscilloscope to pin 6 ( $E_{OUT}$ ).

17. Turn on the Trainer and adjust the 1 kilohm potentiometer until  $E_{OUT}$  appears as a sine wave without distortion.
18. Adjust the FREQUENCY control until  $E_{OUT}$  is at its maximum amplitude.
19. Adjust the 1 kilohm potentiometer on the Trainer until  $E_{OUT}$  is 5 volts peak-to-peak.
20. Repeat steps 18 and 19 until there is no change.
21. Measure the time of one cycle of the sine wave at this frequency. The time of one cycle is \_\_\_\_\_ seconds.
22. Using the formula: frequency (Hz) =  $\frac{1}{\text{time (seconds)}}$  compute the frequency.  $F =$  \_\_\_\_\_ Hz.
23. Using the formula:  $F = \frac{0.275}{RC}$  compute the frequency. Use 100 kilohm for R and 0.0022 microfarads for C.  $F =$  \_\_\_\_\_ Hz. Does this approximate the value computed in step 22? \_\_\_\_\_.
24. Slowly turn the FREQUENCY control counterclockwise until  $E_{OUT}$  drops off to:
 

$5 \text{ V} \times 0.707 = 3.535 \text{ V}$  or about 3.5 V
25. Measure the time for one cycle of the sine wave at this frequency. \_\_\_\_\_ seconds. Compute the frequency:  $F =$  \_\_\_\_\_ Hz.
26. Slowly turn the frequency control clockwise, through the point of maximum response and beyond until  $E_{OUT}$  again drops off to 3.5 volts.
27. Measure the time for one cycle of the sine wave at this frequency. \_\_\_\_\_ seconds. Compute the frequency:  $F =$  \_\_\_\_\_ Hz.
28. Turn the FREQUENCY control fully counterclockwise. Observe the value of  $E_{OUT}$  at this frequency.

29. Using a pencil, mark this voltage on the far left vertical line of Figure 3-82.
30. Using the procedure outlined earlier in Steps 5, 6, and 7, plot the response curve in Figure 3-82. Do not, however, change the position of the 1 kilohm potentiometer, this time.

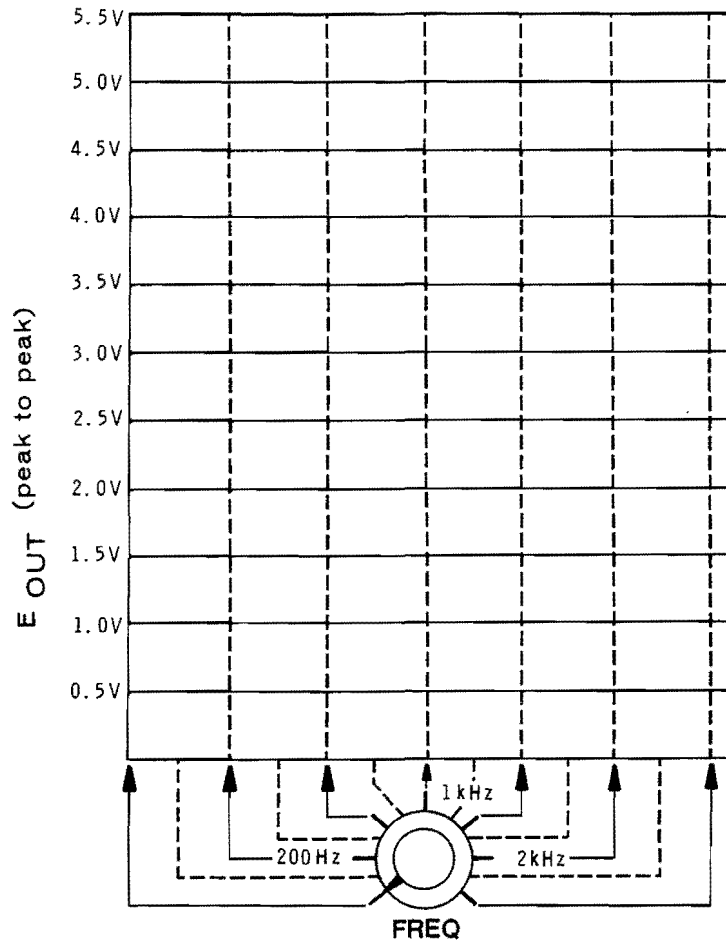


Figure 3-82

Plot response curve for bandpass filter.

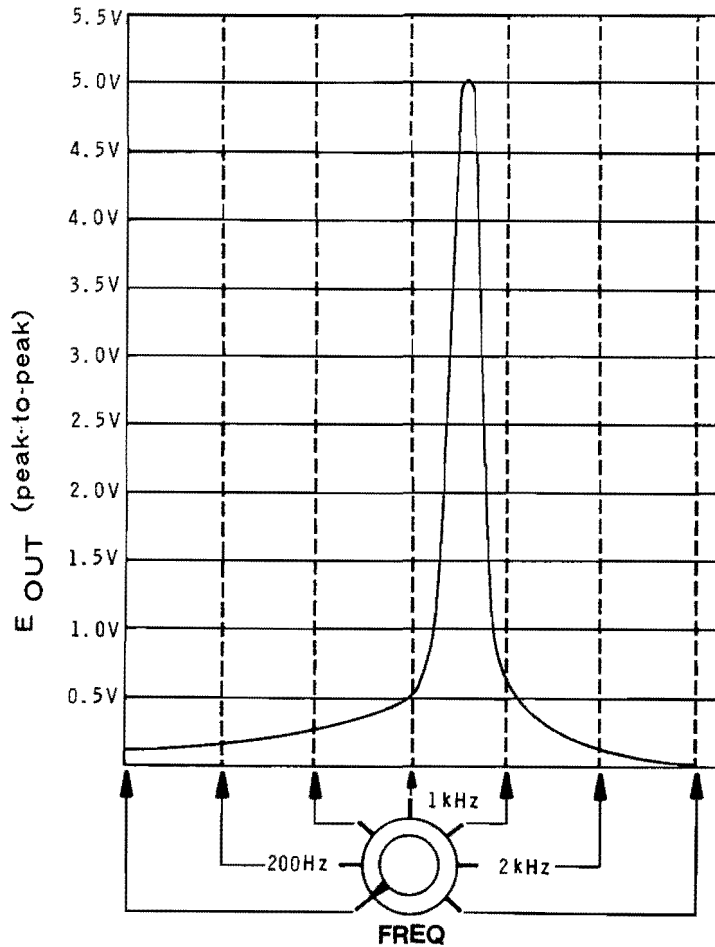


Figure 3-83

Typical response curve for bandpass filter.

## Discussion

The frequency to which this filter responds most is 1250 Hz. Your measurement may be off by 10% or more, depending on component tolerances, oscilloscope accuracy, and the precision with which you made the measurements. The response drops off to 70.7% of maximum at frequencies of about 1220 Hz and 1280 Hz. Thus, the band to which the circuit responds is only about 60 Hz wide.

The response curve for this circuit is shown in Figure 3-83. The curve you plotted should have a similar appearance.

## UNIT SUMMARY

The differential amplifier is a direct coupled amplifier which uses two or more transistors.

Because direct coupling is used, it can amplify both DC and AC signals.

It requires no capacitors and few resistors. Thus, it is an ideal candidate for integrated circuits.

A constant current source is often used in the emitter circuit.

The circuit can be used in three different configurations: single-input, single-output; single-input, differential-output; and differential-input, differential-output.

One of the prime advantages of the differential amplifier is its ability to reject common-mode signals while amplifying difference signals.

The input stage of the operational amplifier (op amp) is a differential amplifier.

The op amp is a multiple-stage amplifier that has a very high gain, very high input impedance, and very low output impedance.

The gain of the op amp is so high that it generally requires a heavy negative feedback to stabilize the circuit.

One exception to this rule is the comparator. It uses the full gain of the stage. The comparator can indicate which of two input voltages are more positive.

When the “-” input is more positive, the output is a negative voltage. When the “+” input is more positive, the output is a positive voltage. When the two inputs are virtually equal, the output changes from one voltage level to the other.

The most common application of the op amp is the amplifier. Both inverting and noninverting amplifiers are common.

*In its simplest form, the inverting amplifier consists of the op amp and two resistors. The characteristics of the stage are determined mainly by the resistors.*

One resistor ( $R_{IN}$ ) connects the input signal to the "-" input of the op amp. The other resistor ( $R_F$ ) connects the output voltage to the same point. The stage automatically adjusts its gain so that the voltage at the "-" input is 0. This point is called a virtual ground.

The gain of the inverting amplifier is determined by the ratio of  $R_F$  to  $R_{IN}$ . That is,

$$A_V = -\frac{R_F}{R_{IN}}$$

In the noninverting amplifier, the input is applied to the "+" input of the op amp. A voltage divider consisting of two resistors is connected between the output of the op amp and ground. The point between the two resistors is connected to the "-" input of the op amp.

The gain of the noninverting amplifier is determined by the ratio of the two resistors in the voltage divider. The formula is

$$A_V = \frac{R_1}{R_2} + 1$$

An important characteristic of the op amp is its unity-gain frequency. This is the frequency at which the gain drops to unity or 1, and is generally considered the highest frequency at which the op amp is useful.

For any feedback arrangement, the bandwidth of the amplifier can be determined by dividing the unity-gain frequency by the gain of the stage.

Stated another way, multiplying the gain times the bandwidth gives the unity-gain frequency. For that reason, this frequency is also called the gain-bandwidth product.

The op amp finds numerous applications in electronics. One popular circuit is the voltage follower. This circuit has the characteristics of a nearly ideal emitter follower. It has an extremely high input impedance, an extremely low output impedance, and a gain of 1.

Other applications include the summing amplifier, the active filter, and the difference amplifier.

The summing amplifier can be used to add several different input signals together. By selecting proper resistor values, the inputs can be multiplied by a constant or each can be weighted as needed.

The active filter allows simple RC networks to produce very sharp response curves.

The difference amplifier can be used to subtract one input from another or to amplify differential input signals.

## UNIT EXAMINATION

The following multiple choice examination is designed to test your understanding of the material presented in this unit. Place a check beside the multiple choice (A, B, C, or D) that you feel is most correct. When you have completed the examination, compare your answers with the correct ones that appear after the exam.

1. An advantage of the differential amplifier is:
  - A. It is easy to fabricate in integrated circuit form.
  - B. It has excellent common-mode rejection capabilities.
  - C. It can amplify DC signals as well as AC signals.
  - D. All the above.
  
2. A disadvantage of the differential amplifier is:
  - A. It requires coupling capacitors.
  - B. It must always be driven by two signals which are  $180^\circ$  out of phase.
  - C. The transistors and load resistors must be carefully matched.
  - D. It provides no voltage gain.
  
3. Refer to Figure 3-84. When  $E_{IN}$  is at 0 volts, the current through  $Q_1$  is about:
  - A. 1 mA.
  - B. 0.5 mA.
  - C. 2 mA.
  - D. 5 mA.

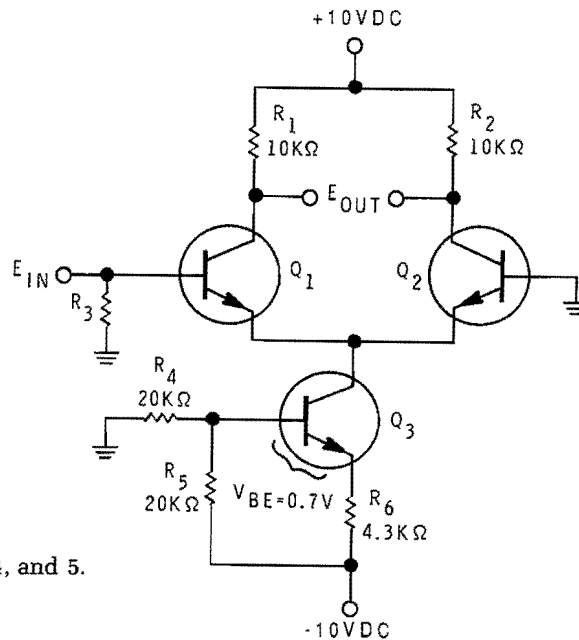


Figure 3-84  
Circuit for questions 3, 4, and 5.



4. Refer to Figure 3-84. When  $E_{IN}$  swings positive:
  - A.  $Q_1$  conducts more and  $Q_2$  conducts less.
  - B.  $Q_1$  conducts less and  $Q_2$  conducts more.
  - C. Both  $Q_1$  and  $Q_2$  conduct more.
  - D. Both  $Q_1$  and  $Q_2$  conduct less.
  
5. Refer to Figure 3-84. The load can be connected between:
  - A. The collector of  $Q_1$  and ground.
  - B. The collector of  $Q_2$  and ground.
  - C. The collector of  $Q_1$  and the collector of  $Q_2$ .
  - D. All of the above.
  
6. The differential inputs to a differential amplifier consist of 100-mV, 1000 Hz signals. A 1-volt, 60 Hz, common-mode signal is also present at both inputs. The output voltage consists of a 10-volt, 1000 Hz signal and a 10-mV, 60 Hz signal. What is the common-mode rejection ratio (CMRR) of this stage?
  - A. 100.
  - B. 1000.
  - C. 10,000.
  - D. 100,000.
  
7. Which of the following circuits uses an operational amplifier without feedback?
  - A. Active filter.
  - B. Voltage follower.
  - C. Comparator.
  - D. Summing amplifier.
  
8. The circuit shown in Figure 3-85 is:
  - A. An inverting amplifier with a gain of  $-10$ .
  - B. An inverting amplifier with a gain of  $-5$ .
  - C. A noninverting amplifier with a gain of 10.
  - D. A noninverting amplifier with a gain of 5.

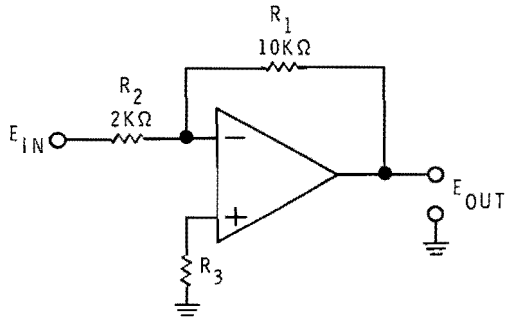


Figure 3-85  
Circuit for question 8.

9. Refer to Figure 3-86. Which of the following statements about this circuit is true?
- A.  $E_{OUT}$  is 0 volts when  $E_1$  equals  $E_2$ .
  - B.  $E_{OUT}$  is positive when  $E_1$  is more positive than  $E_2$ .
  - C.  $E_{OUT}$  changes states when  $E_1$  becomes equal to  $E_2$ .
  - D. If  $E_1$  is a sine wave,  $E_{OUT}$  will be an inverted sine wave.

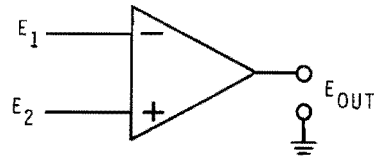


Figure 3-86  
Circuit for question 9.

10. Refer to Figure 3-87. If the voltage at the noninverting input is +2 volts, the voltage at the inverting input will be:
- A. +2 volts.
  - B. -2 volts.
  - C. 0 volts.
  - D. +6 volts.

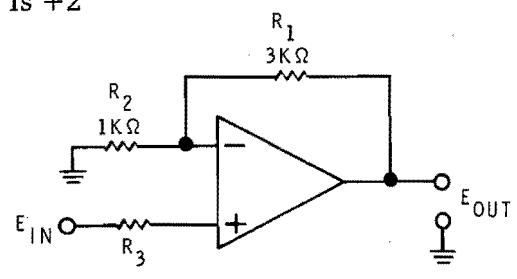


Figure 3-87  
Circuit for question 10.

11. Refer to Figure 3-88. What is the voltage at point A?
- A. +5 volts.
  - B. -5 volts.
  - C. -10 volts.
  - D. 0 volts.

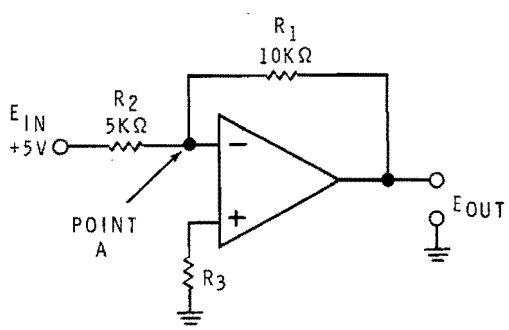


Figure 3-88  
Circuit for question 11.

12. If the circuit shown in Figure 3-89 is to have a gain of 20, the value of  $R_2$  should be:

- A. 500  $\Omega$ .
- B. 1 k $\Omega$ .
- C. 200 k $\Omega$ .
- D. 10 k $\Omega$ .

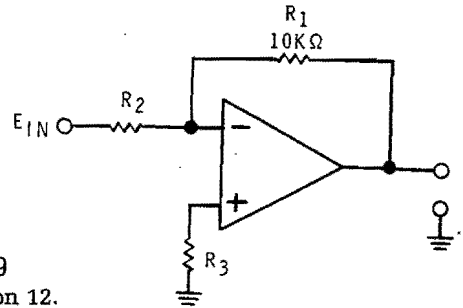


Figure 3-89  
Circuit for question 12.

13. An op amp with a gain-bandwidth product of 1 MHz is to be used to amplify signals which range from DC to 100 kHz. To insure a flat response over this range, the maximum gain of the amplifier should be:

- A. 1.
- B. 5.
- C. 10.
- D. 100.

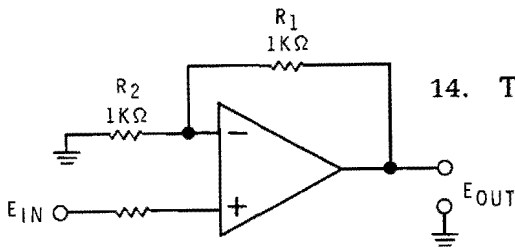


Figure 3-90  
Circuit for question 14.

14. The circuit shown in Figure 3-90 is:

- A. An inverting amplifier with a gain of 1.
- B. A noninverting amplifier with a gain of 1.
- C. A noninverting amplifier with a gain of 2.
- D. A voltage follower.

15. The circuit shown in Figure 3-91 has:

- A. A gain of 1, very high input impedance, and very low output impedance.
- B. A high gain, high input impedance, and low output impedance.
- C. A high gain, low input impedance, and high output impedance.
- D. A gain of 1, low input impedance, and high output impedance.

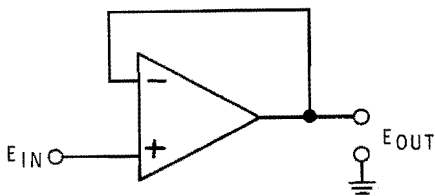


Figure 3-91  
Circuit for question 15.

## EXAMINATION ANSWERS

1. D — The differential amplifier has all the advantages listed.
2. C — A disadvantage of the differential amplifier is that the transistors must be carefully matched. Their characteristics should be identical.
3. B —  $R_4$  and  $R_5$  hold the base of  $Q_3$  at  $-5$  volts. Assuming a  $V_{BE}$  of  $0.7$  volts, the voltage drop across  $R_6$  must be  $4.3$  volts. Thus, the current through  $R_6$  and  $Q_3$  must be

$$I = \frac{E}{R} = \frac{4.3 \text{ V}}{4300 \Omega} = 1 \text{ mA.}$$

If  $E_{IN}$  is at  $0$  volts, this current will split evenly between  $Q_1$  and  $Q_2$ . Therefore, the current through  $Q_1$  is  $0.5$  mA.

4. A — When  $E_{IN}$  swings positive,  $Q_1$  conducts more. The total current in the circuit is set at about  $1$  mA by the current source. Thus, when  $Q_1$  conducts more,  $Q_2$  must conduct less.
5. D — The load can be connected from the collector of either transistor to ground or it can be connected between the two collectors.
6. C — The CMRR is the ratio of the difference gain ( $A_D$ ) to the common-mode gain ( $A_{CM}$ ). The difference gain is

$$A_D = \frac{10 \text{ V}}{100 \text{ mV}} = 100.$$

The common-mode gain is

$$A_{CM} = \frac{10 \text{ mV}}{1 \text{ V}} = .01.$$

$$\text{Therefore, CMRR} = \frac{A_D}{A_{CM}} = \frac{100}{.01} = 10,000.$$

7. C — The comparator requires the full gain of the operational amplifier. Therefore, it uses no feedback.
8. B — The signal is inverted because it is applied to the inverting input. The gain of the stage is

$$A = - \frac{R_1}{R_2} = - \frac{10 \text{ k}\Omega}{2 \text{ k}\Omega} = -5.$$

9. C — The circuit is a comparator. Thus, it changes states whenever  $E_1$  becomes equal to  $E_2$ .
10. A — This is the noninverting amplifier. The inverting input of the op amp is at virtually the same potential as the noninverting input. Thus, in this case, the inverting input is at +2 volts.
11. D — This is the inverting amplifier, the inverting input of the op amp is a virtual ground. Thus, it is at 0 volts.
12. A — To provide a gain of 20,  $R_1$  must be 20 times larger than  $R_2$ . Thus, the value of  $R_2$  must be 500 ohms.
13. C — With a gain bandwidth product of 1 MHz, the amplifier will have a bandwidth of 100 kHz when the gain is 10.
14. C — This is a noninverting amplifier because the input is applied to the noninverting input of the op amp. The gain is

$$A = \frac{R_1}{R_2} + 1 = \frac{1 \text{ k}\Omega}{1 \text{ k}\Omega} + 1 = 1 + 1 = 2.$$

15. A — This is the voltage follower. It has a gain of 1, very high input impedance, and very low output impedance.

*Unit 4*

**POWER SUPPLIES**

## **CONTENTS**

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## INTRODUCTION

Nearly all electronic circuits require a voltage and current source to operate. This power requirement is usually for a direct current source. The use of a battery as a direct current source is well known but, with the exception of small transistorized radios and other light duty circuits, the battery is an impractical choice. However, we all have access to 115 volt 60 cycle power in the wall outlets of our homes and businesses. Thus, what is needed is a circuit to convert this 115 volt alternating current to the required direct current. The circuit that performs this function is called a power supply.

In this unit, you will study several power supply circuits, learning the requirements to raise or lower the output levels, and to maintain stable operation under varying load conditions. You will also be introduced to circuit protective devices and techniques. In addition, you will conduct two experiments using solid-state devices, and analyze the results of these experiments. This will reinforce your knowledge, and provide you with the confidence necessary to apply and evaluate the techniques learned in this unit.



## UNIT OBJECTIVES

When you have completed this unit you should be able to:

1. Define the term rectification.
2. Explain the operation of the half-wave, full-wave, and bridge rectifiers.
3. List the characteristics of the half-wave, full-wave, and bridge rectifiers.
4. Explain why transformer coupling is preferred over direct coupling.
5. Explain the effect of a filter capacitor on the output voltage, ripple voltage, and diode's peak inverse voltage.
6. Describe the characteristics of capacitor, resistor-capacitor, and inductor-capacitor filters.
7. Describe the operation of half-wave and full-wave voltage multipliers.
8. Design a simple zener regulator, an emitter-follower regulator, and a simple, series-feedback regulator which uses an op amp.
9. Explain the operation of series and shunt regulators.
10. Explain the operation of protective circuits and devices including: current limiting circuits, crowbar circuits, fuses, and circuit breakers.

## UNIT ACTIVITY GUIDE

	Completion Time
<input type="checkbox"/> Read "Rectifier Circuits."	_____
<input type="checkbox"/> Complete Programmed Review Frames 1 through 17.	_____
<input type="checkbox"/> Read "Power Supply Filters."	_____
<input type="checkbox"/> Complete Programmed Review Frames 18 through 36.	_____
<input type="checkbox"/> Read "Voltage Multipliers."	_____
<input type="checkbox"/> Complete Programmed Review Frames 37 through 42.	_____
<input type="checkbox"/> Perform Experiment 9.	_____
<input type="checkbox"/> Read "Voltage Regulation."	_____
<input type="checkbox"/> Complete Programmed Review Frames 43 through 57.	_____
<input type="checkbox"/> Read "Series Voltage Regulation."	_____
<input type="checkbox"/> Complete Programmed Review Frames 58 through 70.	_____
<input type="checkbox"/> Perform Experiment 10.	_____
<input type="checkbox"/> Read "Power Supply Circuits."	_____
<input type="checkbox"/> Complete Programmed Review Frames 71 through 83.	_____
<input type="checkbox"/> Study Summary.	_____
<input type="checkbox"/> Complete Unit Examination.	_____
<input type="checkbox"/> Check Examination Answers.	_____

## RECTIFIER CIRCUITS

The heart of the power supply is the rectifier circuit, which converts the AC sine wave to a pulsating DC voltage. This is the first step in producing the smooth DC voltage required by electronic circuits. In this section we will consider three different types of rectifier circuits. These can be classified as half-wave rectifiers, full-wave rectifiers, and bridge rectifiers. Because it is the simplest, we will consider the half-wave rectifier first.

### Half-Wave Rectifiers

Most types of electronic equipment get their power from the 115 VAC, 60 Hz power line. In earlier studies, you saw that AC is the most convenient way for power companies to mass produce and distribute electricity.

The waveform produced by the power companies is a sine wave. The average value of a sine wave, as shown in Figure 4-1A, is zero volts because it has equal positive and negative alternations. To produce a net positive or negative voltage, it is necessary to distort the sine wave. For example, if we clip off the negative alternation as shown in Figure 4-1B, the resulting waveform will have a net positive value. This is the principle behind the half-wave rectifier.

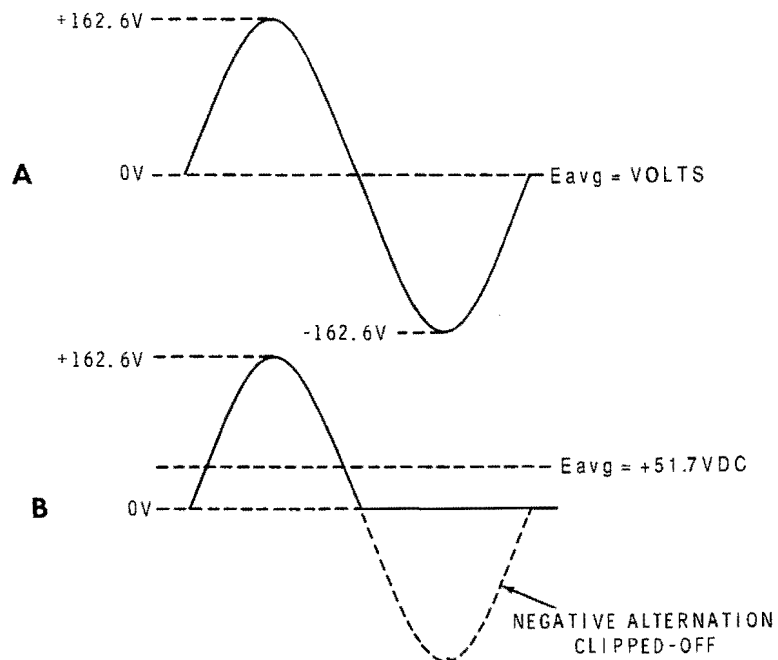


Figure 4-1  
Distorting the sine wave.

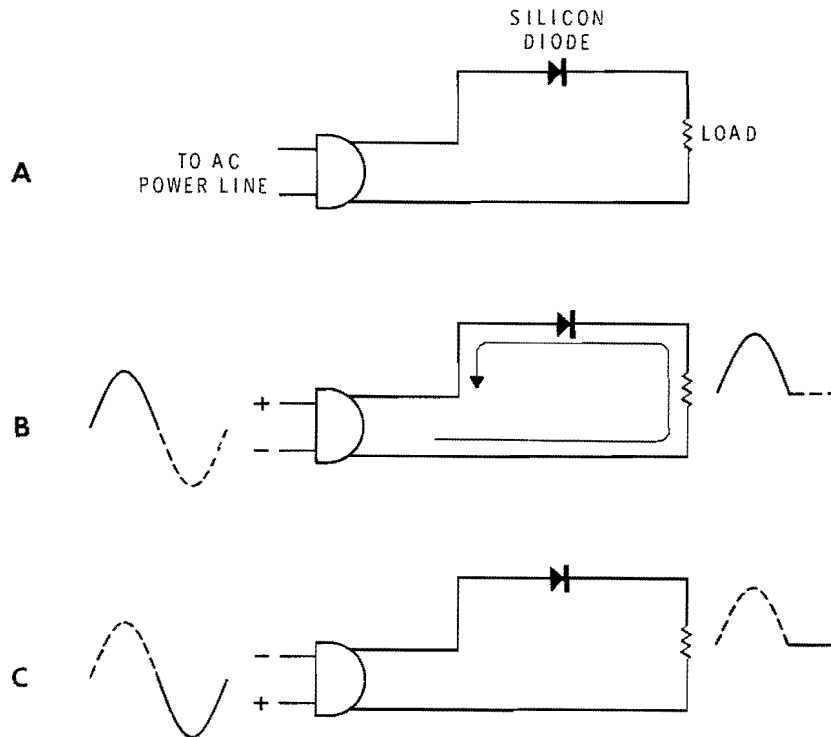


Figure 4-2  
Basic half-wave rectifier circuit.

## BASIC CIRCUIT

The most basic form of the half-wave rectifier is shown in Figure 4-2A. The load which requires the direct current is represented by the resistor. A silicon diode is placed in series with the load so that current can flow in one direction but not in the other.

Figure 4-2B illustrates the operation of the circuit during the positive half cycle of the sine wave from the power line. The anode of the diode is positive. Consequently, the diode conducts, allowing current to flow through the load as shown. Since the diode acts as a closed switch during this time, the positive half cycle is developed across the load.

Figure 4-2C shows the next half cycle of the input sine wave. Here, the anode is negative and the diode cannot conduct. It acts as an open switch. Consequently, no current flows through the load and no voltage is developed across the load. As you can see, the AC sine wave is changed to a pulsating DC voltage.

Of course, the pulsating DC voltage is not suitable for most loads. Remember, this is only the first stage of the power supply. Later stages smooth out the ripples and change the pulsating DC to a steady DC.

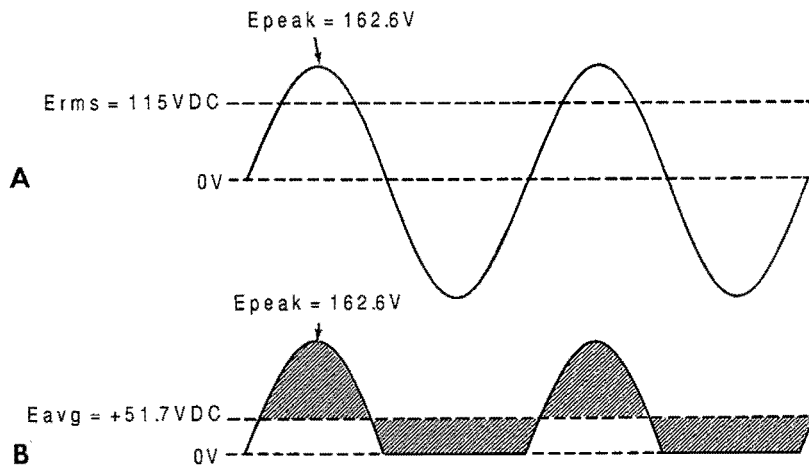


Figure 4-3  
Relationship between Epeak, Erms, and  
Eavg in a half-wave rectifier.

### EFFECTIVE, PEAK, AND AVERAGE VALUES

Figure 4-3 compares the effective, peak, and average values of the waveforms associated with the half-wave rectifier. As explained in an earlier unit, AC voltages are normally specified in terms of their effective or rms values. Thus, when we speak of the 115 VAC power line, we are specifying an **effective** or **rms** value of 115 VAC. In terms of peak values,

$$E_{rms} = E_{peak} \times 0.707$$

The peak value is always higher than the rms value. In fact,

$$E_{peak} = E_{rms} \times 1.414$$

Therefore, if the rms value is 115 VAC, then the peak value must be

$$E_{peak} = E_{rms} \times 1.414$$

$$E_{peak} = 115 \text{ V} \times 1.414$$

$$E_{peak} = 162.6 \text{ V}$$

The average value of the sine wave is 0 volts. Figure 4-3B shows how the average value changes when the negative half cycle is clipped off. Since the waveform swings positive but never negative, the average voltage is positive. For this type of waveform, the average voltage ( $E_{avg}$ ) is determined by the equation

$$E_{avg} = \frac{E_{peak}}{\pi} = E_{peak} \times \frac{1}{3.14}$$

or

$$E_{avg} = E_{peak} \times 0.318$$

Thus, in our example,

$$E_{avg} = 162.6 \text{ V} \times 0.318$$

$$E_{avg} = +51.7 \text{ VDC}$$

If we ignore the small voltage drop across the conductive diode, the output voltage across the load will appear as shown in Figure 4-3B. The shaded areas indicate that the voltage above the  $E_{avg}$  line will effectively fill in the area below, when averaged out over time. The voltage drop across the silicon diode is about 0.6 volts. Compared to the other voltages, this tiny voltage is negligible.

The average voltages specified in this section pertain to the rectifier itself. When a filter is added at the output, these values change. Since the rectifier is normally operated with a filter, the average voltage called out here will not be the average voltage from the overall power supply. As used in this section, the average voltage simply gives us a convenient way of comparing rectifier circuits.

## RECTIFIER WITH TRANSFORMER

The basic rectifier circuit always produces the same peak and average voltages when connected across the 115 VAC line. Obviously, this is a disadvantage since some devices require higher or lower voltages.

This problem can be overcome by connecting a transformer between the AC line and the rectifier. If higher voltages are required, a step-up transformer can be used. When lower voltages are required, as with most transistorized equipment, a step-down transformer can be used.

A color TV receiver may require DC voltages as low as +5 volts and as high as +30,000 volts. Transformers are used with rectifiers to produce this wide range of voltages.

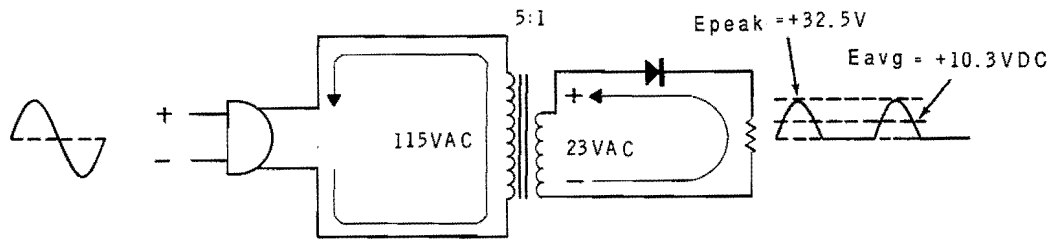


Figure 4-4  
Half-wave rectifier with transformer.

Figure 4-4 shows a rectifier used with a step-down transformer. The step-down ratio is five to one. Therefore, the effective voltage across the secondary is

$$115 \text{ VAC} \div 5 = 23 \text{ VAC}$$

When rectified, this gives a peak value of

$$E_{\text{peak}} = E_{\text{rms}} \times 1.414$$

$$E_{\text{peak}} = 23 \text{ V} \times 1.414$$

$$E_{\text{peak}} = 32.5 \text{ V}$$

The average value of the rectified output is

$$E_{\text{avg}} = E_{\text{peak}} \times 0.318$$

$$E_{\text{avg}} = 32.5 \text{ V} \times 0.318$$

$$E_{\text{avg}} = 10.3 \text{ VDC}$$

By using the proper step-up or step-down ratio, we can make the peak or average voltage of the rectifier output any value we like.

## ISOLATION

Another purpose of the transformer is to isolate the electronic device from the power line. To see why this is important, let's consider a situation in which a transformer is not used.

In some devices, the rectifier is connected directly to the power line. For reasons of economy, a power transformer is not used. In devices of this type, one side of the metal chassis is connected directly to one side of the power line. Figure 4-5A illustrates an oscilloscope and a signal generator which are constructed in

this manner. Unless the metal chassis is completely insulated from the user, a dangerous shock hazard exists. Since the two-prong plug can be connected two ways, we never know which side of the AC line is connected to the chassis. Anyone touching the chassis and ground simultaneously could receive a dangerous shock.

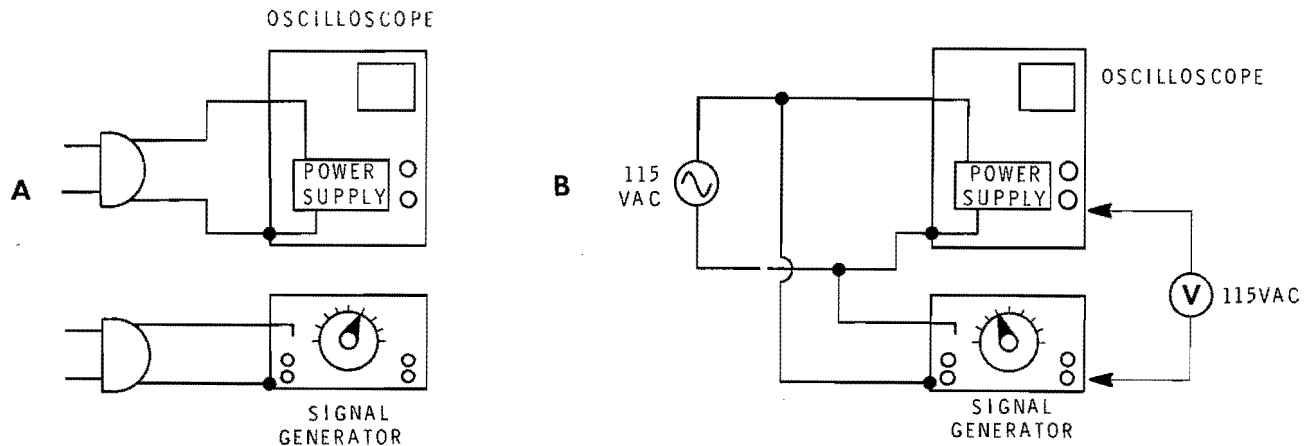


Figure 4-5

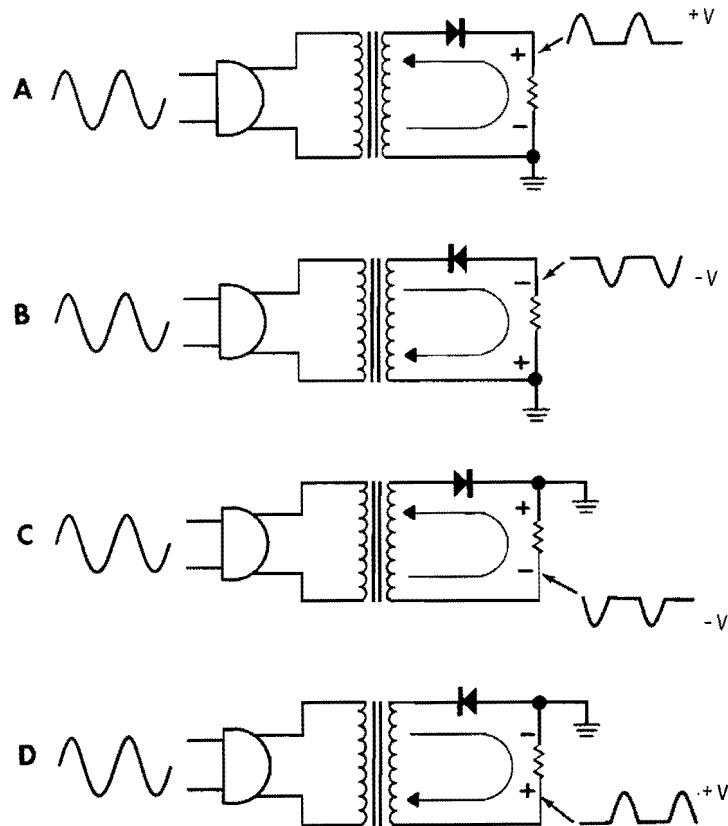
Without the isolation provided by the power transformer, this hazardous situation can result.

An even worse situation is shown in Figure 4-5B. Here, the two pieces of test equipment are connected to the AC line. If one of the two-prong plugs is reversed, the chassis of the oscilloscope will be connected to one side of the line while the chassis of the generator will be connected to the other side of the line. The voltmeter shows that a 115 volt difference in potential exists between the two devices. Any one who touches both chassis simultaneously will find himself directly across the 115 VAC line.

If transformers are used in both power supplies, no hazard will exist. The power lines connect only to the primary of the transformer and not to the chassis. Even if one side of the secondary connects to the chassis, the chassis is isolated from the line by the transformer.

Transformerless power supplies are not uncommon, especially in low-cost consumer products. They are perfectly safe if the "hot" chassis is completely insulated from the user by plastic cabinets, plastic knobs, etc. But while these devices present no hazard to the user, they can still be dangerous to anyone who must service them. To repair such a device, the protective plastic cabinet must be removed. If you ever work on a device of this type, protect yourself by using an **isolation** transformer between the AC line and the chassis. Recall that an isolation transformer has a turns ratio of 1 to 1. Thus, it takes 115 VAC from the line and delivers 115 VAC to the chassis. However, it isolates the chassis from the AC line and helps protect against accidental shock.





**Figure 4-6**  
You can change the output polarity by turning the diode around or by moving ground.

### RIPPLE FREQUENCY

The half-wave rectifier gets its name from the fact that it operates only during one half of each input cycle. Its output consists of a series of pulses. The frequency of these pulses is the same as the input frequency. Thus, when operated from the 60 Hz line, the frequency of the pulses will be 60 Hz. This is called the ripple frequency.

### OUTPUT POLARITY

A rectifier can produce either a negative or a positive output voltage. The output polarity depends on what point is connected to ground and which way the diode is connected.

In Figure 4-6A, current can flow only in the direction indicated. This produces a positive voltage at the top of the load with respect to ground. Thus, this rectifier produces a positive output voltage.

If we need a negative output voltage, the diode can be turned around as shown in Figure 4-6B. Or, ground can be connected to a different point in the circuit as shown in Figure 4-6C. Either of these arrangements will produce a negative output voltage. However, if both changes are incorporated, the output voltage is positive again as shown in Figure 4-6D.

## Full-Wave Rectifier

The half-wave rectifier has a serious disadvantage. It allows current to flow through the load for only one-half of each cycle. As you will see later, this makes filtering more difficult. A circuit which overcomes this problem is the full-wave rectifier.

The full-wave rectifier circuit is shown in Figure 4-7. It uses two diodes and a center-tapped transformer. When the center tap is grounded, the voltages at the opposite ends of the secondary are  $180^\circ$  out of phase with each other. Thus, when the voltage at point A swings positive with respect to ground, the voltage at point B swings negative. Let's examine the operation of the circuit during this half cycle.

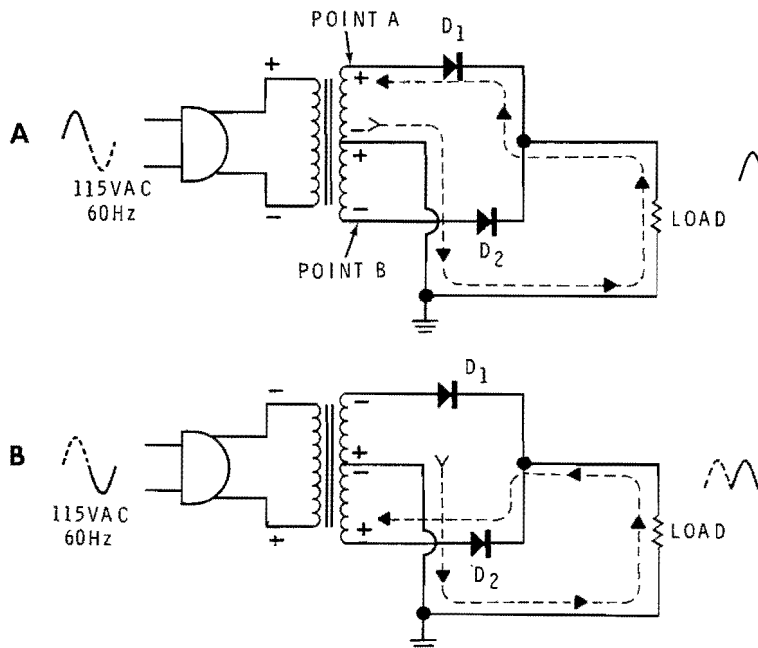


Figure 4-7  
The full-wave rectifier.

Figure 4-7A shows that the anode of D<sub>1</sub> is positive while the anode of D<sub>2</sub> is negative. Obviously, only D<sub>1</sub> can conduct. As shown, current flows from the center tap up through the load and D<sub>1</sub> to the positive potential at the top of the secondary. When D<sub>1</sub> conducts, it acts like a closed switch so that the positive half cycle is felt across the load.

Figure 4-7B shows what happens during the next half cycle, when the polarity of the voltage reverses. The anode of D<sub>2</sub> swings positive while the anode of D<sub>1</sub> swings negative. Therefore, D<sub>1</sub> cuts off and D<sub>2</sub> conducts. As shown, current flows from the center tap, through the load and D<sub>2</sub> to the positive voltage at the bottom of the secondary.

Notice that current flows through the load during both half cycles. This is the prime advantage of the full-wave rectifier over the half-wave rectifier.

For simplicity, assume that the transformer has a 1 to 1 turns ratio. That is, it neither steps-up nor steps-down the applied voltage. Therefore, the voltage across the entire secondary is 115 VAC. However, the voltage at each end of the secondary with respect to the center tap is only one-half this value, or 57.5 VAC. This is shown in Figure 4-8.

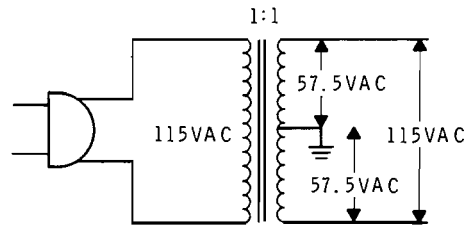


Figure 4-8  
Voltages in a center-tapped transformer.

Figure 4-9A further illustrates the voltage present in one-half of the secondary. The specified value of 57.5 VAC is the rms, or effective, value. Consequently, the peak value is

$$E_{\text{peak}} = E_{\text{rms}} \times 1.414$$

$$E_{\text{peak}} = 57.5 \text{ V} \times 1.414$$

$$E_{\text{peak}} = 81.3 \text{ V}$$

The voltage in the other half of the secondary has exactly the same amplitude but is 180° out of phase.

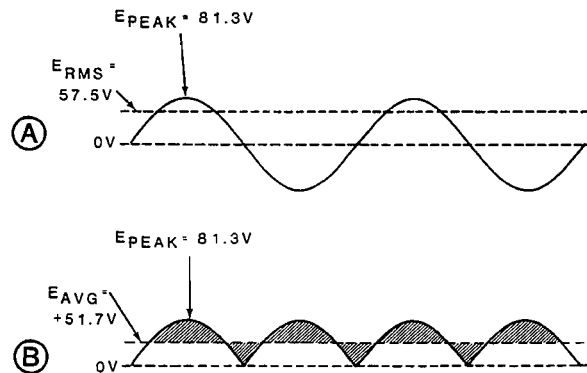


Figure 4-9  
Relationship between  $E_{\text{peak}}$ ,  $E_{\text{rms}}$ , and  $E_{\text{avg}}$  in a full-wave rectifier.

Ignoring the tiny voltage drops across the diodes, the output waveform of the full-wave rectifier appears as shown in Figure 4-9B. Compare this with the output of the half-wave rectifier shown earlier in Figure 4-3B. In this example, the peak voltage of the full-wave rectifier is one-half the value of the peak voltage of the half-wave rectifier. Nevertheless, the average voltages of the two are the same. Although the peak amplitude is only one-half as high with the full-wave rectifier, the pulses occur twice as often. Thus, the equation for finding the average voltage from a full-wave rectifier is

$$E_{avg} = 2 \times \frac{E_{peak}}{\pi}$$

$$E_{avg} = E_{peak} \times 0.636$$

In our example,

$$E_{avg} = 81.3 \text{ V} \times 0.636$$

$$E_{avg} = 51.7 \text{ VDC}$$

As the name implies, the full-wave rectifier works during both half cycles of the input sine wave. This gives a ripple frequency twice as high as the half-wave rectifier. When operating from the 60 Hertz line, the ripple frequency is 120 Hertz. This higher ripple frequency is easier to filter than the 60 Hertz ripple produced by the half-wave rectifier.

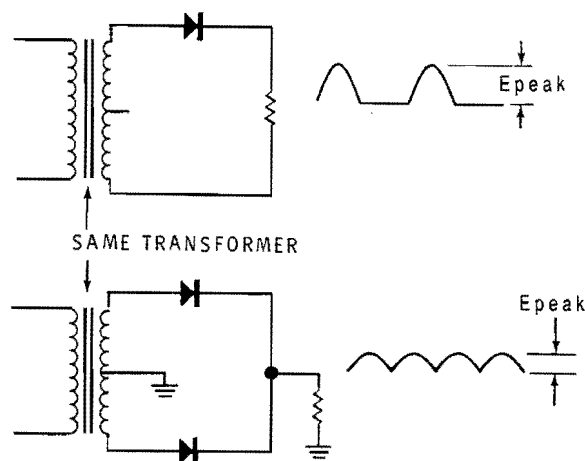


Figure 4-10

For a given transformer,  $E_{peak}$  is higher in the half-wave rectifier.

Figure 4-10 illustrates a disadvantage of the full-wave rectifier. For a given transformer, the full-wave rectifier produces a peak output voltage that is half that of the half-wave rectifier. In some cases this can be a disadvantage. Remem-

ber that a filter is added to the output of the rectifier circuit. As you will see later, when a filter is added, the average output voltage approaches the peak voltage. Thus, with a filter, the half-wave rectifier can produce a higher output voltage than the full-wave rectifier.

Fortunately, there is a type of rectifier that produces the same peak voltage as the half-wave rectifier, and the same ripple frequency as the full-wave rectifier. Let's take a look at this circuit.

## Bridge Rectifier

The full-wave rectifier provides a direct current through the load on both half-cycles of the sine wave. This is a definite advantage over the half-wave rectifier. However, for a given transformer, the average DC voltage output is no greater in the full-wave rectifier than it was in the half-wave rectifier. The bridge rectifier overcomes this disadvantage.

The bridge rectifier circuit is shown in Figure 4-11. It consists of four diodes arranged so that current can flow in only one direction through the load. This circuit does not require a center-tapped secondary as the full-wave rectifier did. In fact, it does not require a transformer at all except for isolation and to provide a voltage other than that available from the line. Leads A and B could connect directly to the two-prong plug.

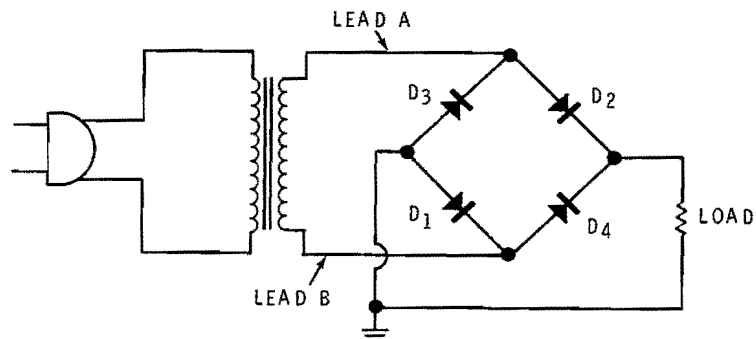


Figure 4-11  
The bridge rectifier.

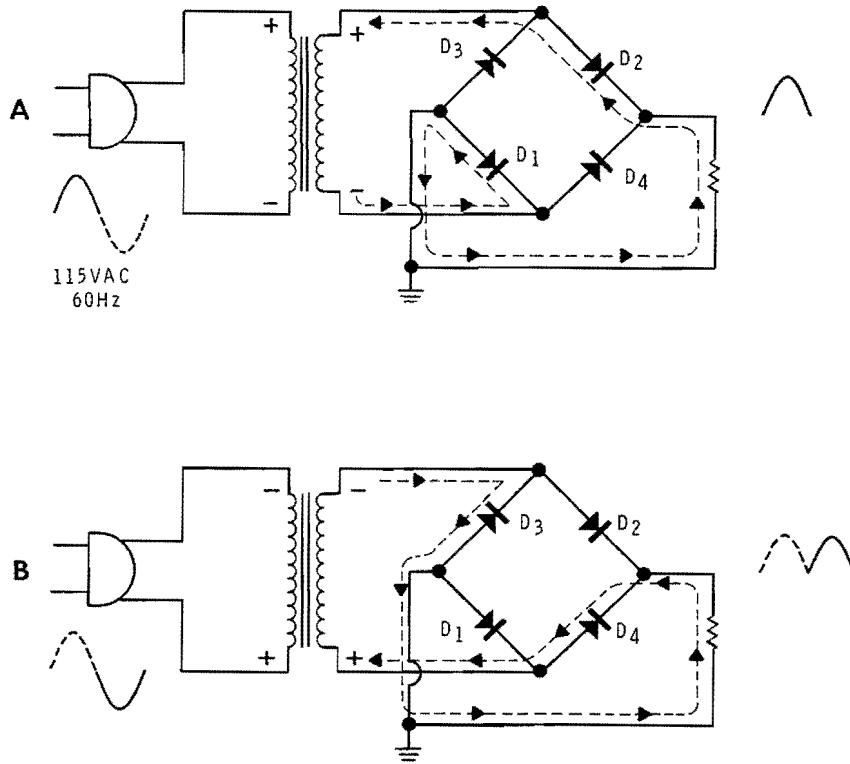


Figure 4-12  
Current flow through the bridge rectifier.

Figure 4-12A shows how current flows on the positive half cycle of the sine wave. Current flows from the bottom of the secondary through  $D_1$ , the load, and  $D_2$  to the positive voltage at the top of the secondary. With  $D_1$  and  $D_2$  acting as closed switches, the entire secondary voltage is developed across the load.

On the next half cycle, the polarities reverse as shown in Figure 4-12B. The top of the secondary is now negative and the bottom is positive. Current flows from the top of the secondary through  $D_3$ , the load, and  $D_4$  to the positive voltage at the bottom of the secondary. Notice that the current flow through the load is always in the same direction. With  $D_3$  and  $D_4$  acting as closed switches, the entire secondary voltage is again developed across the load.

Figure 4-13 shows the input and output waveforms of the bridge rectifier circuit. For the sake of explanation, again assume that the transformer has a turns ratio of 1 to 1. That is, assume that the secondary voltage is the same as the primary voltage, 115 VAC.

Figure 4-13A shows that the input sine wave has a peak voltage of 162.6 V. Ignoring the voltage drops across the conducting diodes, the voltage pulses developed across the load will have this same peak value as shown in Figure 4-13B. Compare this output with the outputs of the half-wave rectifier (Figure 4-3B), and the full-wave rectifier (Figure 4-9B). Notice that it has the same shape as the output of the full-wave rectifier. However, the amplitude of the pulse is the same as with the half-wave rectifier.

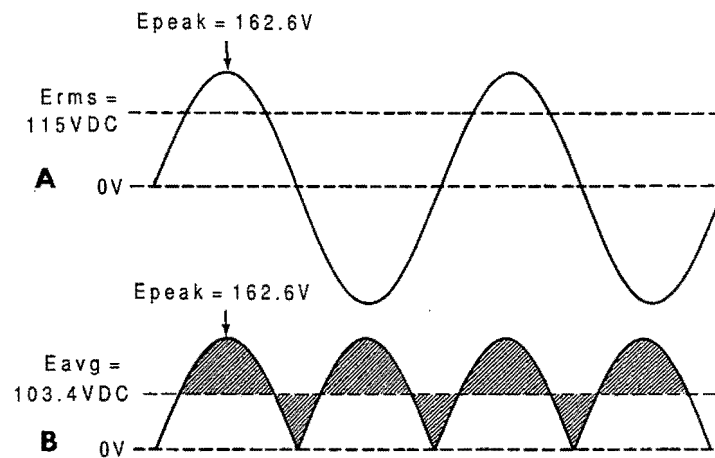


Figure 4-13

Relationship between  $E_{peak}$ ,  $E_{rms}$ , and  $E_{avg}$  in a bridge rectifier.

As with the full-wave rectifier, the average voltage is determined by the equation:

$$E_{avg} = E_{peak} \times 0.636$$

Also, the ripple frequency is the same as with the full-wave rectifier or 120 Hertz.

Strictly speaking, the bridge rectifier is a type of full-wave rectifier since it operates on both half cycles of the input sine wave. However, in this unit, the name full-wave rectifier will refer to the circuit shown in Figure 4-7. It uses two diodes and requires a center-tapped transformer.

## Comparison of Rectifiers

Now let's compare the three basic rectifier circuits. Each has its own advantages, disadvantages, and characteristics.

### HALF-WAVE RECTIFIER

Here, the advantage is simplicity and low cost. It requires only one diode and may be used with or without a transformer. It has several disadvantages. First, it is not very efficient since only half of the input wave is used. The average output voltage is low and the 60 Hertz ripple frequency is hard to filter. When used with a transformer, the unidirectional secondary current can cause problems. Since current always flows in the same direction in the secondary, the core of the transformer can become magnetized. This is called DC core saturation. It tends to reduce the inductance, and therefore, the efficiency of the transformer. The half-wave rectifier is generally restricted to low current applications, or to transformerless supplies where economy is a prime factor.

### FULL-WAVE RECTIFIER

The full-wave rectifier has several advantages over the half-wave rectifier. It is more efficient since it operates on both half cycles of the sine wave. Also, the 120 Hertz ripple frequency is easier to filter. Since the currents in the two halves of the secondary are opposite, there is no problem with DC core saturation in the transformer.

A disadvantage of the full-wave rectifier is that it requires a center-tapped transformer. Furthermore, the transformer must be somewhat larger than that required by a bridge rectifier. Another problem that we will discuss later involves the peak inverse voltage of the diodes. And finally, for a given transformer, the peak voltage is lower in the full-wave rectifier than in the half-wave rectifier.

### BRIDGE RECTIFIER

The bridge rectifier has several advantages over the full-wave rectifier. For one thing, it can be operated without a transformer. More often though, a step-up or step-down transformer is used to provide a voltage other than that available from the line. Even then, the design of the transformer is simplified because no center tap is required. Also, compared to a center tap for a given transformer, the output voltage from the bridge is higher. Of course, the bridge rectifier does require four diodes, but diodes are relatively inexpensive and this is not a serious disadvantage. For these reasons, the bridge rectifier is very popular.



## Programmed Review

This review is presented in a programmed instruction (P. I.) format. It will enhance your understanding of the material presented in this section. Read each of the numbered frames carefully and fill in the missing blanks at the bottom of each frame. The correct answer appears in parentheses at the beginning of the next frame. Use a sheet of paper to cover all of the frames below the one you are reading.

1. A rectifier is a device that converts alternating current to \_\_\_\_\_.

2. (direct current) The simplest type of rectifier uses a single diode and is called a \_\_\_\_\_-\_\_\_\_\_ rectifier.

3. (half-wave) The \_\_\_\_\_ performs the rectification since it allows current to flow in one direction through the load but not in the opposite direction.

4. (diode) The half-wave rectifier gets its name from the fact that it conducts only during \_\_\_\_\_-\_\_\_\_\_ of the input sine wave.

5. (one-half) Because the diode conducts during one-half of the input cycle, the output voltage consists of pulses. The peak value of each pulse is equal to the peak of the sine wave input. However, the average voltage is much lower than the peak. If the output pulses are 100 V high, the average voltage will be only \_\_\_\_\_V.

6. (31.8 V) The ripple frequency of the output voltage is the same as the line frequency. In most cases this will be \_\_\_\_\_ Hz.

7. (60) The half-wave rectifier may be used with or without a \_\_\_\_\_.
8. (transformer) The transformer serves two purposes. First, it steps the line voltage up or down to an appropriate value. Second, it provides \_\_\_\_\_ between the AC line and the chassis.
9. (isolation) A prime disadvantage of the half-wave rectifier is its low ripple frequency. The problem can be overcome by using a full-wave rectifier. The full-wave rectifier requires a \_\_\_\_\_ transformer and two diodes.
10. (center-tapped) The full-wave rectifier forces direct current through the load on both halves of the AC sine wave. Therefore, when the rectifier is connected to the 60 Hz AC line, the ripple frequency will be \_\_\_\_\_ Hz.
11. (120) Because there are no half-cycle pauses between the pulses, the average voltage is higher. If the peak voltage is 50 V, the average voltage will be \_\_\_\_\_ volts.
12. (31.8) For a given transformer, the peak voltage in a full-wave rectifier is only one-half the peak voltage of the half-wave rectifier. There is a circuit which has the peak voltage advantage of the half-wave rectifier and the high ripple frequency advantage of the full-wave rectifier. This circuit is called the \_\_\_\_\_ rectifier.
13. (bridge) The bridge rectifier requires \_\_\_\_\_ diodes and may be used with or without a transformer.

14. (four) Figure 4-14 shows a simple bridge rectifier. When the voltage across the secondary of the transformer has the polarity shown, diodes \_\_\_\_\_ and \_\_\_\_\_ will conduct.

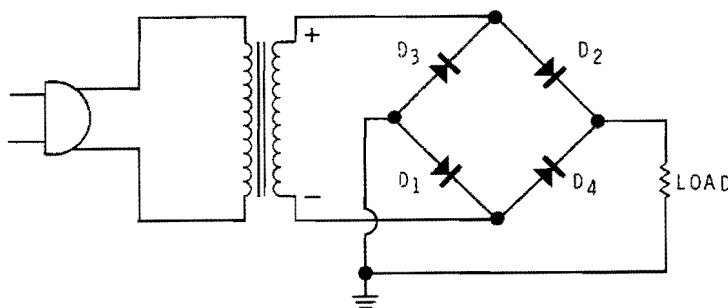


Figure 4-14  
Circuit frames 14 through 17.

15. ( $D_1$ ,  $D_2$ ) When the polarity reverses, diodes \_\_\_\_\_ and \_\_\_\_\_ conduct.
16. ( $D_3$ ,  $D_4$ ) Let's assume that the voltage across the secondary has an rms value of 240 VAC. Ignoring the voltage drop across the conducting diodes, the peak value of the voltage pulses across the load is \_\_\_\_\_ volts.
17. (339.4) Consequently, the average output voltage is \_\_\_\_\_ volts DC.

(215.8).

## POWER SUPPLY FILTERS

As we have seen, the output of the rectifier is a pulsating DC voltage. Such a DC voltage is unsuitable for nearly all electronic applications. Most electronic circuits require a very smooth, constant supply voltage. For this reason, in virtually all power supplies, the rectifier circuit is followed immediately by a filter. The purpose of the filter is to convert the pulsating DC provided by the rectifier into the smooth DC voltage required by electronic circuits.

### The Capacitor as a Filter

In its simplest form, the power supply filter may be nothing more than a capacitor connected across the output of the rectifier. This arrangement is shown in Figure 4-15A. The addition of the capacitor modifies the operation of the circuit.

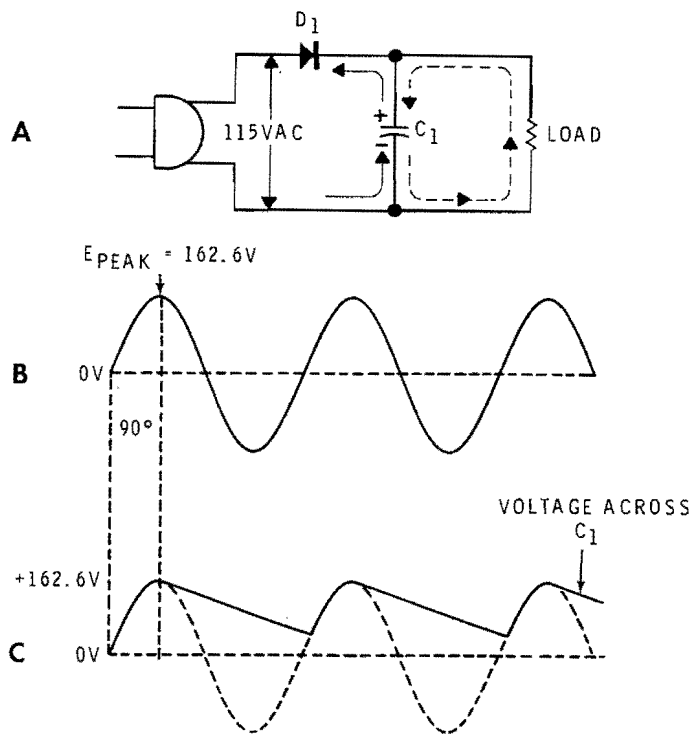


Figure 4-15  
Adding a capacitor helps smooth out  
the ripple and raises the average out-  
put voltage.

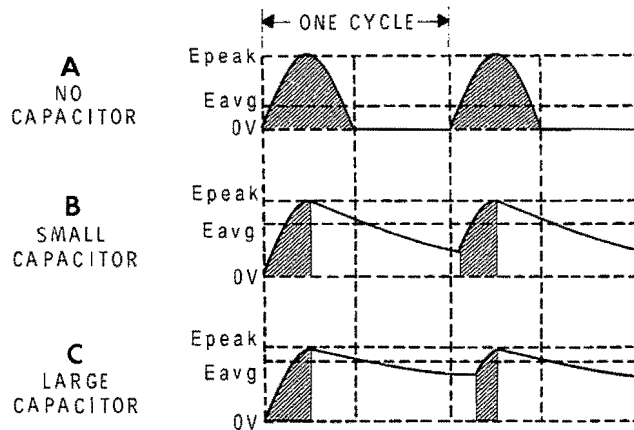
The input voltage waveform is shown in Figure 4-15B. Notice that the peak value is about 162 volts. Let's examine the action starting with the point at which the sine wave initially swings positive.

When the anode of  $D_1$  swings positive,  $D_1$  conducts, allowing current to flow through the load. Simultaneously, the capacitor charges to the polarity shown. Since there is negligible resistance in the charge path,  $C_1$  charges immediately in response to the rising input voltage.  $C_1$  charges for the first one-quarter cycle. After  $90^\circ$  of the input sine wave,  $C_1$  is charged to the peak value, or about 162 volts.

When the input sine wave begins to drop off,  $C_1$  tries to discharge. However, the only discharge path for  $C_1$  is through the load. Because the load has a certain resistance, the discharge of the capacitor is controlled by the RC time constant. The discharge time constant is long compared to the time for one cycle of the AC input. Consequently, after passing its peak at  $90^\circ$ , the AC line voltage drops off faster than the voltage across the capacitor. This means that after the first one-quarter of a cycle, the cathode of  $D_1$  will be more positive than the anode. Therefore, the diode cuts off, just after the sine wave passes  $90^\circ$ .

With the diode no longer conducting, the capacitor begins to discharge through the load. Thus, after the first one-quarter cycle, the current through the load is being supplied by the discharging capacitor. As  $C_1$  discharges, the voltage across it gradually decreases. However, before the capacitor can completely discharge, the next cycle of the sine wave begins. At some point in the first one-quarter cycle of the second sine wave, the anode of  $D_1$  again swings more positive than the cathode.  $D_1$  conducts once more, recharging  $C_1$  to the peak value.

Figure 4-15C shows the voltage across  $C_1$ . Notice that the voltage initially rises to the peak value of the input sine wave. At  $90^\circ$ ,  $D_1$  cuts off and  $C_1$  begins its discharge. Because the discharge time is longer than one cycle of the AC sine wave, the voltage across  $C_1$  never drops to zero volts. Instead, the capacitor charges again to the peak of the next pulse. This not only helps to smooth out the pulses, but it also raises the average voltage. Figure 4-16 illustrates this point more clearly.



**Figure 4-16**  
The filter capacitor raises the average voltage.

Figure 4-16A shows the output of the half-wave rectifier when no filter capacitor is used. As you saw earlier, the average voltage is only 31.8% of the peak voltage.

If a filter capacitor is added, the output voltage might appear as shown in Figure 4-16B. Here, the capacitor prevents the output from dropping to 0 volts. Therefore, the average output voltage is higher.

If a larger capacitor is added, the RC time constant is increased. Consequently, the capacitor discharges more slowly. This raises the average voltage even higher as shown in Figure 4-16C. As the value of the capacitor is made larger, the average output voltage approaches the peak voltage.

In Figure 4-16, the shaded area represents the time that the diode conducts. It indicates the time that the diode is forcing current through the load. When a capacitor is added across the output, the diode conducts for a shorter period of time. Between the times that the diode is conducting, the capacitor supplies the current through the load. For the half-wave rectifier, the capacitor must supply the current to the load for more than three-quarters of each cycle. If the current required by the load is high, a very large value of capacitance is required.

## Full-Wave Supply with Capacitor Filter

So far we have considered filtering the output of a half-wave rectifier. Now let's see how the capacitor behaves with the full-wave and bridge rectifiers.

Figure 4-17A shows the unfiltered output of a full-wave (or bridge) rectifier. The shaded area shows that one diode or the other is conducting at all times. Thus, the ripple frequency is twice as high as that of the half-wave rectifier. When a capacitor is added, it charges to each peak. Since the peaks occur twice as often, the capacitor does not discharge very far before the next pulse occurs. As shown in Figure 4-17B, the average voltage is quite high. If a larger capacitor is added, the average voltage can be made almost equal to the peak voltage. Figure 4-17C illustrates this.

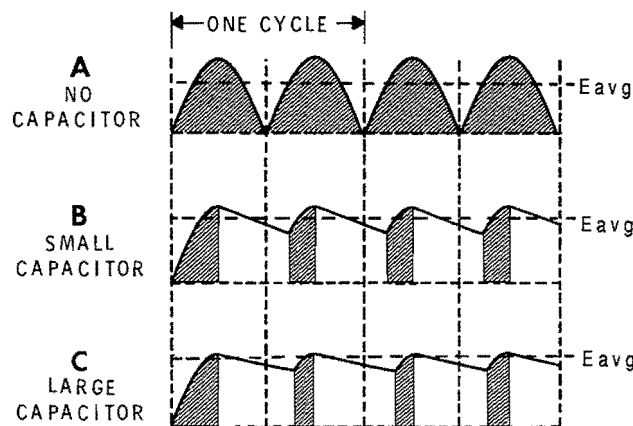


Figure 4-17  
The output of the full-wave rectifier or bridge rectifier is easier to filter.

The shaded areas show when the diodes conduct. Making the capacitor larger reduces the time that the diodes conduct. Thus, the capacitor must still provide the current to the load for much of each cycle. However, with the full-wave rectifier, the capacitor is charged twice during each cycle. Therefore, a given capacitor does a much better job of filtering in a full-wave supply than it does in a half-wave supply.

## Percent Ripple

The purpose of the power supply filter is to smooth out the pulsating DC produced by the rectifier. There is a generally accepted figure of merit which tells how good the filter does its job. This figure of merit is called the **percent ripple**.

The percent ripple is defined by the equation

$$\% \text{ ripple} = \frac{\text{rms of ripple}}{E_{\text{avg}}} \times 100$$

When using this equation, the ripple in the output is assumed to be a sine wave. While it is not really a sine wave, the ripple does have a nearly symmetrical waveshape, so our equation gives fairly accurate results.

Figure 4-18 shows the output of a rectifier-filter network. To compute the percent ripple of this waveform, first measure the peak-to-peak value of the ripple. As you can see, the ripple voltage has a peak-to-peak value of

$$80 \text{ V} - 60 \text{ V} = 20 \text{ V}_{\text{p-p}}$$

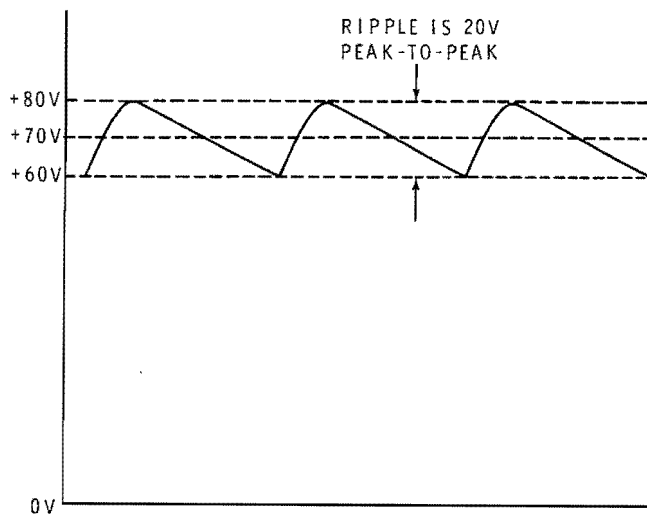


Figure 4-18  
Computing the percent ripple.



Therefore, the peak value must be one-half of this value, or 10 V. You can compute the rms value of the ripple voltage by multiplying the peak by 0.707:

$$E_{rms} = 10 \text{ V} \times 0.707 = 7.07 \text{ volts}$$

You can obtain the approximate average DC voltage by taking the value midway between the upper and lower levels of the ripple. In the example shown, the average DC voltage is 70 volts. Therefore, the percent ripple is

$$\% \text{ ripple} = \frac{\text{rms of ripple}}{E_{avg}} \times 100$$

$$\% \text{ ripple} = \frac{7.07 \text{ V}}{70 \text{ V}} \times 100$$

$$\% \text{ ripple} = 0.101 \times 100$$

$$\% \text{ ripple} = 10.1\%$$

The percent ripple can be made lower if a larger capacitor is used or if the value of the load resistance is increased. Since the load resistance is normally dictated by the circuit design, the amount of ripple is controlled by the value of the capacitor.

When you know the characteristics needed in the power supply, you can determine the value of filter capacitor required. The general equation for a full-wave or bridge rectifier is

$$C_{min} = \frac{1}{2.828 \times K \times R_L \times f}$$

Where  $K$  = percent ripple expressed as a decimal (i.e. 12% = 0.12);  
 $R_L$  = the resistance of the load in ohms;  
 $f$  = the frequency of the ripple in hertz;  
 $C_{min}$  = the minimum capacitance value required in farads.

For example, suppose a full-wave rectifier operating from the 60 Hertz line is to supply a 500-ohm load. The percent ripple in the output must be limited to 2 percent. The minimum value of capacitance required is:

$$C_{min} = \frac{1}{2.828 \times K \times R_L \times f}$$

$$C_{min} = \frac{1}{2.828 \times 0.02 \times 500 \times 120}$$

$$C_{min} = \frac{1}{3393.6}$$

$$C_{min} = 0.000\ 2946 \text{ farads}$$

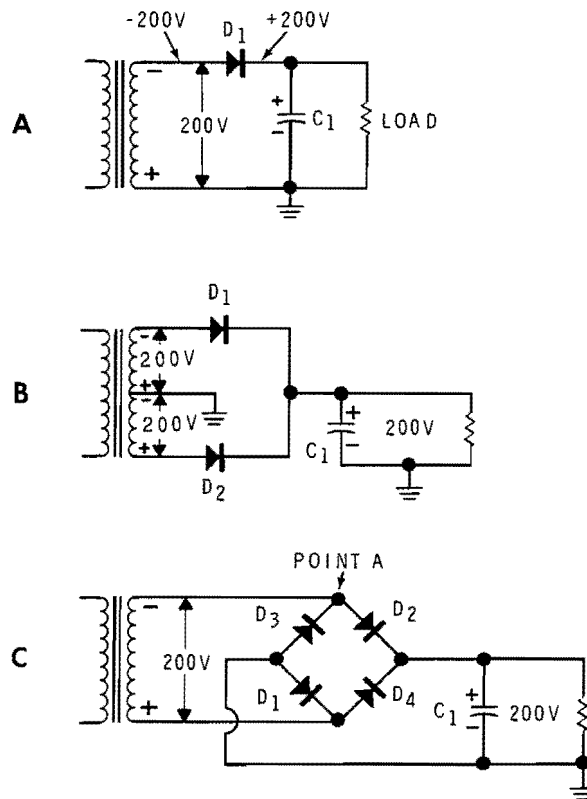
$$C_{min} = 294.6 \mu\text{F}$$

This indicates that the absolute minimum value of C should be about 300 microfarad. To give a safety margin, a larger value (say 500 microfarad) would probably be used.

## The Capacitor's Effect on the Diodes

Any filter that has a capacitor immediately following the rectifier places an additional stress on the diodes in the rectifier. Here's why.

Figure 4-19A shows a half-wave rectifier with a capacitor as a filter. During the half-cycle in which the diode conducts,  $C_1$  charges to the peak of the secondary voltage. Assume that this is +200 volts. The capacitor is large enough to hold the voltage across the load at approximately this level throughout the cycle.



**Figure 4-19**  
Determining the peak inverse voltage  
of the diodes.

During the next half cycle, the voltage across the secondary reverses. At the peak of the negative cycle, the anode of the diode is at  $-200$  volts with respect to ground. At this point, the difference of potential across the diode is twice the peak value of the secondary, or  $400$  volts. Obviously, a diode must be selected which can withstand this voltage.

The maximum voltage that a diode can withstand when reverse biased is called the **peak inverse voltage** or PIV. The diode used in this example must have a PIV of  $400$  volts. Actually, since we do not want to push the diode to its limit, we should choose a diode with a somewhat higher PIV rating. A good rule of thumb is to operate the diode at no more than  $80\%$ , or  $0.8$ , of its rated value. Thus, the PIV rating should be no lower than

$$\text{PIV} = \frac{400}{0.8} = 500 \text{ V}$$

Figure 4-19B shows that a similar situation exists in the full-wave rectifier. With  $C_1$  charged to the positive peak and the top of the secondary at the negative peak

$$\text{PIV} = \frac{400}{.8} = 500 \text{ V}$$

Interestingly enough, this situation does not hold true for the bridge rectifier. Here the diodes are never exposed to more than the peak of the secondary voltage. Figure 4-19C illustrates why. At first it might appear that  $D_2$  is being subjected to twice the peak value. However, closer examination will reveal that  $D_3$  is conducting. Thus, point A is effectively at ground potential and the voltage across  $D_2$  is equal to  $E_{\text{peak}}$ . If you will examine the circuit in various conditions, you will find that no diode is ever exposed to more than  $E_{\text{peak}}$ . Therefore, another advantage of the bridge rectifier is that diodes with lower PIV ratings can be used.

$$\text{PIV} = \frac{200}{.8} = 250 \text{ V}$$

## RC Filters

The single capacitor filter is suitable for many, noncritical, low-current applications. However, when the load resistance is very low, or the percent of ripple must be held to an absolute minimum, the capacitor value required may be extremely large. While electrolytic capacitors are available in sizes up to 10,000 microfarad or larger, the larger sizes are quite expensive. A more practical approach is to use a more sophisticated filter that can do the same job but with lower capacitor values.

Figure 4-20 shows a full-wave rectifier with a resistor-capacitor (RC) filter connected across its output. An RC filter of this type does a much better job than the single capacitor filter. Let's see how this filter works.

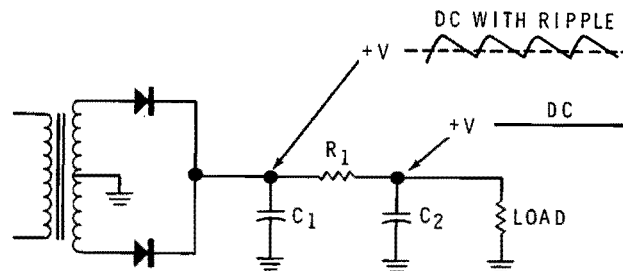


Figure 4-20  
Full-wave rectifier with RC filter.

$C_1$  performs exactly the same function that it did in the single capacitor filter. It is used to reduce the percent ripple to a relatively low value. Thus, the voltage across  $C_1$  might consist of an average DC value of +100 volts with a ripple voltage of 10 volts peak-to-peak. This voltage is passed on to the  $R_1$ - $C_2$  network, which reduces the ripple even further.

$C_2$  offers an infinite impedance to the DC component of the output voltage. Thus, the DC voltage is passed to the load, but reduced in value by the amount of the voltage drop across  $R_1$ . However,  $R_1$  is generally small compared to the load resistance. Therefore, the drop in the DC voltage caused by  $R_1$  is not objectionable.

$C_2$  offers a very low impedance to the AC ripple frequency. Thus, the AC ripple sees a voltage divider consisting of  $R_1$  and  $C_2$  between the output of the rectifier and ground. Component values are chosen so that the resistance of  $R_1$  is much greater than the reactance of  $C_2$  at the ripple frequency. Therefore, most of the ripple voltage is dropped across  $R_1$ . Only a trace of the ripple voltage can be seen across  $C_2$  and the load.

In extreme cases, where the ripple must be held to an absolute minimum, a second stage of RC filtering can be added. In practice, the second stage is rarely required. The RC filter is extremely popular because smaller capacitors can be used with good results.

The RC filter has some disadvantages. First, the voltage drop across  $R_1$  takes voltage away from the load. Second, power is wasted in  $R_1$  and is dissipated in the form of unwanted heat. Finally, if the load resistance changes, the voltage across the load will change. Even so, the advantages of the RC filter overshadow these disadvantages in many cases.

## LC Filters

The next step in filters is the inductor-capacitor (LC) filter. A common type of LC filter is shown in Figure 4-21.  $C_1$  performs the same functions as discussed earlier. It reduces the ripple to a relatively low level.  $L_1$  and  $C_2$  form an LC filter which reduces the ripple even further.

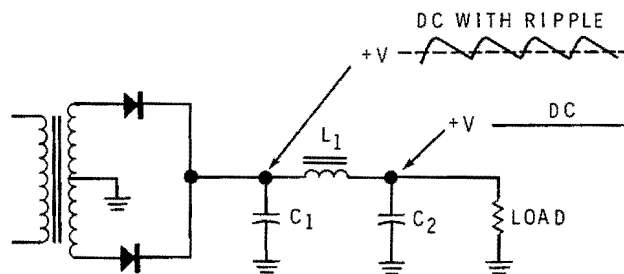


Figure 4-21  
Full-wave rectifier with LC filter.

$L_1$  is a large value iron-core inductor called a **choke**. It has a high value of inductance and, therefore, a high value of  $X_L$ . That is, it offers a high reactance to the ripple frequency. At the same time,  $C_2$  offers a very low reactance to the AC ripple.  $L_1$  and  $C_2$  form an AC voltage divider. Because the reactance of  $L_1$  is much higher than that of  $C_2$ , most of the ripple voltage is dropped across  $L_1$ . Only a slight trace of the ripple appears across  $C_2$  and the load.

While the  $L_1$ - $C_2$  network greatly attenuates the AC ripple, it has little effect on the DC. Recall that an inductor offers no reactance to DC. The only opposition to current flow is the resistance of the wire in the choke. Generally, this resistance is very low and the DC voltage drop across the coil is negligible. Thus, the LC filter overcomes the disadvantages of the RC filter.

Aside from the voltage divider effect, the inductor improves filtering in another way. Recall that an inductor resists changes in the magnitude of the current flowing through it. Consequently, when the inductor is placed in series with the load, it tends to hold the current steady. In turn, this helps to hold the voltage across the load constant.

The LC filter provides good filter action over a wide range of currents. The capacitor filters best when the load is drawing little current. In this case, the capacitor discharges very slowly and the output voltage remains almost constant. On the other hand, the inductor filters best when the current is highest. The complementary nature of these components insures good filtering over a range of currents.

The LC filter has two disadvantages. First, it is more expensive than the RC filter because an iron-core choke costs more than a resistor. The second disadvantage is size. The iron-core choke is bulky and heavy. Thus, the LC filter may be unsuitable for many applications.

## Programmed Review

18. The output of the rectifier is a pulsating DC voltage which is unsuitable for most electronic circuits. To smooth out the pulsations, a \_\_\_\_\_ is added.
19. (filter) The simplest form of filter is a \_\_\_\_\_ connected across the load.
20. (capacitor) The capacitor charges when the diode in the rectifier conducts. When the diode cuts off, the capacitor \_\_\_\_\_ through the load.
21. (discharges) Thus, the current through the load is supplied by the capacitor when the diode is \_\_\_\_\_.
22. (cut off) The discharge time constant is longer than one cycle of the input voltage. Therefore, the output voltage never drops to zero. This not only smooths the output, it also raises the \_\_\_\_\_ output voltage.
23. (average) If the value of the capacitor is made large enough, the average output voltage can approach the \_\_\_\_\_ output voltage.
24. (peak) A figure of merit which indicates how well the filter is doing its job is called the percent \_\_\_\_\_.
25. (ripple) A full-wave rectifier provides an average output voltage of +200 volts. The ripple voltage riding this output is 14.14 volts peak-to-peak. The percent ripple is \_\_\_\_\_%.



26. (2.5) If the ripple frequency is 120 Hz and the load resistance is 1000 ohms, the minimum value of filter capacitor required to produce this percent of ripple is about \_\_\_\_\_ microfarad.
27. (120  $\mu$ F) If the ripple frequency is only 60 Hz, the filter capacitor must be \_\_\_\_\_ times as large.
28. (two) A half-wave or full-wave rectifier which has a peak output voltage of 200 volts must employ a diode which has a peak inverse voltage (PIV) rating of \_\_\_\_\_ volts minimum.
29. (400) And, since it is not a good idea to drive a diode beyond 80% of its rating, the selected diode should have a PIV rating no lower than about \_\_\_\_\_ volts.
30. (500) One advantage of the \_\_\_\_\_ rectifier is that it can use diodes with a lower PIV rating.
31. (bridge) In the RC filter, an additional RC network is connected across the output of the rectifier. This reduces the DC output voltage by the amount of the voltage drop across the resistor. However, the voltage drop is not great because the load resistance is \_\_\_\_\_ than the filter resistor. larger/smaller
32. (larger) The additional RC filter greatly attenuates the ripple frequency. The resistor and the  $X_C$  of the capacitor form a voltage divider. At the ripple frequency, the  $X_C$  of the capacitor is much \_\_\_\_\_ than the resistance. larger/smaller

33. (smaller) Consequently, most of the AC ripple voltage is dropped across the \_\_\_\_\_. Very little of the ripple appears across the capacitor and the load.

34. (resistor) The disadvantages of the RC filter can be overcome by the LC filter. Here an \_\_\_\_\_ is used with the capacitor to improve filtering.

35. (inductor) Because the inductor opposes changes in \_\_\_\_\_, it tends to smooth out the ripple.

36. (current) In addition, the inductor acts as a near short to the direct current, but offers a high \_\_\_\_\_ to the AC ripple frequency.

(reactance).

## VOLTAGE MULTIPLIERS

We have seen that the half-wave and bridge rectifiers can be used without a power transformer. When used in this manner, the DC output voltage is limited to the peak value of the input sine wave. If the input is 115 VAC, the output voltage can be no higher than:

$$115 V_{\text{rms}} \times 1.414 = 162.6 V_{\text{peak}}$$

When higher DC voltages are required, a step-up transformer must be used.

However, rectifier circuits can be designed which will produce higher DC voltages without a step-up transformer. These rectifier circuits are called voltage multipliers. The most common are the voltage doubler and the voltage tripler. Multiplication by even higher factors is possible when very high voltages are required. In this section, we will examine the operation of several voltage multiplier circuits.

### Half-Wave Voltage Doubler

The half-wave voltage doubler is shown in Figure 4-22A. It consists of two diodes and two capacitors. It produces a DC output voltage which is approximately twice the peak value of the input AC sine wave. In this example, let's assume that the input is 115 VAC at 60 Hz. Remember this is a peak voltage of 162.6 volts.

Figure 4-22  
Half-wave voltage doubler.

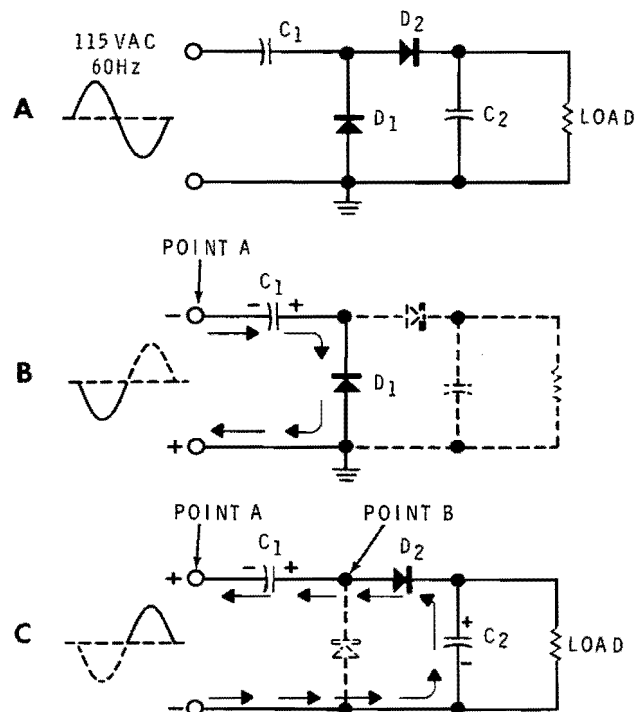


Figure 4-22B shows how the circuit responds to the negative half cycle of the input sine wave. When the voltage at point A swings negative,  $D_1$  conducts and current flows as shown by the arrows. This charges  $C_1$  to the peak value of the input sine wave. That is,  $C_1$  charges with the polarity shown to about 162 volts. Since there is no immediate discharge path for  $C_1$ , the capacitor remains charged to this level until the next half cycle.

Figure 4-22C shows the operation of the circuit during the positive half cycle of the input signal. At the peak of the cycle, point A is at +162 volts. Since  $C_1$  is also charged to 162 volts, the voltage at point B with respect to ground is

$$162 \text{ V} + 162 \text{ V} = 324 \text{ V}$$

Thus, at the peak of the cycle, the anode of  $D_2$  is at +324 volts with respect to ground. This causes  $D_2$  to conduct, charging  $C_2$ .  $C_2$  charges to about +324 volts. Notice that this is twice the peak value of the AC input.

Between the positive peaks of the sine waves,  $D_2$  is cut off because  $C_2$  holds its cathode at a high positive potential. During this period,  $C_2$  discharges through the load. Thus,  $C_2$  acts as a filter capacitor holding the voltage across the load fairly constant. Since  $C_2$  is recharged only during the positive peak of the input sine wave, the ripple frequency is 60 Hz. Consequently, this circuit is called a half-wave voltage doubler.

This type of voltage doubler has two disadvantages. First, the 60 Hz ripple frequency is hard to filter. Second, the output capacitor ( $C_2$ ) must withstand the full output voltage. This means that  $C_2$  must have a voltage rating at least twice the peak value of the AC input.

## Full-Wave Voltage Doubler

A voltage doubler which overcomes some of the disadvantages of the half-wave circuit is shown in Figure 4-23A. This circuit is called a full-wave voltage doubler.

On the positive half cycle,  $C_1$  charges through  $D_1$  to the peak value of the AC input, as shown in Figure 4-23B. That is,  $C_1$  charges to about 162 volts.

On the negative half cycle,  $C_2$  charges through  $D_2$  as shown in Figure 4-23C.  $C_2$  charges to the peak value of the AC input or to about 162 volts.

Once the capacitors are charged,  $D_1$  and  $D_2$  conduct only at the peaks of the AC input. Between the peaks,  $C_1$  and  $C_2$  discharge in series through the load as shown in Figure 4-23D. If each capacitor is charged to 162 volts, then the total voltage across the load is about 324 volts.

Recall that  $C_1$  and  $C_2$  are charged during positive and negative peaks respectively. Thus, the ripple frequency is 120 Hz since the  $C_1$ - $C_2$  network is recharged twice during each cycle. Also, notice that  $C_1$  and  $C_2$  split the output voltage. Thus, each capacitor is subjected to only one half of the output voltage. As you can see, this arrangement overcomes the two prime disadvantages of the half-wave doubler.

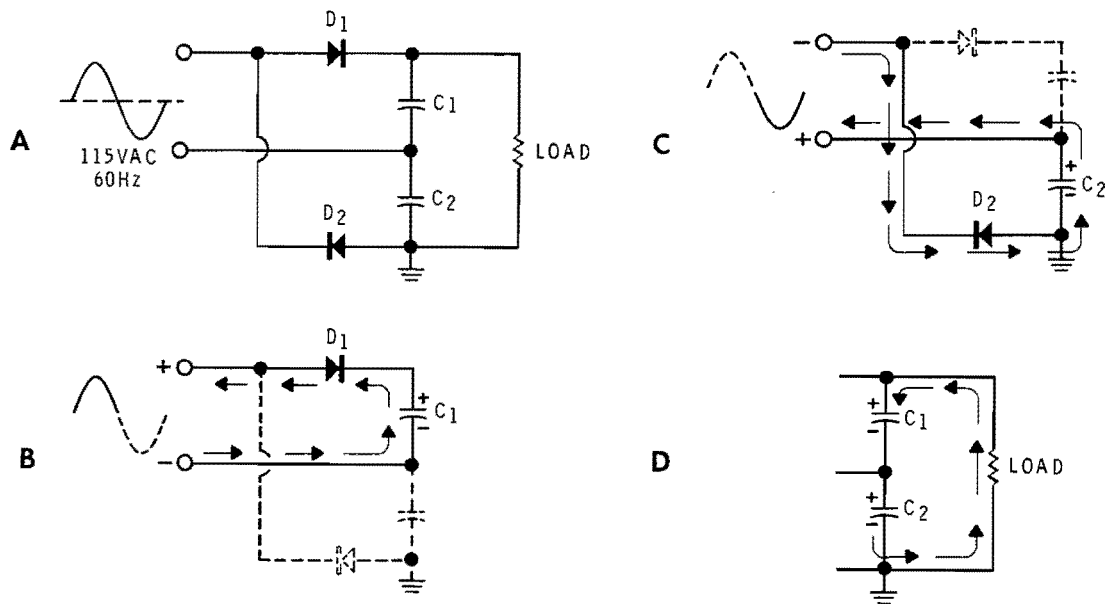


Figure 4-23  
Full-wave voltage doubler.

## Voltage Tripler

Figure 4-24 shows a circuit which produces an output voltage approximately three times the peak value of the AC input. To see how the circuit works, we must consider its operation for one and a half cycles of the input sine wave.

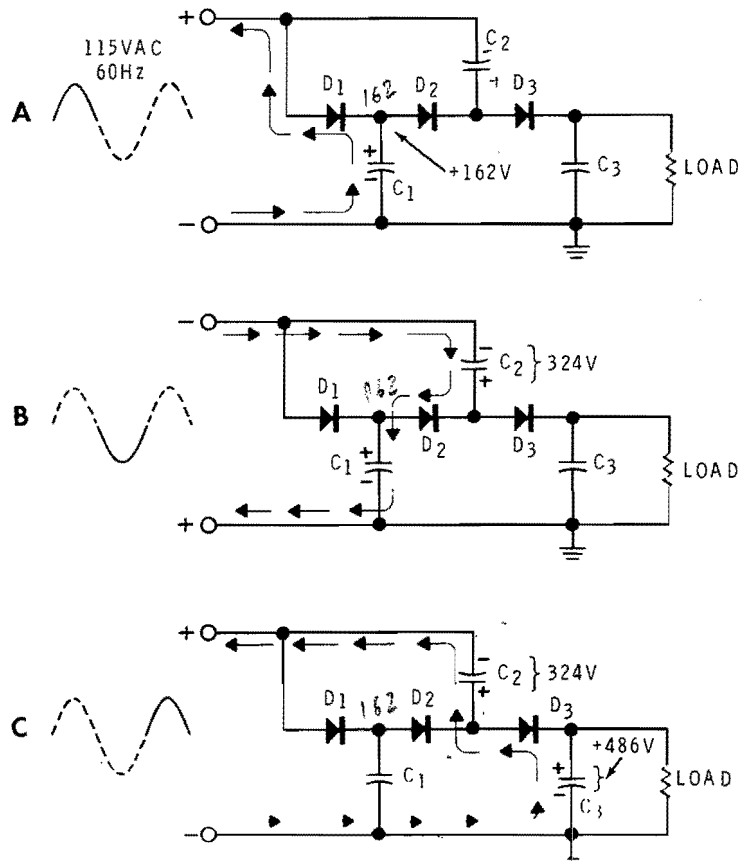


Figure 4-24  
The voltage tripler.

On the first positive half cycle, D<sub>1</sub> conducts, charging C<sub>1</sub> as shown in Figure 4-24A. C<sub>1</sub> charges to the peak of the AC sine wave, or to about 162 volts. Notice that this places the anode of D<sub>2</sub> at +162 volts.

At the peak of the negative half cycle, the top of C<sub>2</sub> is at -162 volts with respect to ground. Current flows from the -162 volts at the top of C<sub>2</sub>, through D<sub>2</sub>, to the +162 volts at the top of C<sub>1</sub>. This charges C<sub>2</sub> to 324 volts as shown in Figure 4-24B.

At the peak of the next positive half cycle, the top of  $C_2$  is at +162 volts with respect to ground. Consequently, the lower plate of  $C_2$  must be at

$$162 \text{ V} + 324 \text{ V} = +486 \text{ V}$$

That is, the voltage at the anode of  $D_3$  with respect to ground is +486 volts. Consequently, current flows as shown in Figure 4-24C. This charges  $C_3$  to +486 volts. This is the voltage that is applied across the load. Notice that this voltage is three times the peak value of the sine wave.

## Higher Order Voltage Multiplication

Voltage quadruplers and even higher order multiplication circuits are possible. However, as the voltage increases, regulation becomes a problem. Also, the current that can be provided by such a supply is limited. Consequently, higher order voltage multipliers are used only in low current applications where the value of the voltage is not critical.

## Programmed Review

37. A disadvantage of the conventional half-wave and bridge rectifiers is that when they are used without transformers, the output voltage is limited to the \_\_\_\_\_ value of the AC input.

38. (peak) This disadvantage can be overcome by the voltage doubler. For example, a half-wave voltage doubler whose input is 115 VAC (rms) can produce an output of about \_\_\_\_\_ VDC.

39. (324) One disadvantage of the half-wave voltage doubler is that its ripple frequency is \_\_\_\_\_ Hz.

40. (60) This disadvantage is overcome by the full wave voltage doubler whose ripple frequency is \_\_\_\_\_ Hz.

41. (120) Another advantage of the full-wave voltage doubler is that the \_\_\_\_\_ are subjected to only one half the total output voltage.

42. (capacitors) The tripler can provide an even higher output voltage. If the input is 115 Vrms, the output of the filter will be about \_\_\_\_\_ VDC.

(486)



## EXPERIMENT 9

### Unregulated Power Supplies

**OBJECTIVES:**

*Demonstrate the characteristics of half-wave, full-wave, and bridge rectifiers.*

*Show how the size of the filter capacitor affects the ripple amplitude and the output voltage.*

*Demonstrate the operation of the full-wave voltage doubler.*

### Introduction

An unregulated power supply consists of a rectifier and a filter. This type of power supply is widely used in applications where the DC voltage is not critical. In this experiment, you will build and experiment with several power supplies of this type.

A source of 60 Hz AC is available on the Heathkit Analog Trainer. In the center of the Trainer, you will notice three terminals labelled LINE FREQ. A center-tapped transformer inside the Trainer provides the AC signals to these points. About 30 VAC is available between the two outer terminals. Also, 15 VAC is available between each outer terminal and the center terminal.

So that the drawings will agree with those shown earlier, the transformer is shown in the schematic diagrams in this experiment. Just remember that this transformer is inside the Trainer. Your circuits will be connected to the secondary leads of this transformer via the LINE FREQ terminals. **Read the entire procedure before performing this experiment.**

### Material Required

- Heathkit Analog Trainer
- Oscilloscope (dual channel preferred)
- Multimeter
- 4—Silicon diodes (57-27) 1N2071
- 2—10 microfarad, electrolytic capacitor
- 1—100 microfarad, electrolytic capacitor
- 1—5600 ohm resistor (green-blue-red-gold)
- 1—22 kilohm resistor (red-red-orange-gold)

## Procedure

1. With the Trainer turned off, construct the circuit shown in Figure 4-25A. Make certain that the banded end of the diode (cathode) connects to  $R_L$ .

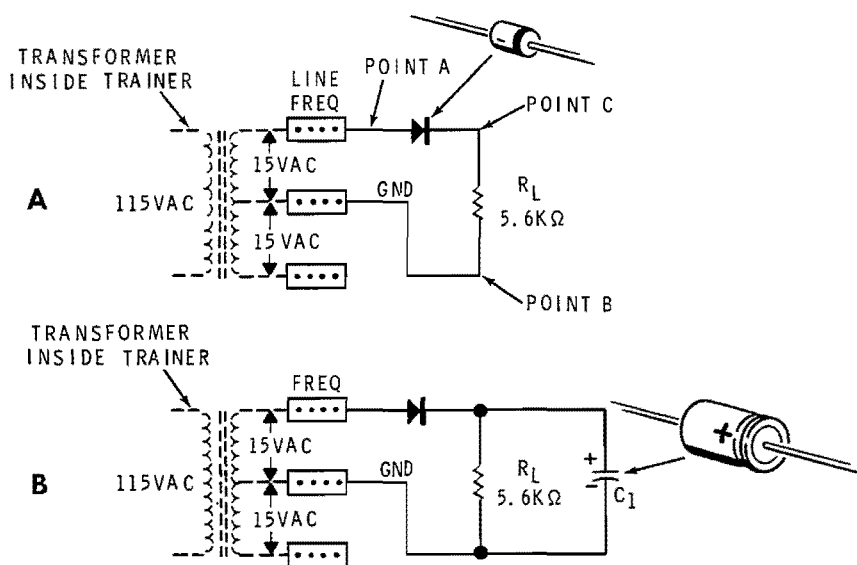


Figure 4-25  
Circuits for steps 1 through 17.

2. Turn on your oscilloscope and set the controls as follows:

TRIGGERING—INT/EXT/LINE switch to the LINE position.

TRIGGERING—SLOPE switch to the "+" position.

TRIGGERING—AC/DC switch to the AC position.

TIME/CM—Selector to 2 ms position.

AC/GND/DC—Input switch to the AC position.

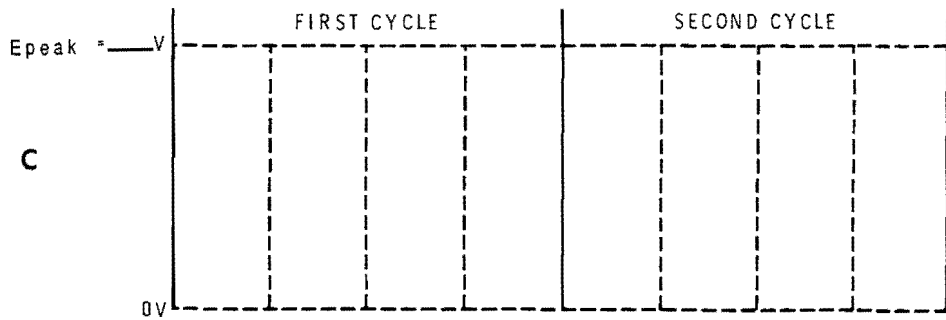
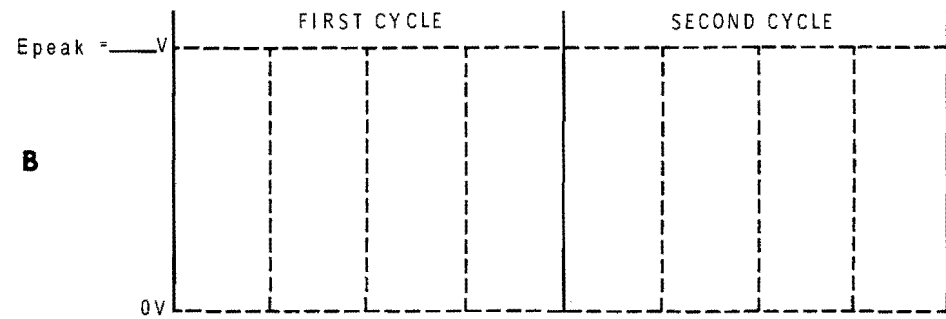
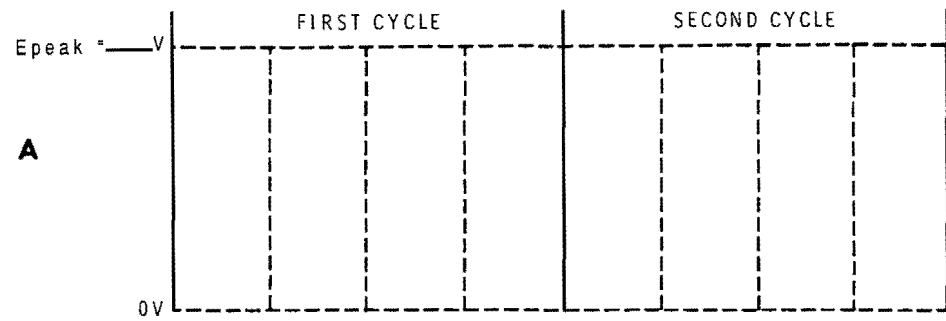


Figure 4-26  
Half-wave rectifier waveforms.

3. Turn on the Trainer. Connect the ground lead of the oscilloscope to point B in the circuit. Connect the vertical input lead of the oscilloscope to point A. Verify that the input waveform is a distorted sine wave. The distortion is caused by the power transformer in the Trainer. Measure the time of one cycle of this sine wave.  $T =$  \_\_\_\_\_ seconds. Use the formula  $F = \frac{1}{T}$  to compute the frequency of this AC signal.  $F =$  \_\_\_\_\_ Hz.
4. Measure the peak-to-peak amplitude of this AC signal.  $E_{p-p} =$  \_\_\_\_\_ volts. Compute the peak amplitude by dividing this value by 2.  $E_{peak} =$  \_\_\_\_\_ volts.
5. Turn the Trainer off. Connect the oscilloscope across  $R_L$  with the ground lead connecting to point B. Set the AC/GND/DC input switch on the oscilloscope to the DC position. Notice the position of the trace on the oscilloscope. Since the Trainer is turned off, this position of the trace represents 0 volts. Turn the Trainer on and notice the position and shape of the waveform. Draw two cycles of this waveform in Figure 4-26A.
6. Using the oscilloscope, measure the peak amplitude of the waveform. Fill in this voltage in the space provided in Figure 4-26A.
7. Using the formula  $E_{avg} = \frac{E_{peak}}{\pi}$  compute the average output voltage.  $E_{avg} =$  \_\_\_\_\_ volts.
8. This is the voltage to which a multimeter responds. Connect your multimeter across  $R_L$  with the negative lead connected to point B. Measure the output voltage.  $E_{avg} =$  \_\_\_\_\_ VDC. Is the measured value approximately the same as that computed in step 7? \_\_\_\_\_.
9. Turn the Trainer off and add a 10 microfarad capacitor in parallel with  $R_L$  as shown in Figure 4-25B. Make certain that the "+" side of the capacitor is connected to the diode cathode.
10. With the oscilloscope connected across  $R_L$ , note the position of the trace on the oscilloscope. This position of the trace represents 0 VDC.

11. Turn the Trainer on. Does the trace move up or down from the 0 volt position? \_\_\_\_\_
12. Draw two cycles of this waveform in Figure 4-26B. Does any point in the waveform fall as low as 0 volts? \_\_\_\_\_. Is the peak-to-peak amplitude of the ripple pulses as high as those drawn in Figure 4-26A? \_\_\_\_\_.
13. Connect your multimeter across  $R_L$  and measure  $E_{avg}$ .  $E_{avg} =$  \_\_\_\_\_ VDC. Compare this with the value of  $E_{avg}$  measured in step 8. Adding the capacitor causes  $E_{avg}$  to \_\_\_\_\_.  
increase/decrease
14. Turn the Trainer off. Replace the 10 microfarad capacitor with a 100 microfarad capacitor. Make certain that the "+" side of the capacitor is connected to the diode cathode.
15. Switch the Trainer on and off several times. Notice the position of the trace when the Trainer is off. This represents 0 volts. Notice the position of the trace when the Trainer is on.
16. Draw the observed waveform, in Figure 4-26C. What is the value of  $E_{peak}$ ? \_\_\_\_\_ volts. What is the computed value of  $E_{avg}$ ? \_\_\_\_\_ VDC.
17. Connect your multimeter across  $R_L$  and measure  $E_{avg}$ .  $E_{avg} =$  \_\_\_\_\_ VDC. Is  $E_{avg}$  approximately the same value as  $E_{peak}$ ? \_\_\_\_\_.
18. Turn the Trainer off. Reverse the diode and the capacitor as shown in Figure 4-27. The voltage at point C is now \_\_\_\_\_ with respect to point B.

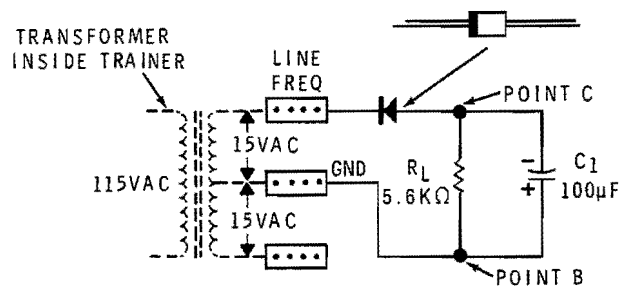


Figure 4-27  
Circuit for step 18.

## Discussion

In this part of the experiment, you built a simple half-wave rectifier. In step 3, you observed that the AC input signal has a period of 0.0166 seconds. This means that the input frequency is 60 Hz. In step 4, you measured the peak-to-peak voltage of the input. You should have found it to be about 48 volts. The actual value may be slightly higher or lower depending on the line voltage. The peak voltage of the AC input is  $\frac{48 \text{ V}}{2}$ , or 24 volts.

Next you observed and drew the voltage across  $R_L$ . Your drawing should appear somewhat like that shown in Figure 4-28A. Notice that  $E_{\text{peak}}$  is about 24 volts. By computation, you determined that  $E_{\text{avg}}$  is about 7.5 volts. You then verified this by measuring  $E_{\text{avg}}$ . The measured value should agree closely with the computed value.

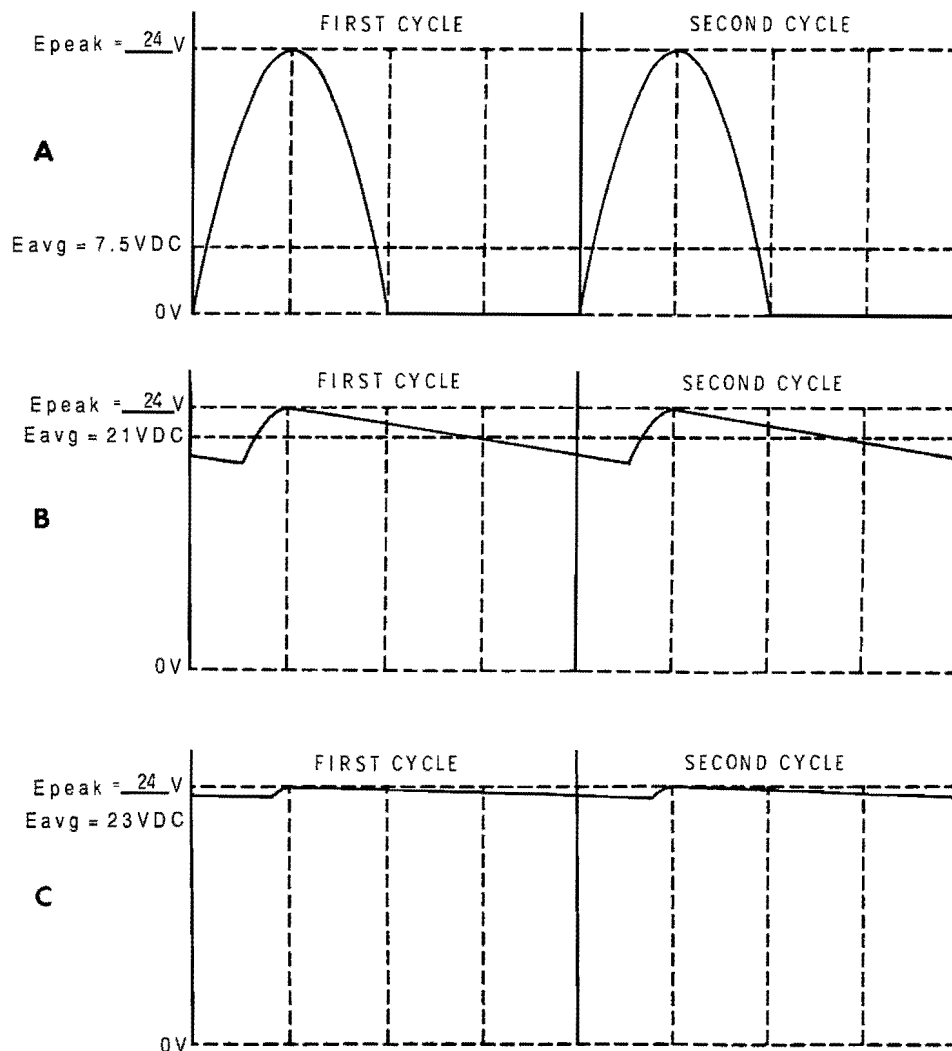


Figure 4-28

Waveforms observed in half-wave rectifier.

You modified the circuit by adding a  $10\ \mu\text{F}$  capacitor. The output should have changed as shown in Figure 4-28B. Notice that  $E_{\text{avg}}$  increased while the ripple amplitude decreased.

In step 14, you replaced the  $10\ \mu\text{F}$  capacitor with a  $100\ \mu\text{F}$  capacitor. This further increased  $E_{\text{avg}}$  while holding the ripple to a very low amplitude. The DC output should appear almost constant, as shown in Figure 4-28C.

Finally, you reversed the diode. This caused the power supply to produce a negative output voltage. Because the polarity of the output voltage reversed, the polarity of the filter capacitor had to be reversed also.

### Procedure (Continued)

19. With the Trainer turned off, construct the circuit shown in Figure 4-29A. Make certain that the diodes are connected exactly as shown.

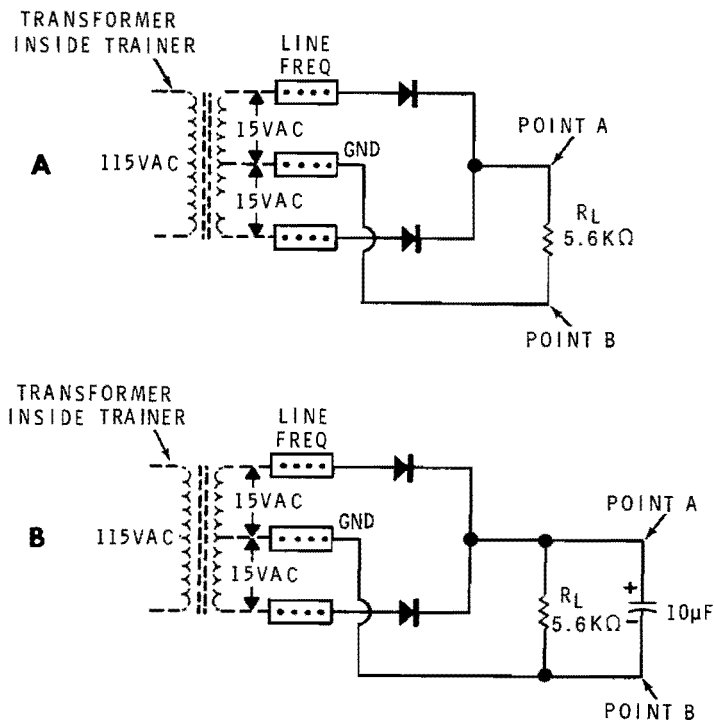


Figure 4-29

Circuit for steps 19 through 30.

20. Connect the ground lead of the oscilloscope to point B. Connect Channel 1 to point A.

21. Turn the Trainer on and observe the voltage across  $R_L$ . Draw two cycles of the output waveform in Figure 4-30A. What is the ripple frequency?  
\_\_\_\_\_ Hz.

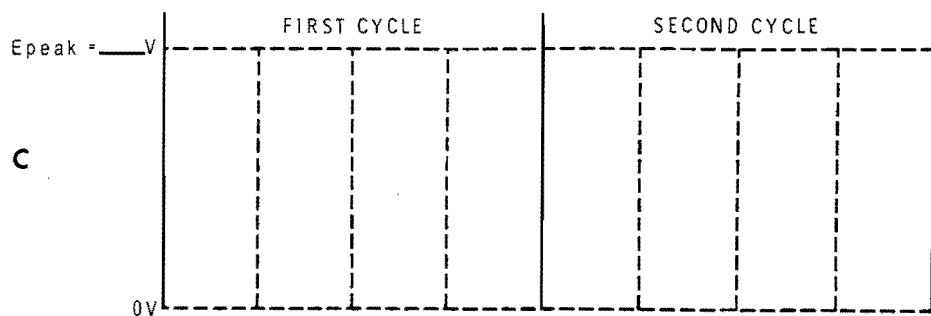
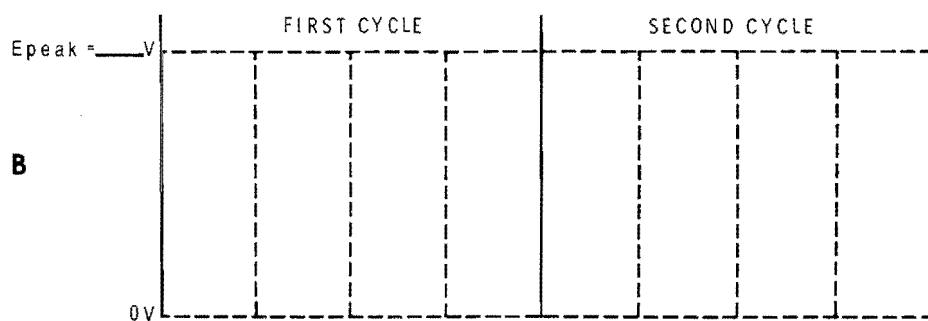
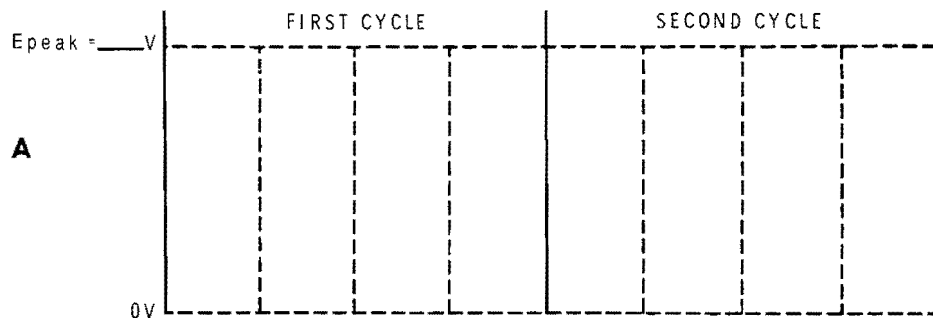


Figure 4-30  
Full-wave rectifier waveforms.



22. Using the oscilloscope, measure  $E_{peak}$ . Fill in this voltage in the space provided in Figure 4-30A. Compare  $E_{peak}$  in this circuit with the value of  $E_{peak}$  found earlier in Figure 4-26A.
23. Using the formula  $E_{avg} = 2 \times \frac{E_{peak}}{\pi}$ , compute the value of  $E_{avg}$ .  $E_{avg} =$  \_\_\_\_\_ VDC.
24. Connect your multimeter across  $R_L$  with the negative lead connected to point B. The measured value of  $E_{avg}$  is \_\_\_\_\_ VDC.
25. Compare this value of  $E_{avg}$  with that of the half wave rectifier which you measured in step 8.
26. Turn the Trainer off. Connect a 10 microfarad capacitor in parallel with  $R_L$  as shown in Figure 4-29B. Turn the Trainer on and observe the waveform across  $R_L$ .
27. Draw two cycles of the waveform in Figure 4-30B. Notice the change in the amplitude of the ripple. Notice the change in  $E_{avg}$ .
28. Measure  $E_{avg}$  with the multimeter.  $E_{avg} =$  \_\_\_\_\_ VDC.
29. Turn the Trainer off. Replace the 10 microfarad capacitor with a 100 microfarad capacitor. Turn the Trainer on and observe the waveform across  $R_L$ . Draw the waveform in Figure 4-30C. Notice the change in the ripple and  $E_{avg}$ .
30. Measure  $E_{avg}$  with the multimeter.  $E_{avg} =$  \_\_\_\_\_.

### Discussion

In this part of the experiment, you built a full-wave, center tapped rectifier with a secondary voltage twice that used for the half-wave rectifier. You found that its peak output voltage was the same as the peak voltage produced by the half-wave rectifier. However, you saw that the higher ripple frequency was easier to filter. You drew output waveforms and measured the average output voltage with no capacitor, with a 10 microfarad capacitor, and with a 100 microfarad capacitor. Your results should approximate those shown in Figure 4-31.

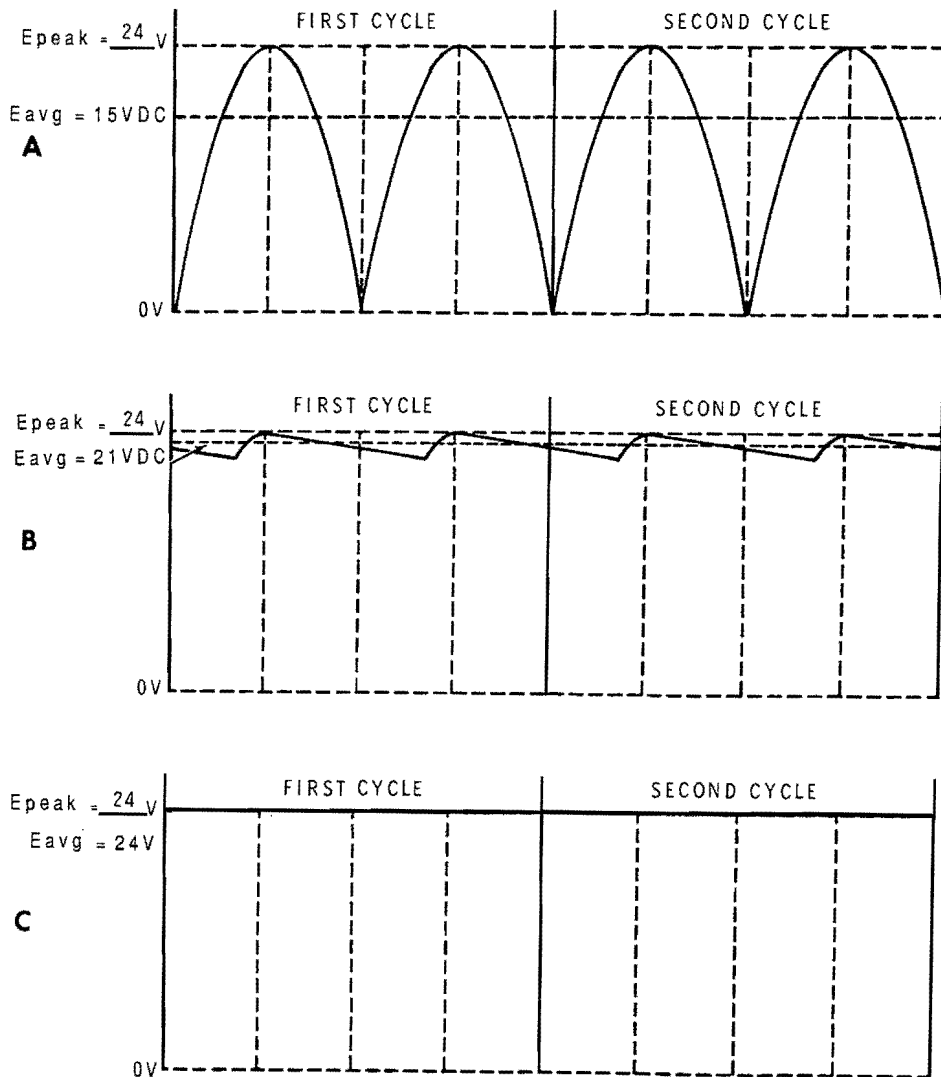


Figure 4-31  
Waveforms observed with full-wave rectifier.

### Procedure (Continued)

31. With the Trainer turned off, construct the circuit shown in Figure 4-32A. Make certain that the diodes are connected exactly as shown.

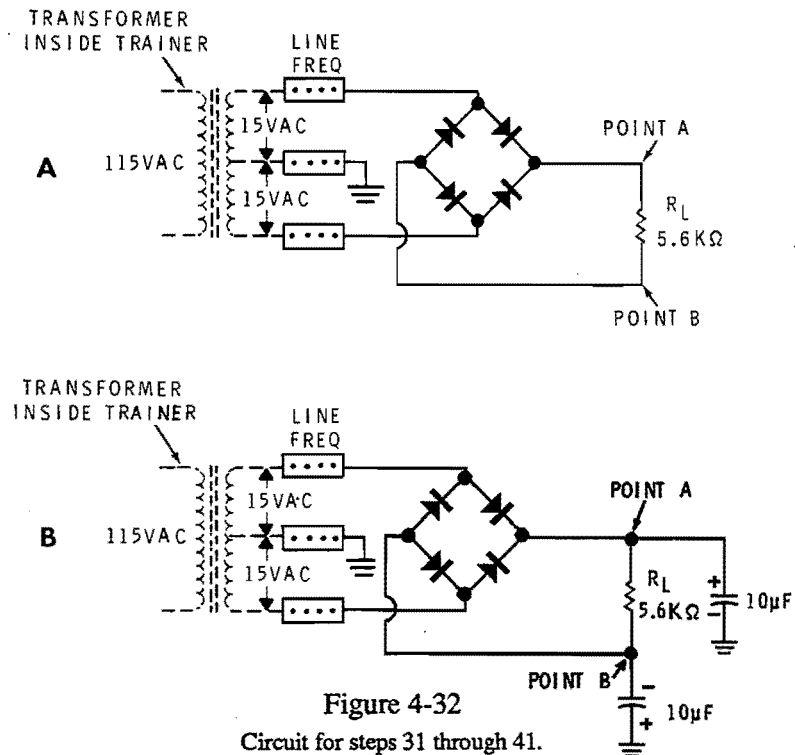


Figure 4-32  
Circuit for steps 31 through 41.

32. Connect the ground lead of the oscilloscope to ground. Connect Channel 1 to point A.
33. Turn the Trainer on and observe the voltage across  $R_L$ ; first with the oscilloscope connected to point A and then to point B. Draw two cycles of the output waveform at point A in Figure 4-33A. What is the ripple frequency? \_\_\_\_\_.
34. Using the oscilloscope, measure  $E_{peak}$ . Fill in this voltage in the space provided in Figure 4-33A. Compare  $E_{pk}$  in this circuit with the values of  $E_{peak}$  found earlier in Figure 4-26A and Figure 4-30A. Remember to add the negative and positive peak values together.
35. Using the formula  $E_{avg} = 2 \times \frac{E_{peak}}{\pi}$ , compute the value of  $E_{avg} =$  \_\_\_\_\_ volts.
36. Connect your DC voltmeter to  $R_L$  with the negative lead connected to ground. Point B will be a negative voltage and point A will be a positive voltage.  $E_{RL}$  is the difference of potential between points A and B.
- point B = - \_\_\_\_\_ volts.  
point A = + \_\_\_\_\_ volts.  
 $E_{RL} = +V_A - (V_B) =$  \_\_\_\_\_ volts.

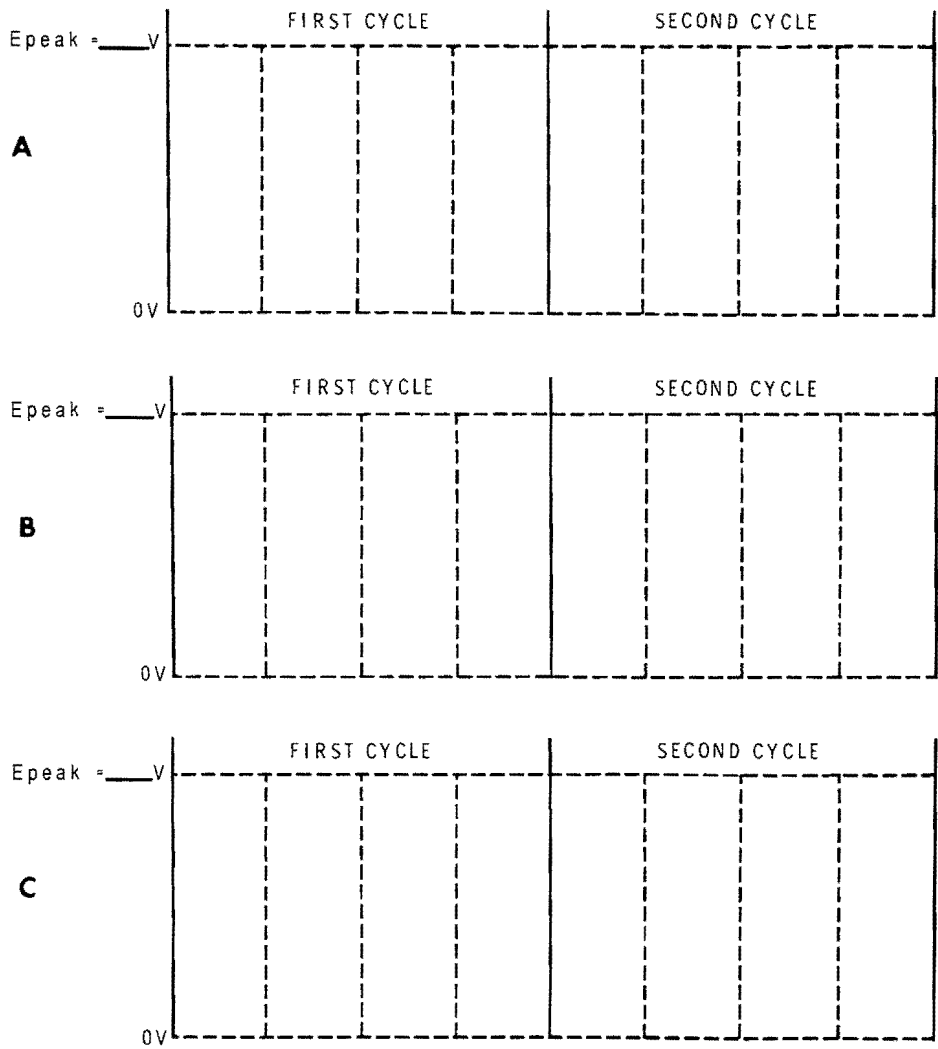


Figure 4-33  
Bridge rectifier waveforms.

37. Compare this value of  $E_{avg}$  with that of the half-wave rectifier measured in step 8. Compare it with the  $E_{avg}$  of the full-wave rectifier (step 24).
38. Turn the Trainer off. Connect 10 microfarad capacitors from point A to ground and point B to ground with polarities as shown in Figure 4-32B. Turn the Trainer on and observe the waveforms at points A and B. Draw two cycles of the point A waveform in Figure 4-33B. Notice the change in the amplitude of the ripple. Notice the change in  $E_{avg}$ .
39. Measure points A and B with the multimeter.

$$E_{avg} = V_A - (V_B) = \text{_____ VDC.}$$

40. Turn off the Trainer. Replace the 10 microfarad capacitor at point A with a 100 microfarad capacitor. Turn the Trainer on and observe the waveform at point A. Draw the waveform in Figure 4-33C. Notice the change in the ripple and  $E_{avg}$ . (Remember that  $E_{avg}$  is the difference of potential across  $R_L$ , so you will have to turn off the Trainer and move the 100 microfarad capacitor to point B before you measure point B. Be sure to observe proper polarities.)
41. Measure  $E_{avg}$  with the multimeter.  $E_{avg} = \underline{\hspace{2cm}}$  VDC. (First measure point B, then move the capacitor and measure point A.)

### Discussion

Here you built a bridge rectifier and found that its ripple frequency is 120 Hz. You found that its peak output voltage is twice that of the half-wave rectifier and twice that of the full-wave, center-tapped rectifier. You observed the ripple amplitude and the average output voltage for different filtering conditions. Figure 4-34 illustrates the results you should have found.

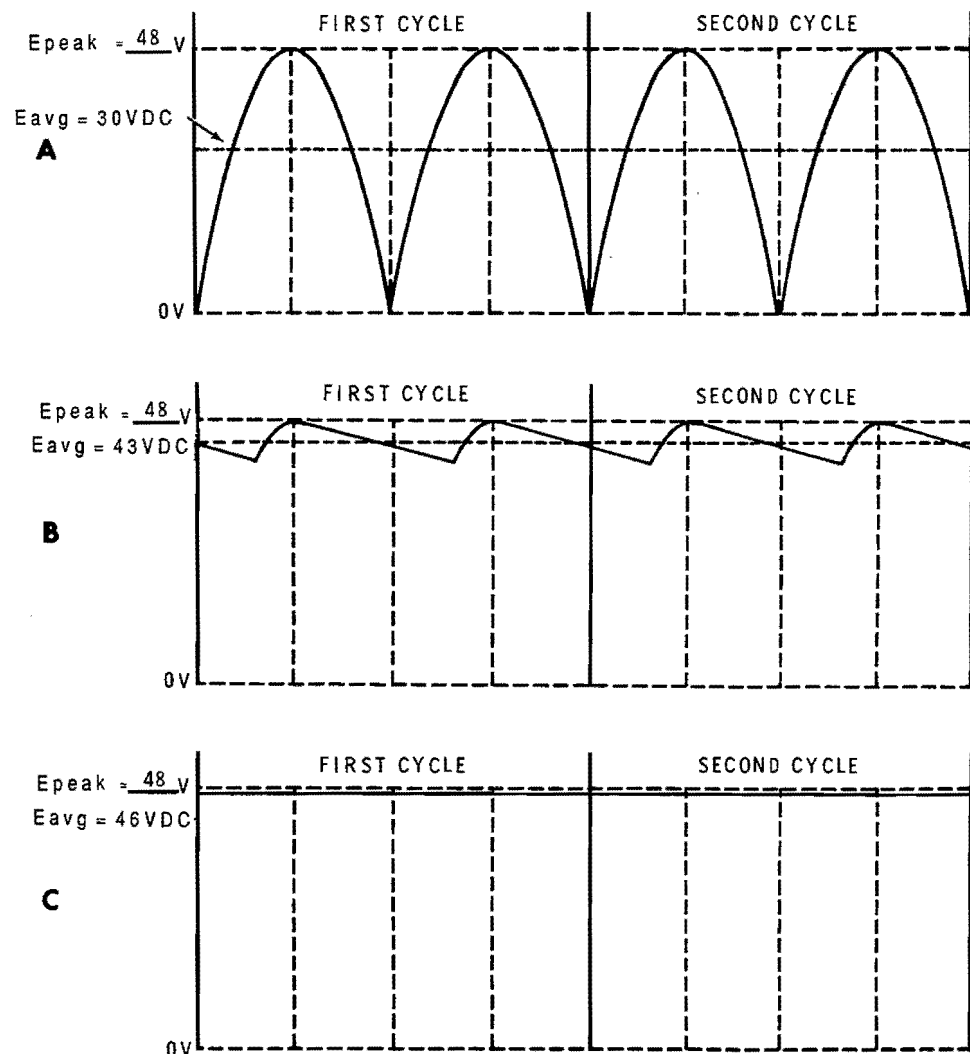


Figure 4-34

Composite of waveforms observed in bridge rectifier.

**Procedure (Continued)**

42. Turn the Trainer off and construct the circuit shown in Figure 4-35. Be sure to observe polarity when connecting the diodes and capacitors.

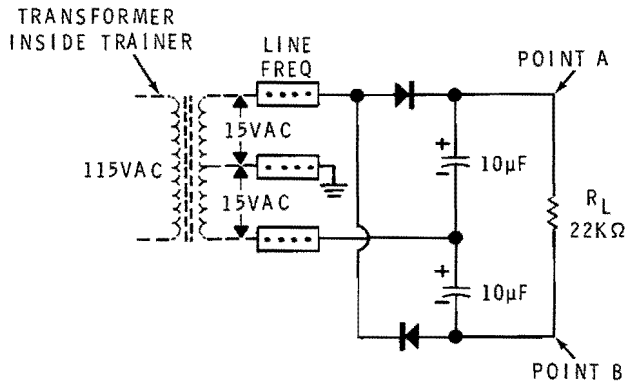


Figure 4-35  
Circuit for steps 42 through 44.

43. Connect your multimeter negative lead to ground and measure the input voltage to the diodes.  $V_{AC} =$  \_\_\_\_\_ volts.

Measure AC voltage at points A and B.

$$E_A = \text{_____ VAC.}$$

$$E_B = \text{_____ VAC.}$$

44. With your multimeter referenced to ground and adjusted to measure -DC, measure the -DC voltage at point B.  $E_B =$  \_\_\_\_\_ VDC. Then set it to measure +DC and measure the voltage at pin A.  $E_A =$  \_\_\_\_\_ VDC.

### Discussion

In this experiment you proved that, with 15 volts AC applied to the full-wave voltage doubler, the voltage at point A referenced to ground was equal to approximately +37.5 VDC and point B referenced to ground was approximately -37.5 VDC. With an AC output of 15 volts rms, the output voltage across  $R_L$  was the difference of potential between point B to point A or 75 VDC.

## VOLTAGE REGULATION

Ideally, the output of a power supply should be a constant voltage. Unfortunately, this is difficult to achieve. There are two factors which can cause the output voltage to change.

First, the AC line voltage is not constant. The so called 115 VAC can vary from about 105 VAC to 125 VAC. This means that the peak AC voltage to which the rectifier responds can vary from about 148 V to 177 V. As you can see, the AC line voltage alone can be responsible for a nearly 20 percent change in the DC output voltage.

The second thing that can change the DC output voltage is a change in the load resistance. In complex electronic equipment, the load can change as circuits are switched in and out. In a TV receiver, the load on a particular power supply may depend on the brightness of the scene, control settings, or even the channel selected.

Variations in load resistance tend to change the applied DC voltage because the power supply has a certain internal resistance. If the load resistance decreases, the internal resistance of the supply drops more voltage. This decreases the voltage across the load.

Many circuits are designed to operate with a particular supply voltage. When the supply voltage changes, the operation of the circuit may be adversely affected. Consequently, some types of equipment must have power supplies which produce the same output voltage regardless of changes in the load resistance or changes in the AC line voltage. To achieve this, a circuit called a voltage regulator is often added at the output of the filter.

### Load Regulation

A commonly used figure of merit for a power supply is its percent regulation. This figure gives us an indication of how much the output voltage changes over a range of load resistance values. Percent of regulation is determined by the formula

$$\% \text{ Reg} = \frac{E_{\text{no-load}} - E_{\text{full-load}}}{E_{\text{full-load}}} \times 100$$



This equation compares the change in output voltage at the two loading extremes to the voltage produced at full loading. For example, assume that a power supply produces 12 volts when the load current is zero. If the output voltage drops to 10 volts when full load current flows, then the percent regulation is

$$\% \text{ Reg} = \frac{E_{no-load} - E_{full-load}}{E_{full-load}} \times 100 = \frac{12 - 10}{10} \times 100 = \frac{2}{10} \times 100 = 20\%$$

Ideally, the output voltage should not change over the full range of operation. That is, a 12-volt power supply should produce 12 volts at no load, at full load, and at all points in between. In this case, the percent regulation would be

$$\% \text{ Reg} = \frac{E_{no-load} - E_{full-load}}{E_{full-load}} \times 100 = \frac{12 - 12}{12} \times 100 = \frac{0}{12} \times 100 = 0\%$$

Thus, 0% load regulation is the ideal situation. It means that the output voltage is constant under all load conditions. While we strive for 0% load regulation, in practical circuits, we must settle for something less. Even so, by using a voltage regulator, we can hold the percent regulation to a very low value.

## The Zener Regulator

Recall that a zener diode is a special type of silicon diode designed to be used in the breakdown mode of operation. Let's briefly review the operation of the zener diode.

Figure 4-36A shows a test circuit for measuring the characteristics of the zener diode. Figure 4-36B shows the voltage-versus-current characteristics of the diode. We will be concerned only with the reverse biased section of the curve. This part of the curve is formed by setting the variable voltage source to different values of reverse bias and plotting the voltage and current measured by the two meters.

When the diode is initially reverse biased, only a slight reverse current flows. As the reverse voltage is increased, a barely noticeable increase in current occurs. However, there is a limit to how much reverse voltage the diode can withstand without breaking down. The breakdown voltage is represented by  $E_B$ . Once the voltage reaches this point, a slight additional increase in voltage will cause a large increase in current. This is called zener breakdown. For ordinary diodes, this is a one way trip. When exposed to its breakdown voltage, most diodes will be destroyed. However, the zener diode is made to withstand constant operation in this mode.

Notice that the voltage across the diode remains practically constant after its breakdown voltage is reached. From this point on, the zener diode holds the voltage across the voltmeter nearly constant regardless of further increases in the variable supply voltage. In much the same way, the zener diode can be used to hold the voltage across a load constant. This is the basis for one type of voltage regulator.

The breakdown voltage of a zener can be controlled by the manufacturing process. Zeners are available with breakdown voltages from about 2 volts to over 200 volts.

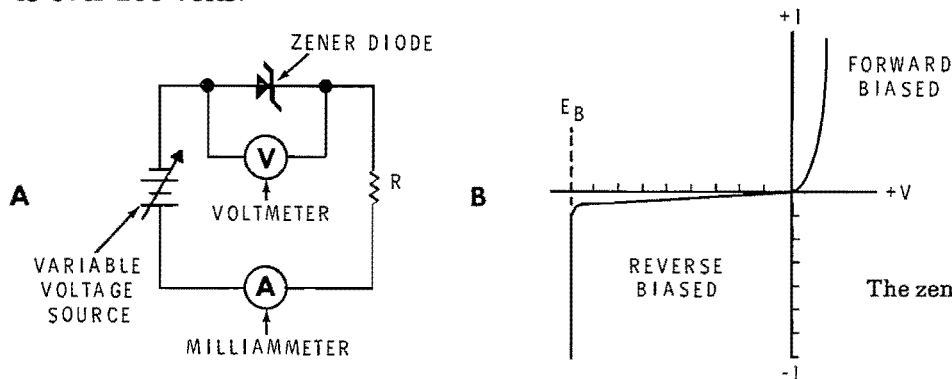


Figure 4-36  
The zener diode and its characteristics curve.

A zener diode regulator circuit is shown in Figure 4-37. Notice that the diode is connected in series with a resistor and that an unregulated DC input voltage is applied to these two components. The input voltage is connected so that the zener diode is reverse biased. The series resistor allows enough current to flow through the diode so that the device operates within its zener breakdown region. In order for this circuit to function properly, the input DC voltage must be higher than the zener breakdown voltage. The voltage across the diode will then be equal to the diode's zener voltage rating. The voltage across the resistor will be equal to the difference between the diode's zener voltage and the input DC voltage.

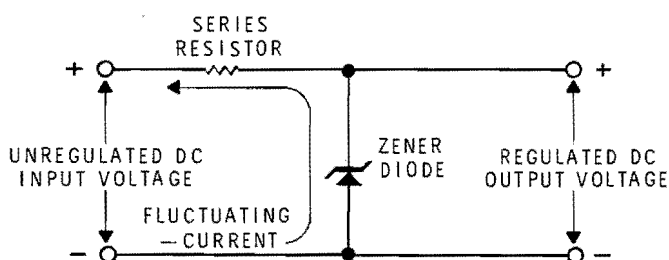


Figure 4-37  
Basic zener regulator.

The input DC voltage is unregulated. This voltage will periodically increase above or decrease below its specified value. Therefore, it causes the DC current flowing through the zener diode and the series resistor to fluctuate. However, the diode is operating within its zener voltage region. Therefore, a wide range of current can flow through the diode while its zener voltage changes only slightly. Since the diode's voltage remains almost constant as the input voltage varies, the change in input voltage appears across the series resistor. Remember that these two components are in series and the sum of their voltage drops must always be equal to the input voltage.

The voltage across the zener diode is used as the output voltage for the regulator circuit. The output voltage is therefore equal to the diode's zener voltage. Since this voltage is held to a nearly constant value, it is referred to as a regulated voltage. The output voltage of the regulator circuit can be changed by using a zener diode with a different zener voltage rating. Of course, you must also select a series resistor that will allow the diode to operate within its zener breakdown region.

Regulator circuits like the one shown are used to provide a constant voltage. When you design a regulator circuit, you must also consider the range of currents that the regulator supplies.

When a load is connected to the output of the regulator circuit, the regulator must supply current as well as voltage to the load. This situation is shown in Figure 4-38. Notice that the load resistor ( $R_L$ ) requires a specific load current ( $I_L$ ) which is determined by its resistance and the output voltage. The current through the zener diode ( $I_Z$ ) combines with  $I_L$  and flows through the series resistor ( $R_S$ ). The value of  $R_S$  is chosen so that  $I_Z$  remains at a sufficient level to keep the diode within its breakdown region. At the same time, it must allow the required value of  $I_L$  to flow through the load.

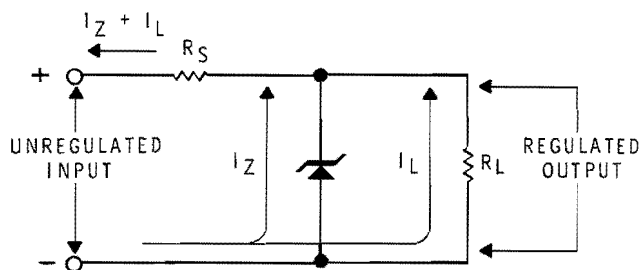


Figure 4-38  
Zener diode voltage regulator.

If the load current increases or decreases because  $R_L$  changes in value, it might appear that the output voltage would change. However, the zener diode prevents this from happening. When  $R_L$  increases in value,  $I_L$  decreases and the voltage across  $R_L$  tries to increase. However, the zener diode opposes this change by conducting harder so that the total current ( $I_Z + I_L$ ) flowing through  $R_S$  remains constant. The same voltage is therefore maintained across  $R_S$  and also across the load. Whenever  $I_L$  increases,  $I_Z$  decreases by approximately the same amount to hold  $I_Z + I_L$  almost constant and therefore maintain a constant output voltage. In this way the zener diode regulator is able to maintain a relatively constant output voltage even though changes in output current occur. The circuit therefore regulates for changes in output current as well as for changes in input voltage.

To further illustrate the use of the zener regulator circuit, let's design a regulator using some basic design rules. First, assume that the unregulated input voltage varies from 14.6 volts to 18 volts. The regulated output voltage will be 10 volts. The output load current will vary from 30 to 50 milliamperes. To satisfy these conditions, we will need a zener diode with a zener voltage rating of 10 volts. We must also use a series resistor ( $R_S$ ) that will allow the diode to operate properly with the above mentioned changes in input voltage and output current. The required value of  $R_S$  can be determined by using the equation

$$R_S = \frac{E_{in(min)} - E_Z}{1.1 I_{L(max)}}$$

Where  $E_{in(min)}$  = minimum value of input voltage;  
 $E_Z$  = zener voltage;  
 $I_{L(max)}$  = maximum value of load current.

By subtracting  $E_Z$  from  $E_{in(min)}$  we obtain the minimum voltage that will be dropped across  $R_S$ . This voltage must then be divided by  $1.1 I_{L(max)}$  to determine the required value of  $R_S$ . Multiplying 1.1 times  $I_{L(max)}$  is the same as increasing the value of  $I_{L(max)}$  by 10 percent. This additional current is used as a safety factor. It insures that the current does not drop below the level needed to keep the diode operating within the breakdown region when the input voltage is minimum. Remember that the total current is equal to  $I_Z + I_L$ . When  $I_L$  is at a maximum value,  $I_Z$  must decrease to a minimum value. By specifying a load current that is 10 percent higher than required, we are insuring that this additional current will always flow through the diode.

Substituting actual values in the equation shows that the series resistance must be equal to

$$R_S = \frac{14.6 - 10}{1.1(.05)} = 83.6 \Omega$$

Since this is not a standard resistance value, we must select the next lower value of resistance, which is 82 ohms. A lower value is selected to insure that  $I_Z$  will not drop below the level necessary to keep the diode operating within its zener breakdown region.

Now we must determine the maximum power that the zener diode will be required to dissipate. The maximum power dissipated by the zener diode can be calculated by using the equation

$$P_{Z(max)} = E_Z \left[ \frac{E_{in(max)} - E_Z}{R_S} - I_{L(min)} \right]$$

Where:  $P_{Z(max)}$  = maximum power dissipated by zener diode;  
 $E_{in(max)}$  = maximum value of input voltage;  
 $I_{L(min)}$  = minimum value of load current.

This equation states that  $P_{Z(max)}$  is equal to  $E_Z$  times the maximum value of zener current. However, the maximum zener current is determined by finding the maximum current through  $R_S$  and then subtracting  $I_{L(min)}$  from this maximum current. The maximum current through  $R_S$  is found by subtracting  $E_Z$  from  $E_{in(max)}$  and dividing the difference by  $R_S$ .

Substituting actual values into this equation shows that the zener diode must dissipate a maximum power of

$$P_{Z(max)} = 10 \left( \frac{18 - 10}{82} - 0.03 \right) = 0.676 \text{ watts}$$

In practice, we would use a zener diode with a higher power dissipation rating to provide an additional safety margin. A 1-watt zener would probably be used.

## Programmed Review

43. Many power supplies have a special circuit which holds the output voltage fairly constant. This circuit is called a voltage \_\_\_\_\_.

44. (regulator) A voltage regulator is necessary since the DC output voltage will tend to \_\_\_\_\_ when the line voltage increases.  
increase/decrease

45. (increase) Also, the output voltage will tend to \_\_\_\_\_ when the load current increases.  
increase/decrease

46. (decrease) A figure of merit which indicates how widely the output voltage can change is called the \_\_\_\_\_ of regulation.

47. (percent) The percent regulation should be as \_\_\_\_\_ as possible.  
low/high

48. (low) In fact, a perfect power supply should have \_\_\_\_\_% regulation.

49. (0%) If a power supply produces 15 VDC with no load, and 12 VDC with a full load, the percent of regulation is \_\_\_\_\_%.

50. (25%) One of the simplest types of voltage regulators uses a \_\_\_\_\_ diode.

51. (zener) The zener diode is reverse biased so that it operates in its breakdown or zener region. Once biased in this region, the \_\_\_\_\_ across the diode remains practically constant regardless of changes in current.

52. (voltage) Refer to Figure 4-38. Assume that the unregulated DC input voltage can vary from 9 volts to 18 volts. Also assume that the load current can vary from 0 to 30 mA. Finally, assume that the output voltage should be 5.1 volt. For this circuit, we would choose a zener with a zener voltage of \_\_\_\_\_ volts.

53. (5.1) This is necessary since the output voltage must be 5.1 volts. Using the equation discussed earlier, the value of  $R_s$  should be \_\_\_\_\_ ohms.

54. (118) However, because this is not a standard resistor value, a 100 ohm resistor would probably be used. The maximum voltage dropped by this resistor is the difference between the maximum input voltage and the regulated output. The resistor drops \_\_\_\_\_ volts.



55. (12.9) The maximum current through the resistor is 33 mA. Using the formula  $P = I E$ , the maximum power dissipated by the resistor is \_\_\_\_\_ watts.

56. (0.426) The next larger standard wattage would be used. Thus,  $R_s$  is a 100 ohm, \_\_\_\_\_ watt resistor.

57. (1/2) The maximum power dissipated by the zener can be determined using the formula given earlier.  $P_{Z(max)} =$  \_\_\_\_\_ watts. Remember,  $R_s = 100$  ohms.

(0.658) As a safety factor, a 1 watt zener would probably be used.

## SERIES VOLTAGE REGULATION

There are two basic types of voltage regulators: series regulators and shunt regulators. The zener diode regulator discussed in the previous section is called a shunt regulator, since the zener is connected in parallel (shunt) with the load. We will discuss more complex forms of shunt regulators later; but for now, let's examine the operation of several different series regulators.

In the series regulator, a control device is placed in series with the load. The resistance of the control device is automatically adjusted so that the voltage across the load remains constant.

Figure 4-39 shows how this works.  $E_{in}$  represents the unregulated DC input from the rectifier-filter circuit.  $R_{int}$  represents the internal resistance of the rectifier-filter.  $R_{var}$  represents the control device. Since its resistance will change as conditions change, it is shown as a variable resistor. In reality, it is usually a silicon transistor.  $E_{out}$  is the regulated voltage across the load. Let's see how this voltage is held constant even though the line voltage or the load current changes.

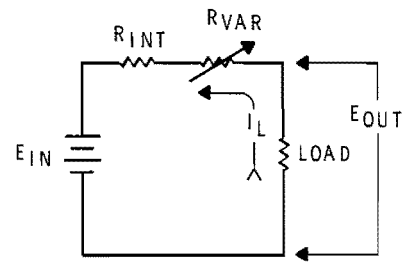


Figure 4-39  
Basic series regulator.

Let's assume that the line voltage applied to the rectifier increases. This will cause the unregulated DC input voltage ( $E_{in}$ ) to increase. When  $E_{in}$  increases,  $E_{out}$  will attempt to increase. However, if the value of  $R_{var}$  can be made to increase along with  $E_{in}$ ,  $E_{out}$  can be held constant. If  $E_{in}$  increases by 10%, the resistance of  $R_{var}$  will increase proportionally, dropping more voltage. This leaves less of  $E_{in}$  to be dropped across the load. Thus,  $E_{out}$  will remain fairly constant regardless of changes in  $E_{in}$ .

The series regulator can also compensate for changes in the load current. If the load current increases,  $R_{int}$  and  $R_{var}$  will tend to drop more voltage, leaving less voltage across the load. That is,  $E_{out}$  will tend to decrease. However, if the resistance of  $R_{var}$  can be made to decrease just as the current increases, then the voltage dropped across  $R_{int}$  and  $R_{var}$  will remain constant. This allows  $E_{out}$  to remain constant even though the load current changed.

As mentioned,  $R_{var}$  is not a resistor; it is a transistor connected so the load current flows through it. By changing the base current, the transistor can be made to conduct more or less. That is, the resistance of the transistor can be changed by varying the base current. Additional components are used so that the circuit is self adjusting. This allows the resistance of the transistor to change automatically to compensate for changes in  $E_{in}$  or the load current.

## The Emitter Follower Regulator

The simplest series regulator is the emitter follower type. The basic circuit consists of a zener diode, a transistor, and a resistor connected together as shown in Figure 4-40. The input to the circuit is an unregulated DC voltage. The output is a regulated DC voltage that is somewhat lower in value.

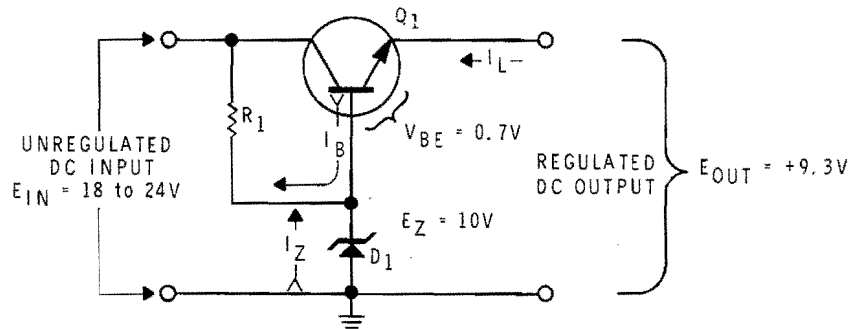


Figure 4-40  
Emitter follower regulator.

$Q_1$  acts as an emitter follower. The load is connected between the emitter of  $Q_1$  and ground. The voltage on the base of  $Q_1$  is set by the zener diode. Thus, the output voltage is equal to the zener voltage ( $E_Z$ ) minus the small voltage drop across the base-emitter junction ( $V_{BE}$ ) of  $Q_1$ . For example, if  $E_Z$  is 10 volts and  $V_{BE}$  is 0.7 volts, then the output voltage ( $E_{OUT}$ ) will remain at about 10 volts over a wide range of load and input voltage variations. Also,  $V_{BE}$  does not change very much from its 0.7 volt value. Consequently, the output voltage will remain fairly constant at 9.3 volts.

To see how the circuit works, let's assume that the unregulated input voltage changes. When  $E_{in}$  increases,  $E_{out}$  will attempt to increase also. However,  $Q_1$  is an NPN transistor. Its base voltage is set by the zener at +10 volts. If its emitter swings even slightly more positive, the conduction of  $Q_1$  will decrease. When  $Q_1$  conducts less, it acts as a higher value resistor between  $E_{in}$  and  $E_{out}$ . Consequently, most of the increase in  $E_{in}$  is dropped across  $Q_1$ . Only a very slight increase in  $E_{out}$  will occur.

Designing the circuit is relatively simple if we use some approximations. For example, suppose we wish to deliver 9.3 volts to a load. Let's assume that the unregulated DC input can vary between 18 and 24 volts, and that the load current can vary from 0 to 100 mA.

The first step is to determine the value of  $R_1$ . The maximum value of  $R_1$  can be approximated using the equation:

$$R_1 = \frac{E_{in(min)} - E_Z}{I_{B(max)}}$$

The term  $E_{in(min)} - E_Z$  represents the minimum voltage across  $R_1$ .  $I_{B(max)}$  represents the maximum base current of  $Q_1$ . In this approximation the value of zener current ( $I_Z$ ) is ignored.

The base current of  $Q_1$  is determined by the emitter current and the transistor's beta. Let's assume that  $Q_1$  has a minimum beta value of 30. The base current will be maximum when the emitter current is maximum. The emitter current is also the load current or  $I_L$ . Thus,

$$I_{B(max)} = \frac{I_{L(max)}}{\text{Beta}}$$

Substituting and rearranging, our equation becomes

$$R_1 = \frac{E_{in(min)} - E_Z}{I_{L(max)}} \times \text{Beta}$$

Substituting the value listed above, we find that

$$R_1 = \frac{18 - 10}{0.1} \times 30 = 2400 \Omega$$

Because this is the maximum value for  $R_1$ , we would probably use the next lower standard value or 2200 ohms.

The absolute maximum current that the zener diode would ever have to handle is

$$I_{Z(max)} = \frac{E_{in(max)} - E_Z}{R_1}$$

In our example,

$$I_{Z(max)} = \frac{24 - 10}{2200} = 6.4 \text{ mA}$$

Therefore, the zener diode must have a voltage rating ( $E_Z$ ) of 10 volts. It must have a current rating in excess of 6 mA. Also, it should have a power rating somewhat higher than  $10 \text{ V} \times 6.4 \text{ mA} = 64 \text{ mW}$ .

To provide a safety margin, the transistor should be capable of handling currents in excess of 100 mA. Also, it may be required to drop a voltage of

$$E_{in(max)} - E_{out} = 24 \text{ V} - 9.3 \text{ V} = 14.7 \text{ V}$$

Thus, its voltage rating must be somewhat higher than this. Under worst-case conditions,  $Q_1$  may be required to dissipate

$$P = 14.7 \text{ V} \times 100 \text{ mA}$$

$$P = 1.47 \text{ watts}$$

Here again, the power rating must be somewhat higher to provide an adequate safety margin.

A disadvantage of the emitter follower regulator becomes obvious when we design more demanding power supplies. For example, Figure 4-41A shows a power supply which delivers 100 volts at 400 mA. This supply requires a 100-volt, 5-watt zener. While 5-watt zeners are available, they are expensive.

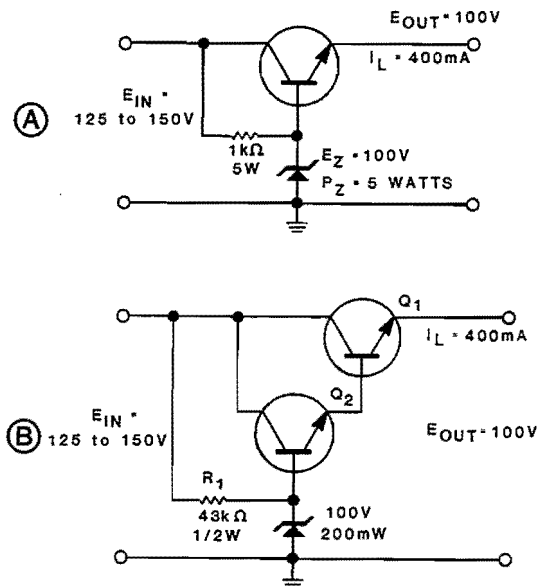


Figure 4-41  
The Darlington configuration allows us to achieve voltage regulation with a lower wattage zener diode.

The power dissipation rating of the zener can be reduced by adding another transistor to the circuit as shown in Figure 4-41B. Notice that  $Q_1$  and  $Q_2$  are connected in the Darlington configuration. Here, the two transistors can be considered as one. The overall beta is approximately the product of the two individual beta values. For example, if  $Q_1$  has a beta of 30 and  $Q_2$  has a beta of 50, then the overall beta is  $30 \times 50 = 1500$ .

$Q_2$  carries only the base current of  $Q_1$ . Thus,  $Q_2$  can have a much lower power dissipation than  $Q_1$ . Notice that  $R_1$  now carries only the base current of  $Q_2$ . Therefore,  $R_1$  can have a much higher resistance value and a much lower power rating. Because the maximum current through  $R_1$  is much lower, the zener can have a much lower current and power rating. The circuit in Figure 4-41B allows us to achieve voltage regulation with a small and therefore inexpensive zener diode. An added bonus is that we can also use a smaller wattage resistor for  $R_1$ .

The disadvantage of this circuit is that it is more sensitive to temperature changes. The reason for this is there are now two base-emitter junctions between the zener and the load. Thus, changes in  $V_{BE}$  have a greater effect on this circuit than on the single transistor version.

## The Feedback Regulator

One of the most popular types of voltage regulating circuits is the feedback regulator. In this type, a feedback circuit monitors the output voltage. If the output voltage changes, a control signal is developed. This signal controls the conduction of a transistor through which the load current passes. The bias on the pass transistor is adjusted so that the original change in the output voltage is cancelled.

### BLOCK DIAGRAM

The block diagram of a feedback regulator is shown in Figure 4-42. It consists of five basic circuits. An unregulated DC voltage is applied on the left. A somewhat lower, but regulated DC voltage appears across the output terminals on the right.

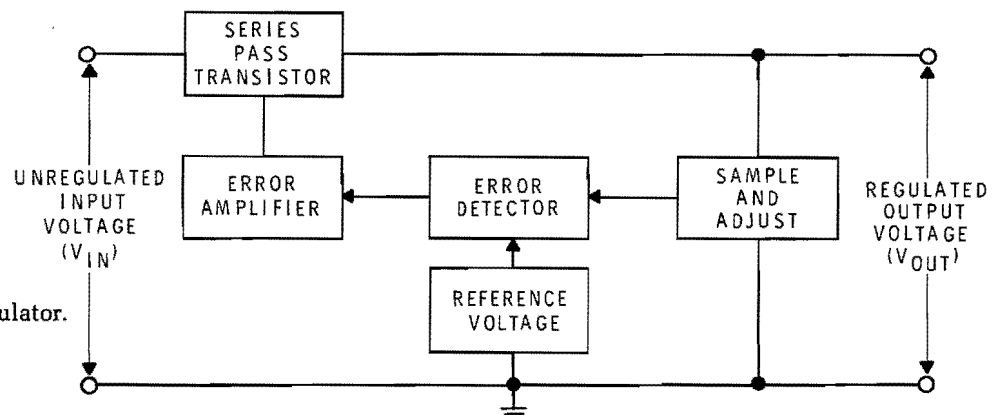


Figure 4-42  
Block diagram of a feedback regulator.

A sampling circuit is connected across the output terminals. Generally, this is nothing more than a voltage divider. A voltage is tapped off and applied to the error detector. This voltage is proportional to the output voltage. If the output voltage changes, the voltage applied to the error detector changes in the same direction.

The other input to the error detector is a DC reference voltage. Normally, a zener diode is used to produce the reference voltage and to hold it constant.

The error detector compares the sampled voltage with the reference voltage. The output of the error detector is an error voltage which is applied to the error amplifier. The error voltage is proportional to the difference between the sampled voltage and the reference voltage.

The error voltage is amplified by the error amplifier. The output of this amplifier controls the conduction of the series pass transistor. The transistor is forced to conduct harder (or less) to compensate for the original change in the output voltage.

### BASIC CIRCUIT

A simple feedback voltage regulator is shown in Figure 4-43. You may be surprised to find that the circuit looks less complex than the block diagram.  $R_1$ ,  $R_2$ , and  $R_3$  form the sample and adjust circuit.  $Q_1$  performs two functions. It acts as both the error detector and the error amplifier.  $D_1$  and  $R_5$  produce the reference voltage which is applied to the emitter of  $Q_1$ .  $Q_2$  is the series pass transistor.  $R_4$  is the collector load resistor for  $Q_1$  and a base biasing resistor for  $Q_2$ .

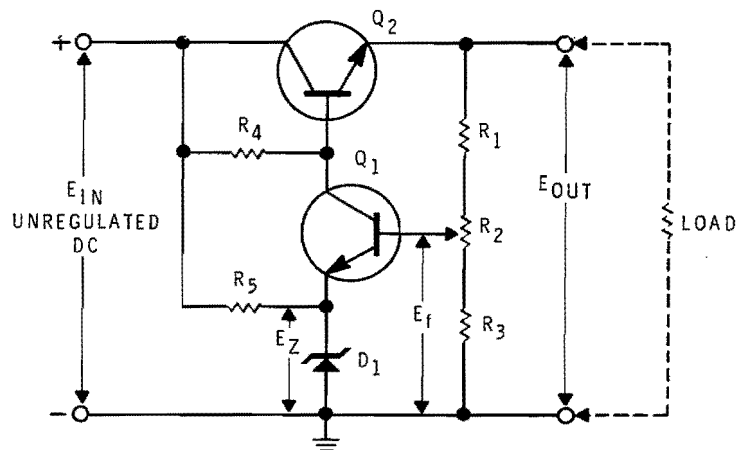


Figure 4-43  
Feedback voltage regulator.

To see how the circuit works, assume that  $E_{out}$  attempts to increase. When  $E_{out}$  increases, feedback voltage ( $E_f$ ) increases proportionately. This increases the voltage on the base of  $Q_1$ . The emitter voltage of  $Q_1$  is held constant at  $E_z$  by the zener. The net result is that the forward bias on  $Q_1$  increases, causing the transistor to conduct harder. As  $Q_1$  forces more current through  $R_4$ , the voltage at the collector of  $Q_1$  and at the base of  $Q_2$  decreases. This decreases the forward bias on  $Q_2$  causing the pass transistor to conduct less. If  $Q_2$  conducts less, it draws less current through the load. Consequently, the voltage across the load ( $E_{out}$ ) tends to decrease. The original increase in voltage is almost completely cancelled.

An important feature of this regulator is the ease with which  $E_{out}$  can be adjusted. Variable resistor  $R_2$  allows you to adjust  $E_{out}$  over a fairly broad range. For example, to increase the value of  $E_{out}$ , you simply move the arm of  $R_2$  down. This decreases the value of  $E_f$  on the base of  $Q_1$ . Since the emitter voltage is constant, the forward bias is decreased and  $Q_1$  conducts less. This causes the collector voltage of  $Q_1$  and the base voltage of  $Q_2$  to increase. This increases the forward bias on  $Q_2$  causing it to conduct harder. In turn, this forces more current through the load increasing the value of  $E_{out}$ .

The voltage adjust is a handy feature. It gives an easy way of compensating for component tolerances. It also allows us to periodically readjust the output voltage to compensate for component aging.

This type of voltage regulator is suitable for many applications. It is relatively inexpensive, with the most expensive item being the pass transistor. It is also fairly sensitive. The circuit can be made more sensitive by increasing the gain of the error amplifier. An easy way to do this is to substitute an op amp for  $Q_1$ . Let's take a look at the resulting circuit.

## Feedback Regulator With Op Amp

The operational amplifier makes an ideal error detector and amplifier. Its high gain allows it to detect the most minute change in the output voltage. Also, the differential inputs give the op amp an automatic error sensing capability. Consequently, the op amp can make an important contribution to regulator design. An analysis of a feedback regulator which uses an op amp will give you a new insight into how the regulator works.



Figure 4-44A shows the regulator circuit. Notice that the noninverting input of the op. amp is connected to the reference voltage ( $E_Z$ ). The inverting input is connected to the feedback voltage ( $E_f$ ). The three resistors in the sampling network are labeled  $R_A$ ,  $R_B$ , and  $R_C$ . For the purpose of understanding the amplifier configuration it is better to think in terms of only two resistors.  $R_1$  is the resistance from the arm of  $R_B$  to the top of  $R_A$ . Also,  $R_2$  is the resistance from the arm of  $R_B$  to the bottom of  $R_C$ . When the arm of  $R_B$  is centered,  $R_1 = R_2 = 1500 \Omega$ .

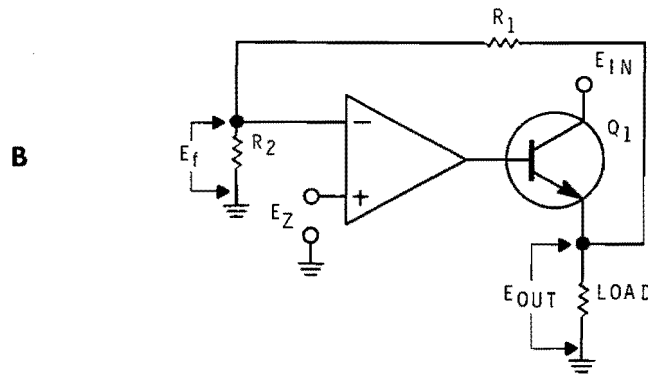
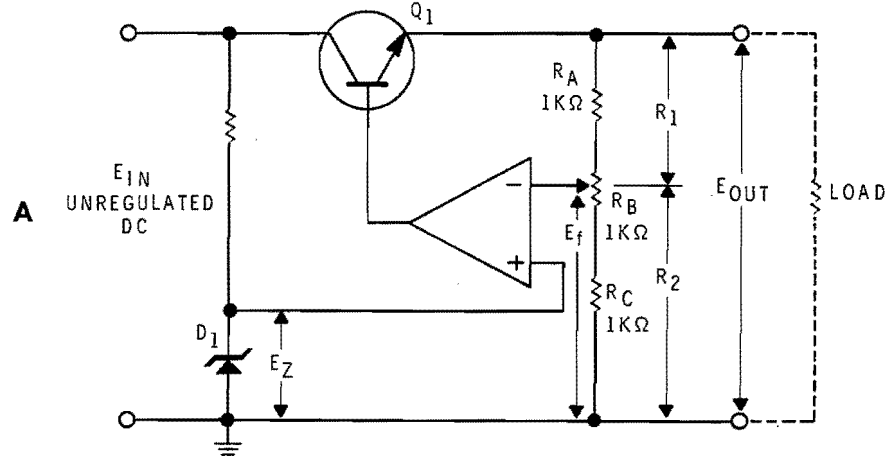


Figure 4-44  
Feedback regulator with Op amp.

To help you to recognize the amplifier configuration, the circuit is presented in a simplified form in Figure 4-44B. From the previous unit on op amps, you should recognize this circuit as the noninverting amplifier. The addition of the emitter follower should not cause confusion since it has a gain of nearly 1 and no phase inversion. The input to the op amp is the DC reference voltage ( $E_Z$ ). You will recall that in the noninverting amplifier the gain is determined solely by the feedback network of  $R_1$  and  $R_2$ . The formula for gain is:

$$A_V = \frac{R_1}{R_2} + 1$$

When the arm of  $R_B$  is centered,  $R_1$  equals  $R_2$  and the gain is 2. That is,  $E_{out}$  will be exactly twice the value of  $E_Z$ . Notice that the value of the load resistance did not enter into our calculations.  $E_{out}$  is determined solely by the ratio of  $R_1$  to  $R_2$  and the value of  $E_Z$ . The load resistance can vary over a wide range without causing noticeable changes in  $E_{out}$ .

The circuit also compensates for changes in  $E_{in}$ . Let's assume that  $E_{in}$  increases. With a large increase in  $E_{in}$ ,  $E_{out}$  will attempt to increase. However, the slightest increase in  $E_{out}$  will cause a proportional increase in  $E_f$ . The op amp immediately senses the change in  $E_f$ . It sees the difference between  $E_f$  and  $E_Z$  as an error voltage. It amplifies this difference voltage and applies it to the base of the pass transistor. Since the voltage at the inverting input ( $E_f$ ) increased, the voltage at the output of the op amp decreases. This decrease in voltage reduces the forward bias on  $Q_1$ , causing the pass transistor to conduct less. This forces less current through the load. As a result,  $E_{out}$  tends to decrease. This offsets the original increase in  $E_{out}$ .

The circuit forces  $E_{out}$  to whatever value is necessary to insure that  $E_f$  remains equal to  $E_Z$ . As you saw in the previous unit, this action occurs automatically in the noninverting operational amplifier configuration. Therefore, the circuit holds  $E_{out}$  nearly constant regardless of changes in  $E_{in}$  or changes in the load resistance.

In most feedback regulators,  $E_{out}$  can be adjusted by means of a variable resistor. This one is no exception. You can increase or decrease  $E_{out}$  over a two-to-one range by moving the arm of  $R_B$ . Let's see what the limits are.

When the arm of  $R_B$  is moved all the way up,  $R_1$  equals  $R_A$  or 1 kilohm. But,  $R_2$  equals  $R_B$  plus  $R_C$  or 2 kilohm. Thus, the gain of the stage becomes:

$$A_V = \frac{R_1}{R_2} + 1 = \frac{1000 \Omega}{2000 \Omega} + 1 = 1.5$$

Therefore,  $E_{out}$  equals  $E_Z$  times 1.5, or  $1.5 E_Z$ . Since the initial value was 2,  $E_Z$ ,  $E_{out}$  has decreased.

When the arm of  $R_B$  is moved all the way down,  $R_1$  equals  $R_A$  plus  $R_B$ , or 2 kilohm. But  $R_2$  equals  $R_C$ , or 1 kilohm. Thus, the gain increases to

$$A_V = \frac{R_1}{R_2} + 1 = \frac{2000 \Omega}{1000 \Omega} + 1 = 3.$$

Consequently,  $E_{out}$  is equal to  $3 E_Z$ .

This means that if  $D_1$  has a zener voltage of 5 volts, then  $E_{out}$  can be set by  $R_B$  to any value between 7.5 volts and 15 volts. Of course, to insure proper operation,  $E_{in}$  must be somewhat larger than the maximum value of  $E_{out}$ .

## Short Circuit Protection

One disadvantage of a series regulator is that the pass transistor is in series with the load. The load resistance may consist of dozens of circuits all connected in parallel. If a short develops in any one of these circuits, an extremely large current can flow through the pass transistor. This transistor can easily be destroyed by the large overload current. Of course, we can attempt to protect the regulator by fusing the power supply. However, in many cases, the transistor will be destroyed before the fuse can blow. A better approach is to use a circuit which will automatically limit the current to a safe value.

A series regulator with a current limiting circuit is shown in Figure 4-45. Notice that the circuit is identical to that shown earlier in Figure 4-43 except that  $Q_3$  and  $R_6$  have been added. These two components form the current limiting circuit.

Recall that an NPN silicon transistor will conduct only if its base is 0.6 to 0.7 volts more positive than its emitter. Resistor  $R_6$  will develop a voltage drop of 0.6 volts when the load current is

$$I = \frac{E}{R} = \frac{0.6 \text{ V}}{1 \Omega} = 0.6 \text{ amps or } 600 \text{ mA}.$$

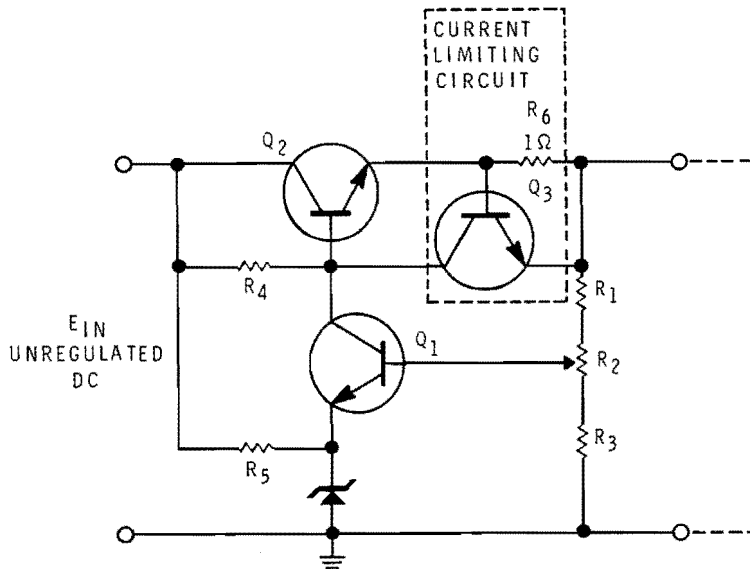


Figure 4-45  
Regulator with current limiting.

When the current is below 600 milliamps,  $Q_3$ 's base-to-emitter voltage will not be high enough to allow  $Q_3$  to conduct. With  $Q_3$  cut off, the circuit acts exactly like the regulator shown earlier.

When the current tries to increase above about 600 milliamps, the voltage drop across  $R_6$  increases to over 0.6 volts. This causes  $Q_3$  to conduct through  $R_4$ . This decreases the voltage on the base of  $Q_2$ , causing the pass transistor to conduct less. Thus, the current cannot increase above about 600 or 700 milliamps.

By using a larger resistance for  $R_6$ , you can limit the current at a lower value. For example, a 10 ohm resistor develops a voltage drop of 0.6 volts at 60 milliamps.

## Programmed Review

58. The series regulator is so named because the control device is in \_\_\_\_\_ with the load.

59. (series) In most series regulators, the control device is a silicon \_\_\_\_\_.

60. (transistor) The simplest series regulator is the emitter follower regulator. In this circuit, the base of the pass transistor is held at a constant voltage by a \_\_\_\_\_.

61. (zener diode) The output voltage is taken from the emitter of the pass transistor. It will be slightly lower than the zener voltage because of the voltage drop across the \_\_\_\_\_-\_\_\_\_\_ junction.

62. (base-emitter) A disadvantage of the emitter-follower regulator is that the regulated output voltage can be no higher than the \_\_\_\_\_ voltage.

63. (zener) The \_\_\_\_\_ regulator overcomes this disadvantage.

64. (feedback) It uses an amplifier between the zener and the pass transistor. Therefore, the \_\_\_\_\_ voltage can be somewhat higher than the zener voltage.

65. (output) Sometimes an operational amplifier is used as the amplifier. In this case, the gain of the op amp is determined by the ratio of the \_\_\_\_\_ in the feedback loop.

66. (resistors) By changing the ratio of the resistors, we can change the \_\_\_\_\_ voltage.

67. (output) One disadvantage of the series regulator is that the \_\_\_\_\_ transistor can be destroyed if the output of the regulator is shorted.

68. (pass) To protect against this, a \_\_\_\_\_-\_\_\_\_\_ circuit is often used.

69. (current-limiting) A small value resistor is connected in series with the load. The base-emitter junction of a transistor is connected across this resistor. The collector of this transistor is connected to the base of the \_\_\_\_\_ transistor.

70. (pass) When the load current exceeds a certain level, the voltage across the resistor allows its transistor to conduct. This decreases the voltage on the base of the pass transistor limiting the \_\_\_\_\_ in the circuit.

(current).

## EXPERIMENT 10

### Voltage Regulation

**OBJECTIVE:** *Demonstrate the operation and characteristics of the shunt regulator and the series regulator.*

#### Introduction

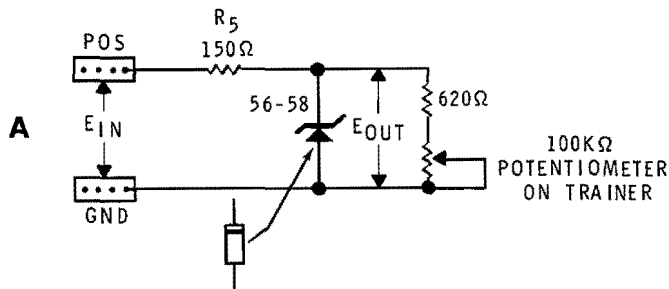
In this experiment, you will build and experiment with several different voltage regulation circuits. Normally, these regulators are placed directly after the rectifier-filter stage in a power supply. Therefore, the input to the regulator is a filtered but unregulated DC voltage. However, in these experiments, you will use the DC voltage from the positive power supply on the Trainer as the input to the regulator. Because this voltage can be easily adjusted, it allows you to investigate the operation of the regulator over a range of input voltages. **Read the entire procedure before performing this experiment.**

#### Material Required

- Heathkit Analog Trainer
- Oscilloscope (dual channel preferred)
- Multimeter
- 1—Silicon transistor (417-818) MJE181
- 3—Silicon transistors (417-801) MPSA20
- 1—2.7 V zener diode (56-99) 1N5223B
- 1—6.2 V zener diode (56-58) 1N709A or 1N5234B
- 1—741C Operational amplifier (442-22)
- 2—Silicon diodes (57-27) 1N2071
- 1—10 ohm resistor (brown-black-black-gold)
- 1—100 ohm resistor (brown-black-brown-gold)
- 1—150 ohm resistor (brown-green-brown-gold)
- 1—220 ohm resistor (red-red-brown-gold)
- 1—330 ohm resistor (orange-orange-brown-gold)
- 1—620 ohm resistor (blue-red-brown-gold)
- 3—1000 ohm resistors (brown-black-red-gold)
- 1—1800 ohm resistor (brown-gray-red-gold)
- 1—47 kilohm resistor (yellow-violet-orange-gold)
- 1—10 microfarad, electrolytic capacitor
- 1—100 microfarad, electrolytic capacitor
- 1—100 kilohm linear control (10-1142)

## Procedure

- With the Trainer turned off, construct the circuit shown in Figure 4-46A. Set the "+" voltage control to minimum.



**B**

Set $E_{IN}$ TO:	Set 100 k $\Omega$ potentiometer to:	MEASURE $E_{OUT}$
8VDC	minimum resistance	
12VDC	minimum resistance	
10VDC	minimum resistance	
10VDC	maximum resistance	

Figure 4-46

Circuit and chart for steps 1 through 6.

- Turn the Trainer on. Adjust the positive (+) voltage control until  $E_{in}$  is +8 volts. Set the 100 kilohm potentiometer to its minimum resistance.
- Measure the value of  $E_{out}$ . Record this value in the space provided in the table in Figure 4-46B.
- Set  $E_{in}$  to +12 volts. Measure the value of  $E_{out}$  and record this value in the space provided in the table.
- Set  $E_{in}$  to +10 volts. Measure  $E_{out}$  and record this value in the space provided.
- Set the 100 kilohm potentiometer to its maximum resistance. Measure  $E_{out}$  and record the value in the space provided. Did  $E_{out}$  remain constant in spite of the changes in  $E_{in}$  and the load resistance? \_\_\_\_\_



## Discussion

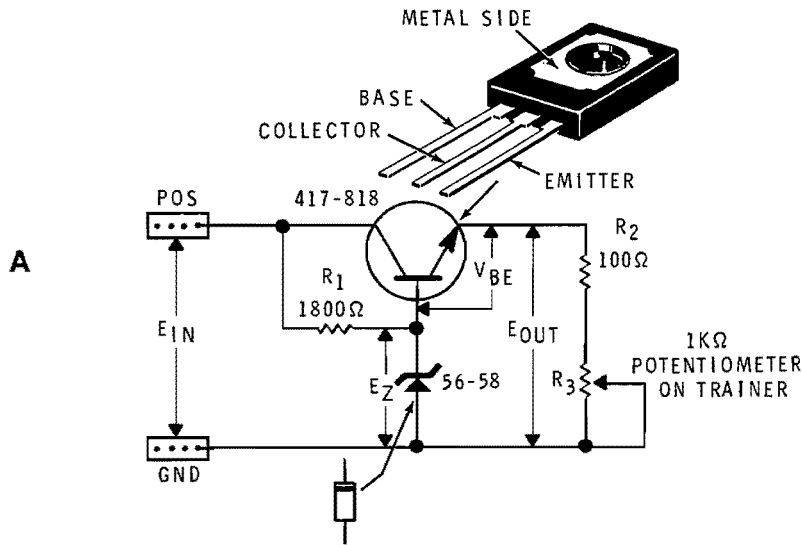
This circuit demonstrates the operation of a simple zener diode regulator. The diode has a zener voltage of 6.2 volts. It holds the voltage across the load to approximately this value. You varied the input voltage from +8 volts to +12 volts. This represents a change of  $\pm 20\%$  around the normal +10-volt level. In spite of this large change in  $E_{in}$ ,  $E_{out}$  changed only slightly (typically  $\pm 0.1$  volt).

With the potentiometer set to minimum, the load resistance is  $620 \Omega$ . Thus, the current through the load is 10 mA. This is the full-load current. When the potentiometer is set to maximum, the load current decreases to about 62 microamperes. Nevertheless,  $E_{out}$  remains at approximately 6.2 volts.

The disadvantage of this type of regulator is that it is limited to relatively low-current applications. Now, let's look at a circuit which uses the same zener but can handle a higher current.

## Procedure (Continued)

7. With the Trainer turned off, construct the circuit shown in Figure 4-47A. Set positive (+) voltage control to minimum.
8. Turn the Trainer on. Adjust the positive (+) voltage control until  $E_{in}$  is +10 volts. Set the 1 kilohm potentiometer to its maximum resistance.
9. Measure the voltage across the zener diode.  $E_Z$  \_\_\_\_\_ volts.  
Measure  $E_{out}$  \_\_\_\_\_ volts.
10. Calculate the voltage across the base-emitter junction of the transistor.  
 $E_Z - E_{OUT} = V_{BE} =$  \_\_\_\_\_ volts.
11. Measure  $V_{BE}$ . Does  $V_{BE} = E_Z - E_{OUT}$ ? \_\_\_\_\_
12. Record the value of  $E_{out}$  in the top space of the chart in Figure 4-47B.



**B**

Set $E_{IN}$ to:	Set 1 k $\Omega$ potentiometer to:	MEASURE $E_{OUT}$
10VDC	maximum resistance	
10VDC	minimum resistance	
13VDC	maximum resistance	
13VDC	minimum resistance	
16VDC	maximum resistance	
16 VDC	minimum resistance	

Figure 4-47

Circuit and chart for steps 7 through 14.

13. With  $E_{in}$  set to +10 volts, set the 1 kilohm potentiometer to its minimum resistance. Measure  $E_{out}$  and record the value in the space provided in Figure 4-47B.
14. Complete the chart shown in Figure 4-47B by setting  $E_{in}$  and  $R_3$  to the indicated positions. Measure and record the value of  $E_{out}$  for each of these conditions. Does the value of  $E_{out}$  remain fairly constant? \_\_\_\_\_

### Discussion

The emitter follower regulator allows a zener to regulate voltages at higher current levels than is possible with the basic shunt regulator. You saw that the output voltage is always less than the zener voltage. You verified that  $E_{out} = E_Z - V_{BE}$ .

Next, you varied  $E_{in}$  from +10 volts to +16 volts. Simultaneously, you varied the value of  $R_L$  for 100 ohms to 1100 ohms. In spite of the large changes in  $E_{in}$  and  $R_L$ ,  $E_{out}$  remained almost constant. Typically,  $E_{out}$  changes by no more than 0.2 volts.

A disadvantage of this type regulator is that the output voltage must be less than the zener voltage. The feedback regulator overcomes this disadvantage while adding some advantages of its own.

### Procedure (Continued)

- With the Trainer turned off, construct the circuit shown in Figure 4-48. Set the "+" VOLTAGE control to minimum.

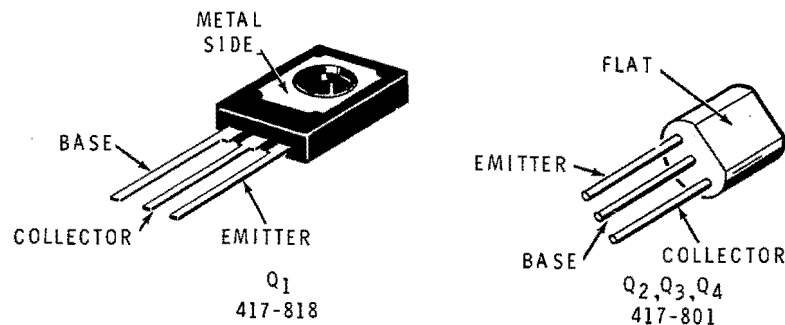
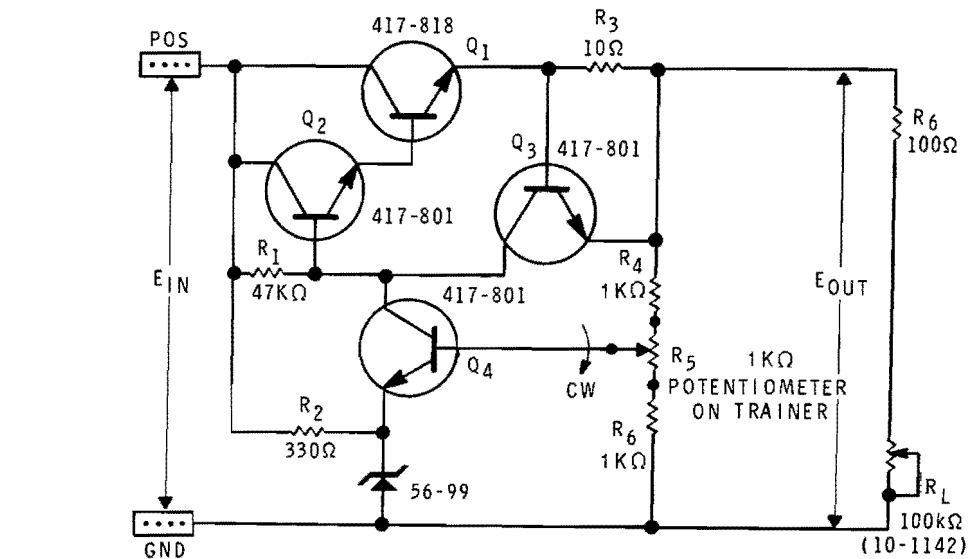


Figure 4-48  
Circuit for steps 15 through 30.

16. Turn the Trainer on. Adjust the positive (+) voltage control until  $E_{in}$  is +10 volts. Adjust the 1 kilohm potentiometer until  $E_{out}$  is +6 volts, and adjust  $R_L$  to midrange.
17. While monitoring  $E_{out}$ , disconnect  $R_L$  from circuit ground. Did  $E_{out}$  change? \_\_\_\_\_. Reconnect  $R_L$ .
18. Adjust the positive (+) voltage control until  $E_{in}$  is +16 volts. Measure  $E_{out}$ . Did  $E_{out}$  remain fairly constant? \_\_\_\_\_.
19. Set  $E_{in}$  to +13 volts. Turn the 1 kilohm potentiometer on the Trainer fully counterclockwise. What is the value of  $E_{out}$ ? \_\_\_\_\_ volts.
20. Turn the 1 kilohm potentiometer fully clockwise. What is the value of  $E_{out}$ ? \_\_\_\_\_ volts.
21. Adjust the 1 kilohm potentiometer until  $E_{out}$  is +7 volts.
22. Measure the voltage drop at both ends of  $R_3$  and calculate  $E_{R3}$ . \_\_\_\_\_ volts. Using Ohm's Law compute the current through  $R_3$ .

$$I_{R3} = \frac{E_{R3}}{R_3} = \text{_____ mA.}$$

23. Adjust  $R_L$  for minimum resistance.
24. With  $R_L$  shorted, the voltage drop across  $R_3$  increases to \_\_\_\_\_ volts. Return  $R_L$  to midrange.
25. What was the current through  $R_3$  when  $R_L$  was shorted?

$$I_{R3} = \frac{E_{R3}}{R_3} = \text{_____ mA.}$$

26. Remove  $Q_3$  from the circuit. Leave the rest of the circuit intact.
27. Measure the voltage drop at both ends of  $R_3$  and calculate  $E_{R3}$ . \_\_\_\_\_ volts. How does this compare with the voltage measured in step 22? \_\_\_\_\_.
28. Adjust  $R_L$  for minimum resistance again. Now the voltage across  $R_3 =$  \_\_\_\_\_ volts. Return  $R_L$  to midrange.

### Procedure (Continued)

29. What was the current through  $R_3$  when  $R_L$  was shorted?

$$I_{R3} = \frac{E_{R3}}{R_3} = \text{_____ mA.}$$

30. Was the short-circuit current greater when  $Q_3$  was in the circuit or after  $Q_3$  was removed from the circuit? \_\_\_\_\_

### Discussion

In this part of the experiment, you built a feedback regulator which employs a current limiting circuit.  $Q_1$  is the pass transistor.  $Q_2$  is connected across  $Q_1$  in the Darlington configuration.  $Q_4$  is the error detector and DC amplifier.  $Q_3$  and  $R_3$  form the current limiting circuit.

You initially set  $E_{in}$  to +10 volts and  $E_{out}$  to +6 volts. You then disconnected one end of  $R_L$  so that the load current dropped to minimum. Nevertheless,  $E_{out}$  should have remained almost constant at the +6 volts. Next you increased  $E_{in}$  to +16 volts. Here you probably noticed a slight increase in  $E_{out}$ . However, compared to the 60% increase in  $E_{in}$ , the change in  $E_{out}$  was small.

Next you checked the limits to which  $E_{out}$  can be adjusted by  $R_5$ . With  $R_5$  turned fully counterclockwise,  $E_{out}$  is about 5.2 volts. With  $R_5$  set fully clockwise,  $E_{out}$  is about 9.2 volts. Thus,  $R_5$  allows you to adjust  $E_{out}$  over nearly a two-to-one range.

Next, you investigated the operation of the current limiting circuit. In step 22, you measured the voltage across  $R_3$  and computed the current through  $R_3$ . The current is about 32 milliamps when  $E_{out}$  is set to +7 volts. When you shorted  $R_L$ , the current increased but not by as much as you might expect. The voltage across  $R_3$  increased to about 0.6 volts. This is enough to turn  $Q_3$  on. When  $Q_3$  turns on, it decreases the condition of  $Q_1$ . Thus, the current can increase to only about 60 milliamps even though  $R_L$  is shorted.

Finally, to prove that  $Q_3$  is responsible for the current limiting action, you removed  $Q_3$  from the circuit. You saw that the full-load current remained at its original value of about 32 milliamps. However, when  $R_L$  was short-circuited, the current increased to well over the amount you measured with  $Q_3$  in the circuit.

## Procedure (Continued)

31. With the Trainer turned off, construct the circuit shown in Figure 4-49. Set the "+" VOLTAGE control to minimum.

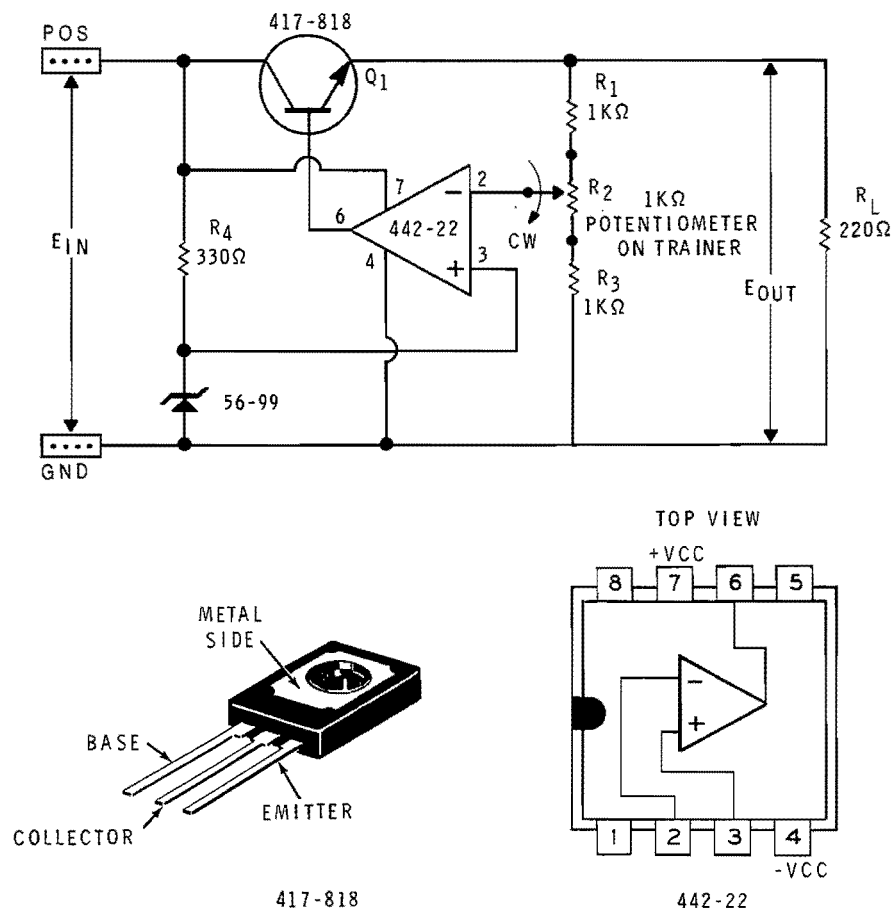


Figure 4-49  
Circuit for steps 31 through 38.

32. Turn the Trainer on. Adjust the positive (+) voltage control until  $E_{in}$  is +10 volts. Adjust the 1 kilohm potentiometer until  $E_{out}$  is +6 volts.
33. While monitoring  $E_{out}$ , disconnect one end of  $R_L$  from the circuit. Did  $E_{out}$  change? \_\_\_\_\_. Reconnect  $R_L$ .
34. Adjust the "+" voltage control until  $E_{in}$  is +16 volts. Measure  $E_{out}$ . Did  $E_{out}$  remain fairly constant? \_\_\_\_\_.

### Procedure (Continued)

35. Set  $E_{in}$  to +13 volts. Turn the 1 kilohm potentiometer on the Trainer fully counterclockwise. What is the value of  $E_{out}$ ? \_\_\_\_\_ volts.
36. Measure and record the voltage between pin 3 of the op amp and ground. \_\_\_\_\_ volts. Measure and record the voltage between pin 2 of the op amp and ground. \_\_\_\_\_ volts. Are these two voltages the same? \_\_\_\_\_.
37. Turn the 1 kilohm potentiometer on the Trainer fully clockwise. What is the value of  $E_{out}$ ? \_\_\_\_\_ volts.
38. Measure and record the voltage between pin 3 of the op amp and ground. \_\_\_\_\_ volts. Measure and record the voltage between pin 2 of the op amp and ground. \_\_\_\_\_ volts. Do these two voltages still have the same value? \_\_\_\_\_.

### Discussion

In this part of the experiment you built a voltage regulator which employs an operational amplifier. The op amp acts as the error detector and amplifier.

By disconnecting and reconnecting  $R_L$ , you saw that  $E_{out}$  changes very little from no load to full load. However, when you increased  $E_{in}$  from +10 volts to +16 volts, you may have noticed an increase in  $E_{out}$ . The reason for this is that the zener reference voltage changes slightly when  $E_{in}$  changes. Recall that op amp amplifies the reference voltage. Thus, changes in the reference voltage will appear amplified at  $E_{out}$ .

Next, you verified that  $E_{out}$  adjusts itself so as to keep the voltage at pin 2 of the op amp equal to the reference voltage at pin 3. When the potentiometer ( $R_2$ ) is turned counterclockwise,  $E_{out}$  decreases to about 4 volts. When the potentiometer is set fully clockwise,  $E_{out}$  increases to about 8 volts. In both cases, the change is just enough to hold the voltage at pin 2 equal to the reference voltage at pin 3.

In the first experiment in this unit, you investigated rectifiers and filters. In this experiment, you built several different types of voltage regulators. Now you are ready to build a complete regulated power supply.

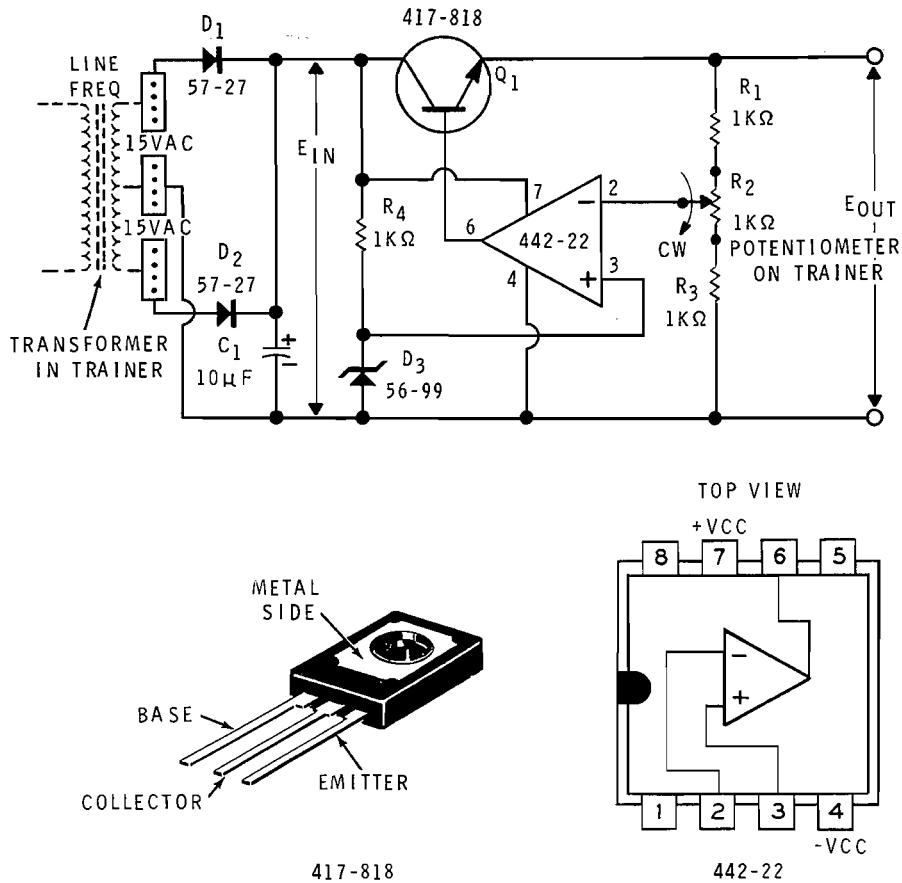


Figure 4-50  
Circuit for steps 39 through 48.

A schematic of the power supply is shown in Figure 4-50. A full-wave rectifier is used so that  $E_{in}$  is less than 25 volts. The regulator is almost identical to the one with which you just experimented.  $E_{out}$  is adjustable from about 4 volts to 8 volts. Notice that no load is presently across the output. The supply can deliver over 200 mA without any problem. However, you should not attempt to check this by placing a small value resistor across  $E_{out}$  unless the resistor has a high power rating. For example, if  $E_{out}$  is 8 volts, a 50 ohm resistor must be able to dissipate

$$P = \frac{E^2}{R} = 1.28 \text{ watts}$$

Also, this supply is not short-circuit protected. If the output terminals are inadvertently shorted, the pass transistor may be destroyed before the fuse in the Trainer can blow. Therefore, you should exercise care when building the circuit and making voltage measurements.



Finally, for demonstration purposes, the value of filter capacitor  $C_1$  is intentionally low so that  $E_{in}$  has a high ripple content. In a working supply, this capacitor would have a much higher value.

### Procedure (Continued)

39. With the Trainer turned off, construct the circuit shown in Figure 4-50.
40. Before applying power, recheck the polarity of the diodes and the capacitor. Turn the Trainer on.
41. Measure and record the value of  $E_{in}$ .  $E_{in} =$  \_\_\_\_\_ volts.
42. Set up the oscilloscope to measure the 120 Hz AC ripple frequency riding on  $E_{in}$ . The amplitude of the AC ripple is \_\_\_\_\_ volts peak-to-peak.
43. Turn the 1 kilohm potentiometer fully counterclockwise. The value of  $E_{out}$  is \_\_\_\_\_ volts.
44. Using the oscilloscope, view the amplitude of the AC ripple riding on  $E_{out}$ . Is there any noticeable ripple in  $E_{out}$ ? \_\_\_\_\_. If not, how do you account for this additional filtering action? \_\_\_\_\_  
\_\_\_\_\_.
45. Turn the 1 kilohm potentiometer fully clockwise. The value of  $E_{out}$  is \_\_\_\_\_ volts.
46. Using the oscilloscope, view the amplitude of the AC ripple in  $E_{out}$ . Is there any noticeable ripple now? \_\_\_\_\_ Why? \_\_\_\_\_  
\_\_\_\_\_.
47. Turn the Trainer off. Replace  $C_1$  with a 100 microfarad, 50-volt capacitor. Be sure to observe polarity. Turn the Trainer on.
48. Repeat steps 41 through 46 with the 100 microfarad capacitor in the circuit.

## Discussion

In this final section of the experiment, you built a complete power supply. The full wave rectifier produces a peak output voltage of about 24 volts peak or 16 Vrms. In step 42, you measured the peak-to-peak value of the ripple. A typical value is 6 volts peak-to-peak.

Next, you set  $E_{out}$  to its minimum value. Then, you attempted to view the amplitude of the ripple. You may have been surprised to find little or no ripple on  $E_{out}$ . This illustrates an advantage of regulators which has not yet been discussed. The regulator sees the ripple voltage on  $E_{in}$  as a change in  $E_{in}$ . Consequently, it tends to compensate for this change. As a result, the regulator substantially reduces the ripple voltage in  $E_{out}$ .

When you set  $E_{out}$  to its maximum value, a ripple voltage may appear in  $E_{out}$ . The reason for this is that the ripple forces  $E_{in}$  below the level necessary to keep  $E_{out}$  constant. You have seen that  $E_{in}$  must be somewhat higher than  $E_{out}$  for proper regulation. However, in this case, the ripple drives  $E_{in}$  below the average value of  $E_{out}$ . Consequently, the ripple appears at the output.

Finally, you saw that this situation could be corrected by replacing the 10 microfarad capacitor with a 100 microfarad capacitor. This reduces the ripple amplitude, insuring that  $E_{in}$  is always higher than  $E_{out}$ .

## POWER SUPPLY CIRCUITS

The rectifier, filter, and series regulator can be combined to form complete power supplies. However, there are other circuits and devices which are commonly used in power supplies. These include protective devices such as fuses and circuit breakers. Also, there are protective circuits which limit the output current or the output voltage. Finally, there are other types of regulators. These include the shunt regulator and the integrated circuit version of the series regulator. In this section, we will examine some of these circuits and devices. Finally, we will look at three complete power supplies.

### Overload Protection Devices

Most power supplies have some means of protecting against overloads. An overload can burn out the series regulator, the rectifier diodes, or the power transformer. In some cases, an overload can even start a fire, thereby damaging much more than just the power supply. For these reasons, every good power supply should have some provision for overload protection.

#### FUSES

A fuse can be compared to the weakest link in a chain. Hopefully, it is the device which will fail first when an overload occurs. Figure 4-51 illustrates the construction of a popular type of fuse used in electronics. A small link of fuse wire is connected between two metal terminals. A hollow glass or clear plastic cylinder holds the terminals apart and protects the fuse wire. The fuse is placed in series with the power supply. If excessive current flows, the fuse wire overheats and melts. This breaks the circuit so that no additional current can flow. The glass case allows a visual check to see if the fuse is "blown."

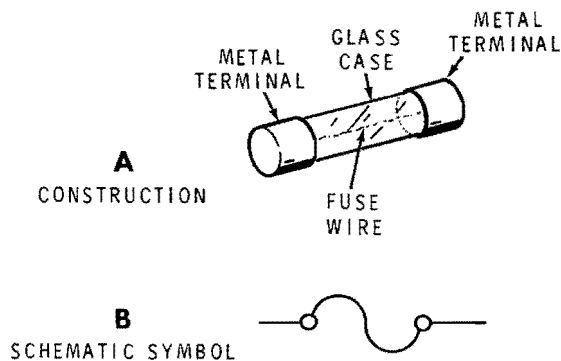


Figure 4-51  
The fuse.

Fuses of this type come in many different sizes ranging from a small fraction of an ampere to several amperes. Fuses are often categorized as either “fast-blow” or “slow-blow.” A fast-blow fuse will open if its current is exceeded even briefly. Some will “blow” in less than 1/10 second if the current overload is 100% or more. In many applications, this is an advantage since the overload is removed very quickly.

However, in some types of equipment, a fast-blow fuse can be bothersome. Many types of equipment can withstand brief overloads such as the current surge when the equipment is initially turned on. Here, a slow-blow fuse would be more suitable. A slow-blow fuse can withstand brief periods of overload without “blowing.” The fuse wire heats more slowly so that the fuse is insensitive to momentary overloads. However, if the overload persists for more than a few seconds, the fuse will open. Many slow-blow fuses contain a spring mechanism for pulling the fuse wire apart once it begins to melt.

## CIRCUIT BREAKERS

One disadvantage of a fuse is that it must be replaced each time it fails. The **circuit breaker** is a device which performs the same job as a fuse, but does not have to be replaced each time an overload occurs. It can be manually reset after the overload has been corrected.

Two types of circuit breakers are popular: thermal and magnetic. The operation of the thermal-type circuit breaker is illustrated in Figure 4-52. Normally, point A is electrically connected to point B via the contact points, the flexible conductor, and the bimetal strip. The circuit breaker is placed in series with the power supply that is to be protected. Thus, the total current flows through the bimetal strip.

The bimetal strip consists of two metals with different temperature expansion characteristics. Heat is generated by the  $I^2R$  losses of the strip. As the strip heats, one metal expands faster than the other, causing the strip to bend. When an overload current occurs, the strip overheats and the strip bends far enough to free the contact arm. This allows the spring to pull the contacts open. Once open, the contacts must be reset manually. This is done by means of a pushbutton switch (not shown).

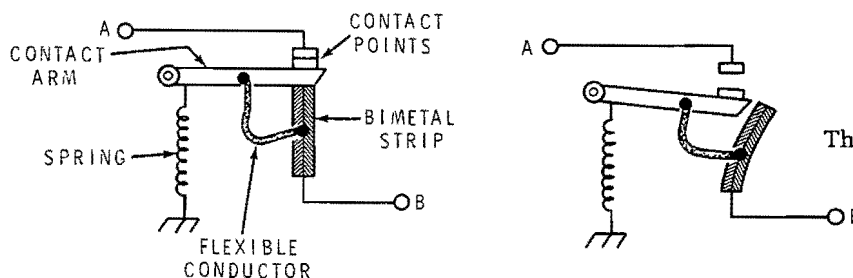


Figure 4-52  
Thermal circuit breaker.

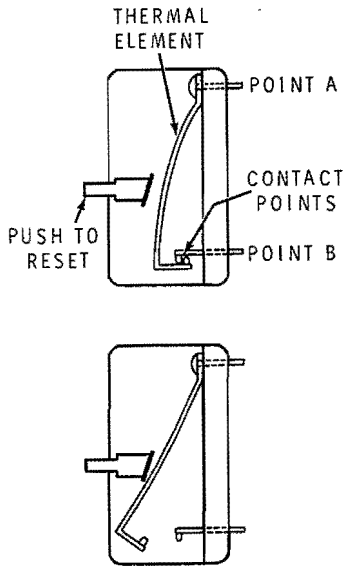


Figure 4-53

The simple design of this thermal circuit breaker makes it inexpensive and reliable.

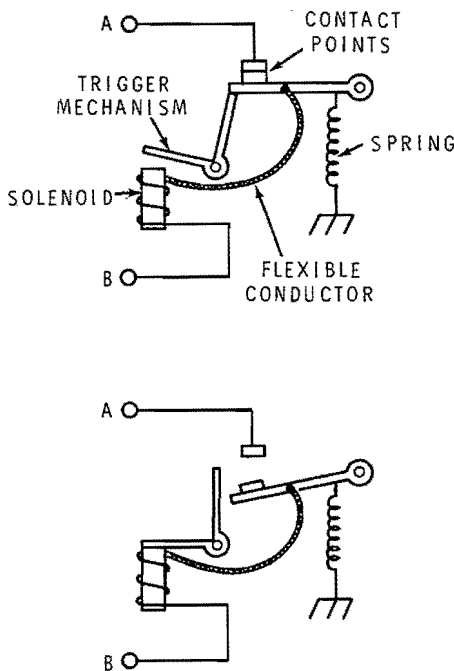


Figure 4-54

Magnetic circuit breaker.

A much simpler thermal circuit breaker is shown in Figure 4-53. Here the thermal element is a strip of metal which also acts as a release spring. When reset, the contact points form a simple latch connecting point A to point B. When excessive current flows through the thermal element, it heats and expands. The expansion releases the latch and the contact points are broken. After the thermal element cools, you can reset the contacts by depressing the reset button.

Figure 4-54 shows the magnetic circuit breaker. When the device is set, current flows between points A and B via the contact points, the flexible conductor, and the solenoid coil. The device is designed so that normal current will not release the mechanism. However, when excessive current flows, the solenoid develops a strong enough field to activate the trigger mechanism. This allows the spring to pull the contact points apart.

## Protective Circuits

Earlier we discussed a current-limiting circuit which is frequently used to protect the series regulator against short circuits in the load. Some power supplies employ circuits which do just the opposite. These circuits protect the load against failures in the power supply. Suppose for example, that the pass transistor of a series regulator becomes shorted. The full output voltage of the rectifier would be applied directly across the load. Many of the solid-state components in the load could be destroyed by the excessive supply voltage. To prevent this, some power supplies use overvoltage protection for the load.

Figure 4-55 illustrates a protective circuit called a crow bar. The crow bar consists of an SCR connected directly across the load. Normally, the SCR is turned off so it does not affect the output voltage. However, if the output voltage rises above a certain predetermined level, the SCR will become a short circuit across the load. This may seem like a drastic measure to protect the load, but it is very effective. With a near short circuit across the power supply output, very little current will flow through the load. Also, the voltage across the SCR (and the load) will drop to a very low level. Thus, the load will be fully protected.

Of course, the crow bar does nothing to protect the power supply. In fact, to protect the load, the output of the power supply is shorted. This will cause the fuse to blow or the circuit breaker to trip.

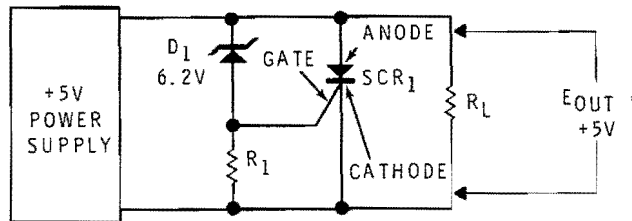


Figure 4-55  
The "crow bar" circuit.

To understand how the circuit works, we should briefly review the operation of an SCR. Recall that an SCR is a latching device. Without gate current, the SCR acts as an open circuit with no current flowing from the cathode to the anode. However, a low value gate current is enough to turn on the SCR. Once current starts flowing from cathode to anode, the SCR "latches" and acts like a near short. When the SCR latches, the gate has no further control and the SCR remains latched until its supply voltage is removed.

The circuit shown in Figure 4-55 protects the load from voltages above about +6.2 volts. The load might be a number of expensive integrated circuits (ICs) which require a supply voltage of +5 volts. ICs can be destroyed by supply voltages which are too high. The crow bar circuit prevents the supply voltage from reaching a dangerous level. Let's see how the circuit works.

As long as the supply voltage remains at its normal level of +5 volts, the zener diode cannot conduct. Therefore, there is no gate current in the SCR, the SCR is turned off, and +5 volts is delivered to the load. Now let's assume that, because of a short in the power supply, the supply voltage attempts to rise to +8 volts. Immediately, diode  $D_1$  starts to conduct because its zener breakdown voltage is exceeded. This causes gate current to flow in the SCR. In turn, the SCR immediately latches, shorting out the load. Thus, the power supply current bypasses the load and flows through the SCR. Also, the heavy current through the SCR loads down the power supply, causing the output voltage to drop to a low, safe value. The resulting short circuit current will then cause the fuse to blow or the circuit breaker to trip, shutting the power supply down. Of course, from the practical standpoint, the SCR must be capable of handling this large, short-circuit current.

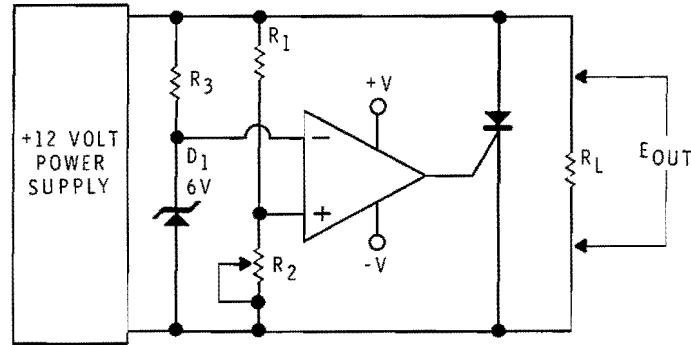


Figure 4-56  
Crow bar with comparator.

Typically, a 10 amp SCR can be triggered with a few milliamperes of gate current. The circuit acts much faster than any fuse or circuit breaker. The SCR can react within a microsecond or two. By comparison, fuses and circuit breakers often require hundreds of milliseconds to respond.

A more sensitive crow bar circuit is shown in Figure 4-56. Here an op amp is used as a comparator to control the conduction of the SCR. Zener diode  $D_1$  holds the inverting input of the comparator at +6 volts.  $R_2$  is adjusted so that the voltage at the noninverting input is slightly less than +6 volts. Because the inverting input is more positive, the output of the comparator is at its negative extreme. If the output voltage of the power supply increases, the voltage at the noninverting input increases proportionately. When the voltage at the noninverting input goes more positive than the 6-volt reference, the output of the comparator switches to its positive extreme. This causes gate current to flow, turning the SCR on. Potentiometer  $R_2$  allows you to adjust the voltage at which the SCR is switched on.

## Shunt Regulators

Series regulators are more popular than shunt regulators. The main reason for this is that the series regulator is more efficient. It dissipates less power than the shunt regulator. Since the power dissipated in the shunt regulator is largely wasted, the series regulator is preferred.

Even so, the shunt regulator does have some advantages and is therefore preferred in some applications. In the shunt regulator, the control transistor is in parallel with the load. This automatically protects the regulator should a short develop in the load. That is, a short circuit in the load will not damage the shunt regulator.

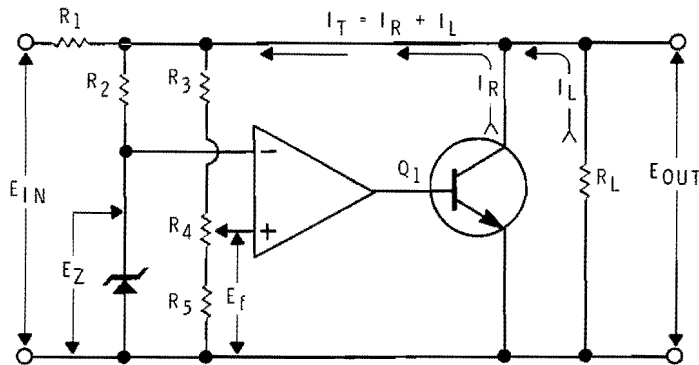


Figure 4-57  
Shunt regulator.

Earlier, we discussed one type of shunt regulator. It consisted of a zener diode and a resistor. More complex shunt regulators generally use one or more transistors.

A shunt regulator which uses an op amp as an error detector and amplifier is shown in Figure 4-57. The control device is  $Q_1$ . Notice that  $Q_1$  is connected directly across the load ( $R_L$ ).

$E_{in}$  is the unregulated input from a rectifier-filter circuit. Ignoring the regulator circuit,  $R_1$  and  $R_L$  form a voltage divider.  $E_{out}$  is developed across  $R_L$ . As we have seen, the load can change value as different circuits are turned on and off. If  $R_L$  decreases, less voltage is developed across  $R_L$  and more voltage is dropped by  $R_1$ . This will cause  $E_{out}$  to decrease.

Now let's see how the regulator compensates for changes in  $R_L$ .  $Q_1$  is in parallel with  $R_L$ . Therefore, the supply current ( $I_T$ ) is equal to the sum of the regulator current ( $I_R$ ) and the load current ( $I_L$ ). That is:

$$I_T = I_R + I_L$$

If  $I_T$  could be held constant, then  $E_{out}$  would remain constant regardless of changes in the load current. To hold the total current constant, the regulator current must make up for any increase or decrease in the load current. Therefore, when  $I_L$  decreases,  $I_R$  must increase so as to hold  $I_T$  constant.

The value of  $I_R$  is controlled by the conduction of  $Q_1$ . In turn, the output of the op amp controls the conduction of  $Q_1$ . Take a look at the op amp circuit. Notice that the voltage at the inverting ( $-$ ) input is held constant by the zener diode. The op amp uses this voltage ( $E_Z$ ) as a reference. The



noninverting (+) input is connected to the arm of potentiometer  $R_4$ . Feedback is provided from the collector of  $Q_1$  to the noninverting input via  $R_3$  and  $R_4$ . A feedback voltage ( $E_f$ ) is developed at the noninverting input. As we saw in the previous unit, the circuit will automatically adjust itself so that  $E_f$  is equal to  $E_Z$ .

Now let's assume that  $R_L$  decreases. This causes  $I_L$  to increase. When  $I_L$  increases,  $E_{out}$  tends to decrease. However, when  $E_{out}$  decreases,  $E_f$  also decreases. This causes the voltage at the output of the op amp to decrease. In turn, the op amp draws less base current through  $Q_1$ . Thus,  $Q_1$  conducts less and  $I_R$  decreases. The decrease in  $I_R$  offsets the increase in  $I_L$ . Consequently, the total current remains almost constant. In turn,  $E_{out}$  remains nearly constant.

Potentiometer  $R_4$  allows  $E_{out}$  to be adjusted. If the arm is moved up,  $E_f$  tends to increase. To hold  $E_f$  equal to  $E_Z$ ,  $E_{out}$  must decrease. Here is what happens.  $E_f$  tends to increase as the arm is moved up. This forces the output voltage of the op amp more positive. In turn, this causes  $Q_1$  to conduct harder. Increasing  $I_R$  increases  $I_T$ . Thus,  $R_1$  drops a higher portion of  $E_{in}$  causing  $E_{out}$  to decrease.

Once  $E_{out}$  is set to a desired level, the regulator tends to keep  $E_{out}$  at this level in spite of changes in  $E_{in}$  or  $R_L$ .

## IC Regulators

Today, the trend in power supply design is toward integrated circuit (IC) regulators. Monolithic IC regulators are available with a wide range of output voltages and currents. Generally, a single IC will contain the series pass transistor, reference voltage source, feedback amplifier, and short circuit protection circuit. In addition, many have a thermal shutdown circuit that makes the IC virtually blow-out-proof.

Some IC regulators are designed to deliver one fixed output voltage such as +5 volts or +12 volts. However, others can be set up with external components to produce a range of voltages.

Some of the low voltage regulators can deliver 1 ampere or more to the load without external components. Many regulators, though, are limited to 200 mA or below. If higher currents are required, additional components must be added externally.

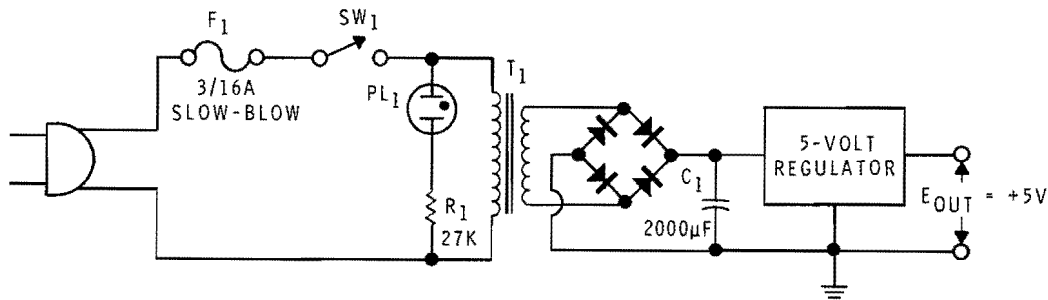


Figure 4-58  
5-volt power supply using IC voltage  
regulator.

Figure 4-58 shows a complete power supply which uses a 5-volt IC regulator. The regulator has only three terminals and its physical appearance is that of a power transistor. In some cases, the leads are even labelled emitter, base, and collector. However, the IC contains over a dozen transistors arranged as a series feedback regulator.

An unregulated DC voltage is supplied to the input terminal. This voltage can be any value from about +10 volts to about +35 volts. The regulated +5 volts is available at the output terminal. The third lead connects to ground.

In the circuit shown, the bridge rectifier produces an output of 12.5 volts.  $C_1$  filters the pulsating DC. The IC regulator accepts this unregulated input and produces a precise, regulated, +5 volts output.

This circuit is protected by a 3/16-ampere slow-blow fuse.  $SW_1$  is the on-off switch.  $PL_1$  acts as a pilot light. This neon bulb glows whenever  $SW_1$  is closed. This gives a visual indication that the device is turned on.  $R_1$  limits the current through the lamp to the proper value.

## Oscilloscope Power Supply

Our study of power supplies would not be complete without a look at some actual circuitry used in electronic equipment. First, let's look at a power supply circuit used in an oscilloscope.

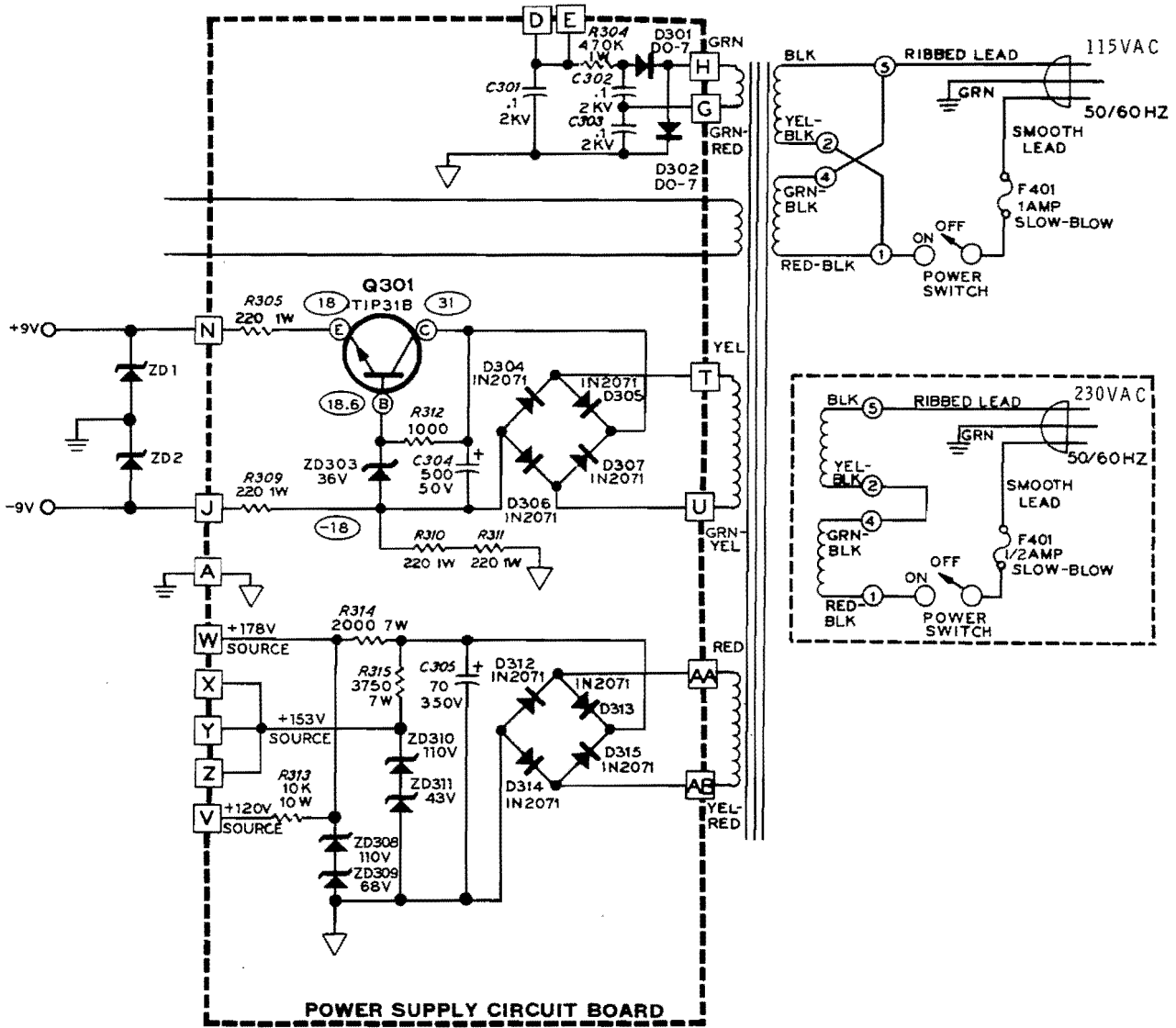


Figure 4-59  
Oscilloscope power supply.

The circuit is shown in Figure 4-59. The primary of the power transformer has two 115 VAC windings. If the device is to be operated from the 115 VAC line, the primary windings are connected in parallel as shown. An alternate connecting is shown inside the dotted lines, where the two primaries are connected in series. In this case, the line voltage must be 230 VAC for proper operation. In many countries, a line voltage of 230 VAC is common. Thus, this type of transformer allows the power supply to be wired for 115 VAC or 230 VAC operation.

A one-ampere, slow-blow fuse protects the power supply. A prolonged overload will cause the fuse to blow.

The oscilloscope requires several different voltages, ranging from 9 volts to well over 1,000 volts. Consequently, three different rectifier circuits are used. The bridge rectifier on the bottom produces intermediate voltage values.  $ZD_{310}$  is a 110 volt zener, while  $ZD_{311}$  is a 43 volt zener. Since these two zeners are connected in series, they provide a regulated voltage of about 153 volts. In the same way,  $ZD_{308}$  and  $ZD_{309}$  provide a regulated 178 volts.

$D_{304}$  through  $D_{307}$  form a second bridge rectifier.  $Q_{301}$  and its associated components form an emitter-follower regulator. Because  $ZD_{303}$  is a 36-volt zener, the voltage across the output of the regulator is about 36 volts. However, two additional 9-volt zeners reduce the voltage further. By connecting equal loads from each side of the supply to ground, two separate supplies are obtained.  $ZD_2$  provides a regulated  $-9$  volt output while  $ZD_1$  provides a regulated  $+9$  volt output.

The third rectifier is connected across a high voltage secondary of the transformer.  $D_{301}$ ,  $D_{302}$ ,  $C_{302}$ , and  $C_{303}$  form a full-wave voltage doubler. The output of this circuit is about  $-1200$  volts.

## Color TV Power Supply

Figure 4-60 shows the power supply from a solid-state color TV receiver. A few extraneous circuits have been ignored to simplify the explanation.

A color TV receiver is a very complex piece of equipment. It requires many different voltage levels to drive its various circuits. Some voltages have to be regulated, others do not. This explains why so many different rectifier circuits are required. This power supply has five rectifiers which produce eight different DC voltages.

Two power transformers are used.  $T_{702}$  is a relatively small transformer which drives the two bottom rectifiers.  $T_{701}$  is a much larger transformer. It provides the higher voltages and currents required by the three upper rectifiers.

The line voltage is applied to these transformers via the circuit breaker and two on-off switches. The circuit breaker protects the entire TV receiver by disconnecting one side of the AC line if an overload occurs. A circuit breaker is used rather than a fuse, since it can be easily reset by the viewer.

Two on-off switches are used because this TV set has an "instant-on" feature. When  $S_{701}$  is open, the TV set is completely off, and the "instant on" feature is defeated. When turned on from this position, the picture tube filament will take about 30 seconds to warm up. Thus, there is a short delay before the picture appears.

To select the "instant on" feature,  $S_{701}$  is permanently closed and the receiver is turned on and off with  $S_{702}$ . The upper secondary of  $T_{702}$  supplies 5 VAC to the picture tube filament. This keeps the filament hot and reduces the warm-up time to a few seconds. Since this eliminates the thermal shock of repeatedly cooling and heating the filament, it also extends the picture tube life.

Most of the power supply circuits are similar to circuits discussed earlier. Therefore, only a brief explanation of each is necessary. Let's start with the lower secondary winding of  $T_{702}$  and work up.

$D_{680}$  is a half-wave rectifier.  $Q_{676}$  and its associated components form an emitter-follower regulator. A PNP transistor is used since the output voltage is negative. Also, notice that  $D_{680}$  and the zener ( $ZD_{676}$ ) are "reversed" to produce the negative voltage. The regulated output is  $-8$  volts. An unregulated output of  $-15$  volts is tapped off in front of the regulator.

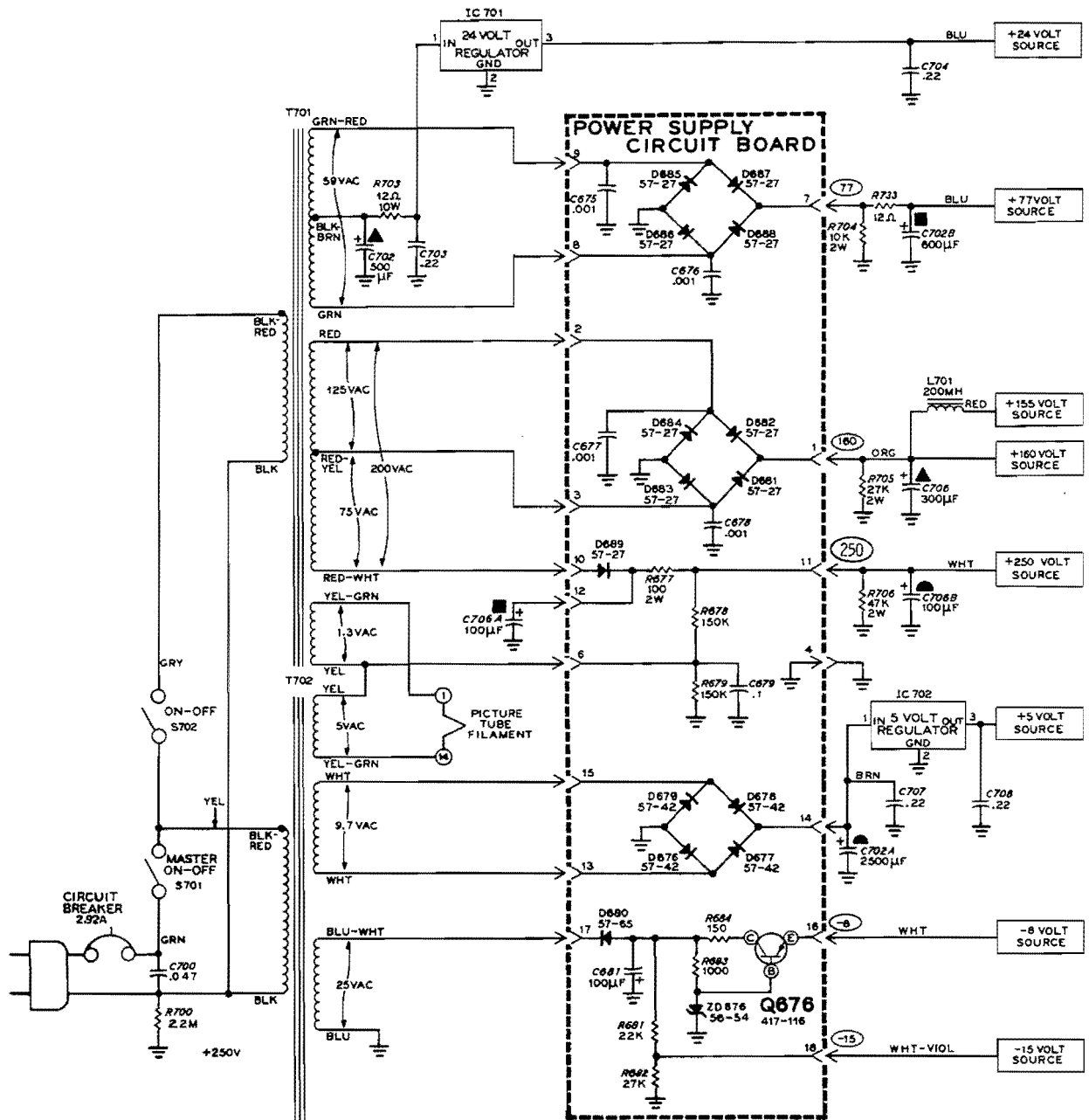


Figure 4-60  
Power supply for solid-state TV receiver.

$D_{676}$  through  $D_{679}$  form a bridge rectifier. IC702 is a 5-volt regulator in integrated circuit form.

The top winding of  $T_{702}$  provides the filament voltage for the picture tube. When the receiver is off but the "instant on" feature is used, the filament voltage is 5 VAC. However, when  $S_{702}$  is closed, an additional 1.3 VAC is added in series. This increases the filament voltage to its normal operating value of 6.3 VAC.

$D_{689}$  is a half-wave rectifier that produces +250 VDC. This output is unregulated.

$D_{681}$  through  $D_{684}$  form a bridge rectifier which produces +160 VDC. This voltage is reduced further (to +155 VDC) by filter choke  $L_{701}$ . Both of these voltages are unregulated.

In the same way,  $D_{685}$  through  $D_{688}$  are connected as a bridge rectifier that produces +77 VDC unregulated. In addition, diodes  $D_{685}$  and  $D_{686}$  form a full-wave rectifier which produces the input voltage for the 24-volt regulator. To illustrate how the full-wave rectifier works, it is redrawn in Figure 4-61. On one half-cycle  $D_{686}$  conducts, charging  $C_{702C}$  and  $C_{703}$  to a positive voltage. On the next half-cycle  $D_{685}$  conducts, recharging the capacitors to a positive voltage. As you can see, this is a full-wave rectifier. The output voltage is regulated by the 24-volt IC regulator.

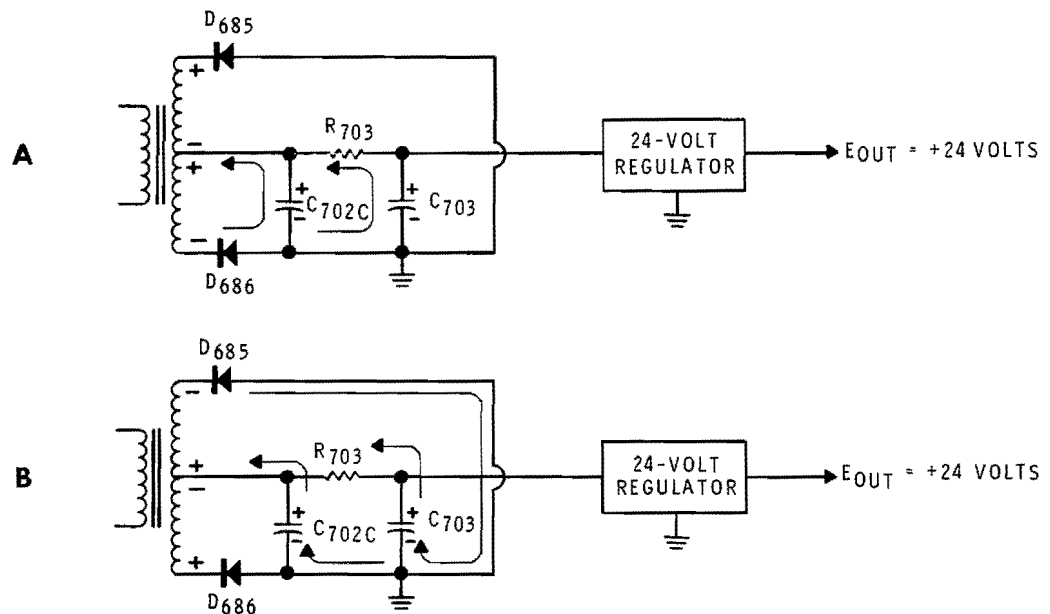


Figure 4-61  
Simplified diagram of full-wave supply.

Before leaving the power supply shown in Figure 4-60 some additional things should be pointed out. Notice that several of the very large value capacitors have the same number but with different letter suffixes. For example,  $C_{702}$  is a three-section electrolytic capacitor. It consists of a 2500 microfarad, a 600 microfarad, and a 500 microfarad capacitor in a single can. These three capacitors are numbered  $C_{702A}$ ,  $C_{702B}$ , and  $C_{702C}$  respectively.

In addition to the very large electrolytic capacitors, much smaller Mylar\* Capacitors are used. These capacitors are designed to filter out high frequency AC signals. Because of their construction and large size, electrolytic capacitors have a certain value of stray inductance. At high frequencies, their  $X_L$  can become high enough to prevent adequate filtering. Thus, the smaller value capacitors are used to eliminate frequencies which are too high for the electrolytics to suppress.

### ET-3100 Power Supply

As our final example, let's consider the power supply in the ET-3100 electronic design Trainer.

This schematic diagram is shown in Figure 4-62. As with the oscilloscope supply discussed earlier, the primary of the transformer can be wired for either 115 VAC or 230 VAC operation.

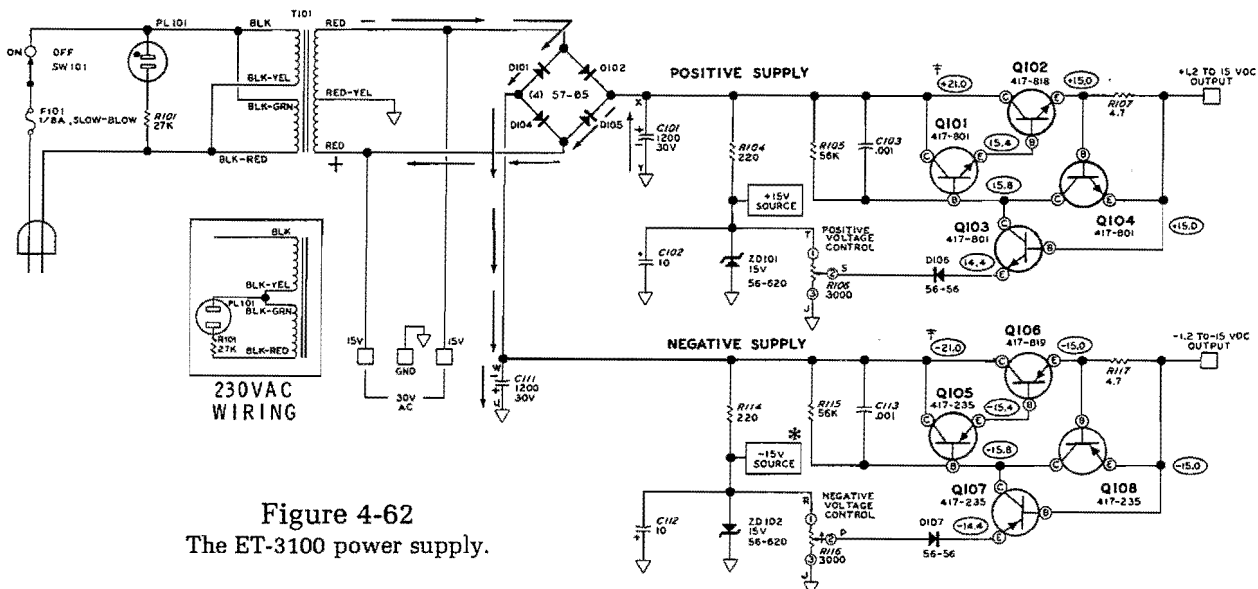


Figure 4-62  
The ET-3100 power supply.

\*DuPont Registered Trademark.



In the secondary, a single bridge rectifier is used to produce both negative and positive supply voltages. We can visualize how the bridge does this by considering the operation of the bridge when the polarity of the secondary voltage is as shown.  $D_{101}$  and  $D_{105}$  conduct. Current flows as indicated by the arrows. Notice that  $C_{111}$  charges negative with respect to ground while  $C_{101}$  charges to a positive voltage. On the next half cycle,  $D_{104}$  and  $D_{102}$  conduct. Again, a negative voltage is developed across  $C_{111}$  while a positive voltage is developed across  $C_{101}$ . The return, for both the negative and positive supplies, is through the center-tap in the transformer secondary. This arrangement allows a single rectifier to produce two voltages of opposite polarity.

This series regulator in the positive supply is very similar to the feedback regulator discussed earlier.  $Q_{101}$  and  $Q_{102}$  are connected in the Darlington configuration.  $Q_{102}$  is the series pass transistor.

$Q_{103}$  is the error amplifier. Its base is connected directly to DC output voltage. Its emitter is connected to the reference voltage. In this circuit, the reference voltage is regulated by a 15-volt zener ( $ZD_{101}$ ). Potentiometer  $R_{106}$  allows us to adjust the reference voltage from 0 to +15 volts. This allows the output voltage to be adjusted over approximately the same range. However, because of the 0.6 volt drop across  $D_{106}$  and the 0.6 volt  $V_{BE}$  drop in  $Q_{103}$ , the output voltage cannot fall below about 1.2 volts.

$Q_{104}$  and  $R_{107}$  form a current limiting circuit. When the load current increases to about 120 mA, the voltage drop across  $R_{107}$  is sufficient to turn on  $Q_{104}$ . As we saw earlier, this tends to limit the conduction of  $Q_{102}$ . Thus, the output current is limited to about 120 mA. This protects the supply from accidental short circuits. The other voltage regulator performs the same function. Here, PNP transistors are used because of the negative voltage. Also, the zener diode is reversed because the reference voltage must be negative. Aside from these obvious differences, the operation of the circuit is the same as the positive voltage regulator.

## Programmed Review

71. The two most popular overload protection devices are \_\_\_\_\_ and \_\_\_\_\_.

72. (fuses, circuit breakers) Fuses can be classified by how long they take to respond to an overload. Thus, there are \_\_\_\_\_ fuses which blow quickly and \_\_\_\_\_ fuses which can withstand brief overloads without blowing.

73. (fast-blow, slow-blow) One advantage of the circuit breaker is that it can be manually \_\_\_\_\_ after an overload occurs.

74. (reset) One type of protective circuit sometimes used in power supplies employs an SCR which is connected directly across the load. The purpose of the SCR is to protect the \_\_\_\_\_ should a failure occur in the power supply.

75. (load) This circuit is called a \_\_\_\_\_ circuit.

76. (crow bar) If the output voltage attempts to increase to a dangerous level, the SCR conducts shorting out the \_\_\_\_\_.

77. (load) Another circuit sometimes used in power supplies is the shunt regulator. In this circuit, the control transistor is in \_\_\_\_\_ with the load.

78. (parallel or shunt) This gives it the advantage that it is not damaged by shorts in the load. Its disadvantage is that it is not as efficient as the \_\_\_\_\_ regulator.

79. (series) The shunt regulator holds the output voltage constant by insuring that the total \_\_\_\_\_ supplied by the rectifier-filter is held constant.

80. (current) Thus, any decrease in current through the load is offset by an increase in current through the \_\_\_\_\_.

81. (shunt regulator) A modern trend in power supply design is the series feedback regulator in \_\_\_\_\_ (IC) form.

82. (integrated circuit) A complete regulator is often placed in a single IC package. When an unregulated DC voltage is applied, the IC produces a \_\_\_\_\_ output voltage.

83. (regulated) A complicated piece of electronic equipment often requires several different supply \_\_\_\_\_. For this reason, several different rectifier circuits are often used.

(voltages).

## UNIT SUMMARY

The half-wave rectifier uses a single diode. Without filters, its average output voltage is 31.8% of the peak AC input. Its advantage is low cost and simplicity. Its disadvantages include its low ripple frequency and low average output voltage.

The full-wave rectifier uses two diodes and a center-tapped transformer. Its ripple frequency is twice the line frequency and is therefore easier to filter. However, its average output voltage is still low.

The bridge rectifier uses four diodes and can be operated with or without a transformer. Its ripple frequency is equal to twice the line frequency. Its average output voltage is twice that of the half-wave and full-wave rectifiers.

A capacitor is generally placed across the load to provide filtering. The capacitor raises the average output voltage and reduces the ripple amplitude. It also increases the peak inverse voltage to which the diodes are subjected. Frequently, two capacitors are used. They are generally separated by a resistor or an inductor. The resulting RC or LC filter does a better job of eliminating the ripple voltage.

Voltage multipliers are used when we need higher voltages than can be provided by conventional rectifier circuits. Two or more capacitors are each charged to the peak of the AC input. The load is connected across the series string of capacitors. Thus, the load sees a very high DC voltage.

The simplest type of regulator uses a zener diode. When reverse biased, the zener provides a fairly constant voltage. When connected in parallel with a load, the zener can keep the voltage across the load fairly constant. Because the zener is in parallel with the load, it is called a shunt regulator.

Often the zener is combined with an emitter follower to form a simple series regulator. The zener holds the base voltage of the transistor constant. Because the transistor acts as an emitter follower, the emitter voltage remains almost constant. A disadvantage of the emitter follower regulator is that the output voltage cannot be higher than the zener voltage.

The feedback series regulator overcomes this disadvantage by placing an amplifier between the zener and the pass transistor. The amplifier compares the reference voltage with a sample of the output voltage. If the output voltage attempts to change, the amplifier changes the bias on the pass transistor so as to oppose the change.

Most power supplies employ some form of protection. Often this is nothing more than a fuse or a circuit breaker. However, some supplies have special circuits which protect against excessive load currents and voltages.

## UNIT EXAMINATION

The following multiple choice examination is designed to test your understanding of the material presented in this unit. Place a check beside the multiple choice (A, B, C, or D) that you feel is most correct. When you have completed the examination, compare your answers with the correct ones that appear after the exam.

1. Refer to Figure 4-63.  $E_{out}$  will have an average value of:

- A. +30 VDC
- B. +42.5 VDC
- C. +13 VDC
- D. +9.5 VDC

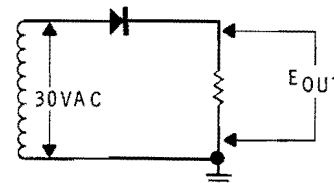


Figure 4-63  
Circuit for Question 1.

2. Refer to Figure 4-64.  $E_{out}$  will have an average value of:

- A. +30 VDC.
- B. +42.5 VDC.
- C. +13 VDC.
- D. +9 VDC.

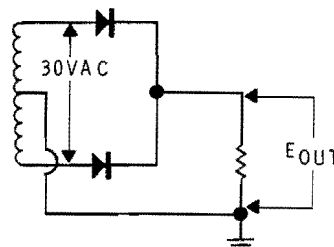


Figure 4-64  
Circuit for Question 2.

3. Refer to Figure 4-65.  $E_{out}$  will have an average value of:

- A. +26.75 VDC.
- B. -26.75 VDC.
- C. +42.5 VDC.
- D. -42.5 VDC.

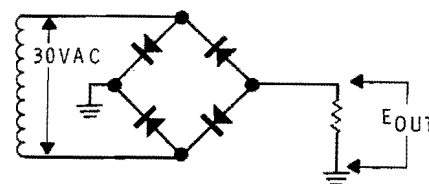


Figure 4-65  
Circuit for Question 3.

4. Refer to Figure 4-66.  $E_{out}$  will have an average value of:

- A. +60 VDC.
- B. +84 VDC.
- C. +100 VDC.
- D. +42.5 VDC.

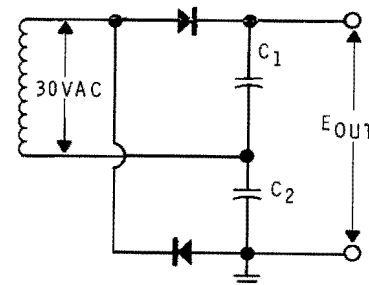


Figure 4-66  
Circuit for Question 4.

5. Which of the following circuits has the lowest ripple frequency?

- A. Figure 4-63.
- B. Figure 4-64.
- C. Figure 4-65.
- D. Figure 4-66.

6. A filter capacitor immediately following the rectifier:
- A. Increases the peak inverse voltage that the diodes must withstand.
  - B. Decreases the frequency of the ripple.
  - C. Increases the amplitude of the ripple.
  - D. Reduces the average output voltage.
7. In a given power supply, the percent ripple can be reduced by:
- A. Increasing the load current.
  - B. Decreasing the value of the filter capacitor.
  - C. Decreasing the value of the load resistance.
  - D. Increasing the value of the filter capacitor.
8. A power supply produces a no-load output voltage of +24 volts. Under full-load conditions, the output voltage drops to +21 volts. What is the percent regulation?
- A. 14.3%.
  - B. 10%.
  - C. 20%.
  - D. 12.5%.
9. The zener diode is useful as a voltage regulator because:
- A. Its reverse voltage remains fairly constant even though its reverse current changes.
  - B. Its forward voltage remains fairly constant even though its forward current changes.
  - C. Its reverse current remains fairly constant even though its reverse voltage changes.
  - D. Its forward current remains fairly constant even though its forward voltage changes.
10. A voltage regulator:
- A. Compensates for changes in load current.
  - B. Compensates for changes in the line voltage.
  - C. Helps reject the ripple frequency.
  - D. All the above.

11. In the emitter follower regulator, the output voltage is:
- About twice the zener reference voltage.
  - Slightly less than the zener reference voltage.
  - Held constant regardless of changes in the zener reference voltage.
  - Slightly higher than the unregulated DC input voltage.

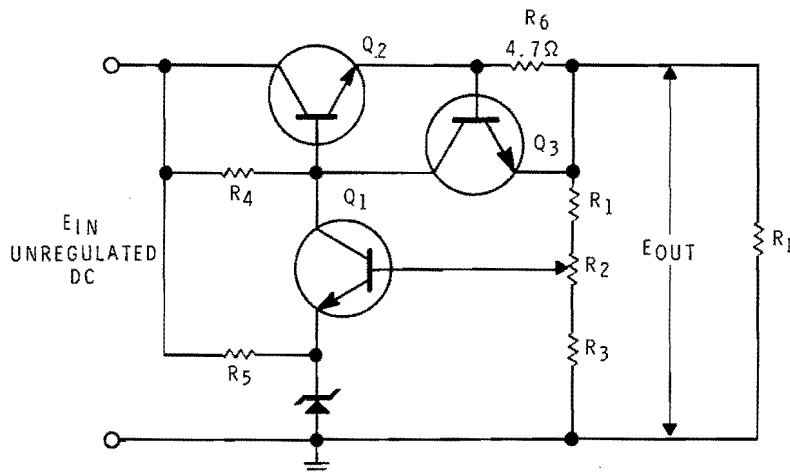


Figure 4-67  
Circuit for Questions 12 and 13.

12. Refer to Figure 4-67. When the arm of  $R_2$  is moved up:
- $Q_2$  conducts harder and  $E_{out}$  increases.
  - $Q_2$  conducts less and  $E_{out}$  increases.
  - $Q_2$  conducts harder and  $E_{out}$  decreases.
  - $Q_2$  conducts less and  $E_{out}$  decreases.
13. Refer to Figure 4-67. The purpose of  $Q_3$  and  $R_6$  is to:
- Short out the load if  $E_{out}$  becomes too high.
  - Limit the load current to about 120 mA.
  - Limit the load current to about 1 ampere.
  - Limit the load current to about 50 mA.



14. Refer to Figure 4-68. The value of  $E_{out}$  is approximately:

- A. +4.3 volts.
- B. +15 volts.
- C. +7.5 volts.
- D. +10 volts.

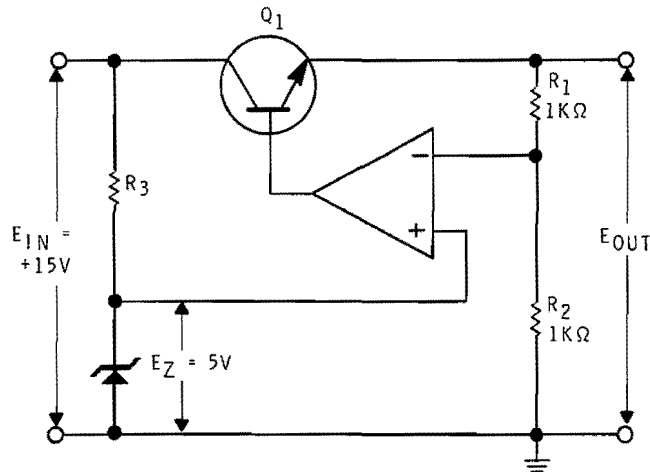


Figure 4-68  
Circuit for Question 14.

15. An advantage of the shunt regulator over the series regulator is:

- A. The series regulator does not provide ripple rejection.
- B. The shunt regulator is more efficient.
- C. A short circuit in the load will not damage the shunt regulator.
- D. The output voltage of the series regulator cannot be adjusted.

16. The purpose of the crowbar circuit is to:

- A. Protect the power supply from short circuits in the load.
- B. Protect the load from failures in the power supply.
- C. Act as a shunt regulator in very high current circuits.
- D. Replace the fuse or circuit breaker in a power supply.

17. The advantage of a circuit breaker over a fuse is:
- A. The initial cost of the circuit breaker is lower.
  - B. The circuit breaker can be reset.
  - C. The circuit breaker regulates the input voltage.
  - D. The circuit breaker can handle much higher currents.



## EXAMINATION ANSWERS

1. C — The circuit is a half-wave rectifier. Since the output is unfiltered,  $E_{out}$  has a peak value of about 42 volts. Recall that the average voltage is equal to

$$E_{avg} = \frac{E_{peak}}{\pi}$$

$$= \frac{42}{3.14}$$

$$E_{avg} = 13.37 \text{ V or about } 13 \text{ V}$$

2. C — This circuit is a full-wave rectifier.  $E_{out}$  has a peak value of about 21 volts. Therefore,

$$E_{avg} = 2 \times \frac{E_{peak}}{\pi}$$

$$E_{avg} = 2 \times \frac{21}{3.14}$$

$$E_{avg} = 13.37 \text{ V or about } 13 \text{ V}$$

3. B — Here the circuit is a bridge rectifier. The diodes are connected so as to produce a negative output voltage. The peak value of the output is about  $-42$  volts. Therefore,

$$E_{avg} = 2 \times \frac{E_{peak}}{\pi}$$

$$E_{avg} = 2 \times \frac{-42}{3.14}$$

$$E_{avg} = -26.75 \text{ V}$$

4. B — This circuit is a full-wave voltage doubler. Each capacitor charges to the peak of the AC input, or to about 42 volts. Thus,  $E_{out}$  will have a value of about 84 volts.
5. A — The half-wave rectifier has a lower ripple frequency than either of the full-wave circuits.

6. A — A filter capacitor increases the peak inverse voltage that the diode must withstand.
7. D — The percent ripple can be reduced by increasing the value of the filter capacitor.

8. A —  $\% \text{ reg} = \frac{E_{no-load} - E_{full-load}}{E_{full-load}} \times 100$

$$\% \text{ reg} = \frac{24 \text{ V} - 21 \text{ V}}{21 \text{ V}} \times 100$$

$$\% \text{ reg} = \frac{3 \text{ V}}{21 \text{ V}} \times 100$$

$$\% \text{ reg} = 14.3\%$$

9. A — The zener diode's reverse voltage remains fairly constant in spite of changes in its reverse current. This characteristic makes the zener valuable as a voltage regulator.
10. D — A good voltage regulator will hold the output voltage constant regardless of changes in load current or line voltage. It also helps to eliminate the ripple frequency.
11. B — The output voltage is equal to the zener voltage minus the small  $V_{BE}$  drop of the pass transistor.
12. D — When the arm of  $R_2$  is moved up,  $Q_1$  conducts harder.  $Q_1$ 's collector voltage drops causing  $Q_2$  to conduct less. If  $Q_2$  conducts less, it drops more of  $E_{in}$ . This causes  $E_{out}$  to decrease.
13. B —  $Q_3$  conducts when its base is about 0.5 to 0.6 volts more positive than its emitter. When the load current is 120 mA,  $R_6$  develops a voltage of about 0.56 volts. Thus, if the current exceeds 120 mA,  $Q_3$  conducts reducing the conduction of  $Q_2$ . This tends to limit the current to about 120 mA.

14. D — Since this is a noninverting amplifier, the gain of the op amp is

$$A_V = \frac{R_1}{R_2} + 1 = \frac{1 \text{ k}\Omega}{1 \text{ k}\Omega} + 1 = 1 + 1 = 2$$

The voltage at the noninverting input is +5 volts. Thus, the output ( $E_{out}$ ) must be  $+5 \times 2 = +10$  volts.

15. C — The shunt regulator will not be damaged by a short circuit in the load.
16. B — The crowbar protects the load against failures in the power supply.
17. B — One advantage is that the circuit breaker can be repeatedly reset.



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## UNIT OBJECTIVES

When you complete this unit, you will be able to:

1. List the three general classes of feedback oscillators.
2. Calculate the frequency of common LC oscillators.
3. Differentiate between the series-fed and shunt-fed Hartley oscillators.
4. Recognize the Colpitts oscillator.
5. Calculate the feedback factor for a Colpitts oscillator when given capacitor values.
6. Determine expected frequency changes that result when reactive values are varied in LC oscillators.
7. Look at the schematic of a crystal oscillator and determine whether the crystal is operating in the series-resonant or parallel-resonant mode.
8. Explain the crystal's frequency selective characteristics.
9. Recognize basic crystal oscillators.

## UNIT ACTIVITY GUIDE

	<b>Completion Time</b>
<input type="checkbox"/> Read "Oscillator Fundamentals."	_____
<input type="checkbox"/> Complete Programmed Review Frames 1 through 9.	_____
<input type="checkbox"/> Perform Experiment 11.	_____
<input type="checkbox"/> Read "The Transformer Oscillator."	_____
<input type="checkbox"/> Complete Programmed Review Frames 10 through 15.	_____
<input type="checkbox"/> Read "LC Oscillators."	_____
<input type="checkbox"/> Complete Programmed Review Frames 16 through 24.	_____
<input type="checkbox"/> Perform Experiment 12.	_____
<input type="checkbox"/> Read "Crystal Controlled Oscillators."	_____
<input type="checkbox"/> Complete Programmed Review Frames 25 through 37.	_____

## OSCILLATOR FUNDAMENTALS

Frequently, electronic circuits require AC signals that can range from a few hertz to many millions of hertz. Oscillators are usually used to generate these frequencies. Furnishing this wide range of frequencies requires many different oscillators, and there are literally hundreds. However, all oscillators operate on the same basic principles and, if you thoroughly understand these basic principles, you should be able to analyze the operation of most common oscillators.

### What is an Oscillator?

An oscillator is a circuit that generates a repetitive AC signal. As mentioned previously, the frequency of this AC signal may be a few hertz, a thousand hertz, a million hertz, or even higher, in the giga-hertz range. The 60 hertz AC signal available at the normal wall outlet is produced by an AC generator or alternator at the power station and is then transmitted through the power lines to your home or office. Since AC can be transmitted easily with subsequent low power losses, it is quite suitable for use in power systems.

The 60 hertz AC signal from the wall outlet is a convenient source of a relatively constant 60 hertz signal. However, except for supplying operating power (its primary purpose), this 60 hertz sine wave has few applications in electronic circuits. So, other means are required to supply AC signals of different frequencies.

Why not use an AC generator? Generators are relatively large and expensive. Also, they would be satisfactory only for low frequency applications because generator output frequency depends on the number of poles in the generator field and the speed of rotation (Figure 5-1). Generator frequency is limited since, at high speeds, the generator will fly apart. Therefore, the AC generator is not a feasible solution.

$$\text{FREQUENCY} = \frac{\text{NUMBER OF POLES} \times \text{SPEED OF ROTATION}}{60}$$

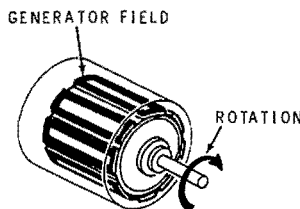


Figure 5-1  
Generator frequency  
depends on poles and speed.

*Unit 5*

**OSCILLATORS**

One form of positive feedback is common in public address systems. If the microphone is placed too close to the loudspeaker, positive feedback results, as shown in Figure 5-5. The speaker output is fed back to the microphone, amplified, and again applied to the speaker. This sets up a continual cycle and the loudspeaker emits a high-pitched acoustical squeal.

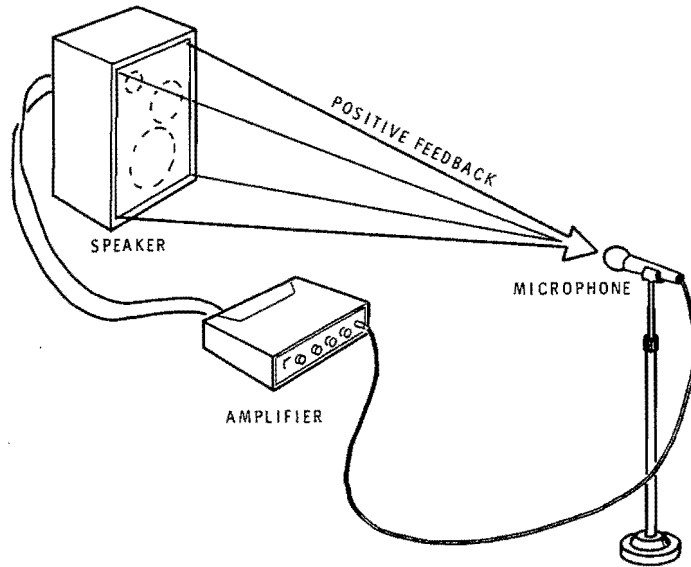


Figure 5-5  
A form of positive feedback.

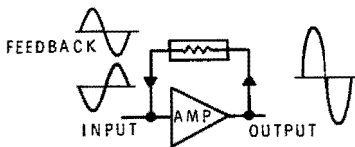


Figure 5-6  
Feedback in the  
common-emitter amplifier.

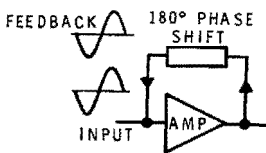


Figure 5-7  
Common-emitter amplifier  
with feedback shifted 180°

From this example, it may seem that an oscillator can be created by simply taking a portion of amplifier output and feeding it back to the input. In some cases this is true, but not in all. For the circuit to oscillate, the feedback signal must be in phase with the input signal. Figure 5-6 illustrates this point.

This is a common-emitter amplifier, where a portion of the output signal is fed back to the input. Remember, in the common-emitter arrangement, the output is 180° out of phase with the input. So in this circuit, the feedback signal opposes the input signal, resulting in reduced input. Such feedback is degenerative, or negative in nature, and is used in amplifier circuits to reduce distortion. This circuit will not oscillate.

However, if you place a 180° phase-shift network between the output and input, feedback will be of the correct phase. Figure 5-7 illustrates this principle. Notice that the feedback signal is in phase with the input signal, adding to the input. The result is positive or regenerative feedback.

## INTRODUCTION

Amplifiers are extremely important in the world of electronics. Oscillators are perhaps equally important, for no matter what field of electronics you may be involved in, you will encounter oscillators.

Oscillators are used in computers, communications systems, television systems, industrial control and manufacturing processes, and even in electric watches as the basic time-keeping device. Probably one of the most common uses of the high-frequency oscillator is in the television tuner or "channel selector." Here, the oscillator helps select the channel to be viewed. A faulty tuner oscillator can result in a "no reception" condition, where no stations are received and the television screen is blank, making the television useless. The oscillator is an important part of television systems and most other electronic equipment. Therefore, how well you understand oscillators may affect your success in electronics.

The term oscillator naturally implies an oscillating or revolving motion. One example of mechanical oscillation is the pendulum in a typical grandfather clock. The pendulum "oscillates" back and forth, ticking away the minutes. In this sense, the pendulum is the basic timing mechanism for the clock. Electronic oscillators operate in a similar manner, generating a continuously repetitive output signal that is sometimes used to time or synchronize operations.

In this unit, you will study common electronic oscillators; how they work, and how they are identified. Each oscillator has its own distinct characteristics of identification and operation. It is important to remember these characteristics. You will also experiment with different oscillators and discover how they function to maintain oscillation at a set frequency.

Figure 5-10 shows the basic oscillator and a breakdown of circuit parameters. In this simplified drawing, A represents amplifier gain, B is the feedback factor (the percentage of output returned to the input) and  $A^1$  is overall stage gain. These parameters are illustrated mathematically in the stage gain formula below:

$$A^1 = \frac{A}{1-AB}$$

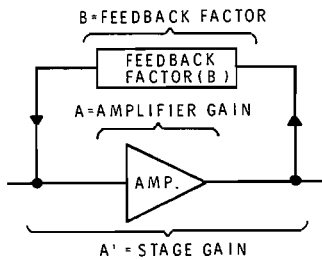


Figure 5-10  
Examining overall stage gain.

Where:  $A^1$  = stage gain

A = amplifier gain

B = feedback factor (%)

Since the oscillator must produce its own input signal and this condition must occur continuously, the product of amplifier gain (A) and feedback factor (B) must equal 1, or a condition of unity. Plug this value into the stage gain formula.

$$A^1 = \frac{A}{1-AB} = \frac{A}{1-1} = \frac{A}{0} = \infty$$

When the product of amplifier gain and feedback factor is equal to 1, the denominator of the gain equation is 0, resulting in infinite stage gain. This may seem impractical, but it is very practical, even desirable for oscillators. Infinite stage gain implies that a signal is present at the output, without an input. This is one of the conditions required for an oscillator. The qualification that  $AB = +1$  for oscillation is known as the “Barkhausen Criterion.”

With infinite stage gain, you might think that output would continually increase. This happens when the oscillator initially starts, but after a few oscillations, the amplifier saturates and brings the oscillator quickly under control. When an amplifier is saturated, it is providing maximum gain. This has a damping effect, returning the feedback product (AB) to one.

Oscillator designers strive for a feedback product (AB) of slightly greater than 1. When AB is at this point, maximum stability and the cleanest output waveform results. If the feedback product is too small, the circuit cannot sustain oscillation. If it is too large, output waveform clipping results. Clipping is desirable in some oscillators but not in sine wave oscillators. You will experiment with positive feedback later in this unit.

10. Identify the phase-shift and Wien-bridge oscillators.
11. Explain how frequency variations affect the output of a phase-shift network.
12. Explain how frequency variations affect the output of the Wien bridge.
13. Calculate the frequency of a phase-shift oscillator when given the values of resistance and capacitance.
14. Determine frequency changes that would result from variations of RC components in RC oscillators.
15. Identify the frequency determining components in a blocking oscillator.
16. Construct basic Hartley and Colpitts oscillators. Then vary the frequency-determining components and observe the changes in oscillator output.
17. Modify a Colpitts oscillator so it becomes a crystal oscillator.
18. Construct an op-amp Wien-bridge oscillator and insert an automatic gain control circuit to simplify oscillator adjustment.



## Programmed Review

This review is presented in a programmed instruction format. It will help you understand the material presented in this section. Read each of the numbered frames carefully and fill in the missing blanks at the bottom of each frame. The correct answer appears in parenthesis at the beginning of the next frame. Use a sheet of paper to cover all of the frames below the one you are reading.

1. If DC voltage is applied to a parallel LC network (called a tank circuit) and is then quickly removed, the circuit will oscillate for a brief period. The frequency of the tank circuit oscillations is determined by the values of inductance and \_\_\_\_\_.
2. (capacitance) An ideal tank circuit would oscillate indefinitely. However, the practical tank oscillates for only a short period, because power is expended in the internal \_\_\_\_\_ of the circuit.
3. (resistance) For the tank circuit to produce a continuous output waveform, circuit losses must be replaced. One method of replacing circuit losses is to use regenerative or \_\_\_\_\_ feedback.
4. (positive) An amplifier is an excellent means of replacing tank energy, since the amplifier's gain makes up for circuit losses. However, for positive feedback to occur, amplifier output must be fed back \_\_\_\_\_ phase with the input.  
in/out of

- Perform Experiment 13. \_\_\_\_\_
- Read "RC Oscillators." \_\_\_\_\_
- Complete Programmed Review Frames 38 through 47. \_\_\_\_\_
- Perform Experiment 14. \_\_\_\_\_
- Read "Nonsinusoidal Oscillators." \_\_\_\_\_
- Complete Programmed Review Frames 48 through 53. \_\_\_\_\_
- Read "High Frequency Oscillators." \_\_\_\_\_
- Complete Programmed Review Frames 54 and 55. \_\_\_\_\_
- Study Summary. \_\_\_\_\_
- Complete Unit Examination. \_\_\_\_\_
- Check Examination Answers. \_\_\_\_\_

## EXPERIMENT 11

### The Practical Tank Circuit

**OBJECTIVES:**

*Show how to construct an LC tank circuit and shock excite it into oscillation with a 2,000 Hz square wave.*

*Demonstrate damped oscillation and the sine wave output of the tank circuit.*

#### Introduction

The tank circuit is simply a capacitor and inductor connected in parallel. This circuit can be "shocked" into oscillation by applying a voltage for a short period of time. The tuned circuit then resonates, each cycle decreases in amplitude until oscillations cease. The resonant frequency of the tank is determined by the values of capacitance and inductance and can be calculated using the formula:

$$F_o = \frac{.159}{\sqrt{LC}}$$

In this experiment, you will construct a tank circuit and use a square wave to shock excite the tank into oscillation. You will then view the damped waveform on your oscilloscope. **Read the entire procedure before performing this experiment.**

#### Material Required

Heathkit Analog Trainer  
Multimeter  
Oscilloscope (dual channel preferred)  
1—305 microhenry inductor (40-882)  
2—0.1 microfarad capacitors  
1—NPN transistor (417-801) MPSA20  
1—22 kilohm resistor (red-red-orange-gold)  
1—4.7 ohm resistor (yellow-violet-gold-gold)

The electronic generator or “oscillator” is an alternative to the mechanical generator. It has no moving parts and is capable of producing AC signals ranging from a few hertz to many millions of hertz. Such an oscillator is shown in Figure 5-2. It operates from the DC power supply and generates an AC signal. Oscillator output can be a sine wave, rectangular wave, or a sawtooth, depending on the type of oscillator. The major requirement of a good oscillator is that the output is uniform, not varying in **frequency** or **amplitude**. In this unit the major concern is with the sinusoidal or sine wave oscillator.

## The Basic Oscillator

When a tank circuit is “excited” by a DC source, it has a tendency to oscillate. Circulating current flows inside the “tank,” producing a back and forth oscillatory motion. However, resistance of the tank circuit dissipates energy and oscillations are damped as shown in Figure 5-3.

For the tank circuit to continue oscillation, lost energy must be replaced. A crude method of replacing energy is to close the power switch once each cycle, as shown in Figure 5-4. It is important for the switch to close so the energy is replaced at exactly the instant that reinforces the charge on capacitor C. Replacement energy then has a reinforcing effect and is in phase with the tank waveform. Reinforcing energy that “adds to” circuit action, is a type of “positive feedback.” Positive feedback is the most important requisite for oscillation.

There is nothing unique about oscillation or positive feedback, especially in high frequency circuits. Distributed capacitance of these circuits “feeds back” signals that can cause high frequency circuits to break into oscillation. Of course this is undesirable, so circuit designers “neutralize” such circuits.

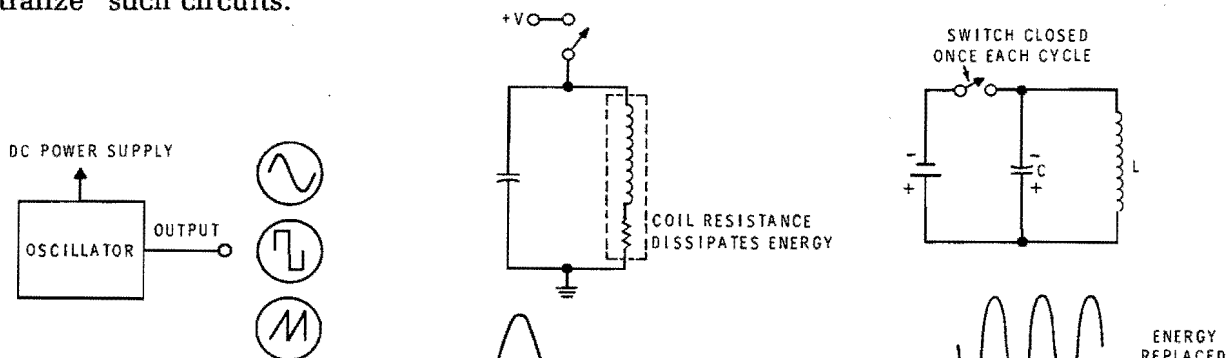


Figure 5-2  
The electronic oscillator.

Figure 5-3  
Damped oscillation waveform.

Figure 5-4  
Replacing tank energy.

5. Although waveform amplitude decreases with each alternation, the frequency remains constant because of the natural resonant frequency at which the LC network oscillates. What is the frequency of the waveform displayed on your oscilloscope?

$$F = \text{_____ Hz.}$$

6. Given the values of L and C, the natural resonant frequency of the tank circuit can be calculated by using the formula.

$$F_o = \frac{.159}{\sqrt{LC}}$$

Use the values given in the schematic for this circuit (Figure 5-11) and compute the resonant frequency of the tank circuit you constructed.

$$F_o = \text{_____ Hz.}$$

Is this frequency approximately the same as the frequency of the waveform observed on the oscilloscope? \_\_\_\_\_.

7. Leave the circuit connected and read the following discussion.

Once the amplifier begins to operate, the input signal can be removed and the circuit will continue to oscillate. The gain of the amplifier replaces energy lost in the circuit and positive feedback sustains oscillation. This circuit meets all of the requirements of an oscillator, except one . . . the frequency of oscillation. This circuit could oscillate on any number of frequencies. Even minor noise pulses could change oscillator frequency. An oscillator should have a constant output, so a means of setting frequency is necessary.

Here, the frequency selectivity of the parallel LC network is useful because the network resonates at a specific frequency, determined by the values of inductance and capacitance. Also, because the inductor and capacitor are reactive, they can produce the required 180° phase shift. Therefore, a tank circuit in the positive feedback loop, as shown in Figure 5-8A, controls the frequency. The tank resonates at its natural frequency and amplifier gain replaces energy lost in the tank.

A similar result is obtained if the regenerative feedback loop contains an RC (resistance-capacitance) network to produce the desired 180° phase shift (Figure 5-8B). Here, RC time constants determine oscillator frequency and the amplifier replaces energy lost across the RC network.

Up to this point, the oscillators were amplifiers with input signals applied to start the circuit. In actual practice, oscillators must start on their own. This is a natural phenomenon. When a circuit is first turned on, energy levels do not instantly reach maximum, but gradually approach it. This produces many noise pulses that can be phase shifted and fed back to the input, as shown in Figure 5-9. The amplifier steps up these pulses, which are again supplied to the input. This action continues and oscillation is underway. Therefore, the oscillator is naturally “self-excited,” meaning it starts on its own.

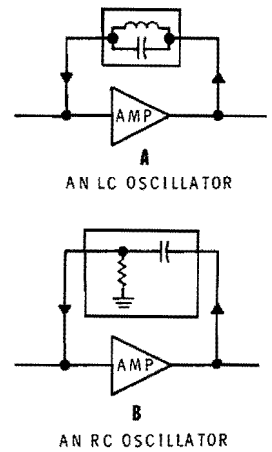


Figure 5-8  
LC and RC networks  
set frequency.

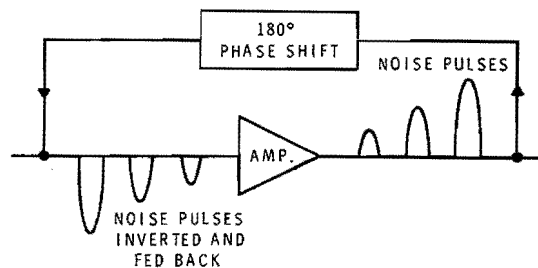
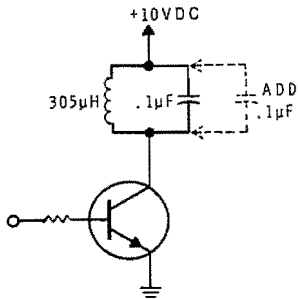
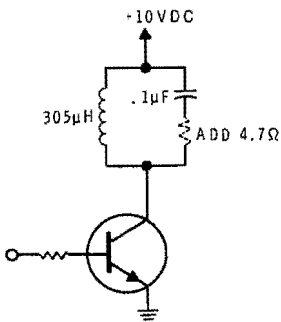


Figure 5-9  
Oscillators are self-starting.

### Procedure (Continued)



**Figure 5-16**  
Adding a 0.1  $\mu\text{F}$  capacitor  
to the tank.



**Figure 5-17**  
Increasing tank circuit  
resistance.

8. Turn off your Trainer. Add a 0.1 microfarad capacitor in parallel with the tank circuit as shown in Figure 5-16. This increases the capacitance of the tank circuit to 0.2 microfarad. An increase in capacitance causes the resonant frequency of the tank to \_\_\_\_\_.

Now calculate the resonant frequency of the tank circuit.

$$F_0 = \text{_____} \text{ Hz.}$$

9. Turn on your Trainer and observe the waveform on the oscilloscope. Determine the frequency. The frequency should be close to the value computed in step 8. This proves that the frequency of oscillation is determined strictly by the values of L and C in the tuned circuits.
10. Now remove one of the 0.1 microfarad capacitors. This returns the experimental circuit to the original LC circuit you started with. Adjust your oscilloscope to view the damped waveform of the tank. Count the cycles of oscillation (number of complete waveforms) that occur before oscillations cease. Record the number below.

Number of complete oscillations \_\_\_\_\_.

11. Turn off your Trainer. Add a 4.7 ohm resistor in series with the capacitor in the tank circuit as shown in Figure 5-17. This series resistance increases the effective (DC) resistance of the tank. This should \_\_\_\_\_ the number of tank oscillations.
12. Turn on your Trainer. Adjust your oscilloscope to view the damped tank circuit waveform. Count the cycles of oscillation and record them below.

Number of complete oscillations \_\_\_\_\_.

Briefly review the following oscillator requirements:

1. An amplifier is necessary to replace circuit losses.
2. Frequency determining components are necessary to set the oscillation frequency.
3. Positive feedback supplies a regenerative signal to the input to sustain oscillation.
4. The oscillator must be self-starting.

These fundamentals are common to all oscillators, which will be discussed later with actual oscillator circuits.



Inductive reactance ( $X_L$ ) remains constant because the frequency of oscillation and inductance did not change. More power is dissipated in the resistor during each oscillation, so you observed a decrease in waveform amplitude and a reduction in the number of complete oscillations. If the internal resistance is too high, the circuit may not oscillate at all. In oscillator circuits, internal resistance must be kept as low as possible so circuit Q will be relatively high.

### Procedure (Continued)

13. Turn off your Trainer. Remove the 4.7 ohm resistor. Then connect the 0.1 microfarad capacitor directly across the inductor. This returns the circuit to the original circuit.
14. Use your multimeter to determine the portion of the inductor with the least resistance (0.5 ohm) and mark the appropriate terminals; you will use them in the following steps.
15. Turn on your Trainer and adjust your oscilloscope to view the damped waveform. Count the number of complete cycles (oscillations) in the display and record it below.

Number of oscillations \_\_\_\_\_.

16. As you observe the oscilloscope display, short the inductor terminals, marked in step 14, with a piece of wire. Now count the number of complete oscillations and record it below.

Number of oscillations \_\_\_\_\_.

17. Turn off your Trainer, disassemble the circuit and read the discussion.

5. (in) The common-emitter amplifier is widely used in oscillator circuits. Because the common-emitter output is  $180^\circ$  out of phase with the input a \_\_\_\_\_-shift network is required to produce the desired positive feedback.

6. (phase) The LC network can produce the desired  $180^\circ$  phase shift required for positive feedback. The  $180^\circ$  phase shift is also possible if an \_\_\_\_\_ network is placed in the feedback loop.

7. (RC) The amount of positive feedback is also important for correct oscillator operation. Too much feedback can cause output waveform distortion, while too little feedback may not be enough to sustain \_\_\_\_\_.

8. (oscillation) The "Barkhausen Criterion" specifies that for oscillation to occur, overall stage gain ( $A^1$ ) must be infinity. Therefore, amplifier gain and feedback must equal \_\_\_\_\_.

9. (one) An oscillator must produce a constant AC output without any external signal applied. For a circuit to fulfill this requirement; it must have an amplifier, a frequency determining circuit, and \_\_\_\_\_.

(positive feedback)

## THE TRANSFORMER OSCILLATOR

The simplest oscillator that applies the principle of positive feedback, a tuned LC circuit and shock excitation, is the Armstrong or "tickler coil" oscillator shown in Figure 5-19. This oscillator requires a phase shift for positive feedback. One of the easiest methods to obtain the  $180^\circ$  phase shift is to use a transformer. The  $180^\circ$  phase shift between primary and secondary makes the transformer ideal.

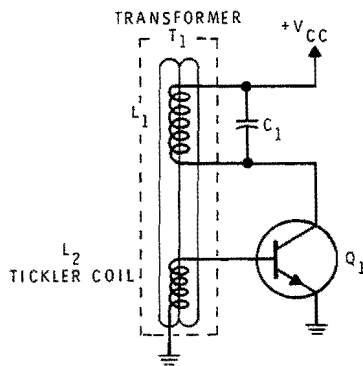


Figure 5-19  
The basic transformer oscillator.

Coils  $L_1$  and  $L_2$  are the primary and secondary windings of transformer  $T_1$ . The primary winding,  $L_1$ , is connected between the collector of  $Q_1$  and the supply,  $+V_{CC}$ . Capacitor  $C_1$  and the primary winding form a parallel resonant circuit that determines the oscillator frequency.  $L_2$  is loosely coupled to  $L_1$  and is known as a tickler coil. As mentioned previously, the transformer is wound so that the voltage induced into  $L_2$  is  $180^\circ$  out of phase with the voltage across the tank circuit. This provides the positive feedback necessary for oscillation. For simplicity, bias components and coupling capacitors are eliminated.

The bias components make the oscillator "self-starting." When the circuit is initially energized, the bias circuit (not shown) establishes emitter-to-base current in transistor  $Q_1$ . This turns on  $Q_1$  and collector current is through  $L_1$  to  $+V_{CC}$  as shown in Figure 5-20A. This changing current through  $L_1$  produces a magnetic field around  $L_2$  and induces a voltage that is  $180^\circ$  out of phase with the voltage across  $L_1$ . The voltage across  $L_2$  is applied directly to the base of  $Q_1$ . The positive voltage increases the emitter-to-base current and  $Q_1$  is biased further into conduction. This action continues, as the feedback voltage developed across  $L_2$  increases base-emitter forward bias, resulting in more  $Q_1$  collector current. Capacitor  $C_1$  is charging through transistor  $Q_1$  to the polarity shown.

When  $Q_1$  saturates, except for the voltage dropped across the transistor,  $C_1$  is charged to source potential. At saturation,  $Q_1$  is conducting its maximum current with no further increase possible. Since current is no longer changing, the field around  $L_1$  stops expanding and no voltage is induced into the  $L_2$  winding. Remember, to induce a voltage there must be **motion** . . . . the changing magnetic field of  $L_1$  provides this motion. The overall effect removes forward bias from  $Q_1$ .  $Q_1$  ceases conduction.

So, the following conditions are present in the circuit:

$C_1$  is charged to source potential and  $Q_1$  is no longer forward biased. Capacitor  $C_1$  begins to discharge through  $L_1$  as shown in Figure 5-20B. The flywheel effect of the tank circuit has begun.

### Procedure

1. Turn on the Trainer and adjust the (+) power supply voltage control to 10 VDC. Turn off the Trainer.
2. Construct the circuit shown in Figure 5-11. Preset the generator frequency to 2 kHz. Preset the following controls on the oscilloscope: VOLTS/CM to 2 volts, TIME/CM to 20 microseconds. Connect channel 1 to the collector of the transistor.
3. Turn on your Trainer.
4. Sketch the waveform on the graph of Figure 5-12. Adjust the inductor for a stable pattern.

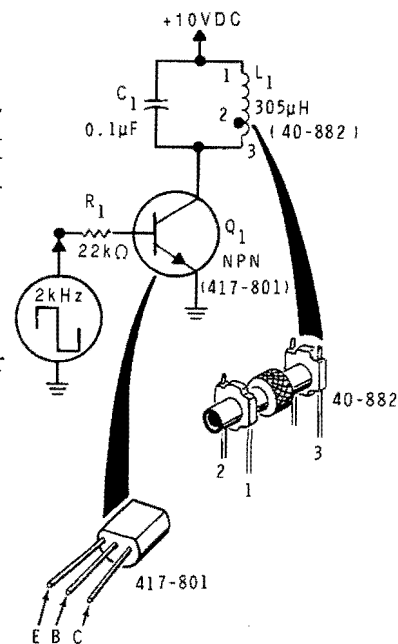


Figure 5-11  
Experimental circuit to shock-excite the LC tank into oscillation.

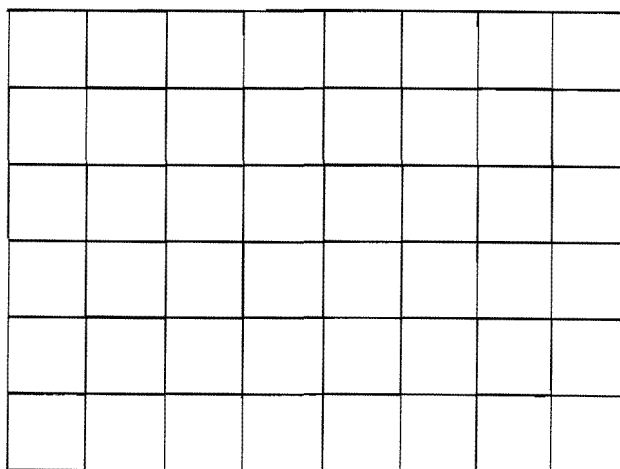


Figure 5-12  
Graph of tank output.

This is the waveform produced by the tank when it is shock excited into oscillation by the 2 kilohertz square wave from the generator. Notice that the wave shape is sinusoidal but each alternation \_\_\_\_\_ in amplitude.

## The Tuned-Collector Oscillator

Figure 5-21 is the circuit just discussed with bias components added. Since the tuned circuit is connected in the collector of  $Q_1$ , this is known as a tuned-collector oscillator. The parallel network of  $L_1$  and  $C_1$  determine oscillator frequency.  $C_1$  is variable so the frequency can be changed. Oscillator output is taken off by adding a winding on the transformer.

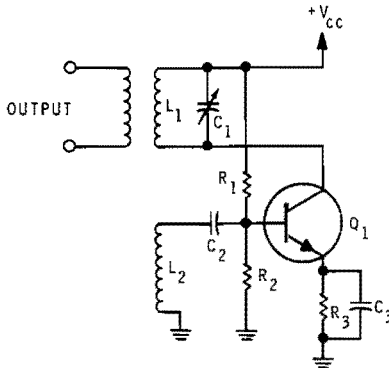


Figure 5-21  
A tuned-collector oscillator.

Resistors  $R_1$  and  $R_2$  initially bias the transistor to start oscillation. Capacitor  $C_2$  is a DC blocking capacitor that prevents the low DC resistance of  $L_2$  from bypassing bias resistor  $R_2$ . Once transistor  $Q_1$  is initially biased, it begins to conduct and a reverse bias is developed across  $R_3$  and  $C_3$ . This degenerative bias opposes the starting bias established by  $R_1$  and  $R_2$ , shifting operation into the class C range. Therefore, while  $R_1$  and  $R_2$  produce forward bias,  $R_3$  produces reverse bias. The algebraic sum of these two biases establishes the operating point. Resistor  $R_3$  is also a thermal stabilizer, counteracting temperature changes that could affect circuit operation.

## The Tuned-Base Oscillator

Another variation of the Armstrong oscillator is shown in Figure 5-22. In this configuration, the tuned circuit is across the base of  $Q_1$ , hence the name "tuned-base oscillator." Component functions are similar to those in the tuned-collector oscillator. Transformer winding  $L_1$  and capacitor  $C_1$  form the tuned circuit that determines oscillator frequency.  $C_1$  is variable to permit frequency adjustment. Tickler coil  $L_2$  is in the collector circuit and is inductively coupled to  $L_1$  to provide positive feedback. Again, the output is taken off by adding another transformer secondary winding.

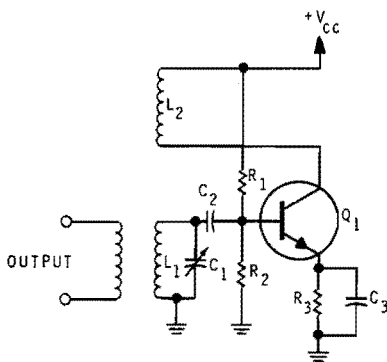


Figure 5-22  
A tuned-base oscillator.

The initial bias of  $R_1$  and  $R_2$  turns on transistor  $Q_1$ . The transistor conducts and collector current is through  $R_3$ ,  $Q_1$ , and  $L_2$ , and returns through the  $+V_{CC}$  supply. The changing current through  $L_2$  induces a voltage into  $L_1$  that is shifted  $180^\circ$ , charging capacitor  $C_1$ . This voltage is coupled to the base of  $Q_1$  by capacitor  $C_2$ , further forward biasing  $Q_1$ . The resulting regenerative action continues until  $Q_1$  saturates. With  $Q_1$  saturated, a steady current exists through  $L_2$  and its field is no longer changing. Therefore, no voltage is induced into  $L_1$ . Capacitor  $C_1$  now begins to discharge and tank circuit oscillations begin.  $C_1$  discharges and drives transistor  $Q_1$  into cutoff. As the tank completes one cycle of oscillation, the base of  $Q_1$  is again forward biased.  $Q_1$  conducts and the cycle continues.

## Discussion

In this section of the experiment, you proved the "flywheel" effect of an LC tank circuit. The tank circuit oscillates at a specific frequency when shock excited by the square wave. The resulting damped waveform shows the effect of internal circuit resistance and the subsequent loss of energy in this resistance.

Simply opening and closing the power switch shocks the tank into oscillation but since the circuit is only turned on one time, and the on-off action isn't continuous, it is impossible to see these oscillations on an ordinary oscilloscope. The frequency measured could be 28 kilohertz to 32 kilohertz. The calculated value for  $F_o$  should be 28.79 kilohertz.

However, by switching the circuit on and off at a predetermined rate, you can set the oscilloscope to this repetition rate and display the waveform. As shown in Figure 5-13, a switch turned alternately on and off at the rate of 2,000 times a second provides a constant repetition rate. The oscilloscope will display the resulting waveform if the horizontal frequency control is set correctly.

It would be difficult to manually switch the circuit on and off 2,000 times each second. So, a transistor is used as an electronic switch as shown in Figure 5-14. The 2 kilohertz square wave switches the transistor on and off 2,000 times each second.

When the square wave goes positive, transistor  $Q_1$  is turned on very fast. This shocks the tank into oscillation. Consequently, as the square wave goes negative, transistor  $Q_1$  turns off rapidly and again shocks the tank into oscillation. When you look at the output on an oscilloscope set to display a frequency of 2 kilohertz, the damped oscillations appear superimposed on the square wave.

When the horizontal sweep frequency is adjusted, the display expands and the tank circuit oscillations are readily apparent. An expanded view is shown in Figure 5-15. Notice that the frequency of oscillation is determined by the tank components, independent of the switching square wave frequency. The square wave simply shocks the tank into oscillation.

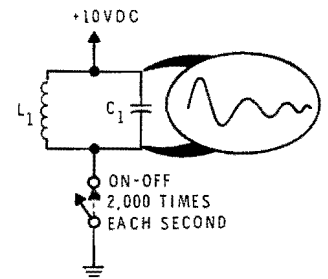


Figure 5-13  
Switching produces a continuous repetition rate.

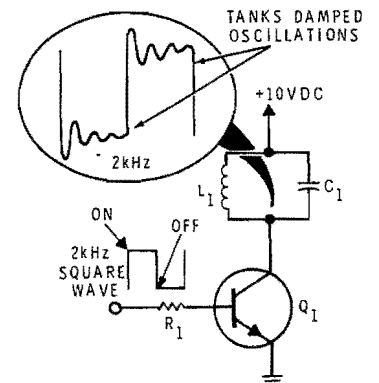


Figure 5-14  
Replacing the switch with a transistor.

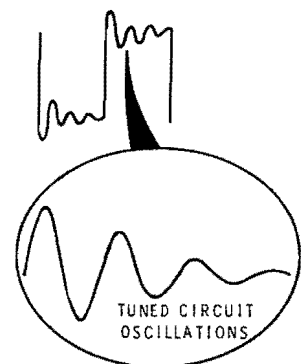


Figure 5-15  
Expanding the tank waveform.

## LC OSCILLATORS

Most oscillators work on the feedback principle, which means that feedback is necessary to sustain oscillation. Therefore, oscillators are generally classified according to the frequency determining components. The three classifications are:

- LC Oscillators
- RC Oscillators
- Crystal Oscillators

LC Oscillators use a tuned circuit consisting of either a parallel-connected or series-connected capacitor and inductor to set the frequency. The Armstrong oscillator just discussed is an LC oscillator, since the transformer primary and shunt capacitor form a parallel resonant circuit. In this section, the basic concern is with LC oscillators that produce sine wave outputs.

### The Series-Fed Hartley

One of the undesirable features of the Armstrong oscillator is that the tickler coil has a tendency to resonate with the distributed capacitance in the circuit. This results in oscillator frequency variations. If the tickler coil is made a part of the tuned circuit, the unstable effect of the tickler coil can be overcome. In the Hartley oscillator, Figure 5-23, the tickler coil becomes a part of the tuned circuit. The inductor is tapped to form two coils,  $L_{1A}$  and  $L_{1B}$ . Tuning capacitor  $C_1$  is connected across inductor  $L_1$ , making the entire coil part of a tuned circuit.

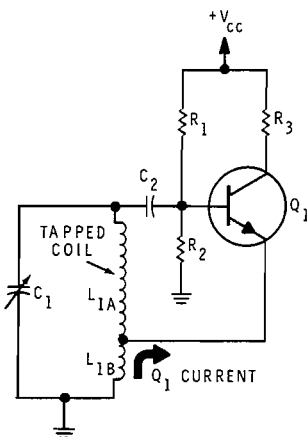


Figure 5-23  
A series-fed Hartley oscillator

Resistors  $R_1$  and  $R_2$  forward bias the base-emitter junction of  $Q_1$  when the circuit is initially turned on. Transistor  $Q_1$  conducts and collector current is through the lower section of coil  $L_1$  ( $L_{1B}$ ), through  $Q_1$  and collector load resistor  $R_3$ . The current through  $L_{1B}$  induces current into  $L_{1A}$  because of the mutual inductance of the two coils. The result is a positive potential at the top of  $L_{1A}$ , that is coupled to the base of  $Q_1$  by capacitor  $C_2$ . This increases the forward bias on  $Q_1$  and  $Q_1$  quickly saturates.

Once  $Q_1$  saturates, the current through  $L_{1B}$  is no longer changing and no voltage is induced into  $L_{1A}$ . This removes forward bias from  $Q_1$  and conduction rapidly decreases. The field around  $L_{1B}$  collapses and again induces current into  $L_{1A}$ . The polarity of this induced current is such that the top of  $L_{1A}$  is negative. This negative potential is felt on the base of  $Q_1$ , reverse biasing  $Q_1$  and quickly driving it into cutoff. During this time, tank capacitor  $C_1$  charges to a negative potential. When  $Q_1$  is completely cut off, capacitor  $C_1$  begins to discharge and tank action begins.

## Discussion

Adding a capacitor in parallel, as in step 8, increases circuit capacitance. The total capacitance in the tank is now 0.2 microfarad. When you plug this value into the resonant frequency formula, you find:

$$F_o = \frac{.159}{\sqrt{LC}} = \frac{.159}{\sqrt{305 \mu\text{H} \times .2 \mu\text{F}}} = \frac{.159}{7.8 \times 10^{-6}} = 20,385 \text{ Hz}$$

Therefore, increasing tank capacitance decreases oscillation frequency. An increase in inductance has the identical result . . . a decrease in frequency. Remember, the tank naturally oscillates, producing a sine wave output, at a frequency determined by the component values of L and C.

How long the tank oscillates is determined by circuit Q (quality or figure of merit). A high Q circuit oscillates for a relatively long period. Conversely, more damping occurs each cycle in a circuit with a low Q and the circuit oscillates for a shorter period of time.

Since a tank circuit contains an inductor and capacitor, the combination of these affect the overall Q. Capacitors, as a general rule, have a very high Q. Inductors are made of wire and, since wire has resistance, power is dissipated in this resistance. Therefore, the inductor has the greatest effect on circuit Q as shown in the formula:

$$Q = \frac{X_L}{R_{eff}}$$

Where:  $X_L$  = Inductive Reactance

$R_{eff}$  = Coil Resistance

When the 4.7 ohm resistor was connected in series with the tank capacitor in step 11, overall tank resistance increased. This lowered circuit Q because resistance increased.

$$\downarrow Q = \frac{X_L}{R_{eff} \uparrow}$$



reverse biasing  $Q_1$ .  $Q_1$  is rapidly cut off. Tank flywheel action takes over for one oscillation. When tank action charges the top plate of  $C_1$  positive,  $Q_1$  is turned on again and the cycle continues.

Follow the solid arrow in Figure 5-24 and trace the path of DC current through the oscillator. DC current is through transistor  $Q_1$  and RFC, returning to the power supply. Follow the dashed arrow for the AC current path and notice that AC current is through  $Q_1$ , coupling capacitor  $C_3$  and thru the tank circuit. Therefore, no DC current passes through the tank and positive feedback is AC coupled through  $C_3$ .

Remember, Hartley oscillators are easily identified by the tapped coil in the tuned circuit. If DC collector current passes through a portion of the tank circuit, the oscillator is "series-fed." If feedback is AC coupled through a capacitor, the oscillator is "shunt-fed."

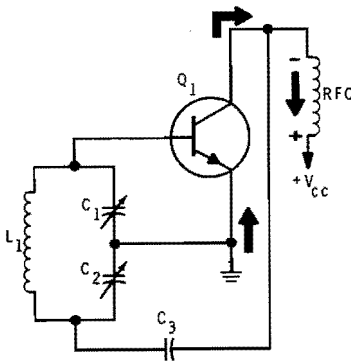


Figure 5-25

A simplified Colpitts oscillator.

## The Colpitts Oscillator

The Colpitts oscillator is similar to the shunt-fed Hartley except two capacitors are used instead of a tapped coil. Essentially, the Colpitts is shunt-fed, so DC collector current does not flow through the tank circuit. Since the Colpitts is more stable than the Hartley, it is used in many signal generators.

Figure 5-25 is the schematic of a Colpitts oscillator. Bias networks, eliminated for simplicity, are similar to other transistor oscillators discussed. The tapped capacitor arrangement identifies the Colpitts oscillator. As with all LC oscillators, frequency is determined by inductor  $L_1$  and the series combination of capacitors  $C_1$  and  $C_2$ . Capacitor  $C_3$  couples AC collector voltage to the tank, while blocking DC.

Since the oscillator is a common-emitter configuration, collector voltage is  $180^\circ$  out of phase with base voltage. The arrangement of capacitors  $C_1$  and  $C_2$  in a voltage-divider network produces the desired  $180^\circ$  phase shift across capacitor  $C_1$ , resulting in regenerative or positive feedback. The feedback factor, usually 0.1 to 0.5, is determined by the ratio of  $C_1$  to  $C_2$ . Feedback increases if the value of  $C_1$  is lowered. Although the combination of  $C_1$  and  $C_2$  determines oscillator frequency,  $C_2$  has the most pronounced effect on frequency.

The Colpitts is shock-excited into oscillation much like the other oscillators. Initial forward bias is furnished by the bias network and  $Q_1$  begins to conduct. The DC collector current path is from emitter to collector, through the RFC, returning to the power supply. This initial surge of current causes a negative voltage drop across the RFC, since the change is rapid. Capacitor  $C_3$  couples this negative voltage to the lower plate of capacitor  $C_2$ . For simplicity, the following discussion will cover only the AC current path in the tank circuit.

## Discussion

In the previous section of this experiment, you added resistance in the tank circuit and saw a decrease in the number of tank oscillations. The resistance increase reduced circuit  $Q$ . In step 16, you shorted a small section of the tuned circuit inductor. This resulted in an even larger reduction in  $Q$ , as oscillations were damped more than when resistance was added. Since resistance (0.5 ohm) was removed from the circuit, you may have expected the  $Q$  to increase, since:

$$Q = \frac{X_L}{R_{eff}}$$

However, when a portion of an inductor is shorted, as in step 16, inductive reactance is much lower. This large decrease in  $X_L$  has a more significant effect than the small decrease in effective resistance. Remember, inductive reactance is a function of frequency and inductance,  $X_L = 2 \pi FL$ . Therefore, shorting this small portion of inductance significantly reduces inductive reactance, causing an overall decrease in circuit  $Q$ .

$$\downarrow Q = \frac{X_L \downarrow}{R_{eff} \downarrow}$$

This experiment has a very practical application. Television service technicians use the "shock-exciting" or "ringing" technique to check coils for partial shorts. A badly shorted coil can be checked with an ohmmeter, but a coil of 2,000 turns that has 10 shorted windings can not be checked with an ohmmeter. In this case, the DC resistance change is insignificant, but AC opposition ( $X_L$ ) reduces drastically.

For example, suppose a technician suspects a partially shorted television high-voltage transformer. These transformers are made of many turns of fine wire and a short of a few turns can decrease output voltage significantly. TV high-voltage transformers are usually tuned to resonate at a specific frequency, so the technician must apply the ringing technique. The technician applies a voltage to the transformer to shock it into oscillation; then observes oscillation waveform. The damped waveform, produced by the tuned transformer circuit, indicates transformer condition.

As shown in Figure 5-18A, a good transformer has a high  $Q$  and oscillations are not damped very much. However, a partially shorted transformer has a low  $Q$  and the oscillations die out quickly, as shown in Figure 5-18B.

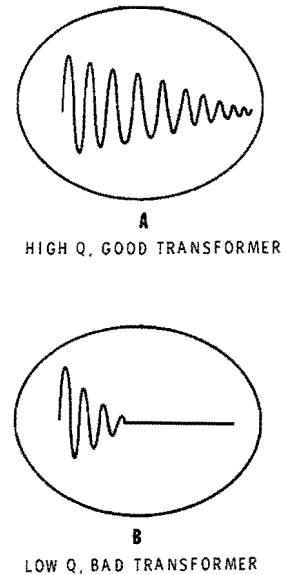


Figure 5-18  
Checking partially shorted  
transformer.

The feedback factor for the Colpitts is typically 0.1 to 0.5, or 10 to 50%. Too much feedback distorts the output waveform, as transistor  $Q_1$  is saturated for long periods of time. A feedback factor of less than 10% may not be sufficient to sustain oscillation.

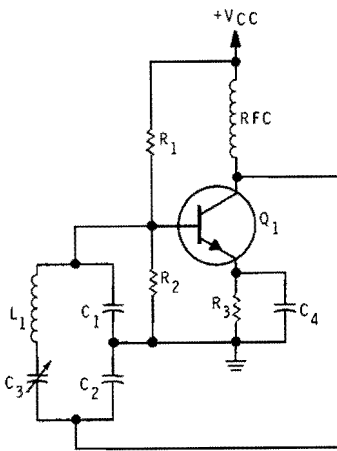


Figure 5-27  
A Clapp oscillator.

Usually the tapped capacitors are variable and frequently are ganged together. This arrangement permits adjustment of oscillator frequency. But, the ganged capacitor arrangement has a disadvantage; as frequency is varied, feedback changes. At one end of the frequency range, there is too much feedback and the output waveform is distorted. At the other end, feedback is small and cannot sustain oscillation. These factors, combined with the distributed capacitance of the circuit, limit the adjustable frequency range of the Colpitts oscillator.

## The Clapp Oscillator

The Clapp oscillator, Figure 5-27, is a variation of the Colpitts. The only difference is the addition of variable capacitor  $C_3$ . Capacitor  $C_3$  forms a series-resonant circuit with inductor  $L_1$  and allows capacitor tuning without affecting the feedback ratio.  $C_3$  is small, in relation to  $C_1$  and  $C_2$ . Therefore,  $C_3$  effectively determines oscillator frequency. The theory of operation is identical to the Colpitts discussed in the previous section.

## Programmed Review

This review is presented in a programmed instruction (P.I.) format. It will enhance your understanding of the material presented in this section. Read each of the numbered frames carefully and fill in the missing blanks at the bottom of each frame. The correct answer appears in parentheses at the beginning of the next frame. Use a sheet of paper to cover all of the frames below the one you are reading.

16. Most oscillators employ the feedback principle to sustain oscillation. Therefore, oscillators are classified according to their frequency determining components. The three classifications of oscillators are RC, crystal, and \_\_\_\_\_.
17. (LC) All LC oscillators use a tuned circuit to set the oscillation frequency. However, the method of obtaining positive feedback varies between different LC oscillators. In the circuit of Figure 5-28, positive feedback results from the DC current through coil section \_\_\_\_\_.

When capacitor  $C_1$  completely discharges, its energy is stored in  $L_1$ . The field collapses and capacitor  $C_1$  charges in the direction shown in Figure 5-20C. The base of  $Q_1$  is now biased in the negative direction as the transistor is driven further into cutoff.

Now capacitor  $C_1$  discharges through  $L_1$  in the opposite direction and the field around  $L_1$  builds up again (Figure 5-20D).

The base bias of  $Q_1$ , although still negative, is going in a positive direction. When  $C_1$  completely discharges,  $L_1$  stores tank energy in its field. This field collapses and  $C_1$  begins to charge to its original potential.

The collapsing field of  $L_1$  induces a voltage into  $L_2$  that biases  $Q_1$  on again.  $Q_1$  conducts and charges  $C_1$  to source potential,  $Q_1$  saturates, the tank takes over and the cycle repeats. At this point, the circuit is oscillating and the tank is providing transistor bias. Transistor  $Q_1$  acts like a switch, conducting to replace energy lost in the tank. This action is similar to manually closing the switch in the basic tank circuit, as the energy is replaced by charging  $C_1$ .

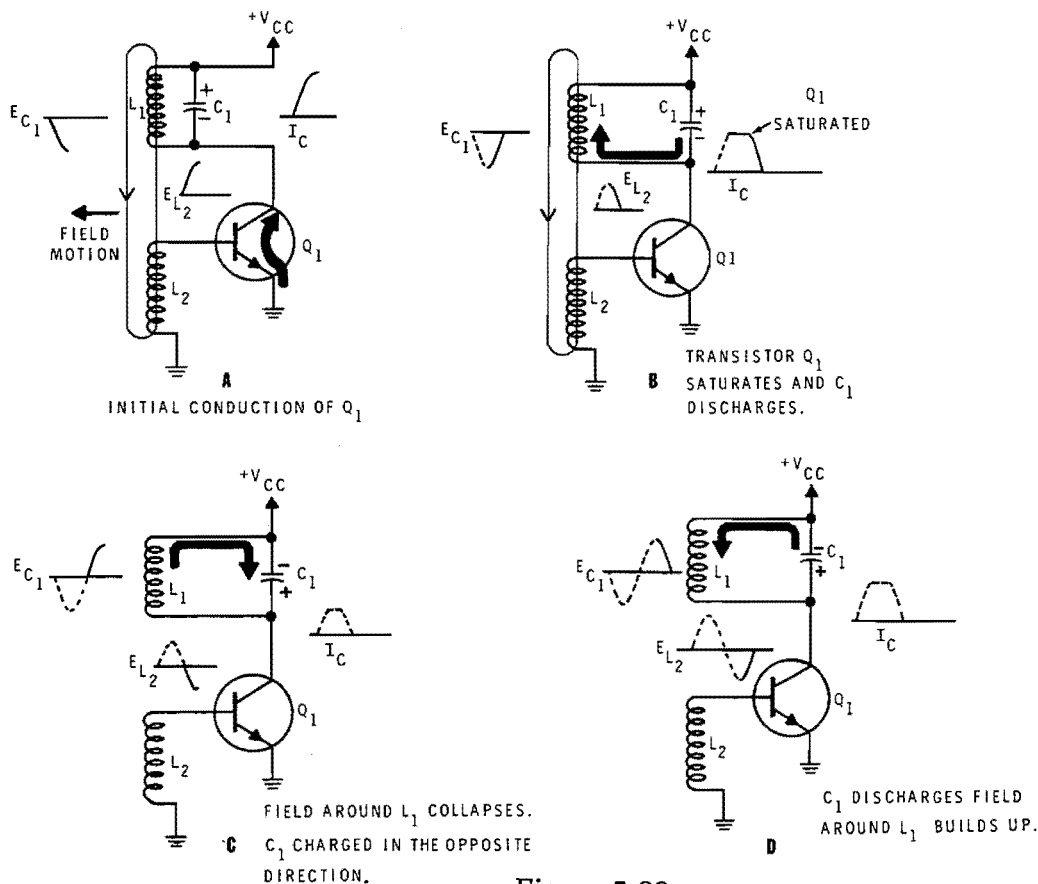


Figure 5-20  
Operation of the  
Armstrong oscillator.

## EXPERIMENT 12

### LC Oscillators

**OBJECTIVES:** *Show how to construct a Colpitts and a Hartley oscillator.*

*Show how to change frequency determining components and observe variations in frequency.*

#### Introduction

Four basic feedback oscillators have been discussed; the Armstrong, Hartley, Colpitts and Clapp. Each oscillator contains a tuned LC circuit, therefore they are classified as LC oscillators. In this experiment, you will construct a basic series-fed Hartley and a Colpitts oscillator.

You will vary the value of the shunt capacitance in the Hartley and observe frequency changes. The Hartley is shock-excited into oscillation, so the initial bias circuit is needed only at the start of oscillation. You will allow the oscillator to start and then remove the bias resistor. The circuit will continue to oscillate. Distributed circuit capacitance can have adverse effects on oscillator performance and you will observe the action of this stray capacitance.

A side effect of the Colpitts is that one of the tapped capacitors controls feedback, while the other capacitor has a more pronounced effect on tank frequency. As you will discover, the ratio of the two capacitors is important for proper operation, since this controls feedback. **Read the entire procedure before performing this experiment.**

#### Material Required

- Heathkit Analog Trainer
- Oscilloscope (dual channel preferred)
- 20-40 watt soldering iron
- 1—305 microhenry inductor (40-882)
- 1—NPN transistor (417-801) MPSA20
- 1—47 picofarad capacitor
- 2—100 picofarad capacitors
- 1—470 picofarad capacitor
- 2—0.001 microfarad capacitors
- 2—0.01 microfarad capacitors
- 1—0.1 microfarad capacitor
- 1—10 kilohm resistor (brown-black-orange-gold)
- 1—1 megohm resistor (brown-black-green-gold)

## Programmed Review

10. Oscillators must be self-starting. Because energy levels do not instantly reach maximum, but gradually approach it, the oscillator is \_\_\_\_\_-excited into operation when it is energized.
11. (shock) The simplest oscillator that applies the principles of positive feedback, a tuned LC circuit and shock excitation, is the Armstrong or \_\_\_\_\_-\_\_\_\_\_ oscillator.
12. (tickler coil) The Armstrong oscillator replaces lost tank energy during the period when the transistor is \_\_\_\_\_.  
conducting/cut off
13. (conducting) In the basic Armstrong oscillator, a transformer develops the positive feedback necessary for oscillation. A secondary winding, known as a \_\_\_\_\_ coil, is loosely coupled to the primary winding, providing the required 180° phase shift.
14. (tickler) In the tuned-collector oscillator, the tuned LC network is in the collector circuit. Likewise, the tuned-base oscillator has the tuned LC network connected in the \_\_\_\_\_ circuit.
15. (base) The Armstrong oscillator frequency is determined by the \_\_\_\_\_ of the transformer winding and the associated tuning capacitor.  
(inductance)

## Discussion

You constructed the series-fed Hartley circuit in step 1 of the procedure. The schematic of this oscillator shows the tank circuit is made up of a 100 picofarad capacitor and a 305 microhenry inductor. These values can be used to compute the resonant frequency at which the tank oscillates, therefore determining oscillator frequency.

$$F_o = \frac{0.159}{\sqrt{305 \mu\text{H} \times 100 \text{ pF}}} = \frac{0.159}{1.746 \times 10^{-7}} = 911 \text{ kHz}$$

However, when the circuit was energized, the actual oscillator frequency was lower, around 800 kilohertz. This is the case in many practical applications, especially with oscillators, where distributed circuit capacitance usually adds to tank capacitance, lowering the oscillation frequency. In most design applications this distributed capacitance is kept to a minimum.

Oscillator frequency can be changed if either the value of L or C is varied. Since the inductor is variable, changing the position of the core will alter oscillator frequency. The same is true for variations in tank capacitance. A capacitance variation was selected since this results in a more pronounced change in oscillator frequency.

When capacitor  $C_1$  is shunted with another 100 picofarad capacitor, total tank capacitance increases. Remember, to find the total capacitance of capacitors connected in parallel, add the values of capacitance ( $C_T = C_1 + C_2$ ). An increase in tank capacitance results in a decrease in oscillator frequency as shown by the resonant frequency formula.

$$\downarrow F_o = \frac{0.159}{\sqrt{LC} \uparrow}$$

The frequency of your oscillator probably decreased to approximately 600 kilohertz.

With the 1 megohm bias resistor ( $R_1$ ) removed, the oscillator will not start. However, if the oscillator is running and  $R_1$  is removed, the oscillator continues to function. Therefore, the experiment shows that resistor  $R_1$  provides initial starting bias for the oscillator. Once the oscillator starts, this resistor has little effect on circuit operation.

During the cycle of tank oscillation when the upper plate of  $C_1$  begins to accumulate a positive charge,  $Q_1$  is again forward biased and conducts through section  $L_{1B}$  of the tank coil. Conduction through the lower section of the tank coil replaces energy lost in the tank, providing the positive feedback necessary for oscillation. The amount of feedback can be controlled by varying the position of the coil tap.

Since emitter current flows through a portion of the tank coil, the oscillator is said to be “series-fed.” This series-fed arrangement and the tapped coil are the identifying features of a **series-fed Hartley** oscillator.

The disadvantage of the series-fed Hartley is that DC current flows through a portion of the tank, increasing power losses in the circuit. This results in a lower circuit Q and causes the oscillator to become unstable.

## The Shunt-Fed Hartley

Figure 5-24 is a schematic of another type of Hartley oscillator known as the **shunt-fed Hartley**. The tapped coil,  $L_1$ , immediately identifies this as a Hartley oscillator. Unlike the series-fed Hartley, no DC current passes through the tank coil, hence the name “shunt-fed.” This keeps circuit Q high, resulting in better frequency stability.

The bias circuit, which is similar to the series-fed Hartley, has been deleted for simplicity. The parallel network of  $L_1$  and  $C_1$  set the frequency at which the circuit oscillates. Capacitor  $C_1$  is variable so the oscillator frequency can be adjusted. Capacitor  $C_2$  is a coupling capacitor between the resonate tank and the base of  $Q_1$ . The radio frequency choke (RFC) acts as a collector load and effectively blocks high frequency AC oscillations from the power supply. Collector AC variations are coupled to the tank by capacitor  $C_3$ .

Briefly examine circuit operation. When the circuit is initially energized, the bias circuit (not shown) forward biases  $Q_1$ .  $Q_1$  conducts and the change in current through RFC results in a drop in collector voltage. Capacitor  $C_3$  couples this change in voltage to the bottom of the tank, supplying energy to the tank. Since the tap on  $L_1$  is grounded, opposite ends of  $L_1$  will be at different potentials, placing a positive potential at the top of coil  $L_1$ . This positive potential is coupled to the base of  $Q_1$ , further forward biasing the transistor.  $Q_1$  quickly saturates.

With  $Q_1$  saturated, there is no change in collector current. Therefore, AC is no longer coupled to the bottom of the tank. The field around the inductor collapses, charging the top plate of capacitor  $C_1$  to a negative potential. At this point,  $C_1$  is charged negative and the field around  $L_1$  has completely collapsed.  $C_1$  discharges through the tank, simultaneously

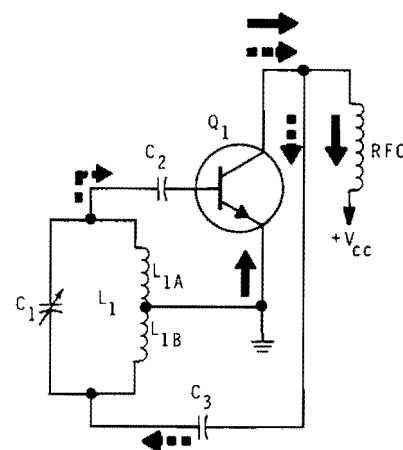


Figure 5-24  
A shunt-fed Hartley oscillator.



## Discussion

When the 100 picofarad tuning capacitor is removed from the circuit, the oscillator continues to function. The most noticeable effect is a marked increase in frequency and possibly, waveform distortion. This does not contradict the basic principle of the series-fed Hartley, since the circuit's distributed capacitance takes over to tune the resonant circuit. Removing the tank capacitor decreased total circuit capacitance, and as you observed, oscillator frequency increased.

This has practical application. Since the frequency of oscillation is known, you can calculate the total circuit capacitance with  $C_1$  removed, using the formula for resonant frequency.

$$F_o = \frac{0.159}{\sqrt{LC}}$$

$$F_o^2 = \frac{(0.159)^2}{LC} \quad \text{Square both sides of the equation}$$

$$C = \frac{0.0253}{LF_o^2} \quad \text{Transpose to solve for C}$$

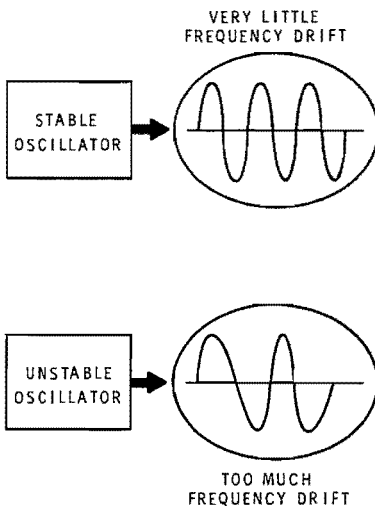


Figure 5-31  
Frequency drift.

Distributed capacitance can become a problem in many oscillator circuits, so engineers take precautionary steps to reduce distributed capacitance. Otherwise, it could become so large that it would control oscillator frequency. In some circuits where the distributed circuit capacitance is uniform, designers incorporate this capacitance to tune the tank circuit.

An important characteristic of the sine wave oscillator is "stability" of output frequency. An oscillator is stable if the output frequency drifts very little. As shown in Figure 5-31, an unstable oscillator has a varying output frequency . . . too much frequency drift. As you saw in step 10, heat can affect output frequency. Likewise, changes in supply voltage and circuit loading affect output frequency. Circuit designers must consider these factors when they select the type of oscillator for a particular application, since certain oscillators are inherently more stable than others.

Figure 5-26A shows the tank circuit with a negative potential at the bottom plate of  $C_2$ . This negative potential is felt across the entire tank, charging the top plate of  $C_1$  to a positive potential through inductor  $L_1$ . The end result is that the feedback voltage across  $C_1$  is phase shifted  $180^\circ$ , producing regenerative feedback.  $Q_1$  is further forward biased and quickly saturates.

With  $Q_1$  saturated, there is no voltage drop across RFC, because current is no longer changing. The flywheel effect of the tank then takes over (Figure 5-26B) as  $C_1$  and  $C_2$  act as one capacitor discharging through  $L_1$  and building up the magnetic field.

When the capacitors are completely discharged, the field collapses and charges the top plate of  $C_1$  negative, reverse biasing  $Q_1$ .  $Q_1$  is driven into cutoff. When feedback capacitor  $C_1$  is fully charged, it discharges through  $L_1$ .

Again, a field is built up around  $L_1$  that subsequently collapses and charges  $C_1$  in the opposite direction (Figure 5-26C). Transistor  $Q_1$  is now forward biased and conducts. Thus, energy lost in the tank is replaced. A similar action occurs each cycle as positive feedback replenishes energy expended.

As mentioned previously, the series combination of  $C_1$  and  $C_2$  determines oscillator frequency. However,  $C_1$ , the feedback capacitor, controls the amount of feedback. This is the result of the series voltage-divider arrangement of  $C_1$  and  $C_2$ . If the capacitance of  $C_1$  is decreased, the amount of feedback is increased. This can be illustrated by reviewing the equation for capacitive reactance ( $X_c$ ).

$$\uparrow X_c = \frac{1}{2 \pi F C} \downarrow$$

A decrease in capacitance  $C$ , results in an increase in opposition ( $X_c$ ). Therefore, a larger feedback voltage is developed across this increased opposition. As mentioned previously, the feedback factor is determined by the ratio of the two capacitors.

$$\text{Feedback Factor(B)} = \frac{C_2}{C_1}$$

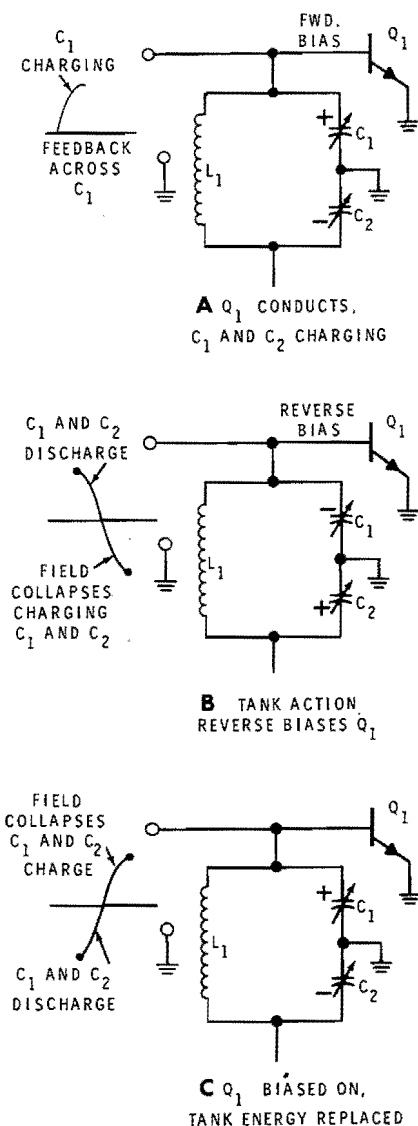


Figure 5-26 Analysis of the Colpitts LC circuit.

17. Turn off your Trainer. Replace  $C_1$  (now 0.001 microfarad) with a 100 picofarad capacitor. Turn on the Trainer and record the information below.

Frequency = \_\_\_\_\_ Hz.

$E_{out\ p-p}$  = \_\_\_\_\_ V.

18. Now turn off the circuit and replace the 100 pF capacitor with a 0.1 microfarad capacitor. This increases the value of feedback capacitance resulting in \_\_\_\_\_ feedback. Calculate the feedback factor and record below.

Feedback Factor = \_\_\_\_\_ %.

Turn on the Trainer and observe the output. Does the circuit oscillate? \_\_\_\_\_ . Turn off the Trainer.

### Discussion of Steps 12 through 18

The Colpitts oscillator you constructed in step 12 is an LC type feedback oscillator. The resonant frequency of the oscillator is determined using the resonant frequency formula. The resonant tank circuit sees the series combination of capacitors  $C_1$  and  $C_2$ , therefore, total capacitance must be calculated as follows:

$$C_T = \frac{C_1 \times C_2}{C_1 + C_2} = \frac{0.01 \times 0.001}{0.01 + 0.001} = \frac{0.00001}{0.011} = 0.00091 \mu\text{F}$$

18. ( $L_{1B}$ ) The oscillator shown in Figure 5-28 is a series-fed Hartley. It is easily identified by the tapped coil and the transistor current flowing through a portion of the tank coil. The shunt-fed Hartley is different from the series-fed Hartley because no \_\_\_\_\_ current flows through the tank coil.

19. (DC) Hartley oscillators use a tapped coil to obtain positive feedback. Positive feedback is also possible if tapped capacitors are used in the feedback loop, as shown in Figure 5-29. The tapped capacitors identify this as a \_\_\_\_\_ oscillator.

20. (Colpitts) In the Colpitts oscillator of Figure 5-29, capacitors  $C_1$  and  $C_2$  function as a voltage-divider network developing the necessary positive feedback. The tuned circuit, however, sees the electrical equivalent of the \_\_\_\_\_-connected capacitors.

21. (series) Although both capacitors affect the feedback ratio, capacitor \_\_\_\_\_ has the greatest effect and is known as the "feedback capacitor."

22. ( $C_1$ ) Likewise, both capacitors affect the oscillator frequency, but capacitor \_\_\_\_\_ has the most pronounced effect.

23. ( $C_2$ ) Feedback factor (B) is expressed algebraically by the formula  $B = C_2/C_1$ . The feedback factor for the Colpitts oscillator in Figure 5-29 is \_\_\_\_\_.

24. (0.1) In the Colpitts oscillator, the tank capacitors are usually ganged together to permit frequency changes. This has the inherent problem that, as the capacitance is varied, the feedback ratio is changed, resulting in waveform distortion or too little feedback. The Clapp oscillator is a variation of the Colpitts that places a variable capacitor in series with the tank inductor for frequency control. Therefore, frequency can be changed without affecting the \_\_\_\_\_.

(feedback ratio)

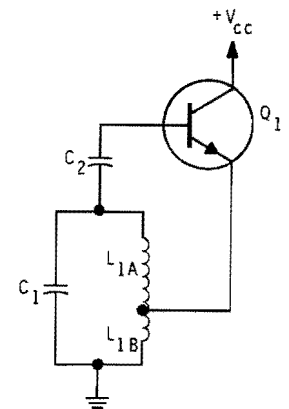


Figure 5-28  
A simplified series-fed Hartley.

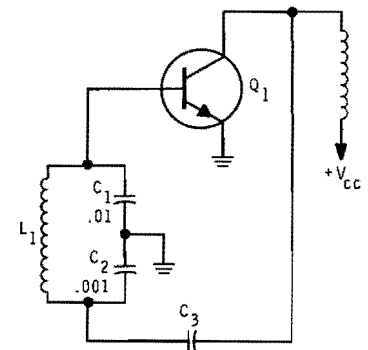


Figure 5-29  
Center-tapped capacitors determine feedback.

If the feedback capacitor is too large (Figure 5-33D), the feedback voltage may be too small to sustain oscillation at a useful level, as you saw in step 18. Selecting the correct feedback ratio is important in oscillator design. Too much feedback causes waveform distortion as you saw in steps 16 and 17.

Changing the feedback capacitor ( $C_1$ ) also affects oscillator frequency, but the margin of change isn't as much as that obtained by varying capacitor  $C_2$ , as you will see in the remaining steps.

### Procedure (Continued)

19. Remove the 0.1 microfarad capacitor and reinstall the 0.01 microfarad capacitor. This returns the circuit to that shown in Figure 5-32.

Remove the 0.001 microfarad capacitor ( $C_2$ ) and replace it with a 100 picofarad capacitor. Turn on the Trainer and record the oscillator frequency below.

Frequency= \_\_\_\_\_ Hz.

Decreasing capacitor  $C_2$ , by a factor of 10, more than doubled the oscillator output frequency. Changing the value of  $C_2$  also affects feedback factor as shown by the decreased amplitude of the output waveform.

20. Turn off the Trainer and replace the 100 picofarad capacitor with a .01 microfarad capacitor. This increase in capacitance should \_\_\_\_\_ oscillator frequency.

Turn on the Trainer and record the frequency below.

Frequency= \_\_\_\_\_ Hz.

21. Turn off the Trainer.

**Procedure**

1. Turn on the Trainer and adjust the (+) power supply voltage control for 10 VDC. Turn off the Trainer.
2. Construct the circuit shown in Figure 5-30. Pay attention to component placement and connecting wire lengths, this will add to circuit capacitance. Use the values for L and C in the schematic and calculate the resonant frequency of the oscillator.

$F_o = \underline{\hspace{2cm}} \text{ Hz.}$

3. Turn on your Trainer and connect your oscilloscope probe across the tank circuit. Observe the sine wave output and record the frequency of the waveform below.

Frequency =  $\underline{\hspace{2cm}}$  Hz.

4. Now, shunt capacitor  $C_1$  with a 100 picofarad capacitor. This should cause oscillator frequency to  $\underline{\hspace{2cm}}$ .

Observe the output sine wave on your oscilloscope and record the frequency.

Frequency =  $\underline{\hspace{2cm}}$  Hz.

5. Remove one 100 picofarad capacitor. This returns the circuit to the original circuit of Figure 5-30. Now turn off the Trainer and remove the 1 megohm resistor from the circuit. Turn on the Trainer.

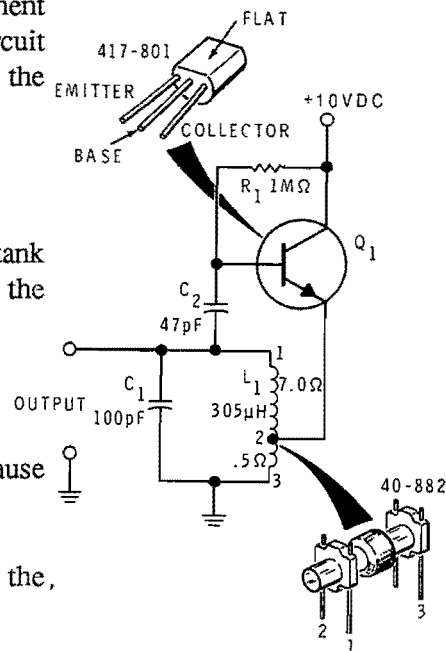
Does the circuit oscillate?  $\underline{\hspace{2cm}}$ .

6. Turn off the Trainer and install the 1 megohm resistor between the collector and base of transistor  $Q_1$ . This returns the circuit to the original circuit.

Turn on the Trainer and observe oscillator output. Carefully remove the 1 megohm resistor while the oscillator is operating.

Does the oscillator continue to run?  $\underline{\hspace{2cm}}$ .

What does this resistor do in the oscillator circuit?  $\underline{\hspace{2cm}}$   
 $\underline{\hspace{2cm}}$ .



**Figure 5-30**  
Basic series-fed Hartley.

## CRYSTAL CONTROLLED OSCILLATORS

LC oscillators, such as those just discussed, are widely used. However, in applications where extreme oscillator stability is required, the LC oscillator is unsatisfactory. Temperature changes, component aging and load fluctuations cause oscillator drift, which makes the oscillator unstable. When a high degree of stability is required, crystal oscillators are generally used.

What is meant by a high degree of stability? Suppose an acceptable frequency change is one part per million. The allowable oscillator drift then would be .0001%. Compare this with the stability of the LC oscillators just studied, where 1% frequency drift is common. If an electronic wristwatch had a timing oscillator that drifts 1%, the watch could either gain or lose 14 minutes each day and still be within oscillator tolerance. However, if the watch oscillator is stable to within .0001%, the maximum time lost or gained each day is .09 seconds, or 32 seconds each year. To achieve this accuracy, electronic watches use crystal oscillators as the basic timing device.

### Crystal Characteristics

Crystal materials produce piezoelectricity. That is, when mechanical pressure is applied to a crystal, a difference in potential is developed. Figure 5-34 illustrates this point. In Figure 5-34A, a normal crystal has charges evenly distributed and is therefore neutral. If force is applied to the sides of the crystal, as shown in Figure 5-34B, the crystal is compressed and opposite charges accumulate on the sides . . . a difference in potential is developed.

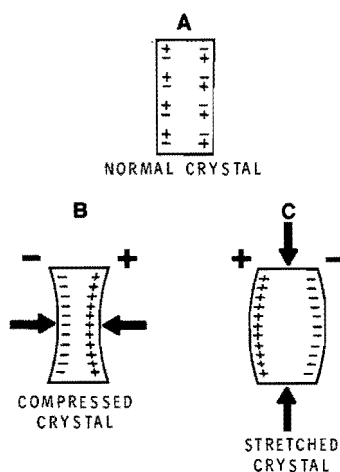


Figure 5-34

Mechanical stress applied to a crystal.

If pressure is applied to the top and bottom, Figure 5-34C, the crystal is stretched and again opposite polarities appear across the crystal. Thus, if the crystal is alternately compressed and stretched, an AC voltage can be generated. Therefore, a crystal can convert mechanical energy to electrical energy.

Crystal microphones use this principle. Rochelle salt, a crystalline material, is alternately compressed and stretched by sound waves. The salt crystals in the microphone generate a small voltage corresponding to sound wave variations.

### Procedure (Continued)

7. Reconnect the 1 megohm resistor between the base and collector of the transistor. This returns the circuit to its original condition.
8. Remove the 100 picofarad tuning capacitor and observe the waveform on the oscilloscope. The oscillator continues to function, however, the frequency has changed and the sine wave may be distorted. The frequency of the oscillator with capacitor  $C_1$  removed is \_\_\_\_\_ hertz.
9. Reconnect the 100 picofarad tank tuning capacitor across terminals 1 and 3 of the oscillator coil. This returns the circuit to its original condition.
10. As you observe the oscillator output waveform, place the tip of your hot soldering iron against the 100 picofarad tank tuning capacitor. Hold the iron against the capacitor for approximately 15 seconds.

Does the oscillator frequency change? \_\_\_\_\_.

11. This completes your experiment with the series-fed Hartley oscillator. Disassemble the circuit and read the following discussion.



## Equivalent Crystal Circuits

A crystal is usually mounted between two metal plates and a spring applies mechanical pressure on the plates. The metal plates secure the crystal and also provide electrical contact. The crystal is then placed in a metal casing or holder. The schematic symbol for a crystal is derived from the way it is mounted and represents the crystal slab held between two plates as shown in Figure 5-37. The word crystal is often abbreviated "XTAL" or "Y" on schematics.

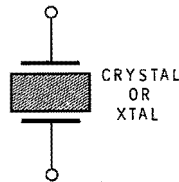


Figure 5-37  
Schematic symbol for a crystal.

The crystal alone looks electrically like a series-resonant circuit, as shown in Figure 5-38. In the series equivalent circuit, inductance ( $L$ ), represents the crystal mass that effectively causes vibration;  $C$  represents crystal stiffness, which is the equivalent of capacitance;  $R$  is the electrical equivalent of internal resistance caused by friction. Therefore, at the crystal's natural mechanical resonant frequency, the electrical circuit is series resonant and offers minimum impedance to current flow. When the circuit's characteristics are plotted on an impedance-frequency curve, it shows sharp skirts and minimum impedance at the series-resonant frequency. The sharp skirts indicate the highly-selective frequency characteristic of the crystal.

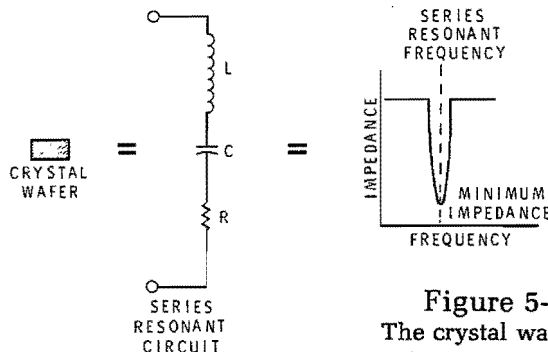


Figure 5-38  
The crystal wafer is a series-resonant circuit.

When the crystal is mounted between metal plates, the equivalent circuit is modified as shown in Figure 5-39. The metal mounting plates now appear as a capacitor,  $C_p$ , in parallel with the series-resonant circuit of the crystal. The value of  $C_p$  is relatively high and, at lower frequencies, does not appreciably affect the series-resonant circuit of the crystal. However,

## Procedure (Continued)

12. Turn on the Trainer and insure that the (+) power supply equals 10 VDC. Turn off the Trainer. Construct the circuit shown in Figure 5-32. The tapped capacitor arrangement indicates that this is a \_\_\_\_\_ oscillator.
13. In this arrangement we are using the total inductance. Compute the oscillator frequency using the values of L and C (Hint: Capacitors C<sub>1</sub> and C<sub>2</sub> are in series).

$$F_o = \text{_____ Hz.}$$

14. Turn on the Trainer and connect your oscilloscope probe across capacitor C<sub>1</sub> (0.01 microfarad) and ground, this is the oscillator output. Record the frequency of oscillation.

$$\text{Frequency} = \text{_____ Hz.}$$

15. The series combination of capacitor C<sub>1</sub> and C<sub>2</sub> provide positive feedback for the oscillator. The 0.01 microfarad capacitor (C<sub>1</sub>) is the feedback capacitor. Calculate the feedback factor for your oscillator. Remember, feedback factor is usually given in percent.

$$\text{Feedback Factor} = \text{_____ \%}$$

16. Turn off your Trainer. Remove the 0.01 microfarad capacitor C<sub>1</sub>, and replace it with a 0.001 microfarad capacitor. Turn on the Trainer and observe the output waveform. Record the information below.

$$\text{Frequency} = \text{_____ Hz.}$$

$$E_{out\ p-p} = \text{_____ V.}$$

The output voltage increased and the waveform has minor distortion. Decreasing the value of capacitor C<sub>1</sub> \_\_\_\_\_ the feedback factor.

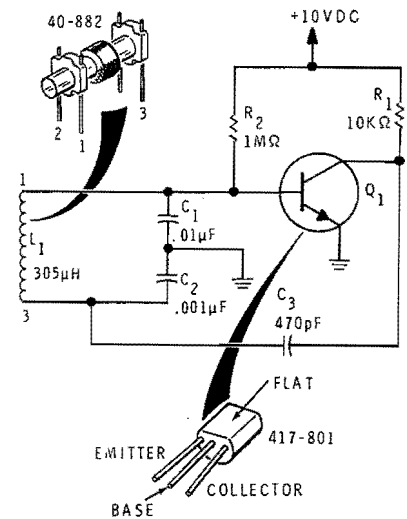


Figure 5-32  
Basic Colpitts oscillator.

## The Hartley Crystal Oscillator

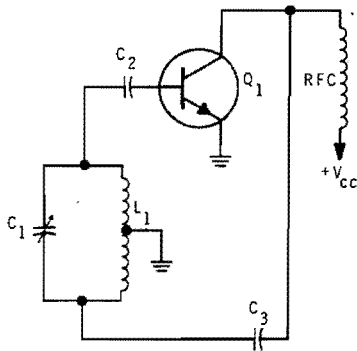


Figure 5-41  
The elementary Hartley oscillator.

The circuit of Figure 5-41 is the shunt-fed Hartley oscillator discussed previously. The bias network is eliminated for simplicity. The Hartley is a typical LC oscillator and, although fairly stable, frequency drifts of 1% are common. If the Hartley oscillator is to be operated at a specific frequency and a high-degree of stability is required, a crystal can be inserted in the circuit. Figure 5-42 is such a circuit. However, if the frequency of this oscillator is to be changed, even by a fraction of a percent, the crystal must be replaced.

Notice that in Hartley crystal oscillators, the crystal is connected in series with the feedback path. Therefore, the crystal operates at its series-resonant frequency. Also, the LC tank network must be tuned to the series-resonant frequency of the crystal.

When the oscillator is operating at the crystal frequency, the crystal's equivalent series-resonant circuit offers minimum opposition to current and feedback is maximum. If the oscillator drifts away from the crystal frequency, the impedance of the crystal increases drastically, reducing feedback. This forces the oscillator to return to the natural frequency of the crystal. Therefore, when the crystal is series-connected, it controls feedback.

## The Colpitts Crystal Oscillator

The Colpitts oscillator can be crystal controlled in the same manner as the Hartley. Again, crystal  $Y_1$  is connected in series with the feedback path, as shown in Figure 5-43. Biasing networks are also eliminated from this circuit.

Since the crystal is series-connected, it controls feedback and the LC tank circuit is tuned to the crystal frequency. Otherwise, operation is identical to the basic Colpitts oscillator you studied earlier.

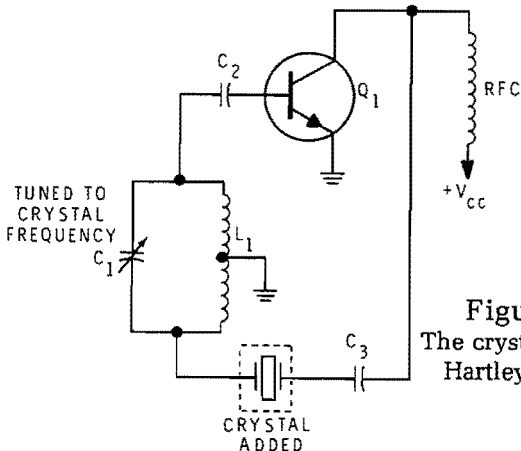


Figure 5-42  
The crystal-controlled Hartley oscillator.

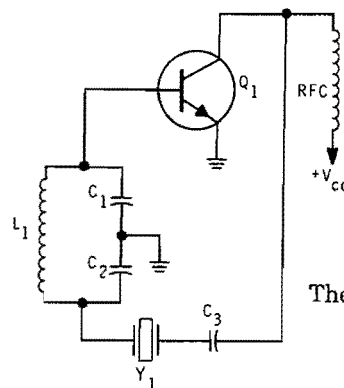


Figure 5-43  
The crystal-controlled Colpitts.

When this capacitance value and the inductance (305 microhenry) are plugged into the formula for resonant frequency, the oscillator frequency is:

$$F_o = \frac{0.159}{\sqrt{(305 \times 10^{-6}) (0.00091 \times 10^{-6})}} = \frac{0.159}{\sqrt{27.76 \times 10^{-14}}} = \frac{0.159}{5.27 \times 10^{-7}} = 301.7 \text{ kHz}$$

The frequency that you observed on your oscilloscope should be very close to this.

The tapped capacitance arrangement of the Colpitts oscillator provides the  $180^\circ$  phase shift between the collector and base of  $Q_1$ , resulting in the necessary positive feedback. As seen in Figure 5-32 capacitor  $C_1$  is connected directly across the base-emitter junction of  $Q_1$ . Therefore, the voltage developed across  $C_1$  is the feedback voltage, so  $C_1$  is the feedback capacitor.

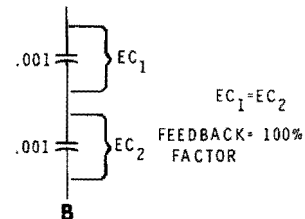
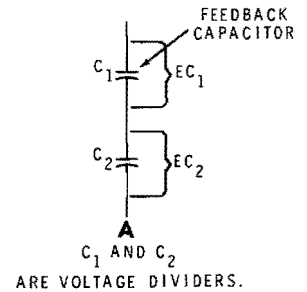
When computing feedback factor,  $C_1$  and  $C_2$  are considered a voltage divider as shown in Figure 5-33A. The AC opposition to current, capacitive reactance, is determined by two factors; frequency and capacitance ( $X_C = \frac{0.159}{FC}$ ). The frequency across the two capacitors is always the same, therefore this factor can be omitted. Hence the ratio of the two capacitors determines the voltage across each and consequently the feedback factor.

If capacitors  $C_1$  and  $C_2$  are equal, as shown in Figure 5-33B, the opposition of the capacitors is equal. Both capacitors drop the same voltage and feedback factor is 100%.

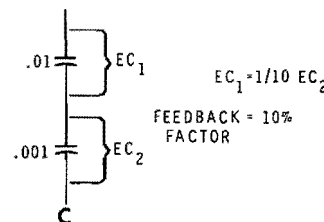
$$\text{Feedback Factor} = \frac{E_{C2}}{E_{C1}} = \frac{C_2}{C_1} = 100\%$$

If  $C_1$  is larger than  $C_2$ , the proportions are like that shown in Figure 5-33C. The 0.01 microfarad capacitor is 10 times larger than the .001 microfarad capacitor. Its opposition is 1/10 ( $X_C = \frac{0.159}{FC}$ ). Therefore, it drops 1/10 the voltage that  $C_2$  drops. The feedback factor is 10%.

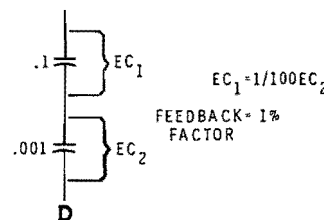
$$\text{Feedback Factor} = \frac{E_{C2}}{E_{C1}} = \frac{C_2}{C_1} = \frac{0.001}{0.01} = 10\%$$



A SMALL FEEDBACK CAPACITOR PRODUCES MORE FEEDBACK VOLTAGE.



A LARGE FEEDBACK CAPACITOR RESULTS IN LESS FEEDBACK.



A FEEDBACK CAPACITOR TOO LARGE RESULTS IN A VERY SMALL FEEDBACK.

**Figure 5-33**  
Varying capacitance changes feedback.

When the circuit is first energized, transistor  $Q_2$  is forward biased by the initial bias circuit (not shown).  $Q_2$  conducts, developing a negative-going voltage at the bottom of the collector tank circuit. Capacitor  $C_3$  couples this negative potential to the base of  $Q_1$ , cutting  $Q_1$  off. Transistor  $Q_2$  quickly saturates. At this point, transistor  $Q_1$  begins to conduct. The resulting positive voltage drop across the emitter resistor  $R_2$  is coupled to the emitter of  $Q_2$  by the crystal and is developed across resistor  $R_1$ . This positive potential on the emitter of  $Q_2$  reverse biases  $Q_2$  and it begins to cut off. Consequently, the collector voltage of  $Q_2$  starts to go more positive. This change is coupled to  $Q_1$  through  $C_3$ , increasing the forward bias on  $Q_1$ .  $Q_1$  conducts harder and  $Q_2$  is driven into cutoff. The tank action of the tuned LC circuit takes over and reverse biases  $Q_1$ , cutting it off. As  $Q_1$  ceases conduction,  $Q_2$  is forward biased and the cycle repeats.

Since positive feedback is through crystal  $Y_1$ , the oscillator is operating at the crystal's series-resonant frequency. At its series-resonant frequency, the crystal presents a low impedance path between the emitters and feedback is maximum. If frequency drifts, however, the crystal decreases feedback and forces the oscillator back on frequency.

The tuned LC circuit is important because, if the tank circuit is not tuned to the crystal frequency, the oscillator will not work. The combined effect of the tuned circuit and the crystal results in good oscillator performance.

One advantage of the Butler oscillator is that very small voltages exist across the crystal, reducing crystal strain and contributing to stable operation. The Butler oscillator is very versatile and can easily be tuned to operate at one of the crystal's overtone frequencies. Of course, this usually requires replacing the tank components.

## Discussion

While you experimented with the feedback capacitor, you saw that a change in feedback capacitance had a large effect on feedback, but also varied oscillator frequency. In steps 19 and 20, you changed the value of capacitor  $C_2$ . This capacitor, although it affects the feedback factor, has a more pronounced effect on oscillator frequency.

In steps 15 and 16, where the 0.01 microfarad feedback capacitor is replaced with a 0.001 microfarad capacitor (a change in capacitance of 10 to 1), the resulting frequency change is an increase of 3 to 1 over the original frequency. In step 19, capacitor  $C_2$  (0.001 microfarad) is replaced with a 100 picofarad capacitor... again a change in capacitance of 10 to 1. However, frequency increases 2-1/2 times. Therefore,  $C_2$  has the greatest effect on frequency changes.

The major problem with the Colpitts oscillator is that any variation in capacitor  $C_2$  affects the feedback ratio, as you saw. Too much feedback distorts the waveform, which is undesirable in sine wave oscillators.

The Clapp oscillator with its series-resonant capacitor, permits frequency variation, without affecting the feedback factor.

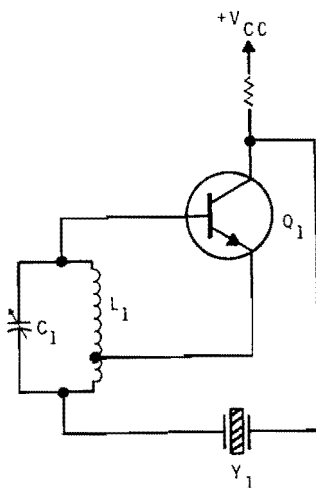


Figure 5-46

A simple Hartley crystal oscillator.

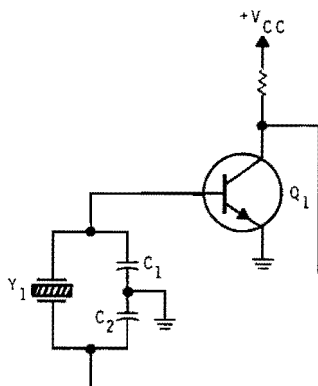


Figure 5-47

The crystal replaces the inductor.

32. (maximum) The crystal has an extremely high Q and therefore has \_\_\_\_\_ selectivity.  
high/low

33. (high) The Hartley crystal oscillator, Figure 5-46, shows the crystal connected in the feedback path. For the crystal to operate in this circuit, the tank must be tuned to the crystals \_\_\_\_\_-resonant frequency.

34. (series) When the Hartley oscillator is operating at the crystal series-resonant frequency, maximum feedback is being supplied to the tank circuit. However, if oscillator frequency drifts, either above or below the crystal frequency, the impedance of the crystal increases and the amount of feedback \_\_\_\_\_.  
increases/decreases

35. (decreases) Figure 5-47 shows a crystal modification of a basic Colpitts oscillator. In this oscillator, the crystal operates in its parallel-resonant mode, replacing the tank inductor. This is known as a \_\_\_\_\_ oscillator.

36. (Pierce) Again, the crystal controls the amount of feedback by controlling impedance. At its parallel-resonant frequency, the crystal's impedance is maximum and maximum feedback voltage is developed across the tank. If the oscillator drifts away from the crystal's parallel-resonant frequency its impedance \_\_\_\_\_, reducing feedback voltage.  
increases/decreases

37. (decrease) The Butler oscillator uses two transistors; one operates as a common-base amplifier, while the remaining transistor is connected as an emitter-follower. A crystal is connected between the emitters of the two amplifiers in the \_\_\_\_\_-\_\_\_\_\_ to control feedback between the two amplifiers. The Butler oscillator can be operated as a crystal overtone oscillator by tuning the collector to the desired overtone frequency.  
(series-resonant mode)

Just the opposite effect occurs if AC voltage is applied to a crystal. The electrical energy from the voltage source is converted to mechanical energy in the crystal. Figure 5-35 illustrates this point. The AC input signal causes the crystal to stretch and compress, which creates mechanical vibrations that correspond to the frequency of the AC signal.

Because of their structure, crystals have a natural frequency of vibration. If the frequency of the applied AC signal matches this natural frequency, the crystal will stretch and compress a large amount. However, if the frequency of the exciting voltage is slightly different than the crystal's natural frequency, little vibration is produced. The crystal, therefore, is extremely frequency selective, making it desirable for filter circuits. The crystal's mechanical frequency of vibration is extremely constant, which makes it ideal for oscillator circuits.

Many crystals produce piezoelectricity, but three types are the most useful: Rochelle salt, tourmaline, and quartz. Rochelle salt has the greatest electrical activity, but it is also the weakest and fractures easily. Tourmaline has the least electrical activity, yet it is the strongest of the three. Quartz is a compromise, since it is inexpensive, rugged, and has good electrical activity. Therefore, quartz is the most commonly used crystal in oscillator circuits.

The natural shape of quartz is a hexagonal prism with pyramids at the ends. Slabs are cut from the natural crystal, or "mother stone," to obtain a usable crystal. There are many ways to cut a crystal, all with different names; such as the X cut, Y cut, X-Y cut, and AT cut. Each cut has a different piezoelectric property. For example the AT cut has a good temperature coefficient, meaning the frequency changes very little with temperature changes. The other cuts also have characteristics that are desirable for specific applications.

The natural frequency of a crystal is usually determined by its thickness. As shown in Figure 5-36, the thinner the crystal, the higher its natural frequency. Conversely, the thicker a crystal, the lower its natural frequency. To obtain a specific frequency, the crystal slab is ground to the required dimensions. Of course, there are practical limits on just how thin a crystal can be cut, without it becoming extremely fragile. This places an upper limit on the crystal's natural frequency, around 50 megahertz.

To reach higher frequencies, crystals are mounted in such a way that they vibrate on "overtones" or harmonics of the fundamental frequency. For example, a 10 megahertz crystal can be mounted so it vibrates at 30 megahertz, the third overtone. The same crystal could be mounted to operate on the fifth overtone, 50 megahertz. Only odd order overtone vibrations are possible with crystals.

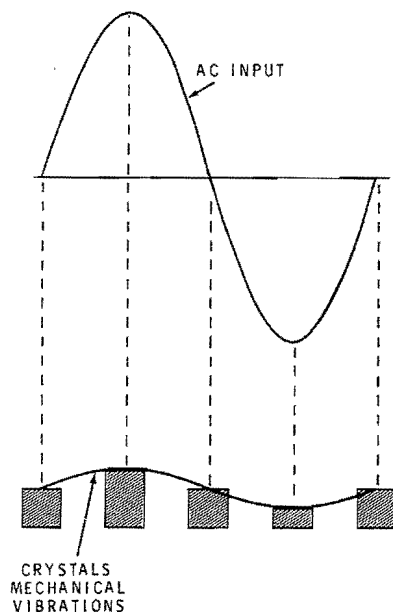


Figure 5-35  
AC signal applied to a crystal.

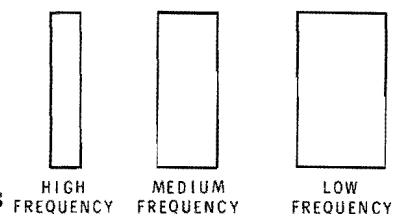


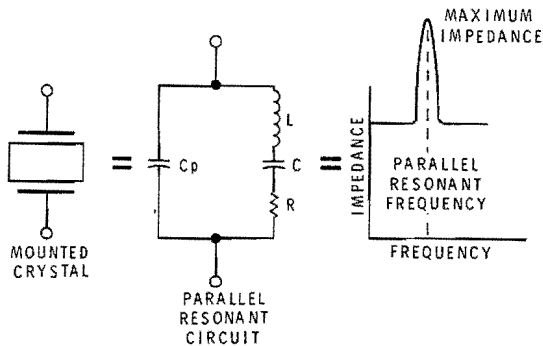
Figure 5-36  
Thickness determines  
crystal's natural frequency.



## Material Required

Heathkit Analog Trainer  
Oscilloscope (dual channel preferred)  
1—47 microhenry inductor (40-1659)  
1—NPN transistor (417-801) MPSA20  
1—3579.545 kHz crystal (404-238)  
1—4.7 picofarad capacitor  
1—24 picofarad capacitor  
1—47 picofarad capacitor  
1—0.01 microfarad capacitors  
1—0.001 microfarad capacitor  
1—560 ohm resistor (green-blue-brown-gold)  
1—1500 ohm resistor (brown-green-red-gold)  
1—8200 ohm resistor (gray-red-red-gold)  
1—10 kilohm resistor (brown-black-orange-gold)  
1—47 kilohm resistor (yellow-violet-orange-gold)  
1—100 kilohm resistor (brown-black-yellow-gold)  
1—1 megohm resistor (brown-black-green-gold)

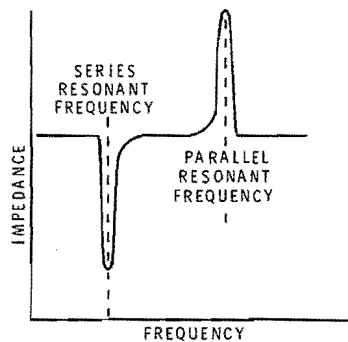
at frequencies above the crystal's series-resonant frequency, the inductive reactance of the crystal is greater than the crystal's capacitive reactance, and the crystal appears **inductive**. At these higher frequencies, a point is reached where the inductive reactance of the crystal equals the capacitive reactance of the mounting plates ( $X_L = X_{Cp}$ ). Here, equivalent circuit is parallel-resonant and impedance is maximum. The electrical equivalent of the crystal at this frequency is a parallel-tuned LC circuit. Therefore, a crystal has two resonant frequencies.



**Figure 5-39**  
When mounted, the crystal becomes a parallel-resonant circuit.

At the natural mechanical frequency of the crystal, the crystal is series-resonant and impedance is minimum. At a slightly higher frequency, the crystal and the capacitance of its mounting plates form a parallel-resonant circuit and impedance is maximum. The overall crystal response curve is illustrated in Figure 5-40.

As mentioned before, a crystal is highly frequency selective as indicated by the sharp skirts in the response curve. This is natural, since a crystal has an extremely high Q, sometimes approaching a Q of 50,000. When Q's of this value are compared with the Q of an LC circuit, usually 100, it is clear why crystal oscillators are more stable than normal LC oscillators. You will also find that crystal oscillators use either the series-resonant or parallel-resonant characteristic.



**Figure 5-40**  
The crystal response curve.

- Turn off the Trainer and connect the 3,579.545 kHz crystal in the feedback path as shown in Figure 5-49. With the crystal connected in this manner, it is operating in the \_\_\_\_\_-resonant mode.

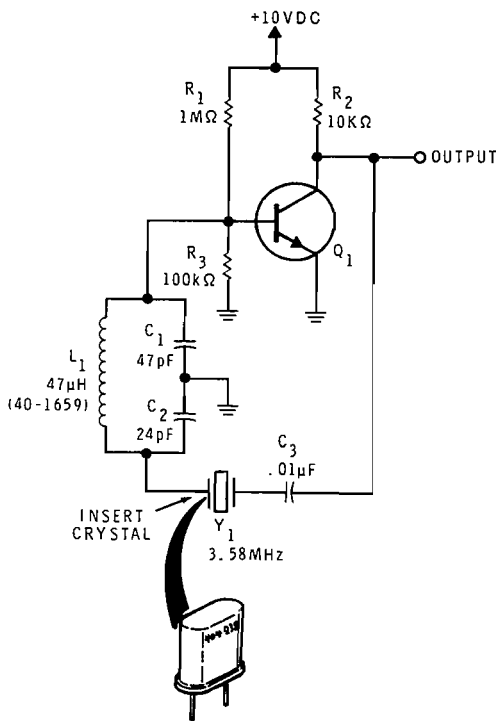


Figure 5-49

A series-resonant Colpitts crystal oscillator.

- Turn on the Trainer and observe the output waveform. The crystal is now controlling the resonant frequency of the oscillator. Record the frequency below.

Frequency= \_\_\_\_\_ Hz.

- Again grasp the 24 picofarad capacitor between your thumb and forefinger. Observe the output and record the frequency below.

Frequency= \_\_\_\_\_ Hz.

Did the frequency vary? \_\_\_\_\_

- Turn off the Trainer. Refer to Figure 5-50 and remove the 47 microhenry inductor; then connect the crystal in its place. Be sure to connect the 0.01 microfarad feedback capacitor to the tank circuit as shown.

## The Pierce Oscillator

The Pierce oscillator is similar to the basic Colpitts, except the tank inductor is replaced with a crystal operating at its parallel-resonant frequency. Figure 5-44 shows crystal  $Y_1$  replacing the tank coil. Remember, the crystal's parallel-resonant frequency is slightly higher than its series-resonant frequency and appears as an inductor.

The voltage divider arrangement of capacitors  $C_1$  and  $C_2$  provides the  $180^\circ$  phase shift between the collector and emitter of  $Q_1$ , resulting in positive feedback. The ratio of these two capacitors also determines the feedback ratio and therefore, the crystal excitation voltage. Since the crystal's response is extremely sharp, it will vibrate only over a narrow range of frequencies, producing a stable output.

The crystal operates in its parallel-resonant mode, and controls the tuned circuit impedance. At resonance, tank impedance is maximum and a large feedback voltage is developed across capacitor  $C_1$ . If frequency drifts above or below resonance, crystal impedance decreases rapidly, and decreases feedback. By controlling tank impedance, the crystal effectively determines feedback and stabilizes the oscillator.

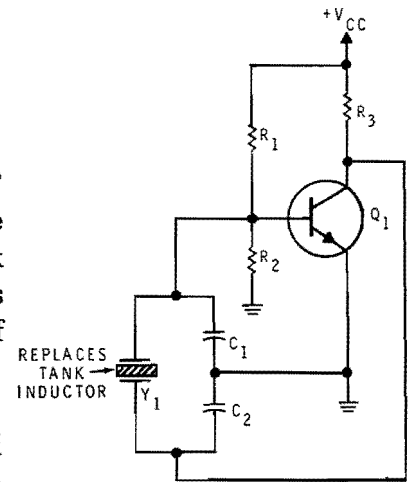


Figure 5-44  
The Pierce crystal oscillator.

## The Butler Oscillator

The Butler crystal oscillator combines a tuned LC circuit with the frequency selectivity of a crystal. As Figure 5-45 indicates, the Butler is a 2-transistor oscillator. Transistor  $Q_2$  operates as a common-base amplifier with a tuned collector circuit, while  $Q_1$  functions as an emitter-follower. Crystal  $Y_1$  is connected between the emitters of the two transistors and operates in its series-resonant mode to control feedback. Bias components have been omitted for simplicity.

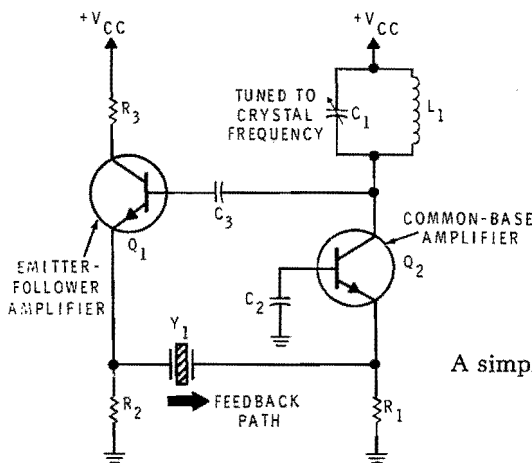


Figure 5-45  
A simplified Butler crystal oscillator.

In step 4, you inserted a 3.579545 megahertz crystal in series with the feedback loop. This crystal is generally referred to as a 3.58 megahertz crystal and is widely used in the color circuits of television receivers. In this circuit, the crystal is operated in the series-resonant mode. The experiment shows that the crystal controls the oscillator frequency, since the frequency decreases to 3.58 megahertz (the crystal frequency), with the crystal installed.

Figure 5-51 illustrates a crystal operating in its series-resonant mode. At frequencies above and below the series-resonant point (3.58 megahertz), the crystal has a high impedance to positive feedback; therefore, very little feedback is supplied to the tuned circuit. However, at its series-resonant frequency, the crystal offers minimum opposition to feedback, so feedback is maximum. Hence, the crystal forces the oscillator to oscillate at the crystal's resonant frequency.

When you attempted to force the crystal oscillator off frequency, by touching capacitor  $C_2$ , you probably saw a small shift in phase but oscillator frequency remained constant. The crystal, since it is highly frequency selective, opposed this change in frequency and maintained a constant output.

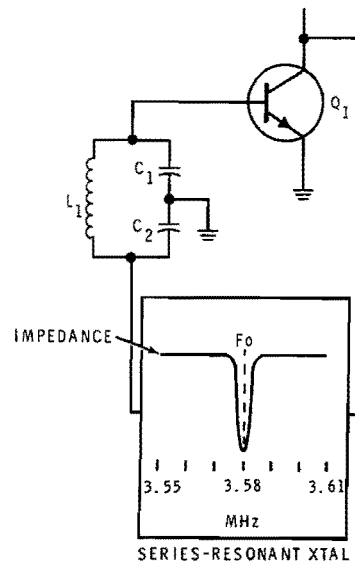


Figure 5-51

A series-connected crystal.

## Programmed Review

25. LC oscillators, although widely used, are relatively unstable. In oscillators requiring a high degree of stability, \_\_\_\_\_ are used.
26. (crystals) Crystals, such as quartz and Rochelle salt, produce piezoelectricity. When mechanical pressure is applied to the crystal, a small voltage is produced. Likewise, when an AC voltage is applied to a crystal, the crystal vibrates at the \_\_\_\_\_ of the AC voltage.
27. (frequency) Crystals have a natural frequency of vibration. If the frequency of the AC signal applied to a crystal matches the crystal's natural frequency, the crystal will vibrate a \_\_\_\_\_ amount. large/small
28. (large) Crystals are "cut" to vibrate at a specific frequency. Usually a thick crystal has a low resonant frequency and a thin crystal has a \_\_\_\_\_ resonant frequency.
29. (high) The electrical equivalent of a crystal is a series-resonant circuit containing L, C, and R. However, when the crystal is mounted between two metal plates, its electrical equivalent is a \_\_\_\_\_-resonant circuit.
30. (parallel) Therefore, if the crystal is operated at its natural resonant frequency, it appears as a series-resonant circuit and offers \_\_\_\_\_ impedance to current.
31. (minimum) When the crystal is operated at a frequency that is slightly higher than its natural resonant frequency, the crystal appears inductive. This inductance is in parallel with the capacitance of the crystal mounting plates, forming a parallel-resonant circuit that offers \_\_\_\_\_ impedance to current.

## Procedure (Continued)

8. Construct the crystal oscillator shown in Figure 5-53. This is a Pierce oscillator that functions at a frequency of \_\_\_\_\_ megahertz, determined by the crystal.
9. Turn on the Trainer and observe the output waveform. Record the frequency below.

Frequency= \_\_\_\_\_ Hz.

10. Turn off the Trainer.

## Discussion

The Pierce oscillator you built in step 8 is similar to the Colpitts you assembled earlier. The similarity may not be obvious until you consider that the crystal is in the collector-base feedback path. The junction capacitance of transistor  $Q_1$ , plus the distributed capacitance of the circuit, combine to provide the capacitive voltage divider for Colpitts operation. In the Pierce oscillator, the 3.58 megahertz crystal operates in the parallel-resonant mode and appears inductive. No inductor is required, resulting in a simple, high-Q oscillator. Because of the oscillator's simplicity, the output sine wave is usually distorted.

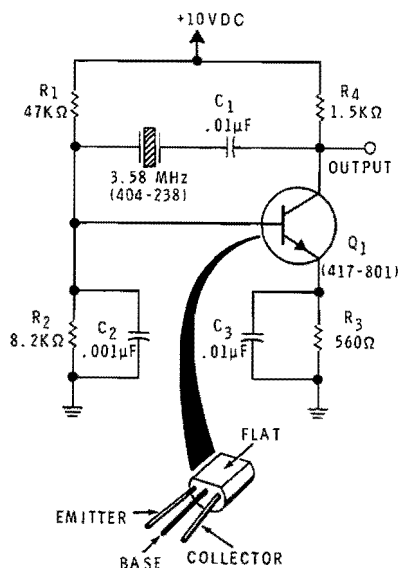


Figure 5-53  
A 3.58 MHz Pierce oscillator.

## EXPERIMENT 13

### Crystal Oscillators

*OBJECTIVES: Show how to construct several different types of Colpitts oscillators.*

*Show how to construct a simple Pierce crystal oscillator and operate it on its fundamental frequency.*

#### Introduction

Four basic crystal oscillators have been discussed. Two of these oscillators, the Hartley and Colpitts, are simple LC oscillators with crystals added for stability. The Pierce oscillator is an extension of the Colpitts where a crystal replaces the inductor.

A crystal can be operated in the series-resonant or parallel-resonant mode. In the series mode, the crystal offers minimum impedance at resonance. In the parallel mode, the crystal has maximum impedance and appears inductive. Since the parallel-resonant frequency of a crystal is slightly higher than its series-resonant frequency, the method of connection is important. For example, a crystal with a series-connected frequency of 1.0 megahertz may operate at 1.02 megahertz if connected in the parallel mode. For this reason, crystal manufacturers usually specify the series and parallel frequencies for each crystal.

In this experiment, you will construct three Colpitts oscillator circuits. A basic Colpitts and two crystal circuits—a series-resonant mode and a parallel-resonant mode. Finally, a Pierce crystal oscillator. All the oscillators will exhibit good stability, but the sine wave outputs may be slightly distorted. **Read this entire procedure before performing this experiment.**



Figure 5-54A shows an RC network with a 65 Hertz AC input signal applied. The output is taken across the resistor and therefore the output voltage leads the input by  $60^\circ$ . It is important to note that, if the frequency increases or decreases from 65 Hertz,  $X_C$  changes, resulting in a different phase shift. The  $60^\circ$  phase shift is the result of R, C, and the 65 Hertz frequency of the applied signal as shown by the voltage vectors.

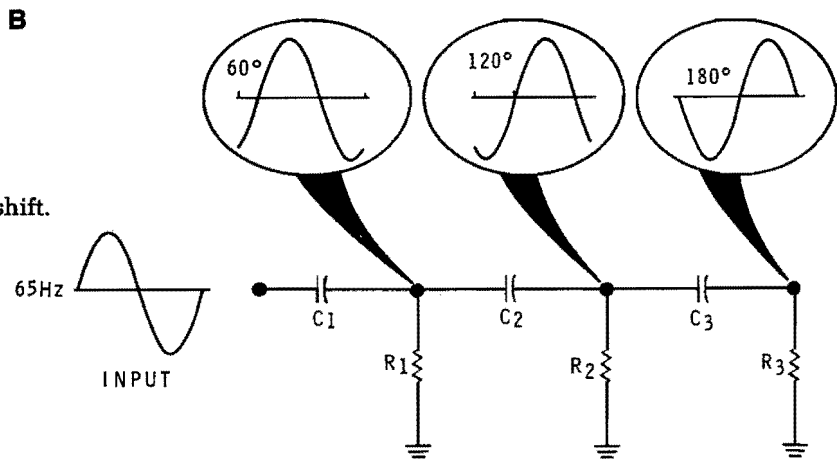
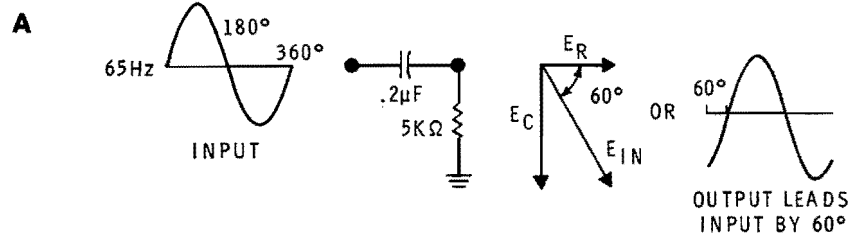


Figure 5-54

RC networks produce a phase shift.

If three such  $60^\circ$  phase-shift networks are cascaded, as shown in Figure 5-54B, the combined phase shift is  $180^\circ$ . Each network contributes  $60^\circ$  to the total phase shift of  $180^\circ$ . This condition only occurs for a 65 Hertz input signal. If the frequency of the input signal increases, the total phase shift decreases. Likewise, a decrease in frequency results in an increase in phase shift.

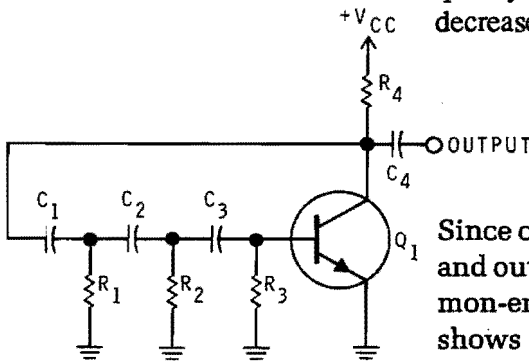


Figure 5-55

A simple phase-shift oscillator.

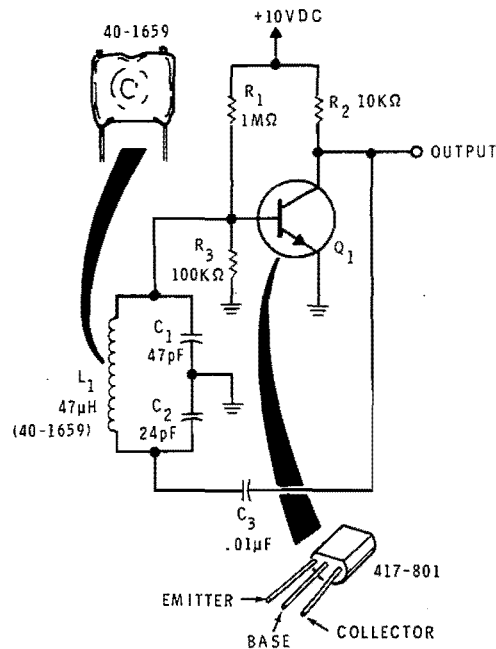
Since one requirement of an oscillator is a  $180^\circ$  phase shift between input and output, placing this network between the collector and base of a common-emitter amplifier results in a phase-shift oscillator. Figure 5-55 shows such a circuit. The phase-shift network comprised of  $R_1C_1$ ,  $R_2C_2$ , and  $R_3C_3$  is connected between the collector and base of  $Q_1$ , providing the  $180^\circ$  phase shift that makes the circuit regenerative. Since there is a considerable power loss across the RC networks, transistor gain must be high enough to compensate for these losses. Usually a voltage gain of between 30 and 50 is required.

## Procedure

1. Turn on the Trainer and adjust the (+) power supply voltage control for 10 VDC. Turn off the Trainer and construct the circuit shown in Figure 5-48.
2. Turn on the Trainer. Connect the oscilloscope between the output and ground. Observe the output waveform and record the frequency below. The sine wave may be distorted, but this is not important at this time.

Frequency = \_\_\_\_\_ Hz.

Figure 5-48  
A Colpitts oscillator.



3. As you observe the output waveform, grasp the 24 picofarad capacitor tightly between your thumb and forefinger. Do not touch the capacitor leads. Now record the oscillator frequency.

Frequency = \_\_\_\_\_ Hz.

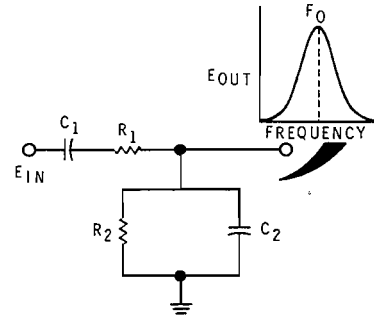
Although the shift in frequency appears small, it is large enough to affect the oscillator frequency a significant amount. Record the difference between this frequency and that obtained in step 2.

Frequency Difference = \_\_\_\_\_ Hz.

The circuit shown in Figure 5-56 is referred to as a lead-lag network. It is a simple bandpass filter comprised of a series RC network,  $R_1C_1$ , and a parallel RC network,  $R_2C_2$ . It is called a lead-lag network because the output phase angle leads for some frequencies and lags for others. However, at the resonant frequency, the phase shift exactly equals  $0^\circ$ . This important characteristic allows the lead-lag network to determine oscillation frequency in the bridge oscillator.

Figure 5-56

A lead-lag network for the Wien bridge.



At low frequencies, series capacitor  $C_1$  appears as an open and there is no output. At very high frequencies, the parallel capacitor,  $C_2$ , shunts the output to ground and again there is no output. However, at the resonant frequency, the output voltage is maximum. This is illustrated by the voltage-output-versus-frequency curve at the output of the circuit. Output is maximum at  $F_0$ ; therefore, the RC network is frequency selective. On both sides of  $F_0$ , output decreases significantly. Examine the output phase angle carefully and notice that, at low frequencies, the phase angle is positive and the circuit acts like a lead network. At high frequencies, the output phase angle is negative and the circuit acts like a lag network. At the circuit's resonant frequency, the phase shifts of the series and parallel circuits cancel, since they are equal but opposite polarity, and the resultant output is in phase with the input. This is desirable since, when the circuit is at resonance,  $0^\circ$  phase shift occurs.

The resonant frequency of the lead-lag network is calculated using the equation:

$$F_0 = \frac{1}{2\pi\sqrt{R_1R_2C_1C_2}}$$

If the two resistors are equal in value and the two capacitors are also equal, which is frequently the case, the resonant frequency equation is simplified:

$$F_0 = \frac{1}{2\pi RC}$$

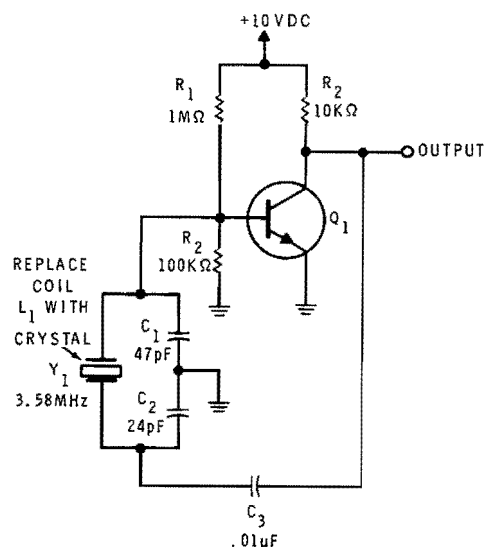


Figure 5-50

A parallel-resonant crystal version of the Colpitts.

Turn on the Trainer. Observe the output waveform and record the frequency below.

Frequency= \_\_\_\_\_ Hz.

The crystal is now operating in the \_\_\_\_\_-resonant mode.  
Turn off the Trainer.

## Discussion

In steps 1 and 2, you constructed a basic Colpitts oscillator similar to the one you built in a previous experiment. The oscillator frequency, approximately 4.30 megahertz is determined primarily by the values of inductor  $L_1$  and the series capacitance of  $C_1$  and  $C_2$ . However, at frequencies this high, distributed capacitance and the junction capacities of transistor  $Q_1$  have a large influence on the resonant frequency.

You saw that capacitor  $C_2$  has a more pronounced effect on oscillator frequency than  $C_1$ . Therefore, when you touched this capacitor in step 3, the oscillator frequency shifted because your fingers introduced stray body capacitance into the circuit. The amount of frequency shift depends on your body capacitance, but a shift of 180 kilohertz would be common. This represents a 4% change in frequency  $\left(\frac{180,000 \text{ Hz}}{4,300,000 \text{ Hz}} = 0.04\right)$ , indicating a lack of stability.

The resonant frequency of oscillation is determined by the values of  $R_1$ ,  $R_2$ ,  $C_1$ , and  $C_2$  and can be computed using the equation:

$$F_o = \frac{1}{2 \pi \sqrt{R_1 R_2 C_1 C_2}}$$

Oscillator frequency may be varied by changing either the resistance or capacitance in the lead-lag network. Usually resistors  $R_1$  and  $R_2$  are ganged potentiometers, permitting frequency variations. The formula shows that an increase in resistance or capacitance, decreases oscillator frequency. Conversely, a reduction in resistance or capacitance, increases oscillator frequency.

The IC Wien-bridge oscillator is simple to construct and relatively inexpensive. Before integrated circuits were widely used for electronic design, Wien-bridge oscillators were assembled from discrete components. Figure 5-58 is such a circuit.

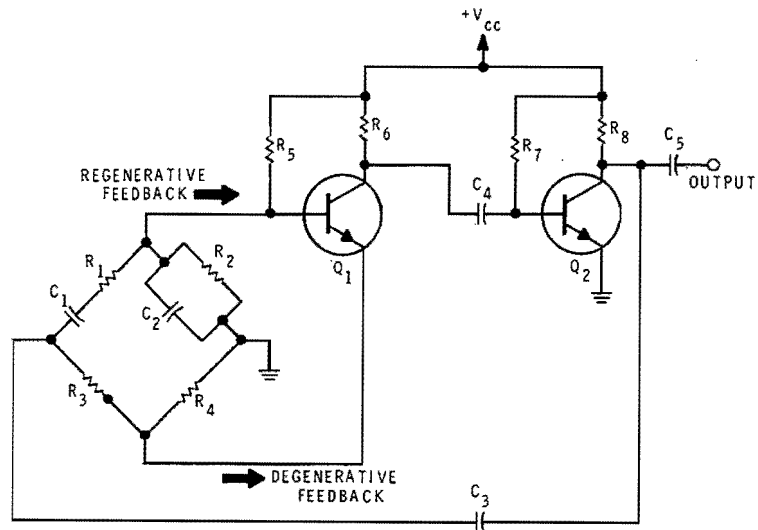


Figure 5-58

A discrete Wien-bridge oscillator.

Step 7 illustrated an important point as you removed the 47 microhenry inductor and replaced it with the crystal. The resulting circuit is a crystal operating in its parallel-resonant mode, replacing the tuned circuit inductor. Figure 5-52 shows the resulting circuit. At parallel resonance, the crystal appears inductive. It now replaces the inductor and is resonant with series capacitors  $C_1$  and  $C_2$ . Although the parallel-resonant frequency is slightly higher than the crystal's series-resonant frequency, the difference is so small that it's difficult to see on an ordinary oscilloscope.

The impedance-versus-frequency curve at the bottom of the illustration shows how the crystal controls oscillator frequency in the parallel mode. Above and below resonance, the crystal's impedance is low, effectively shunting the feedback network of  $C_1$  and  $C_2$ . However, at resonance, the crystal's impedance is high and maximum feedback is developed. The highly selective nature of the crystal forces the oscillator to operate at the crystal's parallel-resonant frequency. Remember, at parallel-resonance, the crystal's impedance is high and feedback is maximum. In both crystal oscillators, the crystal controls the amount of positive feedback, thereby controlling the oscillator frequency.

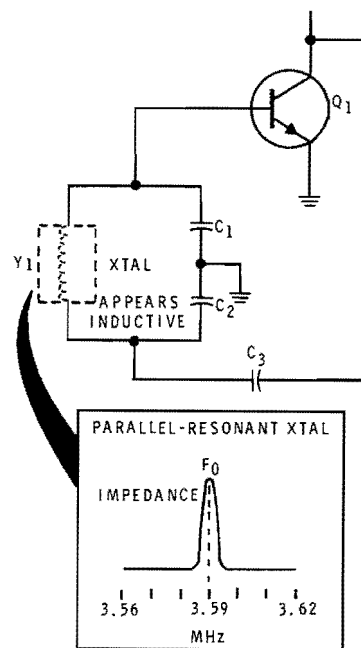


Figure 5-52  
A parallel-connected crystal.

## Programmed Review

38. Inductors for the audio frequency range are usually large and expensive and the low limit at which crystals are practical is usually 50 kHz. Therefore, \_\_\_\_\_ oscillators are usually used to generate low frequency AC signals.

39. (RC) Two RC oscillators produce sine wave outputs. They are: the phase-shift oscillator and the \_\_\_\_\_-bridge oscillator.

40. (Wien) The practical limit on the amount of phase shift that a single RC network can produce is  $60^\circ$ . Therefore, \_\_\_\_\_ of these RC networks must be cascaded to give the desired  $180^\circ$  phase shift required for regenerative feedback.

41. (three) The unique property of the phase-shift network is that there is only one frequency at which the total phase shift is exactly  $180^\circ$ . Above or below this frequency, the phase shift changes from  $180^\circ$  and regenerative feedback is \_\_\_\_\_.

increased/decreased

42. (decreased) The phase-shift oscillator functions best at a fixed frequency. The approximate frequency of a phase-shift oscillator can be determined using the formula  $F_o = \frac{1}{2\pi\sqrt{6}RC}$ . Compute the frequency of a phase-shift oscillator that has three RC networks made with 5 kilohm resistors and 0.1 microfarad capacitors.

$$F_o = \text{_____ Hz.}$$

## RC OSCILLATORS

Up to this point, LC and crystal oscillators that are commonly used in RF applications have been discussed. However, in the low and audio frequency ranges, these oscillators are usually not practical. For example, inductors for the low frequency range, around 60 Hertz, would be large and expensive. And, the practical low limit on crystals is usually around 50 kilohertz. So an inexpensive approach to oscillator design in these low frequency ranges is the RC oscillator.

The RC oscillator uses resistance-capacitance networks to determine oscillator frequency. This makes the oscillator inexpensive, easy to construct and relatively stable. There are basically two types of RC oscillators that produce sine wave outputs; the phase-shift oscillator and the Wien bridge oscillator. Many other RC oscillators, such as the multivibrator and Schmitt trigger, produce nonsinusoidal outputs.

### The Phase-Shift Oscillator

The phase-shift oscillator, as the name implies, is a conventional amplifier and a phase shifting RC feedback network. It is typically used in fixed frequency applications. As in the conventional LC oscillator, the collector output signal must be shifted  $180^\circ$  to produce the required regenerative feedback. The phase-shift oscillator accomplishes this with a series of RC networks connected in the collector-to-base feedback loop.

Briefly review the basic principles of an RC phase-shift network. Remember that in a purely capacitive circuit, current leads voltage by  $90^\circ$ . However, in an RC network the phase difference between current and voltage falls between  $0^\circ$  and  $90^\circ$ , because resistance now affects the phase relationship. Thus, the phase difference in an RC circuit is a function of the capacitive-reactance ( $X_C$ ) and resistance of the network. By carefully selecting the resistance and capacitive values, the amount of phase shift across an RC network can be controlled.

Resistance does not vary with frequency. However, the capacitor is frequency sensitive, since its reactance changes with frequency. Therefore, any change in frequency, changes  $X_C$ . As  $X_C$  changes, the phase shift of the RC network also varies. Hence, the capacitor is the frequency sensitive component in the RC network.



## EXPERIMENT 14

### The Wien-Bridge Oscillator

**OBJECTIVES:**

*Show how to construct a Wien-bridge oscillator and determine the resistor ratio required to develop the correct degenerative feedback.*

*Show how to insert a simple automatic gain control and observe the effect it has on oscillator operation.*

*Show how varying the values of resistance and capacitance in the lead-lag network can affect the frequency.*

#### Introduction

The Wien-bridge oscillator is a stable RC oscillator that is usually preferred for low frequency applications. A lead-lag RC network determines oscillator frequency and controls the amount of regenerative feedback for the oscillator. Degenerative feedback is set by a resistive voltage divider.

For oscillation, regenerative feedback must exceed degenerative feedback. Since component tolerances frequently vary, a potentiometer is usually placed in the degenerative feedback loop so degenerative feedback can be adjusted. By controlling degenerative feedback in this manner, the threshold of oscillation and oscillator saturation can be adjusted.

In this experiment, you will adjust the amount of degenerative feedback and compute the ratio of resistance required for oscillation. You will also observe the critical adjustment of this ratio. You will replace the feedback resistor with an incandescent lamp which will make the adjustment easier and the circuit more stable. You will also change the RC components in the lead-lag network and observe the changes in oscillator frequency. **Read this entire procedure before performing this experiment.**

Operation is simple, as transistor  $Q_1$  operates between saturation and cutoff. The bias network is omitted for simplicity. Initial conduction causes a decrease in collector voltage. Collector voltage is shifted  $180^\circ$  by the RC networks, placing a positive potential on the base of  $Q_1$ , further biasing it into saturation. When  $Q_1$  saturates, the forward bias of  $Q_1$  decreases, with the process continuing until  $Q_1$  is cut off. This action is repeated continually. As a result, the collector voltage varies in a sinusoidal manner, producing a slightly distorted sine wave output.

Since each phase-shift network must produce a  $60^\circ$  phase shift, the circuit will naturally oscillate at the frequency at which this phase shift occurs. The approximate frequency of oscillation can be determined with the equation:

$$F_0 = \frac{1}{2\pi\sqrt{6}RC}$$

Where: R is the value of one resistor and C is the value of one capacitor.

The phase-shift oscillator functions best at fixed frequencies, since any variation of resistance or capacitance upsets the phase shift. However, it is possible to change the frequency over a small range by varying the resistance or capacitance of the RC networks. Stability can be improved by increasing the number of RC networks, thereby reducing the phase shift across each network.

## The Wien-Bridge Oscillator

Like the phase-shift oscillator, the Wien bridge uses RC networks. However, in the Wien bridge oscillator, the RC networks are part of a bridge circuit that produces both regenerative and degenerative feedback. The result is an excellent sine wave oscillator that can be used to generate frequencies ranging from 5 Hertz to 1 Megahertz. In the phase-shift oscillator just discussed, the RC networks produce the desired  $180^\circ$  phase shift for regenerative feedback. In the Wien bridge oscillator, the RC networks select the frequency at which feedback occurs, but do not shift the phase of the feedback voltage.

It is easy to understand the bridge oscillator if you understand the regenerative feedback network.

- Slowly rotate the 1 kilohm potentiometer clockwise and observe the oscillator output. You will reach a point where the oscillator suddenly begins to work. If you rotate the potentiometer any further clockwise, it will quickly drive the oscillator into saturation. Likewise, if you decrease the resistance of the potentiometer from this point, it will quickly cut off the oscillator.

Carefully adjust the potentiometer until the output is an undistorted sine wave. This adjustment is critical and you may have to adjust it three or four times to get it right. Record the peak-to-peak output voltage below.

$$E_{out} = \underline{\hspace{2cm}} \text{ Vp-p}$$

- Using channel 2 of the oscilloscope, measure the peak-to-peak voltage across  $R_3$ . Be sure to connect the oscilloscope reference to ground.

$$E_{R3} = \underline{\hspace{2cm}} \text{ Vp-p}$$

- Using the voltage measured in step 4 and the voltage measured in step 5 calculate the voltage across the 1 kilohm potentiometer  $E_{R4}$ .

$$E_{OUT} - E_{R3} = E_{R4} \underline{\hspace{2cm}} \text{ Vp-p}$$

Apply this lead-lag network to a Wien-bridge oscillator. Figure 5-57 illustrates a Wien-bridge oscillator using an operational amplifier as the active device. The lead-lag network, comprised of  $R_1C_1$  and  $R_2C_2$ , makes up one side of the bridge. A voltage divider,  $R_3$  and  $R_4$ , is the remaining leg of the bridge. The inverting and noninverting inputs of the op amp make it ideal for use in the Wien-bridge oscillator, since regenerative and degenerative feedback are required. The op amp's high gain is also very useful in offsetting circuit losses.

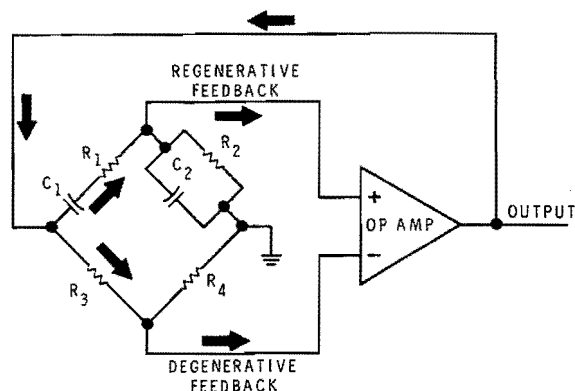


Figure 5-57

An IC Wien-bridge oscillator.

Op amp output is fed back to the bridge input. Regenerative feedback is developed across the lead-lag network and is applied to the noninverting input. Therefore, regenerative feedback is in phase with the output signal. Degenerative feedback is developed across resistors  $R_3$  and  $R_4$  and is applied to the inverting input. Of course, for the circuit to oscillate, regenerative feedback must be greater than degenerative feedback.

Degenerative feedback remains constant regardless of the frequency, since the resistance values do not change. However, regenerative feedback depends on the frequency response of the lead-lag network which is frequency sensitive.

Component values are selected so that, at the desired oscillator frequency, regenerative feedback is larger than degenerative feedback and oscillation occurs. If however, oscillator frequency attempts to increase, the reactance of capacitor  $C_2$  will decrease and shunt more voltage to ground, reducing regenerative feedback. Likewise, a decrease in frequency increases the reactance of  $C_1$ . Less voltage is developed across the  $R_2C_2$  network and again regenerative feedback is reduced. Only over a narrow range of frequencies, set by the lead-lag network, will regenerative feedback be great enough to sustain oscillation. Thus, the oscillator is forced on frequency by this network.

9. Turn on the Trainer and adjust the potentiometer until oscillation begins. Vary the potentiometer setting and observe the oscillator output. Notice that, when resistance is quickly increased, the oscillator saturates. Likewise, a rapid decrease in resistance may turn off the oscillator momentarily, or cause amplitude variations that gradually die out.
10. Set the potentiometer so the output is an undistorted sine wave with maximum amplitude. Measure the peak-to-peak combined voltages across the potentiometer and the incandescent lamp. Record them below.

$$E_{combined} = \text{_____} \text{ Vp-p}$$

$$E_{L1} = \text{_____} \text{ Vp-p}$$

$$E_{R4} = E_{combined} - E_{L1} = \text{_____} \text{ Vp-p}$$

As shown in step 7, the voltage and resistance ratios are the same. Therefore, the resistance ratio of  $R_4$  to  $L_1$  is \_\_\_\_\_.

11. Decrease the setting of the potentiometer approximately 1/8 turn. The oscillator may momentarily turn off, but it will gradually begin to oscillate within a few seconds. Notice the amplitude variations that occur and then diminish as the oscillator stabilizes. Again, measure and record the peak-to-peak voltages across the lamp and the potentiometer.

$$E_{R4} = \text{_____} \text{ Vp-p}$$

$$E_{L1} = \text{_____} \text{ Vp-p}$$

Now what is the resistance ratio of  $R_4$  and  $L_1$ ? \_\_\_\_\_  
Even though the resistance of the potentiometer is decreased, the resistance ratio remains constant, so the resistance of the lamp must have decreased a proportional amount. Turn off the Trainer.

The circuit is a two-stage amplifier. The Wien bridge is connected across the base of transistor  $Q_1$ . Regenerative feedback is applied to the base of  $Q_1$ , while degenerative feedback is fed to the emitter.  $Q_1$  is the oscillator transistor. Capacitor  $C_4$  couples oscillator output to the base of  $Q_2$ , where the signal is amplified and phase-shifted the required  $180^\circ$ . Capacitor  $C_3$  provides feedback to the bridge network. Otherwise, operation is identical to the IC oscillator just discussed.

Since the Wien bridge contains four resistors, circuit gain must be high enough to overcome these resistor losses. Also, because the circuit uses regenerative and degenerative feedback, the feedback ratio between the two is usually adjusted so that regenerative feedback is just high enough to offset resistor losses and degenerative feedback. This adjustment is very critical because the oscillator readily saturates, distorting the sine wave output.

The feedback voltage adjustment can be simplified if the oscillator is designed with a basic AGC (automatic-gain-control) circuit. You will experiment with an AGC circuit in Experiment 14.

As the potentiometer resistance increases, less current flows through the lamp. Subsequently, lamp resistance increases to a point where it counter-balances the potentiometer's change, maintaining the resistance ratio at 2 to 1. A decrease in the resistance of the potentiometer results in more current through the lamp, producing less resistance. This offsets the change introduced by the potentiometer, keeping the resistance ratio exactly 2 to 1.

The range over which the lamp can control resistance is limited; as the lamp reaches points where the resistance change is insignificant for a change in heat. When the potentiometer was set to a very low value of resistance, the oscillator did not work. The low resistance limit had been reached. There is also a high limit where the oscillator saturates, distorting the output sine wave. The degenerative feedback control is usually set so the oscillator output amplitude is maximum and the sine wave is undistorted.

### Procedure (Continued)

12. Turn on the Trainer and adjust the degenerative feedback control (potentiometer  $R_4$ ) so the output has a maximum amplitude, but the sine wave is undistorted.
13. To calculate the frequency of a Wien-bridge oscillator, the values of the resistance and capacitance in the lead-lag network are plugged into the formula:

$$F_o = \frac{1}{2\pi\sqrt{R_1 R_2} C_1 C_2}$$

However, if the resistance values and capacitance values are equal, this formula can be simplified:

$$F_o = \frac{1}{2\pi R_1 C_1}$$

Since the components in the lead-lag network are equal in value, use these values and compute the resonant frequency of the oscillator.

$$F_o = \underline{\hspace{2cm}} \text{ Hz.}$$

43. (130) Like the phase-shift oscillator, the Wien-bridge uses RC networks to determine oscillator frequency. However, the Wien-bridge uses both regenerative and \_\_\_\_\_ feedback.

44. (degenerative) Degenerative feedback for the Wien-bridge is developed across a resistor voltage-divider network that comprises one leg of the bridge. \_\_\_\_\_ feedback is developed across a combination series and parallel RC network, known as a lead-lag circuit.

45. (Regenerative) The component values of the resistors and capacitors in the lead-lag network are selected so that, at the resonant frequency, regenerative feedback is maximum. At frequencies above and below the resonant frequency, regenerative feedback \_\_\_\_\_  
increases/decreases

46. (decreases) The operational amplifier is ideal for the Wien-bridge oscillator. Regenerative feedback is applied to the \_\_\_\_\_ input of the op amp and degenerative feedback is applied to the inverting input.

47. (noninverting) The discrete Wien-bridge oscillator is a two-stage amplifier with the bridge connected across the input of one transistor. This transistor operates as the oscillator. Oscillator output is coupled to the second transistor, which amplifies the signal and introduces the required \_\_\_\_\_  
Output is then fed to the input of the Wien-bridge circuit.

(180° phase shift)



Calculate oscillator frequency. Remember, the formula  $F_o = 1/2\pi RC$  is no longer valid, since the values of the capacitors are not the same.

$$F_o = \underline{\hspace{2cm}} \text{ Hz.}$$

20. Turn on the Trainer. View the output waveform and adjust the degenerative feedback control for an undistorted sine wave. Record the frequency below.

$$\text{Frequency} = \underline{\hspace{2cm}} \text{ Hz.}$$

21. Turn off the Trainer.

### Discussion

In this section of the experiment, you changed the resistors in the lead-lag network and observed the change in frequency. In step 13, the network contained 100 kilohm resistors and 0.001 microfarad capacitors. This made it easier to compute the oscillator frequency, since the simplified formula could be used. The correct calculations are:

$$F_o = \frac{1}{2\pi RC} = \frac{1}{2\pi 100 \text{ k}\Omega \times 0.001 \text{ }\mu\text{F}} = 1,592 \text{ Hz}$$

You verified this frequency in step 14 by measuring output frequency.

When you replaced the 100 kilohm resistors with 1 megohm resistors, the oscillator frequency decreased to 159 hertz. Consequently, a decrease in resistance results in a much higher frequency, as shown in step 17. When you inserted 10 kilohm resistors in place of the 1 megohm resistors, the frequency increased to around 15,900 hertz.

## Material Required

- Heathkit Analog Trainer
- Oscilloscope (dual channel preferred)
- 1—741C operational amplifier (442-22)
- 1—Incandescent lamp (412-619)
- 2—0.001 microfarad capacitors
- 1—0.01 microfarad capacitor
- 1—220 ohm resistor (red-red-brown-gold)
- 2—10 kilohm resistors (brown-black-orange-gold)
- 2—100 kilohm resistors (brown-black-yellow-gold)
- 2—1 megohm resistors (brown-black-green-gold)

## Procedure

1. Turn on the Trainer and adjust the (+) and (−) power supply voltage controls for 10 VDC. Turn off the Trainer. Construct the circuit shown in Figure 5-59. Connect the 1 kilohm potentiometer on the Trainer for minimum resistance when adjusted CCW.
2. Rotate the 1 kilohm potentiometer for minimum resistance. In this position, the resistance of the degenerative feedback circuit is minimum, maximum degenerative feedback is supplied and no oscillation occurs.
3. Preset the following oscilloscope controls: TIME/CM: 0.5 ms, VOLTS/CM: 5 volts, AC/DC/GND: AC. Connect channel 1 to pin 6 of the Op amp. Turn on the Trainer. You are now observing the output of the oscillator. Are oscillations present? \_\_\_\_\_.

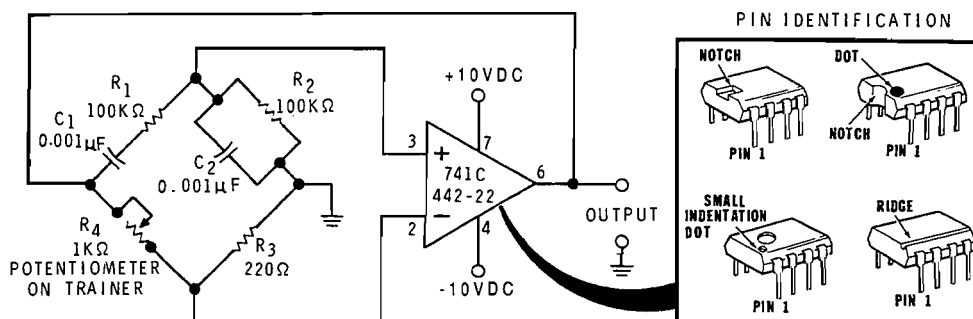


Figure 5-59

A simple op-amp Wien-bridge oscillator.

## NONSINUSOIDAL OSCILLATORS

You have studied various types of oscillators that generate sinusoidal waveforms. Another broad class of oscillators is the **nonsinusoidal**. As the name implies, output from these oscillators is not a sine wave.

No specific wave shape is characteristic of all nonsinusoidal oscillators. They are usually a collection of many circuits, each with its own characteristic wave shape. The nonsinusoidal output may be square, sawtooth, rectangular, triangular, or even a combination of two such shapes. However, one common characteristic is that they are usually a form of relaxation oscillator. For example, during part of the oscillation cycle, energy is rapidly stored in one of the reactive circuit components and is gradually released during the “**relaxation**” part of the cycle. The blocking oscillator and multivibrator are examples of relaxation oscillators that have nonsinusoidal outputs.

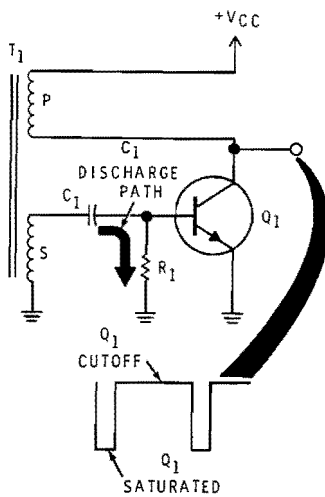


Figure 5-62  
A basic blocking oscillator.

### The Blocking Oscillator

The blocking oscillator is a perfect example of the relaxation principle, since it keeps itself cut off during most of each cycle. This oscillator is used very often in television design, because it is an excellent deflection generator.

Figure 5-62 shows the basic circuit for a blocking oscillator. The connection of transformer  $T_1$  may remind you of the “tickler coil” or Armstrong oscillator studied earlier. However, neither transformer winding is tuned by a capacitor, as in the Armstrong oscillator. This is the distinguishing feature that makes the two oscillators different. In the Armstrong oscillator, one of the transformer windings is tuned by a parallel capacitor, while the blocking oscillator has neither winding tuned. Therefore, in the blocking oscillator, there is no resonant tank action.

When the circuit is initially energized, transistor  $Q_1$  is forward biased by the bias network (not shown), and collector current is through the primary of  $T_1$ . This current induces a positive voltage into the transformer secondary that is coupled to the base of  $Q_1$ . This further forward biases the base, driving  $Q_1$  into saturation. With  $Q_1$  saturated, no voltage is induced into the secondary of  $T_1$ , since the field of the primary is no longer changing. The field around the secondary collapses, developing a negative potential that  $C_1$  couples to the base of  $Q_1$ . Transistor  $Q_1$  is reverse biased and is quickly driven into cutoff.

7. Since the potentiometer and resistor  $R_3$  are connected in a series voltage-divider arrangement, the voltage ratio indicates the resistance ratio of the two resistors. While the actual value at which potentiometer  $R_4$  is set, is unknown, you can calculate the resistance of  $R_4$  using the known value of  $R_3$  (220 ohms) and the voltage ratio. Compute the resistance of the potentiometer.

$$\frac{E_{R4}}{E_{R3}} = \frac{R_4}{R_3} = \frac{R_4}{220 \Omega}$$

$$R_4 = \frac{E_{R4}}{E_{R3}} \times 220 = \underline{\hspace{2cm}} \Omega$$

What is the resistance ratio  $\left(\frac{R_4}{R_3}\right)$ ?                     

The resistance network ( $R_3R_4$ ) is in the                      feedback loop.  
regenerative/degenerative

8. When you adjust potentiometer  $R_4$ , you vary the amount of degenerative feedback. The potentiometer is very touchy; a slight increase in resistance quickly drives the oscillator into saturation, causing output waveform clipping. A minor decrease in resistance rapidly cuts off the oscillator. Minor variations in supply voltage or component tolerances, due to heat or aging, result in distorted output or no output at all. A simple AGC (automatic-gain-control) circuit can compensate for these adverse conditions.

Turn off the Trainer. Remove the 220 ohm resistor and replace it with the incandescent lamp (412-619) as shown in Figure 5-60.

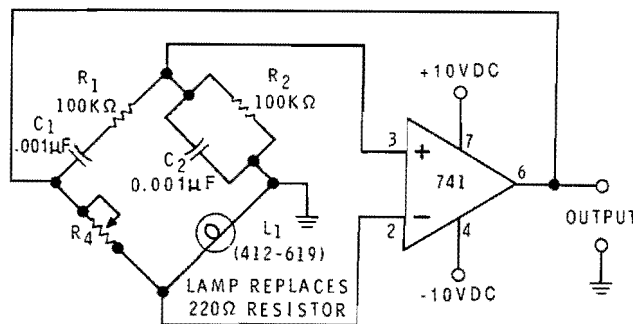


Figure 5-60  
 Adding AGC to the oscillator.

Notice that capacitor  $C_1$  and potentiometer  $R_1$  determine the frequency of oscillation.  $R_1$  is made variable for frequency adjustment. Again, if  $R_1$  is set to a high resistance, a long, RC discharge time constant produces low frequency oscillation. Likewise, if  $R_1$  is set to a low resistance, producing a short RC time constant, the oscillator frequency increases.

### Programmed Review

48. The blocking oscillator is a relaxation oscillator that produces a nonsinusoidal output. During one part of the oscillation cycle, energy is rapidly stored in a reactive circuit component and is then gradually released in the \_\_\_\_\_ part of the cycle.

49. (relaxation) It is called a blocking oscillator because the transistor is easily driven into the \_\_\_\_\_ mode.

50. (blocking) When the amplifier transistor in the blocking oscillator is forward biased, a capacitor rapidly charges through the low emitter-base junction resistance. When the transistor is reverse biased, the capacitor discharges through a resistor. The rate of the capacitor discharge is determined by the \_\_\_\_\_ time constant.

51. (RC) Therefore, the RC time constant determines oscillator frequency. A long time constant produces low frequency oscillation, while a short time constant produces \_\_\_\_\_ frequency oscillation.

52. (high) The blocking oscillator can produce a sawtooth output, if output is taken off across the \_\_\_\_\_ network.

53. (RC) Oscillator frequency is varied by changing the RC discharge time constant. Therefore, a \_\_\_\_\_ is used to alter the frequency of the blocking oscillator.  
(potentiometer)

## Discussion

In the first steps, you constructed a Wien-bridge oscillator. The degenerative feedback leg of the bridge is made up of potentiometer  $R_4$  and the  $220\ \Omega$  resistor,  $R_3$ . For the oscillator to work, regenerative feedback must be slightly higher than degenerative feedback; therefore, you adjusted the potentiometer until this point was reached. When you measured the voltages of the feedback circuit, you found that  $R_3$  dropped approximately 6 volts peak-to-peak and the potentiometer dropped approximately 12 volts.

Since these resistors are series-connected, the voltage across each resistor varies with the individual resistance. Therefore, the resistance ratio equals the voltage ratio. Using these ratios and the known value of  $R_3$  you found that, when  $R_4$  equal  $440\ \Omega$ , the output is a clean sine wave. This establishes a resistance ratio of 2 to 1 for the degenerative feedback leg.

This is the necessary ratio for the resistance values in the degenerative leg of the Wien-bridge oscillator. Of course, the actual resistance ratio you calculated may vary because of the tolerance of  $R_3$ . Regardless of the values of the degenerative resistors, their ratio must be "2 to 1."

Potentiometer  $R_4$  is very touchy and can easily drive the oscillator from cutoff into saturation. Decreasing the resistance of the feedback leg increases degenerative feedback and the oscillator is cut off, since negative feedback is too high. Increasing the resistance of the degenerative feedback leg, decreases the amount of degenerative feedback and the oscillator is quickly driven into saturation, distorting the output. This makes the oscillator very susceptible to supply variations, temperature changes and, over a long period of time, component aging. Therefore, this oscillator is not very practical.

However, when the  $220\ \Omega$  resistor is replaced with an incandescent lamp, the operation greatly improves. Adjustment is not nearly as critical as in the previous circuit and the oscillator automatically compensates for changes of the potentiometer. The lamp acts as an automatic gain control, varying resistance automatically to maintain the correct 2-to-1 resistance ratio in the degenerative leg.

This is an "inherent characteristic" of an incandescent lamp. The resistance of the lamp varies with the lamp filament heat. The higher the current through the lamp, the more it heats, resulting in less resistance. Likewise, less current produces a higher lamp resistance.

## Programmed Review

54. High-frequency oscillators are difficult to design because distributed capacitance has an adverse effect on proper operation. Also important is the transistor's \_\_\_\_\_ (f<sub>T</sub>) rating, since this expresses the transistor's frequency response.

55. (gain-bandwidth product) Some high-frequency oscillators use the distributed \_\_\_\_\_ and \_\_\_\_\_ of component leads to form the regenerative feedback path. These do not appear in the schematic diagram; however, the schematic legend usually identifies the circuit as an oscillator.

(capacitance, inductance)

14. Now observe the output waveform and record the frequency below.

Frequency = \_\_\_\_\_ Hz.

15. Turn off the Trainer and modify the bridge circuit as shown in Figure 5-61. Replace the 100 kilohm resistors in the lead-lag network with 1 megohm resistors. This should \_\_\_\_\_ the oscillator frequency.  
increase/decrease

Calculate the resonant frequency of the oscillator.

$F_o =$  \_\_\_\_\_ Hz.

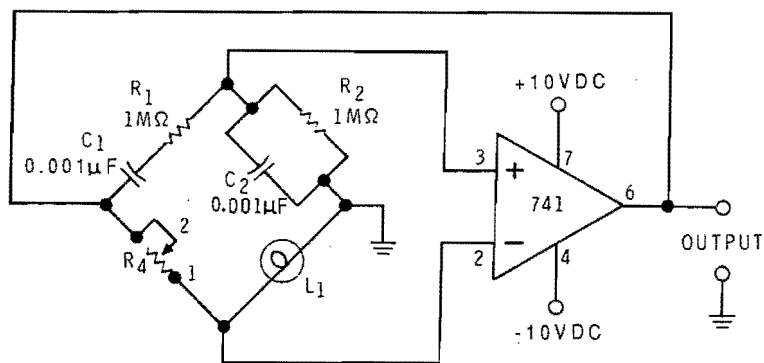


Figure 5-61

Modified Wien bridge oscillator.

16. Turn on the Trainer. View the output waveform on your oscilloscope and set the degenerative feedback control (potentiometer  $R_4$ ) for an undistorted sine wave. Record the frequency below.

Frequency = \_\_\_\_\_ Hz.

17. Turn off the Trainer. Now replace the 1 megohm resistors with 10 kilohm resistors. This should \_\_\_\_\_ oscillator frequency.  
increase/decrease

18. Turn on the Trainer. View the output waveform on your oscilloscope and, if necessary, adjust the degenerative feedback control for an undistorted sine wave. Record the sine wave frequency below.

Frequency= \_\_\_\_\_ Hz.

19. Turn off the Trainer. Replace capacitor  $C_1$  (the series capacitor) with a 0.01 microfarad capacitor. This increase in capacitance should \_\_\_\_\_ the oscillator frequency.  
increase/decrease



A crystal may be operated in the series-resonant or parallel-resonant mode. In both modes, the crystal controls oscillator feedback. A crystal operating in the series-resonant mode has **minimum** impedance at the series-resonant frequency and therefore, permits maximum feedback current. Subsequently, a crystal operating in the parallel-resonant mode has **maximum** impedance at resonance and develops maximum feedback voltage.

Most crystal oscillators, such as the Hartley, Colpitts, and Pierce are crystal modifications of basic LC oscillators. The Butler oscillator combines an LC tuned collector circuit with the frequency selectivity of the crystal.

RC oscillators use resistance-capacitance networks to determine oscillator frequency. The two basic types of sine wave RC oscillators are the phase-shift and Wien bridge.

The phase shift oscillator produces the required  $180^\circ$  phase shift for regenerative feedback, through a series of RC networks connected between the collector and base of the amplifier transistor. Each RC network contributes to the total phase shift and the oscillator operates only at the frequency at which the total phase shift is  $180^\circ$ .

The Wien-bridge oscillator uses a lead-lag network which is frequency sensitive. The lead-lag network controls regenerative feedback and makes up one leg of the bridge. Resistors make up the other leg of the bridge and control degenerative feedback. Oscillation is produced when regenerative feedback exceeds degenerative feedback. The lead-lag network controls the frequency at which this condition is present and, therefore, controls oscillator frequency.

The blocking oscillator is a perfect example of the relaxation oscillator. A capacitor stores energy during a small portion of the oscillation cycle and then gradually releases this energy in the **relaxation** portion of the cycle. This type of oscillator produces a nonsinusoidal output such as the sawtooth or rectangular wave shape.

Normally, oscillator frequency is varied by changing resistance. Ganged potentiometers of equal value replace the fixed resistors in the lead-lag network, permitting frequency adjustment. Frequency can be varied over a wide range and the output from the Wien-bridge oscillator is an excellent sine wave.

Changing capacitors can also change the frequency of oscillation. When this method is used, the capacitors are fixed and are usually "switched" into the circuit to change from a high frequency range to a lower range. Potentiometers are used in conjunction with the capacitors for fine frequency adjustment.

Frequently, different value capacitors are used in the lead-lag network of the Wien-bridge oscillator. This makes computing oscillator frequency more difficult, as in step 19. Resonant frequency for this circuit is calculated as follows:

$$F_o = \frac{1}{2\pi\sqrt{R_1 R_2 C_1 C_2}} = \frac{1}{6.28\sqrt{(1 \times 10^4) (1 \times 10^4) (0.001 \times 10^{-6}) (0.01 \times 10^{-6})}}$$

$$F_o = \frac{1}{6.28\sqrt{0.00001 \times 10^{-4}}} = \frac{1}{6.28 \times 0.00316 \times 10^{-2}}$$

$$F_o = \frac{1}{0.020 \times 10^{-2}} = 5,000 \text{ Hz}$$

Finally, you calculated that the frequency should be 5,000 Hz; then viewed the output waveform to prove this calculation.

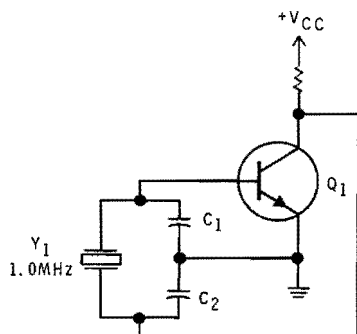


Figure 5-68  
A crystal oscillator.

6. The feedback factor (B) for the oscillator shown in Figure 5-67 is:
- 4.7%.
  - 10%.
  - 47%.
  - 100%.
7. The crystal in the oscillator of Figure 5-68:
- Appears capacitive at its resonant frequency.
  - Shunts  $Q_1$  base to ground at resonance.
  - Is operating in the series-resonant mode.
  - Is operating in the parallel-resonant mode.
8. When the circuit of Figure 5-68 is operating at the crystal's resonant frequency, the crystal appears:
- Capacitive and presents **minimum** impedance.
  - Inductive and presents **minimum** impedance.
  - Capacitive and presents **maximum** impedance.
  - Inductive and presents **maximum** impedance.
9. Capacitors C1 and C2 in Figure 5-68:
- Determine feedback and crystal excitation.
  - "Warp" the crystal to the desired frequency.
  - Determine oscillator frequency.
  - Are coupling capacitors.
10. If the frequency of the oscillator in Figure 5-68 increases above the crystal's resonant frequency:
- The crystal's impedance will increase and reduce feedback.
  - The crystal's impedance will decrease and reduce feedback.
  - The crystal's impedance will increase and increase feedback.
  - The crystal's impedance will decrease and increase feedback.

At this point,  $Q_1$  is cut off and capacitor  $C_1$  is charged to a negative potential. The only discharge path for  $C_1$  is through the high resistance of  $R_1$ . Therefore,  $C_1$  discharges slowly, holding  $Q_1$  at cutoff. When the charge on  $C_1$  is significantly reduced,  $Q_1$  is again forward biased and the oscillatory action repeats.

This oscillator is called a blocking oscillator because the transistor is easily driven into the **blocking** mode. This blocking condition is determined by the slow discharge of capacitor  $C_1$  which holds the circuit at cutoff. Capacitor  $C_1$  charges rapidly through the emitter-base junction of  $Q_1$ . However, the only discharge path is through  $R_1$ . Therefore, the time constant of  $R_1$  and  $C_1$  determines how long the transistor is blocked, or cut off, which in turn, sets the oscillation frequency. A long time constant results in low frequency oscillation. Subsequently, a short time constant produces high frequency oscillation.

## A Sawtooth Blocking Oscillator

The output from the previous circuit is taken off the transistor collector and resembles a rectangular waveshape. However, the blocking oscillator is frequently used to generate sawtooth wave shapes. Such a circuit is shown in Figure 5-63.

Here, the output is taken off the RC network in the emitter circuit. In this oscillator, the RC network,  $R_1C_1$ , performs a dual function. First, the RC time constant determines the frequency of oscillation. Second, it produces the sawtooth output.

Basically, the circuit operates much like the oscillator of Figure 5-62. Transistor  $Q_1$  is forward biased by resistor  $R_2$  and conducts through the primary of  $T_1$ . Voltage induced into the secondary further forward biases the base and  $Q_1$  saturates, as shown by the collector waveshape. As  $Q_1$  conducts, capacitor  $C_1$  charges rapidly, and as shown by the output wave shape, its charge rate is almost linear.

Once  $Q_1$  saturates, no voltage is induced into the  $T_1$  secondary winding. Therefore, the base of  $Q_1$  is no longer forward biased. The positive potential on the top plate of  $C_1$  now reverse biases the emitter junction and  $Q_1$  quickly cuts off. Capacitor  $C_1$  discharges through  $R_1$ , producing the trailing portion of the output sawtooth. When  $C_1$  is completely discharged,  $Q_1$  is again forward biased and conducts, and the action is repeated.

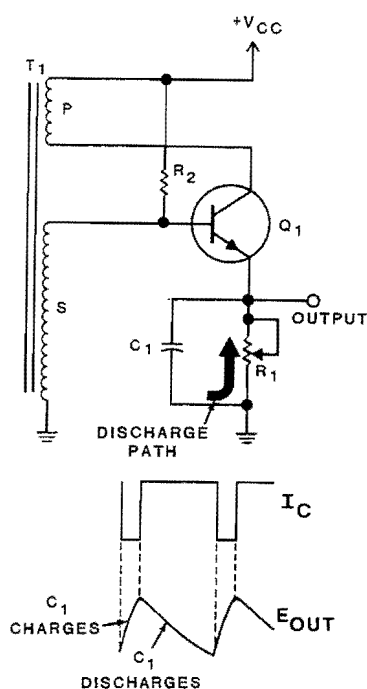


Figure 5-63  
Sawtooth output from the  
blocking oscillator.



## HIGH FREQUENCY OSCILLATORS

In an earlier experiment, you observed the effect distributed capacitance has on oscillator frequency. The higher the oscillator frequency, the more pronounced effect this capacitance has.

When oscillators are designed for high frequency applications, transistors must be selected carefully. The transistors  $f_T$  (gain-bandwidth-product) rating is extremely important. Basically, this is another way of expressing a transistor's frequency response. Carrier mobility also becomes critical at high frequencies, as the carriers cannot respond to the rapid changes of the input frequency.

Junction capacitances also contribute to the high-frequency limit of transistors. Figure 5-64 illustrates this point. Collector-to-base capacitance,  $C_{cb}$ , is typically 5-20 pF for small-signal transistors.

During low frequency operation, this small capacitance does not affect the oscillator. However, at high frequencies, these junction capacitances begin to shunt transistor output and have an appreciable effect on oscillator frequency.

Another consideration is that, at high frequencies, interwinding capacitance of inductors begin to affect operation. Also, some resistors and capacitors become inductive at high frequencies. Wiring inductance is critical, even when printed circuits are used. All of these factors combine to produce many series-resonant and parallel-resonant circuits that can cause unwanted "parasitic" oscillations.

Some high-frequency oscillators put these seemingly unwanted characteristics to good use. Figure 5-65 is such an oscillator that generates frequencies in the UHF (ultra-high-frequency) range.

At first glance, this may not appear to be an oscillator, because no feedback loop is present. The feedback path is sketched in for this explanation. The collector-to-base capacitance,  $C_{cb}$ , and the inductance of the transistor leads combine to form a parallel-resonant circuit that functions as the feedback network. Of course, this circuit would not be included in the schematic diagram for the oscillator.

The tuned-collector circuit,  $L_1C_1$ , determines oscillator frequency by setting collector load impedance. Capacitor  $C_1$  is variable for frequency adjustment. Similar techniques are used in most high frequency oscillators, so circuit configurations are much different than those used in low frequency applications.

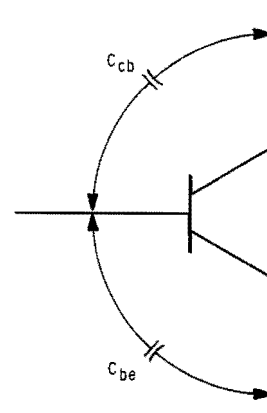


Figure 5-64

A transistor's junction capacitance.

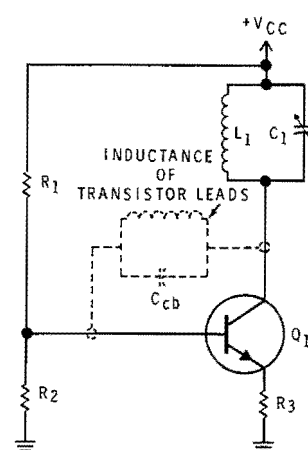


Figure 5-65

A UHF transistor oscillator.

10. B — Frequency variations either above or below the crystal's resonant frequency cause a decrease in crystal impedance. This reduced impedance develops less feedback voltage, forcing the oscillator to return to the crystal's frequency.
11. C — There are three phase-shift networks in this oscillator;  $R_1C_1$ ,  $R_2C_2$ ,  $R_3C_3$ . Each network must shift the feedback signal  $60^\circ$  to produce the desired  $180^\circ$  phase shift required for positive feedback.
12. B — Phase-shift oscillator frequency is calculated using the values of R and C in one network.

$$F_o = \frac{1}{2\pi\sqrt{6}RC} = \frac{1}{(15.386)(5 \times 10^3)(0.1 \times 10^{-6})} = \frac{1}{7.693 \times 10^{-3}} = 130 \text{ Hz}$$

13. A — As you proved in the experiment with the Wien-bridge oscillator, for an undistorted sine wave output, the ratio of  $R_3$  to  $R_4$  should not be less than 2 to 1. Since  $R_3$  equals 10 kilohm  $R_4$  cannot exceed 5 kilohm for this ratio to exist.
14. D — Because the resistance and capacitance values in the lead-lag, regenerative feedback leg are equal, the equation below can be used.

$$F_o = \frac{1}{2\pi RC}$$

Therefore:

$$F_o = \frac{1}{2\pi(10^5)(0.001 \times 10^{-6})} = \frac{1}{6.28 \times 10^{-4}} = 1,592 \text{ Hz}$$

15. D — The degenerative leg, since it contains only resistors, is not frequency sensitive. However, the regenerative leg, which is composed of RC networks is frequency sensitive. If oscillator frequency drifts from the frequency set by the RC, lead-lag network, regenerative feedback decreases.

## UNIT SUMMARY

An oscillator is an electronic circuit that generates a repetitive AC signal. Oscillator output must be uniform, not varying in frequency or amplitude.

The basic oscillator requires an amplifier to replace circuit losses, frequency determining components, and positive feedback to sustain oscillation.

Feedback oscillators are classified by the frequency determining components. The three classifications are LC, RC and crystal.

The parallel LC circuit oscillates when shock-excited by a DC source but internal resistance quickly damps the oscillations.

LC oscillators use the resonant frequency characteristic of the LC "tank" circuit. You can find the frequency of an LC oscillator by using the resonant frequency equation for the tank circuit,  $F_o = \frac{0.159}{\sqrt{LC}}$

Commonly used LC oscillators are; the Armstrong, the series- and shunt-fed Hartley, the Colpitts, and the Clapp.

Hartley oscillators are easily identified by the tapped coil in the LC network. In the series-fed Hartley, transistor current flows through a portion of the tapped inductor. The shunt-fed Hartley is so named because feedback is AC coupled through a capacitor. Therefore, DC is not present in the tank circuit of the shunt-fed Hartley.

The Colpitts oscillator is easily identified by the tapped voltage-divider arrangement of two capacitors in the LC circuit. These capacitors develop regenerative feedback necessary for oscillation. The feedback factor (B) for the Colpitts is determined by the ratio of these two capacitors.

Crystal oscillators are used where a high degree of stability is required. Crystals have a high Q, and good selectivity.



A crystal may be operated in the series-resonant or parallel-resonant mode. In both modes, the crystal controls oscillator feedback. A crystal operating in the series-resonant mode has **minimum** impedance at the series-resonant frequency and therefore, permits maximum feedback current. Subsequently, a crystal operating in the parallel-resonant mode has **maximum** impedance at resonance and develops maximum feedback voltage.

Most crystal oscillators, such as the Hartley, Colpitts, and Pierce are crystal modifications of basic LC oscillators. The Butler oscillator combines an LC tuned collector circuit with the frequency selectivity of the crystal.

RC oscillators use resistance-capacitance networks to determine oscillator frequency. The two basic types of sine wave RC oscillators are the phase-shift and Wien bridge.

The phase shift oscillator produces the required  $180^\circ$  phase shift for regenerative feedback, through a series of RC networks connected between the collector and base of the amplifier transistor. Each RC network contributes to the total phase shift and the oscillator operates only at the frequency at which the total phase shift is  $180^\circ$ .

The Wien-bridge oscillator uses a lead-lag network which is frequency sensitive. The lead-lag network controls regenerative feedback and makes up one leg of the bridge. Resistors make up the other leg of the bridge and control degenerative feedback. Oscillation is produced when regenerative feedback exceeds degenerative feedback. The lead-lag network controls the frequency at which this condition is present and, therefore, controls oscillator frequency.

The blocking oscillator is a perfect example of the relaxation oscillator. A capacitor stores energy during a small portion of the oscillation cycle and then gradually releases this energy in the **relaxation** portion of the cycle. This type of oscillator produces a nonsinusoidal output such as the sawtooth or rectangular wave shape.

## UNIT EXAMINATION

The following multiple choice examination is designed to test your understanding of the material presented in this unit. Place a check beside the multiple choice answer (A, B, C, or D) that you feel is most correct. When you have completed the examination, compare your answers with the correct answers that appear after the examination.

1. The three classifications of feedback oscillators are:

- A. CB, RC, and LC.
- B. Crystal, RC, and LC.
- C. Crystal, CB, and LC.
- D. CE, CB, and RC.

2. Figure 5-66 is the schematic of a/an:

- A. Armstrong oscillator.
- B. Colpitts oscillator.
- C. Series-fed Hartley oscillator.
- D. Shunt-fed Hartley oscillator.

3. The output frequency of the oscillator shown in Figure 5-66 is:

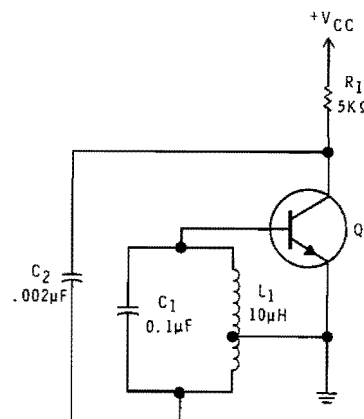
- A. 50Hz.
- B. 159,000 Hz.
- C. 1.125 MHz.
- D. 1.136 MHz.

4. Replacing the 0.1 microfarad capacitor in Figure 5-66 with a .01 microfarad capacitor results in:

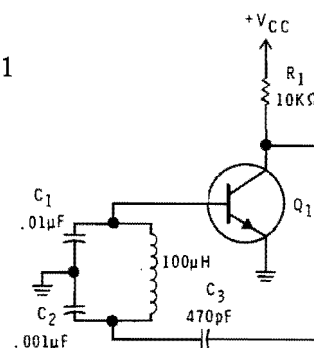
- A. An increase in oscillator frequency.
- B. A decrease in oscillator frequency.
- C. Decreased feedback and output waveform distortion.
- D. Increased feedback and an oscillator that does not work.

5. The circuit shown in Figure 5-67 is a:

- A. Series-fed Hartley oscillator.
- B. Shunt-fed Hartley oscillator.
- C. Colpitts oscillator.
- D. Clapp oscillator.



**Figure 5-66**  
An LC oscillator.



**Figure 5-67**  
Another LC oscillator.

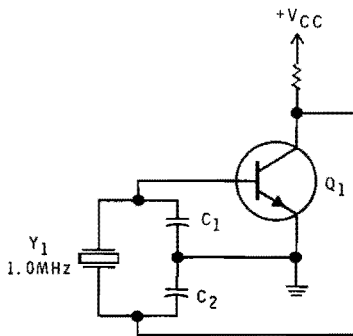


Figure 5-68  
A crystal oscillator.

6. The feedback factor (B) for the oscillator shown in Figure 5-67 is:
- 4.7%.
  - 10%.
  - 47%.
  - 100%.
7. The crystal in the oscillator of Figure 5-68:
- Appears capacitive at its resonant frequency.
  - Shunts  $Q_1$  base to ground at resonance.
  - Is operating in the series-resonant mode.
  - Is operating in the parallel-resonant mode.
8. When the circuit of Figure 5-68 is operating at the crystal's resonant frequency, the crystal appears:
- Capacitive and presents **minimum** impedance.
  - Inductive and presents **minimum** impedance.
  - Capacitive and presents **maximum** impedance.
  - Inductive and presents **maximum** impedance.
9. Capacitors C1 and C2 in Figure 5-68:
- Determine feedback and crystal excitation.
  - "Warp" the crystal to the desired frequency.
  - Determine oscillator frequency.
  - Are coupling capacitors.
10. If the frequency of the oscillator in Figure 5-68 increases above the crystal's resonant frequency:
- The crystal's impedance will increase and reduce feedback.
  - The crystal's impedance will decrease and reduce feedback.
  - The crystal's impedance will increase and increase feedback.
  - The crystal's impedance will decrease and increase feedback.

11. Figure 5-69 illustrates a typical phase-shift oscillator. Each RC network must shift the feedback signal:

A.  $30^\circ$ .  
 B.  $45^\circ$ .  
 C.  $60^\circ$ .  
 D.  $90^\circ$ .

12. The approximate frequency of the phase-shift oscillator shown in Figure 5-69 is:

A. 8 Hz.  
 B. 130 Hz.  
 C. 320 Hz.  
 D. 2000 Hz.

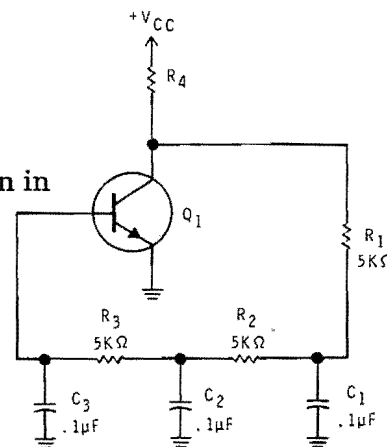


Figure 5-69  
An RC oscillator.

13. Figure 5-70 shows a Wien-bridge oscillator. For an undistorted sine wave output, the value of  $R_4$  should not be larger than:

A. 5 kΩ.  
 B. 10 kΩ.  
 C. 20 kΩ.  
 D. 100 kΩ.

14. The resonant frequency of the Wien-bridge determines oscillator frequency. The output frequency of the oscillator in Figure 5-70 is:

A. 50 Hz.  
 B. 159 Hz.  
 C. 1,222 Hz.  
 D. 1,592 Hz.

15. A frequency decrease in the Wien-bridge oscillator results in a/an:

A. Increase in degenerative feedback.  
 B. Decrease in degenerative feedback.  
 C. Increase in regenerative feedback.  
 D. Decrease in regenerative feedback.

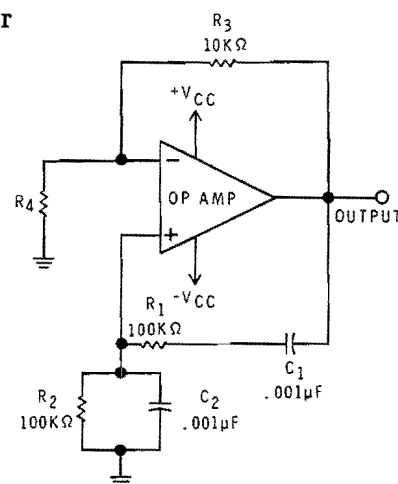


Figure 5-70  
A Wien-bridge oscillator.



## EXAMINATION ANSWERS

1. B — Feedback oscillators are classified by the frequency determining components. The three classifications are crystal, RC, and LC.
2. D — The circuit of Figure 5-66 is a shunt-fed Hartley oscillator. The tapped coil,  $L_1$ , identifies the oscillator as a Hartley type. Since transistor current does not pass through any portion of  $L_1$ , the oscillator is shunt-fed.
3. B — This value is obtained using the resonant frequency equation. The component values of  $L_1$  and  $C_1$  are plugged into the equation and the result is:

$$F_o = \frac{0.159}{\sqrt{LC}} = \frac{0.159}{\sqrt{(10 \times 10^{-6})(0.1 \times 10^{-6})}} = \frac{0.159}{1 \times 10^{-6}} = 159,000 \text{ Hz}$$

4. A — Decreasing the value of the tank capacitor increases the resonant frequency of the tank circuit. Thus, oscillator frequency increases.
5. C — The tapped capacitors identify this as a Colpitts oscillator.
6. B — The ratio of capacitors  $C_1$  and  $C_2$  determines feedback factor in the Colpitts oscillator.

$$B = \frac{C_2}{C_1} = \frac{0.001}{0.01} = 0.1 \text{ or } 10\%$$

7. D — The crystal forms a portion of the tank circuit and is in parallel with the series combination of  $C_1$  and  $C_2$ . Therefore, the crystal is operating in the parallel-resonant mode.
8. D — At parallel resonance, the crystal appears inductive. Impedance is maximum, developing maximum regenerative feedback to sustain oscillation at this frequency.
9. A — As in the basic Colpitts oscillator, capacitors  $C_1$  and  $C_2$  determine the amount of feedback voltage. The amount of feedback in this case determines crystal excitation.

10. B — Frequency variations either above or below the crystal's resonant frequency cause a decrease in crystal impedance. This reduced impedance develops less feedback voltage, forcing the oscillator to return to the crystal's frequency.
11. C — There are three phase-shift networks in this oscillator;  $R_1C_1$ ,  $R_2C_2$ ,  $R_3C_3$ . Each network must shift the feedback signal  $60^\circ$  to produce the desired  $180^\circ$  phase shift required for positive feedback.
12. B — Phase-shift oscillator frequency is calculated using the values of R and C in one network.

$$F_o = \frac{1}{2\pi\sqrt{6}RC} = \frac{1}{(15.386)(5 \times 10^3)(0.1 \times 10^{-6})} = \frac{1}{7.693 \times 10^{-3}} = 130 \text{ Hz}$$

13. A — As you proved in the experiment with the Wien-bridge oscillator, for an undistorted sine wave output, the ratio of  $R_3$  to  $R_4$  should not be less than 2 to 1. Since  $R_3$  equals 10 kilohm  $R_4$  cannot exceed 5 kilohm for this ratio to exist.
14. D — Because the resistance and capacitance values in the lead-lag, regenerative feedback leg are equal, the equation below can be used.

$$F_o = \frac{1}{2\pi RC}$$

Therefore:

$$F_o = \frac{1}{2\pi(10^5)(0.001 \times 10^{-6})} = \frac{1}{6.28 \times 10^{-4}} = 1,592 \text{ Hz}$$

15. D — The degenerative leg, since it contains only resistors, is not frequency sensitive. However, the regenerative leg, which is composed of RC networks is frequency sensitive. If oscillator frequency drifts from the frequency set by the RC, lead-lag network, regenerative feedback decreases.

*Unit 6*

**PULSE CIRCUITS**

7



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## INTRODUCTION

The sine wave is the most fundamental of all waveforms. Therefore, it is always emphasized when you study AC fundamentals, amplifiers, oscillators, etc. Although sine waves are vitally important in electronics, nonsinusoidal waveforms are also important. In this unit you will study several different types of nonsinusoidal waveforms. You will learn their characteristics, see how they can be produced and learn how they are used.

Nonsinusoidal waveforms are often referred to as “pulse waveforms.” The circuits which produce these waveforms are often called “pulse circuits.”

Pulse circuits can perform many different functions such as counting, storing, shaping, pulse stretching, timing, clipping, clamping, triggering, and dividing. They are used in test equipment, television, computers, radar, and many other types of electronic equipment. Therefore, your study of circuits would not be complete without at least an introduction to this important phase of electronics.

## UNIT OBJECTIVES

When you have completed this unit, you should be able to:

1. Explain the difference between time-domain and frequency-domain analysis.
2. Explain the difference between periodic and aperiodic waveforms.
3. Identify the sine wave components contained in square waves, sawtooth waves, and triangle waveforms.
4. Compute the frequency, period, pulse width, and duty cycle of pulse type waveforms.
5. Given the input waveform, draw the output waveform of biased and unbiased, series and shunt diode clippers.
6. Given the input waveform, draw the output waveform of slicers, clampers, and transistor clippers.
7. Explain how square waves and sine waves are affected by RC integrators and differentiators.
8. Describe the operation of astable, monostable, and bistable multivibrators.
9. Explain the operation of a simple Schmitt trigger circuit.
10. Show how a "555 timer" can be connected as an astable or monostable multivibrator.
11. Show how an operational amplifier can be made to produce a linear ramp.
12. Explain the operation of a transistor sawtooth generator.

## UNIT ACTIVITY GUIDE

	Completion Time
<input type="checkbox"/> Read "Nonsinusoidal Waveforms".	_____
<input type="checkbox"/> Complete Programmed Review Frames 1 through 10.	_____
<input type="checkbox"/> Read "Waveshaping".	_____
<input type="checkbox"/> Complete Programmed Review Frames 11 through 27.	_____
<input type="checkbox"/> Perform Experiment 15.	_____
<input type="checkbox"/> Read "Rectangular Wave-Generators".	_____
<input type="checkbox"/> Complete Programmed Review Frames 28 through 40.	_____
<input type="checkbox"/> Perform Experiment 16.	_____
<input type="checkbox"/> Read "Ramp Generators".	_____
<input type="checkbox"/> Complete Programmed Review Frames 41 through 56.	_____
<input type="checkbox"/> Perform Experiment 17.	_____
<input type="checkbox"/> Study Unit Summary.	_____
<input type="checkbox"/> Complete Unit Examination.	_____
<input type="checkbox"/> Check Examination Answers.	_____

## NONSINUSOIDAL WAVEFORMS

Up to now, we have described waveforms as voltage or current which varies from one instant to the next. Waveforms have been shown as they would appear if displayed on an oscilloscope. The vertical axis represents the amplitude or voltage of the waveform, and the horizontal axis represents time. Therefore, the oscilloscope shows how the voltage of a waveform varies with time. This method of displaying and analyzing waveforms is called *time-domain analysis*.

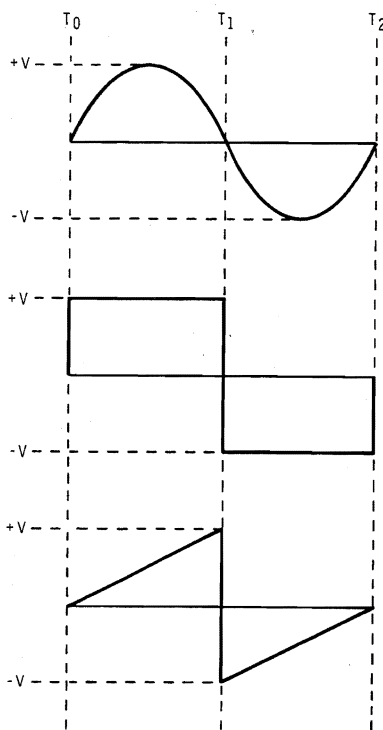


Figure 6-1  
Periodic waveforms.

Figure 6-1 shows three different types of waveforms presented in the time domain. The first is a sine wave. Starting at 0 volts, the voltage increases with time until it reaches its maximum positive value. Then the voltage decreases, first to zero, and then to its maximum negative value. Finally, the voltage returns to zero and repeats the cycle again.

The second waveform is a square wave. Unlike the sine wave, the square wave changes from one extreme to the other in a very short period of time. However, it remains at these extremes for relatively long periods. At time  $T_0$ , the voltage changes almost instantaneously from 0 to its positive extreme. The voltage then remains at this level for one half cycle. At time  $T_1$ , the waveform switches to its negative extreme where it remains for the next half cycle. The cycle is completed at time  $T_2$  when the waveform returns to 0 volts.

The final waveform shown in Figure 6-1 is called a sawtooth. This waveform starts at 0 volts and increases to a positive peak at time  $T_1$ . An important characteristic of this waveform is that the voltage increases at a linear rate. At time  $T_1$ , the voltage changes very rapidly to its negative peak. Finally, the voltage returns to 0 volts at time  $T_2$ . Once again, the voltage changes at a constant or linear rate.

Although these three waveforms appear quite different, they do have some important similarities. In the examples shown, they all have the same frequency or period. By using various electronic circuits, the waveforms can be changed from one shape to another. For example, in an earlier unit you saw that a comparator can be used to change a sine wave to a square wave. In this unit, you will examine several circuits which change the shape of waveforms.

Before we examine these circuits it is helpful to learn a new method of analyzing waveforms. This method is called *frequency domain analysis*.

## Frequency Domain Analysis

The concept of frequency domain analysis stems from an accepted fact: any periodic waveform is made up of sine waves. This is an important concept, so let's look at it closely. First, a periodic wave is one which has the same waveshape from one cycle to the next. All the waveforms shown in Figure 6-1 are periodic waves if they have the same shape on each and every cycle. Any waveform of this type can be formed by the superimposing of a number of sine waves which have certain amplitude, phase, and frequency characteristics.

The sine wave is the most basic of all waveforms. It is the only waveform which cannot be distorted by RC, LC, or RL circuits. More importantly, sine waves can be added together to form any type of periodic waveform. While a formal proof of this statement is left to advanced texts, the principles involved can be demonstrated with examples.

### THE SQUARE WAVE

Figure 6-2A shows one cycle of a 1000 Hz square wave. Since this is a periodic wave, this cycle is repeated over and over again. Therefore, according to our previous statement, the waveform is made up of a large number of sine waves. To be more specific, a perfect square wave is composed of a fundamental frequency and an infinite number of odd harmonics.

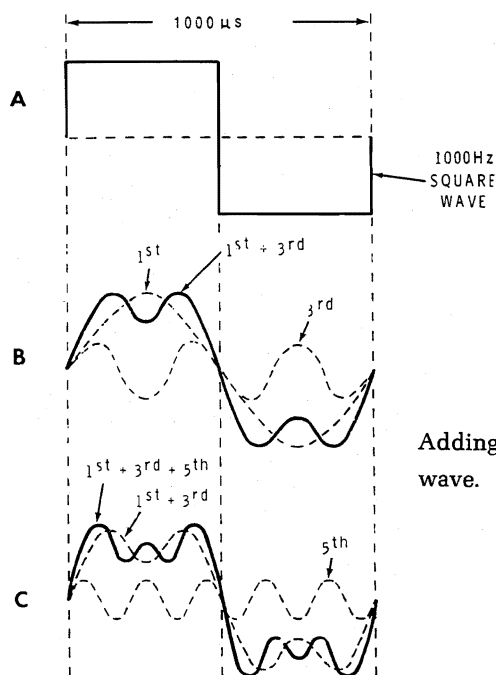


Figure 6-2  
Adding sine waves to form a square wave.

The fundamental frequency is the frequency of the square wave. In our example, the fundamental frequency is 1000 Hz. This frequency is also called the first harmonic. Additional harmonics are exact multiples of the fundamental frequency. For example, the second harmonic is twice the fundamental, the third harmonic is three times the fundamental, etc. Thus, the harmonics of 1000 Hz are:

First harmonic = 1000 Hz  
Second harmonic = 2000 Hz  
Third harmonic = 3000 Hz  
Fourth harmonic = 4000 Hz  
Fifth harmonic = 5000 Hz  
etc.

The square wave is made up of only odd harmonics. Thus, a 1000 Hz square wave is formed by adding together sine waves with frequencies of:

1000 Hz (first harmonic or fundamental)  
3000 Hz (third harmonic)  
5000 Hz (fifth harmonic)  
7000 Hz (seventh harmonic)  
etc.

Figure 6-2B shows the first and third harmonics. Notice that both are sine waves. However, when the instantaneous values of these two sine waves are added together, the result is no longer a sine wave. Instead, the resultant waveform starts taking on the appearance of a square wave. Admittedly, it has rounded corners and it droops rather badly in the center, but it is starting to take on a square appearance.

Figure 6-2C shows the resultant waveform from Figure 6-2B and the fifth harmonic. The 5000 Hz sine wave makes the edges steeper and it partially overcomes the dip in the center of the positive and negative half cycles. The new resultant waveform looks even more like a square wave. As additional odd harmonics are added, the waveform approaches an almost perfect square wave.

To form a square wave, certain conditions must be met. First, the right harmonics must be present; in this case, the odd harmonics. If one or more even harmonics are present, the resultant waveform will not be square. Second, the harmonics must be of the proper amplitude. If one or

more of the harmonics is too low or high in amplitude, the resultant waveform will be distorted. Finally, the harmonics must have the proper phase. In our example all the harmonics pass through zero together at the leading and trailing edges of the square wave. If one or more of the harmonics are shifted in phase, the square wave will be distorted.

### THE SAWTOOTH WAVE

Figure 6-3 illustrates that a different type of waveform is developed when different harmonics are added. Here both the even and the odd harmonics are used. Figure 6-3A shows a 1000 Hz sine wave added to a 2000 Hz sine wave. The resultant waveform looks more like a sawtooth than a sine wave. In Figure 6-3B, the third harmonic (3000 Hz) is added. The resultant looks even more like a sawtooth. Finally, in Figure 6-3C the fourth harmonic (4000 Hz) is added. As you can see, the resultant waveform closely resembles the general shape of a sawtooth. A perfect sawtooth is shown for comparison.

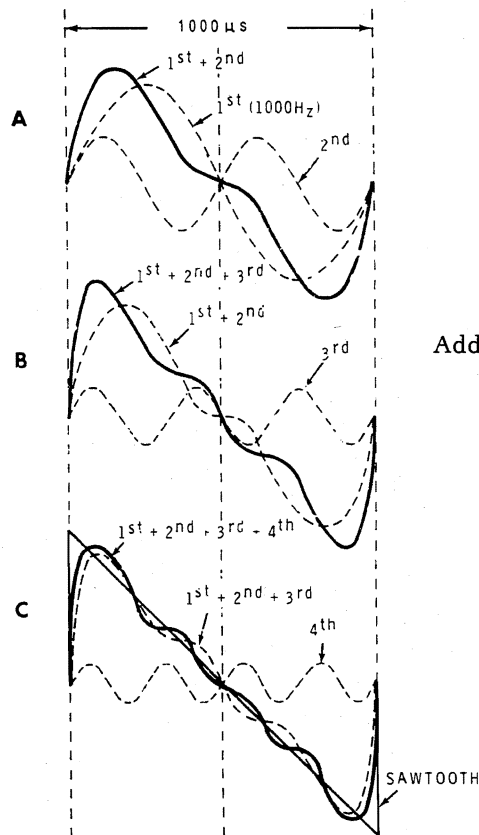


Figure 6-3  
Adding sine waves to form a sawtooth.



## OTHER WAVEFORMS

Figure 6-4 shows three additional waveforms which are frequently seen in electronics. The first is the triangle waveform. Like the square wave, the triangle is composed of a fundamental and a large number of odd harmonics. The resulting waveform is different because the harmonics have lower amplitudes and different phase relationships.

The second waveform consists of spikes, or narrow pulses. This type of waveform results when all the odd harmonics are in phase at only two points on the fundamental wave. The result is a positive and a negative spike.

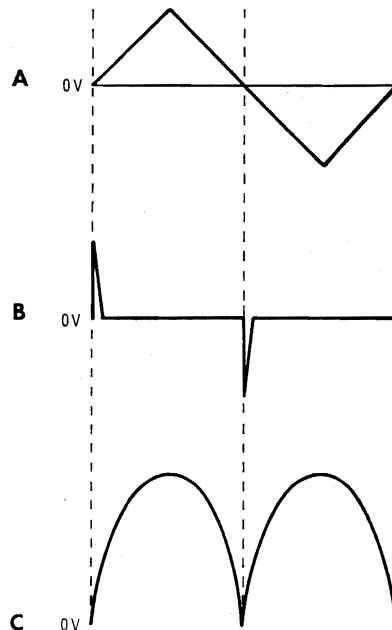


Figure 6-4  
Other complex waveforms.

The third waveform is familiar because it is the output waveform of a full-wave rectifier. It contains both odd and even harmonics. Also, because the entire waveform is above 0 volts it contains a DC component. It should be pointed out that the DC component does not affect the harmonic content of the waveform. It simply moves the reference voltage.

There are an unlimited variety of periodic waveforms used in electronics. Harmonics can be combined in an infinite number of ways to produce any conceivable periodic waveform. By the same token, any periodic waveform can be broken down into a fundamental wave and a number of harmonics.

## Waveform Spectrum

As mentioned, an oscilloscope displays a waveform in the time domain. It shows the voltage values at each instant in time. Thus, it displays a 1000 Hz square wave as shown in Figure 6-5A. The same square wave can be displayed in the frequency domain as shown in Figure 6-5B. Here, voltage is plotted on the vertical axis, as before, but now frequency is plotted on the horizontal axis. This display tells us that sine wave components exist at 1000 Hz, 3000 Hz, 5000 Hz, etc. Furthermore, the vertical axis tells us the relative amplitude of each component.

There is an instrument called a spectrum analyzer which displays waveforms in the frequency domain. When the input is a 1000 Hz square wave, the display looks somewhat like that shown in Figure 6-5B. Figures 6-5C and D compare the time domain and frequency domain displays of a sawtooth waveform. Figure 6-5C shows how the voltage varies with time. Figure 6-5D shows the harmonic content of the waveform.

The displays shown in Figures 6-5B and D are called spectrums. Different waveforms have different spectrums. Each periodic waveform is made up of its own set of component frequencies which have certain amplitude and phase characteristics. Waveforms of this type are often called complex waveforms.

Often we must analyze how a complex waveform is affected by a certain circuit. We can do this in either of two ways. The first method is called time domain analysis. Using this method, we determine how the waveform is affected at various instants in time. The second method is called frequency domain analysis. Using this approach, we determine how each harmonic that makes up the waveform is affected by the circuit.

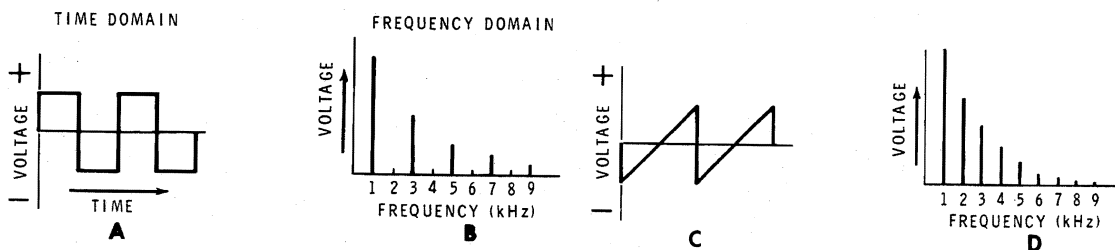


Figure 6-5  
Time domain versus  
frequency domain.

## Terminology

Before beginning our study of pulse circuits, let's define some of the terms we will be using. We have already defined periodic waveforms. These are waveforms that occur at regular intervals. By contrast, non-periodic waveforms occur at irregular or random intervals. Waveforms of this type are also called aperiodic. In this unit, we will be concerned primarily with periodic waves.

Periodic waves have certain characteristics which should be defined. The first is their **period**. The period of a waveform is illustrated in Figure 6-6A. It is measured from any point in one cycle to the same point in the next cycle. In the example shown, the period is 1000 microseconds.

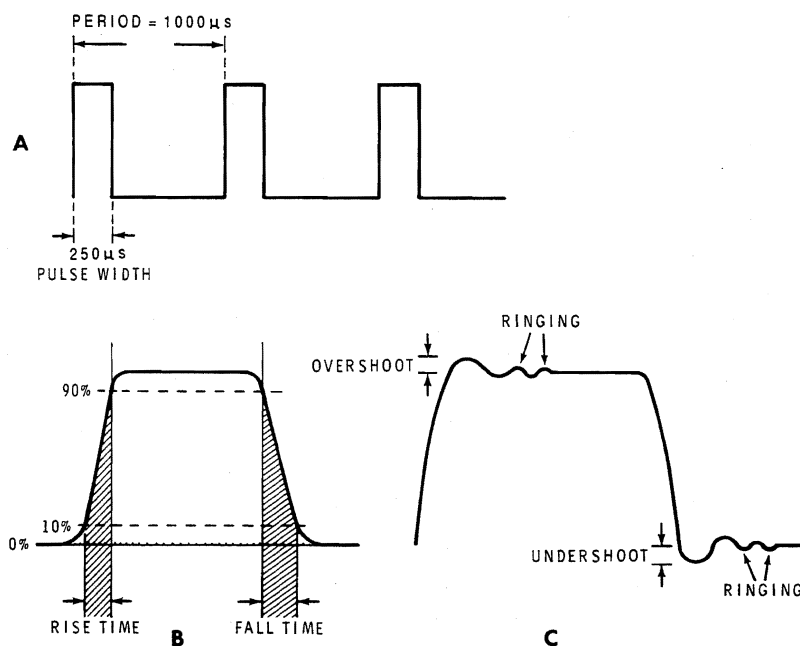


Figure 6-6  
Pulse characteristics.

The frequency waveform is defined as the number of times per second that the waveform occurs. The frequency (in Hertz) can be determined by dividing the period (in seconds) into one. In the example, the frequency is

$$\text{frequency} = \frac{1}{\text{period}}$$

$$f = \frac{1}{0.001 \text{ s}}$$

$$f = 1000 \text{ Hz}$$

The **pulse width** is the length of the pulse in question. The width of the positive pulse shown in Figure 6-6A is 250 microseconds.

**Duty cycle** is the ratio of pulse width to period. It can be thought of as the percent of each cycle that the pulse exists. Duty cycle may be computed using the equation:

$$\text{Duty Cycle} = \frac{\text{Pulse width}}{\text{Period}}$$

In our example, the duty cycle is

$$\text{Duty Cycle} = \frac{250 \mu\text{s}}{1000 \mu\text{s}}$$

$$\text{Duty Cycle} = 0.25$$

However, duty cycle is normally expressed as a percent. Thus, the duty cycle is 25%.

The pulses in Figure 6-6A are shown as ideal pulses that jump immediately from one level to another. In reality, all pulses require a certain minimum time to change levels. That is, all pulses have rise and fall times. The **rise time** is defined as the time required for the pulse to rise from 10% to 90% of its maximum amplitude. By the same token, the **fall time** is the time required for the pulse to fall from 90% to 10% of its maximum amplitude. Rise and fall times are illustrated in Figure 6-6B.

Overshoot, undershoot, and ringing frequently accompany high frequency pulses. These conditions are illustrated in Figure 6-6C. Notice that the leading edge initially **overshoots** its normal maximum value. The overshoot is often followed by damped oscillations known as **ringing**. Finally, upon returning to its normal minimum value the trailing edge **undershoots** this value. Again some ringing is evident. These conditions are normally unwanted but occur because of imperfect circuit conditions.

## Programmed Review

This review is presented in a programmed instruction (P.I.) format. It will enhance your understanding of the material presented in this section. Read each of the numbered frames carefully and fill in the missing blanks. The correct answer appears in parentheses at the beginning of the next frame. Use a sheet of paper to cover all of the frames below the one you are reading.

1. There are two methods of analyzing waveforms and circuits. One method is called time domain analysis. The other is called \_\_\_\_\_ domain analysis.

2. (frequency) An oscilloscope displays a waveform in the time domain. That is, the vertical axis represents voltage and the horizontal axis represents \_\_\_\_\_.

3. (time) Frequency domain analysis is important because complex waveforms are made up of sine waves having different amplitudes and \_\_\_\_\_.

4. (frequencies) For example, a square wave consists of a fundamental frequency and a large number of \_\_\_\_\_.

5. (odd harmonics) A sawtooth consists of a fundamental frequency and a large number of both odd and even harmonics. In fact, any \_\_\_\_\_ waveform is made up of a fundamental frequency and a large number of harmonics.

6. (periodic) To form a particular waveform, the right harmonics must be present. Also, the harmonics must have the proper \_\_\_\_\_ and \_\_\_\_\_ relationships.

7. (amplitude, phase) A waveform may be displayed as voltage versus frequency. This type of display shows each frequency component contained in the waveform. A display of this type is called a \_\_\_\_\_.

8. (spectrum) Figure 6-7 shows a typical periodic waveform. The period of the waveform is given as \_\_\_\_\_ microseconds.

9. (250) Therefore, the frequency of this waveform is \_\_\_\_\_ Hz.

10. (4000) The duty cycle of the waveform is \_\_\_\_\_ %.

(20).

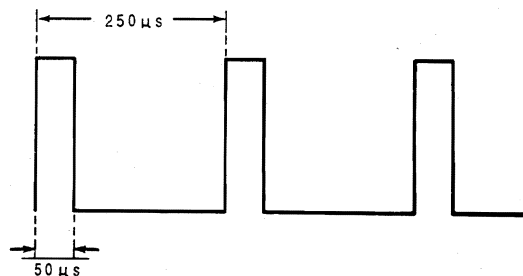


Figure 6-7  
Waveform for frames 8 through 10.

## WAVESHAPING

Frequently in electronics, it is necessary to change the shape of a waveform. A sine wave may be changed to a square wave, a rectangular wave may be changed to a pulse waveform, etc. Generally, the waveshaping is done intentionally. However, it sometimes happens accidentally due to poor design, component changes, and other factors. In this section, you will study several different types of circuits which can change the shape of a waveform.

### RC Waveshaping

A simple resistor-capacitor (RC) network can change the shape of complex waveforms. A simple voltage divider made up of a capacitor and resistor in series can distort a complex waveform so drastically that the output barely resembles the input. The amount of distortion is determined by the RC time constant. The nature of the distortion is determined by the component across which the output is taken. If the output is taken across the resistor, the circuit is called a differentiator. When the output is taken across the capacitor, the circuit is called an integrator. These two circuits have very different characteristics. Let's look at the differentiator first.

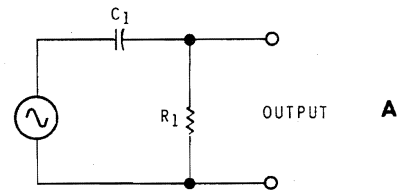
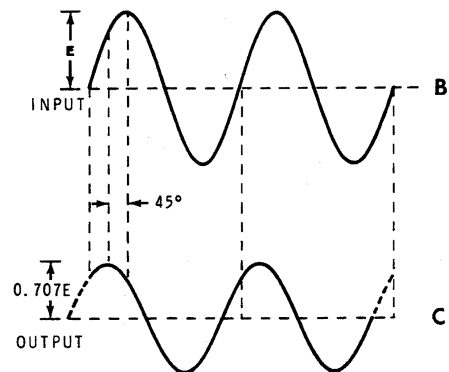


Figure 6-8  
The differentiator does not distort a sine wave.



## DIFFERENTIATOR

A differentiator is shown in Figure 6-8A. When you studied AC fundamentals you learned to analyze the operation of this type circuit using sine waves. You will recall that  $R_1$  and  $C_1$  form a voltage divider. The output will look exactly like the input except that the output will be lower in amplitude and shifted in phase.

The input and output sine waves are shown in parts B and C of the Figure. If, at the frequency applied,  $X_c$  is equal to  $R$ , the output will be shifted in phase by  $45^\circ$ . Also, the amplitude of the output will be 70.7% of the input amplitude. This illustrates an important point; the differentiator cannot change the shape of a pure sine wave. It can change the amplitude and shift the phase but it cannot distort a sine wave.

You will recall that repetitive complex waveforms are made up of a fundamental sine wave and a large number of harmonics. You should also remember that the harmonics must be of a specific amplitude and phase. When a complex waveform is applied to a differentiator, the RC network affects each frequency differently. Assume that, at the fundamental frequency,  $X_c$  is equal to  $R$ . Then the fundamental frequency is shifted in phase by  $45^\circ$  and reduced in amplitude to 70.7% of its previous value. However, the various harmonics have higher frequencies. Consequently, the value of  $X_c$  will be lower than the value of  $R$ . Furthermore, the ratio of  $X_c$  to  $R$  will be different for each harmonic. As a result, each harmonic will be shifted in phase and reduced in amplitude by different amounts. Thus, the harmonics lose their precise phase and amplitude relationships. The net result is that the output waveform may appear quite different from the input waveform. In short, the differentiator will distort complex waveforms.



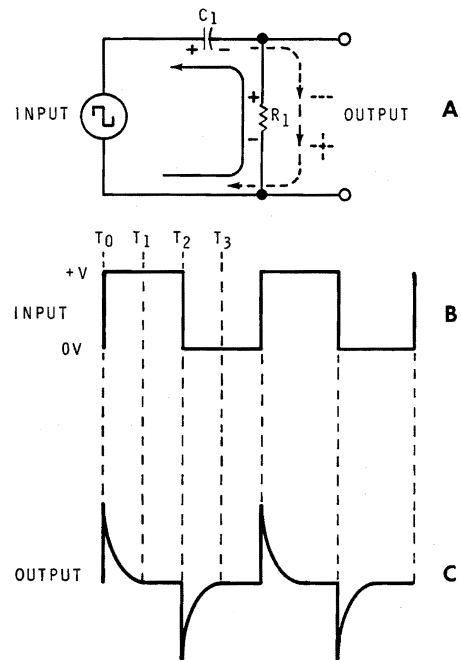


Figure 6-9

The differentiator changes a square wave to spikes.

Figure 6-9A shows the same circuit with a square wave applied. The square wave input (Figure 6-9B) has the same frequency as the sine wave applied earlier. However, as shown in Figure 6-9C, the output is badly distorted. It is possible to analyze the circuit by computing the effects on the first several harmonics and adding these effects together. However, it is easier to analyze the circuit using a different approach. By following the charge and discharge of  $C_1$ , we can understand why the square wave is distorted.

The differentiator will produce the results shown in Figure 6-9C only if the RC time constant is short compared to the period of the input square wave. Let's assume that the time constant is short.

At time  $T_0$ , the input square wave suddenly jumps positive.  $C_1$  sees this almost instantaneous change as a very high frequency. Therefore, the  $X_c$  of the capacitor is quite low compared to  $R$  at this instant. In other words, at this first instant,  $C_1$  acts almost like a short. Consequently, the full increase in voltage is developed across  $R_1$  at time  $T_0$ . The output voltage immediately jumps to a high positive value.

The capacitor immediately begins to charge to the applied positive voltage, as shown by the solid arrow. The charge of  $C_1$  is controlled by the RC time constant. As  $C_1$  charges, it forces current through  $R_1$ , developing a positive voltage at the output. However, the current through  $R_1$  quickly decreases as the capacitor becomes charged. In fact, when  $C_1$  is fully charged, the current through  $R_1$  ceases altogether. Thus, the output voltage quickly decreases, falling back to 0 volts when  $C_1$  is completely charged at time  $T_1$ . The output voltage remains at 0 volts until time  $T_2$ .

It is important to note that, at any instant, the voltage across the capacitor plus the voltage across the resistor must equal the input voltage. At time  $T_0$ , the capacitor has not charged at all. Thus, the entire input voltage is felt across the resistor. By time  $T_1$ ,  $C_1$  has completely charged. The entire input voltage is developed across  $C_1$  and no voltage appears across  $R_1$ .

At time  $T_2$ , the input voltage suddenly returns to 0 volts.  $C_1$  immediately begins its discharge through  $R_1$  as shown by the dotted arrow. This develops a negative voltage across  $R_1$ . Thus, the output suddenly goes sharply negative as shown in Figure 6-9C. As  $C_1$  discharges, the current through  $R_1$  quickly decreases. The output voltage returns to 0 volts at time  $T_3$  when the capacitor is fully discharged. As you can see, the RC circuit converts the square wave to positive and negative spikes when the RC time constant is very short.

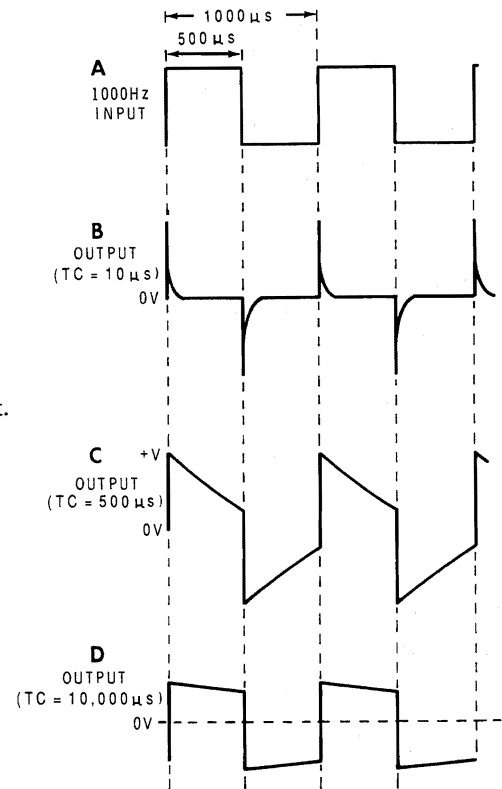


Figure 6-10  
Effects of RC time constant.

The importance of the RC time constant is illustrated in Figure 6-10. Figure 6-10A shows a 1000 Hz square wave that is applied to a differentiator. Figure 6-10B shows the output when the RC time constant is very short. Notice that sharp negative and positive spikes are formed.

If the time constant is made equal to one half the period of the input, as shown in Figure 6-10C, less distortion results. The reason for this is that the capacitor never becomes fully charged. Even so, the output is still clearly distorted.

When the time constant is much longer than the period of the input as shown in Figure 6-10D, the output is only slightly distorted. This illustrates that an RC circuit like that shown in Figure 6-9A must have a very long time constant if it is to pass complex waveforms without distorting them.

### INTEGRATOR

An integrator circuit is shown in Figure 6-11A. Its appearance is similar to that of the differentiator except that the output is taken across the capacitor. Like the differentiator, the integrator cannot distort a pure sine wave. However, it will distort a complex waveform.

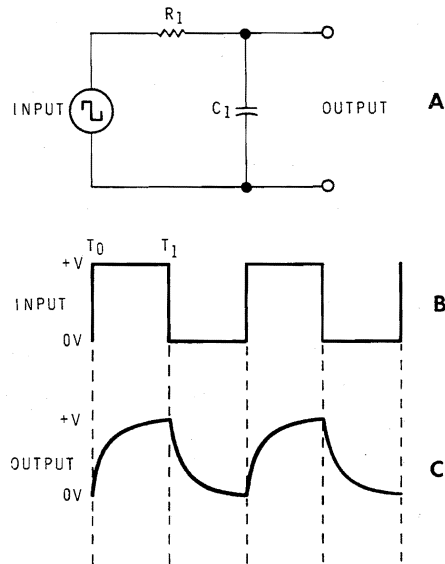


Figure 6-11

The integrator and its waveforms.

Let's assume that the input to the circuit is a square wave as shown in Figure 6-11B. Let's also assume that the RC time constant is about one-tenth the period of the square wave. When the input square wave goes positive at time  $T_0$ , the capacitor begins to charge. Initially, the voltage across  $C_1$  is 0. As  $C_1$  charges, the voltage rises. You should recognize the familiar exponential time constant curve. By time  $T_1$ , the capacitor is fully charged to the input voltage.

However, at  $T_1$ , the input voltage suddenly drops to 0 volts. Thus, the capacitor begins to discharge and the output voltage slowly drops to 0 volts.

As you can see, the integrator distorts the input but in a different way than the differentiator.

Here, the sharp edges of the input pulse are changed to slowly rising or falling exponential voltages. The integrator will be discussed in more detail later in this unit.

## Diode Clipping Circuits

RC circuits change the shape of the waveform by charging and discharging a capacitor. Another circuit that can change the shape of a waveform is the clipping circuit, or clipper.

A clipping circuit is used to cut off an unwanted portion of a waveform. The unwanted portion may be a high amplitude noise pulse, an overshoot produced by a capacitor or an inductor, or a natural part of the waveform that we wish to eliminate. The clipper can also be used to prevent a voltage from exceeding certain limits. When used in this way, the circuit is often called a *limiter*.

A diode makes an ideal clipper since it passes current in one direction but not in the other. The diode can be used to clip off any voltage above or below a certain reference level. The half-wave rectifier that was discussed in an earlier unit is a good example of a diode clipper. It was used to clip off one-half cycle of the sine wave so that the resulting waveform would have a net DC voltage. Let's look at several different types of diode clippers.

### THE SERIES CLIPPER

Figure 6-12A shows a basic diode clipper along with its input and output waveforms. In this example, the input is a sine wave that swings above and below 0 volts. Assume that  $D_1$  is a silicon diode that will conduct any time its anode is 0.7 volts more positive than its cathode. During the positive half cycle,  $D_1$  conducts, forcing current through  $R_1$ . The diode acts somewhat like a closed switch and the positive half-cycle appears at the output. The amplitude of the positive pulse is reduced by about 0.7 volts because of the voltage drop across the conducting diode. For simplicity, this small voltage drop will be ignored in the following examples.

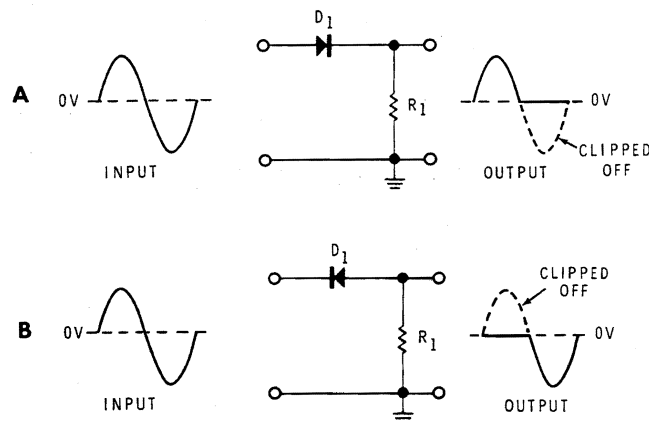


Figure 6-12  
Series clippers.

During the negative half-cycle, the diode is cut off by the negative voltage on its anode.  $D_1$  acts as an open switch and the output remains at 0 volts. Comparing the input and output waveforms, it is obvious that the diode clips off the negative half cycle.

Figure 6-12B shows how the circuit responds when the diode is turned around. Here, the positive half-cycle is clipped off and the negative half cycle is passed to the output. These circuits are nothing more than the half-wave rectifiers which were discussed in detail in an earlier unit. They can also be called series clippers. Notice that the diode is in series with the output.

### BIASED SERIES CLIPPERS

In the circuits discussed above, the clipping level was at 0 volts. Depending on how the diode was turned, everything above or below 0 volts was clipped off. In the biased clippers, the clipping level is changed by biasing one side of the diode above or below 0 volts. In the examples shown below, the bias voltage is represented by a battery.

In Figure 6-13A, the clipping level is set by the battery. It holds the cathode of  $D_1$  at +5 volts. Obviously then, the diode cannot conduct until the input signal swings to +5.7 volts. The output remains at +5 volts except for that portion of the positive half-cycle which swings above this level. During the most positive part of the waveform,  $D_1$  conducts and passes that portion of the input signal to the output. As you can see, all of the negative half cycle and part of the positive half cycle is clipped off.

By reversing the diode and the polarity of the bias voltage, all but a small portion of the negative half-cycle can be clipped off. This is shown in Figure 6-13B. The anode of  $D_1$  is held at -5 volts. Thus, the diode cannot conduct until the input signal drops below -5.7 volts. This occurs only during the most negative portion of the waveform. At this time, the diode conducts and passes that part of the input signal to the output.

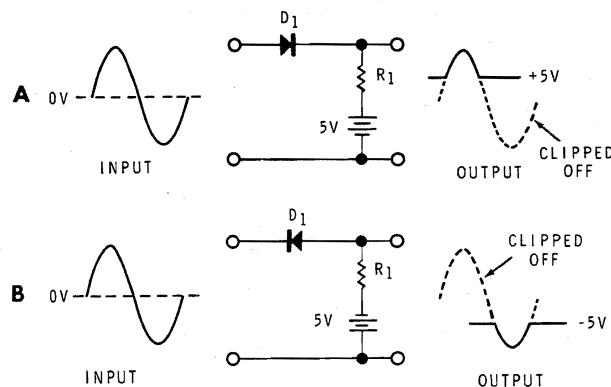


Figure 6-13  
Biased series clippers.

### SHUNT CLIPPER

The shunt clipper performs the same job as the series clipper, but it does it in a slightly different way. In the shunt clipper, the output is taken across the diode. When the diode is cut off, it acts as an open and the input signal is passed to the output. However, when the diode conducts, the output voltage is the 0.7 volts dropped across the diode.

A positive shunt clipper is shown in Figure 6-14A. When the sine wave swings positive, the diode conducts. During this period, the output is the 0.7 volts developed across the conducting diode. On the negative half cycle, the diode cuts off. Thus, the negative half cycle is simply coupled through  $R_1$  to the output. This circuit clips off most of the positive half cycle. If we wish to clip the negative half cycle instead, we simply turn the diode around as shown in Figure 6-14B.

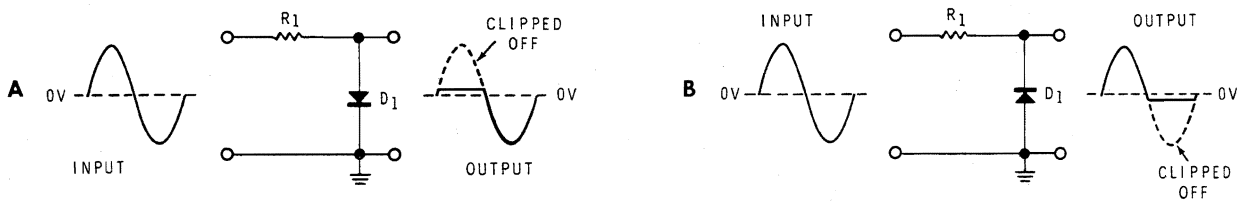


Figure 6-14  
Shunt clipper.

### BIASED SHUNT CLIPPER

The clipping level can be adjusted by introducing a bias voltage. For example in Figure 6-15A, the cathode of the diode is set to +5 volts. Obviously then, the diode cannot conduct until the input signal exceeds +5.7 volts. As long as the input signal is below this level,  $D_1$  remains cut off and the waveform appears at the output. However, when the input signal exceeds this level,  $D_1$  conducts clamping the output voltage to about +5.7 volts. Figure 6-15B shows how the circuit can be modified to clip off negative peaks above -5.7 volts.

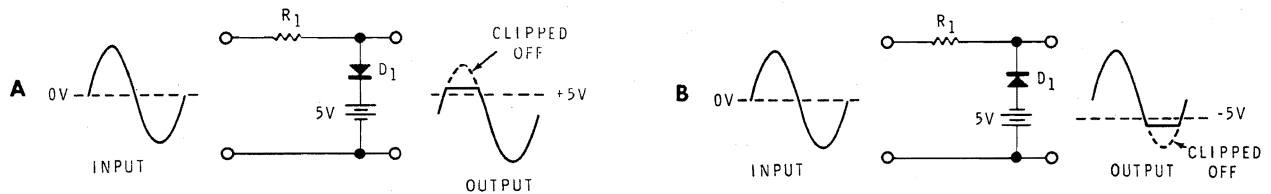


Figure 6-15  
Biased shunt clippers.

For simplicity, the waveforms have been shown as sine waves. However, all of the clippers shown will work for any type of waveform.

### SLICER CIRCUITS

The slicer circuit is used to limit both extremes of the input waveform. A slicer circuit which uses two biased diodes is shown in Figure 6-16A. The cathode of  $D_1$  is held at +5 volts. When the input swings above +5.7 volts,  $D_1$  conducts clamping the output to this level. The anode of  $D_2$  is held at -5 volts. When the input swings below -5.7 volts,  $D_2$  conducts clamping the output to this level. When the input is below +5.7 volts, but above -5.7 volts, neither diode conducts and the input signal is coupled to the output.

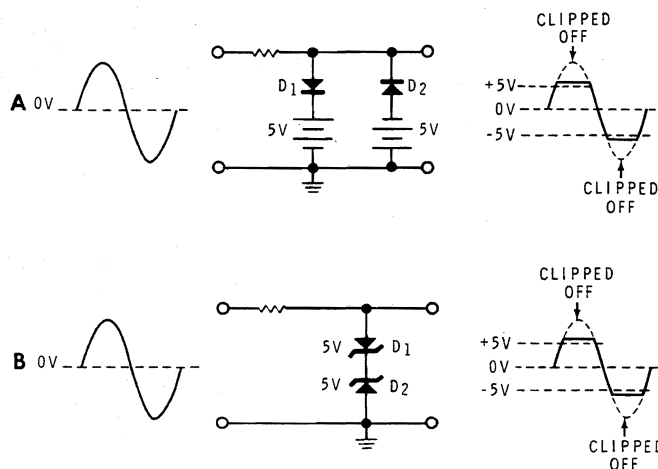


Figure 6-16  
Slicer circuits.

Another slicer circuit is shown in Figure 6-16B. Here, two 5-volt zener diodes are used. Either diode will conduct in the reverse direction whenever its cathode is 5 volts more positive than its anode. Or, it will conduct in the forward direction when its anode is about 0.7 volts more positive than its cathode. When, the input waveform swings more positive than +5.7 volts both diodes conduct.  $D_1$  drops 0.7 volts because it is forward biased.  $D_2$  develops 5 volts because this is its zener rating. Thus, the output is clamped to about +5.7 volts. On the peak of the negative half cycle,  $D_1$  develops its zener voltage of 5 volts while  $D_2$  develops 0.7 volts. Thus, the output is clamped to about -5.7 volts. Between these two extremes, neither diode can conduct and the input signal is passed to the output.



## Transistor Clipper

The transistor can be used to clip or limit a waveform in much the same way as the diode. An ordinary amplifier will limit one or both peaks of an input waveform if the input is too high in amplitude.

Figure 6-17 shows a transistor clipper along with its input and output waveforms. When the input sine wave is below 0.7 volts, the transistor is cutoff and the output is  $+V_{cc}$ . When the sine wave swings more positive than 0.7 volts at time  $T_0$ ,  $Q_1$  conducts and the output voltage falls below  $+V_{cc}$ . During the brief interval from  $T_0$  to  $T_1$ , the transistor is operating in its linear mode. The output is an amplified and inverted version of this small segment of the input. At time  $T_1$ , the input sine wave causes enough base current to drive  $Q_1$  into saturation. At this time, the collector voltage falls to  $V_{CE(SAT)}$  which is only a few tenths of a volt. The output remains at this level until the input drops below the saturation level at time  $T_2$ .

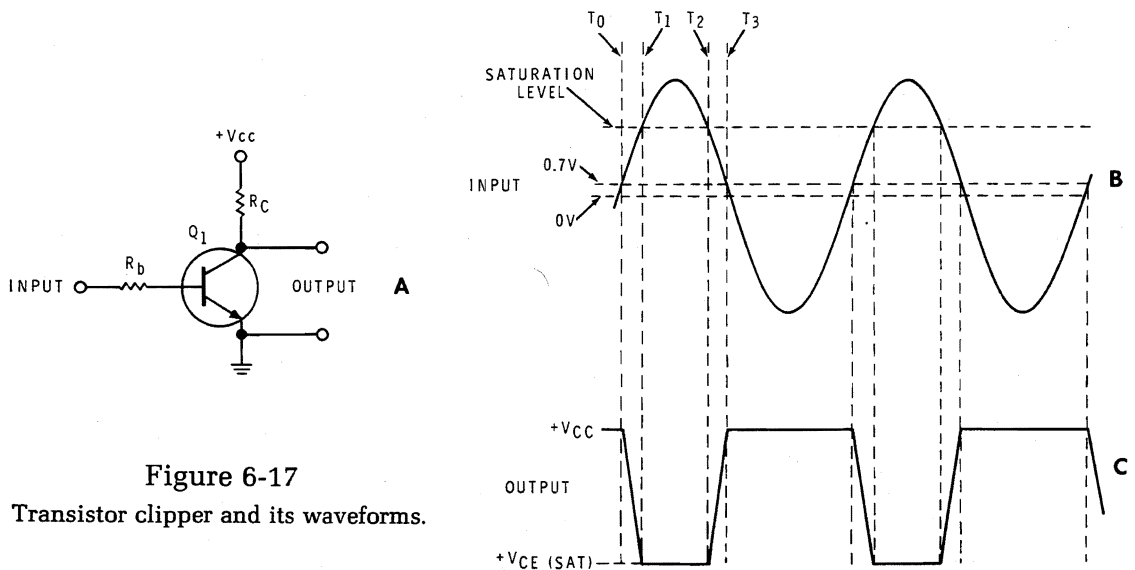


Figure 6-17  
Transistor clipper and its waveforms.

From  $T_2$  to  $T_3$  the transistor again enters its linear region. When the input falls below 0.7 volts again at time  $T_3$ ,  $Q_1$  cuts off and the output voltage returns to  $+V_{cc}$ .

The input sine wave is changed to a rectangular wave of the same frequency. Notice that the negative half cycle of the output is slightly more narrow than the positive half cycle. The reason for this is that cutoff does not occur at exactly 0 volts but rather at +0.7 volts. Thus, the cutoff period is slightly longer than the conducting period.

## Clampers

A clamping circuit is used to change the DC reference voltage of a waveform. It clamps the top or bottom of a waveform to a DC voltage. Unlike the clipper, the clamping circuit does not change the shape of the waveform, it simply inserts a DC reference voltage. For this reason, the clamper is sometimes called a *DC restorer*.

A simple clamping circuit is shown in Figure 6-18A. In this example, a square wave (Figure 6-18B) is used as the input signal. The purpose of this circuit is to clamp the top of the square wave to 0 volts, without changing the shape of the waveform.

Notice that the capacitor has unequal charge and discharge paths. When the input swings positive,  $C_1$  can quickly charge through  $D_1$ . The charge path is shown by the solid arrow. However, when the input swings negative,  $D_1$  cuts off and  $C_1$  must discharge through  $R_1$  as shown by the dotted arrow. The time constant for charging  $C_1$  is extremely short because of the low resistance of the conducting diode. By contrast, the discharge time constant is quite long because  $R_1$  has a large value of resistance. The net result is that after a few cycles,  $C_1$  will be charged to the peak of the positive half cycle (+10 volts).  $C_1$  discharges very little between the positive pulses because of the long discharge time constant.

With  $C_1$  charged to +10 volts, let's determine the appearance of the output waveform. At time  $T_0$ , point A swings to +10 volts with respect to ground. However, notice that the right plate of  $C_1$  is at -10 volts with respect to point A. Thus, the output at time  $T_0$  is the sum of these two voltages,

$$(+10V) + (-10V) = 0V$$

Thus, the output remains at 0 volts from time  $T_0$  to time  $T_1$ .

At time  $T_1$ , the input swings negative. Point A goes to -10 volts with respect to ground. Again, the right plate of  $C_1$  is at -10 volts with respect to point A. Therefore, the output voltage is

$$(-10V) + (-10V) = -20V$$

The output remains at -20 volts from time  $T_1$  to time  $T_2$ . As you can see, the shape of the waveform is not changed but the 0 volt reference has been shifted to the top of the waveform. That is, the top of the waveform has been clamped to 0 volts.

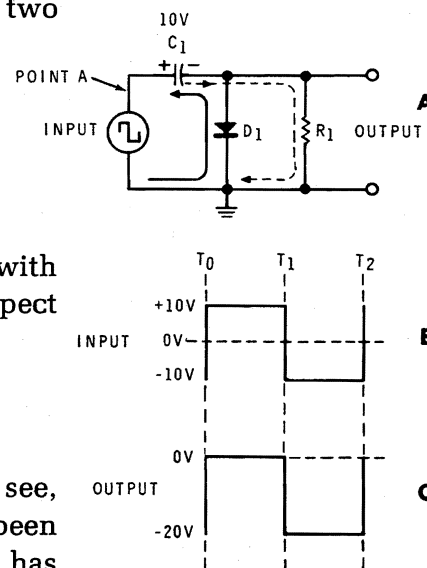


Figure 6-18

Clamping the top of a waveform to ground.

## Programmed Review

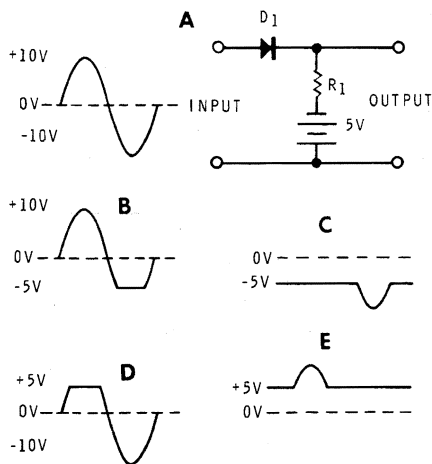


Figure 6-19  
Circuit and waveforms for frames  
15 and 16.

11. While an RC circuit will not distort a pure sine wave, it can distort \_\_\_\_\_ waveforms.

12. (complex or nonsinusoidal) Some RC circuits are designed to distort the input waveform. When the output is taken across the resistor, the circuit is called a \_\_\_\_\_.

13. (differentiator) To convert a square wave to a series of negative and positive spikes, the differentiator should have a very \_\_\_\_\_ time constant.  
long/short

14. (short) Another RC circuit which distorts complex waveforms is similar to the differentiator except that the output is taken across the capacitor. This circuit is called an \_\_\_\_\_.

15. (integrator) The diode clipper is another type of waveshaping circuit. A biased series clipper is shown in Figure 6-19A.  $D_1$  will conduct developing an output voltage across  $R_1$  anytime its anode is more positive than about \_\_\_\_\_.

16. (-4.3 volts) Thus, the output of the circuit will have the appearance shown in Figure 6-19 \_\_\_\_\_.  
B, C, D, or E.

17. (B) A biased shunt clipper is shown in Figure 6-20A. Diode  $D_1$  conducts clamping the output to approximately -5V whenever the input swings more positive than about \_\_\_\_\_.

18. (-4.3 volts) Thus, the output will be as shown in Figure 6-20 \_\_\_\_\_.  
B, C, D, or E.

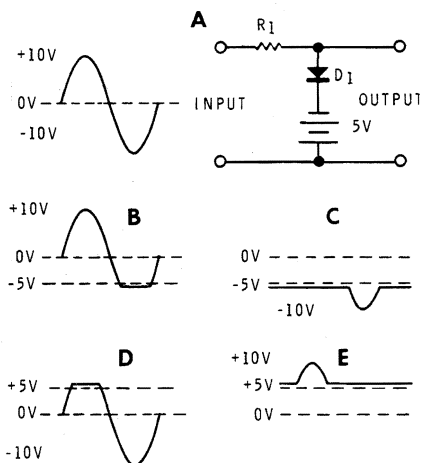


Figure 6-20  
Circuit and waveforms for frames  
17 and 18.

19. (C.) A transistor can also be used for clipping purposes. A transistor clipper which employs a PNP transistor is shown in Figure 6-21. This transistor cuts off when the base voltage swings

positive/negative

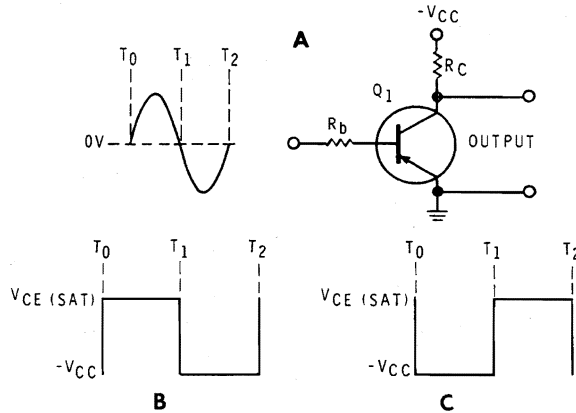


Figure 6-21

Circuit and waveforms for frames 19 through 23.

20. (positive) During this period the output voltage will be

\_\_\_\_\_.

21. ( $-V_{cc}$ ) If  $R_b$  is small and the amplitude of the input signal is high,  $Q_1$  will be driven to \_\_\_\_\_ during the negative half cycle.

cutoff/saturation

22. (saturation) During this period, the output voltage will be

\_\_\_\_\_.

23. ( $V_{CE(SAT)}$ ) Thus, the output voltage will appear as shown in Figure 6-21 \_\_\_\_\_.

B or C

24. (C) Figure 6-22A shows a clamper circuit.  $D_1$  conducts when the input waveform swings \_\_\_\_\_.

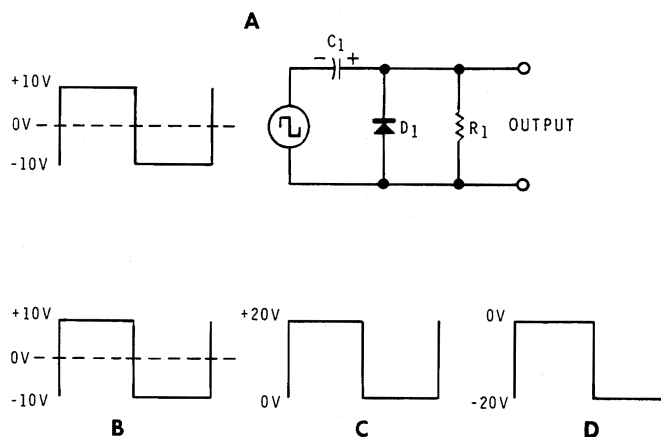


Figure 6-22

Circuit and waveforms for frames 24 through 27.

25. (negative) After a few cycles,  $C_1$  charges to 10 volts with the polarity shown. Thus, when the input swings to positive 10 volts, the output swings to \_\_\_\_\_.
26. (+20 volts) When the input swings to  $-10$  volts, the output swings to \_\_\_\_\_.
27. (0 volts) Therefore, the output of this circuit appears as shown in Figure 6-22 \_\_\_\_\_  
B, C, or D

(C).

## EXPERIMENT 15

### Waveshaping

**OBJECTIVE:** *Demonstrate the operation of differentiators, diode and transistor clippers, and clamping circuits.*

#### Introduction

In this experiment, you will construct several different circuits which can distort, clip, or modify an input waveform. **Read the entire procedure before performing this experiment.**

#### Material Required

Heathkit Analog Trainer  
Oscilloscope (dual channel preferred)  
Multimeter  
1—4700 ohm resistor (yellow-violet-red-gold)  
1—10 kilohm resistor (brown-black-orange-gold)  
1—22 kilohm resistor (red-red-orange-gold)  
1—1 megohm resistor (brown-black-green-gold)  
1—0.001 microfarad capacitor  
1—0.1 microfarad capacitor  
1—Silicon transistor (417-801) MPSA20  
1—Silicon diode (57-27) 1N2071  
1—2.7 V zener diode (56-99) 1N5223B  
1—6.2 V zener diode (56-58) 1N709A

### Procedure

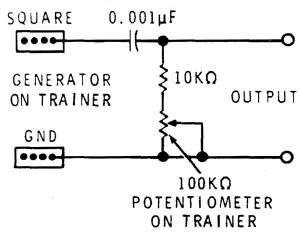


Figure 6-23

Circuit for Steps 1 through 7.

1. Construct the circuit shown in Figure 6-23. Turn on the Trainer and set the generator frequency to 1000 hertz.
2. Set the potentiometer fully clockwise. Using the oscilloscope, view the rectangular wave input to the RC circuit. Draw two cycles of the waveform in Figure 6-24A. What is the time of one cycle of the 1000 hertz waveform? \_\_\_\_\_ microseconds. What is the time of the positive portion of the waveform? \_\_\_\_\_ microseconds.

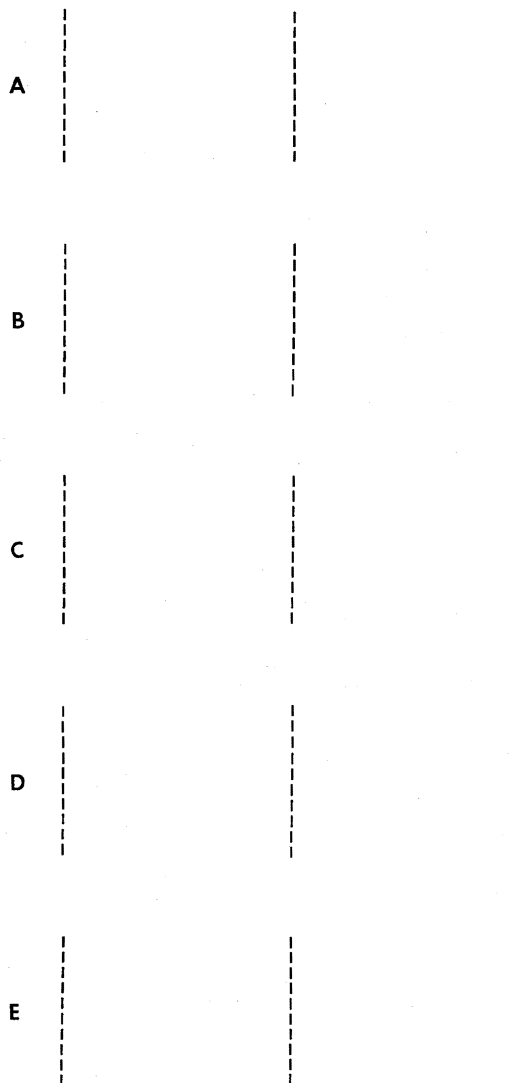


Figure 6-24

Draw waveforms observed in Steps 2 through 6.

3. Set the potentiometer fully counterclockwise. View the output. Draw two cycles of the output waveform in Figure 6-24B. How much resistance is now in series with the capacitor? \_\_\_\_\_ ohms. What is the RC time constant? \_\_\_\_\_ microseconds. Does the capacitor have time to charge to the peak of the rectangular wave during the positive portion of the input waveform? \_\_\_\_\_. Compare the amplitude of the input waveform with that of the output waveform.
4. Set the potentiometer fully clockwise. View the output. Draw two cycles of the output waveform in Figure 6-24C. How much resistance is now in series with the capacitor? \_\_\_\_\_ ohms. Compute the RC time constant. \_\_\_\_\_ microseconds. Can the capacitor charge to the positive peak of the input wave? \_\_\_\_\_. Compare the shape of the output waveform with that of the input and with that of the output waveform drawn in Step 3.
5. Replace the 0.001 microfarad capacitor with a 0.1 microfarad capacitor. Set the potentiometer fully counterclockwise. Draw two cycles of the output waveform in Figure 6-24D. What is the RC time constant? \_\_\_\_\_ microseconds. Which is longer one time constant or the positive portion of the input waveform? \_\_\_\_\_. Does the capacitor have time to charge to the peak of the input wave? \_\_\_\_\_.
6. Set the potentiometer fully clockwise. Draw two cycles of the output waveform in Figure 6-24E.
7. From the above, it is obvious that the rectangular wave is distorted most when the RC time constant is \_\_\_\_\_. To prevent distortion in RC coupled amplifier stages, the RC time constant should be very \_\_\_\_\_.  
\_\_\_\_\_ long/short



## Discussion

The circuit is a simple RC differentiator. When the input waveform is set to 1000 hertz, it should have the appearance shown in Figure 6-25A. The time given for the positive portion of the rectangular wave is typical. The signals may vary.

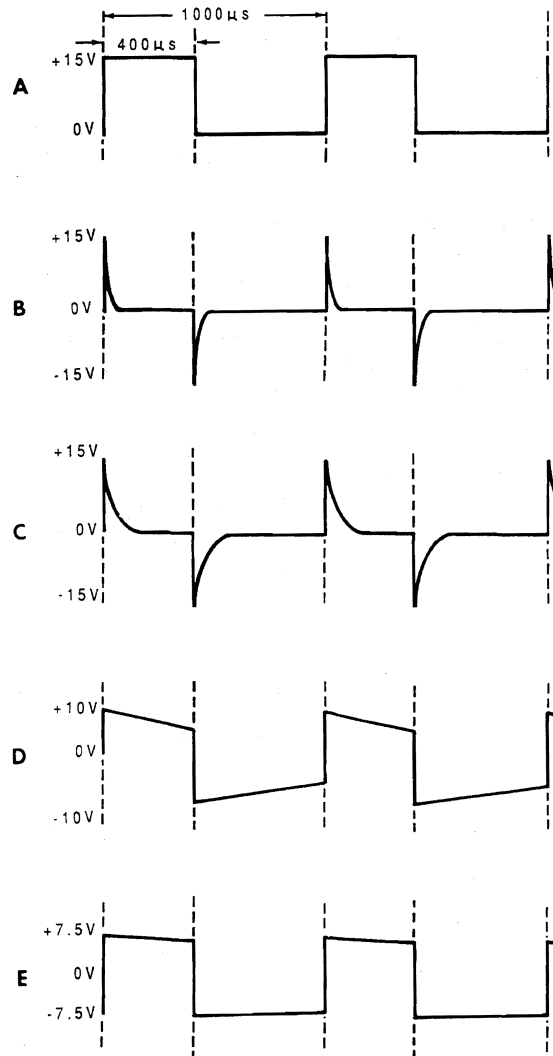


Figure 6-25  
Waveforms observed in Steps 2 through 6.

In Step 3 you set the potentiometer fully counterclockwise. This left only 10 kilohms of resistance in series with the capacitor. Thus, the time constant is

$$TC = RC$$

$$TC = 10 \text{ k}\Omega \times 0.001 \text{ }\mu\text{F}$$

$$TC = 10 \text{ }\mu\text{s}$$

The time constant is quite short compared to the positive input pulse. The capacitor has time to charge to the full input voltage. The time constant is so short that the charging current flows only briefly. Thus, the output taken across the resistors is a momentary positive spike. A typical output waveform is shown in Figure 6-25B.

In Step 4 you increased the resistance in series with the capacitor to 110 kilohms. This increases the time constant to

$$\begin{aligned}TC &= RC \\TC &= 110 \text{ k}\Omega \times 0.001 \text{ }\mu\text{F} \\TC &= 110 \text{ }\mu\text{s}\end{aligned}$$

The capacitor charges more slowly and current is maintained through the resistor for a longer period. Thus, the length of the positive spike is stretched as shown in Figure 6-25C.

In Step 5 you replaced the 0.001 microfarad capacitor with a 0.1 microfarad capacitor. You then set the series resistance to 10 kilohm. The resulting time constant is

$$\begin{aligned}TC &= RC \\TC &= 10 \text{ k}\Omega \times 0.1 \text{ }\mu\text{F} \\TC &= 1000 \text{ }\mu\text{s}\end{aligned}$$

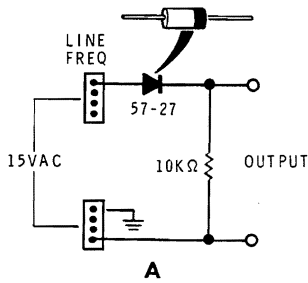
Here, the time constant is longer than the positive input pulse. Therefore, the capacitor charges for the entire positive pulse. Since the current still decreases toward the end of the pulse, the output waveform has a noticeable slant as shown in Figure 6-25D.

In Step 6, you increased the time constant further to

$$\begin{aligned}TC &= RC \\TC &= 110 \text{ k}\Omega \times 0.1 \text{ }\mu\text{F} \\TC &= 11,000 \text{ }\mu\text{s}\end{aligned}$$

During the relatively short positive input pulse, the capacitor can charge to only a small fraction of the applied voltage. Therefore, the current through the resistor is almost constant for the entire positive pulse. As a result, the output is a near duplicate of the input. As shown in Figure 6-25E, the output shows very little distortion.

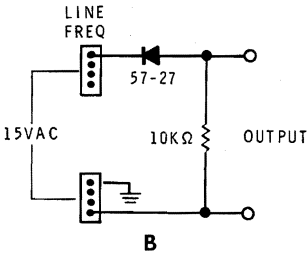
Procedure (Continued)



8. Preset the following oscilloscope controls, VOLT/CM: 10 volts, TIME/CM: 5 milliseconds AC/DC/GND: DC. Construct the circuit shown in Figure 6-26A.

9. Turn on the Trainer. Connect the oscilloscope to the input and draw two cycles of the input waveform in Figure 6-27A.

10. Connect the oscilloscope to the output and draw two cycles of the waveform in Figure 6-27B.



11. Turn off the Trainer. Modify the circuit as shown in Figure 6-26B (reverse the diode). Turn on the Trainer.

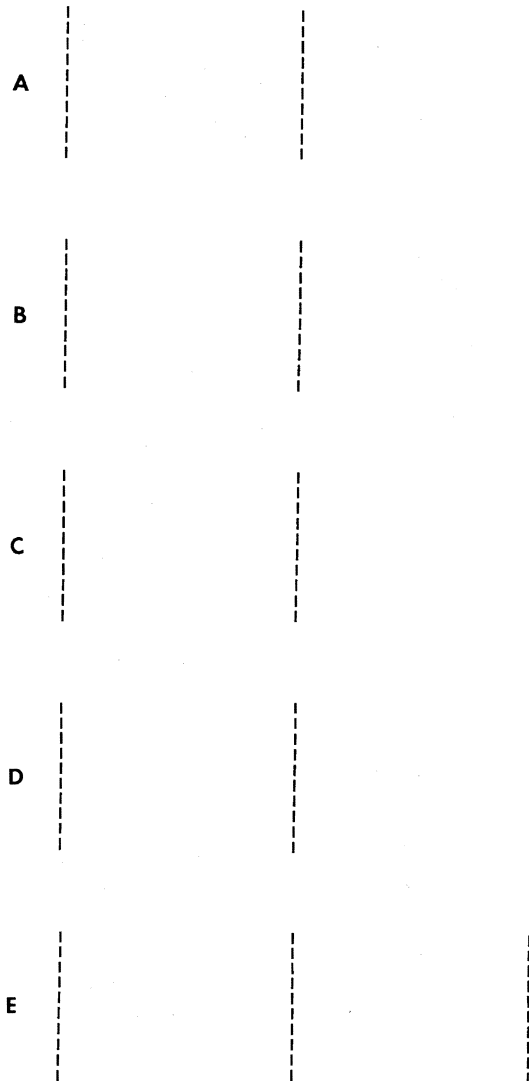
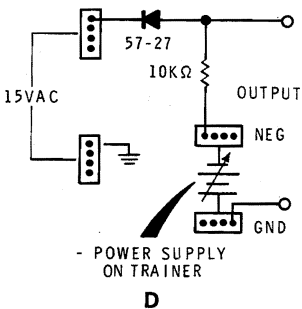
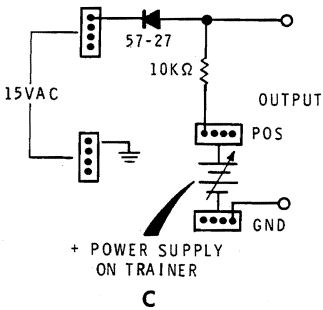


Figure 6-26  
Circuits for Steps 8 through 18.

Figure 6-27  
Draw waveforms for Steps 9 through 18.

12. View the output. Draw two cycles of the output waveform in Figure 6-27C.
13. Adjust the (+) power supply voltage control until the output is 10 VDC.
14. Turn off the Trainer and modify your circuit as shown in Figure 6-26C.
15. Turn on the Trainer and view the output. Draw two cycles of the output waveform in Figure 6-27D. Vary the (+) voltage control from minimum to maximum. Notice the effect on the output waveform.
16. Adjust the (–) power supply voltage control until the output is –10 VDC.
17. Turn off the Trainer and modify your circuit as shown in Figure 6-26D. On your oscilloscope switch the AC/DC/GND switch to GND, center the trace on the screen. Switch the AC/DC/GND switch back to DC.
18. Turn on the Trainer and view the output. Draw two cycles of the output waveform in Figure 6-27E. Vary the (–) voltage control from minimum to maximum. Notice the effect on the output waveform. Turn off the Trainer.

## Discussion

In this part of the experiment, you investigated the operation of series diode clipper. Your results should agree with those shown in Figure 6-28.

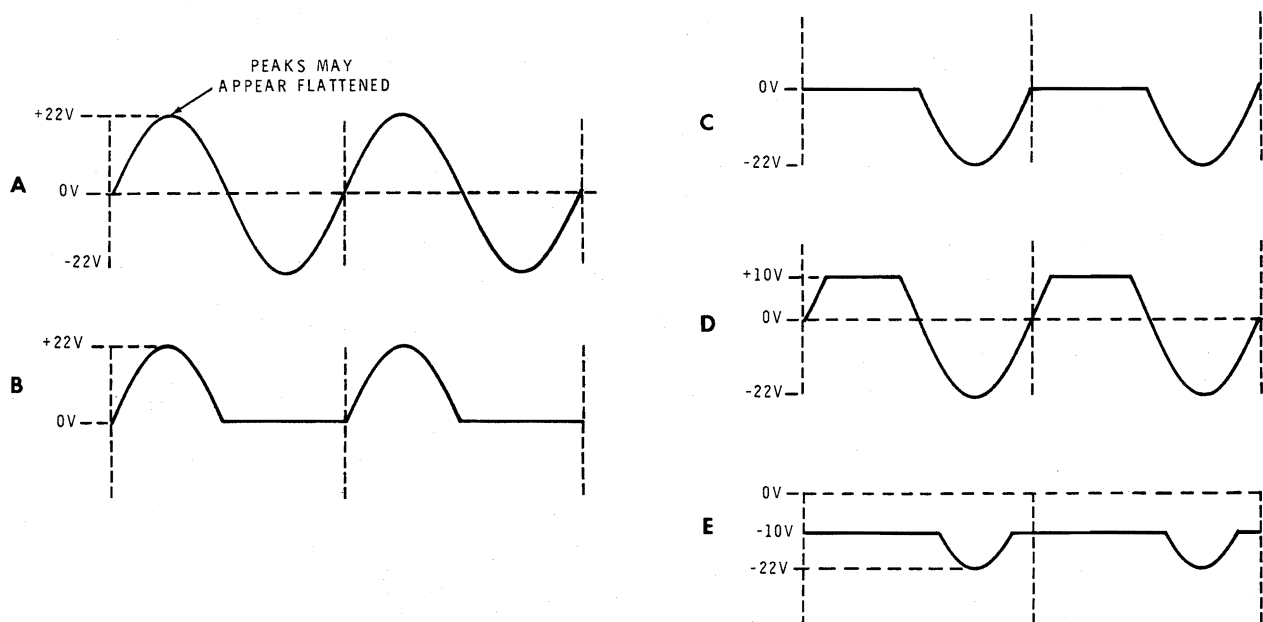


Figure 6-28  
Waveforms observed in Steps 9 through 18.

An important point to remember is, that the series diode clips the waveform when the diode is cut off. When the diode is unbiased, the clipping level is at 0 volts. However, by biasing the diode with a DC voltage, the clipping level can be adjusted to any point on the input waveform.

When you viewed the input waveform in Step 9, the sine wave may have appeared flattened at the peaks. This is due to losses in the power transformer in the Trainer and should not be confused with the clipping action of the diode.

You have no doubt noticed that the output waveforms have a DC component. In fact, the waveforms are pulsating DC rather than AC. To accurately determine the 0 volt point in these waveforms, the oscilloscope must be set up to view DC. That is, the AC-GND-DC switch at the input should be in the DC position.

### Procedure (Continued)

19. Construct the circuit shown in Figure 6-29A. Turn on the Trainer.

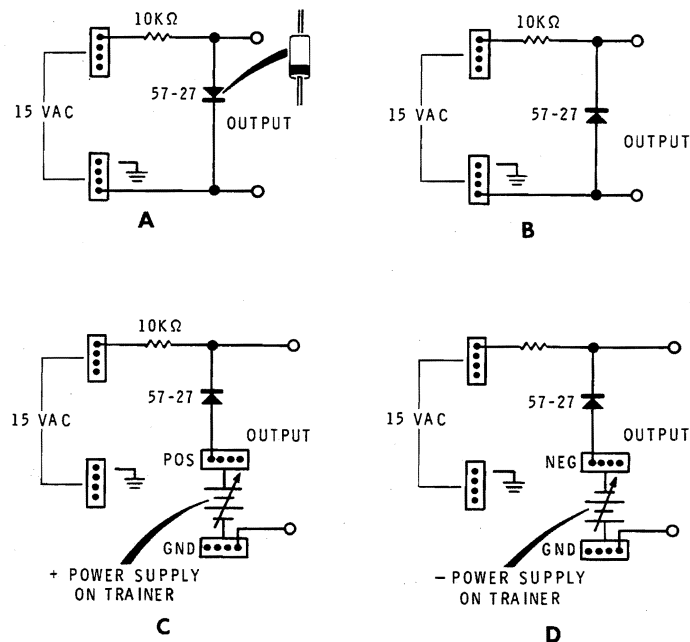


Figure 6-29

Circuits for Steps 19 through 29.

20. Using the oscilloscope view the input waveform. Draw two cycles of the input waveform in Figure 6-30A.

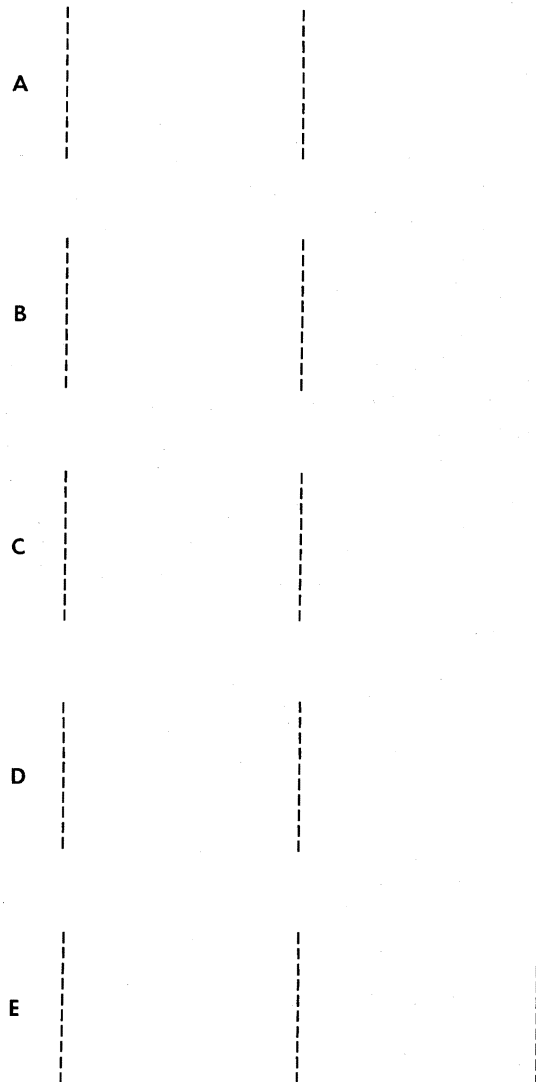


Figure 6-30

Draw waveforms for Steps 20 through 29.

21. View the output. Draw two cycles of this waveform in Figure 6-30B.
22. Turn off the Trainer and modify the circuit as shown in Figure 6-29B (reverse the diode). Turn on the Trainer.

23. View the output. Draw two cycles of the output waveform in Figure 6-30C.
24. Adjust the (+) power supply voltage control until the output is 10 VDC.
25. Turn off the Trainer and modify your circuit as shown in Figure 6-29C. Turn on the Trainer.
26. View the output. Draw two cycles of the output waveform in Figure 6-30D. Vary the (+) voltage control from minimum to maximum. Notice the effect on the output waveform.
27. Adjust the (-) power supply voltage control until the output is -10 VDC.
28. Turn off the Trainer and modify your circuit as shown in Figure 6-29D. On your oscilloscope switch the AC/DC/GND switch to GND, center the trace on the screen. Switch the AC/DC/GND switch back to DC.
29. View the output. Draw two cycles of the output waveform in Figure 6-30E. Vary the (-) voltage control from minimum to maximum. Notice the effect on the output waveform. Turn off the Trainer.

## Discussion

In this part of the experiment, you investigated the operation of the shunt diode clipper. Your results should agree with those shown in Figure 6-31.

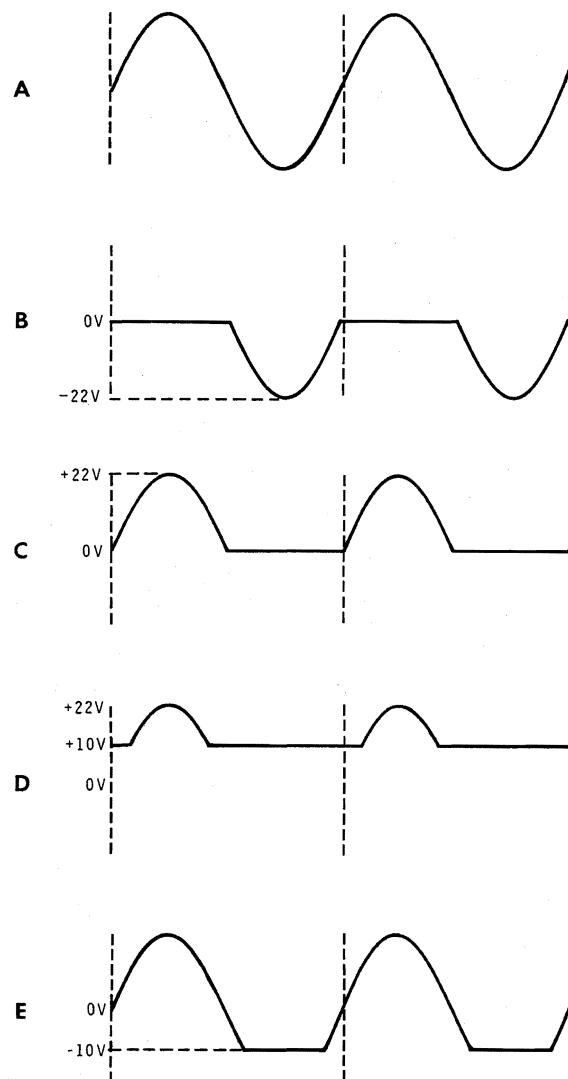


Figure 6-31

Waveforms observed in Steps 19 through 29.

From these waveforms you should notice that the shunt diode limits when the diode conducts. Once again the clipping level can be set at any point on the input waveform by properly biasing the diode.



Procedure (Continued)

30. Construct the circuit shown in Figure 6-32A. Turn on the Trainer.

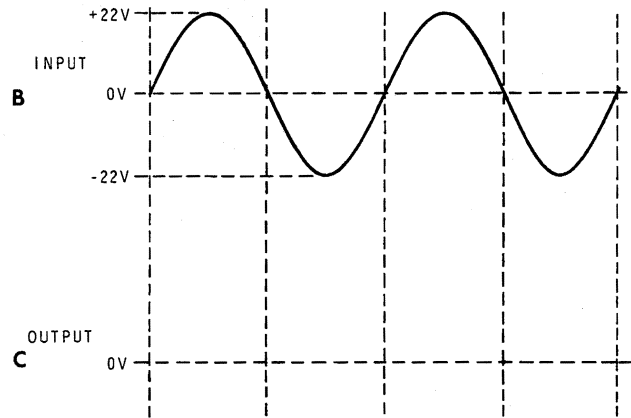
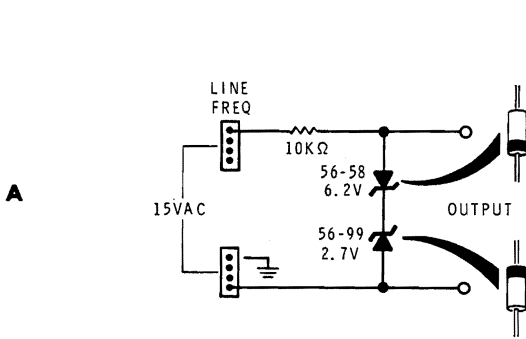


Figure 6-32

Circuit and waveforms for Steps 30 through 32.

31. Using the oscilloscope view the input waveform. Verify that it is a 60 Hz sine wave as shown in Figure 6-32B.
32. View the output waveform. Draw two cycles of the output waveform in Figure 6-32C. Turn off the Trainer.
33. Construct the circuit shown in Figure 6-33A. Set the generator frequency to 1000 hertz and the (+) power supply to 10 VDC.

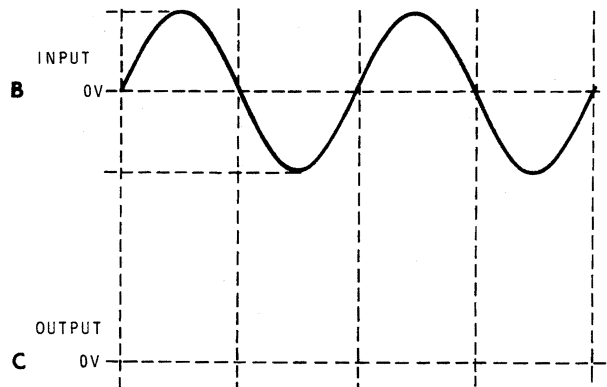
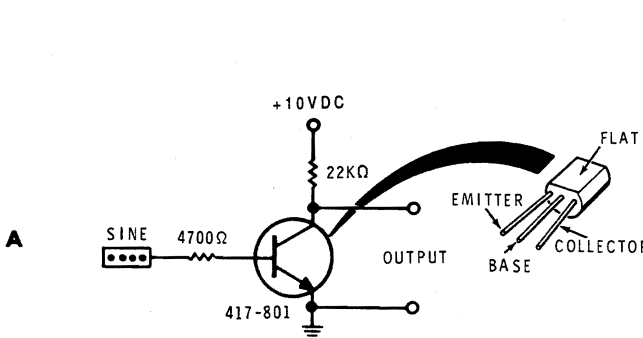


Figure 6-33

Circuit and waveforms for Steps 33 through 35.

34. Turn on the Trainer. View the input waveform and verify that it is a 1000 hertz sine wave as shown in Figure 6-33B.
35. View the output waveform. Draw two cycles of the output waveform in Figure 6-33C. Turn off the Trainer.

## Discussion

In Step 30 you constructed a zener slicer. It clips both the positive and negative extremes of the input waveform. The zener diodes set the clipping levels at the zener voltage plus the 0.7 volts of the forward biased zener. Thus, the clipping levels are set as shown in Figure 6-34A.

In Step 33 you constructed an overdriven amplifier which also limits both extremes of the input. The negative extreme cuts the transistor off while the positive extreme drives the transistor to saturation. Thus, the output waveform has the appearance shown in Figure 6-34B.

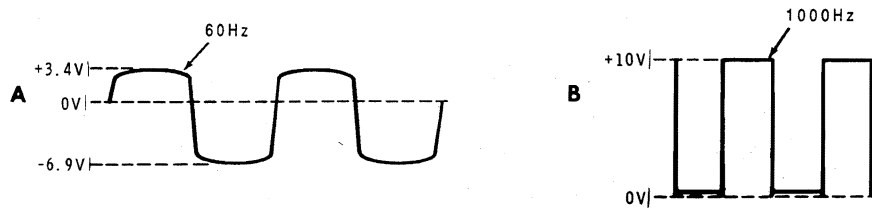


Figure 6-34

Waveforms observed in Steps 32 and 35.

## Procedure (Continued)

36. Construct the circuit shown in Figure 6-35A. Set the generator frequency to 1000 Hz. Turn on the Trainer.

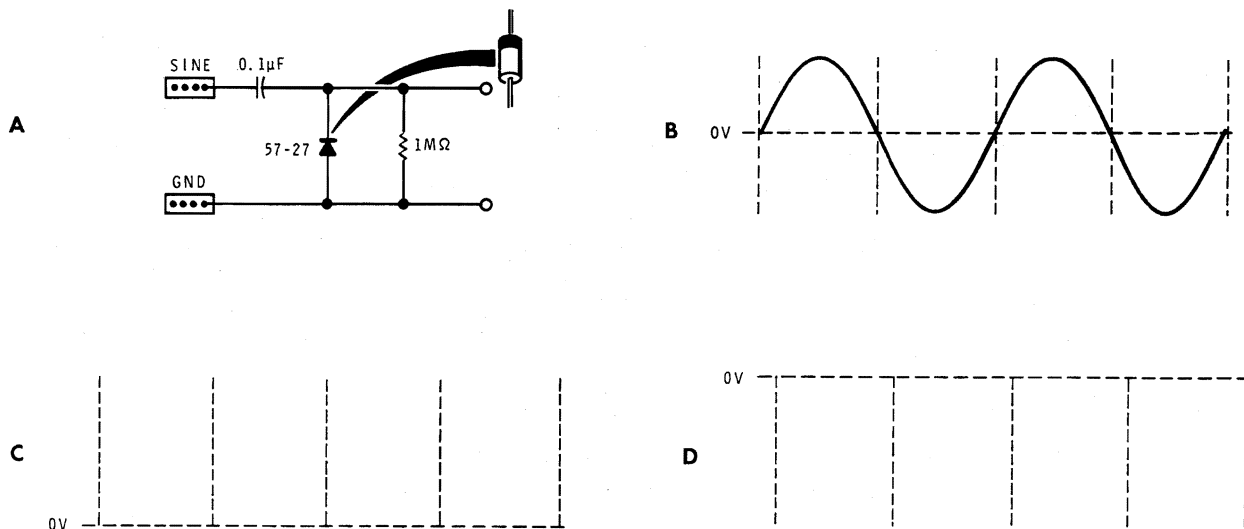


Figure 6-35

Circuits and waveforms for Steps 36 through 40.

37. View the input waveform. Verify that it has the appearance shown in Figure 6-35B.
38. View the output waveform. Draw the output waveform in Figure 6-35C. Note the zero volt level.
39. Turn off the Trainer and modify the circuit by reversing the diode. Turn on the Trainer.
40. Draw the new output waveform in Figure 6-35D. Note the zero volt level. Turn off the Trainer.

## Discussion

The circuit is a simple clamper. Depending on how the diode is turned, it clamps either the negative or positive peak of the sine wave to 0 volts. The output waveform viewed in Step 38 should have the appearance shown in Figure 6-36A. That viewed in Step 40 should have the appearance shown in Figure 6-36B. Recall that in order to determine the 0 volt level, the oscilloscope must be set up to view DC.

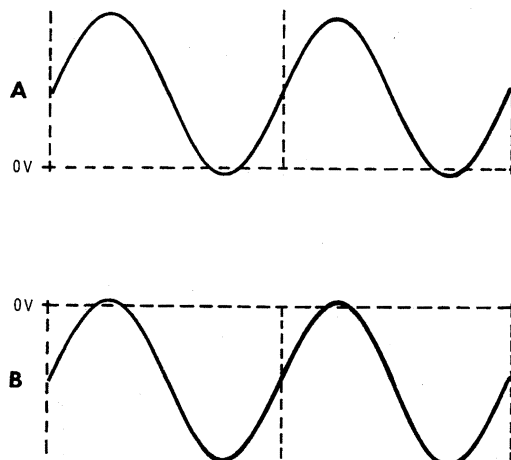


Figure 6-36

Waveforms observed in Steps 38 and 40.

## RECTANGULAR-WAVE GENERATORS

Rectangular waveforms play important roles in electronics. Because of their high harmonic content, rectangular waves are used for testing the frequency response of amplifiers. Also, the sharp leading and trailing edges make the rectangular wave ideal for timing purposes. Rectangular waveforms are easily generated and can be changed to other shapes. For this reason, most sawtooth and triangle waveforms begin as rectangular waveforms.

In this section we will look at several circuits that produce rectangular waveshapes. Some, like the astable multivibrator, are free-running and produce an output without being triggered by an input signal. Others, like the one-shot multivibrator, produce an output only when triggered by an input. Still others, like the Schmitt trigger, change the characteristics of the input signal to produce a rectangular output.

### Astable Multivibrator

The astable multivibrator produces a rectangular waveform without requiring an input signal. For this reason, it is often called a free-running multivibrator. It is a type of RC oscillator that uses two transistor stages. A heavy regenerative feedback causes the transistor to alternate between cutoff and saturation. Consequently, the output is a square or rectangular waveform rather than a sine wave. The frequency of oscillation is determined by two RC time constants.

The basic circuit is shown in Figure 6-37. It consists of two transistors with the output of one connected to the input of the other.  $R_2$  and  $R_3$  bias the transistors into saturation. Capacitor  $C_1$  couples the collector of  $Q_1$  to the base of  $Q_2$ . In the same way,  $C_2$  couples the collector of  $Q_2$  to the base of  $Q_1$ . In normal operation, one transistor is cut off while the other is

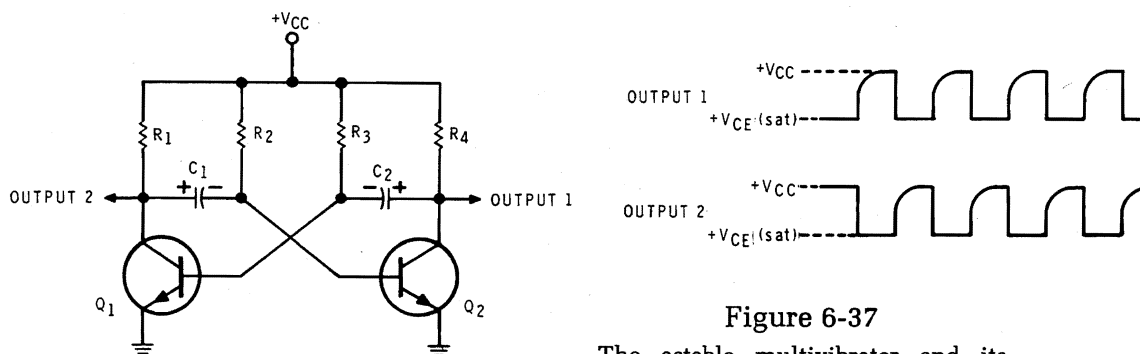


Figure 6-37

The astable multivibrator and its waveforms.

conducting. However, after a brief interval, the circuit changes states. The conducting transistor cuts off, while the transistor that was cut off starts conducting. The circuit oscillates back and forth between these two states. The output of the circuit is a rectangular wave that can be taken from the collector of either transistor. The frequency of oscillation is determined by the values of  $R_2$ ,  $R_3$ ,  $C_1$ , and  $C_2$ .

When power is initially applied to the circuit, normal component tolerances will allow one of the transistors to conduct harder than the other. Assume that  $Q_1$  initially conducts harder. As  $Q_1$  conducts, its collector voltage decreases. This decrease in voltage is coupled through  $C_1$  to the base of  $Q_2$ . This causes  $Q_2$  to conduct less making its collector voltage increase. This increase in voltage is coupled through  $C_2$  to the base of  $Q_1$ . This causes  $Q_1$  to conduct even harder. This process is regenerative and it continues until  $Q_1$  is saturated and  $Q_2$  is cut off.

The circuit will not remain in this state for long. After a brief interval,  $Q_1$  will cut off and  $Q_2$  will conduct. Then after another brief interval, the circuit will change back to its original state. To see why the circuit oscillates back and forth between these two states, let's pick up the circuit action at the point at which  $Q_2$  is conducting and  $Q_1$  is cut off.

When  $Q_1$  is cut off, its collector voltage rises.  $C_1$  charges through  $R_1$  and the base-emitter junction of  $Q_2$  to the supply voltage ( $+V_{cc}$ ). During the previous cycle,  $C_2$  was charged to  $+V_{cc}$  with the polarity shown. However, since  $Q_2$  is now conducting, its collector voltage drops allowing  $C_2$  to discharge through  $R_3$  and  $Q_2$ . The discharge of  $C_2$  holds  $Q_1$  cut off for a period of time determined by the  $R_3C_2$  time constant. When  $C_2$  discharges to zero, it begins to charge in the opposite direction. As soon as the charge on  $C_2$  reaches about 0.7 volts,  $Q_1$  conducts because its base-emitter junction is now forward biased.

When  $Q_1$  conducts, its collector voltage drops and  $C_1$  begins to discharge. The discharge of  $C_1$  cuts off  $Q_2$  and holds it cut off for a period of time determined by the RC time constant. During this period,  $C_2$  recharges to  $+V_{cc}$ . Once the charge on  $C_1$  reaches zero, the capacitor starts to charge in the opposite direction. When the voltage on the base of  $Q_2$  exceeds about 0.7 volts,  $Q_2$  begins to conduct and the entire cycle is repeated.

The output waveforms are also shown in Figure 6-37. The outputs switch between the supply voltage ( $+V_{cc}$ ) and  $V_{CE(SAT)}$ . The positive pulse is produced at output 1 when  $Q_2$  is cut off. The curved leading edge of the pulse is caused by the charge of  $C_2$ . The positive pulse at output 2 is produced when  $Q_1$  is cut off. Since  $Q_1$  and  $Q_2$  are cut off at different times, the two outputs are  $180^\circ$  out of phase.

The oscillation frequency is determined by the  $R_2C_1$  and  $R_3C_2$  time constants.  $R_2$  and  $R_3$  are generally selected in order to ensure saturation of  $Q_1$  and  $Q_2$ . Capacitors  $C_1$  and  $C_2$  are then chosen to produce the desired operating frequency. If  $C_1 = C_2$  and  $R_2 = R_3$ , the frequency of oscillation is approximately equal to:

$$f = \frac{1}{1.4 RC}$$

With this arrangement, the positive half cycle will be equal to the negative half cycle. Unequal values of capacitors can be used to produce a wider or more narrow positive pulse.

## Monostable Multivibrator

The astable multivibrator is so named because it has no stable state. By the same token, the monostable multivibrator gets its name from the fact that it has one stable state. The circuit is also called a one-shot multivibrator because it produces one output pulse for each input pulse.

Figure 6-38A shows the schematic diagram of the monostable multivibrator. This circuit has two states: a stable state where  $Q_2$  conducts and  $Q_1$  is cut off and an unstable state where  $Q_1$  conducts and  $Q_2$  is cut off. The circuit rests in its stable state when it is not being triggered. The unstable state is initiated when the circuit receives an input trigger pulse. The circuit stays in its unstable state for a period of time determined by the  $R_2C_1$  time constant. The circuit then returns to its stable state.

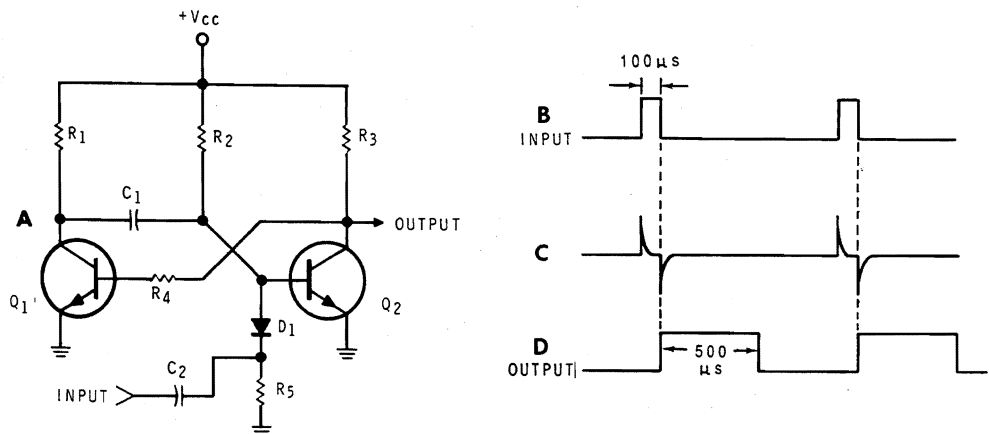


Figure 6-38

The monostable multivibrator and its waveforms.

Let's consider the stable state first.  $R_2$ ,  $D_1$ , and  $R_5$  form a voltage divider which forward biases  $Q_2$ .  $R_2$  is chosen so that  $Q_2$  will saturate. With  $Q_2$  saturated, its collector voltage is quite low. Therefore, the voltage on the base of  $Q_1$  is insufficient to allow  $Q_1$  to conduct. Thus,  $Q_1$  is cut off and its collector voltage is at  $+V_{cc}$ . Capacitor  $C_1$  charges through  $R_1$  and the emitter-base junction of  $Q_2$  to  $+V_{cc}$ . The circuit remains in this stable state until it receives an input trigger pulse.

To trigger the circuit, an input trigger pulse is applied as shown in Figure 6-38B.  $C_2$  and  $R_5$  form a differentiator circuit. This converts the input pulse to positive and negative spikes as shown in Figure 6-38C. The sharp positive and negative-going pulses are then applied to diode  $D_1$ . The diode permits only the negative pulse to be coupled to the base of  $Q_2$ . The negative pulse reverse biases the base-emitter junction of  $Q_2$ .  $Q_2$  switches off and its collector voltage rises. This forward biases  $Q_1$ , causing it to conduct.

As  $Q_1$  conducts, its collector voltage drops. This forces  $C_1$  to discharge through  $R_2$ . As  $C_1$  discharges, it holds  $Q_2$  cut off for a period of time determined by the  $R_2C_1$  time constant. After  $C_1$  discharges to 0 volts, it will begin to charge in the opposite direction. However, when the voltage across  $C_1$  reaches about 0.7 volts,  $Q_2$  will conduct. This causes its collector voltage to drop to a low level. This, in turn, cuts off  $Q_1$  and the circuit returns to its stable state. The circuit remains in its stable state until another trigger pulse is received.

Because the monostable multivibrator produces one output pulse for each negative-going input pulse, the output frequency is the same as the input frequency. The pulse width of the output is determined by the  $R_2C_1$  time constant. The pulse width is approximately equal to

$$PW = 0.7 R_2C_1$$

The monostable multivibrator is used to produce a pulse of some specific duration. For this reason, it is sometimes called a pulse stretcher. Figure 6-38 shows the input and output waveforms in a pulse stretching application. Notice that the input pulses are only 100 microseconds wide while the output pulses are 500 microseconds wide.

The one-shot circuit can also be used to delay a pulse. Suppose, for example, that we wish to delay a pulse by 1000 microseconds. The pulse is shown in Figure 6-39A. A simple way to do this is to use the pulse to trigger a one-shot. Component values are chosen so that the one-shot produces a pulse that is 1000 microseconds wide, as shown in Figure 6-39B. This pulse can be converted to negative and positive spikes by a differentiator. The result is a negative pulse which occurs 1000 microseconds later than the original pulse. Since this pulse has the same characteristics as the original pulse, but occurs 1000 microseconds later, we have, in effect, delayed the pulse by 1000 microseconds.

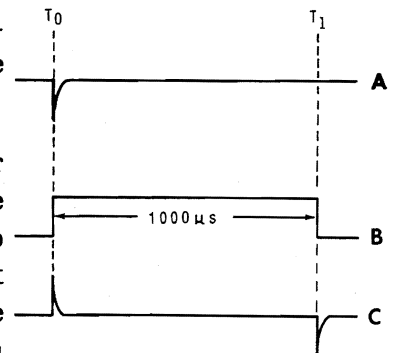


Figure 6-39  
Delaying a pulse.

## Bistable Multivibrator

The third type of multivibrator is the bistable circuit. This circuit has two stable states. It normally has two inputs and two outputs. A pulse at one input sets the circuit to one of its stable states. A pulse at the other input resets the circuit to its other stable state. Because of its mode of operation, the circuit is often called a flip-flop. A set pulse flips the circuit to one state; a reset pulse flips the circuit back to its original state.



The flip-flop has many applications. It can produce a gate of virtually any desired length of time. The length of the gate is determined by the time between the set and reset pulses. By modifying the circuit, it can be made to divide an input frequency by two. In fact, a number of flip-flops cascaded together can divide a frequency by powers of two. Also, a flip-flop can be used as a memory element. It can "remember" which input received a pulse last. This is the principle behind one type of computer memory.

The basic flip-flop circuit is shown in Figure 6-40A. It consists of two transistor amplifiers connected so that the collector of each is coupled to the base of the other. As mentioned, the circuit has two stable states. When the circuit is set,  $Q_1$  is saturated and  $Q_2$  is cut off. When reset,  $Q_1$  is cut off and  $Q_2$  is saturated.

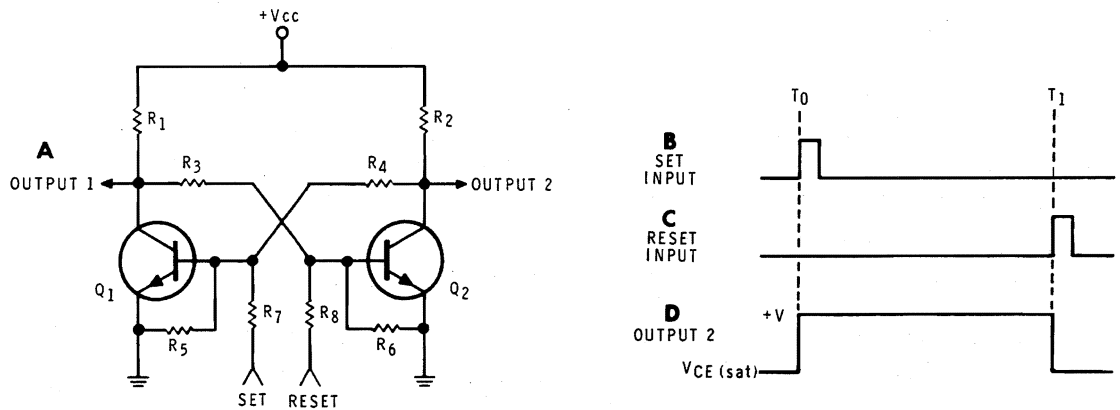


Figure 6-40

The bistable multivibrator and its waveforms.

Without a signal applied to either input, the circuit will automatically go to one of its stable states as soon as power is applied. At the instant  $+V_{cc}$  is applied, normal component tolerances will allow one transistor to conduct harder than the other. Assuming  $Q_2$  initially conducts harder, its collector voltage decreases faster than  $Q_1$ 's. This decrease in voltage is felt on the base of  $Q_1$ , causing  $Q_1$  to conduct less. This increases the collector voltage of  $Q_1$  which, in turn, increases the base voltage of  $Q_2$ . This causes  $Q_2$  to conduct even harder. Its collector voltage decreases further, causing  $Q_1$  to conduct even less. The action is regenerative and within a very short period of time,  $Q_1$  is driven to cutoff while  $Q_2$  becomes saturated. This stable state is called *reset*.

Once reset, you can change the circuit to its other stable state by applying a positive pulse to the set input. Notice that a positive pulse at the reset input will have no effect, since  $Q_2$  is already conducting as hard as it can. With  $Q_2$  saturated, output 2 is at  $V_{CE(SAT)}$ , which is only a few tenths of a volt above ground. Output 1 is at a high positive voltage because  $Q_1$  is cut off.

Now let's see how we can set the flip-flop. At time  $T_0$  a positive pulse occurs at the set input. The pulse is high enough in amplitude to drive  $Q_1$  out of cutoff and cause it to conduct heavily. When  $Q_1$  conducts, its collector voltage decreases, reducing the base current of  $Q_2$ . This brings  $Q_2$  out of saturation, causing its collector voltage to rise. The increase in voltage at the collector of  $Q_2$  causes  $Q_1$  to conduct harder. Again the action is regenerative and in an instant  $Q_1$  is saturated and  $Q_2$  is cut off. The flip-flop is now set.

When the set pulse ends a short time later, the flip-flop remains set. The low collector voltage of  $Q_1$  keeps  $Q_2$  cut off while the high collector voltage of  $Q_2$  keeps  $Q_1$  saturated. As shown in Figure 6-40D, output 2 goes to its high state and remains there until the circuit is reset. Additional positive pulses at the set input will have no effect on the circuit since  $Q_1$  is already saturated.

The circuit can be reset by applying a positive pulse to the reset input. At time  $T_1$ , the reset pulse, pulls  $Q_2$  out of cutoff. The regenerative action described earlier quickly drives  $Q_1$  to cutoff and  $Q_2$  to saturation. Output 2 is a positive pulse whose leading edge is determined by the set pulse and whose lagging edge is determined by the reset pulse.

Applying a ground to the base of the conducting transistor will also change the state of the circuit.

The applications of such a circuit are endless. Often the output pulse controls a timer. The set and reset pulses will act as start and stop pulses. For example, in a digital voltmeter a timer is activated by the output pulse. It measures the length of time required for a capacitor to charge to the measured voltage. Since the time is directly proportional to the applied voltage, the time can be displayed as voltage.

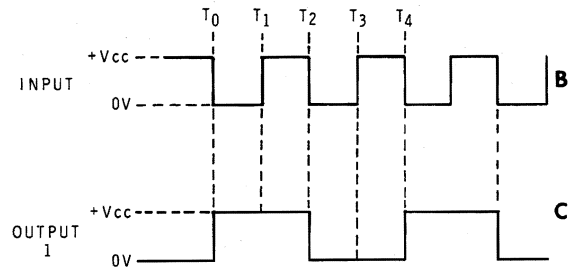
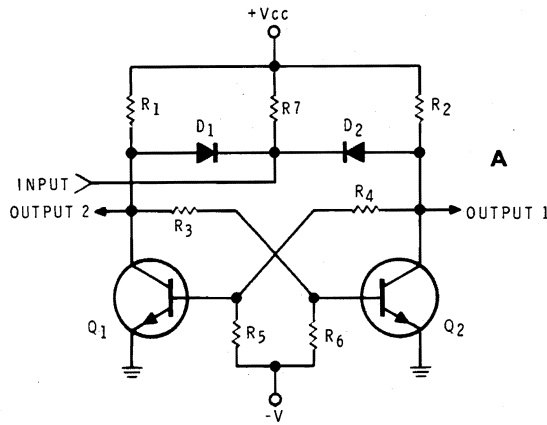


Figure 6-41

This flip-flop can divide the input frequency by two.

An interesting variation of the basic flip-flop is shown in Figure 6-41A. This circuit has a single input and is designed to divide the input frequency by two. To see how the circuit works, let's assume that initially  $Q_1$  is cut off and  $Q_2$  is conducting. With  $Q_1$  cut off, the collector of  $Q_1$  and the anode of  $D_1$  will be at or near  $+V_{cc}$ . The voltage at the cathode of  $D_1$  is determined by the input signal.

The input signal is shown in Figure 6-41B. Prior to time  $T_0$ , the input is at  $+V_{cc}$ . At time  $T_0$ , the input voltage falls to 0 volts.  $D_1$  conducts, clamping the collector of  $Q_1$  to a low voltage. This low voltage is felt on the base of  $Q_2$ , cutting  $Q_2$  off. When  $Q_2$  cuts off, its collector voltage rises to  $+V_{cc}$ . This increases the voltage on the base of  $Q_1$ , driving this transistor to saturation. At time  $T_1$ , the input signal returns to  $+V_{cc}$ . However, this has no effect on the circuit since  $Q_1$  is held at saturation by the high collector voltage from  $Q_2$ . And,  $Q_2$  is held cut off by the low collector voltage of  $Q_1$ . The output taken from the collector of  $Q_2$  is shown in Figure 6-41C.

At time  $T_2$ , the input again drops to 0 volts. This time  $D_1$  cannot conduct because its anode is at too low a voltage. However,  $D_2$  can conduct because its anode is at  $+V_{cc}$ .  $D_2$  conducts placing the collector of  $Q_2$  near 0 volts. This decrease in voltage is felt on the base of  $Q_1$ , cutting  $Q_1$  off. Thus, the collector voltage of  $Q_1$  rises driving  $Q_2$  to saturation. With  $Q_2$  saturated, its collector voltage will stay very low even when the input signal returns to  $+V_{cc}$  at time  $T_3$ . Notice that the circuit has now returned to its original state with  $Q_1$  cut off and  $Q_2$  conducting. Thus, additional input pulses will cause the cycle to repeat over and over again.

$D_1$  and  $D_2$  are called steering diodes. They steer the negative-going edge of the input signal to the base of the transistor that is conducting. The flip-flop changes state only on the negative-going edge of the input pulse. Therefore, two complete cycles of the input signal are required to produce one complete cycle at the output. That is, the output frequency is one-half the input frequency.

Discrete versions of the flip-flop are not used very often today. However, IC versions of the flip-flop are extremely popular. The flip-flop has been elaborated and refined until it is perhaps the most important circuit used in the world of digital electronics. It is used for frequency division, storing data, counting, etc.

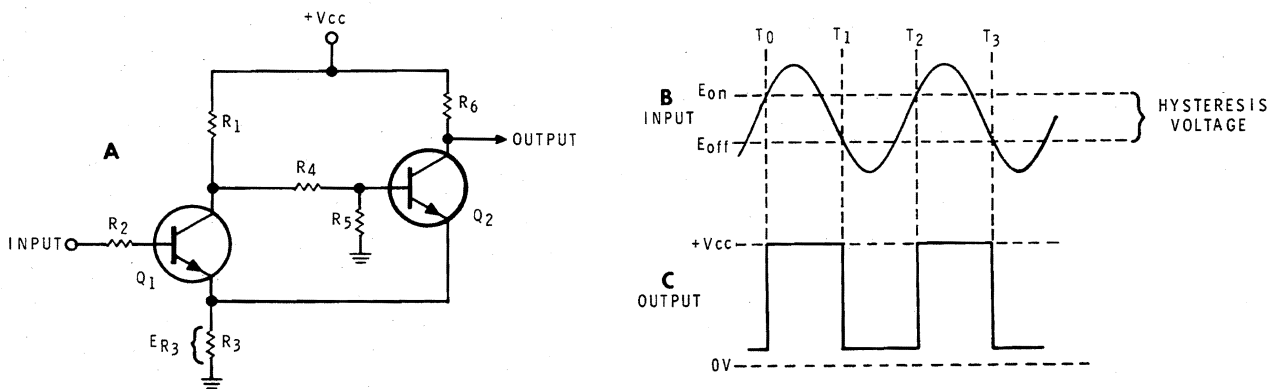


Figure 6-42

The Schmitt trigger and its waveforms.

## Schmitt Trigger

The Schmitt trigger is an important circuit used for pulse shaping purposes. It can be compared to a flip-flop since it is a bistable device. A common application of the Schmitt circuit is to convert a sine wave to a rectangular wave. The circuit for doing this is shown in Figure 6-42A.

The input signal is a sine wave which is applied to the base of  $Q_1$ . The output is a rectangular waveform taken from the collector of  $Q_2$ . When the input voltage is below a certain level  $Q_1$  is cut off. With  $Q_1$  cut off, its collector voltage is a relatively high positive value. This makes the voltage on the base of  $Q_2$  high enough to cause  $Q_2$  to saturate. As  $Q_2$  conducts, it forces a heavy current through  $R_3$ . This develops a positive voltage ( $E_{R3}$ ) at the emitter of  $Q_1$  which helps to hold  $Q_1$  cut off.

$Q_1$  cannot conduct until the input voltage rises about 0.7 volts above  $E_{R3}$ . The voltage at which  $Q_1$  conducts is shown in Figure 6-42B, as  $E_{on}$ . When  $Q_1$  conducts, the collector voltage decreases. In turn, the base voltage of  $Q_2$  decreases. Therefore,  $Q_2$  conducts less and  $E_{R3}$  decreases. The decrease in  $E_{R3}$  makes  $Q_1$  conduct harder causing the collector voltage to decrease further. This action is regenerative and continues until  $Q_1$  is saturated and  $Q_2$  is cut off. As shown in Figure 6-42C, the output voltage suddenly steps to  $+V_{cc}$  as  $Q_2$  is quickly cut off at time  $T_0$ . The circuit remains in this state until time  $T_1$ .

It might seem that  $Q_1$  would cut off again as soon as the input voltage falls below  $E_{on}$ . However, in practical circuits the reset occurs at a lower voltage called  $E_{off}$ . When the input voltage falls below  $E_{off}$ ,  $Q_1$  comes out of saturation and its collector voltage increases. This causes  $Q_2$  to conduct forcing additional current through  $R_3$ . Thus,  $E_{R3}$  increases causing  $Q_1$  to conduct less. Again the action is regenerative and  $Q_1$  is quickly driven to cut off while  $Q_2$  is quickly driven to saturation. Thus, at time  $T_1$ , the output voltage falls to a low value.

The difference between  $E_{on}$  and  $E_{off}$  is called the hysteresis voltage.

Depending on circuit values, this may vary from a tenth of a volt or less to a few volts.

## The 555 Timer

Some of the most interesting pulse circuits in use today are in integrated circuit form. One type that is used extensively is the "555 TIMER." This is a low cost linear IC which has dozens of different functions. It can act as a monostable or astable multivibrator. It can provide timing delays ranging from a few microseconds to several hours. Also, it can perform frequency division and two types of modulation.

Many different manufacturers produce the 555 Timer. Different versions have numbers like SE555, CA555, SN72555, and MC14555. However, you will notice that all contain the basic 555 number. Dual 555 timers are often included on a single chip and most carry "556" numbers. Even "quad" versions of the 555 are common.

A simplified diagram of the 555 circuit is shown in Figure 6-43. Notice that it contains two comparators, a flip-flop, an output stage, and a discharge transistor ( $Q_1$ ). With the proper external components, several different functions can be implemented.

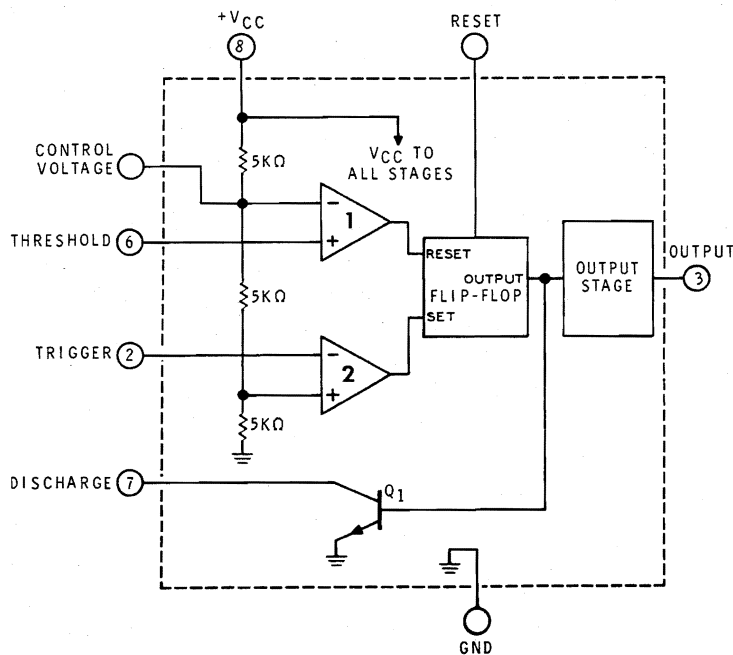


Figure 6-43  
The 555 timer circuit.

Let's examine the comparators in more detail. A voltage divider consisting of three 5 kilohm resistors develops a reference voltage at one input of each comparator. The reference voltage at the  $-$ input of comparator 1 is  $2/3$  of  $V_{cc}$ . The other input to the comparator comes from an external circuit via pin 6. When the voltage at pin 6 rises above the reference voltage, the output of comparator 1 swings positive. This resets the flip-flop.

The reference voltage at the  $+$ input of comparator 2 is set by the voltage divider at  $1/3$  of  $V_{cc}$ . The other input to comparator 2 is the trigger input. When the trigger input falls below the reference voltage, the output of the comparator swings positive. This sets the flip-flop.

The output of the flip-flop will always be at one of two levels. When the flip-flop is reset, its output goes to a positive voltage which we will call  $+V$ . When set, its output falls to a very low voltage which we will call 0 volts.

The output of the flip-flop is amplified and inverted by the output stage. A load can be connected between the output terminal (pin 3) and either  $+V_{cc}$  or ground. When the load is connected to  $+V_{cc}$ , a heavy current flows through the load when the output terminal is at 0 volts. Little current flows when the output is at  $+V$ . However, if the load is connected to ground, maximum current flows when the output is at  $+V$  and little current flows when the output is at 0 volts.

Notice that the output of the flip-flop is also applied to the base of  $Q_1$ . When the flip-flop is reset, this voltage is positive and  $Q_1$  acts as a very low impedance between pin 7 and ground. On the other hand, when the flip-flop is set, the base of  $Q_1$  is held at 0 volts. Thus,  $Q_1$  acts as a high impedance between pin 7 and ground.

Now let's see how this IC can be used as a practical circuit. Figure 6-44A shows the timer being used as a monostable circuit. This circuit produces one positive pulse output for each negative pulse at the trigger input. The length of the output pulse can be precisely controlled by the value of external components  $C_1$  and  $R_A$ .

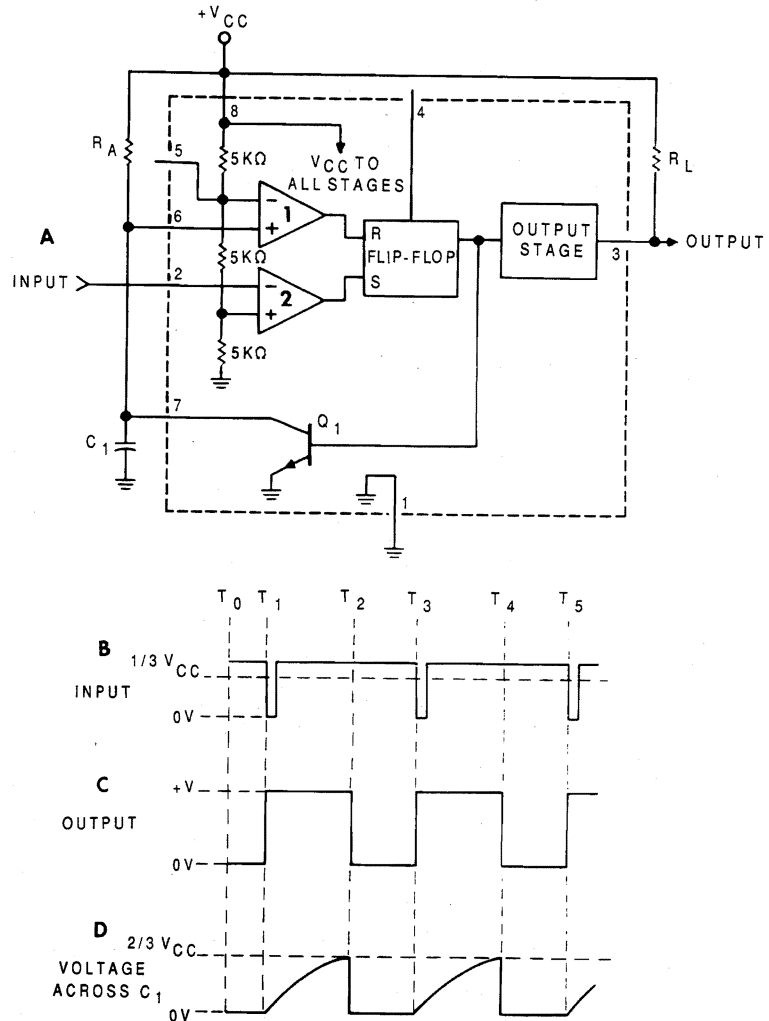


Figure 6-44  
The 555 timer as a monostable circuit.

Figure 6-44B shows the input pulses. Between pulses, the input voltage is held above the trigger voltage of comparator 2. The flip-flop is reset and its output is at +V. This output is inverted by the output stage so pin 3 is at 0 volts. Thus, a heavy current flows through  $R_L$ . The output of the flip-flop (+V) is also applied to the base of  $Q_1$ , causing the transistor to conduct.  $Q_1$  acts as a short across  $C_1$ . These conditions are shown at time  $T_0$ . Notice that the output voltage (Figure 6-44C) and the capacitor voltage (Figure 6-45D) are at 0 volts at this time.

At time  $T_1$ , a negative going pulse occurs. This forces the voltage at the - input of comparator 2 below the  $1/3 V_{cc}$  reference. The comparator switches states setting the flip-flop. The output of the flip-flop falls to 0 volts. This voltage is applied to  $Q_1$ , cutting the transistor off. This removes the short from around  $C_1$  and the capacitor begins to charge through  $R_A$  toward  $+V_{cc}$ .

The output of the flip-flop is also applied to the output stage where it is inverted. Thus, the output at pin 3 swings to +V. The output will remain in this state until the flip-flop is reset.

In the circuit shown, the flip-flop can be reset only by switching the state of comparator 1. Between times  $T_1$  and  $T_2$ , the voltage at the + input of comparator 1 is below the  $2/3 V_{cc}$  reference. Capacitor  $C_1$  is charging toward this level and reaches it at time  $T_2$ . This switches the output of the comparator, resetting the flip-flop. The output of the flip-flop turns on  $Q_1$  again, allowing  $C_1$  to quickly discharge. Also, the output of the flip-flop is inverted and the voltage at pin 3 falls back to 0 volts.

The output is a positive pulse whose leading edge is determined by the input pulse. The width of the pulse is determined by the time required for  $C_1$  to charge to  $2/3$  of  $V_{cc}$ . This, in turn, is determined by the  $R_A C_1$  time constant.  $C_1$  can charge to  $2/3$  of  $V_{cc}$  in just over one time constant. Thus the pulse width is approximately:

$$PW = 1.1 R_A C_1$$

The charge rate of  $C_1$  and the threshold voltage of comparator 2 are both directly proportional to  $+V_{cc}$ . Thus, the pulse width remains virtually constant regardless of the value of  $+V_{cc}$ .



If  $C_1$  is a 0.01 microfarad capacitor and  $R_1$  is a 1 megohm resistor, the pulse width is

$$PW = 1.1 (1,000,000 \Omega) (0.00000001F)$$

$$PW = 1.1 (0.01)$$

$$PW = 0.011 \text{ s or } 11 \text{ ms}$$

This brings to mind an interesting question. What happens if the output pulse width is longer than the time between input pulses? Figure 6-45 illustrates the answer. Here the output pulse width is 11 milliseconds and the time between input pulses is only 4 milliseconds. The input pulse at time  $T_0$  triggers the circuit initially. Another pulse occurs 4 milliseconds later at time  $T_1$ . However, the pulse cannot reset the flip-flop. Recall that the flip-flop is reset only by an input from comparator 1. Thus, the flip-flop will not reset until  $C_1$  charges to  $2/3$  of  $+V_{cc}$ . If this takes 11 milliseconds, as shown, then the input pulse at times  $T_1$  and  $T_2$  have no effect on the output. At time  $T_3$ , the charge on the capacitor reaches the threshold voltage and the circuit is reset. Once the circuit is reset, the next input pulse (at time  $T_4$ ) can again set the flip-flop, initiating the next output pulse. Comparing the input and output frequencies, we see that the circuit has divided the frequency by three.

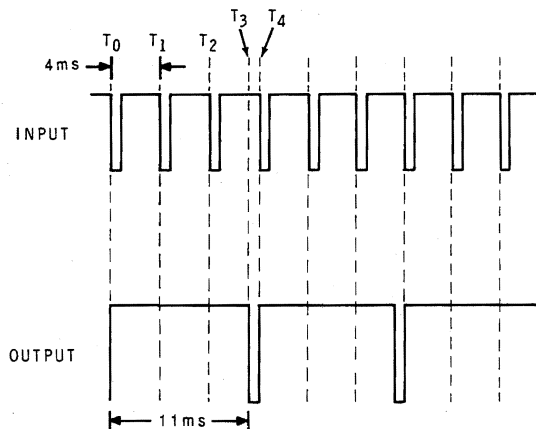
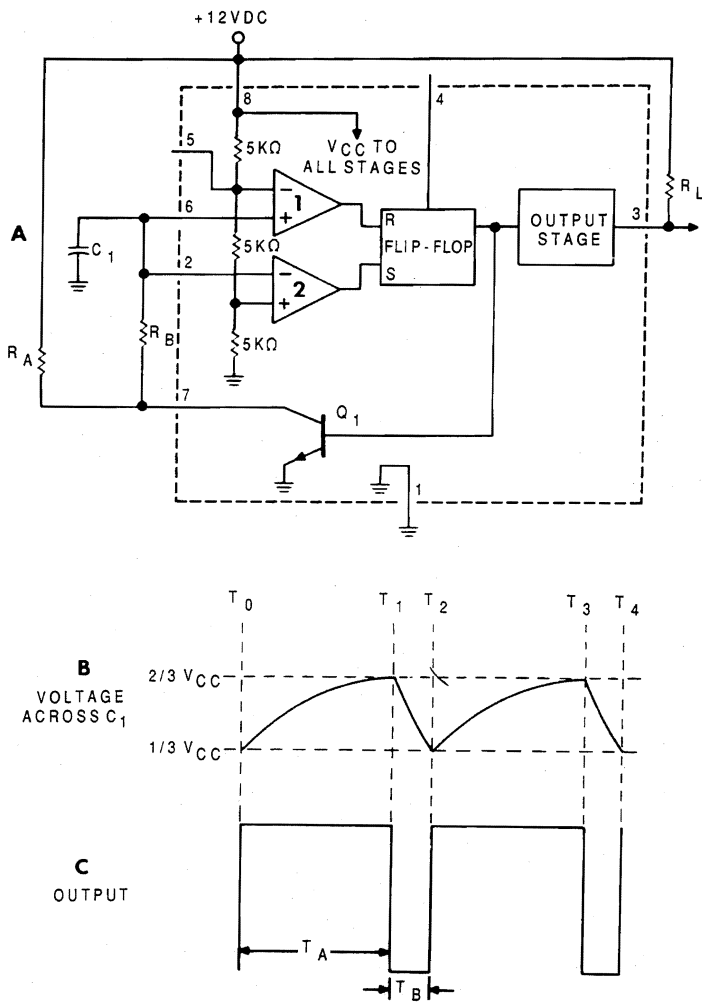


Figure 6-45

When the pulse width is longer than the time between input pulses, frequency division results.

Another application of the 555 timer is shown in Figure 6-46A. This is an astable circuit. It free runs at a frequency determined by  $C_1$ ,  $R_A$ , and  $R_B$ . Figure 6-46B and C show the voltage across  $C_1$  and the output voltage. Between times  $T_0$  and  $T_1$ , the flip-flop is set and its output is 0 volts. This holds  $Q_1$  cut off and holds the output (pin 3) at +V.



**Figure 6-46**  
 The 555 timer as an astable circuit.

With  $Q_1$  cut off,  $C_1$  begins to charge toward  $+V_{cc}$  through  $R_B$  and  $R_A$ . At time  $T_1$ , the voltage across the capacitor reaches  $2/3$  of  $+V_{cc}$ . This causes the flip-flop to reset. The output of the flip-flop goes to  $+V$  and the output at pin 3 drops to 0 volts.  $Q_1$  conducts allowing  $C_1$  to discharge through  $R_B$ . As  $C_1$  discharges, the voltage across  $C_1$  decreases. At time  $T_2$ , the voltage has decreased to the trigger level of comparator 2. This sets the flip-flop again, cutting off  $Q_1$ . The capacitor begins to charge once more and the entire cycle is repeated.

As you can see, the capacitor charges and discharges between  $2/3$  of  $+V_{cc}$  and  $1/3$  of  $+V_{cc}$ .  $C_1$  charges through both  $R_A$  and  $R_B$ . Approximately 0.7 time constants are required for  $C_1$  to charge. Thus, the duration of the positive output pulse ( $T_A$ ) is approximately

$$T_A = 0.7 C_1 (R_A + R_B)$$

Also, the duration of the negative going pulse is determined by the  $C_1 R_B$  time constant. Consequently,

$$T_B = 0.7 C_1 R_B$$

The total period of one cycle is

$$T = T_A + T_B$$

And, since frequency is the reciprocal of time,

$$f = \frac{1}{T} = \frac{1}{T_A + T_B} = \frac{1.44}{C_1 (R_A + 2 R_B)}$$

You may adjust the duty cycle by setting the values of  $R_A$  and  $R_B$ .

## Programmed Review

28. The three basic types of multivibrators are the \_\_\_\_\_, \_\_\_\_\_, and \_\_\_\_\_.

29. (astable or free-running, monostable or one-shot, bistable or flip-flop). The circuit which produces an output square wave without requiring an input signal is called an \_\_\_\_\_ multivibrator.

30. (astable) An astable multivibrator is shown in Figure 6-47. The approximate frequency at which this circuit will oscillate can be determined by the formula:  $f = \frac{1}{1.4RC}$ .

Therefore, the output frequency is approximately \_\_\_\_\_ Hz.

31. (1520 Hz) The \_\_\_\_\_ multivibrator produces one output pulse for each input pulse.

32. (monostable or one-shot) The output frequency of the one-shot is determined by the frequency of the \_\_\_\_\_ signal.

33. (input) The \_\_\_\_\_ of the output pulse is determined by an RC time constant.

34. (width) The bistable multivibrator normally has two inputs labelled \_\_\_\_\_ and \_\_\_\_\_.

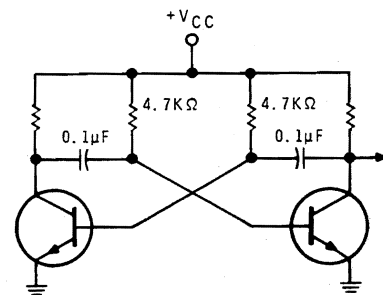


Figure 6-47  
Circuit for Frame 30.

35. (set, reset) Once set, the flip-flop remains in this state until a pulse is applied at the \_\_\_\_\_ input.

36. (reset) The flip-flop is often used to divide a frequency by \_\_\_\_\_.

37. (two) A bistable circuit which can convert sine waves and other waveforms into rectangular waveforms is called \_\_\_\_\_ trigger.

38. (Schmitt) The output of the Schmitt trigger snaps back and forth between two voltage extremes when the input voltage rises above or goes below certain levels. The difference between the input voltage at which the circuit changes states is called \_\_\_\_\_ voltage.

39. (hysteresis) The "555 Timer" consists of two comparators, a flip-flop, an output stage and a transistor switch in a single package. One of its applications is as a one-shot. When used in this manner, the output pulse width is determined by an external \_\_\_\_\_ and \_\_\_\_\_.

40. (resistor, capacitor) If the component values are selected so that the pulse width is longer than the time between input pulses, the circuit will perform frequency \_\_\_\_\_.

(division) The 555 timer can also be used as a free-running pulse generator. The frequency and the duty cycle are set by external component values.

## EXPERIMENT 16

### Rectangular-Wave Generators

**OBJECTIVE:** *To investigate the operation and characteristics of the astable multivibrator, the monostable multivibrator, the bistable multivibrator, the Schmitt trigger circuit, and the "555 Timer" IC.*

#### Introduction

In the previous section, several important circuits were discussed. The explanations were short and general. The important points can be verified by experimentation. In this experiment, you will build circuits similar to those discussed. By making observations and measurements you can gain new insight into the operation and characteristics of these circuits. **Read the entire procedure before performing this experiment.**

#### Material Required

- Heathkit Analog Trainer
- Oscilloscope (dual channel preferred)
- Multimeter
- 2—NPN silicon transistors (417-801) MPSA20
- 2—silicon diodes (57-27)1N2071
- 1—555 Timer IC (442-53)
- 1—100 ohm resistor (brown-black-brown-gold)
- 3—1000 ohm resistors (brown-black-red-gold)
- 1—1500 ohm resistor (brown-green-red-gold)
- 2—3300 ohm resistors (orange-orange-red-gold)
- 2—10 kilohm resistors (brown-black-orange-gold)
- 2—0.1 microfarad capacitors
- 2—0.01 microfarad capacitors
- 1—0.001 microfarad capacitor
- 1—0.039 microfarad capacitor
- 1—0.02 microfarad capacitor

**Procedure**

1. Turn on the Trainer and adjust the (+) power supply voltage control to 10 VDC. Turn off the Trainer.
2. Construct the circuit shown in Figure 6-48. Compute the free-running frequency of the circuit using the formula

$$f = \frac{1}{1.4(RC)}$$

f = \_\_\_\_\_ Hz

3. Turn on the Trainer. Preset the following oscilloscope controls: Volts/cm: 5V, TIME/CM: 0.5 milliseconds, AC/DC/GND: AC. Connect channel 1 of the oscilloscope to the collector of Q<sub>1</sub>. Measure the time of one cycle of the waveform. Compute the frequency by using the following formula:

$$f = \frac{1}{T}$$

f = \_\_\_\_\_ Hz.

Are the frequencies computed in steps 2 and 3 approximately the same?  
\_\_\_\_\_.

4. Measure the time of the positive half cycle. Compare this to the time of the complete cycle. What is the duty cycle? \_\_\_\_\_ %.
5. Replace C<sub>1</sub> with a 0.039 microfarad capacitor. View the waveform at the collector of Q<sub>2</sub>. Measure the time of the positive going pulse. What is the duty cycle? \_\_\_\_\_ %.
6. View the waveform at the collector of Q<sub>2</sub>. Note that the narrow pulse is negative-going at this point. This indicates that the two collector waveforms are \_\_\_\_\_ in phase/180° out-of-phase. Turn off the Trainer.

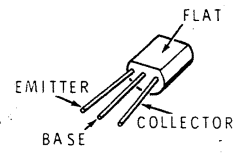
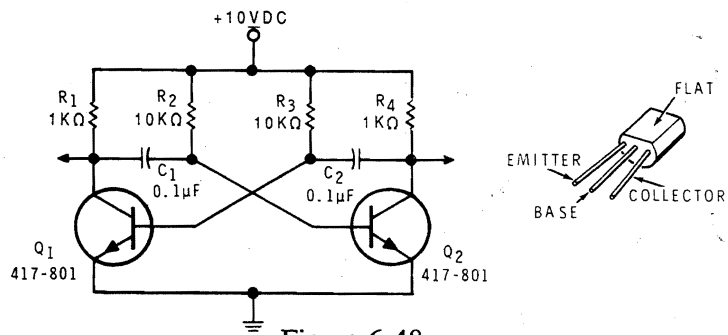


Figure 6-48  
Astable multivibrator.

## Discussion

In this part of the experiment you built an astable multivibrator which free runs at approximately 714 Hz. The actual operating frequency may be about 10% higher or lower because of component tolerances. Initially,  $C_1 = C_2 = 0.1$  microfarad and  $R_2 = R_3 = 10$  kilohm. This results in a symmetrical waveform having a 50% duty cycle. However, when  $C_1$  is changed to 0.039 microfarad, the  $C_1R_2$  time constant is reduced and the duty cycle drops to about 30%. The circuit has two outputs which are  $180^\circ$  out of phase.

## Procedure (Continued)

- Construct the circuit shown in Figure 6-49. Turn on the Trainer and adjust the (+) power supply voltage control to 10 VDC.

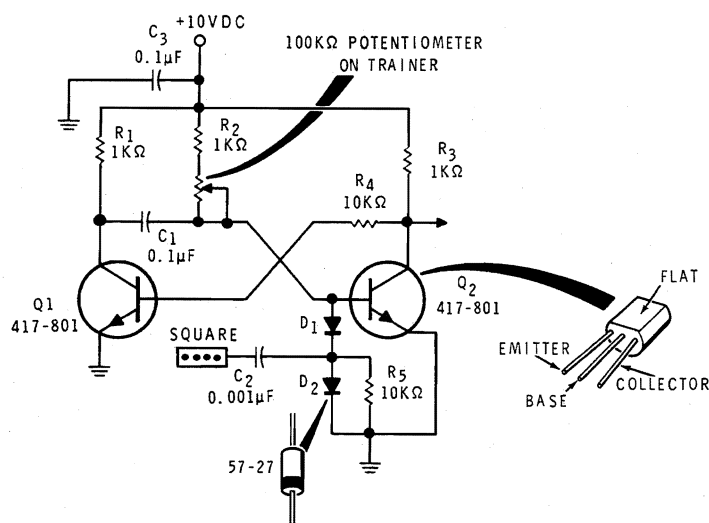


Figure 6-49

Monostable multivibrator.

- Set the generator frequency on the Trainer for 200 hertz.
- Connect the external trigger input of your oscilloscope to the SQUARE terminal on the Trainer. Set the triggering mode to external and the slope switch to "-". View the waveform at the SQUARE terminal. Adjust the oscilloscope so that two complete cycles of the square wave are displayed (Time/cm: 1 ms). Note the points on the screen at which the negative-going edges of the square wave occur.
- Set the 100 kilohm potentiometer on the Trainer for minimum resistance. View the waveform at the collector of  $Q_2$ . Note the points on the screen at which the leading edge of the output pulses occur. These points correspond to the negative-going edges of the input pulses. If a signal does not appear, slowly adjust the 100 kilohm potentiometer until a signal appears.



11. While viewing the waveform at the collector of  $Q_2$ , slowly turn the 100 kilohm potentiometer counterclockwise. The output pulse width \_\_\_\_\_  
increases/decreases.
12. Measure the output pulse width with the potentiometer fully clockwise. Pulse width = \_\_\_\_\_ microseconds. Compute the pulse width using the formula:  $PW = 0.7 RC$ . \_\_\_\_\_ microseconds.
13. Measure the output pulse width with the potentiometer fully counterclockwise. Pulse width = \_\_\_\_\_ microseconds. Compute the pulse width using the formula:  $PW = 0.7 RC_1$ . \_\_\_\_\_ microseconds. Turn off the Trainer and disconnect the circuit.

## Discussion

The one-shot multivibrator is triggered by the negative-going edge of the input square wave.  $C_2$  and  $R_5$  differentiate the square wave, changing it to positive and negative spikes.  $D_2$  limits the positive spikes.  $D_1$  conducts on the negative spikes passing these spikes to the base of  $Q_2$ .

The output pulse width is determined by  $C_1$ ,  $R_2$ , and the potentiometer. Adding more resistance in the discharge path increases the pulse width. When the potentiometer is fully clockwise, the resistance in the discharge path of  $C_1$  is only 1 kilohm. Thus, the pulse width is approximately 70 microseconds. When the potentiometer is fully counterclockwise, the resistance is 101 kilohm and the pulse width is approximately 7000 microseconds.

## Procedure (Continued)

14. Turn on the Trainer and adjust the (+) power supply voltage control for 10 VDC. Turn off the Trainer and construct the circuit shown in Figure 6-50.

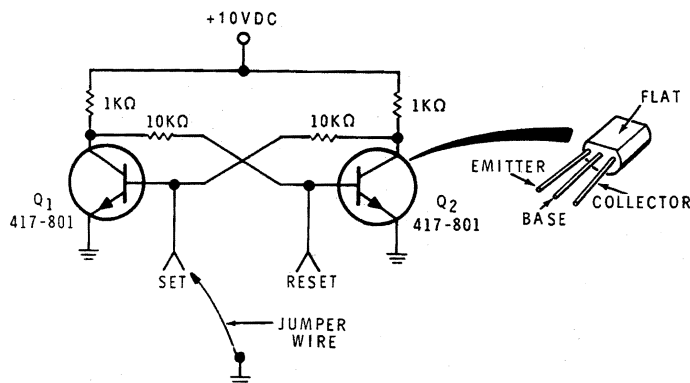


Figure 6-50  
Simple bistable circuit.

15. Using your multimeter measure the collector voltage of  $Q_1$ . Is  $Q_1$  cut off or conducting? \_\_\_\_\_. Measure the collector voltage of  $Q_2$ . Is  $Q_2$  cut off or conducting? \_\_\_\_\_.
16. Disconnect the jumper wire from the SET input. Measure the collector voltage of  $Q_1$ . Is  $Q_1$  still cut off? \_\_\_\_\_. Measure the collector voltage of  $Q_2$ . Is  $Q_2$  still conducting? \_\_\_\_\_.
17. While monitoring the collector voltage of  $Q_1$ , repeatedly touch the free end of the jumper wire to the SET input. Does this change the state of the flip-flop? \_\_\_\_\_.
18. Connect the free end of the jumper wire to the RESET input. Measure the collector voltage of  $Q_1$ . Is  $Q_1$  cut off or conducting? \_\_\_\_\_. Measure the collector voltage of  $Q_2$ . Is  $Q_2$  cut off or conducting? \_\_\_\_\_.
19. Disconnect the jumper wire from the RESET input. Measure the collector voltages of  $Q_1$  and  $Q_2$ . Is  $Q_1$  still conducting? \_\_\_\_\_. Is  $Q_2$  still cut off? \_\_\_\_\_.
20. While monitoring the collector voltage of  $Q_1$ , repeatedly touch the free end of the jumper wire to the RESET input. Does this change the state of the flip-flop? \_\_\_\_\_.
21. While monitoring the collector voltage of  $Q_1$ , alternately touch the free end of the jumper wire to the SET and RESET inputs.
22. While monitoring the collector voltage of  $Q_1$ , alternately touch the free end of the jumper wire to the collectors of  $Q_1$  and  $Q_2$ . Notice that the flip-flop can be reset by temporarily grounding the collector of  $Q_2$  and set by temporarily grounding the collector of  $Q_1$ . Turn off the Trainer.

## Discussion

The flip-flop is set by momentarily grounding the SET input. In this state,  $Q_1$  is cut off and  $Q_2$  is saturated. The circuit remains set even after the ground is removed from the SET input.

The flip-flop is reset by momentarily grounding the RESET input. This causes  $Q_2$  to cut off and  $Q_1$  to saturate. In step 22, you verified that a flip-flop can also be set and reset by applying ground to the base of  $Q_2$  and  $Q_1$  respectively.

Procedure (Continued)

- Turn on the Trainer and set the supply voltage to +10 VDC. Turn off the Trainer. Construct the circuit shown in Figure 6-51.

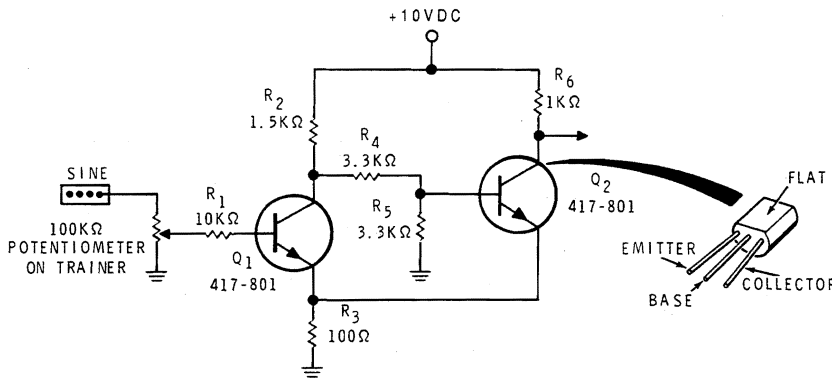


Figure 6-51  
The Schmitt trigger circuit.

- Set the generator frequency to 1 kilohertz. Set the 100 kilohm potentiometer for maximum resistance. Connect channel 1 of the oscilloscope to the collector of  $Q_2$ .
- Slowly turn the potentiometer counterclockwise until a pulse waveform appears at the collector of  $Q_2$ . As the potentiometer is adjusted towards minimum resistance, the width of the positive pulse \_\_\_\_\_ .  
increases/decreases
- Turn the potentiometer for minimum resistance. Trigger the oscilloscope externally using the square wave from the SQUARE terminal. Set the slope switch to "+."
- View the waveform at the wiper of the potentiometer. Draw two cycles of the waveform in Figure 6-52A.
- View the waveform at the collector of  $Q_2$ . Draw two cycles of this waveform in Figure 6-52B.

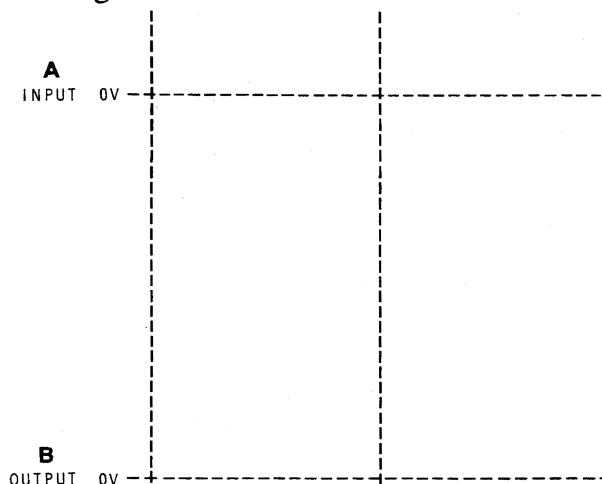
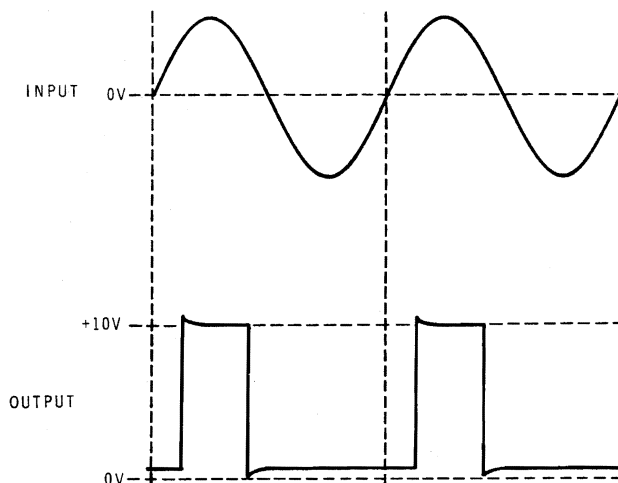


Figure 6-52

Draw waveforms observed in Steps 27 and 28.

### Discussion

In this part of the experiment you built a Schmitt trigger circuit. You saw that it can convert a sine wave to a rectangular waveform. Typical input and output waveforms are shown in Figure 6-53.



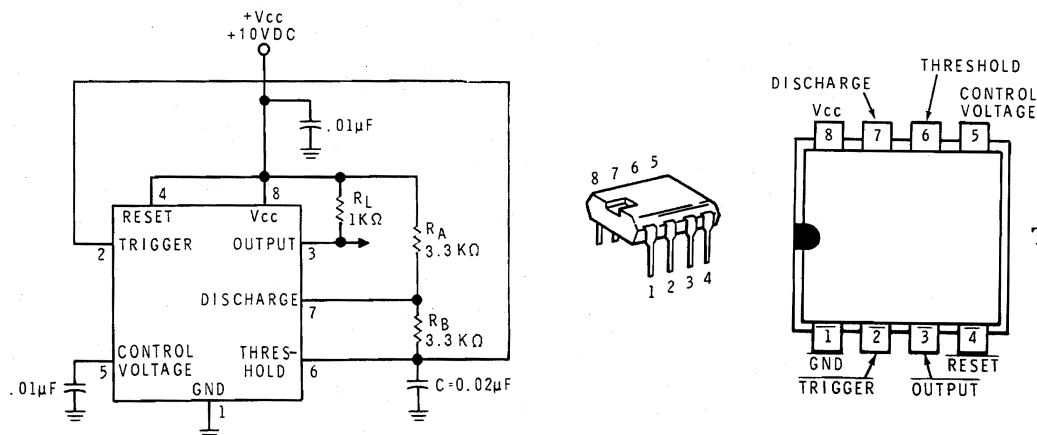
**Figure 6-53**  
Typical waveforms observed in Steps 27 and 28.

In the final part of this experiment, you will investigate the operation of the 555 timer. You will see that this circuit can be connected as a free-running oscillator, a one-shot multivibrator, or as a divide-by-N circuit. When you use this circuit observe the following precautions:

The supply voltage ( $V_{cc}$ ) should be no lower than 4.5 volts but should not exceed 16 volts. Also, the voltages at the various inputs (control voltage, trigger, threshold, etc.) should not exceed the value selected for  $V_{cc}$ . Finally, the output current should not exceed 200 mA.

### Procedure (Continued)

29. Turn on the Trainer and insure the supply voltage is set for (+) 10 VDC. Turn off the Trainer. Construct the circuit shown in Figure 6-54.



**Figure 6-54**  
The 555 timer connected for astable operation.

30. Using your oscilloscope, view the output at pin 3 of the timer. Draw two cycles of the output in Figure 6-55A.

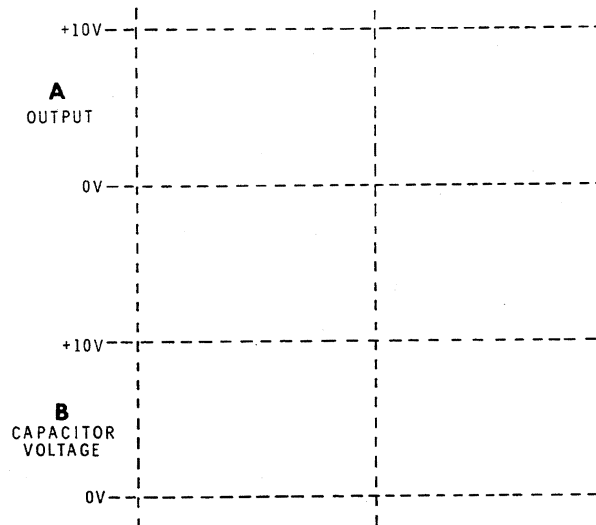


Figure 6-55

Waveforms for the astable circuit.

31. Using your oscilloscope, view the output at pin 6 of the timer. Draw two cycles of the output in Figure 6-55B. This is the voltage across the capacitor.
32. Measure the time of the positive-going pulse at pin 3.  $T_A =$  \_\_\_\_\_.
33. Compute  $T_A$  using the formula:  $T_A = 0.7C(R_A + R_B)$ .  $T_A =$  \_\_\_\_\_ microseconds. Is the computed value of  $T_A$  approximately the same as the measured value? \_\_\_\_\_.
34. Measure the time of the negative-going pulse.  $T_B =$  \_\_\_\_\_ microseconds.
35. Compute  $T_B$  using the formula  $T_B = 0.7C R_B$ .  $T_B =$  \_\_\_\_\_ microseconds. Is the computed value of  $T_B$  approximately the same as the measured value? \_\_\_\_\_.
36. Measure the time of one complete cycle.  $T =$  \_\_\_\_\_ microseconds. Using the formula  $f = \frac{1}{T}$  compute the frequency:  $f =$  \_\_\_\_\_ Hz.
37. Compute the frequency using the formula:

$$f = \frac{1.44}{C_1 (R_A + 2R_B)} \quad f = \text{_____ Hz.}$$

38. Compute the duty cycle using the formula:

$$DC = \frac{T_A}{T}$$

$$DC = \underline{\hspace{2cm}} \%$$

39. Change  $R_B$  to a 10 kilohm resistor. Find:

$$T_A = \underline{\hspace{2cm}} \mu\text{s}$$

$$T_B = \underline{\hspace{2cm}} \mu\text{s}$$

$$T = \underline{\hspace{2cm}} \mu\text{s}$$

$$f = \underline{\hspace{2cm}} \text{Hz}$$

$$DC = \underline{\hspace{2cm}} \%$$

40. Turn off the Trainer.

## Discussion

The astable circuit produces a rectangular waveform. Typical waveforms and times are shown in Figure 6-56. Your computed and measured values should agree within about  $\pm 10\%$ . The output frequency is 7215 Hz and the duty cycle is about 66.7%.

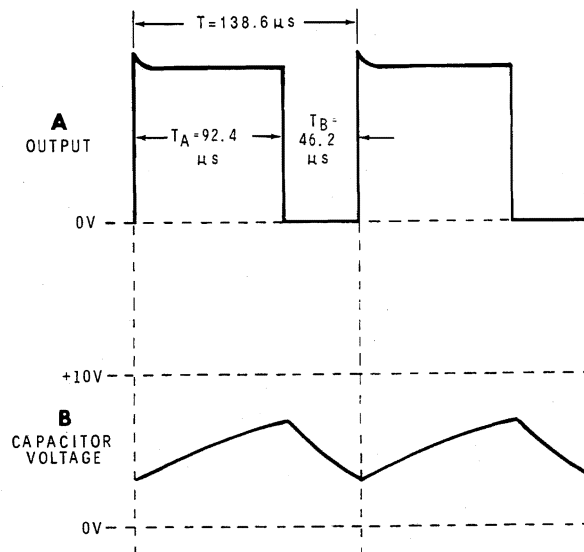


Figure 6-56  
Typical waveforms and times for  
the astable circuit.

In Step 39, you replaced  $R_B$  with a 10 kilohm resistor. This should change the operation of the circuit as follows:

$$T_A = 186 \mu\text{s}$$

$$T_B = 140 \mu\text{s}$$

$$T = 326 \mu\text{s}$$

$$f = 3100 \text{ Hz}$$

$$DC = 57\%$$

The timer IC can also be used as a monostable multivibrator. Furthermore, if the pulse is made long enough, the monostable circuit can divide the input frequency by some whole number.

## Procedure (Continued)

41. Construct the circuit shown in Figure 6-57.

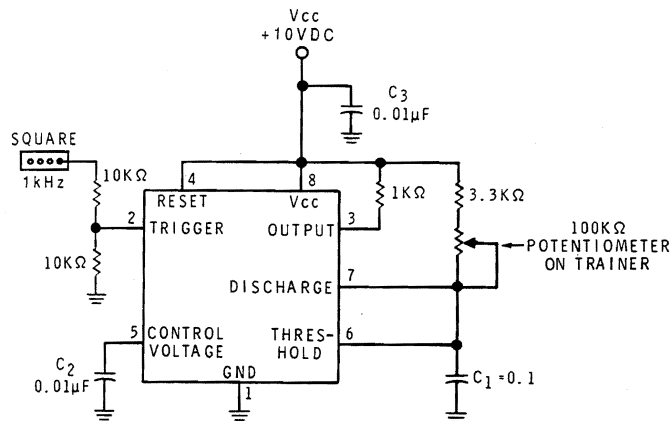


Figure 6-57  
The 555 timer connected as a  
monostable circuit.

42. Set the 100 kilohm potentiometer for minimum resistance.
43. Set the generator frequency to 1 kilohertz. Adjust the oscilloscope so that it displays exactly ten full cycles of the input waveform.
44. Using your oscilloscope, view the waveform at pin 3 of the timer. Verify that the output frequency is the same as the input frequency.
45. Very slowly turn the 100 kilohm potentiometer towards maximum resistance. Notice that the width of the positive pulse increases.
46. Continue to turn the potentiometer until the pulse width is greater than the time for one cycle of the input. It may be necessary to stabilize the synchronization of the display. However, you should do this without changing the time-base controls. How many pulses are now visible on the trace? \_\_\_\_\_. What is the relationship between the input and output frequency?
47. Continue to turn the potentiometer toward maximum resistance. See if you can achieve division by three, four, etc.

## Discussion

Normally, the monostable circuit produces one output pulse for each input pulse. As you demonstrated in Step 45, the pulse width of the output can be changed by increasing the resistance through which  $C_1$  charges. If the pulse width is increased to the point that it is longer than one cycle of the input frequency, division results. First, the frequency is divided by two. But as the pulse width is increased further, division by three, four, five and even higher is possible.

## RAMP GENERATORS

In electronics, a ramp is that part of a waveform that changes linearly with time. Figure 6-58 shows three different types of ramp waveforms. The first is called a sawtooth because of its appearance. This type of waveform is used to sweep the electron beam across the screen of an oscilloscope. It may also be used to sweep an oscillator through a range of frequencies.

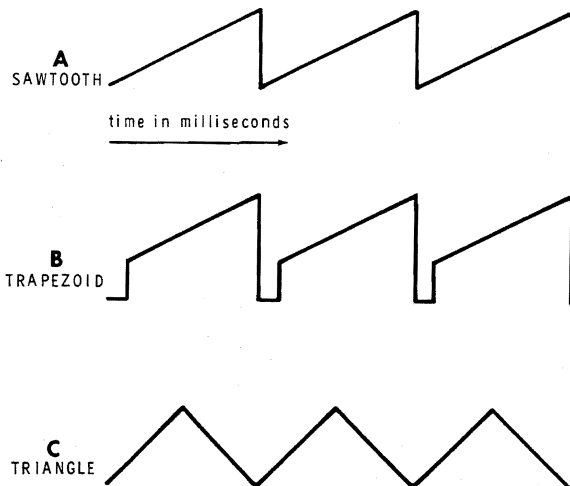


Figure 6-58  
Ramp waveforms.

The trapezoid waveform shown in Figure 6-58B also has a ramp portion. This type of waveform is used in radar indicators and TV receivers to sweep the electron beam across the screen.

The triangle waveform shown in Figure 6-58C contains both a positive-going and a negative-going ramp. This type of waveform is used in digital voltmeters and other types of analog-to-digital converters.

Circuits which produce waveforms of this type are often called integrators. This name is derived from a mathematical operation called integration.

### Forming the Ramp

A ramp is formed by charging or discharging a capacitor at a linear rate. Figure 6-59A shows a simple RC circuit which can convert a square wave to a crude triangle wave. Figure 6-59B shows the square wave input. When the input jumps positive at time  $T_0$ ,  $C_1$  begins charging through  $R_1$ . The time required for  $C_1$  to charge is determined by the  $R_1C_1$  time constant. If the time constant is relatively short, the output voltage taken across the capacitor will appear as shown in Figure 6-59C. The reason for this is that the capacitor does not charge linearly. You may recall that a



capacitor charges to over 63% of its applied voltage during the first time constant. However, it only charges an additional 23% during its next time constant. Thus, the charge on the capacitor will be:

0 volts initially;  
 6.32 volts after 1 time constant;  
 8.65 volts after 2 time constants;  
 9.5 volts after 3 time constants;  
 9.82 volts after 4 time constants;  
 9.93 volts after 5 time constants; etc.

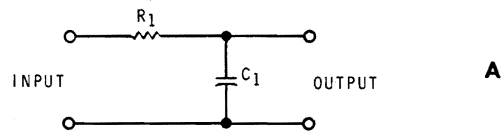
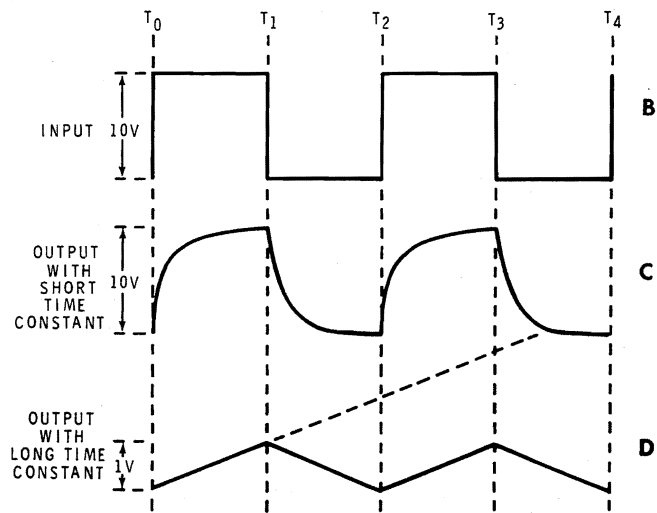


Figure 6-59

Simple RC ramp generator and its waveforms.



When the input waveform jumps back to its original level at time  $T_1$ , the capacitor begins to discharge. Here again the discharge results in a non-linear negative-going output. The waveform shown in Figure 6-59C is a very crude triangle wave. Neither the positive-going nor the negative-going ramp is linear. This type of waveform would be unsuitable for most applications requiring a ramp.

The circuit shown in Figure 6-59A can be made to produce a fairly linear ramp if the RC time constant is greatly increased. Assume that the value of  $R_1$  and  $C_1$  are increased so that  $C_1$  charges to only 1 volt between times  $T_0$  and  $T_1$ . In this case, the output voltage will be much lower in amplitude. However, the waveform produced will be fairly linear. Figure 6-59D shows that the output will be a low voltage triangle waveform with linear ramps. Let's see why the ramps are now linear.

Figure 6-60A shows the universal time constant curve. Figure 6-60B shows a magnified view of a small portion of the curve. In our example, the applied voltage is 10 volts, but the time constant is so long that  $C_1$  can charge to only 1 volt. Thus, the charge of  $C_1$  is restricted to a 10% portion of the charge curve. As Figure 6-60B shows that a 10% segment of the curve is much more linear than the overall curve. By restricting the charge to a small segment of the curve, a nearly linear ramp can be formed.

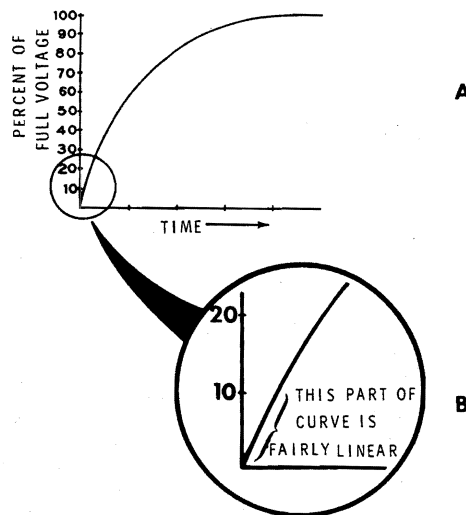


Figure 6-60

The first 10 percent of the charge curve is nearly linear.

## Operational Amplifier Integrator

Another way to produce a ramp is to charge a capacitor with a constant current. If the current flowing into and out of a capacitor can be held constant, the voltage across the capacitor will increase linearly. The trick is to hold the current constant. In most circuits, the current tends to decrease as the capacitor charges. This is what causes the familiar time constant curve. One way to hold the current constant is to use an operational amplifier as shown in Figure 6-61A.

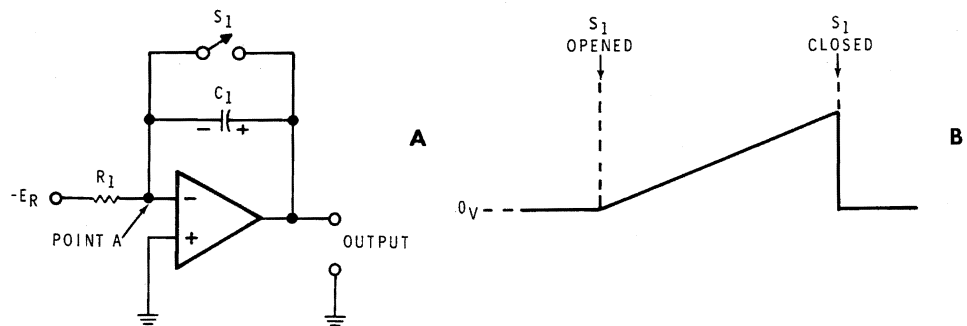


Figure 6-61

Basic integrator circuit and its output waveform.

The input to the stage is a DC reference voltage ( $E_R$ ). For simplicity,  $S_1$  is shown as a mechanical switch. In reality, it is generally an electronic switch of some type.  $C_1$  is connected in the feedback path between the output and the inverting input of the op amp. The operation of the circuit is easy to understand if you remember the two principles discussed in an earlier unit. First, the feedback voltage is such that point A is a virtual ground. Second, no current can flow into or out of the inverting input of the op amp.

Assume that  $S_1$  is closed, that  $E_R$  is  $-1$  volt, and that  $R_1$  is a 1 megohm resistor. With  $S_1$  closed,  $C_1$  is shorted so the capacitor completely discharges through the switch. The output will be at 0 volts because it is shorted to the virtual ground at point A. A current flows from  $E_R$  through  $R_1$  and  $S_1$  to the output terminal of the op amp. The value of this current is

$$I = \frac{E_R}{R_1} = \frac{-1 \text{ volt}}{1 \text{ M}\Omega} = 1 \mu\text{A}$$

Now, assume that  $S_1$  is momentarily opened. To hold point A at virtual ground, the  $1 \mu\text{A}$  of current must continue to flow. However, the only path for current flow is "through"  $C_1$ . A 1 microampere of current flows into the left plate of  $C_1$  and out of the right plate. This begins charging the capacitor to the polarity shown. Because the current is constant at 1 microampere, the voltage across the capacitor increases linearly as shown in Figure 6-61B. The ramp will be linear as long as the output voltage does not reach its maximum value. Normally, the

ramp is terminated before it reaches this point. This is accomplished by closing the switch and allowing the capacitor to discharge. If the switch is then reopened, the charge will begin once more. By opening and closing the switch in a regular pattern, a recurring positive sawtooth waveform is produced at the output.

When a negative-going sawtooth is required, the polarity of the reference voltage ( $E_R$ ) is reversed. If  $E_R$  is changed to +1 volt, the current will still be constant at 1 microampere but the direction of current flow is reversed. This charges  $C_1$  to a negative voltage with respect to ground. Thus, the ramp will start at 0 volts and be negative-going.

For specific values of  $R_1$  and  $C_1$ , the slope of the ramp is determined by the magnitude of  $E_R$ . If  $E_R$  is increased, a greater current flows through  $R_1$  and  $C_1$ . This charges  $C_1$  more rapidly producing a steeper ramp.

The integrator is often used to produce a triangle waveform. The circuit and its associated waveforms are shown in Figure 6-62. The switch is removed and the input is changed to a rectangular waveform. Such a waveform can be produced by any one of the methods described earlier. The integrator converts the rectangular input waveform to a triangle waveform.

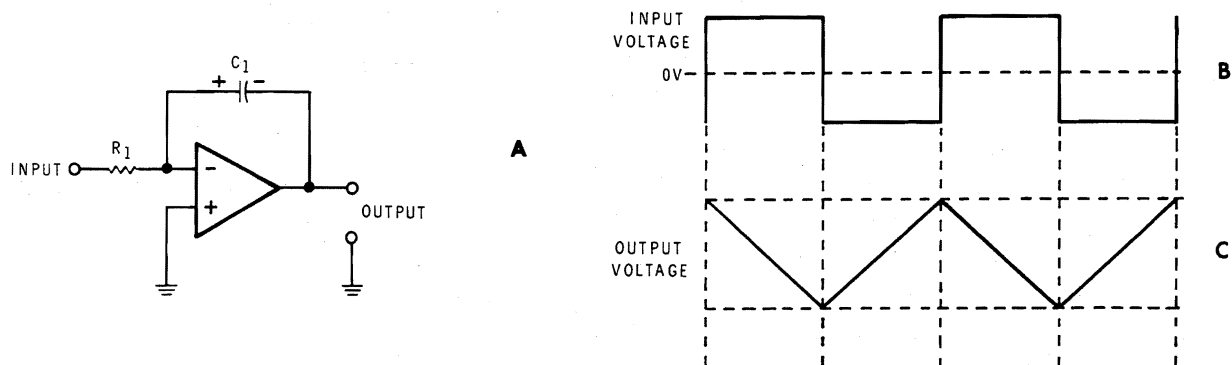


Figure 6-62

Triangle-wave generator and its waveforms.

When the input waveform swings positive, current flows from the output terminal through  $C_1$  and  $R_1$  to the positive input voltage. This charges  $C_1$  to the polarity shown. The magnitude of the current is determined by the input voltage and  $R_1$ . Because this current is constant, a linear, negative-going ramp is formed. When the input swings negative, the direction of the current reverses and the positive-going ramp is formed.

## Sawtooth Generator

Another version of a ramp generator is shown in Figure 6-63A. This one is driven by a rectangular waveform and the output is a negative-going ramp.

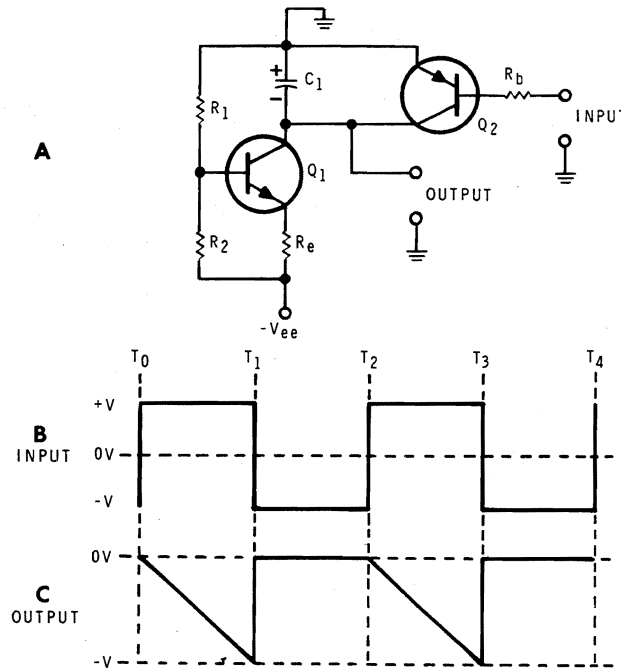


Figure 6-63

Sawtooth generator and its waveforms.

Here again the method used is to charge a capacitor with a constant current.  $C_1$  is the capacitor which develops the ramp.  $Q_1$ ,  $R_1$ ,  $R_2$ , and  $R_e$  form a constant current source.  $Q_2$  and  $R_b$  form an electronic switch which periodically shorts the capacitor allowing it to discharge.

$R_1$  and  $R_2$  develop a reference voltage at the base of  $Q_1$ . This voltage minus the  $V_{BE}$  drop of  $Q_1$  is developed at the emitter of  $Q_1$ . Thus, the voltage across  $R_e$  is held constant. Consequently the current through  $R_e$  is constant. This constant current flows to the collector of  $Q_1$ .

$Q_2$  is a PNP transistor. A rectangular input waveform is applied to its base. As shown in figure 6-63B, this waveform swings above and below 0 volts.

At time  $T_0$ , the input swings positive enough to cut off the PNP transistor. Thus, during the period from  $T_0$  to  $T_1$ , the current from  $Q_1$  flows into the capacitor. The capacitor charges to the polarity shown. As long as the current is constant, the voltage across  $C_1$  builds up in a linear manner as shown in Figure 6-63C. This forms a very linear negative-going ramp at the output.

Of course, the voltage across the capacitor cannot continue to increase indefinitely. At some point, the voltage across the capacitor will approach the base voltage of  $Q_1$ . At this point,  $C_1$  will cease to charge and the output voltage will level off. To prevent this from happening, the period of the input waveform must be short enough to prevent the capacitor from charging to the base voltage.

At time  $T_1$ , the input waveform swings negative, forcing  $Q_2$  to conduct. When  $Q_2$  conducts, it acts like a short circuit across  $C_1$ .  $C_1$  immediately discharges through  $Q_2$  and the output voltage falls to nearly 0 volts. From time  $T_1$  to time  $T_2$ , the negative input voltage holds  $Q_2$  saturated. This diverts the current from  $Q_1$  around  $C_1$ . The output remains near 0 volts for this period of time.

At time  $T_2$ , the input voltage again swings positive. This cuts  $Q_2$  off allowing  $C_1$  to begin its linear charge once more. The frequency of the sawtooth is determined by the input waveform. However, the slope of the ramp is determined by the current value and the value of  $C_1$ . A steep ramp is caused by relatively large values of current or by a small value capacitor.

## Programmed Review

41. A waveform which increases or decreases linearly with time is called a ramp. The sawtooth, trapezoidal, and triangle wave shapes are examples of \_\_\_\_\_ waveforms.
42. (ramp) Circuits which produce ramps perform a mathematical operation called integration. For this reason, these circuits are often called \_\_\_\_\_.
43. (integrators) The simplest form of integrator is an RC circuit. Such a circuit can convert the rectangular waveform to a linear ramp if the time constant is \_\_\_\_\_ compared to the period of the input waveform.  
long/short
44. (long) When a capacitor is charged from a constant voltage, the ramp will be \_\_\_\_\_ if the capacitor is allowed to charge all the way to the applied voltage.  
linear/non-linear
45. (non-linear) For this reason, the charge of the capacitor is normally restricted to a small segment of the charge curve. This produces a fairly linear ramp that is very \_\_\_\_\_ in amplitude.  
low/high
46. (low) A more practical way to produce a linear ramp is to charge a capacitor with a constant \_\_\_\_\_.
47. (current) The op amp integrator uses this approach. A \_\_\_\_\_ is used in the feedback path.
48. (capacitor) This capacitor is charged or discharged with a constant current. To form a triangle waveform, the input to the circuit is a \_\_\_\_\_ waveform.

49. (rectangular or square) Figure 6-64 shows how a sawtooth waveform can be produced with the operational amplifier. The charging current is determined by  $E_R$  and  $R_1$ . It is \_\_\_\_\_ microampere.

50. (100) When  $S_1$  is \_\_\_\_\_, this current charges  $C_1$ .  
open/closed

51. (open) During this time, the output will be a \_\_\_\_\_ ramp.  
positive-going/negative-going

52. (negative-going) The ramp can be terminated by momentarily closing  $S_1$ . This allows the capacitor to \_\_\_\_\_ quickly.

53. (discharge) Figure 6-65 shows another type of sawtooth generator. Here  $R_1$ ,  $R_2$ ,  $R_3$ , and  $Q_1$  form the \_\_\_\_\_.

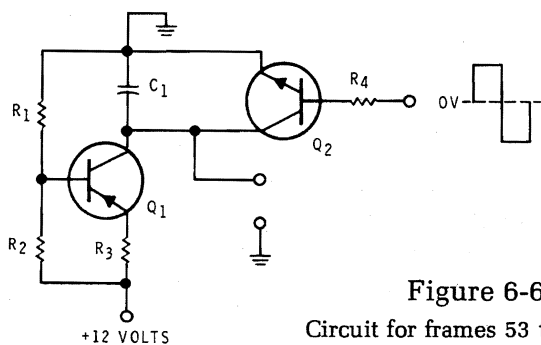


Figure 6-65  
Circuit for frames 53 through 56.

54. (current source) The input is a rectangular wave which is applied to the base of  $Q_2$ . When this waveform swings negative,  $Q_2$  \_\_\_\_\_.  
cuts off/conducts

55. (cuts off) When  $Q_2$  cuts off, the current flows into  $C_1$ , producing a \_\_\_\_\_-going ramp.  
positive/negative

56. (positive) The ramp is terminated when the input waveform swings positive. This allows \_\_\_\_\_ to conduct discharging  $C_1$ .

( $Q_2$ ).

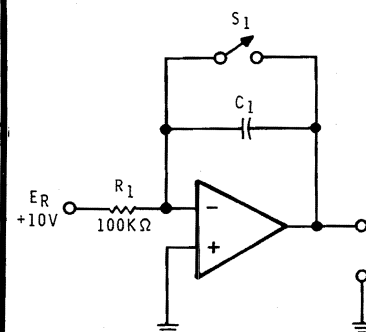


Figure 6-64  
Circuit for frames 49 through 52.



## EXPERIMENT 17

### Ramp Generators

**OBJECTIVE:**

*Demonstrate the operation of circuits which can produce linear ramps.*

#### Introduction

There are two basic methods of producing linear ramps. The first involves charging a capacitor from a constant voltage. Using this method, the ramp is linear only if the charging of the capacitor is limited to a small portion of the charge curve. The second method involves charging a capacitor with a constant current. If the current is constant, the charge will build up in a linear manner. Thus, the voltage across the capacitor will be a linear ramp. This experiment demonstrates these two methods of forming a ramp. **Read the entire procedure before performing this experiment.**

#### Material Required

Heathkit Analog Trainer

Multimeter

Oscilloscope (dual channel preferred)

1—741C Operational amplifier (442-22)

1—NPN transistor (417-801) MPSA20

1—PNP transistor (417-235) 2N4121

1—0.68 microfarad capacitor

2—0.1 microfarad capacitors

1—0.0022 microfarad capacitor

2—10 kilohm resistors (brown-black-orange-gold)

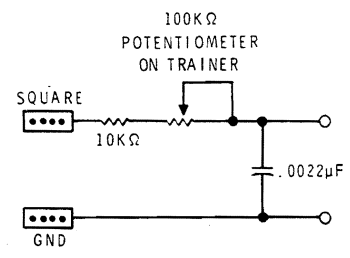
1—100 kilohm resistor (brown-black-yellow-gold)

1—1 megohm resistor (brown-black-green-gold)

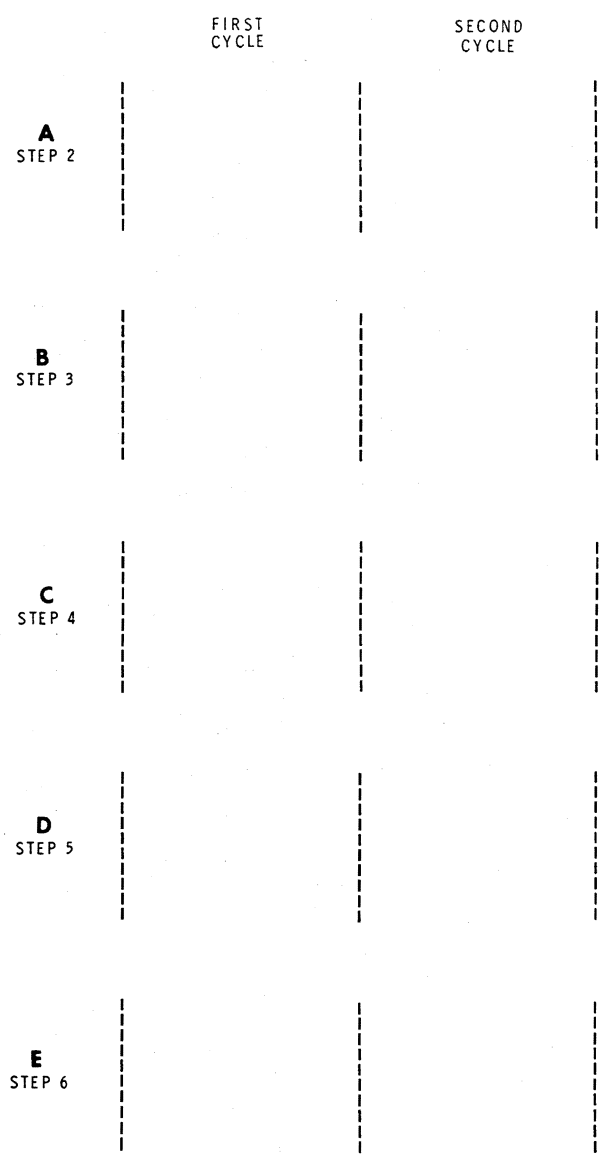
1—100 ohm resistor (brown-black-brown-gold)

**Procedure**

1. Construct the circuit shown in Figure 6-66. Turn on the Trainer and set the generator frequency to 1000 hertz.
2. Set the potentiometer for maximum resistance. Using the oscilloscope, view the rectangular wave input to the RC circuit. Draw two cycles of the waveform in Figure 6-67A. What is the time of one cycle of the 1000 hertz waveform? \_\_\_\_\_ microseconds. What is the time of the positive portion of the waveform? \_\_\_\_\_ microseconds.



**Figure 6-66**  
Circuit for Steps 1 through 4.



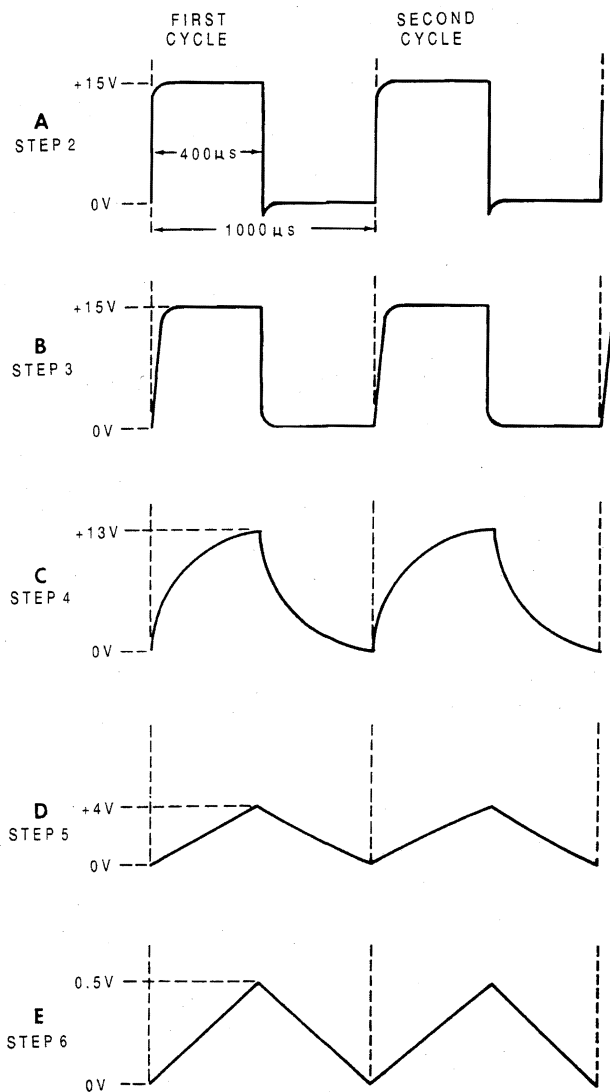
**Figure 6-67**  
Draw waveshapes here.

3. Set the potentiometer for minimum resistance. View the output with your oscilloscope. Draw two cycles of the output waveform in Figure 6-67B. How much resistance is now in series with the capacitor? \_\_\_\_\_ ohms. What is the RC time constant? \_\_\_\_\_ microseconds. Does the capacitor have time to charge to the peak of the rectangular wave during the positive portion of the input waveform? \_\_\_\_\_. Compare the amplitude of the input waveform with that of the output waveform.
4. Set the potentiometer for maximum resistance. View the output. Draw two cycles of the output waveform in Figure 6-67C. How much resistance is now in series with the capacitor? \_\_\_\_\_ ohms. Compute the RC time constant. \_\_\_\_\_ microseconds. Can the capacitor charge to the positive peak of the input wave? \_\_\_\_\_. Compare the amplitude of the input waveform with that of the output. Compare the shape of the output waveform with that of the input and with that of the output waveform drawn in Step 3.
5. Replace the 0.0022 microfarad capacitor with a 0.1 microfarad capacitor. Set the potentiometer for minimum resistance. Draw two cycles of the output waveform in Figure 6-67D. What is the RC time constant? \_\_\_\_\_ microseconds. Which is longer, one time constant or the positive portion of the input waveform? \_\_\_\_\_. Does the capacitor have time to charge to the peak of the input wave? \_\_\_\_\_. Compare the amplitude of the output with that of the waveforms drawn in Steps 2, 3, and 4. Compare the linearity of the output with that of the waveforms drawn in Steps 2, 3, and 4.
6. Set the potentiometer for maximum resistance. Draw two cycles of the output waveform in Figure 6-67E. What is the time constant? \_\_\_\_\_ Compare the amplitude and linearity of the output with that of the waveforms drawn earlier.
7. From the above steps, it is obvious that, as the RC time constant increases, the amplitude of the output \_\_\_\_\_ and the linearity of the ramp \_\_\_\_\_. Turn off the Trainer.  

increases/decreases
increases/decreases

### Discussion

The circuit is a simple RC integrator. When the input waveform is set to 1000 Hz, it should have the appearance shown in Figure 6-68A. The time given for the positive portion of the rectangular wave is typical.



**Figure 6-68**  
Waveforms observed in Steps 1 through 6.

In Step 3, you set the potentiometer for minimum resistance. This left only 10 kilohm of resistance in series with the capacitor, thus, the time constant is

$$TC = RC$$

$$TC = 10 \text{ k}\Omega \times 0.00022 \text{ }\mu\text{F}$$

$$TC = 22 \text{ }\mu\text{s}$$

The time constant is quite short compared to the positive input pulse. The capacitor has time to charge to the full input voltage. The time constant is so short that it adds only a slight curvature to the edges of the input square wave. A typical output waveform is shown in Figure 6-68B.

In Step 4 you increased the resistance in series with the capacitor to 110 kilohm. This increases the time constant to

$$TC = RC$$

$$TC = 110 \text{ k}\Omega \times 0.0022 \text{ }\mu\text{F}$$

$$TC = 242 \text{ }\mu\text{s}$$

The time constant approaches the time for the positive pulse. The capacitor cannot completely charge to the positive input voltage in the time allotted. Thus, the amplitude of the output is slightly less than the amplitude of the input. As shown in Figure 6-68C, the output ramp has the general shape of the familiar RC time constant curve.

In Step 5 you replaced the 0.0022 microfarad capacitor with a 0.1 microfarad capacitor. You then set the series resistance to 10 kilohm. The resulting time constant is

$$TC = RC$$

$$TC = 10 \text{ k}\Omega \times 0.1 \text{ }\mu\text{F}$$

$$TC = 1000 \text{ }\mu\text{s}$$

Here, the time constant is longer than the positive input pulse. Thus, the capacitor charges to only a fraction of the input voltage. This reduces the amplitude of the output. However, by restricting the charge of the capacitor to a small portion of the charge curve, the linearity of the ramp is increased. A typical output waveform is shown in Figure 6-68D.

In Step 6, you increased the time constant further to

$$TC = RC$$

$$TC = 110 \text{ k}\Omega \times 0.1 \text{ }\mu\text{F}$$

$$TC = 11,000 \text{ }\mu\text{s}$$

During the relatively short positive input pulse, the capacitor can charge to only a small fraction of the applied voltage. Again the amplitude is reduced but the linearity is improved even further. Figure 6-68E shows the final output waveform drawn to a different scale than the previous waveforms. Notice that both the positive and negative-going ramps are very linear.

A disadvantage of this approach is that the amplitude of the output is quite low. A better approach is to charge the capacitor with a constant current.

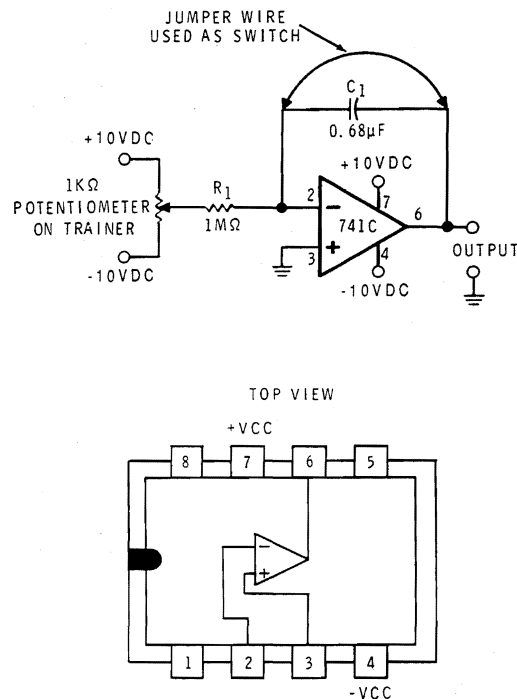


Figure 6-69

Circuit for Steps 8 through 14.

### Procedure (Continued)

8. Turn on the Trainer and adjust the (+) and (-) power supply voltage controls for 10 VDC. Turn off the Trainer. Construct the circuit shown in Figure 6-69. Notice that  $C_1$  is initially shorted by a jumper wire.
9. Turn on the Trainer. Adjust the 1 kilohm potentiometer so that the voltage between the wiper and ground is exactly +1 VDC.
10. How much current flows through  $R_1$ ? \_\_\_\_\_.
11. Switch your oscilloscope to its slowest sweep speed. Connect channel 1 between pin 6 of the op amp and ground. Switch the AC/DC/GND switch to DC. Set the VOLTS/CM control for 5 volts/centimeter.

12. Disconnect one end of the jumper wire from  $C_1$ . Notice the trace on the oscilloscope. The trace very slowly/quickly deflects in the negative/positive direction.
13. Reconnect the jumper wire around  $C_1$ . Once again disconnect one end of the jumper wire. Estimate the time required for the trace to deflect. \_\_\_\_\_ seconds.
14. Reconnect the jumper wire around  $C_1$ . Set the voltage at the wiper terminal of the potentiometer to + 2 volts. Disconnect one end of the jumper. Estimate the time required for the trace to deflect. \_\_\_\_\_ seconds. Increasing the input voltage has what effect on the slope of the ramp?  
\_\_\_\_\_
15. Reconnect the jumper wire around  $C_1$ . Set the voltage at the wiper of the potentiometer to -2 volts. Disconnect one end of the jumper. The trace deflects in the \_\_\_\_\_ direction.  
negative/positive
16. Replace the 1 megohm resistor ( $R_1$ ) with a 100 kilohm resistor. Repeat step 15. What effect does this have on the slope ramp?  
\_\_\_\_\_

Turn off the Trainer.

## Discussion

In this part of the experiment, you charged a capacitor with a constant current. In Step 9 you adjusted the input voltage to + 1 volt. This develops a current of:

$$I = \frac{E}{R} = \frac{1 \text{ V}}{1 \text{ M}\Omega} = 1 \mu\text{A}$$

This current flows from the output terminal of the op amp through the jumper and  $R_1$  to the input voltage. When the jumper is removed from around  $C_1$ , the current flows through  $C_1$ , slowly charging the capacitor negative with respect to ground. The negative ramp continues until the output of the op amp reaches its negative extreme. The 1 microampere current charges  $C_1$  to this level in about 7 seconds.

In Step 14 you increased the input voltage to +2 volts. This doubles the charging current and cuts the charging time in half.

In Step 16, you reversed the polarity of the input voltage. This reversed the direction of the current through  $C_1$ . Consequently, a positive-going ramp was formed.

Finally, you decreased the value of  $R_1$  to 100 kilohm. This increased the charging current and decreased the charging time by a factor of ten.

In this part of the experiment, the jumper acted like a switch across  $C_1$ . If the switch is opened and closed at regular intervals, recurring sawtooth waveforms will result. In practical circuits, the mechanical switch is replaced with an electronic equivalent.

By changing the circuit configuration, we can generate ramps from a rectangular waveform.

### Procedure (Continued)

- Construct the circuit shown in Figure 6-70. Set the generator frequency to 1 kHz.

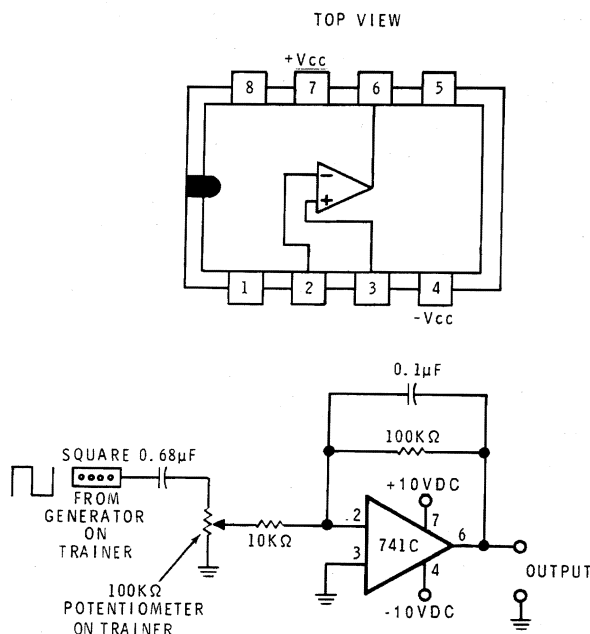


Figure 6-70  
Circuit for Steps 17 through 20.

- Connect channel 1 of the oscilloscope between the wiper terminal of the potentiometer and ground. Adjust the potentiometer until the rectangular waveform at this point is 7 volts peak-to-peak.



19. Draw two cycles of the input waveform in Figure 6-71A.
20. Connect channel 2 of the oscilloscope between pin 6 of the op amp and ground. Draw two cycles of the output waveform in Figure 6-71B. Turn off the Trainer.

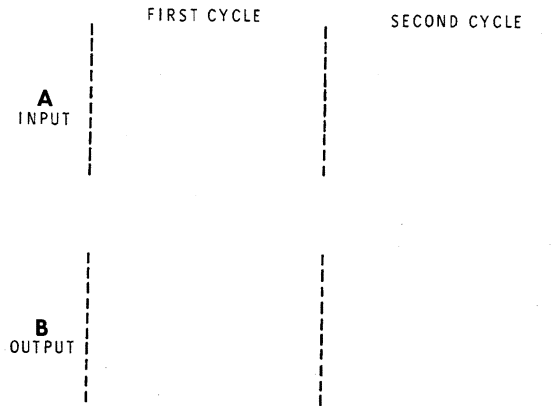


Figure 6-71  
Draw the input and output waveforms.

### Discussion

This circuit converts the rectangular wave input to a linear triangle waveform. When the input swings positive, a constant current flows from pin 6 of the op amp through  $C_1$ . This charges  $C_1$  and develops a negative-going ramp at the output. When the input swings negative, the output must be a positive-going ramp in order to force the current through  $C_1$  in the opposite direction. Figure 6-72 shows typical input and output waveforms.

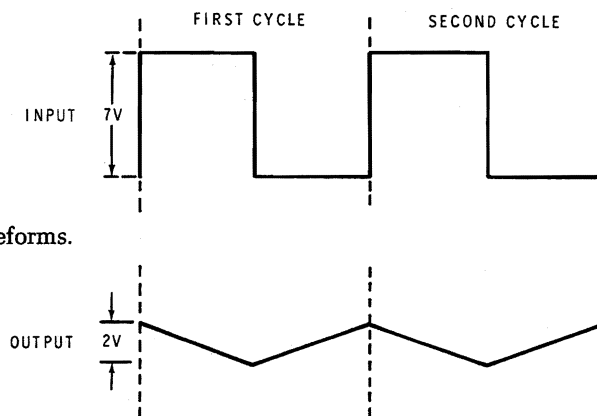


Figure 6-72  
Typical input and output waveforms.

### Procedure (Continued)

21. Turn on the Trainer and insure that the (-) power supply is 10 VDC. Turn off the Trainer. Construct the circuit shown in Figure 6-73. Set the generator frequency to 1 kilohertz.

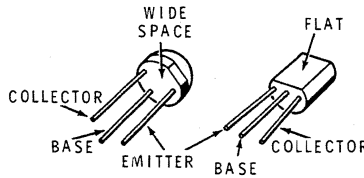
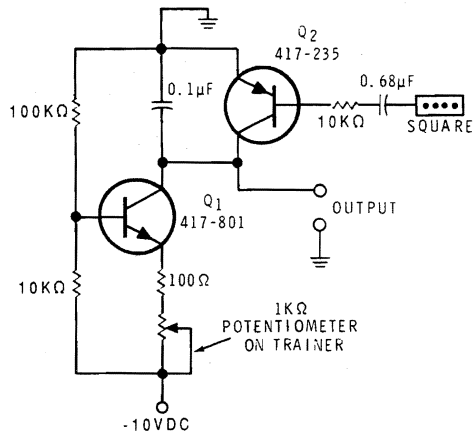


Figure 6-73  
Circuit for Steps 21 through 24.

22. Set the 1 kilohm potentiometer for minimum resistance. View the input waveform with the oscilloscope. Draw two cycles of the input waveform in Figure 6-74A.

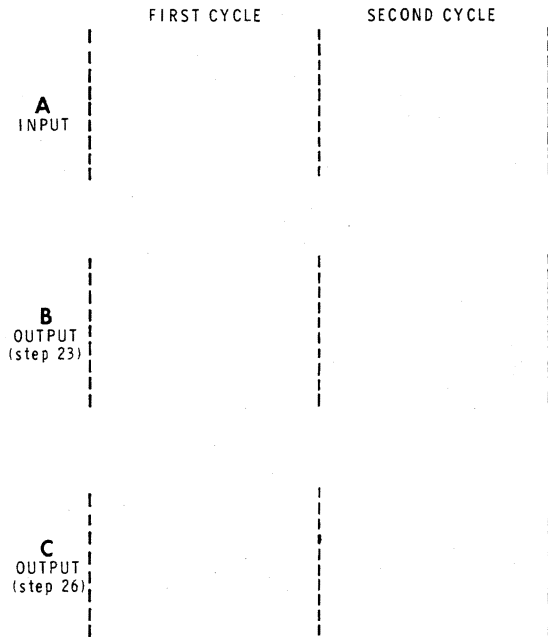


Figure 6-74  
Draw input and output waveforms.

23. View the output waveform with the oscilloscope. Draw two cycles of the output waveform in Figure 6-74B.
24. While viewing the output waveform, slowly turn the 1 kilohm potentiometer towards maximum resistance. What effect does this have on the output waveform?

Why? \_\_\_\_\_

\_\_\_\_\_

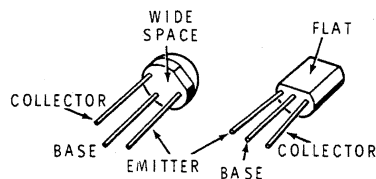
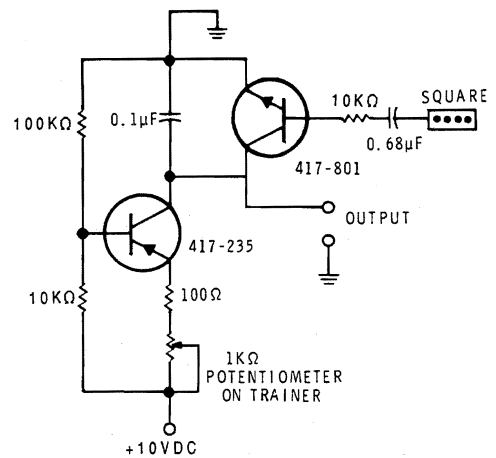


Figure 6-75  
Circuit for Steps 25 and 26.



25. Adjust the (+) power supply for 10 VDC. Turn off the Trainer. Construct the circuit shown in Figure 6-75. Set the generator frequency to 1 kilohertz. Turn on the Trainer.
26. Set the 1 kilohm potentiometer for minimum resistance. Draw two cycles of the output waveform in Figure 6-74C. Compare the two circuits, their inputs, and their outputs.

### Discussion

In this part of the experiment, you constructed two sawtooth generators. The first produced a negative-going ramp. You verified that the frequency of the output is determined by the frequency of the input. You saw that the charging current could be adjusted by changing the resistance in the emitter circuit of  $Q_1$ . This changed the slope, and therefore, the amplitude of the ramp. The second sawtooth generator was very similar to the first except that it produced a positive-going ramp. Figure 6-76 compares the input and outputs of these two circuits. Notice that the positive ramp is higher in amplitude than the negative ramp. The reason for this is that the negative portion of the input signal is longer than the positive portion.

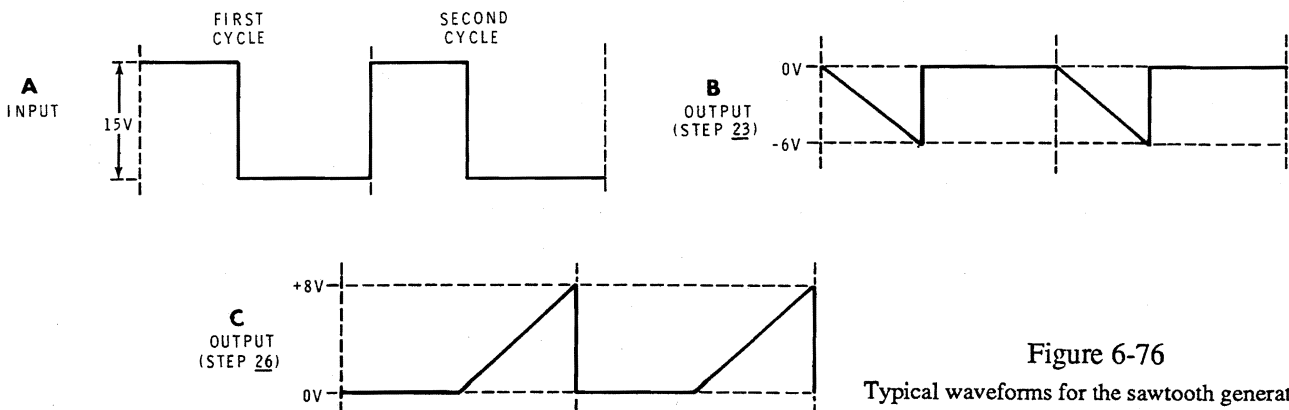


Figure 6-76  
Typical waveforms for the sawtooth generators.

## UNIT SUMMARY

The following is a point by point summary of material presented in this unit.

Waveforms and circuits may be analyzed in the time-domain or in the frequency-domain. Using the time-domain analysis, we are concerned with how voltage or current varies at different instants in time. Using frequency-domain analysis, we are concerned with the amplitude and phase relationships of the various frequencies contained in a waveform.

A basic principle of frequency-domain analysis is that all complex waveforms are made up of sine waves. For example, a square wave consists of a fundamental frequency and an infinite number of odd harmonics. A graph that plots frequency against voltage is called a spectrum.

When dealing with pulse-type waveforms, the following equations are useful:

$$\text{frequency} = \frac{1}{\text{period}}$$

$$\text{Duty cycle} = \frac{\text{Pulse Width}}{\text{Period}}$$

There are many different circuits that can alter the shape of a waveform. A simple RC circuit can distort a square wave. A differentiator usually has a short time constant. It will convert a square wave to negative and positive spikes. An integrator normally has a long time constant. It will convert a square wave to a triangle-shaped waveform.

Diode clippers can clip off that portion of a waveform which extends above or below 0 volts. A series clipper limits the waveform when the diode is cut off. The shunt clipper limits the waveform when the diode conducts. By biasing the diode, the clipping level can be set to any point on the waveform. Transistors and zener diodes can also be used as clippers.

There are three basic types of multivibrators. The astable multivibrator free runs at a frequency determined by RC time constants. The monostable or one-shot multivibrator produces one pulse out for each pulse in. The output pulse width can be set by an RC time constant. The bistable multivibrator has two inputs. It is set to one state by one input. It remains in this state until reset by the other input.

The Schmitt trigger is an important pulse shaping circuit. It can convert sine waves and other varying inputs into pulse waveforms.

The "555 timer" consists of two comparators, a flip-flop, and output stages on a single IC. It can be connected to free-run as an oscillator, to act as a one-shot circuit, or to divide the input frequency.

Ramp waveforms are used in television receivers, oscilloscopes, and digital voltmeters. Ramps are generally formed by charging a capacitor at a linear rate. This can be done by charging a capacitor with a constant voltage so long as the capacitor is not allowed to charge to more than ten percent of the applied voltage. However, a more practical approach is to charge a capacitor from a constant current source. Ramp generators using discrete transistors and operational amplifiers are common.

## UNIT EXAMINATION

The following multiple choice examination is designed to test your understanding of the material presented in this unit. Place a check beside the multiple choice answer (A, B, C, or D) that you feel is most correct. When you have completed the examination, compare your answers with the correct ones that appear after the exam.

1. A square wave is made up of a fundamental frequency and:

- A. A large number of even harmonics.
- B. A large number of both even and odd harmonics.
- C. A large number of odd harmonics.
- D. Its third harmonic.

2. Refer to Figure 6-77. The waveform has a duty cycle of:

- A. 50 microseconds.
- B. 60%.
- C. 0.1667%.
- D. 16.67%.

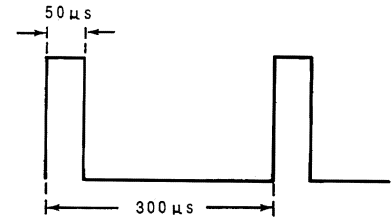


Figure 6-77

Waveform for Question 2.

3. An RC differentiator can change:

- A. A sine wave to a square wave.
- B. A square wave to negative and positive spikes.
- C. A square wave to a sawtooth.
- D. A triangle wave to a sine wave.

4. The RC integrator normally has a:

- A. Long time constant, and the output is taken across the capacitor.
- B. Short time constant, and the output is taken across the resistor.
- C. Long time constant, and the output is taken across the resistor.
- D. Short time constant, and the output is taken across the capacitor.

5. Refer to the circuit and input waveform shown at the top of Figure 6-78. The output waveform will have the appearance shown in:

- A. Figure 6-78A.
- B. Figure 6-78B.
- C. Figure 6-78C.
- D. Figure 6-78D.

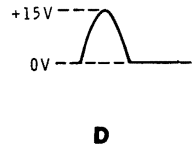
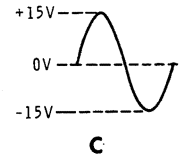
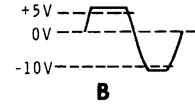
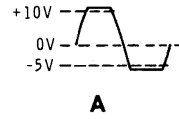
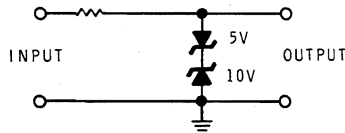
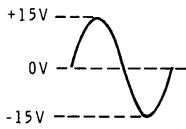


Figure 6-78  
Question 5.

6. Refer to the circuit and input waveform shown at the left of Figure 6-79. The output waveform will have the appearance shown in:

- A. Figure 6-79A.
- B. Figure 6-79B.
- C. Figure 6-79C.
- D. Figure 6-79D.

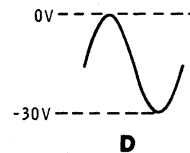
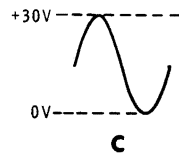
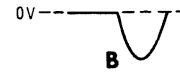
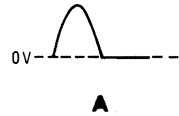
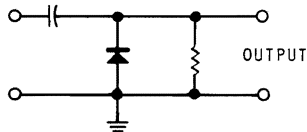
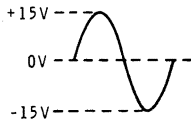


Figure 6-79  
Question 6.

7. Refer to the circuit shown in Figure 6-80. The output frequency will be approximately:

- A. 2000 Hz.
- B. 3571 Hz.
- C. 357 Hz.
- D. 200 Hz.

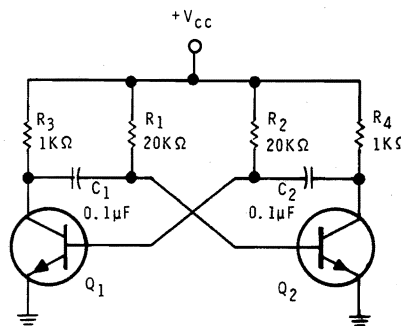


Figure 6-80  
Circuit for Questions 7 and 8.

8. Refer to the circuit shown in Figure 6-80. The duty cycle of the output waveform will be:
- 10%.
  - 25%.
  - 50%.
  - 75%.
9. Which of the following circuit normally has two inputs?
- Monostable multivibrator.
  - Astable multivibrator.
  - Bistable multivibrator.
  - Schmitt trigger.

10. Refer to the circuit shown in Figure 6-81. The width of the output pulse is determined by:

- The width of the input pulse.
- The  $R_2C_1$  time constant.
- The  $R_5C_2$  time constant.
- The  $R_1C_1$  time constant.

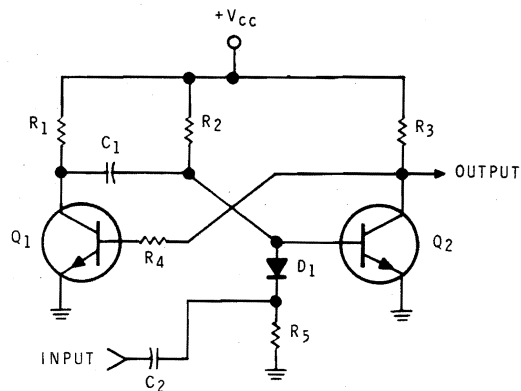


Figure 6-81  
Circuit for Question 10.

11. Refer to Figure 6-82.  $Q_2$  is saturated, placing a logic 0 at output 2. This means that  $Q_1$  is off placing a logic 1 at output 1. In order to change the state of the circuit place:

- Ground at the reset input.
- Ground at the set input.
- $+V_{CC}$  at the reset input.
- Ground at the collector of  $Q_2$ .

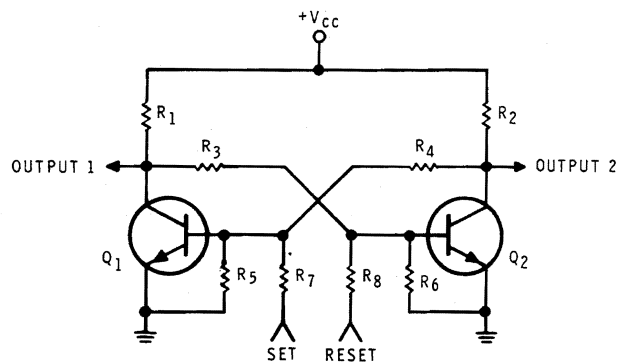


Figure 6-82  
Circuit for Question 11.



12. The Schmitt trigger is:
- An astable circuit that free-runs at a frequency determined by RC time constants.
  - A bistable circuit that can convert sine waves to rectangular waves.
  - An overdriven amplifier that clips all input signals above the hysteresis voltage.
  - A monostable circuit whose output pulse width is determined by an RC time constant.

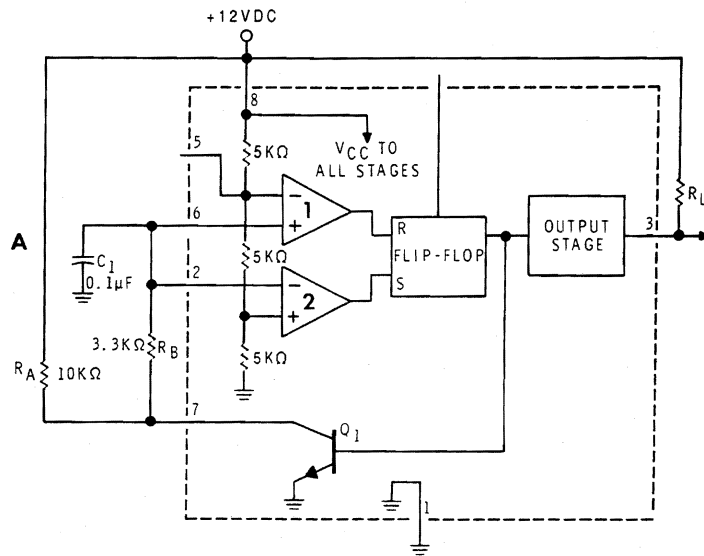


Figure 6-83

Circuit for Questions 13 and 14.

13. Refer to Figure 6-83. With the component values given, what is the output frequency?
- 1075 Hz.
  - 931 Hz.
  - 231 Hz.
  - 861 Hz.
14. Refer to Figure 6-83. Comparator 1 changes states when the voltage across  $C_1$  is:
- 0 V.
  - +12V.
  - +8 V
  - +4 V.

15. An RC integrator can produce a fairly linear ramp. As the RC time constant is increased:
- A. Both the linearity and the amplitude of the ramp increase.
  - B. Both the linearity and the amplitude of the ramp decrease.
  - C. The linearity increases but the amplitude decreases.
  - D. The linearity decreases but the amplitude increases.
16. Refer to Figure 6-84. This circuit will produce a:
- A. Positive-going ramp when  $S_1$  is open.
  - B. Positive-going ramp when  $S_1$  is closed.
  - C. Negative-going ramp when  $S_1$  is open.
  - D. Negative-going ramp when  $S_1$  is closed.

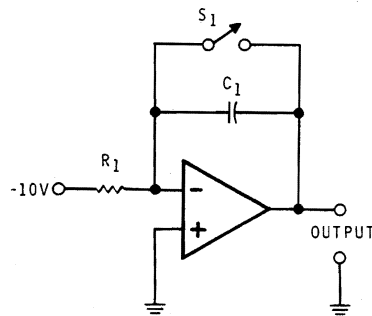


Figure 6-84  
Circuit for Question 16.



## EXAMINATION ANSWERS

1. C — A square wave consists of a fundamental frequency and a large number of odd harmonics.
2. D — Duty cycle =  $\frac{50 \text{ microseconds}}{300 \text{ microseconds}} = 0.1667$  or 16.67%.
3. B — An RC differentiator can change a square wave to negative and positive spikes.
4. A — An RC integrator normally has a long time constant and the output is taken across the capacitor.
5. A — The output will have the appearance shown in Figure 6-78A.
6. C — This is a clamper circuit. The output will have the appearance shown in Figure 6-79C.
7. C —  $f = \frac{1}{1.4RC} = \frac{1}{1.4 (20,000 \Omega, \times 0.0000001)} = \frac{1}{0.0028} = 357 \text{ Hz}$
8. C — Since  $C_1 = C_2$  and  $R_1 = R_2$ , the output waveform will have equal negative-going and positive-going alternations. Thus, the duty cycle will be 50%.
9. C — The bistable multivibrator normally has two inputs (set and reset).
10. B — The width of the output pulse is determined by the  $R_2C_1$  time constant.
11. A — This circuit can be reset by applying ground at the reset input.
12. B — The Schmitt trigger is a bistable circuit that converts sine waves to rectangular waves.

13. D —

$$f = \frac{1.44}{C_1 (R_A + 2R_B)} = \frac{1.44}{0.0000001 (10,000 + 6600)} = \frac{1.44}{0.00166}$$

$$f = 861 \text{ Hz}$$

14. C — Comparator 1 changes state when the voltage across  $C_1$  reaches  $2/3 V_{CC}$ , or +8 volts.
15. C — As the RC time constant is increased, the linearity increases but the amplitude decreases.
16. A — This circuit will produce a positive-going ramp when  $S_1$  is open.

*Unit 7*

**MODULATION**

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## INTRODUCTION

This unit introduces the principles of modulation and demodulation. Modulation is the process of adding information to a carrier. Demodulation is the process of recovering the information from the carrier. The information may be spoken words, music, pictures, or special codes. The carrier is a radio frequency signal which is transmitted from one place to another via wires or space.

The two most popular types of modulation are called amplitude modulation (AM) and frequency modulation (FM). In AM systems, the amplitude of the carrier is varied in accordance with the information. In FM systems, it is the frequency of the carrier that is varied. In this unit, you will study the principles of amplitude and frequency modulation.



## UNIT OBJECTIVES

When you have completed this unit, you should be able to:

1. Explain the advantages, disadvantages, and characteristics of amplitude modulation, single sideband, and frequency modulation.
2. Explain the operation of a basic amplitude modulator, balanced modulator, and frequency modulator.
3. Explain the operation of basic AM and FM detectors.
4. Draw block diagrams of basic AM, SSB, and FM transmitters and receivers.

## UNIT ACTIVITY GUIDE

	Completion Time
<input type="checkbox"/> Read "Amplitude Modulation".	_____
<input type="checkbox"/> Complete Programmed Review Frames 1 through 18.	_____
<input type="checkbox"/> Perform Experiment 18.	_____
<input type="checkbox"/> Read "Other AM Systems".	_____
<input type="checkbox"/> Complete Programmed Review Frames 19 through 31.	_____
<input type="checkbox"/> Read "Frequency Modulation".	_____
<input type="checkbox"/> Complete Programmed Review Frames 32 through 45.	_____
<input type="checkbox"/> Study Summary.	_____
<input type="checkbox"/> Complete Unit Examination.	_____
<input type="checkbox"/> Check Examination Answers.	_____

## AMPLITUDE MODULATION (AM)

In the late 1800's scientists discovered that electrical energy could be transmitted through space. They found that when a high frequency current flows through a conductor, some energy is radiated into space in the form of electromagnetic waves. These waves, which are called radio waves, travel at the speed of light and can be detected at great distances.

### The Radio Wave

Today, radio waves are used to carry information or intelligence of many different types. Audio information such as voice and music are transmitted by thousands of different radio stations. Still pictures are transmitted by the wire services, and moving pictures are transmitted by TV stations.

To avoid interference between the various stations, each station is assigned its own frequency. AM broadcast stations operate at frequencies in the range of 535 kHz to 1605 kHz. TV and FM stations are confined to frequencies above 50 MHz. Thus, one of the important characteristics of a radio wave is its frequency.

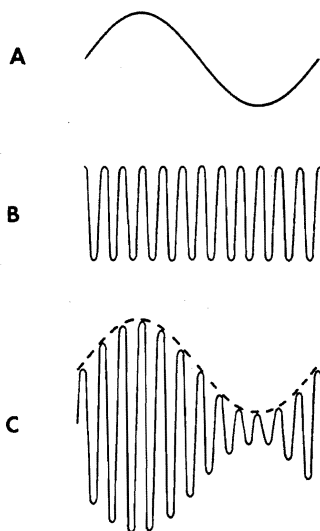


Figure 7-1  
Amplitude modulation.

The frequency at which a station transmits is called its **carrier** frequency. This name is derived from the fact that the transmitted radio waves carry information. Consider a standard AM broadcast station which has an assigned frequency of 1 MHz (1,000 kHz). The human ear responds to frequencies in the audio range (20 Hz to 20,000 Hz). Obviously, the ear cannot respond to the 1 MHz transmitted by the station. However, riding on this 1 MHz carrier is audio information such as voice or music. Your radio receiver recovers this audio information from the carrier and reproduces it as sound.

In AM, the amplitude of a high frequency carrier is varied in accordance with the low frequency information. For example, Figure 7-1A shows one cycle of an audio tone. Figure 7-1B shows a carrier wave which has a much higher frequency. If the carrier is amplitude modulated by the low frequency tone, the resulting waveform might have the appearance shown in Figure 7-1C. Notice that the strength or amplitude of the carrier varies at the same rate as the audio signal. If you look at the envelope shown by the dotted line, you will see a replica of the audio tone.

The radio wave transmitted by every AM radio station has an appearance somewhat like that shown in Figure 7-1C. The high frequency carrier wave is produced by an oscillator. The lower frequency modulation signal is produced by a microphone, record player, or tape machine. These two signals are combined in a stage called a modulator. The result is the amplitude modulated wave shown. To get a better idea of how amplitude modulation works, let's take a look at a very simple modulator.

## The Diode Modulator

A simple circuit for producing amplitude modulation is shown in Figure 7-2. The modulating signal (audio) is applied at the top of  $R_1$  while the high frequency carrier is applied at the top of  $R_2$ . The signal at the junction of  $R_1$  and  $R_2$  is the sum of the carrier and the audio. That is, the carrier is simply riding on the audio signal. Notice that the carrier is not amplitude modulated at this point. It is simply added to the audio signal.

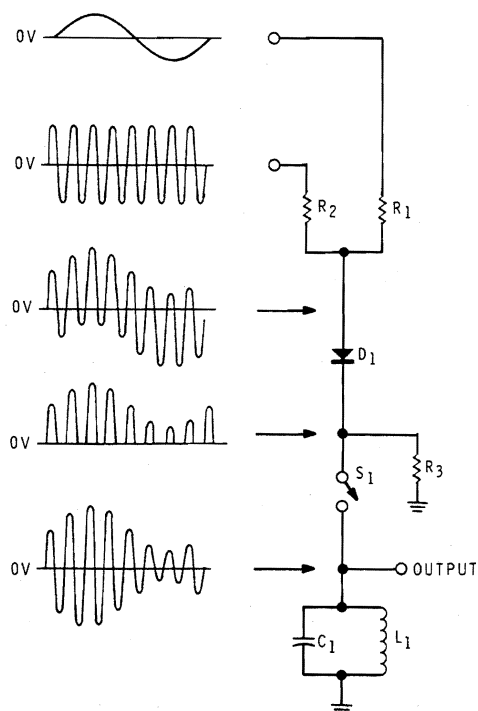


Figure 7-2  
The diode modulator and  
its waveforms.

$D_1$  is a series clipper. When  $S_1$  is open,  $D_1$  conducts through  $R_3$  when the signal on the anode swings positive. However, when this signal swings negative,  $D_1$  cuts off. Thus, the signal developed across  $R_3$  will consist of positive-going pulses as shown. Notice that the positive pulses vary in amplitude in accordance with the audio signal.

$S_1$  is included in the circuit merely for explanation purposes. Normally, it is omitted and the tank circuit composed of  $C_1$  and  $L_1$  is connected directly in parallel with  $R_3$ .

The purpose of the tank circuit, which is tuned to the carrier frequency, becomes clear when  $S_1$  is closed. Each time  $D_1$  conducts, a pulse of current flows through the tank. This causes the tank to resonate, or ring, and the flywheel action of the tank produces a negative half-cycle for each positive input pulse. The high amplitude positive pulses cause high amplitude negative pulses. And, if the  $Q$  of the tank is not too high, the low-amplitude positive pulses cause low-amplitude negative pulses. Therefore, each negative half cycle will have the same amplitude as the positive half cycle. As you can see, the output looks just like the amplitude modulated wave shown earlier in Figure 7-1C. Thus, this simple circuit produces amplitude modulation.

## Sidebands

It might appear that the waveform shown in Figure 7-1C contains only two components; the high frequency carrier wave and the low frequency audio wave. Actually though, there is more to it than that.

Whenever a carrier is subjected to the modulation process, additional frequencies called **sidebands** are generated. To illustrate this point, let's assume that a 1 MHz carrier is amplitude modulated by a 10 kHz tone. The resulting waveform is represented by Figure 7-3A. Notice that the 10 kHz tone appears as the envelope of the carrier. An interesting experiment can be performed on this modulated waveform.

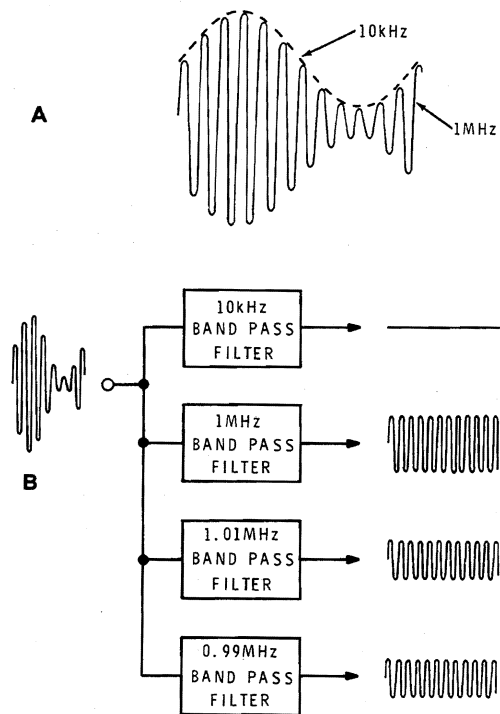


Figure 7-3

Determining the components of  
the modulated waveform.

Our common sense might tell us that we could pass this waveform through a 10 kHz filter and recover the audio. However, when we try this in practice, we find that no audio tone exists at the output of the filter.

Figure 7-3B shows the carrier being applied to four different bandpass filters. The first filter is sharply tuned to 10 kHz. If the modulated carrier has a 10 kHz component, then it should appear at the output. In practice, no such component can be found by simply filtering.

The next filter is sharply tuned to the carrier frequency or 1 MHz. This filter passes the 1 MHz carrier to the output. The carrier wave at the output of this filter will be somewhat lower than the peak amplitude of the input wave. This is true even after we account for any loss caused by the filter. More importantly, the amplitude of the carrier is constant. That is, there is no sign of the amplitude variation which is present at the input. From the above observations we can make several assumptions:

1. The modulated waveform contains no energy in the form of a 10 kHz signal.
2. The carrier contains a large part of the energy of the modulated wave but not all.
3. The carrier is constant in amplitude.
4. The remaining energy must be contained in some frequency other than 1 MHz or 10 kHz.

If we had a tunable bandpass filter, we could search the spectrum and determine what other frequencies are contained within the modulated signal. Doing this, we would find a relatively strong signal at 1.01 MHz. Also, we would find a second signal of the same amplitude at 0.99 MHz. These two signals are called **sidebands**. They can be extracted from the modulated carrier by using sharply tuned bandpass filters as shown in Figure 7-3B.

The higher frequency (1.01 MHz) is called the upper sideband. Its frequency is always equal to the carrier frequency plus the modulating frequency. That is:

$$\text{Upper sideband} = \text{carrier frequency} + \text{modulating frequency.}$$

In our example:

$$1.01 \text{ MHz} = 1 \text{ MHz} + 10 \text{ kHz}$$

Like the carrier, the upper sideband is constant in amplitude. It exhibits none of the amplitude variations found in the modulated waveform.

The lower frequency (0.99 MHz) is called the lower sideband. Its frequency is equal to the carrier frequency minus the modulating frequency. In this case:

$$0.99 \text{ MHz} = 1 \text{ MHz} - 10 \text{ kHz.}$$

This sideband also has a constant amplitude.

From above, we may conclude that the process of amplitude modulating a carrier wave produces two sidebands. The resulting amplitude-modulated wave contains a constant amplitude carrier, a constant amplitude upper sideband, and a constant amplitude lower sideband.

At this point a question arises. If the signals that make up the waveform are all constant in amplitude, why does the resulting waveform vary in amplitude? The reason for this is easy to understand if we look at several cycles of the carrier and its sidebands. Figures 7-4A, B, and C show the upper sideband, the carrier, and the lower sideband respectively. The carrier is twice the amplitude of either sideband.

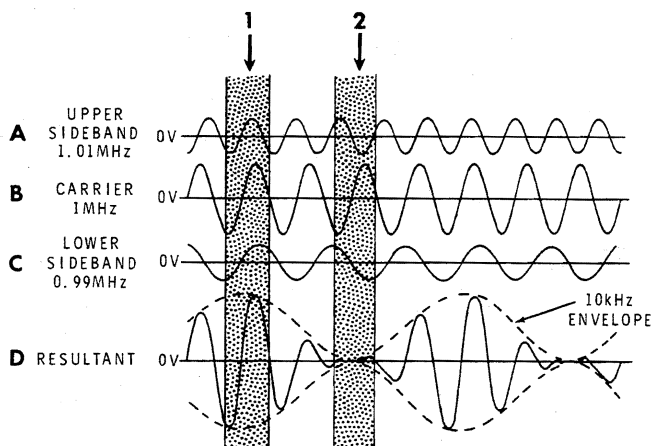


Figure 7-4

The constant amplitude sidebands and carrier form a resultant waveform that varies in amplitude.



Look at the phase relationship of the carrier and sidebands during the shaded area labeled 1. For a brief period, all three signals are more or less in phase. The three waveforms add and produce a resultant wave which is about twice the amplitude of the carrier. The resultant waveform is shown in Figure 7-4D.

In the shaded area 2, the two sidebands are in phase with each other but 180° out of phase with the carrier. Thus, the three signals tend to cancel. The amplitude of the resultant waveform drops off to practically nothing.

Notice that the resultant waveform varies in amplitude at a regular rate forming the envelope. The envelope frequency is the difference between either sideband frequency and the carrier frequency. It is also the intelligence that produced the modulation in the first place.

To be certain you understand the frequency relationship, let's take another example. Let's assume that a 5 kHz audio signal is used to amplitude modulate a 100 kHz carrier. Figures 7-5A and B show the 5 kHz audio signal and the 100 kHz carrier respectively. The resultant wave is shown in Figure 7-5C. This waveform is made up of a 100 kHz carrier (Figure 7-5E), an upper sideband (Figure 7-5D), and a lower sideband (Figure 7-5F). The upper sideband frequency is:

$$100 \text{ kHz} + 5 \text{ kHz} = 105 \text{ kHz}$$

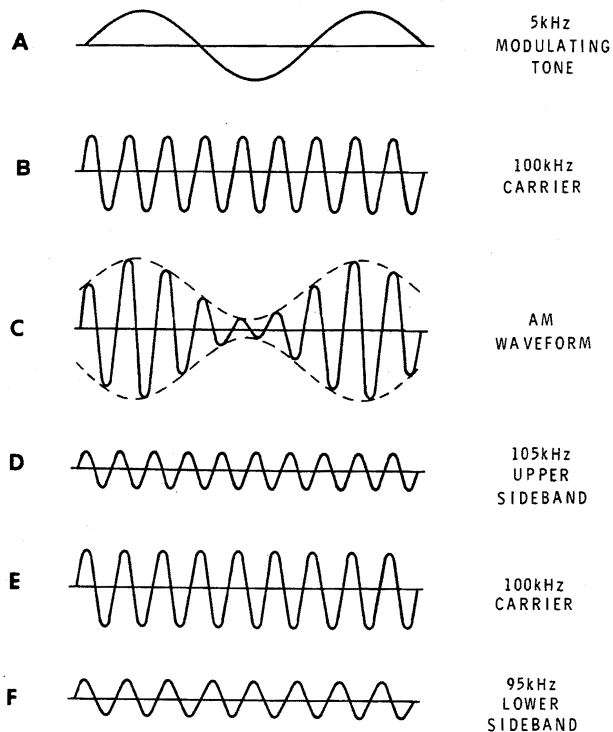


Figure 7-5  
The frequency relationships  
in an AM waveform.

The lower sideband frequency is

$$100 \text{ kHz} - 5 \text{ kHz} = 95 \text{ kHz.}$$

Thus, the frequency spectrum of the AM wave can be represented as shown in Figure 7-6A.

Up to now we have considered the modulating signal to be a single constant frequency. In practice, this is seldom the case. If the modulating signal is voice or music, many different frequencies can be produced at any instant. Also, the frequency will be constantly changing. In these cases, one set of sidebands are produced for each modulating frequency. For example, let's assume that a 100 kHz carrier is amplitude modulated by audio tones of 1 kHz and 2 kHz. One set of sidebands will exist at 1 kHz above and below the carrier. Another will exist at 2 kHz above and below the carrier. The resulting waveform will have the spectrum shown in Figure 7-6B.

Examining the spectrum of the AM waveform reveals another interesting fact. The sidebands change frequency when the modulating signal changes frequency. The carrier remains at a constant amplitude and frequency at all times. Therefore, the intelligence which is transmitted is contained in the sidebands and not in the carrier.

## Bandwidth

The spectrums shown in Figure 7-6 illustrate another point. In amplitude modulation, we must be concerned with a band of frequencies instead of just the carrier. The carrier contains no information. If we transmitted or received just the carrier, no intelligence would be conveyed. In AM systems, the carrier and the sidebands are transmitted and received.

The band of frequencies must extend from the lowest sideband frequency to the highest sideband frequency. In Figure 7-6A, The bandwidth is 10 kHz. In Figure 7-6B, the bandwidth is only 4 kHz. Notice that the bandwidth is always twice the highest modulating frequency. Thus, if the highest modulating frequency is 15 kHz, then the bandwidth will be 30 kHz.

Standard AM radio stations broadcast in the range of 540 kHz to 1600 kHz. While there is only a 10 kHz spacing between carriers, there is no limitation on bandwidth or highest modulating frequency. By law, each station is required to be capable of modulating up to an audio frequency of 7,500 Hz. However, there is no limitation on the highest modulating frequency used.

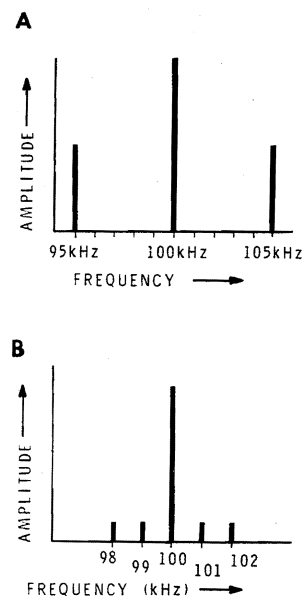
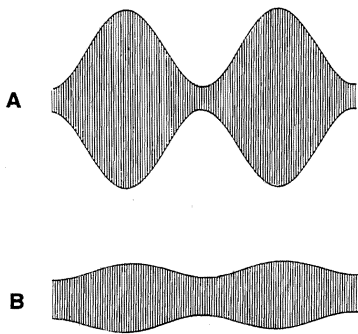


Figure 7-6  
Spectrum of AM waveforms.

## Percent of Modulation



An important characteristic of an AM waveform is its **percent of modulation**. Figure 7-7 shows two AM waveforms. Both have the same carrier and sideband frequencies. And yet, there is a distinct difference between the two. The difference stems from the fact that the two waveforms have different modulation percentages.

The degree of modulation is expressed as a percentage between 0% and 100%. An unmodulated carrier like that shown in Figure 7-8A has 0% modulation. For comparison purposes, let's assume that the carrier has a peak-to-peak amplitude of 40 volts as shown.

Figure 7-7  
AM waveforms with different  
modulation percentages.

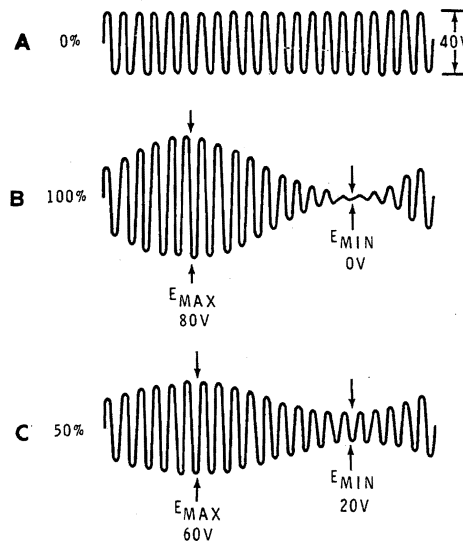


Figure 7-8  
Modulation percentages.

Figure 7-8B shows the same carrier modulated to 100%. Here, the amplitude of the modulated waveform falls to 0 volts for an instant during each cycle of the modulating wave. Also, the amplitude increases to 80 volts peak-to-peak once during each cycle of the intelligence. The average peak-to-peak amplitude is still 40 volts.

In Figure 7-8C the carrier is shown modulated to 50%. The peak-to-peak amplitude varies from 60 volts to 20 volts. However, the average peak-to-peak amplitude is still 40 volts.

The equation for determining the percent of modulation is

$$\text{Percent of modulation} = \frac{E_{max} - E_{min}}{E_{max} + E_{min}} \times 100$$

For example, in Figure 7-8C

$$\% = \frac{E_{max} - E_{min}}{E_{max} + E_{min}} \times 100$$

$$\% = \frac{60V - 20V}{60V + 20V} \times 100$$

$$\% = \frac{40V}{80V} \times 100$$

$$\% = 0.5 \times 100 = 50$$

Generally, it is desirable to keep the percent of modulation high. For a given transmitter power, a higher percent of modulation will produce a stronger audio tone in the receiver. The reason for this can be visualized from Figure 7-8. The AM receiver recovers the envelope of the transmitted waveform. Notice that the envelope has twice the amplitude at 100% modulation as compared to 50% modulation. Thus, 100% modulation is generally more effective than 50% modulation.

While it is generally a good idea to keep the percent of modulation high, **overmodulation** is usually undesirable. Overmodulation is illustrated in Figure 7-9C. It occurs when the amplitude of the modulating signal (or envelope) is too high compared to the unmodulated carrier. Obviously, the minimum amplitude of the carrier is 0 volts. It cannot drop below this level regardless of how high the modulating signal may be. If the modulating signal is too high, it will cause the carrier to cut off for a portion of each cycle. As a result, part of the envelope will be distorted. That is, the envelope will not be an accurate representation of the modulating wave.

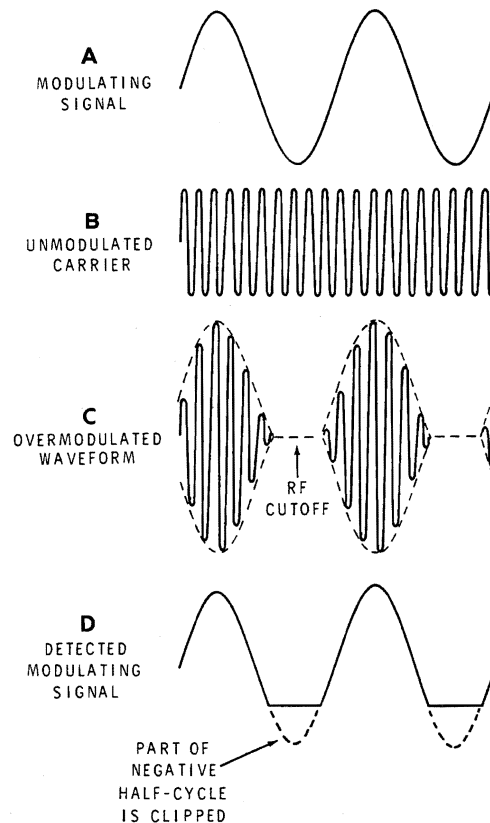


Figure 7-9

Overmodulation

Figure 7-9A shows the high amplitude modulating waveform. The unmodulated carrier is shown in Figure 7-9B. The modulated waveform (Figure 7-9C) cuts off for a portion of each cycle. At the receiver, the envelope is detected. Since the envelope is distorted, the detected waveform (Figure 7-9D) is not an accurate representation of the modulating waveform (Figure 7-9A). Notice that part of the negative half cycle is clipped off and lost.

Overmodulation causes three undesirable characteristics. First, the radio-frequency waveform is actually cut off for a period of each cycle. Second, the modulating waveform is distorted. That is, part of its negative cycle is clipped. Third, the clipping of the modulating signals can introduce harmonics which are much higher than the modulating frequency. This, in turn, can cause sidebands which are outside the allotted bandwidth. For these reasons, overmodulation is avoided whenever possible.

## The AM Transmitter

A block diagram of a simple AM transmitter is shown in Figure 7-10. An RF oscillator determines the frequency that is to be transmitted. Generally, this is a crystal controlled oscillator which holds the carrier to within a fraction of a percent of the correct frequency. The RF is amplified before being applied to the power amplifier/modulator stage.

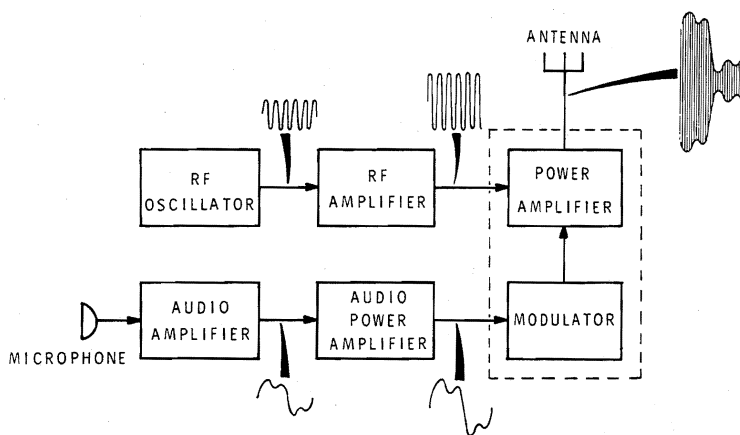


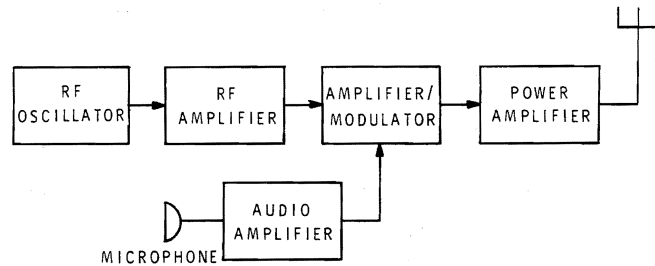
Figure 7-10  
AM transmitter using  
high-level modulation.

The other input to the power amplifier/modulator stage is the modulating waveform. In this case, it is an audio signal produced by the microphone. The audio signal is amplified before being applied to the modulator.

The technique shown in Figure 7-10 is called **high level** modulation. Using this technique, the modulation occurs in the stage that drives the antenna.

Figure 7-11 shows the block diagram of a transmitter that uses **low level modulation**. Using this technique, additional amplifiers are used after the carrier is modulated. These block diagrams are general enough to represent a wide range of AM transmitters. With the exception of the amplifier/modulator, you are familiar with all the circuits shown.

Figure 7-11  
AM transmitter using  
low-level modulation.

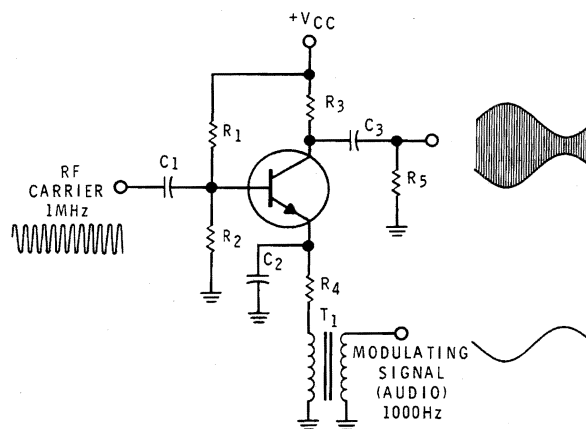


## Modulator Circuits

There are many different circuits which can amplitude modulate an RF carrier. Perhaps the simplest circuit is the diode modulator shown earlier in Figure 7-2. However, that circuit is impractical for most applications. Most practical amplitude modulators use an amplifier stage. The carrier is amplified while the modulating signal varies the gain of the circuit. This technique is used in both high-level and low-level modulation.

One method of obtaining amplitude modulation is shown in Figure 7-12. The RF carrier is applied through  $C_1$  to the base of the transistor. The modulating signal is applied to the emitter circuit via  $T_1$ . For purposes of explanation, let's assume that the carrier frequency is 1 MHz and that the modulating frequency is 1000 Hz. The output taken from the collector circuit is an amplitude modulated waveform as shown.

Figure 7-12  
Emitter modulation.



To understand why the stage produces amplitude modulation, we must closely examine the operation of the circuit. Let's start by emphasizing that there is a great difference between the carrier frequency and modulating frequency. In our example, the carrier frequency is 1000 times higher. Thus, the stage acts quite differently to the two frequencies.

Capacitor values are chosen so that  $C_1$ ,  $C_2$ , and  $C_3$  offer virtually zero reactance to the 1 MHz carrier. However,  $C_2$  and  $C_3$  offer a very large reactance to the 1000 Hz modulating signal.  $C_2$  acts as an emitter bypass capacitor for the RF carrier. To the RF carrier, the stage acts as an amplifier.

In an earlier unit, you learned that the gain of such an amplifier is approximately

$$A_V = \frac{R_L}{R_e}$$

Where  $R_L$  is the total AC load resistance and  $R_e$  is the AC resistance of the base-emitter junction. You also learned that  $R_e$  has an approximate value of:

$$R_e = \frac{25 \text{ mV}}{I_E}$$

where  $I_E$  is the DC emitter current. The first equation indicates that the gain of the amplifier is inversely proportional to  $R_e$ . The second equation states that  $R_e$  is inversely proportional to the emitter current ( $I_E$ ). Consequently, if we vary the emitter current, we vary  $R_e$  and the gain of the stage. That is, we can adjust the gain of the amplifier by changing the value of the emitter current.

The emitter current is determined primarily by the value of  $R_4$  and the voltage across  $R_4$ . The voltage at the top of  $R_4$  is equal to the base voltage minus the  $V_{BE}$  drop of the transistor. This voltage is set by  $R_1$  and  $R_2$ . The voltage at the bottom of  $R_4$  is determined by the modulating signal. When the modulating signal swings positive, the voltage across  $R_4$  decreases. Consequently,  $I_E$  decreases, increasing  $R_e$ . As  $R_e$  increases, the gain decreases. Thus, when the modulating signal swings positive, the gain of the stage is low.



When the modulating signal swings negative, the voltage across  $R_4$  increases and  $I_E$  increases, causing  $R_e$  to decrease. As  $R_e$  decreases, the gain increases. Thus, on the negative half cycle of the modulating signal, the gain of the stage is high.

The carrier sees a common emitter amplifier, the gain of which is adjusted by the modulating signal. The output taken from the collector circuit is an amplitude modulated carrier as shown. This technique is referred to as emitter modulation because the modulating signal is applied to the emitter circuit. In this circuit, the modulating signal is generally much higher in amplitude than the carrier. Consequently, this technique works best for low-level modulation.

Another modulator circuit is shown in Figure 7-13A. This technique is called base modulation because the modulating signal is introduced at the base. The operation of this circuit is very similar to that of the diode modulator shown earlier.

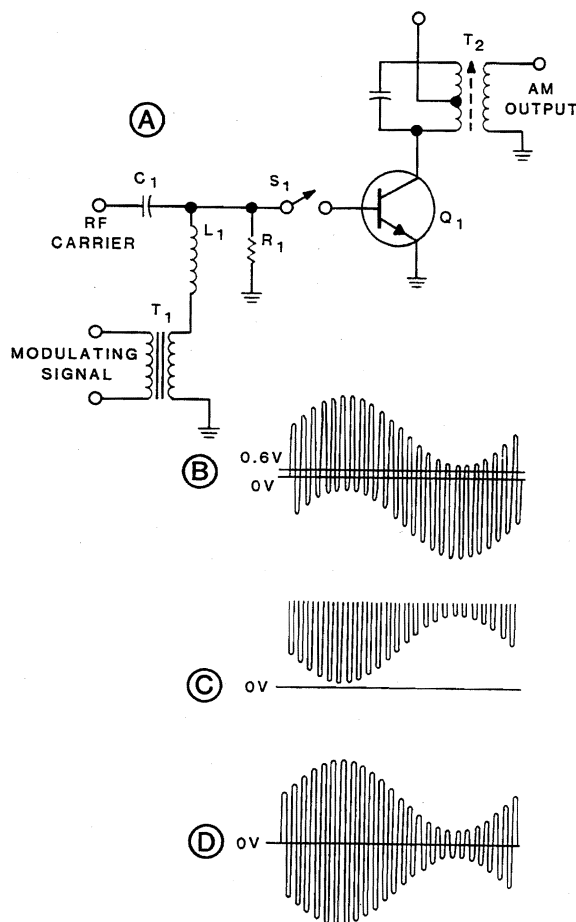


Figure 7-13  
Base modulation.

$S_1$  is included for explanation purposes only. With  $S_1$  open, the waveform at the top of  $R_1$  will look like Figure 7-13B. Notice that the transistor is not biased. Therefore, with  $S_1$  closed, the transistor conducts only when the input swings above 0.6 volts. The transistor is cut off for the negative half cycle. A resonate tank in the collector circuit of  $Q_1$  restores the missing half cycle. Figure 7-13C shows how the collector voltage would look if the tank were replaced with a resistor.

Each pulse of current causes the tank to oscillate. If the tank has a low  $Q$ , the amplitude of the restored half cycle will equal that of the original half cycle. Therefore, the output taken from the secondary of  $T_2$  will look like Figure 7-13D.

Another popular method of modulating the RF carrier is shown in Figure 7-14. Here the modulating signal is applied to the collector circuit of the transistor. For this reason, this technique is called collector modulation. It is often used for high level modulation because the amplitude of the modulating signal can be quite high. The peak amplitude of the modulating signal can approach  $+V_{cc}$ . The modulating signal alternately aids and opposes the collector voltage.

The RF carrier is applied to the base.  $Q_1$  acts as an amplifier to the carrier. However, because of the large variation in collector voltage, the output will vary in amplitude at the rate of the modulating signal. The AM output is taken from the secondary of  $T_2$ .

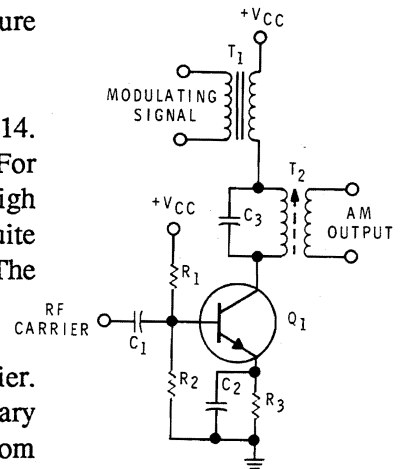


Figure 7-14  
Collector modulation.

Our final example of an amplitude modulator is shown in Figure 7-15. The circuit employs a differential amplifier. The RF carrier is applied to the base of  $Q_1$  while the modulating signal is introduced at the current source ( $Q_3$ ).

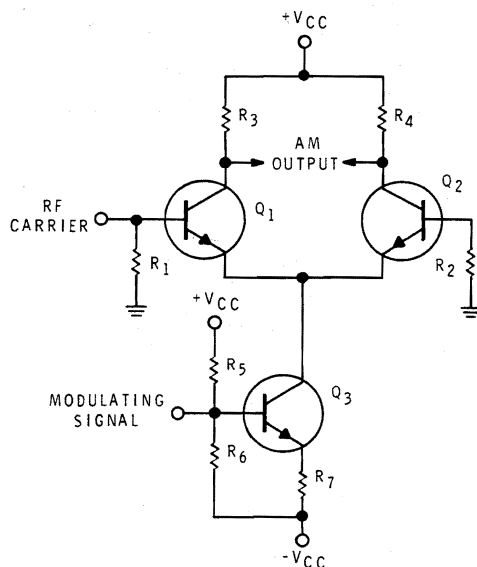


Figure 7-15  
Differential amplifier modulator.

In the differential amplifier each transistor has a gain of approximately

$$A_V = \frac{R_L}{R_e}$$

As with the emitter modulator discussed earlier,  $R_e$  is determined by the DC emitter current. In turn, the emitter current for  $Q_1$  and  $Q_2$  is set by the current source. When the modulating signal is applied to the base of  $Q_3$ , the emitter currents of  $Q_1$  and  $Q_2$  vary at the rate of the modulating signal. Thus, the value of  $R_e$  and the gain of the transistors vary at the same rate. The output taken between the collectors of  $Q_1$  and  $Q_2$  is the amplitude modulated carrier. The modulating signal does not appear at the output because it is a common mode signal.

## The AM Detector

The RF carrier performs two functions. First, its unique frequency prevents it from interfering with other transmissions. Second, it allows communications systems to use reasonable length antennas. However, the human ear cannot respond to the RF carrier. Once received, the intelligence must be recovered from the RF. The circuit that performs this function is called a demodulator or a detector.

The most popular AM demodulator is the diode detector. This circuit is very simple and is used in virtually all AM receivers. Its purpose is to recover the envelope from the AM waveform.

The diode detector is shown in Figure 7-16. The switch ( $S_1$ ) is included only for explanation purposes. The input to the circuit is the AM waveform that has been received and amplified by previous stages in the receiver. The waveforms present at various points in the circuit are shown. The input AM waveform is applied to diode  $D_1$ , which acts as a half-wave rectifier. The positive half cycles cause  $D_1$  to conduct, developing positive pulses across  $R_1$ .  $D_1$  cuts off the negative half cycles of the RF input. The center waveform shows the voltage developed across  $R_1$  if  $S_1$  is open.

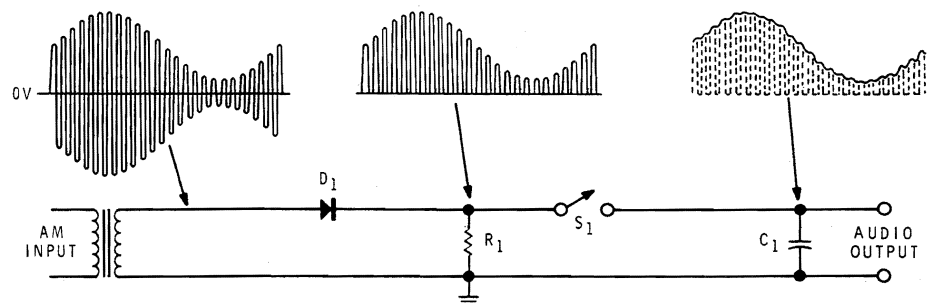


Figure 7-16  
The diode detector.

When  $S_1$  is closed,  $C_1$  is placed in parallel with  $R_1$ .  $C_1$  quickly charges through  $D_1$  to the peak of each positive pulse. Between pulses,  $C_1$  attempts to discharge through  $R_1$ . However, the RC time constant is chosen so that  $C_1$  discharges only slightly. The result is that the voltage across  $C_1$  follows the envelope of the AM waveform. Thus, the output looks like the upper envelope with some ripple. Normally, the carrier frequency is many times higher than the envelope frequency and the ripple is barely noticeable.

## Tuned RF Receiver

Earlier, you looked at the block diagram of an AM transmitter. Now you will examine the block diagram of a simple AM receiver.

A block diagram of a tuned RF receiver is shown in Figure 7-17. While this type of receiver is rarely used today, it was very popular at one time. It is mentioned here merely to illustrate the problems involved with this approach.

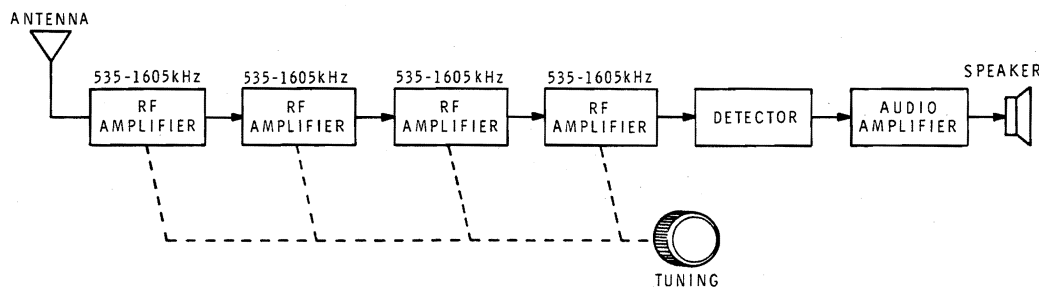


Figure 7-17  
The tuned RF receiver.

The modulated RF signal is picked up by the antenna and amplified by a number of RF amplifier stages. When the receiver is tuned to 1000 kHz, for example, each stage is tuned to this frequency. All other frequencies are rejected by the tuned amplifiers. The RF is then demodulated by the detector. The recovered audio is further amplified and is used to drive the speaker.

In any receiver, the signal picked up by the antenna is extremely weak and must be amplified by several stages. The receiver shown has four RF amplifier stages. When the receiver is tuned to a specific frequency (1000 kHz, for example), each RF amplifier must be tuned to this frequency. The dotted line represents a mechanical connection between the tuning knob and the tuning component in each stage. Generally, a multiple-section variable capacitor is used to tune each stage. Such capacitors are expensive. Also, it is difficult to design RF amplifiers which provide high gain and yet are tunable over the entire 535 to 1605 kHz AM range. Because of these and other problems, the tuned RF receiver was abandoned in favor of the superheterodyne receiver.

## Superheterodyne Receiver

This receiver gets its name from a frequency converting technique called heterodyning. The block diagram of a superheterodyne AM receiver is shown in Figure 7-18. This receiver has several stages not found in the tuned RF receiver.

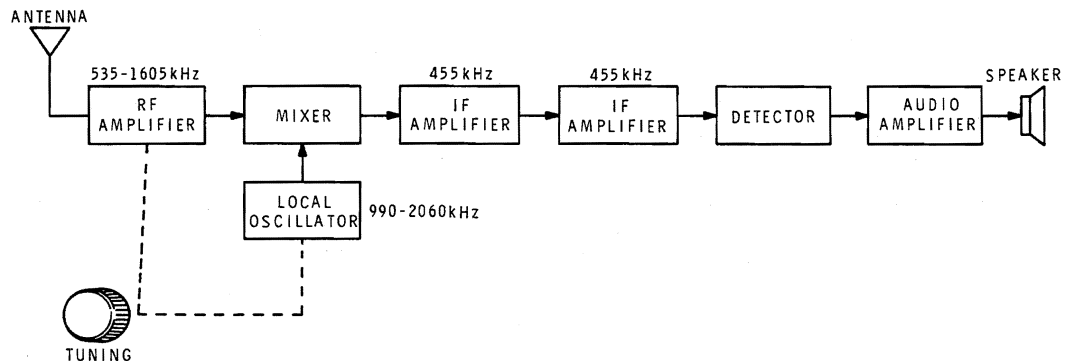


Figure 7-18  
Superheterodyne receiver.

The chief disadvantage of the tuned RF receiver is that several tunable RF amplifiers are required. The superheterodyne receiver overcomes this disadvantage. It uses a single RF amplifier.

This type of receiver can get by with a single RF amplifier because the received signal is immediately converted to a fixed frequency. This is accomplished by two new stages called the mixer and the local oscillator.

The local oscillator is simply an RF oscillator that operates in a range slightly higher than the received frequency range. The exact frequency relationship will be discussed later. The RF signal produced by the local oscillator is applied to the mixer stage. The other input to the mixer is the received RF signal which has been amplified by the RF amplifier.

A mixer is a special circuit that can mix two input signals together. We will discuss the circuit configuration in detail later. For now, simply get an idea of what the mixer does.

The two input signals are combined in the mixer in such a way that several signals appear at its output. In particular, the output signals consist of the two original input frequencies, their sum, and their difference. The superheterodyne receiver is primarily concerned with the difference frequency. This frequency is also called the intermediate frequency, or "IF" (pronounced as two letters: I F).

In many AM receivers the intermediate frequency (IF) is 455 kHz. Let's look at the frequency relationships between the various signals in such a receiver. Assume that the dial is tuned to 1000 kHz; this tunes the RF amplifier to this frequency. The RF amplifier will pass a narrow band around this center frequency but will reject other frequencies. The received 1000 kHz carrier and its sidebands are amplified and passed on to the mixer.

The process of tuning the RF amplifier to 1000 kHz also tunes the local oscillator. However, the oscillator is tuned to a frequency exactly 455 kHz higher, 1455 kHz. The oscillator applies this 1455 kHz signal to the mixer.

In the mixer, the two signals are "beat" together and four frequencies appear at the output of the mixer: the sum, the difference, and the two originals. The original frequencies are the received RF (1000 kHz) and the local oscillator frequency, 1455 kHz. The sum frequency is 1000 kHz plus 1455 kHz, or 2455 kHz. The difference frequency is 1455 kHz minus 1000 kHz, or 455 kHz.

The circuits immediately following the mixer are tuned to the difference or intermediate frequency (IF) of 455 kHz. Thus, the IF amplifiers pass the intermediate frequency, and block the other signals. It is the intermediate frequency that is passed on to the detector.

Obviously, this arrangement will work only if the transmitted intelligence is somehow transferred from the received carrier to the IF signal. This happens in the mixer stage. To see why it happens, consider an example in which a 1 kHz tone is modulated on the received RF carrier of 1000 kHz. Recall that in this case the received signal consists of the 1000 kHz carrier, a 999 kHz lower sideband and a 1001 kHz upper sideband. The local oscillator is tuned to 1455 kHz. When the local oscillator signal beats with the carrier, the difference is:

$$1455 \text{ kHz} - 1000 \text{ kHz} = 455 \text{ kHz.}$$

However, when it beats with the upper sideband, the difference frequency is:

$$1455 \text{ kHz} - 1001 \text{ kHz} = 454 \text{ kHz.}$$

And, when it beats with the lower sideband, the difference frequency is

$$1455 - 999 \text{ kHz} = 456 \text{ kHz.}$$

Thus, the difference signal consists of three components, a 455 kHz carrier and two sidebands which are 1 kHz above and below the carrier. Notice that the carrier and sidebands have the same relationship in the IF signal that they had in the received signal. Therefore, the intelligence has been transferred from the received signal to the IF signal.

The advantage of this approach is that the IF amplifiers are fixed tuned to 455 kHz. Thus, these amplifiers are easier to design, they require less expensive components, and they can have higher gains.

The superheterodyne technique has been so successful that it is now used in virtually all AM radios, FM radios, and TV receivers.

## Mixers and Frequency Converters

The key circuit in the superheterodyne receiver is the mixer or frequency converter. While it is beyond the scope of this course, it can be shown mathematically that a nonlinear resistance can combine two frequencies so that sum and difference frequencies are produced.

Active components such as diodes and transistors can act as nonlinear resistances. Consequently, these components can be used as mixers.

Figure 7-19 shows a transistor used as a mixer.  $R_1$  and  $R_2$  forward bias  $Q_1$ . However, the local oscillator signal is high enough in amplitude to drive  $Q_1$  to its nonlinear operating region. Thus, several frequency components will be present at the collector of  $Q_1$ . However, the collector circuit is tuned to the difference or intermediate frequency (IF). This frequency and its sidebands are amplified by additional stages before being applied to the AM detector.

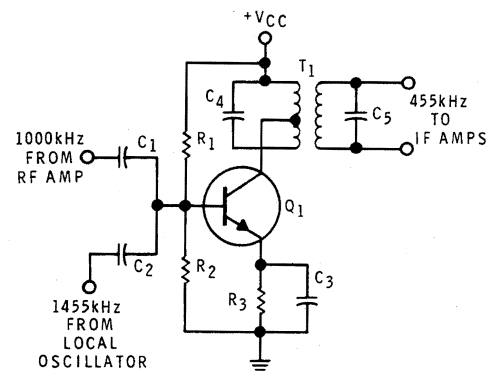


Figure 7-19  
Mixer circuit.



In many AM receivers, the local oscillator and mixer are contained in a single stage called a frequency converter. A typical circuit is shown in Figure 7-20.

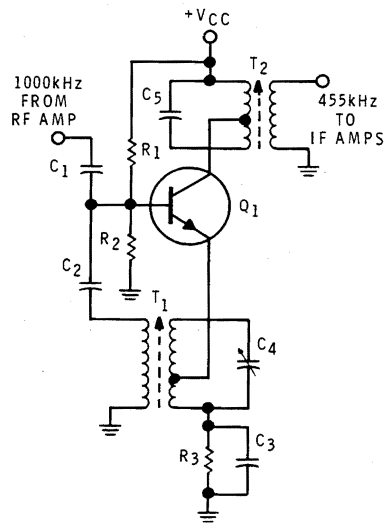


Figure 7-20  
Converter circuit.

The received RF signal is applied through  $C_1$  to the base of  $Q_1$ . This transistor stage acts not only as the mixer but also as the local oscillator. Even without the received RF signal, the circuit oscillates at a frequency determined by  $T_1$  and  $C_4$ . Feedback is from the emitter to the base via  $T_1$ . Thus, the oscillator produces a frequency that is 455 kHz above the received RF signal. To do this,  $C_4$  must be ganged with other capacitors in the RF amplifier. The two signals beat or heterodyne together to produce the 455 kHz IF.

The frequency converter is popular in small radios because it saves the expense of a separate local oscillator stage.

## Programmed Review

1. Before low frequency intelligence is transmitted through space it is modulated on a radio frequency signal. The process of adding information to an RF signal is called \_\_\_\_\_.
2. (modulation) Because it carries the intelligence, the RF signal is called a \_\_\_\_\_.
3. (carrier) In amplitude modulation systems, the \_\_\_\_\_ of the RF is varied in accordance with the modulating frequency.
4. (amplitude) Amplitude modulating a carrier produces signals higher and lower in frequency than the carrier. These signals are called \_\_\_\_\_.
5. (sidebands) A 540 kHz signal is amplitude modulated by a 5 kHz signal. The frequency of the upper sideband is \_\_\_\_\_ while that of the lower sideband is \_\_\_\_\_.
6. (545 kHz, 535 kHz) The bandwidth is \_\_\_\_\_.
7. (10 kHz) The percentage of the amplitude deviation from the normal value of the RF carrier is referred to as the percentage of \_\_\_\_\_.
8. (modulation) An AM waveform has a maximum amplitude of 100 volts peak-to-peak and a minimum amplitude of 20 volts peak-to-peak. The percentage of modulation is \_\_\_\_\_.

9. (66.6%) Sometimes the modulating waveform is too high for the unmodulated carrier. In this case, the carrier is cut off for a period of each cycle of the modulating waveform. This condition is called \_\_\_\_\_.
10. (overmodulation) The stage which amplitude modulates the RF is called a \_\_\_\_\_.
11. (modulator) In some transmitters, the modulator is the last stage before the antenna. This technique is called \_\_\_\_\_ modulation. high-level/low-level
12. (high-level) In low power transmitters, the modulator is often a transistor circuit which has both the carrier and the modulating signal applied. The modulator acts as an amplifier to the carrier. However, the gain of the amplifier is controlled by the \_\_\_\_\_ signal.
13. (modulating) The modulation circuit often gets its name from the point at which the modulating signal is applied. For example, if the modulating signal is introduced in the collector circuit, the technique is called \_\_\_\_\_ modulation.

14. (collector) The purpose of the AM receiver is to remove the modulation from the RF carrier. This process is called \_\_\_\_\_.

15. (demodulation) The most popular circuit for doing this is called a diode \_\_\_\_\_.

16. (detector) Most receivers also contain a mixer stage. It heterodynes the received RF signal with a local oscillator signal to produce an \_\_\_\_\_ frequency (IF).

17. (intermediate) The receiver which uses this technique is called a super\_\_\_\_\_ receiver.

18. (heterodyne) Often, the mixer and local oscillator are combined in a single stage called a frequency \_\_\_\_\_.

(converter)

## EXPERIMENT 18

### Amplitude Modulation

**OBJECTIVES:**

*Demonstrate the effects of heterodyning.*

*Demonstrate how intelligence can be added to a carrier.*

*Demonstrate how intelligence can be recovered from an amplitude-modulated carrier.*

#### Introduction

This experiment has three parts. In the first part you will build an oscillator that produces an RF signal in the AM broadcast band. You will demonstrate that the RF signal can be transmitted so that it interferes with a nearby AM radio. Although the RF signal produced by the oscillator is unmodulated, it can beat with a received RF carrier to produce an audible tone.

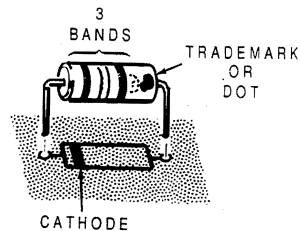
In the second part of the experiment you will add intelligence to the RF signal by amplitude modulating the oscillator signal. The circuit becomes a small transmitter which can transmit to a nearby radio.

Finally, you will build an AM detector which can recover the intelligence from the RF carrier. **Read the entire procedure before performing this experiment.**

## Material Required

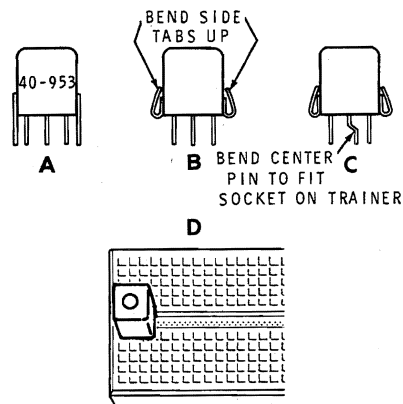
- Multimeter
- Oscilloscope (dual channel preferred)
- Heathkit Analog Trainer
  - 1—Standard AM radio (not supplied)
  - 2—NPN silicon transistors (417-801) MPSA20
  - 1—Germanium diode (56-26) 1N191
  - 1—Transformer (40-953)
  - 1—1 megohm resistor (brown-black-green-silver)
  - 1—100 kilohm resistor (brown-black-yellow-silver)
  - 1—68 kilohm resistor (blue-gray-orange-silver)
  - 1—10 kilohm resistor (brown-black-orange-silver)
  - 1—0.1 microfarad capacitor
  - 1—0.0022 microfarad capacitor
  - 1—100 picofarad capacitor
  - 1—2.7 picofarad capacitor
  - 1—Alignment tool (490-1)

NOTE: The 1N191 may have color bands toward one end and a trademark or small dot near the other end. The cathode end of the diode is toward the end nearest the color bands.



## Component Preparation

1. Find the transformer with Heath Part number 40-953. It will look like the transformer in Figure 7-21A.
2. Bend the two side tabs up and out of the way as shown in Figure 7-21B.
3. Locate the side of the transformer that has 3 pins. Carefully bend the center pin slightly to either side until the three pins match the hole spacing on the socket of the Trainer.
4. Plug the transformer into the socket on the Trainer as shown in Figure 7-21D.



**Figure 7-21**  
Preparing the transformer.

## Procedure

1. In this experiment, you will use a standard AM radio to detect the presence of an RF carrier. Many radios are sensitive enough to receive interference from the square-wave generator in the Trainer. To prevent this, you will disable the square wave generator. Connect a short jumper wire from the SQUARE terminal to ground on the Trainer. This will not harm the Trainer in any way. However, it does short out the square wave and eliminates this source of interference.
2. Turn the Trainer on and adjust the (+) power supply control for 10 VDC.
3. Turn the Trainer off and construct the circuit shown in Figure 7-22.
4. Using the oscilloscope, view the waveform at point A. The frequency of the sine wave at this point is \_\_\_\_\_ kilohertz.
5. Find the remaining length of hook-up wire. Connect one end to point A. Drape the free end over your AM radio.
6. Tune your radio to a station nearest the frequency measured in step 4. Using the alignment tool, adjust the transformer core until you hear a tone interfering with the station. Notice that you can change the frequency of the tone by adjusting the slug in the transformer.
7. Since the RF carrier produced by the oscillator is unmodulated, how do you account for the interfering tone heard on your radio? Tune the oscillator to the initial frequency measure in step 4.

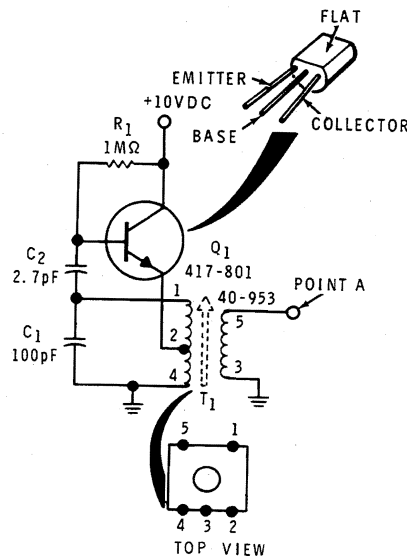


Figure 7-22

Circuit for steps 2 through 7.



## Discussion

In this part of the experiment, you built a simple Hartley oscillator which initially produced a frequency of about 900 kilohertz. You should have then tuned your radio to a station near this frequency, say 850 kilohertz. By tuning the transformer, the frequency of the oscillator circuit can be reduced to a point near this frequency. As the oscillator frequency approaches that of the received station, the two signals beat together. This heterodyning process produces a difference frequency which is in the audio range. It is this difference that you hear. Varying the oscillator frequency changes the pitch of the audio tone. As you tune the oscillator through the station frequency, the tone decreases in pitch as the frequencies become closer. When the two signals are at the same frequency, the difference is 0 Hz and no tone is heard.

## Procedure (Continued)

- Turn off the Trainer. Modify the circuit as shown in Figure 7-23. Notice that the new circuitry is shown outside the shaded area.

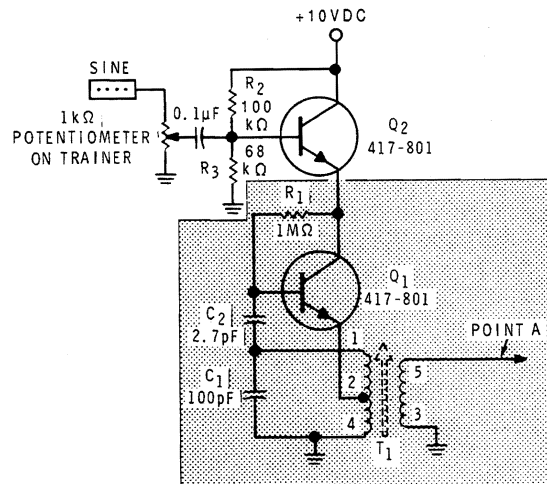


Figure 7-23  
Circuit for steps 8 through 19.

- Using channel 1 of your oscilloscope, observe the waveform at point A. Verify that the circuit still oscillates. Adjust the 1 kilohm potentiometer for an unmodulated signal.
- Set the oscillator on the Trainer to 1 kilohertz.

11. Set the oscilloscope TIME/CM to 0.5 milliseconds. Adjust the 1 kilohm potentiometer until the waveform at point A looks like Figure 7-24. You may need to adjust the trigger level control to lock the display in place. Notice that the envelope forms a 1 kilohertz sine wave.

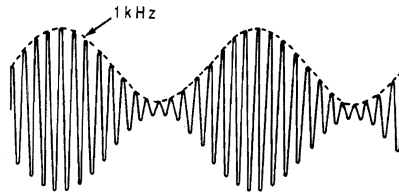


Figure 7-24  
Waveform observed in step 11.

12. Connect one end of the long hook-up wire to point A. Drape the free end over your AM radio.
13. Tune your radio to the vicinity of the frequency measured in step 4. Somewhere near this point you should hear a 1 kilohertz tone. Vary the frequency of the oscillator on the Trainer and listen for a change in the frequency of the tone. Also vary the setting of the 1 kilohertz potentiometer and listen for a change in the loudness of the tone. This verifies that the tone is being transmitted to the radio.
14. If the tone is interfering with an existing station, tune the transformer ( $T_1$ ) until the tone is received at an unused point on the radio dial.
15. Listen to the tone on your radio as you view the waveform at point A.
16. Adjust the 1 kilohm potentiometer until the waveform at point A appears unmodulated. Can you hear the 1 kilohertz tone on your radio? \_\_\_\_\_.
17. Adjust the 1 kilohm potentiometer until you have 50% modulation at point A. Can you hear the 1 kilohertz tone? \_\_\_\_\_.
18. Increase the percent of modulation to 100%. It may be necessary to decrease  $+V_{CC}$  slightly to achieve 100% modulation. The intensity of the tone \_\_\_\_\_.  
increases/decreases
19. Adjust the 1 kilohm potentiometer so that the carrier is overmodulated. It may be necessary to decrease  $+V_{CC}$  slightly to achieve overmodulation. Notice that the envelope is no longer a perfect sine wave. When overmodulated, the intensity of the tone \_\_\_\_\_.  
increases/decreases

## Discussion

The additional circuitry forms an amplitude modulator. The overall circuit becomes a basic radio transmitter. In this part of the experiment, you verified that the strength of the received tone varies with the degree of modulation. At 0% modulation the tone cannot be heard. At 50% modulation the tone is audible. At 100% the tone is much louder. The tone is loudest of all when the transmitter is overmodulated.

The signal at point A is similar to that broadcast by AM radio stations. The biggest difference is the power level being transmitted. The signal at point A is also similar to that which exists at the output of the IF amplifier in your radio. The only difference is the carrier frequency. Consequently, we can use the signal at point A to drive a detector stage. In this way, we can demonstrate how a detector can demodulate an AM signal.

## Procedure (Continued)

- Remove the long hook-up wire from point A. Modify the circuit as shown in Figure 7-25. The new circuitry is shown outside the shaded area. Initially leave  $C_4$  disconnected.

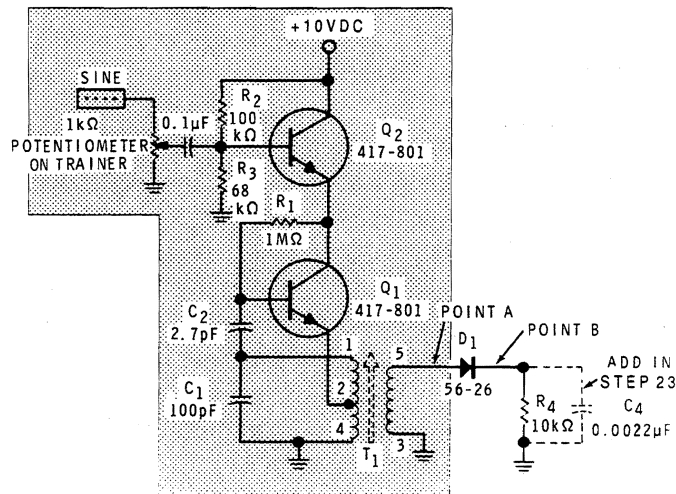


Figure 7-25

Circuits for steps 20 through 29.

21. View the waveform at point A. Adjust the 1 kilohm potentiometer until the carrier is 100% modulated. Draw this waveform in Figure 7-26A.

A 0V \_\_\_\_\_

B 0V \_\_\_\_\_

C 0V \_\_\_\_\_

Figure 7-26  
Draw waveforms here.

22. View the waveform at point B. Draw this waveform in Figure 7-26B.
23. Connect  $C_4$  across  $R_4$ . View the waveform at point B. Draw this waveform in Figure 7-26C.
24. Adjust the 1 kilohm potentiometer so that the percent of modulation viewed at point A is 0%. Is the 1 kilohertz signal present at point B? \_\_\_\_\_.
25. Adjust the 1 kilohm potentiometer so that the percent of modulation viewed at point A is 50%. Is the 1 kilohertz signal present at point B? \_\_\_\_\_. What is the peak-to-peak amplitude of the 1 kilohertz signal at point B? \_\_\_\_\_.
26. Adjust the 1 kilohm potentiometer so that the percent of modulation viewed at point A is 100%. What is the peak-to-peak amplitude of the 1 kilohertz signal at point B? \_\_\_\_\_.
27. Adjust the 1 kilohm potentiometer so that the carrier is overmodulated. Does the waveform at point B show distortion? \_\_\_\_\_.

## Discussion

In this part of the experiment, you connected a detector across the output of the modulator. In steps 21 through 23, you drew the waveforms present at different points. Your drawings should resemble those shown in Figure 7-27. You demonstrated that the amplitude of the detected output changes as the percent of modulation changes. Finally, you demonstrated that the detected output is distorted when the carrier is over-modulated.

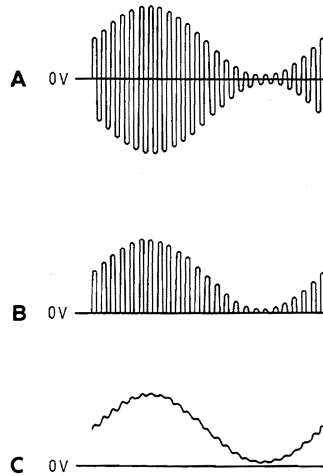


Figure 7-27

Waveforms drawn in steps 21 through 23.

## OTHER AM SYSTEMS

The type of amplitude modulation discussed in the previous section will be referred to in this unit as standard AM. In this system, the carrier and both sidebands are transmitted just as they appear at the output of the modulator. This system is used by standard AM broadcast stations. Its prime advantage is that it uses straightforward and inexpensive transmitting and receiving equipment. However, this is not the only AM system being used. In this section, we will examine several other types of amplitude modulation.

### Disadvantages of Standard AM

The standard AM system has several disadvantages. The three most important are:

1. Most of the transmitted power is in the carrier. This power is wasted because it does not contribute to the intelligence being conveyed.
2. The bandwidth of the transmitted signal is twice that of the intelligence being conveyed.
3. The sidebands and carrier must have precise amplitude and phase relationships. These relationships are difficult to maintain under some conditions.

Let's discuss each of these in more detail.

### CARRIER POWER

Let's assume that a standard AM transmitter produces a 100-watt output when unmodulated. The entire 100 watts is contained in the unmodulated carrier. If the transmitter is modulated at 100%, the total radiated power will increase to 150 watts. The transmitter still produces the 100-watt carrier. The additional 50 watts represents the power in the sidebands. Since the power of the lower sideband is equal to that of the upper sideband, each sideband contributes only 25 watts to the total transmitted power. When the percent of modulation is lower than 100%, the power in the sidebands is even lower.

It is important to remember that the carrier itself does not vary in frequency or amplitude. Consequently, the carrier does not contain any intelligence. All information being transmitted is in the sidebands. The carrier acts only as a reference frequency. It allows a modulator to convert low frequency audio to high frequency sidebands. Also, it allows the demodulator (or detector) to recover the audio information. Between the modulator stage in the transmitter and the detector in the receiver, the carrier serves no useful purpose. Thus, in the standard AM system at least two-thirds of the transmitted power is taken by a signal which conveys no intelligence.

### **BANDWIDTH**

In the previous section, we discussed how the bandwidth is determined by the intelligence. When a 600 kHz carrier is amplitude modulated by a 5 kHz audio tone, a 595 kHz sideband and a 605 kHz sideband are produced. In standard AM, both the sidebands are transmitted along with the carrier. Thus, the transmitted bandwidth is  $605 \text{ kHz} - 595 \text{ kHz} = 10 \text{ kHz}$ . This is twice the modulating frequency.

When the modulating frequency changes, both sidebands change frequency. When the amplitude of the modulating signal changes, the power in the sidebands change. In both cases, the carrier remains constant in both power and frequency. Obviously then, the intelligence is contained in the sidebands and not in the carrier. Just as important, the intelligence is duplicated in the sidebands. That is, if we know the frequency of either sideband and the frequency of the carrier, we can determine the modulating frequency.

Therefore, in the standard AM system, the bandwidth is twice as wide as is necessary.

### **PROPAGATION PROBLEMS**

For perfect reception in a standard AM system, the two sidebands and the carrier must be received exactly as they are transmitted. Unfortunately, when propagation conditions are poor, the AM signal can be greatly deteriorated before it reaches the receiver. Moreover, each component of the AM signal may be affected differently since they have different frequencies. Thus, the standard AM system is subject to fading and interference when propagation conditions are poor.

## Single Sideband (SSB)

The three disadvantages of standard AM can be overcome by a system called single sideband suppressed carrier. In this system, only one sideband is transmitted. The carrier and the remaining sideband are suppressed at the transmitter. This system can still convey intelligence since either sideband contains all the information of the original modulating signal.

This approach requires a more complicated and, therefore, more expensive transmitter. The transmitter generally uses a different modulation technique and sharply tuned filters to suppress the carrier and the unwanted sideband. The design of the receiver is also complicated. Before the signal can be detected, a substitute carrier must be added to the received sideband. The receiver has an RF oscillator that can be tuned to the carrier frequency. Once the carrier is reinserted, the resulting signal can be demodulated by a standard AM detector. In many cases, the advantages of single sideband (SSB) outweigh the additional cost.

The first advantage of single sideband is that all the power transmitted represents intelligence. Compare this to the standard AM system in which at least two thirds of the transmitted power is in the carrier. This means that a single sideband transmitter that is radiating 50 watts can produce the same audio signal level at the receiver as a standard AM transmitter that is radiating 150 watts.

Single sideband also reduces the bandwidth requirements. Since only one sideband is transmitted, the bandwidth is only one half that of a standard AM transmitter. Thus, a given frequency range can accommodate twice as many SSB channels as standard AM channels.

Finally, SSB is far superior to AM when propagation conditions are poor. Even ignoring the power advantages discussed above, SSB can be up to eight times more effective in getting through when propagation conditions are really bad.



## Suppressing Components

The logical method of suppressing unwanted components is with sharply tuned filters. It is not too difficult to pass one sideband and reject the other by using highly selective crystal filters. However, it is rather difficult to completely suppress the carrier by simply filtering. For this reason, special modulators have been developed which suppress the carrier during the modulation process. These circuits are called balanced modulators. The output of the balanced modulator consists of the upper and lower sidebands, but not the carrier. A sideband filter is then used to suppress the unwanted sideband while passing the other sideband.

A simplified block diagram of an SSB transmitter is shown in Figure 7-28. The audio signal is amplified before being applied to the balanced modulator. The other input to the modulator is the RF carrier. Unlike the modulators discussed previously, the balanced modulator eliminates the carrier during the modulation process. Thus, the output of the balanced modulator consists of only the two sidebands. The sideband filter is a sharply tuned quartz crystal filter which passes one sideband but rejects the other. Thus, a single sideband is passed on to the output circuitry.

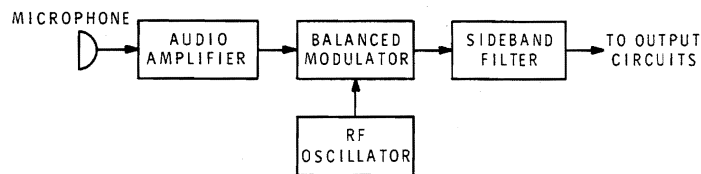


Figure 7-28

Basic SSB transmitter.

## The Balanced Modulator

The unique circuit in the SSB transmitter is the balanced modulator. Many different variations of the balanced modulator exist. In this section, we will discuss one of the most common types.

Whatever the circuit configuration, the purpose of the balanced modulator is the same. The RF carrier and the modulating signal are combined so that upper and lower sidebands are produced. However, the carrier is cancelled and does not appear at the output.

Before discussing how the circuit works, let's first learn to recognize the output signal. Figure 7-29 shows the now familiar waveforms associated with the standard AM system. The carrier combines with the two sidebands to form the amplitude modulated waveform. For simplicity, we will assume that a 10 kHz audio tone is modulating a 100 kHz carrier. Thus, the sidebands have frequencies of 90 kHz and 110 kHz. When combined with the carrier, the resulting waveform has a 10 kHz envelope as shown.

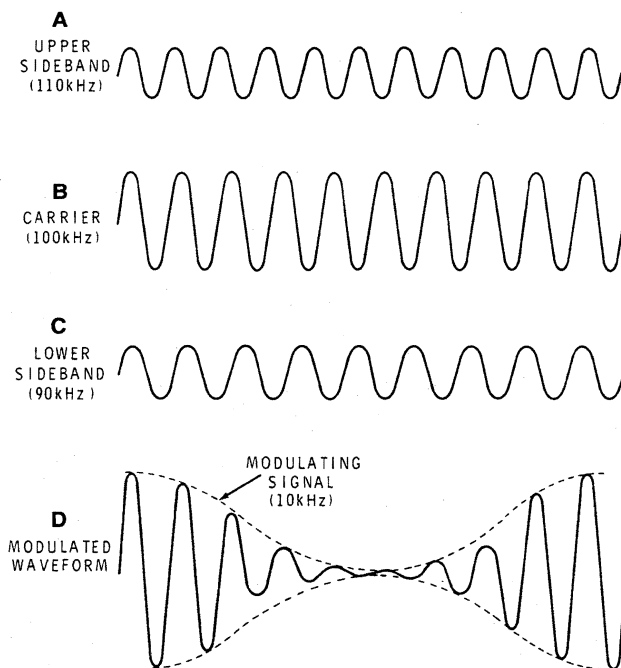


Figure 7-29  
Standard AM waveforms.

Now look at this same situation in a balanced modulator. Refer to Figure 7-30. When the 10 kHz tone modulates the 100 kHz carrier, sidebands of 90 kHz and 110 kHz are still produced. However, the 100 kHz carrier is eliminated. The two sidebands are 20 kHz apart and they have the same amplitude. When they are combined, the resultant double sideband waveform is formed. You can prove this to yourself by adding together the instantaneous values of the two sidebands at various points.

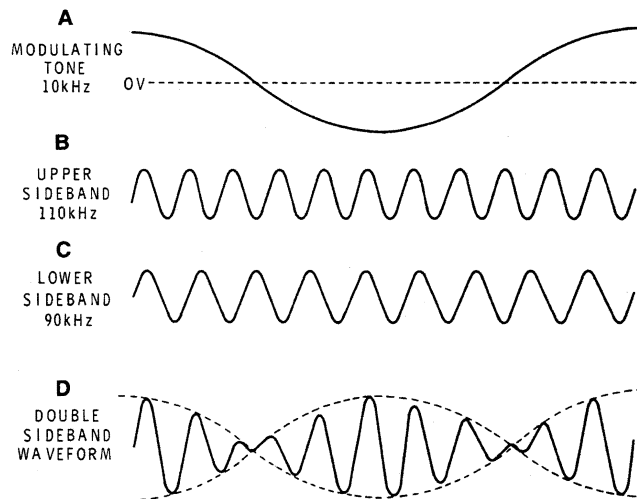


Figure 7-30

When the carrier is removed, the sidebands combine as shown above.

The amplitude of the double sideband waveform passes through 0 volts twice during each cycle of the modulating audio signal. These points correspond to the two times at which the modulating signal passes through 0 volts. Since the modulating signal is a sine wave, it passes through 0 volts twice during each cycle. Notice also, that the double sideband waveform peaks twice during each cycle of the modulating signal.

A simplified version of the balanced modulator is shown in Figure 7-31. For purposes of explanation, we will assume that the diodes are perfectly matched and that the center tap of  $T_1$  perfectly balances the transformer. In practice, additional variable components are added to insure a balanced circuit.

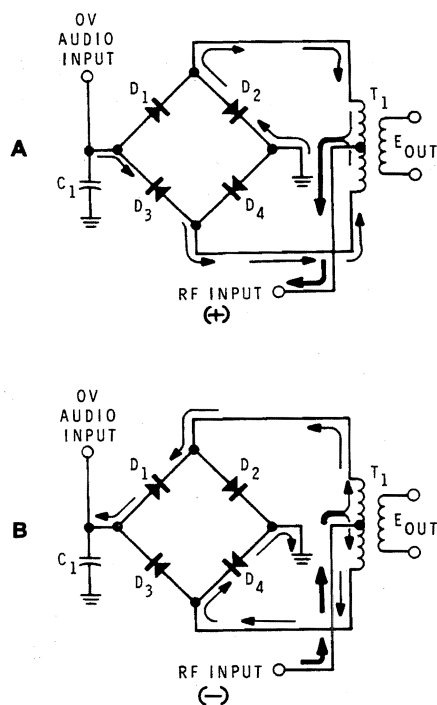


Figure 7-31  
Balanced modulator with  
no audio input.

The inputs to the circuit are the audio modulating signal and the RF carrier. The RF carrier has a higher amplitude than the audio signal. The output is a double sideband signal which is developed in the secondary of  $T_1$ .

Recall that sidebands are produced only when the carrier is modulated. Thus, the balanced modulator should produce no output at all unless a modulating signal is present. Figure 7-31 shows the operation of the circuit when the modulating audio signal is at 0 volts.

When the RF carrier swings positive, current flows as shown in Figure 7-31A. There are two paths for current flow. One path is from ground through  $D_2$  and the upper half of the primary of  $T_1$  to the positive voltage at the RF input. The other path is from the 0 volts at the audio input through  $D_3$  and the lower half of  $T_1$  to the RF input. Notice that the two currents are caused by the same voltage. Also, each current passes through a conducting diode and one half of the transformer primary. Consequently, the two currents are exactly equal, and they flow in opposite directions through the primary of  $T_1$ . Because the currents are equal but opposite, no voltage is induced in the secondary. Thus, the circuit produces no output when the audio signal is at 0 volts. Recall, that this is one of the requirements of the balanced modulator. This is the method by which the carrier is cancelled.

Figure 7-31B shows that a similar situation exists when the carrier swings negative. Current flows from the RF input to ground or 0V via two separate but equal paths. Again the two currents set up equal and opposite magnetic fields in the primary. These fields cancel and no voltage is induced in the secondary.

The situations shown in Figure 7-31 exist when no audio is present. However, even with audio present this situation exists for an instant twice during each cycle of the audio. These are the instants at which the audio signal passes through 0 volts. You will recall from Figure 7-30 that the double sideband output drops to 0 volts at these two points in the cycle.

Figure 7-32 shows how the balanced condition is upset when the audio signal swings positive. Since the RF input is higher than the audio input, the two current paths are the same as in Figure 7-31. However, the currents are no longer equal. Figure 7-32A shows that  $D_2$  will now conduct more current than  $D_3$ . The reason for this is simple. When the carrier swings positive, there is a greater difference of potential between point A and ground than between point A and point B. Consequently, more current flows in the top half of the primary than in the bottom half. The effects of the two currents no longer cancel and an output is developed in the secondary. A positive half cycle appears in the secondary for each positive half cycle of the carrier.

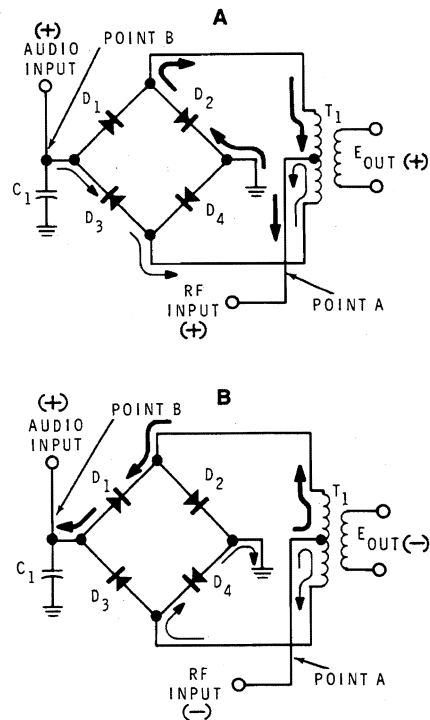


Figure 7-32

When the audio signal swings positive, the balanced condition is upset.

Figure 7-32B shows a similar situation during the negative half cycle of the carrier. This time, a greater difference of potential exists between point A and B than between point A and ground. Thus,  $D_1$  conducts harder than  $D_4$ . Again, more current flows in the upper half of the primary than in the lower half. However, the direction of the current flow is reversed. As a result, a negative half cycle is produced in the secondary for each negative half cycle of the carrier.

Finally, Figure 7-33 shows how the balanced condition is upset when the audio signal swings negative. In Figure 7-33A the carrier is positive, and  $D_3$  conducts harder than  $D_2$ . Consequently, more current flows in the lower half of the primary. Again, this results in a net output signal. In Figure 7-33B, the carrier is negative. As shown,  $D_4$  conducts harder than  $D_1$ . Here again, more current flows in the lower half of the primary. However, since the direction of current flow is reversed, the polarity of the output is reversed.

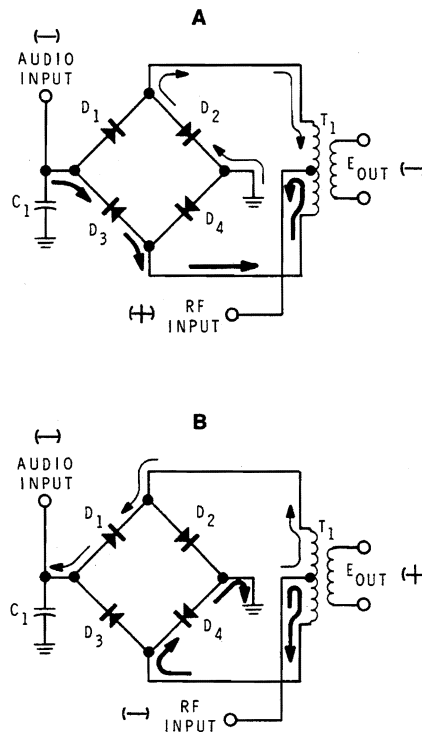


Figure 7-33

When the audio signal swings negative, the balanced condition is upset again.

If you follow the operation of the circuit carefully, you will see that the output has the same characteristics as the double sideband signal shown earlier in Figure 7-30. In the single sideband transmitter, it is not enough to get rid of the carrier. One of the unwanted sidebands must also be eliminated. However, once the carrier is eliminated, the unwanted sideband can be easily removed by a sharply tuned filter.

## Receiving Single Sideband

The block diagram of a very simple single-sideband receiver is shown in Figure 7-34. Notice that this diagram is similar to the standard AM receiver discussed earlier. In fact, it is virtually identical except for one stage.

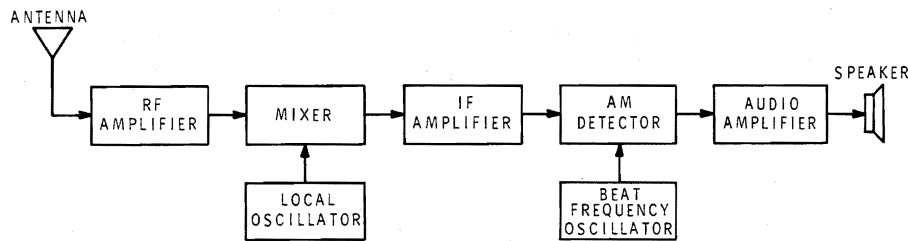


Figure 7-34

The addition of a beat frequency oscillator allows a standard AM radio to receive SSB transmissions.

The new stage is called a beat frequency oscillator or BFO. The purpose of this stage is to provide a substitute carrier. Since the carrier is not transmitted, a substitute carrier must be reinserted in the receiver to recover the original intelligence.

The substitute carrier combines with the sideband to produce a standard AM signal. The AM signal can then be detected by an ordinary AM detector.

## AM and SSB Variations

Until now, we have discussed two AM systems. In standard AM, the carrier and both sidebands are transmitted. In single-sideband suppressed-carrier, only one sideband is transmitted. Other AM systems are possible.

Another SSB system can be called single-sideband, transmitted-carrier. In this system, one sideband and the carrier are transmitted. This reduces the bandwidth by 50% but does not give the power advantages discussed earlier. The design of the receiver is simplified because a substitute carrier is not required. However, the design of the transmitter is complicated since it is difficult to remove one sideband without attenuating the carrier.



A compromise between standard AM and single-sideband, transmitted-carrier is called vestigial sideband. In this system, one sideband is partially suppressed. This simplifies the filter requirements since that part of the sideband closest to the carrier is attenuated while the rest of the sideband is eliminated entirely. The picture portion of the TV broadcasts use this technique. It allows frequencies in excess of 4 MHz to amplitude modulate a carrier and yet it requires only a 6 MHz bandwidth.

Double sideband transmission is also used in some cases. Here both sidebands are transmitted but the carrier is eliminated entirely or at least greatly reduced in amplitude. This technique is used in stereo FM broadcasts.

A final form of SSB is called single-sideband, reduced-carrier. Here a small sample of the carrier is transmitted along with one of the sidebands. In the receiver, the sample carrier is used to set the BFO to exactly the right frequency. In this way, the substitute carrier always has the proper frequency and phase.

## Programmed Review

19. A standard AM system transmits both sidebands and the \_\_\_\_\_.
20. (carrier) The carrier is a constant-amplitude, constant-frequency signal. Since the carrier does not change in any way when intelligence is added, the intelligence must be contained in the \_\_\_\_\_.
21. (sidebands) Furthermore, the upper sideband contains the same intelligence as the \_\_\_\_\_ sideband.
22. (lower) Because the intelligence is duplicated in the two sidebands, the \_\_\_\_\_ of the transmitted signal must be twice the highest frequency of the modulating signal.
23. (bandwidth) Since the carrier does not represent intelligence, most of the transmitted \_\_\_\_\_ is wasted in the standard AM system.
24. (power) The power and bandwidth problems of standard AM can be overcome by an AM system called \_\_\_\_\_ \_\_\_\_\_ suppressed carrier.
25. (single-sideband) In this system, the \_\_\_\_\_ and one \_\_\_\_\_ are eliminated in the transmitter.
26. (carrier, sideband) Generally, the carrier is eliminated by a circuit called \_\_\_\_\_ \_\_\_\_\_.

27. (balanced modulator) The unwanted sideband is then removed by a sharply tuned \_\_\_\_\_.

28. (filter) Because no carrier is transmitted, a substitute \_\_\_\_\_ must be reinserted in the receiver.

29. (carrier) The circuit that produces the substitute carrier at the receiver is called a \_\_\_\_\_ (BFO).

30. (beat frequency oscillator) There are several other possible AM systems. The system used in television transmits the upper sideband and the carrier without attenuation. However, the remaining components are attenuated so that only a vestige of the lower sideband remains. This system is called \_\_\_\_\_ sideband transmission.

31. (vestigial) In another form of AM, the carrier is suppressed but both sidebands are transmitted. Finally, a system called single-sideband, reduced carrier is sometimes used. In this system, a sample of the carrier is transmitted. Its purpose is to set the frequency of the substitute \_\_\_\_\_ in the receiver.

(carrier).

## FREQUENCY MODULATION (FM)

FM is another important form of modulation. To see why FM was developed, let's go back to AM for a moment.

Amplitude modulation has several advantages. AM equipment is relatively inexpensive and it allows the intelligence to be transmitted using fairly low carrier frequencies. The chief disadvantage of AM is that it is prone to interference from static. The reason for this is that an AM detector responds to the envelope of a transmitted waveform. Because static pulses cause variations in the envelope, the detector also responds to the static pulses. Both standard AM and SSB are subject to this form of interference.

To overcome this problem, frequency modulation has been perfected. In FM systems, the intelligence is impressed on the carrier as frequency variations rather than amplitude variations.

## The FM Waveform

Figure 7-35 illustrates the FM waveform. The intelligence or modulating waveform is shown in Figure 7-35A while the unmodulated carrier is shown in Figure 7-35B. In FM, the intelligence changes the frequency of the carrier rather than its amplitude. The resulting frequency-modulated waveform is shown in Figure 7-35C.

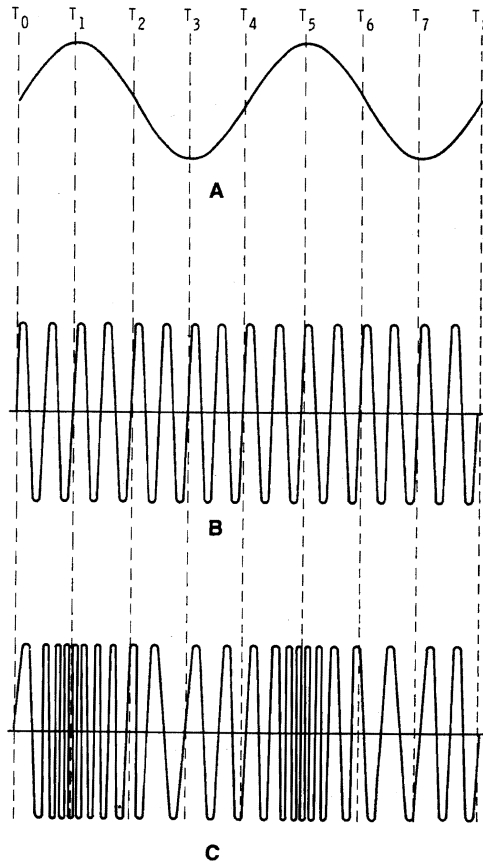


Figure 7-35  
Generating the FM waveform.

At time  $T_0$ , the modulated waveform is at its center frequency. As the modulating signal swings positive, the frequency of the carrier is increased. The carrier reaches its maximum frequency when the modulating signal reaches its maximum amplitude.

At time  $T_2$ , the modulating signal returns to 0 and the carrier returns to its center frequency. After  $T_2$ , the modulating signal swings negative. This forces the carrier below its center frequency. The carrier again returns to its center frequency when the modulating signal returns to 0 volts at time  $T_4$ . Between times  $T_4$  and  $T_8$ , the modulating signal repeats its cycle. As a result, the carrier is again shifted in frequency. It swings first above then below its center frequency. Notice that it returns to its center frequency each time the modulating signal passes through 0 volts.

The carrier changes equally above and below its center frequency. The amount of frequency change is called the frequency deviation. For example, let's assume that a carrier continuously swings from 100 MHz, down to 99.9 MHz, back to 100 MHz, up to 100.1 MHz, and back to 100 MHz. The frequency deviation is  $\pm 0.1$  MHz or  $\pm 100$  kHz.

The rate of frequency deviation is determined by the frequency of the modulating signal. For example, if the modulating signal is a 1 kHz audio tone, the carrier will swing above and below its center frequency 1000 times each second. A 10 kHz audio tone will still cause the carrier to deviate  $\pm 100$  kHz; but this time at the rate of 10,000 times each second. Thus, **the frequency** of the modulating signal determines **the rate** of frequency deviation but not the amount of deviation.

The amount that the carrier deviates from its center frequency is determined by the amplitude of the modulating signal. A high amplitude audio tone may cause a deviation of  $\pm 100$  kHz. A lower amplitude tone of the same frequency may cause a deviation of only  $\pm 50$  kHz.

Thus, the frequency-modulated waveform has the following characteristics. It is constant in amplitude but varies in frequency. The rate at which the carrier deviates is the same as the frequency of the modulating signal. The amount that the carrier deviates is proportional to the amplitude of the modulating signal.

## Frequency Modulator

A simple circuit that can produce a frequency-modulated carrier is shown in Figure 7-36. It consists of a Hartley oscillator which uses a varactor ( $D_1$ ) in the tank circuit. You will recall that a varactor is a special-purpose, solid-state diode that can act as a variable capacitor. Let's briefly review the operation of the varactor.

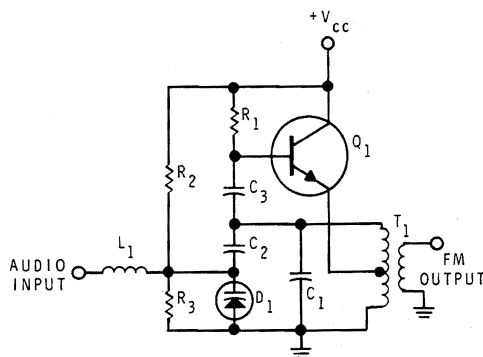


Figure 7-36

The frequency modulator.

When a diode is reverse-biased, a depletion area is formed at the PN junction. When the reverse-bias voltage increases, the depletion region becomes wider. When the reverse voltage decreases, the depletion region becomes narrower. The depletion region acts like an insulator since it provides an area through which no conduction can take place. It also effectively separates the N and P sections of the diode in the same way that a dielectric separates the two plates of a capacitor. In fact, the PN junction diode acts as an electronic capacitor that changes its capacitance as its depletion region changes in size.

Ordinary diodes possess only a small internal capacitance. In most cases, this capacitance is too small to be effectively used. However, varactor diodes are constructed so that they have an appreciable amount of internal capacitance.

The capacitance of the varactor is determined by its reverse bias voltage. As the reverse voltage is increased, the depletion region within the device widens and acts as a wider dielectric between the N and P sections of the device. Since the value of any capacitor varies inversely with the thickness of the dielectric between its plates, the diode's capacitance will decrease as the reverse voltage increases. Likewise, a decrease in reverse bias voltage will cause an increase in the varactor diode's internal junction capacitance.

In the frequency modulator circuit, the varactor is used to vary the capacitance in the LC tank circuit.  $C_1$  and the primary of  $T_1$  form a parallel resonant tank. However, the capacitance of  $D_1$  also influences the frequency of the tank circuit. If the capacitance of  $D_1$  is changed, the oscillator frequency will also change.

The capacitance of the varactor is set initially by  $R_2$  and  $R_3$ . These resistors determine the reverse bias on the diode when no audio signal is present. In turn, the varactor sets the oscillator to the center frequency. Thus, the carrier, taken from the secondary of  $T_1$ , is at its center frequency when no modulating signal is present.

The audio signal is applied through  $L_1$  to the cathode of the varactor. When the audio signal swings positive, the reverse bias on the varactor increases. This decreases the capacitance of the varactor which, in turn, causes the oscillator frequency to increase. When the audio signal swings negative, the reverse bias decreases. This increases the capacitance, forcing the oscillator frequency lower.

If the audio signal is a 1000 Hz tone, the oscillator frequency will swing above and below its center frequency 1000 times each second. Thus, the rate of deviation is the same as the audio signal. When the amplitude of the audio signal is increased, the varactor swings through a larger range of capacitance. Consequently, the amount of frequency deviation increases.

In FM transmitters, the oscillator that determines the carrier frequency is called the master oscillator. Generally, a crystal-controlled oscillator is used so that the output frequency is extremely stable. Even so, the frequency of the oscillator can be shifted back and forth over a narrow range by a varactor.

## The FM Transmitter

The FM broadcast band extends from 88 MHz to 108 MHz. Stations are assigned frequencies at 0.2 MHz intervals throughout this band. For example, a station may be assigned a frequency of 94.5 MHz. This is the center frequency and the transmission may deviate by no more than  $\pm 75$  kHz. This amount of deviation ( $\pm 75$  kHz) is arbitrarily assumed to be 100% modulation.



A block diagram of an FM transmitter is shown in Figure 7-37. The audio signal is amplified and applied to the FM modulator. The modulator changes the frequency of the master oscillator at the rate of the audio signal. The frequency-modulated carrier is then amplified and transmitted.

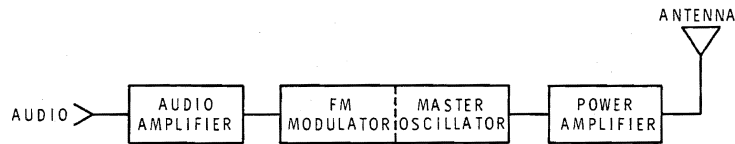


Figure 7-37  
Simple FM transmitter.

This type of transmitter has some problems at high frequencies. In a commercial FM station, the transmitted frequency will be very high (say 94.5 MHz), and the master oscillator would have to produce this frequency. At this high frequency, the stability of the oscillator becomes a problem. Small changes in component values can cause relatively large changes in the oscillator frequency. Also, at this high frequency, it is difficult to shift the carrier the full amount without causing distortion and nonlinearity.

Many of the problems caused by the high frequency of the master oscillator can be solved by using a different approach. Figure 7-38 shows a block diagram of an FM transmitter which uses a much lower master oscillator frequency.

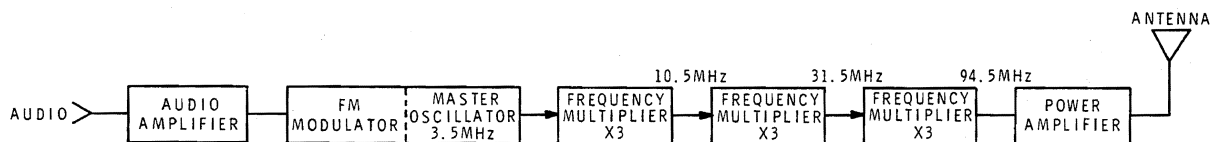


Figure 7-38  
FM transmitter  
with frequency multipliers.

Here the master oscillator frequency is only 3.5 MHz. To raise the frequency to the required 94.5 MHz, a series of frequency multipliers is used. Recall that a frequency multiplier is nothing more than a nonlinear amplifier whose output is tuned to a harmonic of the input frequency. The most common multipliers are doublers and triplers. Here, three triplers are used to multiply the 3.5 MHz carrier by

$$3 \times 3 \times 3 = 27$$

Thus, the output frequency is  $3.5 \text{ MHz} \times 27 = 94.5 \text{ MHz}$ .

The transmitted signal deviates  $\pm 75 \text{ kHz}$  at 100% modulation. However, the master oscillator frequency deviates only

$$\pm 75 \text{ kHz} \div 27 = \pm 2.77 \text{ kHz}$$

This small deviation can be easily achieved even with crystal-controlled oscillators.

## The FM Receiver

A block diagram of an FM receiver is shown in Figure 7-39. Notice the similarity between this receiver and the AM receiver discussed earlier. The main differences are the received frequency, the IF, and the nature of the detector.

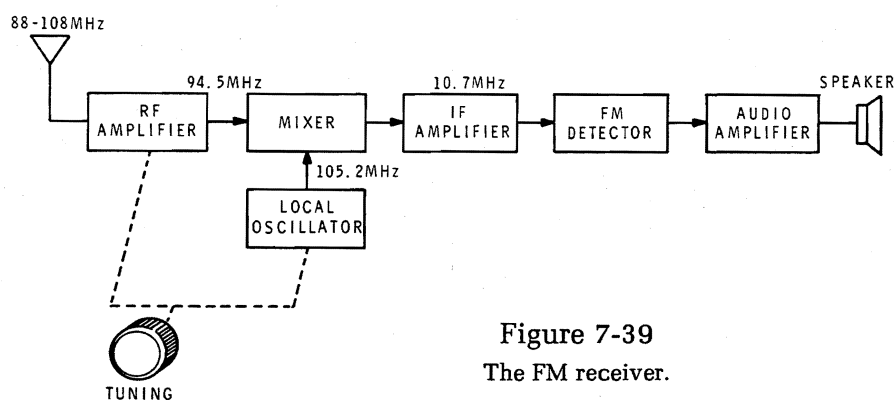


Figure 7-39  
The FM receiver.

Assume that the receiver is tuned to receive 94.5 MHz. When the tuning indicator is set to this frequency, the RF amplifier is tuned to 94.5 MHz while the local oscillator is tuned to 105.2 MHz. These two signals beat together in a mixer to produce an IF of

$$105.2 \text{ MHz} - 94.5 \text{ MHz} = 10.7 \text{ MHz}$$

This is a common intermediate frequency used in FM receivers. The local oscillator will be tuned to 10.7 MHz above the frequency to which the RF amplifier is tuned.

If the received carrier is deviating  $\pm 75$  kHz and the local oscillator frequency is constant, the 10.7 MHz IF signal will also deviate  $\pm 75$  kHz. The IF signal is amplified further before being applied to the FM detector.

The FM detector responds to the frequency variations in the IF signal and produces a corresponding audio signal. It recovers the original intelligence that was frequency modulated on the carrier back at the transmitter. The audio signal is further amplified before driving the speaker.

At this point you are familiar with all the circuits in the block diagram except one — the FM detector. Let's take a look at some FM detector circuits.

## FM Detectors

There are many different ways of demodulating a frequency-modulated signal. Ironically, the methods which are easiest to understand are not used very often. However, because they are so easy to understand, a brief explanation of these techniques may be helpful. After discussing these straight-forward techniques, we will then discuss the more complex (but more popular) techniques.

## SLOPE DETECTOR

The simplest FM detector is called a slope detector and is shown in Figure 7-40A. The two parallel resonant tank circuits have an overall response curve like that shown in Figure 7-40B. Notice that the response curve does not peak at the center frequency of the IF signal. Instead, the center frequency falls half way up the side of the curve.

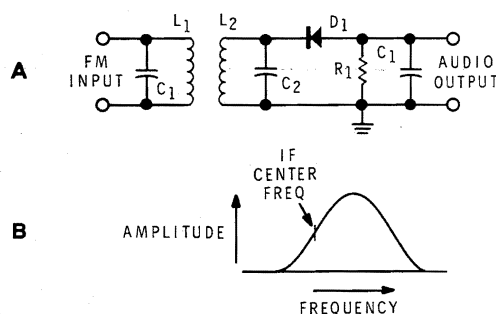


Figure 7-40  
The slope detector and its  
response curve.

When the IF signal is at its center frequency, an average amplitude signal reaches  $D_1$ . When the IF swings above the center frequency, it approaches the peak of the response curve. Consequently, a higher amplitude signal is applied to the diode. When the IF swings below the center frequency, a low amplitude signal is applied to the diode.

In effect, the tuned circuit changes the frequency modulated IF signal to a signal which varies in amplitude. That is, it changes the FM signal to an AM signal. The diode then detects the AM signal just like the AM detector discussed earlier.

The disadvantage of this technique is that the response curve of the IF amplifiers which precede this stage must also be considered. Obviously, we would not like to have the IF amplifier response curve offset. Consequently, this technique is not used very often.

### DOUBLE-TUNED DETECTOR

Another FM detector which is easy to understand is shown in Figure 7-41. This one is called a double-tuned detector. Transformer  $T_1$  has a center-tapped secondary. The upper half of the secondary is tuned by  $C_1$  to resonate slightly above the center frequency of the IF signal. Likewise, the lower half of the secondary is tuned by  $C_2$  to resonate slightly below the center frequency.

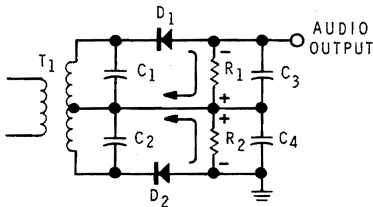


Figure 7-41

The double-tuned detector.

When the IF signal is at its center frequency, both halves of the secondary are equally “detuned.”  $D_1$  and  $D_2$  conduct equally as shown. Notice that the voltages developed across  $R_1$  and  $R_2$  are of opposite polarity and, therefore, tend to cancel. Consequently, if  $D_1$  and  $D_2$  conduct equally the output voltage is 0.

Above the center frequency, the signal approaches the resonant frequency of the upper tank circuit. Thus, a larger signal is coupled to  $D_1$  than to  $D_2$ ,  $D_1$  conducts harder developing a larger voltage across  $R_1$  than is developed across  $R_2$ . Therefore, the resultant is a negative voltage.

When the carrier swings below the center frequency, the signal approaches the resonant frequency of the lower tank circuit.  $D_2$  receives a stronger signal than  $D_1$ .  $D_2$  conducts harder developing a larger signal across  $R_2$  than is developed across  $R_1$ . Since the voltage across  $R_2$  is positive with respect to ground, the net output voltage is positive. As you can see, the output signal corresponds to the intelligence contained in the FM input signal.

### FOSTER-SEELEY DISCRIMINATOR

A more popular FM detector is shown in Figure 7-42. This one is called the Foster-Seeley discriminator.

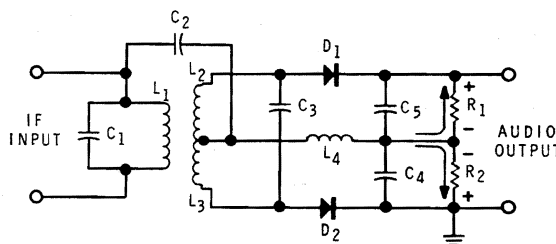


Figure 7-42

The Foster-Seeley discriminator.

The input to the circuit is the 10.7 MHz IF signal which is varying  $\pm 75$  kHz at the audio rate. The output is the detected audio signal. The two diodes and their associated RC networks operate similar to their counterparts in the double-tuned detector discussed earlier. That is, when both diodes conduct equally, equal but opposite polarity voltages are developed across  $R_1$  and  $R_2$ , the two voltages cancel, and the output is 0 volts. However, if  $D_1$  conducts harder, the output is positive. By the same token the output is negative when  $D_2$  conducts harder. The audio signal can be recovered from the IF signal if:

1. Both diodes conduct equally at the center frequency;
2.  $D_1$  conducts harder above the center frequency;
3.  $D_2$  conducts harder below the center frequency.

Let's see what determines how much each diode conducts.

By transformer action,  $L_1$  couples the 10.7 MHz IF signal to  $L_2$  and  $L_3$ . As connected,  $L_2$  and  $L_3$  act as a center-tapped secondary. Thus, the voltage developed across  $L_2$  ( $E_{L2}$ ) is  $180^\circ$  out of phase with the voltage developed across  $L_3$  ( $E_{L3}$ ).  $E_{L2}$  controls the conduction of  $D_1$  while  $E_{L3}$  controls the conduction of  $D_2$ . Keep in mind that these two voltages are equal in amplitude but are  $180^\circ$  out of phase.

The IF signal is also capacitive coupled through  $C_2$  to the left of  $L_4$ . A voltage which we will call  $E_{L4}$  is developed across  $L_4$ .  $E_{L4}$  controls the conduction of both  $D_1$  and  $D_2$ .

The circuit is arranged so that  $E_{L4}$  is  $90^\circ$  out of phase with both  $E_{L2}$  and  $E_{L3}$ . However, as you will see, this is so only when the IF signal is at its center frequency. At the center frequency,  $E_{L4}$  leads  $E_{L3}$  by  $90^\circ$  but lags behind  $E_{L2}$  by  $90^\circ$ .

The amount that diode  $D_1$  conducts is determined by  $E_{L2}$  and  $E_{L4}$ . The amount that  $D_2$  conducts is determined by  $E_{L3}$  and  $E_{L4}$ . We have seen that  $E_{L2}$  and  $E_{L3}$  are equal in amplitude but are  $180^\circ$  out of phase. At the center frequency  $E_{L4}$  is  $90^\circ$  out of phase with both  $E_{L2}$  and  $E_{L3}$ . Thus, at the center frequency,  $E_{L4}$  adds to both of these signals equally. Consequently,  $D_1$  and  $D_2$  conduct equally and the output voltage is 0.

The parallel resonant circuit is resonant at the center frequency, where  $X_L$  exactly cancels  $X_C$  and the resonant circuit acts resistive. However, above resonance,  $X_L$  is larger than  $X_C$ . Thus, there is a net reactance that shifts the phase of  $E_{L2}$  and  $E_{L3}$ .  $E_{L2}$  is shifted more in phase with  $E_{L4}$ . Since  $E_{L3}$  is always  $180^\circ$  out of phase with  $E_{L2}$ ,  $E_{L3}$  is shifted more out of phase with  $E_{L4}$ . That is,  $E_{L4}$  tends to add to  $E_{L2}$  but tends to subtract from  $E_{L3}$ . Thus,  $D_1$  conducts harder than  $D_2$ . The net result is that the output swings positive each time the IF signal swings above the center frequency.

Below resonance  $X_C$  is larger than  $X_L$ . The net reactance shifts the phase of  $E_{L2}$  and  $E_{L3}$  in the opposite direction. This time,  $E_{L3}$  is shifted more in phase with  $E_{L4}$ . Consequently,  $D_2$  conducts harder, producing a net negative output voltage. Thus, the output swings negative each time the IF signal swings below the center frequency.

If the modulating signal is a 1000 Hz tone, the IF will swing above and below the center frequency 1000 times each second. The discriminator produces an output sine wave that swings positive then negative at the same rate. Thus, the discriminator recovers the modulating signal.

All of the FM detectors discussed up to this point are sensitive to amplitude changes. That is, if high amplitude noise pulses ride on the carrier, the FM detectors will respond to these amplitude variations. This will cause static in the audio. To prevent this, FM detectors are often preceded by a limiter stage. Generally, this is an overdriven amplifier that cuts off all amplitude variations. The detector then responds only to the frequency variations and produces a static-free audio signal.

### RATIO DETECTOR

Our final example of an FM detector is the ratio detector shown in Figure 7-43. This circuit is one of the most popular types of FM detectors because it has a significant advantage. It provides its own limiting action and requires no preceding limiter stage.

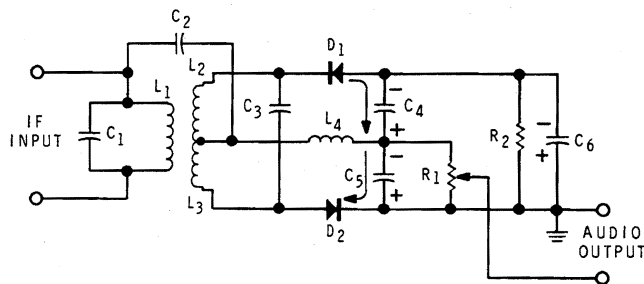


Figure 7-43  
The ratio detector.

The ratio detector circuit looks somewhat similar to the Foster-Seeley discriminator. However, closer examination shows that Diode  $D_1$  is reversed and that the output configuration is different.

With  $D_1$  reversed, the two diodes are in series across the entire secondary. Conduction of the two diodes is controlled by the same factors as in the Foster-Seeley discriminator. At the center frequency, the two diodes conduct equally. In this circuit, the voltages build up across  $C_4$  and  $C_5$  in series. Recall that in the discriminator, the diodes produced opposing voltages.

The key to the unique operation of the ratio detector is  $C_6$ .  $C_6$  is a large value capacitor. After several cycles of the input signal, this capacitor charges to a voltage which is proportional to the average received signal strength.  $C_6$  is large enough to hold the voltage across  $R_2$  constant. It also holds the voltage constant across the series combination of  $C_4$  and  $C_5$ . It does this even if there are momentary amplitude variations (noise). This is the reason that the ratio detector is relatively insensitive to noise.

The voltage across  $C_5$  plus the voltage across  $C_4$  must always equal the voltage across  $C_6$ . At the center frequency, the two diodes conduct equally and the voltages across the two capacitors are equal. A sample of the voltage across  $C_5$  is tapped from  $R_1$ . This is the audio output. This output will be at some negative DC level.

As in the discriminator,  $D_1$  and  $D_2$  alternately conduct harder as the IF signal swings above and below the center frequency. When the diodes are not conducting equally, the difference current flows through  $L_4$ . When  $D_1$  conducts harder, the voltage across  $C_4$  exceeds that across  $C_5$ . However, since the sum of these two voltages remain constant, the voltage across  $C_5$  must decrease. Consequently, the voltage at the output must also decrease.

When  $D_2$  conducts harder, a higher voltage develops across  $C_5$ . Therefore, the output voltage increases. As you can see, the output voltage swings in step with the frequency changes in the IF signal.



## Programmed Review

32. In AM systems, the amplitude of the carrier is varied in accordance with the modulating signal. In FM systems, the \_\_\_\_\_ of the carrier is varied.
33. (frequency) In FM, the rate at which the carrier deviates is determined by the \_\_\_\_\_ of the modulating signal.
34. (frequency) The amount that the carrier deviates is determined by the \_\_\_\_\_ of the modulating signal.
35. (amplitude) An FM signal can be produced by changing the frequency of an oscillator in step with a modulating signal. Often this is done with a special type of diode called a \_\_\_\_\_.
36. (varactor) The varactor acts as a variable \_\_\_\_\_ whose value is controlled by a reverse bias voltage.
37. (capacitor) The commercial FM broadcast band extends from \_\_\_\_\_ MHz to \_\_\_\_\_ MHz.
38. (88 MHz to 108 MHz) In this range, a deviation of  $\pm$  \_\_\_\_\_ kHz is considered to be 100% modulation.
39. ( $\pm 75$  kHz) Most FM transmitters use frequency multipliers so that the modulation can take place at a relatively low frequency. For example, a 90.1 MHz FM transmitter might have two triplers and two doublers. In this case, the frequency of the master oscillator would be \_\_\_\_\_ MHz.

40. (2.502777 MHz) An FM receiver with an intermediate frequency of 10.7 MHz is tuned to receive a 90.1 MHz signal. The local oscillator frequency must be \_\_\_\_\_ MHz.

41. (100.8 MHz) One type of FM detector looks like an AM detector that is slightly detuned. This circuit is called a \_\_\_\_\_ detector.

42. (slope) Another type of FM detector has two resonant tanks. One is tuned slightly above the center intermediate frequency. The other is tuned slightly below. This circuit is called a \_\_\_\_\_ detector.

43. (double-tuned) The FM detector shown in Figure 7-44A is called a \_\_\_\_\_.

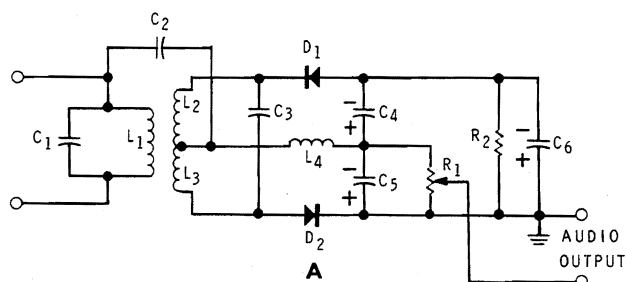
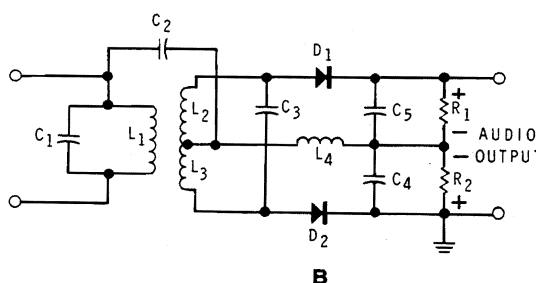


Figure 7-44  
Circuits for frames 43 and 44.



44. (ratio detector) That shown in Figure 7-44B is called a \_\_\_\_\_.

45. (Foster-Seeley Discriminator) The advantage of the ratio detector is that it requires no preceding \_\_\_\_\_ stage.

(limiter).

## UNIT SUMMARY

Modulation is the process by which intelligence is added to a carrier. Some characteristic of the carrier wave is varied in step with the modulating signal. Demodulation is the process by which intelligence is recovered from the carrier wave.

In AM systems, the amplitude of the carrier is varied. This process causes upper and lower sidebands to be produced. These sidebands combine with the carrier to produce a resulting waveform that varies in amplitude at the same rate as the modulating signal. In the AM receiver, the modulated carrier is then rectified and filtered to recover the original audio signal.

Single sideband is a special case of AM in which the carrier and one sideband are removed or suppressed. The resulting signal which is then transmitted still contains the necessary intelligence. At the receiver, the carrier must be reinserted before the original intelligence can be recovered.

In FM systems, the frequency of the carrier is varied in accordance with the intelligence. The actual carrier frequency may be modulated directly. But more often, a lower frequency is modulated and then the frequency is increased by frequency multipliers.

A number of FM detectors exist. Two of the more popular are the Foster-Seeley discriminator and the ratio detector. Most FM detectors are sensitive to amplitude changes and are preceded by a limiter. However, the ratio detector will not respond to amplitude variations so it does not require a separate limiter stage.

## UNIT EXAMINATION

The following multiple choice examination is designed to test your understanding of the material presented in this unit. Place a check beside the multiple choice answer (A, B, C, or D) that you feel is most correct. When you have completed the examination, compare your answers with the correct ones that appear after the exam.

1. A 900 kHz carrier is amplitude modulated by a 2 kHz audio tone. The resulting waveform:
  - A. Is a 900 kHz carrier that varies in frequency at a 2 kHz rate.
  - B. Contains two equal strength signals of 2 kHz and 900 kHz.
  - C. Contains two equal strength sidebands of 902 kHz and 898 kHz.
  - D. Contains a constant amplitude carrier and an infinite number of sidebands.
  
2. An AM waveform has a maximum amplitude of 120 volts peak-to-peak and a minimum amplitude of 40 volts peak-to-peak. The percent of modulation is:
  - A. 33.33%.
  - B. 50%.
  - C. 66.67%.
  - D. 80%.
  
3. A superheterodyne receiver which has an IF of 455 kHz is tuned to receive a standard AM station that has a frequency of 1200 kHz. The local oscillator frequency is:
  - A. 455 kHz.
  - B. 645 kHz.
  - C. 1200 kHz.
  - D. 1655 kHz.

4. In amplitude modulator circuits, the gain of an amplifier is controlled by:
  - A. The carrier signal.
  - B. The local oscillator signal.
  - C. The sideband signals.
  - D. The modulating signal.
  
5. An advantage of single sideband over AM is:
  - A. SSB requires less bandwidth.
  - B. The SSB transmitter is simpler and is, therefore, less expensive.
  - C. The SSB receiver is simpler and is, therefore, less expensive.
  - D. SSB is immune from static.
  
6. The signals at the output of a balanced modulator are:
  - A. The modulating signal and the carrier.
  - B. The carrier and one sideband.
  - C. The carrier and two sidebands.
  - D. The upper and lower sidebands.
  
7. What signals are transmitted by a single-sideband, suppressed-carrier transmitter when no modulating signal is present?
  - A. The upper sideband only.
  - B. The lower sideband only.
  - C. Both the upper and lower sidebands.
  - D. No signal is transmitted.

- 
8. In an FM transmitter, the rate of frequency deviation is determined by:
- A. The amplitude of the modulating signal.
  - B. The frequency of the modulating signal.
  - C. Both the amplitude and the frequency of the modulating signal.
  - D. The frequency of the unmodulated carrier.
9. A 99.9 MHz FM transmitter uses frequency multipliers to increase the master oscillator frequency. If two triplers and a doubler are used, what is the frequency of the master oscillator?
- A. 99.9 MHz.
  - B. 7.5 MHz.
  - C. 5.55 MHz.
  - D. 2.5 MHz.
10. Which of the following FM detectors does not require a limiter stage?
- A. The ratio detector.
  - B. The Foster Seeley discriminator.
  - C. The slope detector.
  - D. The double-tuned discriminator.



## EXAMINATION ANSWERS

1. C — When a 900 kHz carrier is amplitude modulated by a 2 kHz audio tone, the resulting waveform will contain two equal strength sidebands of 902 kHz and 898 kHz. Of course, it will also contain the 900 kHz carrier.

2. B —

$$\% = \frac{E_{max} - E_{min}}{E_{max} + E_{min}} \times 100$$

$$\% = \frac{120V - 40V}{120V + 40V} \times 100$$

$$\% = \frac{80V}{160V} \times 100$$

$$\% = 0.5 \times 100$$

$$\% = 50$$

3. D — The local oscillator is 455 kHz above the received frequency.
4. D — In amplitude modulator circuits, the gain of an amplifier is controlled by the amplitude of the modulating signal.
5. A — An advantage of SSB over AM is the reduced bandwidth requirement.



6. D — Only the upper and lower sidebands are present at the output of a balanced modulator.
7. D — In the SSB suppressed-carrier transmitter, no signal is transmitted unless the modulating signal is present.
8. B — In the FM transmitter, the rate of frequency deviation is determined by the frequency of the modulating signal.
9. C — Two triplers and a doubler produce frequency multiplication by

$$3 \times 3 \times 2 = 18$$

If the transmitted carrier is 99.9 MHz, then the master oscillator frequency must be

$$99.9 \text{ MHz} \div 18 = 5.55 \text{ MHz.}$$

10. A — The ratio detector does not normally require a preceding limiter stage.

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